

On an Inequality of H. Minc and L. Sathre

HORST ALZER

Morsbacher Str. 10, 51545 Waldbröl, Germany

Submitted by J. L. Brenner

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We prove that if we let n be a positive integer, then we have for all positive real numbers r ,

$$\frac{n}{n+1} \leq \left((n+1) \sum_{i=1}^n i^r / n \sum_{i=1}^{n+1} i^r \right)^{1/r} \leq \frac{(n!)^{1/n}}{((n+1)!)^{1/(n+1)}}.$$

Both bounds are best possible. © 1993 Academic Press, Inc.

In 1964 H. Minc and L. Sathre [4] proved several interesting inequalities involving $(n!)^{1/n}$. One of their results states that if n is a positive integer, then

$$n/(n+1) < (n!)^{1/n}/((n+1)!)^{1/(n+1)}. \tag{1}$$

In 1988 J. S. Martins [3] published another lower bound for the ratio $(n!)^{1/n}/((n+1)!)^{1/(n+1)}$: Let r be a positive real number and let n be a natural number. Then

$$\left((n+1) \sum_{i=1}^n i^r / n \sum_{i=1}^{n+1} i^r \right)^{1/r} \leq (n!)^{1/n}/((n+1)!)^{1/(n+1)}. \tag{2}$$

It is natural to ask whether the expressions on the left-hand side of (1) and (2) can be compared. This is indeed possible! It is the aim of this paper to prove the following refinement of inequality (1).

THEOREM. *If r is a positive real number and if n is a positive integer, then*

$$\frac{n}{n+1} \leq \left((n+1) \sum_{i=1}^n i^r / n \sum_{i=1}^{n+1} i^r \right)^{1/r} \leq \frac{(n!)^{1/n}}{((n+1)!)^{1/(n+1)}}. \tag{3}$$

Proof. We define

$$a_{pn+1} = a_{pn+2} = \dots = a_{(p+1)n} = (n+1-p)/(n+1) \quad \text{for } p=0, 1, \dots, n,$$

and

$$b_{q(n+1)+1} = b_{q(n+1)+2} = \dots = b_{(q+1)(n+1)} = (n-q)/n \quad \text{for } q = 0, 1, \dots, n-1.$$

Because of

$$\sum_{i=1}^{n(n+1)} a_i^r = n(n+1)^{-r} \sum_{i=1}^{n+1} i^r \quad \text{and} \quad \sum_{i=1}^{n(n+1)} b_i^r = (n+1)n^{-r} \sum_{i=1}^n i^r$$

we conclude that the left-hand inequality of (3) is equivalent to

$$\sum_{i=1}^{n(n+1)} a_i^r \leq \sum_{i=1}^{n(n+1)} b_i^r. \tag{4}$$

It is known (see [2, p. 35]) that inequality (4) is valid if the following two assumptions are fulfilled:

- (i) $a_1 \geq a_2 \geq \dots \geq a_{n(n+1)} \geq 0, b_1 \geq b_2 \geq \dots \geq b_{n(n+1)} \geq 0,$
- (ii) $\prod_{i=1}^k a_i \leq \prod_{i=1}^k b_i$ for $k = 1, \dots, n(n+1).$

Obviously, assumption (i) holds. To prove (ii) we set

$$A_k = \prod_{i=1}^k a_i \quad \text{and} \quad B_k = \prod_{i=1}^k b_i;$$

then we obtain

$$A_k = \left(\frac{n+1-p}{n+1}\right)^{k-pn} \prod_{j=1}^{p-1} \left(\frac{n+1-j}{n+1}\right)^n$$

for $pn+1 \leq k \leq (p+1)n, p = 0, \dots, n,$

and

$$B_k = \left(\frac{n-q}{n}\right)^{k-q(n+1)} \prod_{j=1}^{q-1} \left(\frac{n-j}{n}\right)^{n+1}$$

for $q(n+1)+1 \leq k \leq (q+1)(n+1), q = 0, \dots, n-1.$

Let $k \in \{1, \dots, n(n+1)\}$; then there exists a uniquely determined number $i \in \{0, \dots, n\}$ such that $in+1 \leq k \leq (i+1)n$. We consider three cases.

Case 1. $i = 0$. Then we have $1 \leq k \leq n$ which implies $A_k = B_k = 1$.

Case 2. $i = n$. Then we get $n^2+1 \leq k \leq n(n+1)$ which leads to

$$A_k = (n+1)^{n^2-k} \prod_{j=1}^{n-1} \left(\frac{n+1-j}{n+1}\right)^n \quad \text{and} \quad B_k = n^{n^2-k-1} \prod_{j=1}^{n-2} \left(\frac{n-j}{n}\right)^{n+1}$$

The inequality $A_k \leq B_k$ is equivalent to

$$(n/(n+1))^k \leq n!/(n+1)^n. \tag{5}$$

We have to show that (5) holds for $k = n^2 + 1$. A simple calculation yields that

$$(n/(n+1))^{n^2+1} \leq n!/(n+1)^n$$

is valid for $n = 1, 2, 3$. Let $n \geq 4$; from

$$n! > \sqrt{2\pi n} e^{-n} n^n \quad \text{and} \quad e^{-n} > (1 + 1/n)^{-n(n+1/2)}$$

(see [5, p. 181 ff. and p. 267]) we obtain

$$\begin{aligned} \frac{n!}{(n+1)^n} \left(\frac{n+1}{n}\right)^{n^2+1} &> \sqrt{2\pi n} e^{-n} \left(\frac{n+1}{n}\right)^{n^2-n+1} \\ &> \sqrt{2\pi n} \left(\frac{n+1}{n}\right)^{-3n/2} > \sqrt{2\pi n} e^{-3/2} > 1. \end{aligned}$$

Case 3. $1 \leq i \leq n-1$. Then we have $in+1 \leq k \leq i(n+1)$ or $i(n+1)+1 \leq k \leq (i+1)n$. If $in+1 \leq k \leq i(n+1)$, then

$$A_k = \left(\frac{n+1-i}{n+1}\right)^k \prod_{j=1}^{in-i-1} \left(\frac{n+1-j}{n+1}\right)^n$$

and

$$B_k = \left(\frac{n+1-i}{n}\right)^{k-(i-1)(n+1)} \prod_{j=1}^{i-2} \left(\frac{n-j}{n}\right)^{n+1}.$$

Therefore, $A_k \leq B_k$ can be written as

$$\prod_{j=1}^{i-1} (n+1-j)^n \Big/ \prod_{j=1}^{i-2} (n-j)^{n+1} \leq (1 + 1/n)^k (n+1)^{-n} n^{n+1} (n+1-i)^{n+1-i}. \tag{6}$$

Inequality (6) is true for $i = 1$. Let $i \geq 2$; it suffices to prove that (6) is valid for $k = in + 1$. This means we have to establish

$$\frac{(n+1-i)! n^{in}}{(n-1)! (n+1)^{(i-1)n} (n+1-i)^{n+1-i}} \leq n+1, \quad 2 \leq i \leq n-1. \tag{7}$$

If $i(n + 1) \leq k \leq (i + 1)n$, then we obtain

$$A_k = \left(\frac{n + 1 - i}{n + 1}\right)^{k - in} \prod_{j=1}^{i-1} \left(\frac{n + 1 - j}{n + 1}\right)^n$$

and

$$B_k = \left(\frac{n - i}{n}\right)^{k - i(n + 1)} \prod_{j=1}^{i-1} \left(\frac{n - j}{n}\right)^{n + 1}$$

such that $A_k \leq B_k$ is equivalent to

$$\prod_{j=1}^{i-1} \frac{(n + 1 - j)^n}{(n - j)^{n + 1}} \leq \left(\frac{(n + 1)(n - i)}{n(n + 1 - i)}\right)^k \frac{n^{n + 1}(n + 1 - i)^m}{(n + 1)^n (n - i)^{i(n + 1)}}. \tag{8}$$

Because of $(n + 1)(n - i) < n(n + 1 - i)$ it is enough to prove inequality (8) for $k = (i + 1)n$. This means we have to show

$$\frac{(n + 1 - i)! n^{in}}{(n - 1)! (n + 1)^{(i - 1)n} (n + 1 - i)^{n + 1 - i}} \leq n, \quad 2 \leq i \leq n. \tag{9}$$

Since inequality (9) implies (7) it suffices to prove (9). Let

$$c_n = (n - 1)! (n + 1)^{(n - 1)n} n^{1 - n^2}.$$

If we replace in Bernoulli's inequality

$$(1 + x)^n \geq 1 + nx, \quad x > -1,$$

the value x by $-1/n^2$, then we obtain

$$(c_n/c_{n-1})^{1/(n-1)} = ((n^2 - 1)/n^2)^n n/(n - 1) \geq 1$$

which implies

$$c_n \geq c_{n-1} \geq \dots \geq c_1 = 1.$$

This proves (9) for $i = n \geq 2$.

The double-inequality

$$\sqrt{2\pi k} k^k e^{-k} \exp(1/(12k + 1)) < k! < \sqrt{2\pi k} k^k e^{-k} \exp(1/(12k)) \tag{10}$$

holds for $k \geq 2$ (see [5, p. 181 ff.]). If $2 \leq i \leq n - 1$, then we conclude from (10)

$$\frac{(n+1-i)! n^{in}}{(n-1)! (n+1)^{(i-1)n} (n+1-i)^{n+1-i}} < \frac{(n+1-i)^{1/2} n^{in}}{(n+1)^{(i-1)n} (n-1)^{n-1/2}} \exp \left[i-2 + \frac{1}{12(n+1-i)} - \frac{1}{12(n-1)+1} \right]. \quad (11)$$

We prove that the right-hand side of (11) is not greater than n . This is equivalent to

$$(i-1)n \log(n+1) - (in-1) \log(n) - \frac{1}{2} \log(n+1-i) - (i-2) - \frac{1}{12(n+1-i)} + \left(n - \frac{1}{2} \right) \log(n-1) + \frac{1}{12(n-1)+1} \geq 0. \quad (12)$$

First we show that the sum on the left-hand side of (12) attains its minimum at $i=2$. We define for $x \in [2, n-1]$

$$f_n(x) = (x-1)n \log(n+1) - (xn-1) \log(n) - \frac{1}{2} \log(n+1-x) - (x-2) - \frac{1}{12(n+1-x)}.$$

Differentiation yields

$$f'_n(x) = \frac{1}{2} (n+1-x)^{-1} - \frac{1}{12} (n+1-x)^{-2} + n \log(n+1) - n \log(n) - 1.$$

Since $f''_n(x) > 0$ and because of $((n+1)/n)^{n+1/2} > e$ we obtain

$$f'_n(x) > \frac{1}{2} (n-1)^{-1} - \frac{1}{12} (n-1)^{-2} - \frac{1}{2} \log((n+1)/n). \quad (13)$$

The sequence on the right-hand side of (13) is decreasing on $[2, \infty)$ and tends to 0 if n tends to ∞ . Hence we have $f'_n(x) > 0$ which implies $f_n(i) \geq f_n(2)$ for $2 \leq i \leq n-1$.

To prove inequality (12) it remains to show

$$g(n) = n \log(n+1) - (2n-1) \log(n) + (n-1) \log(n-1) + \frac{1}{12(n-1)+1} - \frac{1}{12(n-1)} \geq 0 \quad \text{for } n \geq 3.$$

Differentiation reveals

$$g'(n) = \frac{24n-23}{12(n-1)^2 (12n-11)^2} + \frac{1}{n(n+1)} - \log(n^2/(n^2-1)).$$

Because of $((n + 1)/n)^{n + 1/2} > e$ we get $\log(n^2/(n^2 - 1)) > (n^2 - 1/2)^{-1}$. This leads (after some elementary computations) to

$$g'(n) < 0 \quad \text{for } n \geq 3.$$

Hence we have

$$g(n) > \lim_{n \rightarrow \infty} g(n) = 0$$

which completes the proof of the Theorem.

Remarks 1. Double-inequality (3) provides upper and lower bounds for the ratio $Q_n(r) = ((n + 1) \sum_{i=1}^n i^r / n \sum_{i=1}^{n+1} i^r)^{1/r}$ which do not depend on the parameter r . This leads to the question: What are the greatest number α_n and the smallest number β_n such that

$$\alpha_n \leq Q_n(r) \leq \beta_n$$

holds for all $r > 0$? Because of $\lim_{r \rightarrow \infty} Q_n(r) = n/(n + 1)$ and $\lim_{r \rightarrow 0} Q_n(r) = (n!)^{1/n}/((n + 1)!)^{1/(n + 1)}$ we conclude $\alpha_n = n/(n + 1)$ and $\beta_n = (n!)^{1/n}/((n + 1)!)^{1/(n + 1)}$. Thus, the bounds given in (3) are best possible.

2. Let $a_n(\mathbf{x})$ and $g_n(\mathbf{x})$ be the unweighted arithmetic and geometric means of $\mathbf{x} = (x_1, \dots, x_n)$ with $x_i > 0$ ($i = 1, \dots, n$), i.e.,

$$a_n = a_n(\mathbf{x}) = \frac{1}{n} \sum_{i=1}^n x_i \quad \text{and} \quad g_n = g_n(\mathbf{x}) = \prod_{i=1}^n x_i^{1/n}.$$

The well-known Popoviciu inequality states

$$(a_{n+1}/g_{n+1})^{n+1} \geq (a_n/g_n)^n. \tag{14}$$

Martins [3] pointed out that inequality (2) presents a refinement of (14) for the special case $x_i = i^r$ ($i = 1, \dots, n + 1$). Indeed, (2) is equivalent to

$$a_{n+1}/g_{n+1} \geq a_n/g_n \tag{15}$$

with $x_i = i^r$ ($i = 1, \dots, n + 1$) and $r > 0$. It is worth mentioning that there exists an additive analogue of (15),

$$a_{n+1} - g_{n+1} \geq a_n - g_n \tag{16}$$

with $x_i = i^r$ ($i = 1, \dots, n + 1$) and $r > 0$. A proof of (16) runs as follows.

From (15) we get

$$a_{n+1} - g_{n+1} \geq g_{n+1}(a_n/g_n - 1). \tag{17}$$

Because of $g_{n+1} \geq g_n$ (which is equivalent to the elementary inequality $((n+1)!)^{1/(n+1)} \geq (n!)^{1/n}$) we conclude from (17) and the arithmetic mean-geometric mean inequality

$$a_{n+1} - g_{n+1} \geq g_n(a_n/g_n - 1) = a_n - g_n.$$

We note that inequality (16) is a sharpening of the classical Rado inequality

$$(n+1)(a_{n+1} - g_{n+1}) \geq n(a_n - g_n)$$

which is valid for all $\mathbf{x} = (x_1, \dots, x_{n+1})$ with $x_i > 0$ ($i = 1, \dots, n+1$). Many remarkable extensions and refinements of the inequalities of Rado and Popoviciu can be found in monograph [1].

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