



# A Note on the Total Chromatic Number of Halin Graphs with Maximum Degree 4

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**Abstract**—In this paper, we prove that  $\chi_T(G) = 5$  for any Halin graph  $G$  with  $\Delta(G) = 4$ , where  $\Delta(G)$  and  $\chi_T(G)$  denote the maximal degree and the total chromatic number of  $G$ , respectively.  
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## 1. INTRODUCTION

We quote two definitions.

**DEFINITION 1.1.** (See [1].) For a 3-connected plane graph  $G(V, E, F)$ , if all edges on the boundary of one face  $f_0$  of the face set  $F$  are removed, it becomes a tree, and the degree of each vertex of  $V(f_0)$  is three, then graph  $G$  is called a **Halin graph**, the vertices on  $V(f_0)$  are called **exterior vertices** of  $G$ , and the others **interior vertices** of  $G$ .

**DEFINITION 1.2.** (See [2].) If all of the elements in  $V \cup E$  of the graph  $G(V, E)$  can be coloured by  $k$  colours such that no two adjacent or incident elements have the same colour, then this colouring is called a  **$k$ -total colouring** of  $G$ ; and

$$\chi_T(G) = \min\{k \mid k\text{-total colouring of } G\}$$

is called the (vertex-edge) **total chromatic number** of  $G$ .

From this, the total colouring conjecture can be written in the form

$$\chi_T(G) \leq \Delta(G) + 2 \text{ for any graph } G.$$

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In [3], the (vertex) chromatic number  $\chi(G)$ , the edge chromatic number  $\chi'(G)$ , and the total chromatic number  $\chi_T(G)$  of the Halin graphs  $G$  were studied in great detail, and it was shown that if  $G$  is a Halin graph with  $\Delta(G) \geq 5$ , then

$$\chi_T(G) = \Delta(G) + 1.$$

In the end of [3], it was pointed out that determining  $\chi_T(G)$  for any Halin graph  $G$  with  $\Delta(G) = 3, 4$  is an open problem. In this paper, the case when  $\Delta(G) = 4$  is completely solved. The other terms and notations can be found in [4-6].

## 2. THE HALIN GRAPHS WITH $\Delta(G) = 4$

We denote by  $W_p$  the wheel graph of order  $p$ .

**LEMMA 2.1.** *Let  $G(G \neq W_p)$  be a Halin graph, then there exists an interior vertex  $w$  which adjacent vertices only one is interior vertex and the others exterior vertices.*

**PROOF.** Consider the longest path in graph  $T' = G - E(f_0)$ , where  $E(f_0)$  denotes the edges set in the boundary of outer face  $f_0$ ,  $w$  denote the second vertex or the reverse second vertex, then  $w$  has the property in Lemma 2.1.

Denote by  $W$  the set of all  $w$  that satisfy conditions in Lemma 2.1.

**THEOREM 2.1.** *For wheel graph  $W_5$  of order five, we have*

$$\chi_T(W_5) = 5.$$

The proof is obvious. A 5-total colouring of  $W_5$  is shown in Figure 1.

**THEOREM 2.2.** *For any Halin graph  $G$  with  $\Delta(G) = 4$ , have*

$$\chi_T(G) = 5.$$

**PROOF.** We use induction on the number  $p = |V(G)|$ .

When  $p = 5$ ,  $G = W_5$ , by Theorem 2.1, the conclusion is true; when  $p = 6$ , does not exist Halin graph  $G$  with  $\Delta(G) = 4$ ; and when  $p = 7$ , there is a unique Halin graph  $G$  with  $\Delta(G) = 4$ , and the conclusion is also true. Now we assume that the conclusion is true for  $p = k - 1$  ( $k \geq 8$ ), and consider the case  $p = k$ .

Obviously,  $\chi_T(G) \geq 5$ . Hence, it is enough to prove that  $\chi_T(G) \leq 5$ , that is, only to prove that  $G$  has a 5-total colouring.

Among all vertices of  $W$ , let  $w$  be one of minimum degree, i.e.,

$$d(w) = \min\{d(v) \mid v \in W\},$$

where  $W$  is the set of all  $w$  that satisfy the condition in Lemma 2.1.

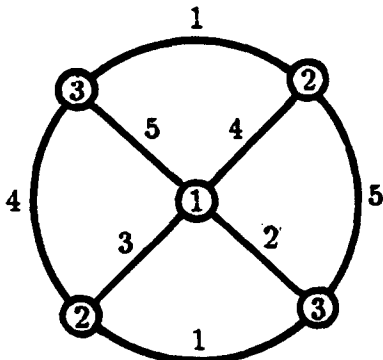


Figure 1.  $W_5$ .

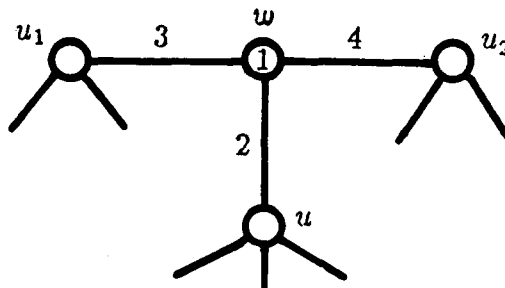


Figure 2.  $G_{01}$ .

CASE 1.  $d(w) = 3$ .

Denote by  $y_1, y_2$  two exterior vertices adjacent to  $w$ , and denote by  $u$  an interior vertex adjacent to  $w$ . Suppose that  $u_1, u_2$  which are different from  $y_1, y_2$  are adjacent exterior vertices of  $y_1, y_2$ , respectively, that is,

$$u_1 \in N(y_1) - \{y_2, w\}, \quad u_2 \in N(y_2) - \{y_1, w\}.$$

We consider the graph

$$G_{01} = G - \{y_1, y_2\} + \{u_1w, u_2w\}.$$

Obviously,  $G_{01}$  is a Halin graph with  $\Delta(G_{01}) = 4$  and  $|V(G_{01})| = k - 2$ .

By the induction hypothesis,  $G_{01}$  has a 5-total colouring  $\sigma_0$ . On the basis of  $\sigma_0$ , we make a 5-total colouring  $\sigma$  of  $G$ .

Denote by  $C = \{\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5\}$  the set of five colours in  $\sigma_0$ , and let

$$Y = \left( V(G) \cup E(G) \right) - \{y_1, y_2, u_1y_1, y_1y_2, u_2y_2, wy_1, wy_2\}.$$

We may suppose without loss of generality that

$$\sigma_0(w) = \alpha_1, \quad \sigma_0(uw) = \alpha_2, \quad \sigma_0(u_1w) = \alpha_3, \quad \sigma_0(u_2w) = \alpha_4.$$

A sketch of the 5-total colouring  $\sigma_0$  of  $G_{01}$  is shown in Figure 2, where the number  $i$  denotes the colour  $\alpha_i$  ( $i = 1, 2, \dots, 5$ ). Thus,  $\sigma_0(u_1)$  can only take  $\alpha_1$ , or  $\alpha_2$ , or  $\alpha_4$ , or  $\alpha_5$ , and  $\sigma_0(u_2)$  can only take  $\alpha_1$ , or  $\alpha_2$ , or  $\alpha_3$ , or  $\alpha_5$ , that is, by notation of vector,  $(\sigma_0(u_1), \sigma_0(u_2))$  can only take the following 16 pairs of colours:

$$\begin{aligned} &(\alpha_1, \alpha_1), \quad (\alpha_1, \alpha_2), \quad (\alpha_1, \alpha_3), \quad (\alpha_1, \alpha_5), \\ &(\alpha_2, \alpha_1), \quad (\alpha_2, \alpha_2), \quad (\alpha_2, \alpha_3), \quad (\alpha_2, \alpha_5), \\ &(\alpha_4, \alpha_1), \quad (\alpha_4, \alpha_2), \quad (\alpha_4, \alpha_3), \quad (\alpha_4, \alpha_5), \\ &(\alpha_5, \alpha_1), \quad (\alpha_5, \alpha_2), \quad (\alpha_5, \alpha_3), \quad (\alpha_5, \alpha_5). \end{aligned}$$

Since  $\alpha_1 \notin \{\sigma_0(u_1), \sigma_0(u_2)\}$ , and by symmetry of  $u_1$  and  $u_2$ , it is enough to prove that  $G$  has a 5-total colouring  $\sigma$  for each case of the following 6 pairs of colours:

$$\begin{aligned} &(\alpha_2, \alpha_2), \quad (\alpha_2, \alpha_3), \quad (\alpha_2, \alpha_5), \\ &(\alpha_4, \alpha_3), \quad (\alpha_4, \alpha_5), \\ &(\alpha_5, \alpha_5). \end{aligned}$$

SUBCASE 1.1.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_2, \alpha_2)$  or  $(\alpha_5, \alpha_5)$ .

Let

$$\begin{aligned} \sigma(y_1y_2) &= \sigma_0(w), \quad \sigma(y_2) = \sigma(u_1y_1) = \alpha_3, \\ \sigma(u_2y_2) &= \sigma(wy_1) = \alpha_4, \quad \sigma(wy_2) = \alpha_5, \\ \sigma(y_1) &= \alpha_5, \quad \text{if } \sigma_0(u_1) = \alpha_2, \text{ or} \\ \sigma(y_1) &= \alpha_2, \quad \text{if } \sigma_0(u_1) = \alpha_5, \\ \sigma(y) &= \sigma_0(y), \quad y \in Y. \end{aligned}$$

Obviously, this  $\sigma$  is a 5-total colouring of  $G$ .

SUBCASE 1.2.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_2, \alpha_3)$ .

Let

$$\begin{aligned}\sigma(y_1y_2) &= \alpha_1, & \sigma(y_2) &= \alpha_2, \\ \sigma(u_1y_1) &= \sigma(wy_2) = \alpha_3, \\ \sigma(u_2y_2) &= \sigma(wy_1) = \alpha_4, \\ \sigma(y_1) &= \alpha_5, & \sigma(y) &= \sigma_0(y), \quad y \in Y.\end{aligned}$$

Such a colouring  $\sigma$  is obviously a 5-total colouring of  $G$ .

SUBCASE 1.3.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_2, \alpha_5)$ .

This case is the same as Subcase 1.2 except the colour on the vertex  $u_2$ .

SUBCASE 1.4.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_4, \alpha_3)$ .

Let

$$\begin{aligned}\sigma(y_1y_2) &= \alpha_1, & \sigma(y_1) &= \alpha_2, \\ \sigma(u_1y_1) &= \sigma(wy_2) = \alpha_3, \\ \sigma(u_2y_2) &= \sigma(wy_1) = \alpha_4, \\ \sigma(y_2) &= \alpha_5, & \sigma(y) &= \sigma_0(y), \quad y \in Y.\end{aligned}$$

This  $\sigma$  is obviously a 5-total colouring of  $G$ .

SUBCASE 1.5.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_4, \alpha_5)$ .

This case is the same as Subcase 1.2 except the colours on  $u_1$  and  $u_2$ .

CASE 2.  $d(w) = 4$ .

Suppose that  $y_1, y_2, y_3$  are exterior vertices adjacent to  $w$ , and that  $u$  is an interior vertex adjacent to  $w$ , and  $u_1y_1, u_2y_3 \in E(G)$ . We consider the graph

$$G_{02} = G - \{y_1, y_2, y_3\} + \{u_1w, u_2w\}.$$

Obviously,  $G_{02}$  is a Halin graph with  $\Delta(G_{02}) = 3$  or  $4$ , and  $|V(G_{02})| = k - 3$ .

By the induction hypothesis, there exists a 5-total colouring  $\sigma_0$  of  $G_{02}$ . Now, on the basis of  $\sigma_0$ , we make a 5-total colouring  $\sigma$  of  $G$ . Let

$$Y = \left( V \cup E \right) - \{y_1, y_2, y_3, u_1y_1, u_2y_3, wy_1, wy_2, wy_3, y_1y_2, y_2y_3\}.$$

We may suppose without loss of generality that a 5-total colouring  $\sigma_0$  of  $G_{02}$  is the same as that in Case 1, and it is enough to prove that there exists a 5-total colouring  $\sigma$  of  $G$  for each of the six subcases in Case 1. The proofs of six subcases are similar to those in Case 1.

SUBCASE 2.1.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_2, \alpha_2)$ .

Let

$$\begin{aligned}\sigma(y_1y_2) &= \alpha_1, & \sigma(y_2y_3) &= \alpha_2, \\ \sigma(u_1y_2) &= \sigma(wy_3) = \sigma(y_2) = \alpha_3, \\ \sigma(wy_1) &= \sigma(u_2y_3) = \alpha_4, \\ \sigma(y_1) &= \sigma(y_3) = \sigma(wy_2) = \alpha_5, \\ \sigma(y) &= \sigma_0(y), & y &\in Y.\end{aligned}$$

Obviously, this  $\sigma$  is a 5-total colouring of  $G$ .

SUBCASE 2.2.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_5, \alpha_5)$ .

Let

$$\begin{aligned}\sigma(y_1y_2) &= \alpha_1, & \sigma(y_1) &= \sigma(y_2y_3) = \alpha_2, \\ \sigma(y_3) &= \sigma(u_1y_1) = \sigma(wy_2) = \alpha_3, \\ \sigma(wy_1) &= \sigma(u_2y_3) = \alpha_4, \\ \sigma(y_2) &= \sigma(wy_3) = \alpha_5, & \sigma(y) &= \sigma_0(y), \quad y \in Y.\end{aligned}$$

Such a colouring  $\sigma$  is obviously a 5-total colouring of  $G$ .

SUBCASE 2.3.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_2, \alpha_3)$ .

This case is the same as Subcase 2.1 except the colour on the vertex  $u_2$ .

SUBCASE 2.4.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_2, \alpha_5)$ .

Let

$$\begin{aligned}\sigma(y_2y_3) &= \alpha_1, & \sigma(y_1y_2) &= \sigma(y_3) = \alpha_2, \\ \sigma(y_2) &= \sigma(u_1y_1) = \sigma(wy_3) = \alpha_3, \\ \sigma(u_2y_3) &= \sigma(wy_1) = \alpha_4, \\ \sigma(y_1) &= \sigma(wy_2) = \alpha_5, & \sigma(y) &= \sigma_0(y), \quad y \in Y.\end{aligned}$$

This  $\sigma$  is obviously a 5-total colouring of  $G$ .

SUBCASE 2.5.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_4, \alpha_3)$ .

This case is the same as Subcase 2.4 except the colours on  $u_1$  and  $u_2$ .

SUBCASE 2.6.  $(\sigma_0(u_1), \sigma_0(u_2)) = (\alpha_4, \alpha_5)$ .

This case is same as Subcase 2.4 except the color on  $u_1$ .

Combining Case 1 and Case 2 for  $p = k$ , there exists the 5-total colouring  $\sigma$  of  $G$ .

By the induction principle, Theorem 2.2 is proved.

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