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#### Abstract

In this paper, we initiate the study of a variation of standard domination, namely restrained domination. Let $G=(V, E)$ be a graph. A restrained dominating set is a set $S \subseteq V$ where every vertex in $V-S$ is adjacent to a vertex in $S$ as well as another vertex in $V-S$. The restrained domination number of $G$, denoted by $\gamma_{\mathrm{r}}(G)$, is the smallest cardinality of a restrained dominating set of $G$. We determine best possible upper and lower bounds for $\gamma_{\mathrm{r}}(G)$, characterize those graphs achieving these bounds and find best possible upper and lower bounds for $\gamma_{\mathrm{r}}(G)+\gamma_{\mathrm{r}}(\bar{G})$ where $G$ is a connected graph. Finally, we give a linear algorithm for determining $\gamma_{\mathrm{r}}(T)$ for any tree and show that the decision problem for $\gamma_{\mathrm{r}}(G)$ is NP-complete even for bipartite and chordal graphs. (C) 1999 Elsevier Science B.V. All rights reserved.


## 1. Introduction

Graph theory terminology not presented here can be found in [1]. Let $G=(V, E)$ be a graph. For any vertex $v \in V$, the open neighborhood of $v$, denoted by $N(v)$, is defined by $\{u \in V \mid u v \in E\}$. A set $S$ is a dominating set if for every vertex $u \in V-S$, there exists $v \in S$ such that $u v \in E$. The domination number of $G$, denoted by $\gamma(G)$, is the minimum cardinality of a dominating set of $G$.

A set $S \subseteq V$ is a restrained dominating set if every vertex in $V-S$ is adjacent to a vertex in $S$ and another vertex in $V-S$. The concept of restrained domination was introduced by Telle [6], albeit as a vertex partitioning problem. Note that every graph has a restrained dominating set, since $S=V$ is such a set. Let $\gamma_{\mathrm{r}}(G)$ denote the size of

[^0]a smallest restrained dominating set. We will call a set $S$ a $\gamma_{\mathrm{r}}$-set if $S$ is a restrained dominating set of cardinality $\gamma_{\mathrm{r}}(G)$.

One possible application of the concept of restrained domination is that of prisoners and guards. Here, each vertex not in the restrained dominating set corresponds to a position of a prisoner, and every vertex in the restrained dominating set corresponds to a position of a guard. Note that each prisoner's position is observed by a guard's position (to effect security) while each prisoner's position is seen by at least one other prisoner's position (to protect the rights of prisoners). To be cost effective, it is desirable to place as few guards as possible (in the sense above).

In Section 2, we determine this parameter for certain classes of graphs, obtain best possible upper and lower bounds for $\gamma_{\mathrm{r}}(G)$ and characterize those graphs achieving these bounds. Then, in Section 3, we find best possible upper and lower bounds for $\gamma_{\mathrm{r}}(G)+\gamma_{\mathrm{r}}(\bar{G})$ where $G$ is a connected graph. Finally, in Section 4, we give a linear algorithm for determining $\gamma_{\mathrm{r}}(T)$ for any tree and show that the decision problem for $\gamma_{\mathrm{r}}(G)$ is NP-complete even for bipartite and chordal graphs.

## 2. Definitions and results

Let $K_{n}, C_{n}$ and $P_{n}$ denote, respectively, the complete graph, the cycle and the path of order $n$. Also, let $K_{n_{1}, \ldots, n_{t}}$ denote the complete multipartite graph with vertex set $S_{1} \cup \cdots \cup S_{t}$ where $\left|S_{i}\right|=n_{i}$ for $1 \leqslant i \leqslant t$. We call $K_{1, n-1}$ a star. A subdivision of an edge $u v$ is obtained by introducing a new vertex $w$ and replacing the edge $u v$ with the edges $u w$ and $w v$. A spider is a tree obtained from $K_{1, r}, r \geqslant 1$, by subdividing all of its edges. A wounded spider is a tree obtained from $K_{1, r}, r \geqslant 1$, by subdividing at most $r-1$ of its edges. Thus, the star, $K_{1, r}$, is also a wounded spider. In a tree, a stem is a vertex adjacent to a leaf (a vertex of degree one).

The following results are immediate.

Proposition 1. If $n \neq 2$ is a positive integer, then $\gamma_{\mathrm{r}}\left(K_{n}\right)=1$.
Proposition 2. If $n \geqslant 2$ is an integer, then $\gamma_{\mathrm{r}}\left(K_{1, n-1}\right)=n$.
Proposition 3. If $n_{1}$ and $n_{2}$ are integers such that $\min \left\{n_{1}, n_{2}\right\} \geqslant 2$, then $\gamma_{\mathrm{r}}\left(K_{n_{1}, n_{2}}\right)=2$.
Proposition 4. If $t \geqslant 3$ is an integer, then

$$
\gamma_{\mathrm{r}}\left(K_{n_{1}, \ldots, n_{t}}\right)= \begin{cases}1 & \text { if } \min \left\{n_{1}, \ldots, n_{t}\right\}=1 \\ 2 & \text { otherwise }\end{cases}
$$

It is clear from their definition that $\gamma(G) \leqslant \gamma_{\mathrm{r}}(G)$. Suppose $n \geqslant 1$ and let $k \in\{1, \ldots$, $n-2, n\}$. Let $G$ be the graph obtained from $P_{n-k}$, the path on $n-k$ vertices, by adding a set of vertices $\left\{v, v_{1}, \ldots, v_{k-1}\right\}$ and joining the vertex $v$ to each of the vertices in $V\left(P_{n-k}\right) \cup\left\{v_{1}, \ldots, v_{k-1}\right\}$. Then $G$ has order $n, \gamma_{\mathrm{r}}(G)=k$ and $\gamma(G)=1$. Hence, we have

Proposition 5. There exists a graph $G$ for which $\gamma_{\mathrm{r}}(G)-\gamma(G)$ can be made arbitrarily large.

Proposition 6. If $n \geqslant 1$ is an integer, then $\gamma_{\mathrm{r}}\left(P_{n}\right)=n-2\lfloor(n-1) / 3\rfloor$.

Proof. Suppose $S$ is a restrained dominating set of $P_{n}$, whose vertex set is $V=$ $\left\{v_{1}, \ldots, v_{n}\right\}$. Note that $v_{1}, v_{n} \in S$. Moreover, any component of $V-S$ is of size exactly two. Suppose there are $m$ such components. Then $2 m+m+1 \leqslant n$ and so $m \leqslant\lfloor(n-1) / 3\rfloor$. Thus $|S|=n-2 m \geqslant n-2\lfloor(n-1) / 3\rfloor$.

On the other hand, $V-\left\{v_{i} \mid 1 \leqslant i \leqslant 3\lfloor(n-1) / 3\rfloor, i \equiv 2\right.$ or $\left.3(\bmod 3)\right\}$ is a restrained dominating set of size $n-2\lfloor(n-1) / 3\rfloor$.

We omit the proof of the following result as it is similar to that of Proposition 6.

Proposition 7. If $n \geqslant 3$, then $\gamma_{\mathrm{r}}\left(C_{n}\right)=n-2\lfloor n / 3\rfloor$.
It is clear that $\gamma_{\mathrm{r}}(G) \leqslant n$ for any graph $G$ of order $n$. The following result shows that the star $K_{1, n-1}$ is the only connected graph $G$ of order $n$ for which $\gamma_{\mathrm{r}}(G)=n$. We omit the (easy) proof.

Proposition 8. Let $G$ be a connected graph of order $n$. Then $\gamma_{\mathrm{r}}(G)=n$ if and only if $G$ is a star.

If $G$ is a connected graph of order $n$ and $G$ is not a star, then $\gamma_{\mathrm{r}}(G) \leqslant n-2$. Recall that a leaf in a graph is a vertex of degree one, while a stem is a vertex adjacent to a leaf. The next two results will show for which graphs this upper bound is attained.

Theorem 9. If $T$ is a tree of order $n \geqslant 3$, then $\gamma_{\mathrm{r}}(T)=n-2$ if and only if $T$ is obtained from $P_{4}, P_{5}$ or $P_{6}$ by adding zero or more leaves to the stems of the path.

Proof. It is easy to verify that if $T$ is obtained from $P_{4}, P_{5}$ or $P_{6}$ by adding zero or more leaves to the stems, then $\gamma_{\mathrm{r}}(T)=n-2$.

Conversely, let $T$ be a tree of order $n$ such that $\gamma_{\mathrm{r}}(T)=n-2$. If $\operatorname{diam}(T) \geqslant 6$, then $T$ contains an induced $P_{7}$, say $v_{1}, \ldots, v_{7}$. But then $V(T)-\left\{v_{2}, v_{3}, v_{5}, v_{6}\right\}$ is a restrained dominating set of $T$ of size $n-4$, which is a contradiction. Thus, $\operatorname{diam}(T) \leqslant 5$. Furthermore, since $T$ is not a star and stars are the only trees having diameter 2, $\operatorname{diam}(T) \geqslant 3$. Consider the following three cases.

Case 1: $\operatorname{diam}(T)=3$. Then $T$ has an induced $P_{4}$, say $\left\langle\left\{v_{1}, v_{2}, v_{3}, v_{4}\right\}\right\rangle$. But then neither $v_{2}$ nor $v_{3}$ can have neighbors not on the path that have other neighbors. Thus, any other vertex must be adjacent to $v_{2}$ or $v_{3}$.

Case 2: $\operatorname{diam}(T)=4$. Then $T$ has an induced $P_{5}$, say $\left\langle\left\{v_{1}, v_{2}, v_{3}, v_{4}, v_{5}\right\}\right\rangle$. Any neighbor of $v_{2}$ or $v_{4}$ not on the path cannot have another neighbor not on the path. Also, if $v_{3}$ has neighbors not on the path, then $V(T)-\left\{v_{2}, v_{3}, v_{4}\right\}$ is a restrained dominating
set of $T$, so that $\gamma_{\mathrm{r}}(T) \leqslant n-3$, which is a contradiction. Thus, every vertex not on the path must be adjacent to $v_{2}$ or $v_{4}$.

Case 3: $\operatorname{diam}(T)=5$. Let the induced path of order 6 have vertices $v_{1}, v_{2}, v_{3}, v_{4}, v_{5}$ and $v_{6}$. Certainly, $v_{2}$ and $v_{5}$ can have leaves attached to them. If $v_{3}$ ( $v_{4}$, respectively) have any neighbors not on the path, then $V(T)-\left\{v_{2}, v_{3}, v_{4}\right\}\left(V(T)-\left\{v_{3}, v_{4}, v_{5}\right\}\right.$, respectively) is a restrained dominating set of size $n-3$, which is a contradiction. Thus, any other vertex is adjacent to $v_{2}$ or $v_{5}$.

Theorem 10. Let $G$ be a connected graph of order $n$ containing a cycle. Then $\gamma_{\mathrm{r}}(G)=$ $n-2$ if and only if $G$ is $C_{4}$ or $C_{5}$ or $G$ can be obtained from $C_{3}$ by attaching zero or more leaves to at most two of the vertices of the cycle.

Proof. If $G$ is $C_{4}$ or $C_{5}$ or can be obtained from $C_{3}$ by attaching zero or more leaves to at most two of the vertices of the cycle, then it is easy to verify that $\gamma_{\mathrm{r}}(G)=n-2$.

Suppose, conversely, that $\gamma_{\mathrm{r}}(G)=n-2$. Then $G$ cannot have a cycle of length at least 6 , since if $v_{1}, v_{2}, v_{3}, v_{4}, v_{5}, v_{6}$ are consecutive vertices on a cycle of length at least 6 in $G$, then $V(G)-\left\{v_{1}, v_{2}, v_{4}, v_{5}\right\}$ is a restrained dominating set for $G$, which is a contradiction.

Case 1: $G$ contains either a 5 -cycle, or a 4 -cycle, containing the consecutive vertices $v_{1}, v_{2}, v_{3}$ and $v_{4}$. If one of the vertices, say $v_{2}$, has a neighbor other than $v_{1}$ and $v_{3}$, then $V(G)-\left\{v_{1}, v_{2}, v_{3}\right\}$ is a restrained dominating set of $G$, which is a contradiction. Hence, $G \cong C_{4}$ or $G \cong C_{5}$.

Case 2: $G$ contains the triangle, $v_{1}, v_{2}, v_{3}, v_{1}$. If each of $v_{1}, v_{2}$ and $v_{3}$ has neighbors not on the cycle, then $V(G)-\left\{v_{1}, v_{2}, v_{3}\right\}$ is a restrained dominating set of $G$, which is a contradiction. Without loss of generality, assume $v_{1}$ has no neighbors except $v_{2}$ and $v_{3}$.

If $v_{2}$ (or $v_{3}$ ) has a neighbor not on the cycle, say $u_{2}$, which is adjacent to another vertex, say $w_{2}$, not on the cycle, then $V(G)-\left\{v_{1}, v_{2}, u_{2}\right\}$ is a restrained dominating set of size $n-3$, which is a contradiction. Hence, any vertex on the cycle can only be adjacent to degree one vertices not on the cycle.

Corollary 11. If $G$ is a graph of order $n$, then $\gamma_{\mathrm{r}}(G)=n$ if and only if $G$ is a disjoint union of stars and isolated vertices. Furthermore, $\gamma_{\mathrm{r}}(G)=n-2$ if and only if exactly one of the components of $G$ is isomorphic to a graph given in Theorems 9 or 10 and every other component is a star or $P_{1}$.

The following result is immediate.

Proposition 12. If $G$ is a graph, then $\gamma_{\mathrm{r}}(G)=1$ if and only if $G \cong K_{1}+H$ where $H$ is a graph with no isolated vertices.

We close this section by providing a lower bound for the restrained domination number of a tree.

Theorem 13. If $T$ is a tree of order $n \geqslant 3$, then $\gamma_{\mathrm{r}}(T) \geqslant \Delta(T)$. Furthermore, $\gamma_{\mathrm{r}}(T)=\Delta(T)$ if and only if $T$ is a wounded spider which is not a star.

Proof. Let $T$ be a tree of order $n \geqslant 3$. Since $T$ has at least $\Delta(T)$ leaves and any restrained dominating set must contain all the leaves, $\gamma_{\mathrm{r}}(T) \geqslant \Delta(T)$. Clearly, for any wounded spider $T$ which is not a star, we have $\gamma_{\mathrm{r}}(T)=\Delta(T)$. So suppose $T$ is a tree for which $\gamma_{\mathrm{r}}(T)=\Delta(T)$. Let $v$ be a vertex in $T$ of degree $\Delta(T)$ and let $S$ be $\gamma_{\mathrm{r}}$-set of $T$. Clearly, $S$ must contain all the leaves of $T$ and hence is precisely the set of all leaves. Suppose $V$ is the vertex set of $T$. Then $|V-S| \geqslant 2$ and each vertex $x \in V-S$ is adjacent to at least one $x^{\prime} \in S$ such that $x \neq y$ implies $x^{\prime} \neq y^{\prime}$. So, each vertex in $V-S-\{v\}$ is adjacent to $v$ and exactly one vertex in $S$. Hence, $T$ is a wounded spider which is not a star.

## 3. A Nordhaus-Gaddum-type result

Nordhaus and Gaddum provided best possible bounds on the sum of the chromatic numbers of a graph and its complement in [5]. A corresponding result for the domination number was presented by Jaeger and Payan [3]: if $G$ is a graph of order $n \geqslant 2$, then $3 \leqslant \gamma(G)+\gamma(\bar{G}) \leqslant n+1$. An improved upper bound is due to Joseph and Arumugam [4]: if $G$ is a graph of order $n$ such that neither $G$ nor $\bar{G}$ has isolated vertices, then $\gamma(G)+\gamma(\bar{G}) \leqslant(n+4) / 2$.

We now prove best possible bounds on the sum of the restrained domination numbers of a graph and its complement.

Theorem 14. If $G$ is a graph of order $n \geqslant 2$, then $4 \leqslant \gamma_{\mathrm{r}}(G)+\gamma_{\mathrm{r}}(\bar{G})$. If $G$ is a graph of order $n \geqslant 2$ such that $G \not \not P_{3}$ and $\bar{G} \not \not P_{3}$, then $\gamma_{\mathrm{r}}(G)+\gamma_{\mathrm{r}}(\bar{G}) \leqslant n+2$. Furthermore, these bounds are best possible.

Proof. For the lower bound, we only need to show that if $\gamma_{\mathrm{r}}(G)=1$, then $\gamma_{\mathrm{r}}(\bar{G}) \geqslant 3$. Suppose $\gamma_{\mathrm{r}}(G)=1$. Then, by Proposition $12, G \cong K_{1}+H$, where $H$ is graph without any isolated vertices. If $\gamma_{\mathrm{r}}(\bar{H})=1$, then, by Proposition $12, \bar{H} \cong K_{1}+H^{\prime}$, where $H^{\prime}$ has no isolated vertices. This, however, implies that $H$ has an isolated vertex, which is a contradiction. Thus, $\gamma_{\mathrm{r}}(\bar{H}) \geqslant 2$ and, therefore, $\gamma_{\mathrm{r}}(\bar{G}) \geqslant 3$.

Next we prove the upper bound. The cases when $n=2$ or 3 are easy. Assume, therefore, $n \geqslant 4$. Since the complement of a disconnected graph is connected, we may assume that $G=(V, E)$ is connected. Choose $u v \in E$ and let $N=N_{G}(u) \cap N_{G}(v), A=$ $V-N-\{u, v\}$ and $I=\left\{w \mid w\right.$ is an isolated vertex in $\left.\langle A\rangle_{\bar{G}}\right\}$.

Case 1: $|I| \leqslant 1$ and $N=\emptyset$. If $I=\emptyset$, then $\{u, v\}$ is a restrained dominating set of $\bar{G}$ and the upper bound holds. Assume, therefore, that $|I|=1$. Then there is a vertex, say $w$, in $(N(u)-\{v\}) \cup(N(v)-\{u\})$ such that $\operatorname{deg}(w) \geqslant 2$. Suppose, without loss of generality, that $w$ is adjacent to $u$. It follows that $V-\{u, w\}$ is a restrained dominating set of $G$, while $\{u, v\} \cup I$ is a restrained dominating set of $\bar{G}$. Thus, $\gamma_{\mathrm{r}}(G)+\gamma_{\mathrm{r}}(\bar{G}) \leqslant$ $(n-2)+2+|I| \leqslant n+1<n+2$.

Case 2: $|I| \leqslant 1$ and $N \neq \emptyset$. Since $A \cup\{u\}$ is a restrained dominating set of $G$ and $\{u, v\} \cup N \cup I$ is a restrained dominating set of $\bar{G}$, we have $\gamma_{\mathrm{r}}(G)+\gamma_{\mathrm{r}}(\bar{G}) \leqslant(|A|+1)+$ $(2+|N|+|I|)=(|A|+|N|+2)+1+|I| \leqslant n+2$.

Case 3: $|I| \geqslant 2$, say $u^{\prime}, v^{\prime} \in I$. Note that since $u^{\prime}\left(v^{\prime}\right.$, respectively) is in $I$, then in $G$, the vertex $u^{\prime}\left(v^{\prime}\right.$, respectively) is adjacent to every vertex in $A-\left\{u^{\prime}\right\}\left(A-\left\{v^{\prime}\right\}\right.$, respectively). Choose an edge $e=x y$ in $G$ with $x \in N \cup\{u, v\}$ and $y \in A$. Choose one vertex $w$ in $\{u, v\}-\{x\}$ and one vertex $w^{\prime}$ in $\left\{u^{\prime}, v^{\prime}\right\}-\{y\}$. Then $\left\{w, w^{\prime}\right\}$ is a restrained dominating set of $G$. Again, $\gamma_{\mathrm{r}}(G)+\gamma_{\mathrm{r}}(\bar{G}) \leqslant 2+n$.

All that remains is to show that the lower and upper bounds are best possible.
That the lower bound is best possible, may be seen as follows. For $n=2$ or 3 , consider the complete graph $K_{n}$. For $n=4$, consider the path $P_{4}$. For $n \geqslant 5$, let $n_{1}$ and $n_{2}$ be integers such that $\min \left\{n_{1}, n_{2}\right\} \geqslant 2$ and $n_{1}+n_{2}=n-1$. Let $\bar{H} \cong K_{n_{1}, n_{2}}$ and $G \cong K_{1}+H$. Then, by Propositions 3 and $12, \gamma_{\mathrm{r}}(G)=1, \gamma_{\mathrm{r}}(\bar{G})=3$ and $\gamma_{\mathrm{r}}(G)+\gamma_{\mathrm{r}}(\bar{G})=4$.

Since $\gamma_{\mathrm{r}}\left(K_{1, n-1}\right)+\gamma_{\mathrm{r}}\left(\bar{K}_{1, n-1}\right)=n+2$, our upper bound is best possible.

## 4. Complexity issues for $\gamma_{r}$

In this section several complexity results are given. A linear time algorithm is given which computes the value for $\gamma_{\mathrm{r}}(G)$ for any tree $T$. In the general case, however, the decision problem for $\gamma_{\mathrm{r}}(G)$ is NP-complete, even when restricted to bipartite and chordal graphs.

For the first result, a dynamic programming style algorithm is constructed using the methodology of Wimer [7].

We make use of the well-known fact that the class of (rooted) trees can be constructed recursively from copies of the single vertex $K_{1}$, using only one rule of composition, which combines two trees $\left(T_{1}, r_{1}\right)$ and $\left(T_{2}, r_{2}\right)$ by adding an edge between $r_{1}$ and $r_{2}$ and calling $r_{1}$ the root of the resulting larger tree. We denote this as follows: $\left(T, r_{1}\right)=\left(T_{1}, r_{1}\right) \circ\left(T_{2}, r_{2}\right)$.

In particular, if $S$ is a restrained dominating set of $T$, then $S$ splits into two subsets $S_{1}$ and $S_{2}$ according to this decomposition. We express this as follows: $(T, S)=$ $\left(T_{1}, S_{1}\right) \circ\left(T_{2}, S_{2}\right)$.

In order to construct an algorithm to compute $\gamma_{\mathrm{r}}(T)$ for any tree $T$, we characterize the classes of possible tree-subset pairs $(T, S)$ which can occur. For this problem there are four classes:
$[1]=\{(T, S) \mid r \in S, S$ is a restrained dominating set of $T\}$.
[2] $=\{(T, S) \mid r \notin S, S$ is a dominating set of $T, S$ is not a restrained dominating set of $T$, but is a restrained dominating set of $T-r\}$.
[3] $=\{(T, S) \mid r \notin S, S$ is a restrained dominating set of $T\}$.
[4] $=\{(T, S) \mid r \notin S, S$ is not a dominating set of $T$ but is a dominating set of $T-r$ and for all $x \notin S$, there exists $y \notin S$ such that $x y \in E(T)\}$.

|  | $[1]$ | $[2]$ | $[3]$ | $[4]$ |
| :--- | :--- | :--- | :--- | :--- |
| $[1]$ | $[1]$ | X | $[1]$ | $[1]$ |
| $[2]$ | $[2]$ | $[3]$ | $[3]$ | X |
| $[3]$ | $[3]$ | $[3]$ | $[3]$ | X |
| $[4]$ | $[3]$ | $[4]$ | $[4]$ | X |

Fig. 1.

Next, we must consider the effect of composing a tree $T_{1}$ having a set $S_{1}$ which is of class [ $i$ ] with a tree $T_{2}$ having a set which is of class [ $j$ ] for every possible combination of classes $1 \leqslant i, j \leqslant 4$. That is, we must describe the appropriate class of the combined set $S_{1} \cup S_{2}$ in the composed tree $T=T_{1} \circ T_{2}$. This is described in Fig. 1. An ' X ' in the table signifies that this composition cannot happen, that is, no set $S$ can ever decompose into two subsets $S_{1}$ and $S_{2}$ of the classes indicated.

From Fig. 1, we can now write out a system of recurrence relations, as follows:

$$
\begin{aligned}
& {[1]=[1] \circ[1] \cup[1] \circ[3] \cup[1] \circ[4],} \\
& {[2]=[2] \circ[1],} \\
& {[3]=[2] \circ[2] \cup[2] \circ[3] \cup[3] \circ[1] \cup[3] \circ[2] \cup[3] \circ[3] \cup[4] \circ[1],} \\
& {[4]=[4] \circ[2] \cup[4] \circ[3] .}
\end{aligned}
$$

To illustrate this, a tree-subset pair of class [1] can be read as follows: a tree-subset pair $(T, S)$ which is of class [1] can be obtained only by composing a pair ( $T_{1}, S_{1}$ ) of class [1] with a pair ( $T_{2}, S_{2}$ ) of class [1] or by composing a pair ( $T_{1}, S_{1}$ ) of class [1] with a pair $\left(T_{2}, S_{2}\right)$ of class [3] or by composing a pair ( $T_{1}, S_{1}$ ) of class [1] with a pair ( $T_{2}, S_{2}$ ) of class [4].

To prove the correctness of this dynamic programming algorithm for computing $\gamma_{\mathrm{r}}(T)$ for any tree $T$, we would have to prove a theorem asserting that each of these recurrences are correct. Space limitations prevent us from doing this here, but it is easy to do. It is even easier to verify the correctness of Fig. 1, which can be done by inspection. The final step in specifying a $\gamma_{\mathrm{r}}$-algorithm is to define the initial vector. In this case, for trees, the only basis graph is the tree with single vertex $K_{1}$. We need to know the minimum cardinality of a set $S$ in a class of type [1]-[4] in the graph $K_{1}$, if any exists. It is easy to see that the initial vector is $[1,-,-, 0]$ where '-' means undefined.

We now have all the ingredients for a $\gamma_{\mathrm{r}}$-algorithm, where the input is the parent array parent $[1 \ldots p]$ for the input tree and where the output is the 4 -tuple corresponding to the root (i.e. vertex 1) of $T$ which is computed repeatedly by applying the recurrence system to each vertex in the parent array, with the initial vector $[1,-,-, 0]$ being associated with every vertex in the parent array as the computation begins.

The basic structure for the algorithm is a simple iteration.

```
procedure }\mp@subsup{\gamma}{r}{}\mathrm{ ;
for i:=1 to p do
    initialize vector [i,1... 4] to [1,-,-,0];
for j:=p downto 2 do
begin
    k:= parent[j];
    vector[k,1]:= min{vector [k,1]+vector [j,1], vector [k,1]+vector [j,3],
                                    vector[k,1]+vector[j,4]};
    vector[k,2]:= vector[k,2]+vector[j,1];
    vector[k,3]:= min{vector [k,2]+vector [j,2], vector [k,2]+vector[j,3],
                vector[k,3]+vector[j,1], vector [k,3]+vector [j,2],
                vector[k,3]+vector[j,3], vector [k,4]+vector[j,1]};
    vector[k,4]:= min{vector [k,4]+vector[j,2], vector [k,4]+vector[j,3]};
end;
\gammar}(T):= min {vector [1,1], vector [1,3]}
end;{\mp@subsup{\gamma}{r}{}}.
```

It is clear that procedure $\gamma_{\mathrm{r}}$ has linear execution time.
To show that the decision problem for arbitrary graphs is NP-complete, we need to use a well-known NP-completeness result, called Exact Three Cover (X3C), which is defined as follows.

## EXACT COVER BY 3-SETS (X3C)

Instance. A finite set $X$ with $|X|=3 q$ and a collection $\mathscr{C}$ of 3-element subsets of $X$.

Question. Does $\mathscr{C}$ contain an exact cover for $X$, that is, a subcollection $\mathscr{C}^{\prime} \subseteq \mathscr{C}$ such that every element of $X$ occurs in exactly one member of $\mathscr{C}^{\prime}$ ? Note that if $\mathscr{C}^{\prime}$ exists, then its cardinality is precisely $q$.

Theorem 15 (Garey and Johnson [2]). X3C is NP-complete.

## RESTRAINED DOMINATING SET (RDS)

Instance. A graph $G=(V, E)$ and a positive integer $k \leqslant|V|$.
Question. Is there a restrained dominating set of cardinality at most $k$ ?

Theorem 16. RDS is NP-complete, even for bipartite graphs.

Proof. It is clear that RDS is in NP.
To show that RDS is an NP-complete problem, we will establish a polynomial transformation from X3C. Let $X=\left\{x_{1}, \ldots, x_{3 q}\right\}$ and $\mathscr{C}=\left\{C_{1}, \ldots, C_{m}\right\}$ be an arbitrary instance of X3C.

We will construct a bipartite graph $G$ and a positive integer $k$ such that this instance of X3C will have an exact three cover if and only if $G$ has a restrained dominating set of cardinality at most $k$.

We now describe the construction of $G$. Corresponding to each $x_{i} \in X$ associate the path $x_{i}, y_{i}, z_{i}$. Corresponding to each $C_{j}$ associate a $K_{2}$ with vertices $c_{j}$ and $d_{j}$. The construction of the bipartite graph $G$ is completed by joining $x_{i}$ and $c_{j}$ if and only if $x_{i} \in C_{j}$. Finally, set $k=m+4 q$.

Suppose $\mathscr{C}$ has an exact 3-cover, say $\mathscr{C}^{\prime}$. Then $\bigcup_{i=1}^{3 q}\left\{z_{i}\right\} \cup \bigcup_{j=1}^{m}\left\{d_{j}\right\} \cup\left\{c_{j} \mid C_{j} \in \mathscr{C}^{\prime}\right\}$ is a restrained dominating set of cardinality $m+4 q$. This construction can clearly be accomplished in polynomial time.

Suppose, conversely, that $D$ is a restrained dominating set of cardinality at most $m+4 q$. Then the vertices in the set $L$, defined by $\bigcup_{i=1}^{3 q}\left\{z_{i}\right\} \cup \bigcup_{j=1}^{m}\left\{d_{j}\right\}$, are all end vertices of $G$ and have to be in $D$. Hence, $|D|-|L| \leqslant(m+4 q)-(m+3 q)=q$. Let $I=\left\{i \in\{1, \ldots, 3 q\} \mid x_{i} \in D\right.$ or $\left.y_{i} \in D\right\}$ and let $J=\left\{j \in\{1, \ldots, m\} \mid c_{j} \in D\right\}$. Then, since $D$ is a dominating set of $G,\left(\bigcup_{i \in I}\left\{x_{i}, y_{i}\right\} \cup \bigcup_{j \in J} N\left[c_{j}\right]\right) \cap\left\{x_{1}, \ldots, x_{3 q}\right\} \supseteq\left\{x_{1}, \ldots, x_{3 q}\right\}$. We conclude that $|I|+3|J| \geqslant 3 q$. Also, $|I|+|J| \leqslant|D|-|L| \leqslant q$. Hence, $3|I|+3|J| \leqslant|I|+3|J|$, so that $I=\emptyset$. We conclude that $x_{i}, y_{i} \notin D$ for $i=1, \ldots, 3 q$. Since $x_{i}, i=1, \ldots, 3 q$, is dominated by $D$, we must have that $|J|=q$ and that $\mathscr{C}^{\prime}=\left\{C_{j} \mid j \in J\right\}$ is an exact cover for $X$.

Theorem 17. RDS is NP-complete, even for chordal graphs.

Proof. The proof is similar to the proof of Theorem 16, except that edges are added so that $\left\{c_{1}, \ldots, c_{m}\right\}$ forms a clique.

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