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# Some structural properties of planar graphs and their applications to 3-choosability $\!\!\!\!\!^{\star}$

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#### ABSTRACT

In this article, we consider planar graphs in which each vertex is not incident to some cycles of given lengths, but all vertices can have different restrictions. This generalizes the approach based on forbidden cycles which corresponds to the case where all vertices have the same restrictions on the incident cycles. We prove that a planar graph *G* is 3-choosable if it is satisfied one of the following conditions:

- (1) *G* has no cycles of length 4 or 9 and no 6-cycle is adjacent to a 3-cycle. Moreover, for each vertex *x*, there exists an integer  $i_x \in \{5, 7, 8\}$  such that *x* is not incident to cycles of length  $i_x$ .
- (2) *G* has no cycles of length 4, 7, or 9, and for each vertex *x*, there exists an integer  $i_x \in \{5, 6, 8\}$  such that *x* is not incident to cycles of length  $i_x$ .

This result generalizes several previously published results (Zhang and Wu, 2005 [12], Chen et al., 2008 [3], Shen and Wang, 2007 [6], Zhang and Wu, 2004 [13], Shen et al., 2011 [7]). © 2011 Elsevier B.V. All rights reserved.

#### 1. Introduction

Only simple graphs are considered in this paper unless otherwise stated. A *plane graph* is a particular drawing of a planar graph in the euclidean plane. For a plane graph *G*, we denote its vertex set, edge set, face set and minimum degree by V(G), E(G), F(G) and  $\delta(G)$ , respectively. A *proper vertex coloring* of *G* is an assignment *c* of integers (or labels) to the vertices of *G* such that  $c(u) \neq c(v)$  if the vertices *u* and *v* are adjacent in *G*. A graph *G* is *L*-list colorable if for a given list assignment  $L = \{L(v) : v \in V(G)\}$  there is a proper coloring *c* of the vertices such that  $\forall v \in V(G), c(v) \in L(v)$ . If *G* is *L*-list colorable for every list assignment with  $|L(v)| \geq k$  for all  $v \in V(G)$ , then *G* is said to be *k*-choosable.

Thomassen [8] proved that every planar graph is 5-choosable, whereas Voigt [9] proved that there exist planar graphs which are not 4-choosable. On the other hand, in 1976, Steinberg conjectured that every planar graph without cycles of lengths 4 and 5 is 3-colorable (see Problem 2.9 [5]). This conjecture remains widely open. In 1990, Erdős suggested the following relaxation of Steinberg's conjecture: what is the smallest integer *i* such that every graph without *j*-cycles for  $4 \le j \le i$  is 3-colorable. The best known upper bound is  $i \le 7$  [2]. It is natural to ask the same question for choosability:

**Problem 1.** What is the smallest integer *i* such that every graph without *j*-cycles for  $4 \le j \le i$  is 3-choosable?

Voigt [10] proved that it is not possible to extend Steinberg's conjecture to list coloring: she gave a planar graph without 4-cycles and 5-cycles which is not 3-choosable; hence  $i \ge 6$ . The best known upper bound is  $i \le 9$ : this bound is obtained by using a structural lemma of Borodin [1].

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**Lemma 1** ([1]). Let *G* be a planar graph with minimum degree at least 3. If *G* does not contain cycles of lengths 4to 9, then *G* contains a 10-face incident to ten 3-vertices and adjacent to five 3-faces.

It follows by Erdős et al. [4] that every planar graph without cycles of lengths 4 to 9 is 3-choosable. Zhang and Wu [12] improved Borodin's result by proving that:

**Lemma 2** ([12]). Let *G* be a planar graph with minimum degree at least 3. If *G* does not contain cycles of lengths 4, 5, 6, and 9, then *G* contains a 10-face incident to ten 3-vertices and adjacent to five 3-faces.

It implies that every planar graph without cycles of lengths 4, 5, 6, 9 is 3-choosable. Chen et al. [3] proved that every planar graph without cycles of lengths 4, 6, 7, 9 is 3-choosable. Their result is based on the following lemma:

**Lemma 3** ([3]). Let *G* be a planar graph with minimum degree at least 3. If *G* contains neither cycles of lengths 4, 7, 9, nor 6-cycle with a chord, then *G* contains a 10-face incident to ten 3-vertices or an 8-face incident to eight 3-vertices.

Shen and Wang [6] proved that every planar graph without cycles of lengths 4, 6, 8, 9 is 3-choosable by showing that:

**Lemma 4** ([6]). Let *G* be a planar graph with minimum degree at least 3. If *G* does not contain cycles of lengths 4, 6, 8, and 9, then *G* contains a 10-face incident to ten 3-vertices.

Moreover every planar graph without cycles of lengths 4, 5, 7, 9 (resp. 4, 5, 8, 9, and 4, 7, 8, 9) is 3-choosable [13] (resp. [11,7]).

In this article, we consider planar graphs in which each vertex is not incident to some cycles of given lengths, but all vertices can have different restrictions. This generalizes the approach based on forbidden cycles which corresponds to the case where all vertices have the same restrictions on the incident cycles. Let us introduce some notations which will allow to present our main result.

Some notations. For  $x \in V(G) \cup F(G)$ , let  $d_G(x)$ , or simply d(x), denote the degree of x in G. A k-vertex,  $k^-$ -vertex, or  $k^+$ -vertex is a vertex of degree k, at most k, or at least k. Similarly, we can define k-face,  $k^-$ -face,  $k^+$ -face, etc. We say that two cycles (or faces) are *incident* if they share at least one common vertex. Suppose that f and f' are two adjacent faces by sharing a common edge e. We say that f and f' are normally adjacent if  $|V(f) \cap V(f')| = 2$ . For a face  $f \in F(G)$ , we use b(f) to denote the boundary walk of f and write  $f = [u_1u_2 \cdots u_n]$  if  $u_1, u_2, \ldots, u_n$  are the vertices of b(f) appearing in a boundary walk of f. The degree of a face is the number of edge-steps in its boundary walk. Note that each cut-edge is counted twice. A face f is simple if b(f) forms a cycle. A triangle is synonymous with a 3-face.

A vertex or edge is called *triangular* if it is contained in a 3-face. A cycle *C* or a face *f* is called *nontriangular* if it is not adjacent to any 3-cycles. We say that an *i*-face *f* is an *i*\*-*face* if *f* is adjacent to exactly one 3-face and they are normally adjacent. Moreover, we call such an *i*\*-face *heavy*. Similarly, we say that an *i*-cycle *C* is an *i*\*-*cycle* if *C* is adjacent to exactly one 3-cycle and they are normally adjacent. Similarly, we call such an *i*\*-cycle *heavy*. Two *i*\*-cycles (or *i*\*-faces) are *normally adjacent* if these two *i*-cycles (or *i*-faces) are normally adjacent. Suppose that *v* is a 4-vertex incident to two non-adjacent cycles  $C_1$  and  $C_2$  (or faces  $f_1$  and  $f_2$ ). We say that  $C_1$  and  $C_2$  (or  $f_1$  and  $f_2$ ) are opposite by the vertex *v*.

An orchid is a simple 6-face incident to six 3-vertices and normally adjacent to a 3-face. A sunflower is a simple 8-face incident to eight 3-vertices and adjacent to at least seven 5-faces. A lotus is a simple 10-face f incident to ten 3-vertices and adjacent to five clusters that are mutually disjoint with respect to f, where a cluster is either a 3-face, or a 5-face, or a 6<sup>\*</sup>-face (see Fig. 1). Here we say that two clusters, i.e.,  $f_1, f_2$ , are mutually disjoint with respect to f if b(f) does not contain two consecutive edges  $e_1, e_2$  such that  $e_i \in b(f_i)$  for each i = 1, 2. We should point out that none of orchids, sunflowers and lotus has an external chords in our definition. The following theorem is our main result which implies Lemmas 1–4.

**Theorem 1.** Let *G* be a plane graph with minimum degree at least 3 and *G* does not contain 4-cycles and 9-cycles. If *G* further satisfies the following structural properties:

- (C1) a 5-cycle or 6-cycle is adjacent to at most one 3-cycle;
- (C2) a 5\*-cycle is neither adjacent to a 5\*-cycle normally, nor adjacent to an i-cycle with  $i \in \{7, 8\}$ ;
- (C3) a 6\*-cycle is neither adjacent to a 6-cycle, nor incident to an i-cycle C with  $i \in \{3, 5\}$ , where C is opposite to such a 6\*-cycle by a 4-vertex;
- (C4) a nontriangular 7-cycle is not adjacent to two 5-cycles which are normally adjacent;
- (C5) a 7\*-cycle is neither adjacent to a 5-cycle nor a 6\*-cycle.

Then G contains an orchid or a sunflower or a lotus.

We obtain the following Corollaries 1 and 2 by Theorem 1.

**Corollary 1.** Let G be a planar graph. Suppose G has no cycles of length 4 or 9 and no 6-cycle is adjacent to a 3-cycle. Moreover, for each vertex x, there exists an integer  $i_x \in \{5, 7, 8\}$  such that x is not incident to cycles of length  $i_x$ . Then G is 3-choosable.

**Corollary 2.** Let G be a planar graph. Suppose G has no cycles of length 4, 7, or 9, and for each vertex x, there exists an integer  $i_x \in \{5, 6, 8\}$  such that x is not incident to cycles of length  $i_x$ . Then G is 3-choosable.



Assuming Theorem 1, we can easily prove Corollaries 1 and 2.

**Proofs of Corollaries 1 and 2.** Suppose that G<sub>1</sub>, G<sub>2</sub> are plane presentations of the counterexamples to Corollary 1, Corollary 2 with the smallest number of vertices, respectively. Thus,  $G_i$  is connected (i = 1, 2). Obviously, for each  $i \in \{1, 2\}$ , we observe that  $\delta(G_i) > 3$ . Otherwise, let  $u_i$  be a vertex of minimum degree in  $G_i$ . By the minimality of  $G_i$ ,  $G_i - u_i$  is 3-choosable. Obviously, we can extend any L-coloring such that  $\forall x \in V(G) : |L(x)| \geq 3$  of  $G_i - u_i$  to  $G_i$  and ensure that  $G_i$  is 3-choosable. Next, in each case, we will show that each  $G_i$  contains either an orchid, or a sunflower, or a lotus. Denote  $N_{A_i}$  $N_B$ ,  $N_C$  be the set of black vertices of (A)–(C) in Fig. 1, respectively. Since even cycles are 2-choosable, for each  $i \in \{A, B, C\}$ , one can easily observe that we can extend any L-coloring such that for all  $x \in V(G)$ : |L(x)| > 3 of  $G_i - N_i$  to  $N_i$  and make sure that  $G_1$  is 3-choosable. Thus,  $G_1$  and  $G_2$  are both 3-choosable, which are contradictions.

Since  $G_i$  does not contain 4-cycles and 9-cycles, we only need to verify if  $G_i$  satisfies all the structural properties (C1)-(C5), where  $i \in \{1, 2\}$ .

- (1) For  $G_1$ , since each vertex x is not incident to 6-cycles adjacent to a 3-cycle, each 5-cycle or 6-cycle only can be nontriangular cycles. This implies that there is neither  $5^*$ -face nor  $6^*$ -face in  $G_1$ . Thus, (C1)–(C3) are satisfied. If one of (C4) or (C5) is not satisfied, then in both cases there appears a vertex x which is incident to an *i*-cycle for all  $i \in \{5, 7, 8\}$ , which contradicts the assumption on  $G_1$ .
- (2) For  $G_2$ , because it does not contain 7-cycles, we confirm that there is no 6\*-cycle and 7\*-cycle in  $G_2$ . Thus, we only need to check properties (C1) and (C2). It is easy to establish a 7-cycle or a 4-cycle if a 5-cycle or 6-cycle is adjacent to at least two 3-cycles. Thus, (C1) is satisfied. Let us check (C2). Suppose a 5\*-cycle is normally adjacent to another 5\*-cycle or is adjacent to an *i*-cycle with  $i \in \{7, 8\}$ . Since  $G_2$  has no 7-cycles, in both cases there exists a vertex incident to a 5-cycle, a 6-cycle and an 8-cycle, which is a contradiction.

This completes the proofs of Corollaries 1 and 2.  $\Box$ 

By Corollary 1, it is easy to deduce Corollary 3:

**Corollary 3.** Every planar graph G in which every vertex v is not incident to cycles of lengths 4, 6, 9,  $i_x$  with  $i_x \in \{5, 7, 8\}$  is 3-choosable.

Thus, by Corollaries 2 and 3, we deduce Corollary 4 which covers five results mentioned before [12,3,6,13,7].

**Corollary 4.** Every planar graph G without  $\{4, i, j, 9\}$ -cycles with  $5 \le i \le j \le 8$  and  $(i, j) \ne (5, 8)$  is 3-choosable. Section 2 is dedicated to the proof of Theorem 1.

#### 2. Proof of Theorem 1

Let G be a counterexample to Theorem 1, i.e., an embedded plane graph G with  $\delta(G) \geq 3$ , no cycles of lengths 4 and 9, satisfying the structural properties (C1)-(C5), and containing no orchid, no sunflower, and no lotus (i.e., none of the configurations depicted by Fig. 1).

2.1. The case G is 2-connected

First, we suppose that G is 2-connected. Thus, every face in G is simple. Besides, the following assertions (O1)-(O7) hold naturally by the assumption of G.

(O1) A 5-face or a 6-face is adjacent to at most one 3-face;

(02) A 5\*-face is neither adjacent to a 5\*-face normally, nor adjacent to an *i*-face with  $i \in \{7, 8\}$ ;

- (O3) A 6\*-face is neither adjacent to a 6-face, nor incident to an *i*-face *f* with  $i \in \{3, 5\}$ , where *f* is opposite to such a 6\*-face by a 4-vertex;
- (04) A nontriangular 7-face is not adjacent to two 5-faces which are normally adjacent (there is no 3-vertex incident to a nontriangular 7-face and to two 5-faces);
- (05) A 7\*-face is neither adjacent to a 5-face nor a  $6^*$ -face;
- (O6) G does not contain 4-faces and 9-faces;
- (O7) Each vertex *v* is incident to at most  $\left| \frac{d(v)}{2} \right|$  3-faces.

#### 2.1.1. Structural properties of G

In this section, we will show that the following additional properties hold:

#### **Claim 1.** For some fixed $i \in \{5, 6, 7, 8\}$ , if an i-face is adjacent to a 3-face, then they are normally adjacent.

**Proof.** Suppose the claim is false. Let  $f_i = [v_1v_2 \cdots v_i]$  be an *i*-face and  $f_2 = [v_1v_2u]$  be a 3-face such that  $f_1$  is adjacent to  $f_2$  and  $|V(f_1) \cap V(f_2)| = 3$ . It means that u is equal to some  $v_j$  with  $j \in \{3, 4, \dots, i\}$ . According to the value of i, one can easily observe that if u is a vertex  $v_j$  with  $3 \le j \le i$ , then G contains either a 2-vertex or a 4-cycle, a contradiction. This completes the proof of Claim 1.  $\Box$ 

Since G does not contain 9-cycles, we obtain the following Claims 2 and 3 easily by Claim 1:

Claim 2. Each 7-face is adjacent to at most one 3-face.

Claim 3. No 8-face is adjacent to a 3-face.

Claim 4. If two 5-faces are adjacent to each other, then they can only be normally adjacent.

**Proof.** Suppose that there are two adjacent 5-faces  $f_1 = [v_1v_2 \cdots v_5]$  and  $f_2 = [v_1v_2uvw]$  with  $v_1v_2$  as a common edge. If  $|V(f_1) \cap V(f_2)| = 2$ , then Claim 4 follows. Otherwise, by symmetry, we only need to consider the following cases. If  $w = v_5$ , then  $d(v_1) = 2$  which is impossible. If  $w = v_4$ , then *G* contains a 4-cycle  $v_1v_2v_3v_4v_1$ , a contradiction. This implies  $u \notin b(f_1)$  and  $w \notin b(f_1)$ . If  $v = v_5$  or  $v = v_4$ , then a 4-cycle  $uv_2v_1v_5u$  or  $wv_1v_5v_4w$  can be easily established, a contradiction, that completes the proof of Claim 4.  $\Box$ 

Claim 5. A nontriangular 5-face cannot be adjacent to a 5\*-face in G.

**Proof.** Suppose on the contrary that a nontriangular 5-face  $f_1 = [v_1v_2 \cdots v_5]$  is adjacent to a 5\*-face  $f_2 = [v_1v_2u_3u_4u_5]$  by a common edge  $v_1v_2$ . By definition,  $f_1$  is not adjacent to any 3-face. By Claim 4, each  $u_i$  cannot be equal to some  $v_j$  with  $i, j \in \{3, 4, 5\}$ . By symmetry, we have to handle the following two properties:

- Assume that  $v_1u_5u$  is a 3-face. By Claim 1,  $u \neq v_2$ ,  $u_3$ ,  $u_4$ . Moreover,  $u \neq v_5$  by choice of  $f_1$ . If  $u = v_4$  or  $u = v_3$ , then G contains a 4-cycle, which is impossible. Thus, u does not belong to  $b(f_1) \cup b(f_2)$  and G contains a 9-cycle  $uv_1v_5v_4v_3v_2u_3u_4u_5u$ , a contradiction.
- Assume that  $u_5u_4u$  is a 3-face. Notice that  $u \neq v_1$ ,  $v_2$ ,  $u_3$  by Claim 1. If  $u = v_3$  or  $v_4$  or  $v_5$ , then *G* contains a 4-cycle which is impossible. Thus, *u* does not belong to  $b(f_1) \cup b(f_2)$  and *G* contains a 9-cycle  $uu_5v_1v_5v_4v_3v_2u_3u_4u$ , a contradiction, that completes the proof of Claim 5.  $\Box$

By Claim 4 and assertion (O2), we have:

**Claim 6.** There is no adjacent two 5\*-faces in *G*.

Claim 7. No 3-vertex is incident to three 5-faces.

**Proof.** Assume to the contrary that *G* contains a 3-vertex *u* adjacent to three vertices  $v_1$ ,  $v_2$ ,  $v_3$  and incident to three 5-faces  $f_1 = [uv_1x_1x_2v_2]$ ,  $f_2 = [uv_2y_1y_2v_3]$ , and  $f_3 = [uv_3z_1z_2v_1]$ . By Claim 4,  $f_i$  and  $f_j$  are normally adjacent for each pair  $\{i, j\} \subset \{1, 2, 3\}$ . It implies that all vertices in  $(V(f_1) \cup V(f_2) \cup V(f_3)) \setminus \{u\}$  are mutually distinct. However, a 9-cycle  $v_1x_1x_2v_2y_1y_2v_3z_1z_2v_1$  is established, contradicting the assumption on *G*. Thus, we complete the proof of Claim 7.

Claim 8. Under isomorphism, a 6-face can be adjacent to a 5-face in an unique way as depicted by Fig. 2.

**Proof.** Assume that a 6-face  $f_1 = [v_1v_2 \cdots v_6]$  is adjacent to a 5-face  $f_2 = [v_1v_2uvw]$  with  $v_1v_2$  as a common edge. We first suppose that  $u, w \notin V(f_1)$ . By the absence of 4-cycles in *G*, we deduce that  $v \neq v_3$  and  $v \neq v_4$ . Otherwise, there is a 4-cycle either  $wv_1v_2v_3w$  or  $uv_4v_3v_2u$ . So by symmetry, we have that  $v \notin \{v_5, v_6\}$ . However, one can easily check that a 9-cycle  $v_2v_3v_4v_5v_6v_1wvuv_2$  is established, which is a contradiction.

- Now, w.l.o.g., we may suppose that  $w \in V(f_1)$ . The following argument is divided into four cases.
- Assume that  $w = v_6$ . Then  $v_1$  is a 2-vertex, which is a contradiction.
- Assume that  $w = v_5$ . Obviously,  $u \neq v_3$  and  $u \neq v_4$ . Otherwise, either  $d(v_2) = 2$  or a 4-cycle  $v_1wuv_2v_1$  is established, which are both contradictions. So we may suppose that  $u \notin V(f_1)$ . If  $v = v_3$ , then a 4-cycle  $wv_1v_2vw$  is formed. If  $v = v_4$ , then a 4-cycle  $vv_3v_2uv$  is formed. A contradiction is always obtained, which implies that  $v \notin V(f_1)$  and thus we are done, see Fig. 2.



**Fig. 2.** A 6-face  $f_1$  is adjacent to a 5-face  $f_2$ .



**Fig. 3.** A 3-vertex *u* incident to two 5-faces  $f_1$  and  $f_2$  and to one 6-face  $f_3$ .

- Assume that  $w = v_4$ . Then a 4-cycle  $v_1v_6v_5wv_1$  is constructed, which is impossible.
- Assume that  $w = v_3$ . Since G is the plane graph, we see that  $u, v \notin V(f_1)$ . However,  $v_2uvwv_2$  is a 4-cycle, which is a contradiction.

This completes the proof of Claim 8.  $\Box$ 

#### Claim 9. No 3-vertex is incident to two 5-faces and one 6-face.

**Proof.** Suppose the claim is not true. We assume that there exists a 3-vertex u adjacent to three vertices  $v_1$ ,  $v_2$ ,  $v_3$  and incident to two 5-faces  $f_1 = [uv_1x_1x_2v_2]$ ,  $f_2 = [uv_2y_1y_2v_3]$ , and one 6-face  $f_3 = [uv_3z_1z_2z_3v_1]$ , see Fig. 3.

By Claim 8,  $z_2 = y_2 = x_1$ . Hence a 4-cycle  $z_2v_1uv_3z_2$  exists which is a contradiction. Thus, we complete the proof of Claim 9.  $\Box$ 

#### Claim 10. No 3-vertex is incident to one 5-face and two 6-faces.

**Proof.** Suppose to the contrary that there exists a 3-vertex *u* adjacent to three vertices  $v_1$ ,  $v_2$ ,  $v_3$  and incident to two 6-faces  $f_1 = [uv_3y_1y_2y_3v_1]$ ,  $f_2 = [uv_2z_1z_2z_3v_3]$ , and one 5-face  $f_3 = [uv_1x_1x_2v_2]$ , see Fig. 4. By Claim 8, we see that  $f_1$  and  $f_3$  can only be adjacent to each other in an unique way as depicted by Fig. 2. One can easily observe that  $x_1 = y_2$  or  $v_2 = y_1$ . Next, we will make use of contradictions to show that  $f_2$  cannot exist in *G*. We have to deal with the following two cases. *Case* 1.  $x_1 = y_2$ .

For simplicity, denote  $x^* = x_1 = y_2$ . By Claim 8, we see that  $x_2 = z_2$ . It is easy to see that a 5-face  $x^*v_1uv_2x_2x^*$  adjacent to two 3-cycles  $x^*y_3v_1x^*$  and  $v_2z_1x_2v_2$  is produced. This contradicts (C1).

*Case* 2. 
$$v_2 = y_1$$
.

Clearly,  $uv_3y_1$  is a 3-cycle which is not a 3-face. For simpleness, let  $y^* = v_2 = y_1$ . Obviously,  $\{z_1, z_2, z_3\} \cap \{y_2, y_3, x_1, x_2\} = \emptyset$  since *G* is a plane graph. However, a 9-cycle  $y^*z_1z_2z_3v_3uv_1x_1x_2y^*$  is easily established, which is impossible. This completes the proof of Claim 10.  $\Box$ 

#### **Claim 11.** No 6\*-face is adjacent to a 5-face in G.

**Proof.** Suppose on the contrary that there exists a 6-face  $f_1 = [v_1v_2 \cdots v_6]$  adjacent to a 5-face  $f_2 = [v_1v_2uvw]$  by a common edge  $v_1v_2$ . By Claim 8,  $f_1$  and  $f_2$  can only be adjacent in an unique way depicted by Fig. 2, which means that  $w = v_5$ . Note that  $f_1$  is adjacent to a 3-cycle  $v_1v_5v_6v_1$  which is not a 3-face. Thus,  $f_1$  cannot be adjacent to any other 3-face by (C1), which means that  $f_1$  cannot be a 6\*-face. This completes the proof of Claim 11.  $\Box$ 

By (C1), similarly as the proof of Claim 11 we have:

**Claim 12.** No 5\*-face is adjacent to a 6-face in G.



**Fig. 4.** A 3-vertex *u* incident to one 5-face  $f_3$  and two 6-faces  $f_1$  and  $f_2$ .

Furthermore, (O3) implies the following claim:

#### **Claim 13.** There is no adjacent 6<sup>\*</sup>-faces in G.

By Claims 5, 6, 12, (O2) and (O6), we easily obtain the following claim:

**Claim 14.** No  $5^*$ -face is adjacent to an i-face in *G*, where  $i \in \{4, \ldots, 9\}$ .

#### 2.1.2. Discharging argument

We now use a discharging procedure. First we define a weight function  $\omega$  on the vertices and faces of *G* by letting  $\omega(v) = 2d(v) - 6$  if  $v \in V(G)$  and  $\omega(f) = d(f) - 6$  if  $f \in F(G)$ . It follows from Euler's formula |V(G)| - |E(G)| + |F(G)| = 2 and the relation  $\sum_{v \in V(G)} d(v) = \sum_{f \in F(G)} d(f) = 2|E(G)|$  that the total sum of weights of the vertices and faces is equal to

$$\sum_{v \in V(G)} (2d(v) - 6) + \sum_{f \in F(G)} (d(f) - 6) = -12.$$
(1)

We shall design appropriate discharging rules and redistribute weights accordingly. Once the discharging is finished, a new weight function  $\omega^*$  is produced. The total sum of weights is kept fixed when the discharging is in process. Nevertheless, after the discharging is complete, the new weight function satisfies  $\omega^*(x) \ge 0$  for all  $x \in V(G) \cup F(G)$ . This leads to the following obvious contradiction,

$$-12 = \sum_{x \in V(G) \cup F(G)} \omega(x) = \sum_{x \in V(G) \cup F(G)} \omega^*(x) \ge 0$$

and hence demonstrates that no such counterexample G exists.

Before stating the discharging rules, we first give some notations which will be used frequently in the following argument. For  $x, y \in V(G) \cup F(G)$ , let  $\tau(x \to y)$  denote the amount of weights transferred from x to y. For a vertex  $v \in V(G)$  and for an integer  $i \ge 5$ , let  $m_3(v)$ ,  $m_i(v)$ , and  $m_{i^*}(v)$  denote the number of 3-faces, nontriangular *i*-faces, and heavy *i*-faces incident to v, respectively. Furthermore, we denote  $M_i(v) = m_i(v) + m_{i^*}(v)$  and call a face f a non-3-face if  $d(f) \neq 3$ .

For simplicity, we say an edge uv a  $(b_1, b_2)$ -edge if  $d(u) = b_1$  and  $d(v) = b_2$ . Let  $f_1 = [xuvy \cdots]$  and  $f_2 = [zuvt \cdots]$  be two faces adjacent to each other by a common edge uv, where  $f_1$  is a 7<sup>+</sup>-face while  $f_2$  is a 5- or 5<sup>\*</sup>- or 6<sup>\*</sup>-face. If both zu and vt are non-triangular edges of  $f_2$ , then we call uv a good common edge. We further say such uv a good common  $(b_1, b_2)$ -edge if uv is a  $(b_1, b_2)$ -edge.

The discharging rules are defined as follows (see Fig. 5):

(R1) Each  $5^+$ -face sends 1 to each adjacent 3-face.

(R2) Let v be a 4-vertex.

- (R2a) If  $m_3(v) = 2$ , then for each non-3-face f,  $\tau(v \to f) = 1$ .
- (R2b) If  $m_3(v) = 1$ , then let  $f_1$  denote the incident 3-face and f' be the opposite face of  $f_1$ .
  - (R2b1) If f' is a nontriangular 5-face, then v sends  $\frac{2}{3}$  to each incident face different of  $f_1$ .
    - (R2b2) Otherwise, v sends 1 to each incident face which is adjacent to  $f_1$ .
- (R2c) If  $m_3(v) = 0$ , let  $f_1, f_2, f_3$ , and  $f_4$  denote the faces of *G* incident to *v* in a cyclic order such that the degree of  $f_1$  is the smallest one among all faces incident to *v*, then we do like this:
  - (R2c1) if  $M_5(v) = 0$ , then v sends  $\frac{1}{2}$  to each incident face.
  - (R2c2) if  $M_5(v) = 1$ , then v sends  $\frac{2}{3}$  to each of  $f_1, f_2$ , and  $f_4$  when  $f_1$  is a nontriangular 5-face; or v sends 1 to each of  $f_2$  and  $f_4$  when  $f_1$  is a 5\*-face.
  - (R2c3) if  $M_5(v) = 2$ , then (R2c3.1) v sends  $\frac{2}{3}$  to each nontriangular 5-face and  $\frac{1}{3}$  to each other incident face when  $m_5(v) = 2$ .



Fig. 5. Some of discharging rules (R1)–(R3).

(R2c3.2) v sends  $\frac{2}{3}$  to each incident face except the unique 5\*-face when  $m_5(v) = 1$  and  $m_{5^*}(v) = 1$ . (R2c3.3) v sends 1 to each incident face which is not a 5\*-face when  $m_{5^*}(v) = 2$ .

(R2c4) if  $M_5(v) = 3$ , then v gives  $\frac{2}{3}$  to each incident nontriangular 5-face.

(R2c5) if  $M_5(v) = 4$ , then v gives  $\frac{1}{2}$  to each incident nontriangular 5-face.

(R3) Let v be a 5-vertex and f be a non-3-face incident to v. Then

(R3a) 
$$\tau(v \to f) = \frac{4}{2}$$
 if  $m_3(v) = 2$ .

(R3b) 
$$\tau(v \to f) = 1$$
 if  $m_3(v) = 1$ .

(R3c) if  $m_3(v) = 0$ , v sends 1 to each incident face different from a 5\*-face when  $m_{5^*}(v) \ge 1$ ; or sends  $\frac{5}{6}$  to each incident 6\*-face and sends  $\frac{4-\frac{5}{6}m_{6^*}(v)}{5-m_{6^*}(v)}$  to each other incident face when  $m_{5^*}(v) = 0$ .

- (R4) Let f be a 7<sup>+</sup>-face. If f' is adjacent to f by a good common edge e, then
  - (R4a)  $\tau(f \to f') = \frac{1}{3}$  if f' is a nontriangular 5-face and e is a (3, 3)-edge.
  - (R4b)  $\tau(f \to f') = \frac{1}{6}$  if f' is a 6\*-face and *e* is a (3, 3)-edge or a (3, 4)-edge.
- (R5) Each  $10^+$ -face sends 1 to each adjacent 5\*-face by a good common  $(3^+, 3^+)$ -edge.
- (R6) Each 6<sup>+</sup>-vertex sends 1 to each incident face.

Let us check that  $\omega^*(x) \ge 0$  for all  $x \in V(G) \cup F(G)$ .

Since  $\delta(G) \ge 3$ ,  $d(v) \ge 3$  for each vertex  $v \in V(G)$ . We have to handle the following cases, depending on d(v). *Case* 1. d(v) = 3.

It is easy to see that  $\omega^*(v) = \omega(v) = 2 \times 3 - 6 = 0$  by (R1)–(R6).

*Case* 2. d(v) = 4.

Clearly,  $\omega(v) = 2$  and v is incident to at most two 3-faces by (O7). If  $m_3(v) = 2$ , then we deduce that  $\omega^*(v) = 2 - 2 \times 1 = 0$  by (R2a). If  $m_3(v) = 1$  (v is incident to exactly one 3-face), then depending on the opposite face of such a 3-face, v gives either  $\frac{2}{3} \times 3 = 2$ , or  $1 \times 2 = 2$  by (R2b1) or (R2b2). Hence,  $\omega^*(v) = 0$ . Finally, we only need to consider the case of  $m_3(v) = 0$ . We divide the discussion into five subcases in the light of the value of  $M_5(v)$ .

#### Subcase 2.1. $M_5(v) = 0$ .

This implies that the degree of each face incident to v is at least 6 by the absence of 4-faces. According to (R2c1),  $\omega^*(v) \ge 2 - \frac{1}{2} \times 4 = 0$ .

Subcase 2.2.  $M_5(v) = 1$ .

It is easy to observe that v sends either  $\frac{2}{3} \times 3 = 2$  if  $m_5(v) = 1$ , or  $1 \times 2 = 2$  if  $m_{5^*}(v) = 1$  by (R2c2). Thus, v gives totally at most 2 to incident faces. Hence,  $\omega^*(v) \ge 2 - 2 = 0$ .

#### *Subcase* 2.3. $M_5(v) = 2$ .

If  $m_5(v) = 2$ , then  $\omega^*(v) \ge 2 - \frac{2}{3} \times 2 - \frac{1}{3} \times 2 = 0$  by (R2c3.1). If  $m_5(v) = m_{5^*}(v) = 1$ , then such a nontriangular 5-face and 5\*-face cannot be adjacent to each other by Claim 5. Thus, applying (R2c3.2),  $\omega^*(v) \ge 2 - \frac{2}{3} \times 3 = 0$ . Otherwise, suppose  $m_{5^*}(v) = 2$ . Notice that v is incident to two 5\*-faces which are opposite to each other by Claim 6. Thus,  $\omega^*(v) \ge 2 - 1 \times 2 = 0$  by (R2c3.3).

#### Subcase 2.4. $M_5(v) = 3$ .

We first notice that  $m_{5^*}(v) \neq 3$  since there are no adjacent 5\*-faces in *G* by Claim 6. If  $1 \leq m_{5^*}(v) \leq 2$ , then there exists at least one nontriangular 5-face adjacent to one 5\*-face, contradicting the Claim 5. Thus,  $m_{5^*}(v) = 0$ , and so  $m_5(v) = 3$ . According to (R2c4), we have that  $\omega^*(v) \geq 2 - \frac{2}{3} \times 3 = 0$ .

#### *Subcase* 2.5. $M_5(v) = 4$ .

One can observe that  $m_{5^*}(v) = 0$  by Claims 5 and 6. It implies that v is incident to exactly four nontriangular 5-faces. Consequently, we have that  $\omega^*(v) \ge 2 - \frac{1}{2} \times 4 = 0$  by (R2c5).

#### *Case* 3. d(v) = 5.

Obviously,  $\omega(v) = 4$  and  $m_3(v) \le 2$  by (O7). It is easy to observe that v sends either  $\frac{4}{3} \times 3 = 4$  by (R3a) if  $m_3(v) = 2$ , or  $1 \times 4 = 4$  by (R3b) if  $m_3(v) = 1$ . Therefore,  $\omega^*(v) \ge 4 - 4 = 0$  if  $m_3(v) > 0$ . Now we may assume that  $m_3(v) = 0$ . This implies that each face incident to v is a 5<sup>+</sup>-face combining the fact that G does not contain any 4-faces. By Claim 6, we have that  $m_{5^*}(v) \le 2$ . Moreover, each non-3-face adjacent to a 5<sup>\*</sup>-face must be a 10<sup>+</sup>-face by Claim 14. So by (R3c),

$$\omega^*(v) \ge 4 - 1 \times 4 = 0 \text{ if } m_{5^*}(v) \ge 1; \text{ or } \omega^*(v) \ge 4 - \frac{5}{6}m_{6^*}(v) - \frac{4 - \frac{5}{6}m_{6^*}(v)}{5 - m_{6^*}(v)}(5 - m_{6^*}(v)) = 0 \text{ if } m_{5^*}(v) = 0.$$

#### *Case* 4. $d(v) \ge 6$ .

According to (R6), we have that  $\omega^*(v) \ge (2d(v) - 6) - 1 \times d(v) = d(v) - 6 \ge 0$ .

Let  $f \in F(G)$ . Then b(f) is a cycle since G is 2-connected. We write  $f = [v_1v_2 \cdots v_{d(f)}]$  and suppose that  $f_i$  is the face of G adjacent to f with  $v_iv_{i+1} \in b(f) \cap b(f_i)$  for i = 1, 2, ..., d(f), where (and in the following discussion) all indices are taken modulo d(f). We observe that  $d(f) \neq 4$  and  $d(f) \neq 9$  by (O6). For  $i \geq 3$ , let  $n_i(f)$  denote the number of *i*-vertices incident to f. Let  $m_5(f)$ ,  $m_{5^*}(f)$ , and  $m_{6^*}(f)$  denote the number of nontriangular 5-faces, heavy 5-faces, and heavy 6-faces adjacent to f. *Case* 5. d(f) = 3.

Let *f* be a 3-face and then  $\omega(f) = -3$ . Since  $\delta(G) \ge 3$ , *f* is adjacent to three faces and each adjacent face is neither a 3-face nor a 4-face by the absence of 4-cycles in *G*. It implies that *f* gets  $3 \times 1$  from its adjacent faces by (R1). Thus,  $\omega^*(f) \ge -3 + 1 \times 3 = 0$ .

#### *Case* 6. d(f) = 5.

Let  $f = [v_1 \cdots v_5]$  and then  $\omega(f) = -1$ . Clearly, f is adjacent to at most one 3-face by (O1).

• We first assume that f is a nontriangular 5-face, which means that there is no 3-face adjacent to f. Thus, f sends nothing to all its adjacent faces. Moreover, each  $f_i$  cannot be a 5\*-face by Claim 5. We only have to deal with the following three possibilities, depending on the value of  $n_3(f)$ .

#### Subcase 6.1. $n_3(f) = 5$ .

It means that  $v_i$  is a 3-vertex for all i = 1, ..., 5. If there exists a 6-face adjacent to f, then by Claim 8 we see that they must be adjacent to each other in an unique way as depicted by Fig. 2. It is easy to see that there is one 4<sup>+</sup>-vertex appeared on b(f), which contradicts  $n_3(f) = 5$ . Thus, each face adjacent to f is either a nontriangular 5-face or a 7<sup>+</sup>-face by Claim 5 and the absence of 4-faces. Furthermore, we notice that f is adjacent to at most two nontriangular 5-faces which are not adjacent by Claim 7. So f is adjacent to at least three 7<sup>+</sup>-faces such that each 7<sup>+</sup>-face is adjacent to f by a good common (3, 3)-edge. Therefore, applying (R4a), we obtain that  $\omega^*(f) \ge -1 + 3 \times \frac{1}{3} = 0$ .

#### Subcase 6.2. $n_3(f) = 4$ .

Let  $v_1$  be a 4<sup>+</sup>-vertex and  $v_j$  be a 3-vertex for all j = 2, 3, 4, 5. Clearly,  $v_1$  gives at least  $\frac{1}{2}$  to f by (R2), (R3) and (R6). Moreover,  $f_1$  and  $f_5$  cannot be any 6-face by Claim 8. If  $d(f_1) = 5$  and  $d(f_5) = 5$ , then  $d(f_j) \notin \{5, 6\}$  with  $j \in \{2, 4\}$  according to Claims 7 and 9. Thus, for  $j \in \{2, 4\}, f_j$  is a 7<sup>+</sup>-face by the absence of 4-faces and each  $f_j$  is adjacent to f by a good common (3, 3)-edge. By (R4a), we see that  $\tau(f_2 \to f) = \frac{1}{3}$  and  $\tau(f_4 \to f) = \frac{1}{3}$ . So we obtain that  $\omega^*(f) \ge -1 + \frac{1}{2} + \frac{1}{3} \times 2 = \frac{1}{6} > 0$ . Now we may suppose that there exists at least one face of  $f_1$  and  $f_5$  which is a 7<sup>+</sup>-face, i.e.,  $d(f_1) \ge 7$ . Then by (R2), (R3) and (R6), we see that  $\tau(v_1 \to f) \ge \frac{2}{3}$ . Clearly, for each  $i \in \{2, 3, 4\}$ ,  $f_i$  is adjacent to f by a good common (3, 3)-edge. According to Claims 7, 9 and 10, we see that there exists at least one face of  $f_2$ ,  $f_3$ ,  $f_4$  which is a 7<sup>+</sup>-face. Hence,  $\omega^*(f) \ge -1 + \frac{1}{3} + \frac{2}{3} = 0$  by (R4a).

Subcase 6.3.  $n_3(f) \le 3$ .

It means that there are at least two vertices of degree at least 4. By (R2), (R3) and (R6), we derive that  $\omega^*(f) \ge -1 + \frac{1}{2} \times 2 = 0$ .

• Now, we may suppose that f is a 5\*-face. It implies that f is adjacent to exactly one 3-face. Without loss of generality, let  $f_1 = [vv_1v_2]$  be such a 3-face that it is adjacent to f. By Claim 1,  $v \neq v_i$  for all i = 3, 4, 5. Since  $\delta(G) \ge 3$ ,  $d(v_i) \ge 3$  with  $i \in \{1, 2, ..., 5\}$ . By Claim 14, for each  $j \in \{2, 3, 4, 5\}$ , we see that  $d(f_j) \ge 10$  and thus both  $v_3v_4$  and  $v_4v_5$  are good common  $(3^+, 3^+)$ -edges. By (R5),  $\tau(f_3 \to f) = 1$  and  $\tau(f_4 \to f) = 1$ . Hence,  $\omega^*(f) \ge -1 - 1 + 1 \times 2 = 0$  by (R1).

#### *Case* 7. d(f) = 6.

Let  $f = [v_1 \cdots v_6]$  and then  $\omega(f) = 0$ . If f is a nontriangular 6-face, then it is easy to deduce that  $\omega^*(f) = \omega(f) = 0$ by (R1)–(R6). Now, we assume that f is a 6\*-face. Without loss of generality, assume  $f_1 = [vv_1v_2]$  is a 3-face adjacent to f. It is obvious that  $v \notin b(f)$  by Claim 1. Furthermore, f is adjacent to at most one 3-face by (O1). So f only needs to send 1 to the unique 3-face  $f_1$ . Obviously, for each  $j \in \{2, \ldots, 6\}$ ,  $d(f_j) \notin \{3, 4, 5, 6\}$  by (O1), (O6), Claim 11 and (O3). Note that  $v_3v_5 \notin E(G)$  and  $v_3v_6 \notin E(G)$  by (C1) and the absence of 4-cycles. This implies that each  $v_i$  has at least one outgoing neighbor which does not lie on b(f). Since there is no orchid in G, f is incident to at least one  $4^+$ -vertex. It implies that  $n_3(f) \leq 5$ . Next, in each case, we will show that the total charge obtained by f is at least 1 and thus  $\omega^*(f) \geq -1 + 1 = 0$ .

Subcase 7.1.  $n_3(f) = 5$ .

It means that there is exactly one 4<sup>+</sup>-vertex incident to f. If  $d(v_2) \ge 4$ , then  $\tau(v_2 \to f) \ge 1$  by (R2b2), (R3a), (R3b) and (R6) since  $d(f_2) \ne 5$ . Otherwise, by symmetry, suppose some  $v_i$  is a 4<sup>+</sup>-vertex where  $i \in \{3, 4\}$ . Denote  $v^*$  be such a 4<sup>+</sup>-vertex. First, we observe that each adjacent face different from  $f_1$  is a 7<sup>+</sup>-face by the discussion above. If  $d(v^*) \ge 5$ , then  $\tau(v^* \to f) \ge \frac{5}{6}$  by (R3) and (R6). Since  $v_5v_6$  is a good common (3, 3)-edge,  $f_5$  sends  $\frac{1}{6}$  to f by (R4b). Thus, f gets at least  $\frac{5}{6} + \frac{1}{6} = 1$  from  $v^*$  and  $f_5$ . If  $d(v^*) = 4$ , then the opposite face of f, which is incident to f by  $v^*$ , cannot be a 3-face or a 5-face by (O3). So  $v^*$  is incident to four 6<sup>+</sup>-faces and thus  $v^*$  gives  $\frac{1}{2}$  to f by (R2c1). Consequently, f gets at least  $\frac{1}{2} + \frac{1}{6} \times 3 = 1$  by (R4b).

Subcase 7.2.  $0 \le n_3(f) \le 4$ .

It implies that there are at least two 4<sup>+</sup>-vertices incident to *f*. It is easy to see that every 5<sup>+</sup>-vertex sends at least  $\frac{5}{6}$  to *f* by (R3) and (R6). Moreover, every 4-vertex  $v_i$  sends at least  $\frac{1}{2}$  to *f* since the opposite face to *f* by  $v_i$  cannot be any 3-face or 5-face by (O3). Hence, *f* receives at least  $\frac{1}{2} \times 2 = 1$  from its incident 4<sup>+</sup>-vertices. In what follows, for simplicity, let  $p_5(f)$ ,  $p_{5*}(f)$ , and  $p_{6*}(f)$  denote the number of nontriangular 5-faces, 5\*-faces, and

In what follows, for simplicity, let  $p_5(f)$ ,  $p_{5^*}(f)$ , and  $p_{6^*}(f)$  denote the number of nontriangular 5-faces, 5\*-faces, and 6\*-faces receiving a charge  $\frac{1}{3}$ , 1,  $\frac{1}{6}$  from f, respectively. Clearly,  $p_5(f) \le m_5(f)$ ,  $p_{5^*}(f) \le m_{5^*}(f)$  and  $p_{6^*}(f) \le m_{6^*}(f)$ . *Case* 8. d(f) = 7.

Then  $\omega(f) = 1$ . Let  $m_3(f)$  be the number of 3-faces adjacent to f. Clearly,  $m_3(f) \le 1$  by Claim 2.

• We first assume that f is a nontriangular 7-face. Note that  $d(f_i) \ge 5$  since G contains no 4-faces. By (O2),  $m_{5^*}(f) = 0$ . By (O4),  $p_5(f) \le 3$ . We will divide the argument into four subcases according to the value of  $p_5(f)$ .

#### Subcase 8.1. $p_5(f) = 3$ .

Suppose  $f_1, f_3, f_5$  are such three 5-faces that each of them takes a charge  $\frac{1}{3}$  from f. By (R4a), we see that all common edges  $v_1v_2, v_3v_4$  and  $v_5v_6$  are good (3, 3)-edges. This implies that  $d(v_i) = 3$  with  $i \in \{1, ..., 6\}$ . By Claim 11, one can easily conclude that none of  $f_2, f_4, f_6, f_7$  can be a 6\*-face. Thus,  $p_{6^*}(f) \leq m_{6^*}(f) = 0$ . Consequently, we deduce that  $\omega^*(f) \geq 1 - \frac{1}{3} \times 3 = 0$  by (R4a).

#### Subcase 8.2. $p_5(f) = 2$ .

We may suppose that  $f_i$  is a 5-face which takes  $\frac{1}{3}$  from f. It means that  $d(v_i) = d(v_{i+1}) = 3$  and  $v_i v_{i+1}$  is a good common edge. Thus,  $f_{i-1}$  and  $f_{i+1}$  cannot be any 6\*-face by Claim 11. It follows immediately that  $p_{6*}(f) \le 7 - (2+3) = 2$  since  $p_5(f) = 2$ . Consequently, we have that  $\omega^*(f) \ge 1 - \frac{1}{3} \times 2 - \frac{1}{6} \times 2 = 0$  by (R4). Subcase 8.3.  $p_5(f) = 1$ .

Without loss of generality, let  $f_1$  be such a nontriangular 5-face that  $v_1v_2$  is a good common (3, 3)-edge. This implies that neither  $f_2$  nor  $f_7$  can be a 6\*-face. Thus,  $p_{6^*}(f) \le 7 - 3 = 4$ . Hence, we have  $\omega^*(f) \ge 1 - \frac{1}{3} - \frac{1}{6} \times 4 = 0$  by (R4a) and (R4b). Subcase 8.4.  $p_5(f) = 0$ .

If  $p_{6^*}(f) = 0$ , then according to (R4), we obtain that  $\omega^*(f) \ge 1-0 = 1$ . Otherwise, let  $f_1$  be a 6\*-face which takes a charge  $\frac{1}{6}$  from f. By (R4b),  $f_1$  must be adjacent to f by a good common (3, 3)-edge or (3, 4)-edge, i.e.,  $d(v_1) = 3$  and  $d(v_2) \in \{3, 4\}$ . It is easy to observe that  $f_7$  cannot be any 6\*-face because of Claim 13. Thus,  $p_{6^*}(f) \le 6$  and  $\omega^*(f) \ge 1 - \frac{1}{6} \times 6 = 0$  by (R4b).

• Now we may assume  $m_3(f) = 1$ , which implies that f is a 7\*-face and it is adjacent to exactly one 3-face. Without loss of generality, let  $f_1 = [vv_1v_2]$  be such a 3-face that f sends 1 to  $f_1$ . By Claim 1, we notice that v does not lie on b(f). Moreover,

for each  $j \in \{2, ..., 7\}$ , we deduce that  $f_j$  is neither a 5-face nor a 6\*-face by (O5). It implies that f sends nothing to each  $f_j$  with  $j \in \{2, ..., 7\}$ . Applying (R1), we deduce that  $\omega^*(f) \ge 1 - 1 = 0$ .

*Case* 9. d(f) = 8.

Clearly,  $\omega(f) = 2$  and f cannot be adjacent to any 3-face by Claim 3. So we only need to consider the size of  $p_5(f)$  and  $p_{6^*}(f)$  since they may take charge from f. It is easy to calculate that  $p_5(f) \le 6$  by the fact that there is no sunflower in G (and 2-connectivity of G). We have to consider the following possibilities by the value of  $p_5(f)$ .

#### Subcase 9.1. $p_5(f) = 6$ .

It implies that *f* is incident to at least seven 3-vertices. Thus, the remaining two faces adjacent to *f*, which are not nontriangular 5-faces, cannot be any 6\*-faces by Claim 11. So  $\omega^*(f) \ge 2 - 6 \times \frac{1}{3} = 0$  by (R4). Subcase 9.2.  $p_5(f) = 5$ .

One can easily notice that there is at most one of  $f_i$  with  $i \in \{1, ..., 8\}$  which is a 6\*-face because no 5-face can be adjacent to a 6\*-face by Claim 11 again. Therefore,  $\omega^*(f) \ge 2 - 5 \times \frac{1}{3} - \frac{1}{6} = \frac{1}{6} > 0$ .

Subcase 9.3.  $0 \le p_5(f) \le 4$ . By (R4), we derive that

$$\begin{split} \omega^*(f) &\geq 2 - \frac{1}{3} p_5(f) - \frac{1}{6} p_{6^*}(f) \\ &\geq 2 - \frac{1}{3} p_5(f) - \frac{1}{6} (8 - p_5(f)) \\ &= \frac{2}{3} - \frac{1}{6} p_5(f) \\ &\geq \frac{2}{3} - \frac{1}{6} \cdot 4 \\ &= 0. \end{split}$$

Next, we will discuss several cases where  $d(f) \ge 10$ . Let f be such a  $10^+$ -face that f' is adjacent to f. We call f' special if it takes charge 1 from f. By  $F_s(f)$  denote the set of all special faces adjacent to f. Let  $S_i$  be a face adjacent to f by an edge  $e_i$ for i = 1, 2. If  $e_1$  is not incident to  $e_2$ , then we call  $S_1$  and  $S_2$  mutually disjoint. According to (R1) and (R5), we see that only 3-face and 5\*-face may take charge 1 from f, respectively. It implies that each special face is either a 3-face or a 5\*-face. We first observe that:

**Observation 1.** If f is adjacent to two special faces by two consecutive edges uv and vw of b(f), then  $\tau(v \to f) \ge 1$ .

**Proof.** Let  $f_{uv}$  and  $f_{vw}$  denote such two special faces adjacent to f by sharing the common edge uv and vw, respectively. It suffices to consider the following three cases.

- Assume that  $f_{uv}$  and  $f_{vw}$  are both 3-faces. By the absence of 4-cycles, we see that  $d(v) \ge 4$  and thus  $\tau(v \to f) \ge 1$  by (R2a), (R3a) and (R6).
- Assume that  $f_{uv}$  and  $f_{vw}$  are both 5\*-faces. By Claim 6,  $d(v) \ge 4$ . So by (R2c3.3), (R3c) and (R6), we derive that  $\tau(v \to f) = 1$ .
- Finally, w.l.o.g., we assume that  $f_{uv}$  is a 3-face and  $f_{vw}$  is a 5\*-face. By (R5), we know that the edge vw is a good common  $(3^+, 3^+)$ -edge, which implies that  $d(v) \ge 4$ . Applying (R2b2), (R3b) and (R6), we have that  $\tau(v \to f) = 1$ . This completes the proof of Observation 1.  $\Box$

If there exist two special faces which share at least one common vertex v that lies on b(f), i.e., let  $f_i$  and  $f_{i+1}$  be such two special faces that  $v_{i+1} \in V(f_i) \cap V(f_{i+1})$  and  $v_{i+1} \in V(f)$ , then we see that  $\tau(v_{i+1} \to f) \ge 1$  by Observation 1 and f sends at most  $2 \times 1$  to  $f_i$  and  $f_{i+1}$ . It means that f takes charge 1 from  $v_{i+1}$  and then sends it to  $f_{i+1}$ . Thus, we can consider that  $f_{i+1}$  takes nothing from f. So in what follows, our main focus is on the special faces adjacent to f that are mutually disjoint. For our convenience, let  $F_s^*(f)$  denote the maximal subset of  $F_s(f)$  such that any two faces in  $F_s^*(f)$  are mutually disjoint, and let  $F_s^{**}(f) = F_s(f) \setminus F_s^*(f)$ . We refer to faces in  $F_s^*(f)$  and  $F_s^{**}(f)$  as  $S^*$ -faces and  $S^{**}$ -faces, respectively. Obviously,  $|F_s^*(f)| \le \left\lfloor \frac{d(f)}{2} \right\rfloor$ . By Observation 1 and arguments above, we can assume that faces in  $F_s^{**}$  do not take charge from f.

#### **Observation 2.** If $f_i \in F_s^*(f)$ then neither of $f_{i-1}$ nor $f_{i+1}$ can be a 5- or 6\*-face which takes charge from f by (R4).

**Proof.** W.l.o.g., suppose that  $f_i \in F_s^*(f)$  while  $f_{i-1} \notin F_s^*(f)$  and  $f_{i+1} \notin F_s^*(f)$ . In order to prove Observation 2, it suffices to show that  $f_{i-1}$  gets nothing from f if it is a 5- or 6\*-face.

First suppose that  $f_i$  is a 3-face. If  $f_{i-1}$  takes a charge  $\frac{1}{3}$  or  $\frac{1}{6}$ , then by (R4a) and (R4b), we see that  $d(v_i) = 4$  and  $f_{i-1}$  is a 6<sup>\*</sup>-face. This contradicts (O3). Now we assume that  $f_i$  is a 5<sup>\*</sup>-face. If  $d(v_i) = 3$ , then  $f_{i-1}$  cannot be any nontriangular 5-face by Claim 5 and any 6<sup>\*</sup>-face by Claim 11 and thus we are done. Now suppose that  $d(v_i) \ge 4$ . Note that if  $f_{i-1}$  is a nontriangular 5-face, then f sends nothing to it because  $v_{i-1}v_i$  is not a (3, 3)-edge. If  $f_{i-1}$  is a 6<sup>\*</sup>-face, then we argue as follows: if  $v_i$  is a 5<sup>+</sup>-vertex, then  $\tau(f \rightarrow f_{i-1}) = 0$  since  $v_{i-1}v_i$  is neither a (3, 3)-edge nor a (3, 4)-edge; if  $v_i$  is a 4-vertex, then  $f_i$  is the opposite face of  $f_{i-1}$  by a 4-vertex  $v_i$ , which contradicts (O3). This completes the proof of Observation 2.

**Corollary 5.**  $p_5(f) + p_{6^*}(f) \le d(f) - 2|F_s^*(f)|$ , where the equality holds only if d(f) is even and  $|F_s^*(f)| = \frac{d(f)}{2}$ .

*Case* 10. d(f) = 10.

Then  $\omega(f) = 4$  and  $|F_s^*(f)| \le 5$ . We divide the argument into the following three subcases according to the value of  $|F_s^*(f)|$ .

Case 10.1.  $|F_s^*(f)| = 5$ .

Note that  $p_5(f) + p_{6^*}(f) = 0$  by Corollary 5. By definition, f is adjacent to five  $S^*$ -faces that are mutually disjoint. W.l.o.g., suppose that  $f_1, f_3, f_5, f_7, f_9$  are all these  $S^*$ -faces. If  $f_j$  is an  $S^*$ -face for some fixed  $j \in \{2, 4, 6, 8, 10\}$ , then  $\tau(f \rightarrow f_j) = 1$ , while  $\tau(v_j \rightarrow f) \ge 1$  and  $\tau(v_{j+1} \rightarrow f) \ge 1$  by Observation 1. Therefore,  $\omega^*(f) \ge 4 - 1 \times 5 - |F_s^{**}(f)| + 2|F_s^{**}(f)| = -1 + |F_s^{**}(f)| \ge 0$ . In what follows, for each  $j \in \{2, 4, 6, 8, 10\}$ , we suppose that  $f_j$  is not an  $S^*$ -face. Since G does not contain lotus, there exists at least one  $4^+$ -vertex which lies on b(f), say  $v_1$ . If  $v_1$  is a  $5^+$ -vertex, then  $v_1$  sends at least 1 to f by (R3) and (R6). If  $v_1$  is a 4-vertex, then we have two cases: If  $d(v_{10}) = 3$ , then  $f_{10}$  is not a nontriangular 5-face since  $f_9$  is an  $S^*$ -face. So  $\tau(v_1 \rightarrow f) = 1$  by (R2b2), (R2c2) and (R2c3.3); otherwise,  $d(v_{10}) \ge 4$  and f receives at least  $\frac{2}{3} \times 2 = \frac{4}{3}$  from  $v_1$  and  $v_{10}$  in total by (R2b1), (R2b2), (R2c2), (R2c3.2), (R2c3.3), (R3) and (R6). Thus, in each case, we always have that  $\omega^*(f) \ge 4 - 1 \times 5 + 1 = 0$ .

Case 10.2.  $|F_s^*(f)| = 4$ .

It implies that f is adjacent to exactly four  $S^*$ -faces by four common edges which are disjoint each other. Denote  $S_i$  be such an  $S^*$ -face adjacent to f by a common edge  $e_i$ , where i = 1, 2, 3, 4. Note that  $e_i$  cannot be incident to  $e_j$  for each pair  $(i, j) \subset \{1, \ldots, 4\}$ . Thus, it follows that there exist two vertices  $v_j$ ,  $v_k$  in V(f) which are not incident to any edge  $e_i$  with  $i \in \{1, \ldots, 4\}$ . W.l.o.g., assume j < k.

First we consider the case that k = j + 1. Namely,  $v_j v_k$  is an edge of b(f). W.l.o.g., we assume that  $v_j v_k = v_{10}v_9$  such that  $f_1, f_3, f_5, f_7$  are  $S^*$ -faces and  $f_9$  is not. By Observation 2, we assert that none of  $f_2, f_4, f_6, f_8, f_{10}$  gets charge from f if it is a 5- or 6\*-face. It follows that  $p_5(f) + p_{6^*}(f) \le 1$ . If  $p_5(f) + p_{6^*}(f) = 0$  then we are done since  $\omega^*(f) \ge 4 - 1 \times 4 = 0$ . Otherwise, suppose that  $f_9$  is a nortriangular 5-face or a 6\*-face which gets a charge  $\frac{1}{3}$  or  $\frac{1}{6}$  from f, respectively. It follows that neither  $f_8$  nor  $f_{10}$  is an  $S^*$ -face. If  $f_j$  is an  $S^*$ -face for some j = 2, 4, 6, then similarly we have that  $\omega^*(f) \ge 4 - 1 \times 4 - \frac{1}{3} - |F_s^{**}(f)| + 2|F_s^{**}(f)| - \frac{1}{3} \ge \frac{2}{3}$ . So in the following, we assume that  $f_j$  is not an  $S^*$ -face for each j = 2, 4, 6. By the absence of lotus in G, there exists at least one vertex in V(f) whose degree is at least 4. Let  $v^*$  be such a  $4^+$ -vertex. W.l.o.g., we have two subcases below, according to the situation of  $v^*$ .

- If  $v^* \in \{v_1, \ldots, v_8\}$ , then by (R2b1), (R2b2), (R2c2), (R2c3.2), (R2c3.3), (R3) and (R6) it follows that  $\tau(v^* \to f) \ge \frac{1}{3}$ . Thus, in each case, we always have that  $\omega^*(f) \ge 4 - 1 \times 4 - \frac{1}{3} + \frac{1}{3} = 0$ .
- Assume that  $v^* = v_9$ . Namely,  $d(v_9) \ge 4$ . Moreover, we may further assume that  $f_9$  is a 6\*-face and  $d(v_9) = 4$  and  $d(v_{10}) = 3$  for otherwise  $f_9$  gets nothing from f by (R4a) or (R4b). Thus  $v_9$  sends at least  $\frac{1}{2}$  to f according to (R2c1), (R2c2) and Claim 11. This yields  $\omega^*(f) \ge 4 1 \times 4 \frac{1}{3} + \frac{1}{2} = \frac{1}{6} > 0$ .

Now we suppose that k > j + 1. It means that  $v_k v_j \notin b(f)$ . In this case, it is easy to deduce that  $p_5(f) + p_{6^*}(f) = 0$  by Observation 2. In other words, f only sends charges to its  $S^*$ -faces. Therefore,  $\omega^*(f) \ge 4 - 1 \times 4 = 0$  by (R1) and (R5). *Case* 10.3.  $0 \le |F_s^*(f)| \le 3$ .

If  $|F_s^*(f)| = 3$ , that by Observation 2 we have that  $p_5(f) + p_{6^*}(f) \le 10 - (3+4) = 3$ . So,  $\omega^*(f) \ge 4 - 3 \times 1 - \frac{1}{3} \times 3 = 0$  by (R4). If  $0 \le |F_s^*(f)| \le 2$ , then by Observation 2, we have that  $p_5(f) + p_{6^*}(f) \le 10 - 2|F_s^*(f)|$  and therefore,  $\omega^*(f) \ge 4 - |F_s^*(f)| - \frac{1}{3}(10 - 2|F_s^*(f)|) = \frac{2}{3} - \frac{1}{3}|F_s^*(f)| \ge \frac{2}{3} - \frac{1}{3} \times 2 = 0$ . *Case* 11. d(f) = 11.

Clearly,  $\omega(f) = 5$  and  $|F_s^*(f)| \le 5$ . If  $|F_s^*(f)| = 5$ , then  $p_5(f) + p_{6^*}(f) \le 11 - (5 + 6) = 0$ . So  $\omega^*(f) \ge 5 - 1 \times 5 = 0$ . If  $0 \le |F_s^*(f)| \le 4$ , then  $p_5(f) + p_{6^*}(f) \le 11 - 2|F_s^*(f)|$  by Observation 2. Then  $\omega^*(f) \ge 5 - |F_s^*(f)| - \frac{1}{3}(11 - 2|F_s^*(f)|) = \frac{4}{3} - \frac{1}{3}|F_s^*(f)| \ge 0$ .

*Case* 12.  $d(f) \ge 12$ .

By Observation 2, we have that  $p_5(f) + p_{6*}(f) \le d(f) - 2|F_s^*(f)|$ . Moreover,  $|F_s^*(f)| \le \lfloor \frac{1}{2}d(f) \rfloor$ . Thus, we have that

$$\begin{split} \omega^*(f) &\geq (d(f) - 6) - |F_s^*(f)| - \frac{1}{3}(d(f) - 2|F_s^*(f)|) \\ &= \frac{2}{3}d(f) - 6 - \frac{1}{3}|F_s^*(f)| \\ &\geq \frac{2}{3}d(f) - 6 - \frac{1}{3} \cdot \frac{d(f)}{2} \\ &= \frac{1}{2}d(f) - 6 \\ &\geq \frac{1}{2} \times 12 - 6 \\ &= 0. \end{split}$$

Therefore, we complete the proof of Theorem 1 if *G* is 2-connected.

#### 2.2. The case G is not 2-connected

Suppose now that *G* is not a 2-connected plane graph and we will construct a 2-connected plane graph  $G^*$  with  $\delta(G^*) \ge 3$  having neither 4-cycles nor 9-cycles and satisfying structural properties (C1) to (C5). This obviously contradicts the result just established before.

We remark that the following proof is stimulated by the technique used in [3].

Let *B* be an end block of *G* with the unique cut-vertex *x*. Let *f* be the outside face of *G*. Notice that  $d_B(x) \ge 2$  and  $d_B(v) \ge 3$  for each  $v \in V(B) \setminus \{x\}$ . Choosing another vertex *y* of *B* such that  $y \ne x$  and *y* lies on the boundary of *B*. W.l.o.g., assume that *x* and *y* are both belonging to b(f). Then we take ten copies of *B*, i.e.,  $B_k$  with k = 1, ..., 10. In each copy  $B_k$ , the vertices corresponding to *x* and *y* are denoted by  $x_k$  and  $y_k$ , respectively. Then one can embed  $B_k$ , k = 1, ..., 10, into *f* in the following way: first, let  $B = B_1$ . Next, for each k = 2, ..., 10, consecutively embed  $B_k$  into *f* by identifying  $x_k$  with  $y_{k-1}$ . Finally, identify  $y_{10}$  with a vertex  $u \in V(f) \setminus V(B)$ . Then the first resulting graph, denoted by  $G_1$ .

Obviously, in the processing of constructing  $G_1$ , we confirm that there are no new adjacent cycles established. Furthermore, no 4-cycles and 9-cycles are formed. Thus, it is easy to deduce that  $G_1$  satisfies the following structural properties.

(A1) Fewer end blocks than G;

- (A2) The minimum degree is at least 3;
- (A3) Neither 4-cycles nor 9-cycles;

(A4) A 5-cycle or a 6-cycle is adjacent to at most one 3-cycle;

- (A5) A 5\*-cycle is neither adjacent to a 5\*-cycle normally, nor adjacent to an *i*-cycle with  $i \in \{7, 8\}$ ;
- (A6) A 6\*-cycle is not adjacent to a 6-cycle;
- (A7) A nontriangular 7-cycle is not adjacent to two 5-cycles which are normally adjacent;
- (A8) A 7\*-cycle is neither adjacent to a 5-cycle nor a 6\*-cycle.

Furthermore, we confirm that  $G_1$  also satisfies the following two structural properties:

(P1)  $G_1$  has neither orchid, nor sunflower, nor lotus;

(P2) A 6\*-cycle is not incident to an *i*-cycle C with  $i \in \{3, 5\}$ , where C is opposite to such a 6\*-cycle by a 4-vertex.

(P1) For some  $k \in \{2, ..., 10\}$ , notice that we just identify some vertex  $x_k$  with  $y_{k-1}$ . It implies that any new cycle, which is not completely belong to some  $B_k$ , must be an  $11^+$ -cycles, i.e.,  $C^* = x_1 \cdots x_{10} u \cdots x_1$ . Thus, any orchid, sunflower, or lotus cannot be established.

(P2) Assume to the contrary that  $G_1$  contains a 6\*-cycle, denoted by  $C_6^*$ , which is incident to a 3-cycle  $C_3$  or a 5-cycle  $C_5$  by a 4-vertex  $v^*$ . Clearly,  $v^*$  must be equal to u or some vertex  $x_k$  with  $k \in \{2, ..., 10\}$ . However,  $d_{G_1}(u) = d_{B_{10}}(u) + d_{G\setminus B_1}(u) \ge 2+3 = 5$  or  $d_{G_1}(x_k) = d_{B_{k-1}}(x_k) + d_{B_k}(x_k) \ge 3+2 = 5$  for all  $k \in \{2, ..., 10\}$ . We always get a contradiction to  $d_{G_1}(v^*) = 4$ .

Now, if  $G_1$  is 2-connected, then we well done. Otherwise, we may repeat the process described above and finally obtain a desired  $G^*$ .

Thus, we complete the proof of Theorem 1.  $\Box$ 

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