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Groups of tree-expanded series

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Abstract

In [Ch. Brouder, A. Frabetti, Renormalization of QED with planar binary trees, *Eur. Phys. J. C* 19 (2001) 715–741; Ch. Brouder, A. Frabetti, QED Hopf algebras on planar binary trees, *J. Algebra* 267 (2003) 298–322] we introduced three Hopf algebras on planar binary trees related to the renormalization of quantum electrodynamics. One of them, the algebra \mathcal{H}^α , is commutative, and is therefore the ring of coordinate functions of a proalgebraic group G^α . The other two algebras, \mathcal{H}^e and \mathcal{H}^γ , are free non-commutative. Therefore their abelian quotients are the coordinate rings of two proalgebraic groups G^e and G^γ . In this paper we describe explicitly these groups.

Using two monoidal structures and a set-operad structure on planar binary trees, we show that these groups can be realized on formal series expanded over trees, and that the group laws are generalizations of the multiplication and the composition of usual series in one variable. Therefore we obtain some new groups of invertible tree-expanded series and of tree-expanded formal diffeomorphisms respectively.

The Hopf algebra describing the renormalization of the electric charge corresponds to the subgroup of tree-expanded formal diffeomorphisms formed of the translations, which fix the zero, by some particular tree-expanded series which remind the proper correlation functions in quantum field theory. In turn, the group of tree-expanded formal diffeomorphisms and some of its subgroups give rise to new Hopf algebras on trees.

All the constructions are done in a general operad-theoretic setting, and then applied to the specific duplicial operad on trees.

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Introduction

In [5], C. Brouder and the author introduced three Hopf algebras \mathcal{H}^e , \mathcal{H}^γ and \mathcal{H}^α related to the renormalization of perturbative quantum electrodynamics. They are constructed on planar binary trees, where each tree represents a suitable sum of QED Feynman graphs. The abelian quotients \mathcal{H}_{ab}^e , \mathcal{H}_{ab}^γ , and the algebra \mathcal{H}^α , are commutative Hopf algebras, and therefore they are coordinate rings for some proalgebraic groups, that we denote respectively by G^e , G^γ and G^α . By proalgebraic group, we mean a functor G which associates a group $G(A)$ to any associative, unital and commutative algebra A , and which is represented by a commutative Hopf algebra \mathcal{H} which is not necessarily finitely generated. In other words, the group $G(A)$ is isomorphic to the group $\text{Hom}_{\text{Alg}}(\mathcal{H}, A)$ of algebra homomorphisms, considered with the convolution product inherited from the coalgebra structure of \mathcal{H} , cf. [1,3].

In this paper, we describe explicitly these groups as group functors, and show that they can be considered as generalizations of the groups of formal series in one variable, endowed with the multiplication and the composition. To do this, we introduce the notion of tree-expanded series.

Let A be an associative, unital and commutative algebra over the field \mathbb{Q} . Denote by Y the set of all trees, and by $A[[Y]]$ the vector space of sequences $(a_t)_{t \in Y}$ where $a_t \in A$. For our purpose, it is convenient to write a sequence (a_t) as a formal series $a(x) = \sum_{t \in Y} a_t x^t$, where x is a formal variable. Here the word “series” is an abuse of language, since x^t is just a symbol, for instance x^{Υ} . We call such sequences *tree-expanded series* in a variable x , with coefficients in A . These series behave like usual ones. We can multiply them, and compose those which have zero constant term. Here, the constant term is the coefficient of the symbol x^{\mid} , where \mid is the tree given by the sole root.

As affine varieties, we can identify the groups as follows. If we denote by \bar{Y} the set of trees different from \mid , the groups $G^e(A)$ and $G^\gamma(A)$ are both isomorphic to the subset $x^{\mid} + A[[\bar{Y}]]$. On the other side, if we denote by $\bar{\bar{Y}}$ the set of trees different from \mid and Υ , the group $G^\alpha(A)$ is isomorphic to the subset of $x^{\Upsilon} + A[[\bar{\bar{Y}}]]$ containing the tree-expanded series of the form

$$\varphi(x) = x^{\Upsilon} + \sum_{t \in \bar{Y}} \varphi_t x^t \Upsilon,$$

where the coefficients satisfy the condition

$$\varphi_t = \varphi_{\Upsilon t_1} \varphi_{\Upsilon t_2} \cdots \varphi_{\Upsilon t_n} \quad \text{if } t = \begin{array}{c} t_1 \\ \diagdown \\ t_2 \\ \diagdown \\ \vdots \\ t_n \\ \diagdown \\ \cdot \end{array}$$

In this paper we describe the group laws of $G^e(A)$, $G^\gamma(A)$ and $G^\alpha(A)$ presented as sets of tree-expanded series, and their relationship with their analogue groups of usual formal series.

Seen as representable group functors, the isomorphisms $G(A) \cong \text{Hom}_{\text{Alg}}(\mathcal{H}, A)$ bring a tree-expanded formal series $f(x) = \sum_{t \in \mathcal{Y}} f_t x^t$ into the algebra homomorphism from \mathcal{H} to A which associates to the tree $t \in \mathcal{H}$ the coefficient $f_t \in A$.

This situation is in fact not peculiar to trees. We show that the same kind of constructions can be done on the set $A[[\mathcal{P}]]$ of \mathcal{P} -expanded series, if \mathcal{P} is a graded collection of finite sets with suitable properties. These turn out to be: a set-operad structure on \mathcal{P} , and two associative binary operations in $\mathcal{P}(2)$. The results on trees are then obtained by considering the dupliacial operad generated by the *over* and *under* grafting operations on trees. Similar results could be obtained for other operads, and in particular for the diassociative operad describing dialgebras, however we do not investigate the resulting groups of series in this paper.

One of the key resulting groups, that of formal diffeomorphisms, requires only an operad structure. For algebraic operads, essentially the same construction was considered by F. Chapoton in [7] and by P. van der Laan in [16] with different motivations and applications. Chapoton specifies to the *pre-Lie operad* of rooted trees, and uses the rooted tree-expanded series to describe the flow of a linear vector field on an affine space. Van der Laan describes the non-symmetric case and introduces the non-commutative lift of the coordinate rings. We will comment briefly the relationship between the different constructions in Section 2.1.

Notations. All vector spaces and algebras are defined over the field \mathbb{Q} of rational numbers, although the algebras \mathcal{H}^e , \mathcal{H}^γ and \mathcal{H}^α were originally defined over the field of complex numbers. In fact, this restriction was not necessary.

For any set X , we denote by $\mathbb{Q}X$ the vector space spanned by X , by $\mathbb{Q}(X)$ the tensor algebra on X (non-commutative polynomials), and by $\mathbb{Q}[X]$ the symmetric algebra on X (commutative polynomials).

1. Group of invertible tree-expanded series

In this section, we recall how to associate a group of series to a graded monoid, and discuss the relationship with the group of invertible usual series in one variable.

Then we consider two graded monoids built on trees, with the *over* and *under* grafting operations, and describe the resulting groups of tree-expanded series. We show that the coordinate rings of these groups coincide with the “QED propagator” Hopf algebras introduced in [5].

1.1. Group of series expanded over a graded monoid

Let $\mathcal{M}(n)$ be a collection of finite sets indexed by non-negative integers, and set $\mathcal{M} = \bigsqcup_{n \geq 0} \mathcal{M}(n)$. Then \mathcal{M} is a graded monoid if it is endowed with an associative graded operation $\cdot : \mathcal{M} \times \mathcal{M} \rightarrow \mathcal{M}$ and a neutral element $e \in \mathcal{M}(0)$.

Let A be an associative, commutative and unital algebra over \mathbb{Q} , and denote by $A[[\mathcal{M}]]$ the vector space of \mathcal{M} -expanded series $f(x) = \sum_{p \in \mathcal{M}} f_p x^p$, with $f_p \in A$. We define a multiplication in $A[[\mathcal{M}]]$ by setting

$$(f \cdot g)(x) := \sum_{p \in \mathcal{M}} \sum_{q \in \mathcal{M}} f_p g_q x^{p \cdot q}. \tag{1.1}$$

This series is well defined because for a given $u \in \mathcal{M}$ there exist finitely many elements $p, q \in \mathcal{M}$ such that $p \cdot q = u$. Then $A[[\mathcal{M}]]$ forms a unital algebra, with unit x^e .

Theorem 1.1 (Obvious). *Set $\overline{\mathcal{M}} = \bigsqcup_{n \geq 1} \mathcal{M}(n)$. The subset $G_{\mathcal{M}}^{\text{inv}}(A) := x^e + A[[\overline{\mathcal{M}}]]$ forms a group.*

We call $G_{\mathcal{M}}^{\text{inv}}(A)$ the group of *invertible \mathcal{M} -series*, with coefficients in A .

Example. The first example of such a group is constructed from the graded monoid \mathbb{N} of non-negative integers, with $\mathbb{N}(n) = \{n\}$ and the addition. We then obtain the group $G^{\text{inv}}(A) = 1 + xA[[x]]$ of usual invertible formal series.

The construction of the group $G_{\mathcal{M}}^{\text{inv}}(A)$ is functorial in \mathcal{M} . Since the grading $\pi : \mathcal{M} \rightarrow \mathbb{N}$ is a canonical morphism of graded monoids, and any $p \in \mathcal{M}(1)$ gives a section $i_p : \mathbb{N} \rightarrow \mathcal{M}$ by $n \mapsto p^n$, we can compare the series expanded over \mathcal{M} with the usual formal series.

Proposition 1.2. *For any graded monoid \mathcal{M} , there is a canonical morphism of groups $\pi : G_{\mathcal{M}}^{\text{inv}}(A) \rightarrow G^{\text{inv}}(A)$. Moreover, any element $p \in \mathcal{M}(1)$ gives a section $i_p : G^{\text{inv}}(A) \rightarrow G_{\mathcal{M}}^{\text{inv}}(A)$.*

The construction of the group $G_{\mathcal{M}}^{\text{inv}}(A)$ is also functorial in A . Indeed, the group functor $G_{\mathcal{M}}^{\text{inv}}$ can be represented by a commutative Hopf algebra $\mathcal{H}_{\mathcal{M}}^{\text{inv}}$, i.e., for any associative, commutative and unital algebra A , we have

$$G_{\mathcal{M}}^{\text{inv}}(A) \cong \text{Hom}_{\text{Alg}}(\mathcal{H}_{\mathcal{M}}^{\text{inv}}, A).$$

As an algebra, $\mathcal{H}_{\mathcal{M}}^{\text{inv}}$ is a polynomial algebra with generators indexed by $\overline{\mathcal{M}}$. It is remarkable that it admits a straightforward lift to a non-commutative polynomial algebra.

Given a commutative Hopf algebra \mathcal{H} , by *non-commutative lift* of \mathcal{H} we mean a non-commutative Hopf algebra \mathcal{H}^{nc} such that \mathcal{H} is the abelian quotient of \mathcal{H}^{nc} . The *abelian quotient* of a Hopf algebra \mathcal{H}^{nc} is the commutative algebra $\mathcal{H}_{ab}^{\text{nc}}$ obtained as the quotient of \mathcal{H}^{nc} on the ideal generated by the commutator $[\mathcal{H}^{\text{nc}}, \mathcal{H}^{\text{nc}}]$. It is automatically a Hopf algebra.

In the case of $\mathcal{H}_{\mathcal{M}}^{\text{inv}}$, we consider the free associative algebra $\mathcal{H}_{\mathcal{M}}^{\text{inv,nc}} = \mathbb{Q}\langle \overline{\mathcal{M}} \rangle$, with generators graded by the grading of \mathcal{M} . The neutral element $e \in \mathcal{M}(0)$ is identified with the unit 1. Define

a coproduct $\Delta_{\mathcal{M}}^{\text{inv}} : \mathcal{H}_{\mathcal{M}}^{\text{inv,nc}} \rightarrow \mathcal{H}_{\mathcal{M}}^{\text{inv,nc}} \otimes \mathcal{H}_{\mathcal{M}}^{\text{inv,nc}}$ as the algebra morphism given on the generators u by

$$\Delta_{\mathcal{M}}^{\text{inv}}(u) := \sum_{u=p \cdot q} p \otimes q.$$

Define also a counit $\varepsilon : \mathcal{H}_{\mathcal{M}}^{\text{inv,nc}} \rightarrow \mathbb{Q}$ as the algebra morphism given on all $u \neq e$ by $\varepsilon(u) = 0$.

Proposition 1.3. *The algebra $\mathcal{H}_{\mathcal{M}}^{\text{inv,nc}}$ is a (non-commutative) graded and connected Hopf algebra. It is cocommutative if and only if the monoid is abelian.*

The coordinate ring of $G_{\mathcal{M}}^{\text{inv}}$ is the abelian quotient $\mathcal{H}_{\mathcal{M}}^{\text{inv}} = \mathbb{Q}[\overline{\mathcal{M}}]$ of the Hopf algebra $\mathcal{H}_{\mathcal{M}}^{\text{inv,nc}}$.

Example. In particular, the coordinate ring of the group functor G^{inv} is the polynomial algebra $\mathcal{H}^{\text{inv}} = \mathbb{Q}[b_1, b_2, \dots]$ on one generator b_n in each degree $n \geq 1$. The coproduct on the generators of \mathcal{H}^{inv} is

$$\Delta^{\text{inv}} b_n = \sum_{k=0}^n b_k \otimes b_{n-k} \quad (b_0 = 1),$$

and the counit is $\varepsilon(b_n) = 0$ for $n \geq 1$. This Hopf algebra is the unique free commutative and cocommutative Hopf algebra on infinitely many generators spanned by natural numbers, commonly known as the Hopf algebra of symmetric functions. It is well known to admit a non-commutative lift to the tensor algebra $\mathcal{H}^{\text{inv,nc}} = \mathbb{Q}\langle b_1, b_2, \dots \rangle$ which is still cocommutative.

Since the correspondence between proalgebraic groups and their representative Hopf algebras is contravariant, the relationship between the Hopf algebras $\mathcal{H}_{\mathcal{M}}^{\text{inv,nc}}$ and $\mathcal{H}^{\text{inv,nc}}$ can be found by reversing the morphisms of Proposition 1.2.

Proposition 1.4. *There is a canonical morphism of Hopf algebras $\mathcal{H}^{\text{inv,nc}} \rightarrow \mathcal{H}_{\mathcal{M}}^{\text{inv,nc}}$. Moreover, each element $p \in \mathcal{M}(1)$ determines a section $\mathcal{H}_{\mathcal{M}}^{\text{inv,nc}} \rightarrow \mathcal{H}^{\text{inv,nc}}$.*

Proof. The morphism $\mathcal{H}^{\text{inv,nc}} \rightarrow \mathcal{H}_{\mathcal{M}}^{\text{inv,nc}}$ is explicitly given by

$$b_n \mapsto \sum_{p \in \mathcal{M}(n)} p,$$

and it is injective if there is an element $p \in \mathcal{M}(1)$. Then, its section $\mathcal{H}_{\mathcal{M}}^{\text{inv,nc}} \rightarrow \mathcal{H}^{\text{inv,nc}}$ is given by the map

$$u \in \mathcal{M}(n) \mapsto \begin{cases} b_n & \text{if } u = p^n, \\ 0 & \text{otherwise.} \end{cases}$$

The verification that these two maps are morphisms of Hopf algebras is straightforward. \square

1.2. Graded monoid of trees and invertible tree-expanded series

In this paper, by a “tree” we will always mean a *planar binary rooted tree*, that is, a connected planar graph without loops, having internal vertices of valence 3 and a preferred external vertex called the *root*. For any tree t , we call *order of t* the number $|t|$ of its internal vertices. For any $n \geq 0$, we then denote by Y_n the set of trees of order n . In particular, there is only one tree $|$ with no internal vertex, called the *root tree*, and there is only one tree Υ with 1 internal vertex, called the *vertex tree*. The sets Y_2 and Y_3 are the following:

$$Y_2 = \{ \swarrow \Upsilon, \searrow \Upsilon \},$$

$$Y_3 = \{ \swarrow \swarrow \Upsilon, \swarrow \searrow \Upsilon, \searrow \swarrow \Upsilon, \searrow \searrow \Upsilon \}.$$

On the set $Y = \bigcup_{n \geq 0} Y_n$ of all trees, let us consider the over and under products introduced by J.-L. Loday in [11, Section 1.5]. We recall that for any planar binary trees t and s , the tree t over s is the grafting

$$t/s = \begin{array}{c} t \\ \diagdown \\ s \end{array}$$

of the root of t on the leftmost leaf of s , while the tree t under s is the grafting

$$t \backslash s = \begin{array}{c} t \\ \diagup \\ s \end{array}$$

of the root of s on the rightmost leaf of t . Of course, the operations over and under are isomorphic, and the isomorphism is given by the axial symmetry of the trees along their roots.

The over and under products on trees are associative, non-commutative, with unit given by the root tree $|$. Moreover they are graded with respect to the order of trees, in the sense that

$$|t/s| = |t| + |s| \quad \text{and} \quad |t \backslash s| = |t| + |s|. \tag{1.2}$$

Therefore $(Y, /)$ and (Y, \backslash) are two graded monoids. Applying Theorem 1.1, we then obtain two non-abelian group laws on the set of tree-expanded series

$$G_Y^{\text{inv}}(A) := \left\{ f(x) = \sum_{t \in Y} f_t x^t, f_t \in A, f_| = 1 \right\},$$

with multiplications denoted by $/$ and \backslash , and with unit $x^|$. Denote these groups as

$$G^{\text{over}}(A) := (G_Y^{\text{inv}}(A), /) \quad \text{and} \quad G^{\text{under}}(A) := (G_Y^{\text{inv}}(A), \backslash).$$

Theorem 1.5. *The non-commutative lift of the coordinate rings of the two group functors G^{over} and G^{under} coincide with the QED propagator Hopf algebras \mathcal{H}^Y and \mathcal{H}^e .*

In other words, if we denote by G^γ and G^e the group functors represented respectively by the Hopf algebras \mathcal{H}_{ab}^γ and \mathcal{H}_{ab}^e , then the groups are exactly $G^\gamma = G^{\text{over}}$ and $G^e = G^{\text{under}}$.

Proof of Theorem 1.5. Let us recall, from [5], the definition of the “QED propagator Hopf algebras” \mathcal{H}^γ and \mathcal{H}^e on planar binary trees. As algebras, they are both isomorphic to the free non-commutative algebra generated by all trees different from $|$, that is $\mathcal{H}^\gamma = \mathcal{H}^e = \mathbb{Q}\langle \bar{Y} \rangle$, where $\bar{Y} = \bigcup_{n \geq 1} Y_n$. Since $Y_0 = \{| \}$, we also identify the root-tree $|$ to the unit 1 and write $\mathcal{H}^\gamma = \mathcal{H}^e \cong \mathbb{Q}\langle Y \rangle / (\langle | \rangle - 1)$.

The coalgebra structures are given by the “pruning coproducts” $\Delta_\gamma^{\text{inv}} : \mathcal{H}^\gamma \rightarrow \mathcal{H}^\gamma \otimes \mathcal{H}^\gamma$ and $\Delta_e^{\text{inv}} : \mathcal{H}^e \rightarrow \mathcal{H}^e \otimes \mathcal{H}^e$ defined as the dual operations respectively to the over and under products of trees. That is, they are defined on the generators $u \in \bar{Y}$ by

$$\Delta_\gamma^{\text{inv}}(u) = \sum_{t/s=u} t \otimes s \quad \text{and} \quad \Delta_e^{\text{inv}}(u) = \sum_{t \setminus s=u} t \otimes s. \tag{1.3}$$

The counits $\varepsilon : \mathcal{H}^\gamma \rightarrow \mathbb{Q}$ and $\varepsilon : \mathcal{H}^e \rightarrow \mathbb{Q}$ are dual to the unit $|$, that is $\varepsilon(|) = 1$ and $\varepsilon(t) = 0$ if $t \neq |$, and the antipodes are then defined in a standard recursive way.

Comparing the pruning coproducts in \mathcal{H}^γ and \mathcal{H}^e given by Eq. (1.3) with the over and under multiplications in $G^\gamma(A)$ and $G^e(A)$ obtained from Eq. (1.1) for the over and under products, it is clear that the abelian quotients \mathcal{H}_{ab}^γ and \mathcal{H}_{ab}^e of the two non-commutative Hopf algebras \mathcal{H}^γ and \mathcal{H}^e are respectively the coordinate rings of the two group functors G^{over} and G^{under} . \square

Let us call *order map* the map $|\cdot| : Y \rightarrow \mathbb{N}$ which sends each tree $t \in Y$ to its order $|t| \in \mathbb{N}$. Formulas (1.2) say that it is a morphism of graded monoids, and in fact it coincides with the projection π of Proposition 1.2. Since $Y_1 = \{\gamma\}$, we conclude that the order map induces two surjective morphisms of groups from $G^{\text{over}}(A)$ and $G^{\text{under}}(A)$ respectively to $G^{\text{inv}}(A)$.

The vertex tree then determines a section for each of the two projections, namely the maps $i^{\text{over}}, i^{\text{under}} : G^{\text{inv}}(A) \rightarrow G^{\text{over}}(A)$ and $G^{\text{under}}(A)$ such that

$$i^{\text{over}}(x^n) = x^{\gamma/n} = x \begin{array}{c} \diagup \dots \diagdown \\ | \end{array} \quad \text{and} \quad i^{\text{under}}(x^n) = x^{\gamma \setminus n} = x \begin{array}{c} \diagup \dots \diagdown \\ | \end{array}.$$

Let us call these trees respectively the left and the right *comb trees*. Therefore the inclusions i^{under} and i^{over} identify the group $G^{\text{inv}}(A)$ of invertible series with the two subgroups of $G^{\text{under}}(A)$ and $G^{\text{over}}(A)$ made of tree-expanded series expanded only on the comb trees.

The result of Proposition 1.4 on the dual Hopf algebras \mathcal{H}^e and \mathcal{H}^γ , namely that they contain $\mathcal{H}^{\text{inv,nc}}$ as a Hopf subalgebra, was already shown in [6].

2. Tree-expanded formal diffeomorphisms

In this section we introduce a group of series associated to any non-symmetric operad in the category of sets, and discuss the relationship with the group of usual formal diffeomorphisms in one variable.

Then we apply the results to the duplicial operad, generated by the over and under operations on trees. The resulting group of tree-expanded diffeomorphisms is bigger than the group G^α that

we are looking for. However we describe it explicitly, because its coordinate ring admits a non-commutative lift which gives rise to a new graded and connected Hopf algebra on trees, which is neither commutative nor cocommutative.

2.1. Group of series expanded over a set-operad

Let us recall the definition of a (non-symmetric) operad in the category of sets. Let $\mathcal{P}(n)$ be a collection of finite sets, indexed by positive integers, and set $\mathcal{P} = \bigsqcup_{n \geq 1} \mathcal{P}(n)$. Then \mathcal{P} is a set-operad if there exist compositions maps

$$\begin{aligned} \gamma \equiv \gamma_{n; m_1, \dots, m_n} : \mathcal{P}(n) \times \mathcal{P}(m_1) \times \dots \times \mathcal{P}(m_n) &\rightarrow \mathcal{P}(m_1 + \dots + m_n), \\ (p; q_1, \dots, q_n) &\mapsto \gamma(p; q_1, \dots, q_n) \end{aligned}$$

satisfying the associative condition

$$\begin{aligned} \gamma(\gamma(p; q_1, \dots, q_n); u_1^1, \dots, u_{m_1}^1, u_1^2, \dots, u_{m_2}^2, \dots, u_1^n, \dots, u_{m_n}^n) \\ = \gamma(p; \gamma(q_1; u_1^1, \dots, u_{m_1}^1), \dots, \gamma(q_n; u_1^n, \dots, u_{m_n}^n)) \end{aligned}$$

and an operation $\text{id} \in \mathcal{P}(1)$ acting as the identity, that is

$$\gamma(\text{id}; q) = q \quad \text{and} \quad \gamma(p; \text{id}, \dots, \text{id}) = p.$$

For any $p \in \mathcal{P}(n)$, set $|p| = n$ and call it the *order of p*.

The canonical example of a set-operad is the endomorphism operad End_S of a set S , given by the collection of set-maps $\text{End}_S(n) = \text{Hom}(S^n, S)$, together with the usual composition of maps. If \mathcal{P} is a set-operad, a morphism from \mathcal{P} to the endomorphism operad End_S defines on the set S the structure of a \mathcal{P} -monoid.

Let A be an associative, commutative and unital algebra over \mathbb{Q} , and denote by $A[[\mathcal{P}]]$ the vector space of \mathcal{P} -expanded series $\varphi(x) = \sum_{p \in \mathcal{P}} f_p x^p$, with $f_p \in A$. We define the *composition* in $A[[\mathcal{P}]]$ by

$$(\varphi \circ \psi)(x) := \sum_{p \in \mathcal{P}} \sum_{q_1, \dots, q_{|p|} \in \mathcal{P}} \varphi_p \psi_{q_1} \dots \psi_{q_n} x^{\gamma(p; q_1, \dots, q_{|p|})}. \tag{2.1}$$

Theorem 2.1. *Set $\overline{\mathcal{P}} = \bigsqcup_{n \geq 2} \mathcal{P}(n)$. The subset $G_{\overline{\mathcal{P}}}^{\text{dif}}(A) := x^{\text{id}} + A[[\overline{\mathcal{P}}]]$ forms a group, with unit x^{id} . Moreover the composition respects the shifted grading $\text{deg}(p) = |p| - 1$.*

We call $G_{\overline{\mathcal{P}}}^{\text{dif}}(A)$ the group of \mathcal{P} -expanded formal diffeomorphisms.

Proof of Theorem 2.1. The series $x^{\text{id}} \in G_{\overline{\mathcal{P}}}^{\text{dif}}(A)$ is obviously a unit. The subset $G_{\overline{\mathcal{P}}}^{\text{dif}}(A)$ is obviously closed under \circ . To show that it is a group, it suffices to show that the composition in $A[[\mathcal{P}]]$ is associative and respects the shifted grading. In fact, its series φ have invertible constant term $\varphi_{\text{id}} = 1$, and therefore their compositional inverse can be found recursively.

The associativity of the composition \circ is ensured by the associativity of the operadic composition γ . In fact, given three \mathcal{P} -expanded series φ, ψ, η , we have

$$[(\varphi \circ \psi) \circ \eta](x) = \sum_{\substack{p \in \mathcal{P} \\ q_1, \dots, q_n \in \mathcal{P} \\ u_1, \dots, u_m \in \mathcal{P}}} \varphi_p \psi_{q_1} \dots \psi_{q_n} \eta_{u_1} \dots \eta_{u_m} x^{\gamma(\gamma(p; q_1, \dots, q_n); u_1, u_2, \dots, u_m)},$$

where $n = |p|$ and $m = |\gamma(p; q_1, \dots, q_n)| = \sum_{i=1}^n |q_i|$. On the other side, we have

$$[\varphi \circ (\psi \circ \eta)](x) = \sum_{\substack{p \in \mathcal{P} \\ q_1, \dots, q_n \in \mathcal{P}}} \sum_{\substack{v_1^1, \dots, v_{|q_1|}^1 \in \mathcal{P} \\ v_1^n, \dots, v_{|q_n|}^n \in \mathcal{P}}} \varphi_p \psi_{q_1} \dots \psi_{q_n} \eta_{v_1^1} \dots \eta_{v_{|q_1|}^1} \dots \eta_{v_1^n} \dots \eta_{v_{|q_n|}^n} \\ \times x^{\gamma(p; \gamma(q_1; v_1^1, \dots, v_{|q_1|}^1), \dots, \gamma(q_n; v_1^n, \dots, v_{|q_n|}^n))},$$

where the total number of operations v_j^i is $|q_1| + \dots + |q_n| = m$. If we call $v_{k_1}^1 := u_{k_1}$, and $v_{k_i}^i := u_{|q_1| + \dots + |q_{i-1}| + k_i}$, for $i = 2, \dots, n$, all the factors $\eta_{v_{k_i}^i}$ of the second term have a corresponding factor η_{u_j} in the first term, and the associativity of the composition γ guarantees that the exponents coincide.

The shifted grading ensures that the composition \circ is graded. In fact, for any operations p and $q_1, \dots, q_{|p|}$, we have

$$\begin{aligned} \deg(p) + \deg(q_1 \dots q_{|p|}) &= (|p| - 1) + \sum_{i=1}^{|p|} (|q_i| - 1) = \sum_{i=1}^{|p|} |q_i| - 1 \\ &= \deg(\gamma(p; q_1, \dots, q_{|p|})). \quad \square \end{aligned}$$

Example. The simplest example of such a group is that of usual formal diffeomorphisms. To see how it arises from a set-operad, consider the set-operad $\mathbb{N}^* = \bigsqcup_{n \geq 1} \{n\}$ of positive integers, with the compositions $\gamma(n; m_1, \dots, m_n) = m_1 + \dots + m_n$ and identity $\text{id} = 1$. It is the quadratic set-operad generated by one associative operation in degree 2. An \mathbb{N}^* -monoid is a usual associative monoid. From a set-operad \mathcal{P} one can define an algebraic operad $\mathbb{Q}\mathcal{P}$ by considering the collection of \mathbb{Q} -vector spaces $\mathbb{Q}\mathcal{P}(n)$ spanned by the finite sets, and extending linearly the compositions γ to maps $\mathbb{Q}\mathcal{P}(n) \otimes \mathbb{Q}\mathcal{P}(m_1) \otimes \dots \otimes \mathbb{Q}\mathcal{P}(m_n) \rightarrow \mathbb{Q}\mathcal{P}(m_1 + \dots + m_n)$. The algebraic operad associated to the set-operad \mathbb{N}^* is the operad $\mathcal{A}s$ of associative algebras. Therefore we will use the symbol $\mathcal{A}s$ also to denote the set-operad.

For $\mathcal{P} = \mathcal{A}s$ we have $A[[\mathcal{A}s]] = x A[[x]]$ and $A[[\tilde{\mathcal{A}}s]] = x^2 A[[x]]$. Therefore the group $G_{\mathcal{A}s}^{\text{dif}}(A)$ is the group $G^{\text{dif}}(A) = x + x^2 A[[x]]$ of usual formal diffeomorphisms $\varphi(x) = x + \sum_{n \geq 2} \varphi_n x^n$ (tangent to the identity), with coefficients in A , considered with the composition (or substitution)

$$(\varphi \circ \psi)(x) = \varphi(\psi(x)) = \sum_{n=1}^{\infty} \varphi_n \psi(x)^n = \sum_{n=1}^{\infty} \left(\sum_{m=1}^n \sum_{\substack{k_1 + \dots + k_m = n \\ k_1, \dots, k_m \geq 1}} \varphi_m \psi_{k_1} \dots \psi_{k_m} \right) x^n, \quad (2.2)$$

and with unit given by the series x .

Another example can be constructed from the diassociative set-operad $\mathcal{D}ias$, whose algebraic extension was introduced by J.-L. Loday in [10] and gives rise to dialgebras. It is given by the collection of sets $\mathcal{D}ias(n) = \{1, \dots, n\}$, with compositions

$$\begin{aligned} \text{Dias}(n) \times \text{Dias}(m_1) \times \cdots \times \text{Dias}(m_n) &\rightarrow \text{Dias}(m_1 + \cdots + m_n), \\ (i; j_1, \dots, j_n) &\mapsto j_i. \end{aligned}$$

However we do not investigate here the associated group of formal diffeomorphisms. A non-trivial example of such a group is explained in details in the next section.

Remark 2.2. In [7] and [16], F. Chapoton and P. van der Laan independently defined essentially the same group $G_{\mathcal{Q}}$ of formal series for any algebraic operad \mathcal{Q} over \mathbb{Q} . We point out here the relationship between their construction and ours.

The group $G_{\mathcal{Q}}$ is formed of formal sums $\sum_{\mu \in \mathcal{Q}} \mu$ with $\mu_1 = \text{id}$, endowed with the operation

$$\left(\sum_{\mu \in \mathcal{Q}} \mu \right) \circ \left(\sum_{\eta \in \mathcal{Q}} \eta \right) = \sum_{\mu \in \mathcal{Q}} \sum_{\eta_1, \dots, \eta_{|\mu|} \in \mathcal{Q}} \gamma(\mu; \eta_1, \dots, \eta_{|\mu|}), \tag{2.3}$$

where γ denotes the operadic compositions in \mathcal{Q} .

In the case where $\mathcal{Q} = \mathbb{Q}\mathcal{P}$ comes from a set-operad \mathcal{P} , the two constructions are essentially the same. Each vector space $\mathcal{Q}(n) = \mathbb{Q}\mathcal{P}(n)$ has a canonical basis given by the elements of $\mathcal{P}(n)$, therefore any $\mu \in \mathcal{Q}(n)$ can be written as a linear combination of these elements, that is $\mu = \sum_{p \in \mathcal{P}(n)} \mu_p p$, where $\mu_p \in \mathbb{Q}$ are scalar coefficients. Then the composition given by Eq. (2.3) is just the linear extension of the composition given by Eq. (2.1). In other words, the group $G_{\mathcal{Q}}$ coincides with the group $G_{\mathcal{P}}^{\text{dif}}(\mathbb{Q})$ of \mathcal{P} -expanded diffeomorphisms with coefficients in the ground field.

The advantage of Chapoton–van der Laan’s construction is that the group $G_{\mathcal{Q}}$ can be defined for algebraic operads which are not set-operads. The advantage of our construction is that for set-operads we can distinguish between operadic elements and coefficients. These can then be chosen in any associative, commutative and unital algebra.

The construction of the group $G_{\mathcal{P}}^{\text{dif}}(A)$ is functorial in \mathcal{P} . The order map $|\cdot| : \mathcal{P} \rightarrow \mathcal{A}s$ is a canonical morphism of operads. A section is simply an operad morphism $\mathcal{A}s \rightarrow \mathcal{P}$. Let us call *associative* an element $p_2 \in \mathcal{P}(2)$ such that $\gamma(p_2; p_2, \text{id}) = \gamma(p_2; \text{id}, p_2)$, and *multiplicative* an operad \mathcal{P} equipped with an associative element. Then any associative $p_2 \in \mathcal{P}(2)$ gives a section $n \mapsto p_n = \gamma(p_2; p_{n-1}, \text{id})$. Therefore we can easily compare the series expanded over \mathcal{P} with the usual ones.

Proposition 2.3. *For any set-operad \mathcal{P} , there is a canonical morphism of groups $\pi : G_{\mathcal{P}}^{\text{dif}}(A) \rightarrow G^{\text{dif}}(A)$, induced by the order map $|\cdot|$. Moreover, if \mathcal{P} is multiplicative, any associative $p_2 \in \mathcal{P}(2)$ gives a section $i_{p_2} : G^{\text{dif}}(A) \rightarrow G_{\mathcal{P}}^{\text{dif}}(A)$.*

Again, the construction of the group $G_{\mathcal{P}}^{\text{dif}}(A)$ is functorial also in A , and the group functor $G_{\mathcal{P}}^{\text{dif}}$ is represented by a commutative Hopf algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif}}$. As an algebra, $\mathcal{H}_{\mathcal{P}}^{\text{dif}}$ is a polynomial algebra with generators indexed by $\overline{\mathcal{P}}$ and graded by the shifted degree. As shown by van der Laan for algebraic operads, the coordinate ring $\mathcal{H}_{\mathcal{P}}^{\text{dif}}$ admits a non-commutative lift.

Consider the free associative algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} = \mathbb{Q}\langle \overline{\mathcal{P}} \rangle$, graded by the shifted grading $\text{deg}(u) = |u| - 1$ for $u \in \mathcal{P}$, and where the element $\text{id} \in \mathcal{P}(0)$ is identified with the formal unit 1. Define

a coproduct $\Delta_{\mathcal{P}}^{\text{dif}} : \mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} \rightarrow \mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} \otimes \mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$ as the algebra morphism given on the generators u by

$$\Delta_{\mathcal{P}}^{\text{dif}}(u) := \sum_{\substack{p, q_1, \dots, q_{|p|} \in \overline{\mathcal{P}} \cup \{\text{id}\} \\ \gamma(p; q_1, \dots, q_{|p|}) = u}} p \otimes q_1 \cdots q_{|p|}.$$

Define also a counit $\varepsilon : \mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} \rightarrow \mathbb{Q}$ as the algebra morphism given on all $p \neq \text{id}$ by $\varepsilon(p) = 0$.

Proposition 2.4. *The algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$ is a graded and connected Hopf algebra, neither commutative nor cocommutative.*

The coordinate ring of the group $G_{\mathcal{P}}^{\text{dif}}$ is the abelian quotient $\mathcal{H}_{\mathcal{P}}^{\text{dif}} = \mathbb{Q}[\overline{\mathcal{P}}]$ of the Hopf algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$.

Proof. The fact that $\mathcal{H}_{\mathcal{P}}^{\text{dif}}$ is the coordinate ring of the group $G_{\mathcal{P}}^{\text{dif}}(A)$ is obvious. The existence of a non-commutative lift is ensured by the assumption that the operad \mathcal{P} is non-symmetric. In this case, in fact, the operadic composition fixes the order of the operations, and this guarantees the coassociativity of the coproduct lifted to tensor products. \square

Example. The coordinate ring of the group functor G^{dif} is the polynomial algebra $\mathbb{Q}[a_1, a_2, \dots]$ on the graded generators a_n , one in each degree $n \geq 1$. It is a Hopf algebra, with coproduct given by

$$\Delta^{\text{dif}}(a_n) = \sum_{m=0}^n a_m \otimes \sum_{l=0}^{n-m} \binom{m+1}{l} \sum_{\substack{p_1, \dots, p_{n-m} \geq 0 \\ p_1 + p_2 + \dots + p_{n-m} = l \\ p_1 + 2p_2 + \dots + (n-m)p_{n-m} = n-m}} \frac{l!}{p_1! \cdots p_{n-m}!} a_1^{p_1} \cdots a_{n-m}^{p_{n-m}},$$

counit $\varepsilon(a_n) = 0$ for $n \geq 1$, and antipode defined recursively. Up to a rescaling of the generators, this Hopf algebra is known as the *Faà di Bruno Hopf algebra*, cf. [8,9] or [13].

The non-commutative version of this Hopf algebra is exactly the one defined in [6], with coproduct lifted as

$$\Delta^{\text{dif}}(a_n) = \sum_{m=0}^n a_m \otimes \sum_{\substack{k_0, k_1, \dots, k_m \geq 0 \\ k_0 + k_1 + \dots + k_m = n-m}} a_{k_0} a_{k_1} \cdots a_{k_m} \quad (a_0 = 1).$$

The non-commutative version of Proposition 2.3 gives the following result.

Proposition 2.5. *There is a canonical morphism of Hopf algebras $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} \rightarrow \mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$. Moreover, if \mathcal{P} is multiplicative, each associative $p_2 \in \mathcal{P}(2)$ gives a section $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} \rightarrow \mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$.*

Proof. The map $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} \rightarrow \mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$ is explicitly given by

$$a_n \mapsto \sum_{p \in \mathcal{P}(n+1)} p.$$

It is an inclusion if $\mathcal{P}(2)$ is not empty, and its section $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} \rightarrow \mathcal{H}^{\text{dif,nc}}$ is given by the map

$$u \in \mathcal{P}(n) \mapsto \begin{cases} a_{n-1} & \text{if } u = p_n = \gamma(p_{n-1}; p_2, \text{id}, \dots, \text{id}), \\ 0 & \text{otherwise.} \end{cases}$$

The verification that these two maps are morphisms of Hopf algebras is trivial on the abelian quotients, and the passage to the non-commutative algebras is straightforward. \square

2.2. Set-operad of trees and tree-expanded formal diffeomorphisms

Any tree $t \in \bar{Y}$ can be written as a monomial in the vertex tree Υ , using the over and under products and suitable parentheses. For instance,

$$\begin{aligned} \Upsilon &= \Upsilon/\Upsilon, & \Upsilon &= \Upsilon \backslash \Upsilon, \\ \Upsilon &= (\Upsilon \backslash \Upsilon)/\Upsilon, & \Upsilon &= (\Upsilon/\Upsilon) \backslash \Upsilon = \Upsilon/(\Upsilon \backslash \Upsilon). \end{aligned}$$

This decomposition is not unique in general, as shown by the last example.

For any tree $t \in \bar{Y}$, we call *t-product* the map $\mu_t : \bar{Y}^{\times |t|} \rightarrow \bar{Y}$ which describes the tree t as an over and under product of Υ by itself.¹ More precisely, μ_t reproduces the tree t when evaluated on $|t|$ copies of Υ , that is $\mu_t(\Upsilon, \Upsilon, \dots, \Upsilon) = t$, and computes the product defined by the shape of t on all the other trees $s_1, s_2, \dots, s_{|t|} \in \bar{Y}$. Graphically, this means that, for any trees $s_1, s_2, \dots, s_{|t|} \neq |$, the tree $\mu_t(s_1, s_2, \dots, s_{|t|})$ is obtained by replacing each internal vertex of t , which has shape Υ , by the tree s_i , in the order given by the parentheses arising in the decomposition of t by Υ . The tree thus obtained clearly has order

$$|\mu_t(s_1, s_2, \dots, s_{|t|})| = |s_1| + |s_2| + \dots + |s_{|t|}|. \tag{2.4}$$

In particular, if $t = \Upsilon$, the map $\mu_\Upsilon : \bar{Y} \rightarrow \bar{Y}$ acts as the identity, that is $\mu_\Upsilon(s) = s$ for any $s \neq |$. Other examples are:

$$\begin{aligned} \Upsilon &= (\Upsilon \backslash \Upsilon)/\Upsilon & \text{hence} & & \mu_{\Upsilon} &= & \mu_{(\Upsilon \backslash \Upsilon)/\Upsilon}(s_1, s_2, s_3) = (s_1 \backslash s_2)/s_3 = \begin{array}{c} s_1 \backslash s_2 \\ \Upsilon \\ s_3 \end{array}, \\ \Upsilon &= \Upsilon/\Upsilon \backslash \Upsilon & \text{hence} & & \mu_{\Upsilon} &= & \mu_{\Upsilon/\Upsilon \backslash \Upsilon}(s_1, s_2, s_3) = s_1/s_2 \backslash s_3 = \begin{array}{c} s_1 \backslash s_2 \\ \Upsilon \\ s_3 \end{array}. \end{aligned}$$

We call *tree-product* the collection of the *t-products* given by

$$\mu : \bigcup_{t \in \bar{Y}} \bar{Y}^{\times |t|} \rightarrow \bar{Y}.$$

Lemma 2.6. *The collection of trees $\bar{Y} = \bigcup_{n \geq 1} Y_n$ forms a set-operad, with operadic composition given by the tree-product μ .*

¹ A similar monomial is considered by J.-L. Loday in [11], based on the decomposition of a tree into some *left* and *right* products different from the over and under products considered here.

Proof. Since $\bar{Y} = \bigcup_{m=1}^{\infty} Y_m$, we have

$$\bigcup_{t \in \bar{Y}} \bar{Y}^{\times |t|} = \bigcup_{n=1}^{\infty} Y_n \times \bar{Y}^{\times n} = \bigcup_{\substack{n \geq 1 \\ m_1, \dots, m_n \geq 1}} Y_n \times Y_{m_1} \times \dots \times Y_{m_n},$$

and we see in particular that for any choice $n \geq 1$ and $m_1, \dots, m_n \geq 1$, the map μ restricted to $Y_n \times Y_{m_1} \times \dots \times Y_{m_n}$ takes value in the homogeneous component $Y_{m_1+\dots+m_n}$ of \bar{Y} . In other words, the tree-product can be seen as the collection of the maps

$$\mu_{n,m_1,\dots,m_n} : Y_n \times Y_{m_1} \times \dots \times Y_{m_n} \rightarrow Y_{m_1+\dots+m_n}$$

given on the trees $t \in Y_n, s_1 \in Y_{m_1}, \dots, s_n \in Y_{m_n}$ by

$$\mu_{n,m_1,\dots,m_n}(t; s_1, \dots, s_n) = \mu_t(s_1, \dots, s_n).$$

The graphical interpretation of the tree-product given above ensures that the maps μ_{n,m_1,\dots,m_n} act by substitution of the variables (internal vertices) in t by the operations s_1, \dots, s_n .

Then it suffices to show that the tree-product μ is associative, in the sense that for any tree $t \in \bar{Y}$ with $|t| = n$, any choice of n trees $s_1, \dots, s_n \in \bar{Y}$ with $|\mu_t(s_1, \dots, s_n)| = \sum_{i=1}^n |s_i| = m$, and any choice of m trees $u_1, \dots, u_m \in \bar{Y}$, the two trees

$$\mu_{\mu_t(s_1,\dots,s_n)}(u_1, \dots, u_m) \tag{2.5}$$

and

$$\mu_{s_1}(u_1, \dots, u_{|s_1|}), \mu_{s_2}(u_{|s_1|+1}, \dots, u_{|s_1|+|s_2|}), \dots, \mu_{s_n}(u_{|s_1|+\dots+|s_{n-1}|+1}, \dots, u_m) \tag{2.6}$$

coincide.

To obtain the tree (2.5), we first construct the tree $\mu_t(s_1, \dots, s_n)$ by replacing each vertex of t with each of the trees s_1, \dots, s_n , in the order given by μ_t as a monomial w.r.t. the over and under products. By Eq. (2.4), the tree thus obtained has exactly $\sum_{i=1}^n |s_i| = m$ vertices. Therefore, we can apply the $\mu_t(s_1, \dots, s_n)$ -product to the m trees u_j , and get the final tree $\mu_{\mu_t(s_1,\dots,s_n)}(u_1, \dots, u_m)$.

Since the $\mu_t(s_1, \dots, s_n)$ -product of m trees contains all the sub-products of shapes s_i delimited by parenthesis, and moreover they are all ordered by the shape of t , the final result is the same that we obtain if we first apply each μ_{s_i} -product to the suitable package of trees u_j , and then apply the t -product to the n new trees thus obtained. In summary, the resulting tree yields exactly (2.6). \square

The over and under operations on trees are associative operations which also satisfy one extra property: for any $s, t, u \in \bar{Y}$ (in fact s and u could be equal to $|$), we have

$$(s/t) \setminus u = s/(t \setminus u).$$

A set endowed with two associative operations verifying this extra property was already considered by T. Pirashvili in [15], where it is called *duplex*. The operad which characterizes such

operations was considered by M. Aguiar and M. Livernet in [2], and by J.-L. Loday in [12], from whom we adopt the terminology.

For our purpose, we then call *duplicial operad*, Dup , the set-operad generated by the operations *over*, $/$, and *under*, \backslash . More precisely, Dup is the quadratic operad obtained as the quotient of the free set-operad (with identity) $\mathcal{F} = \mathcal{F}(/, \backslash)$ on two binary operations, satisfying the three relations

$$\begin{aligned} (a/b)/c &= a/(b/c), \\ (a/b)\backslash c &= a/(b\backslash c), \\ (a\backslash b)\backslash c &= a\backslash(b\backslash c), \end{aligned}$$

whenever the operations are applied to three elements a, b, c . The operadic composition on Dup is induced by that on \mathcal{F} , given, for any $n, m_1, \dots, m_n \geq 1$, by the map

$$\mathcal{F}(n) \times \mathcal{F}(m_1) \times \dots \times \mathcal{F}(m_n) \rightarrow \mathcal{F}(m_1 + \dots + m_n)$$

which sends the operations (p, q_1, \dots, q_n) into the operation obtained by inserting each operation q_i into the i th variable of the operation p .

Theorem 2.7. *The set-operad of trees is isomorphic to the duplicial operad.*

Proof. Let us define a morphism κ from the Dup operad to the operad of trees, by sending the identity $\text{id} \in Dup(1)$ to the vertex tree Υ , and the generating operations $/ \mapsto \Upsilon$ and $\backslash \mapsto \Upsilon$. Since the trees Υ and Υ satisfy the three necessary relations in the operad of trees, the map κ can be extended to a unique morphism of operads. To show that the morphism κ is a bijection, it is sufficient to prove that the free Dup -monoid on one generator is isomorphic to the set of trees, considered with the over and under products. This was proved by J.-L. Loday in [12] in the algebraic case. \square

Applying Theorem 2.1 to the duplicial operad, we obtain a group

$$G_{\Upsilon}^{\text{dif}}(A) := \left\{ \varphi(x) = \sum_{t \in \bar{\Upsilon}} \varphi_t x^t, \varphi_t \in A, \varphi_{\Upsilon} = 1 \right\}$$

of tree-expanded formal diffeomorphisms. The composition of two tree-expanded series $\varphi(x) = \sum_t \varphi_t x^t$ and $\psi(x) = \sum_s \psi_s x^s$ is given by

$$(\varphi \circ \psi)(x) = \sum_{\substack{t \in \bar{\Upsilon} \\ s_1, s_2, \dots, s_{|t|} \in \bar{\Upsilon}}} \varphi_t \psi_{s_1} \psi_{s_2} \dots \psi_{s_{|t|}} x^{\mu_t(s_1, s_2, \dots, s_{|t|})}.$$

If we define the power of the series $\psi(x)$ by a tree $t \neq |$ as $\psi(x)^t = \mu_t(\psi(x), \psi(x), \dots, \psi(x))$, the composition of tree-expanded series can also be seen as a substitution, that is $(\varphi \circ \psi)(x) = \varphi(\psi(x)) = \sum_{t \in \bar{\Upsilon}} \varphi_t \psi(x)^t$.

Example. Let $\varphi(x) = x^{\Upsilon} + ax^{\Upsilon} + bx^{\Upsilon}$ and $\psi(x) = x^{\Upsilon} + cx^{\Upsilon} + dx^{\Upsilon}$, with $a, b, c, d \in A$. Since $\mu_{\Upsilon}(t, s) = t/s$ and $\mu_{\Upsilon}(t, s) = t \setminus s$, we compute

$$\begin{aligned}
 (\varphi \circ \psi)(x) &= \psi(x) + a\psi(x)^{\Upsilon} + b\psi(x)^{\Upsilon} \\
 &= \psi(x) + a\psi(x)/\psi(x) + b\psi(x) \setminus \psi(x) \\
 &= x^{\Upsilon} + (a+c)x^{\Upsilon} + (b+d)x^{\Upsilon} \\
 &\quad + 2acx^{\Upsilon} + adx^{\Upsilon} + (ad+bc)x^{\Upsilon} + bcx^{\Upsilon} + 2bdx^{\Upsilon} \\
 &\quad + ac^2x^{\Upsilon} + acdx^{\Upsilon} + acdx^{\Upsilon} + ad^2x^{\Upsilon} + bc^2x^{\Upsilon} \\
 &\quad + bcdx^{\Upsilon} + bcdx^{\Upsilon} + bd^2x^{\Upsilon}.
 \end{aligned}$$

More interesting examples of compositions of tree-expanded diffeomorphisms are computed by F. Chapoton, and will be presented separately.

Since $Dup(2)$ is not empty, applying Proposition 2.3, we see that the order map π of Section 1.2 gives also a surjective morphism of groups $\pi : G_Y^{dif}(A) \rightarrow G^{dif}(A)$, sending x^t to $x^{|t|}$.

Vice versa, since $Dup(2) = \{/, \setminus\}$ contains two operations which are both associative, the projection π has two sections, the maps $i^{over}, i^{under} : G^{dif}(A) \rightarrow G_Y^{dif}(A)$ sending x to x^{c_n} , where c_n denotes respectively the left and the right comb trees of order n . Therefore the two subgroups of $G_Y^{dif}(A)$ containing the tree-expanded diffeomorphisms expanded only on the comb trees are isomorphic to the group of usual formal diffeomorphisms.

In summery, the relationship between formal diffeomorphisms expanded over trees and over natural numbers can be deduced by the natural maps between the associated operads:

$$\mathcal{As} \begin{array}{c} \xrightarrow{i^{over}} \\ \xrightarrow{i^{under}} \end{array} Dup \longrightarrow Dias \xrightarrow{\pi} \mathcal{As}.$$

The aim of introducing tree-expanded formal diffeomorphisms is to describe the group law of the group $G^\alpha(A)$ dual to the “charge renormalization Hopf algebra” \mathcal{H}^α expressed by means of tree-expanded series. The group $G_Y^{dif}(A)$ indeed allows to generalize the composition of usual series to the tree-expanded series, but its coordinate ring is much bigger than the Hopf algebra \mathcal{H}^α . In fact, if we denote by $\bar{Y} = \bigcup_{n \geq 2} Y_n$ the set of all trees but $|$ and Υ , the coordinate ring of the group functor G_Y^{dif} is given by the polynomial algebra $\mathcal{H}_Y^{dif} = \mathbb{Q}[\bar{Y}] \cong \mathbb{Q}[\bar{Y}]/(\Upsilon - 1)$ generated by all the trees of order at least 2, instead of only a subset of them. The interest of the algebra \mathcal{H}_Y^{dif} is that it allows a non-commutative lift.

Corollary 2.8. *The free associative algebra $\mathcal{H}_Y^{dif,nc} = \mathbb{Q}[\bar{Y}]/(\Upsilon - 1)$ is a graded and connected Hopf algebra, with respect to the shifted grading $\deg(t) = |t| - 1$. The coproduct is defined on the generators $u \in \bar{Y}$ as*

$$\Delta_Y^{\text{dif}}(u) := \sum_{\substack{t \in \bar{Y} \\ s_1, \dots, s_{|t|} \in \bar{Y} \\ u = \mu_t(s_1, \dots, s_{|t|})}} t \otimes s_1 \dots s_{|t|},$$

and the counit is $\varepsilon(u) = 0$ for any $u \in \bar{Y}$, $u \neq \Upsilon$. This Hopf algebra is neither commutative nor cocommutative.

Note in particular that $\Delta_Y^{\text{dif}}(\Upsilon) = \Upsilon \otimes 1 + 1 \otimes \Upsilon$, because $\Upsilon = \mu_\Upsilon(\Upsilon)$, therefore Υ is a group-like element and can be identified with the unit. Setting $\Upsilon = 1$, the coproduct on small trees is:

$$\begin{aligned} \Delta_Y^{\text{dif}}(\Upsilon) &= \Upsilon \otimes 1 + 1 \otimes \Upsilon, \\ \Delta_Y^{\text{dif}}(\Upsilon\Upsilon) &= \Upsilon \otimes 1 + 1 \otimes \Upsilon\Upsilon, \\ \Delta_Y^{\text{dif}}(\Upsilon\Upsilon\Upsilon) &= \Upsilon\Upsilon \otimes 1 + 2 \Upsilon \otimes \Upsilon + 1 \otimes \Upsilon\Upsilon\Upsilon, \\ \Delta_Y^{\text{dif}}(\Upsilon\Upsilon\Upsilon\Upsilon) &= \Upsilon\Upsilon \otimes 1 + \Upsilon \otimes \Upsilon\Upsilon + 1 \otimes \Upsilon\Upsilon\Upsilon, \\ \Delta_Y^{\text{dif}}(\Upsilon\Upsilon\Upsilon\Upsilon\Upsilon) &= \Upsilon\Upsilon\Upsilon \otimes 1 + \Upsilon \otimes \Upsilon\Upsilon\Upsilon + \Upsilon\Upsilon \otimes \Upsilon + 1 \otimes \Upsilon\Upsilon\Upsilon\Upsilon, \\ \Delta_Y^{\text{dif}}(\Upsilon\Upsilon\Upsilon\Upsilon\Upsilon\Upsilon) &= \Upsilon\Upsilon\Upsilon \otimes 1 + \Upsilon \otimes \Upsilon\Upsilon\Upsilon + 1 \otimes \Upsilon\Upsilon\Upsilon, \\ \Delta_Y^{\text{dif}}(\Upsilon\Upsilon\Upsilon\Upsilon\Upsilon\Upsilon\Upsilon) &= \Upsilon\Upsilon\Upsilon\Upsilon \otimes 1 + 2 \Upsilon \otimes \Upsilon\Upsilon\Upsilon + 1 \otimes \Upsilon\Upsilon\Upsilon\Upsilon. \end{aligned}$$

By Proposition 2.5, the map

$$\mathcal{H}^{\text{dif.nc}} \rightarrow \mathcal{H}_Y^{\text{dif.nc}} : a_n \mapsto \sum_{|t|=n+1} t$$

gives an inclusion of Hopf algebras.

3. Action of tree-expanded diffeomorphisms on tree-expanded invertible series

In this section we describe an action of the group of tree-expanded diffeomorphism on that of invertible series which will be used in the next section to construct the group G^α .

Such an action can be defined on the analogue groups of series expanded over any set-operad which admits a canonical associated graded monoid. We begin by discussing the general case, and then specify to the duplicial operad.

3.1. Groups of series expanded over an associative set-operad

From now on, we assume that \mathcal{P} is a multiplicative set-operad, and we denote by p_2 the associative element in $\mathcal{P}(2)$. This is equivalent to require that there exists an operad morphism $\mathcal{A}_s \rightarrow \mathcal{P}$.

Then we can naturally associate to \mathcal{P} a graded monoid by setting $\mathcal{M}_\mathcal{P} = \mathcal{P} \cup \{e\}$, with $\mathcal{M}_\mathcal{P}(n) = \mathcal{P}(n)$ for $n \geq 1$ and $\mathcal{M}_\mathcal{P}(0) = \{e\}$, where e is a formal element which is taken as the neutral element. The multiplication of $p \in \mathcal{M}_\mathcal{P}(m)$ and $q \in \mathcal{M}_\mathcal{P}(n)$ is defined by

$$p \cdot q := \gamma_{\mathcal{P}}(p_2; p, q) \in \mathcal{M}_{\mathcal{P}}(m + n).$$

The graded monoid $\mathcal{M}_{\mathcal{P}}$ then determines the algebra $A[[\mathcal{M}_{\mathcal{P}}]]$ and the group $G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$. The composition of \mathcal{P} -expanded series given in Eq. (2.1) can be extended to a map $A[[\mathcal{M}_{\mathcal{P}}]] \times A[[\mathcal{P}]] \rightarrow A[[\mathcal{M}_{\mathcal{P}}]]$, by setting

$$(f, \varphi) \mapsto f^{\varphi}(x) := f_e x^e + \sum_{p \in \mathcal{P}} \sum_{q_1, \dots, q_{|p|} \in \mathcal{P}} f_p \varphi_{q_1} \dots \varphi_{q_n} x^{\gamma(p; q_1, \dots, q_{|p|})}.$$

Restricting this map on the one side to the group $G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$ of $\mathcal{M}_{\mathcal{P}}$ -expanded invertible series and on the other side to the group $G_{\mathcal{P}}^{\text{dif}}(A)$ of \mathcal{P} -expanded diffeomorphisms, we obtain the following result.

Theorem 3.1. *The composition defines a graded right action $G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A) \times G_{\mathcal{P}}^{\text{dif}}(A) \rightarrow G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$ of the group of \mathcal{P} -expanded diffeomorphisms on the group of $\mathcal{M}_{\mathcal{P}}$ -expanded invertible series.*

Proof. The compatibility of the action with the composition in $A[[\mathcal{P}]]$, that is $(f^{\varphi})^{\psi} = f^{\varphi \circ \psi}$, is ensured by the associativity of the operadic composition γ . The computations are exactly the same as those which show that the composition \circ in $A[[\mathcal{P}]]$ is associative, cf. Theorem 2.1.

We show that the action preserves the multiplication \cdot in $A[[\mathcal{M}_{\mathcal{P}}]]$, that is $(f \cdot g)^{\varphi} = f^{\varphi} \cdot g^{\varphi}$. Let us compute the two terms of this equality separately. Since the action is additive, on the one side we have

$$(f \cdot g)^{\varphi}(x) = f_e g_e x^e + g_e f^{\varphi}(x) + f_e g^{\varphi}(x) + \sum_{\substack{p, q \in \mathcal{P} \\ u_1, \dots, u_{|p \cdot q|} \in \mathcal{P}}} f_p g_q \varphi_{u_1} \dots \varphi_{u_{|p \cdot q|}} x^{\gamma(p \cdot q; u_1, \dots, u_{|p \cdot q|})},$$

and on the other side

$$\begin{aligned} (f^{\varphi} \cdot g^{\varphi})(x) &= f_e g_e x^e + g_e f^{\varphi}(x) + f_e g^{\varphi}(x) \\ &+ \sum_{\substack{p, q \in \mathcal{P} \\ u_1, \dots, u_{|p|} \in \mathcal{P} \\ u_{|p|+1}, \dots, u_{|p|+|q|} \in \mathcal{P}}} f_p g_q \varphi_{u_1} \dots \varphi_{u_{|p|+|q|}} x^{\gamma(p; u_1, \dots, u_{|p|}) \cdot \gamma(q; u_{|p|+1}, \dots, u_{|p|+|q|})}. \end{aligned}$$

Since $|p \cdot q| = |p| + |q|$, the two terms coincide if the exponents coincide, and this is again ensured by the associativity of the operadic composition.

Finally, the action is graded with respect to the two different gradings given on $A[[\mathcal{M}_{\mathcal{P}}]]$ and $A[[\mathcal{P}]]$, namely $\text{deg}(p) = |p|$ if p is in the monoid $\mathcal{M}_{\mathcal{P}}$ and $\text{deg}(p) = |p| - 1$ if p is in the set-operad \mathcal{P} . In fact, if $p \in \mathcal{M}_{\mathcal{P}}$ is different from e , then for any $q_1, \dots, q_{|p|} \in \mathcal{P}$, we have

$$\begin{aligned} \text{deg}(p) + \text{deg}(q_1 \dots q_{|p|}) &= |p| + \sum_{i=1}^{|p|} (|q_i| - 1) = \sum_{i=1}^{|p|} |q_i| \\ &= \text{deg}(\gamma(p; q_1, \dots, q_{|p|})). \quad \square \end{aligned}$$

Example. In the case $\mathcal{P} = \mathcal{A}s$, we have $\mathcal{M}_{\mathcal{A}s} = \mathbb{N}$ and the right action $G^{\text{inv}}(A) \times G^{\text{dif}}(A) \rightarrow G^{\text{inv}}(A)$ is the ordinary composition $(f^\varphi)(x) = f(\varphi(x))$ of invertible series by formal diffeomorphisms.

Given a right action of $G_{\mathcal{P}}^{\text{dif}}(A)$ on the group $G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$, we can define the semi-direct product $G_{\mathcal{P}}^{\text{dif}}(A) \ltimes G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$ in the usual way: as a set we take $G_{\mathcal{P}}^{\text{dif}}(A) \times G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$, and the group law is given by

$$(\varphi, f) \cdot (\psi, g) = (\varphi \circ \psi, f^\psi \cdot g),$$

for any $\varphi, \psi \in G_{\mathcal{P}}^{\text{dif}}(A)$ and $f, g \in G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$. The order map $\pi : A[[\mathcal{P}]] \rightarrow A[[x]]$ sending x^p to $x^{|p|}$ then induces a projection of groups $G_{\mathcal{P}}^{\text{dif}}(A) \ltimes G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A) \rightarrow G^{\text{dif}}(A) \ltimes G^{\text{inv}}(A)$.

The right action of $G_{\mathcal{P}}^{\text{dif}}(A)$ on $G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$ becomes a right coaction on the coordinate rings. It can be lifted to the non-commutative Hopf algebras, as follows. Let $\delta_{\mathcal{P}}^{\text{inv}} : \mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv,nc}} \rightarrow \mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv,nc}} \otimes \mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$ be the algebra morphism given on the generators $u \in \mathcal{P}$ by

$$\delta_{\mathcal{P}}^{\text{inv}}(u) := \sum_{\substack{p \in \mathcal{P} \\ q_1, \dots, q_{|p|} \in \overline{\mathcal{P}} \cup \{\text{id}\} \\ \gamma(p; q_1, \dots, q_{|p|}) = u}} p \otimes q_1 \dots q_{|p|},$$

and on the unit by $\delta_{\mathcal{P}}^{\text{inv}}(e) = e \otimes \text{id}$. Note that formally $\delta_{\mathcal{P}}^{\text{inv}}(u) = \Delta_{\mathcal{P}}^{\text{dif}}(u)$ on the generators $u \in \mathcal{P}$, but these two elements have different degrees in their proper spaces, as well as u itself.

Proposition 3.2. *The map $\delta_{\mathcal{P}}^{\text{inv}}$ is a graded right coaction of the Hopf algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$ on the algebra $\mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv,nc}}$. Moreover, $\mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv,nc}}$ is an $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$ -comodule coalgebra.*

In other words, the map $\delta_{\mathcal{P}}^{\text{inv}}$ satisfies the two following conditions:

$$\begin{aligned} (\delta_{\mathcal{P}}^{\text{inv}} \otimes \text{Id})\delta_{\mathcal{P}}^{\text{inv}} &= (\text{Id} \otimes \Delta_{\mathcal{P}}^{\text{dif}})\delta_{\mathcal{P}}^{\text{inv}}, \\ (\Delta_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}} \otimes \text{Id})\delta_{\mathcal{P}}^{\text{inv}} &= (\text{Id} \otimes \text{Id} \otimes m)(\text{Id} \otimes \tau \otimes \text{Id})(\delta_{\mathcal{P}}^{\text{inv}} \otimes \delta_{\mathcal{P}}^{\text{inv}})\Delta_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}, \end{aligned}$$

where m denotes the multiplication in the algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$, and τ denotes the twist.

Example. The case $\mathcal{P} = \mathcal{A}s$ gives a right coaction $\delta^{\text{inv}} : \mathcal{H}^{\text{inv}} \rightarrow \mathcal{H}^{\text{inv}} \otimes \mathcal{H}^{\text{dif}}$ which sends a generator b_n into

$$\delta^{\text{inv}}(b_n) = \sum_{m=0}^n b_m \otimes \sum_{\substack{k_1, \dots, k_m \geq 0 \\ k_1 + \dots + k_m = n-m}} a_{k_1} \dots a_{k_m} \quad (a_0 = 1).$$

The non-commutative version $\delta^{\text{inv}} : \mathcal{H}^{\text{inv,nc}} \rightarrow \mathcal{H}^{\text{inv,nc}} \otimes \mathcal{H}^{\text{dif,nc}}$ was considered in [6], where it was denoted by δ^{dif} . In this paper we reserve the notation δ^{dif} for a coaction of \mathcal{H}^{dif} on itself, which will be introduced in Section 4.

The group functor $G_{\mathcal{P}}^{\text{dif}} \ltimes G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}$ is represented by the semi-direct coproduct (or smash coproduct) Hopf algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif}} \ltimes \mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}$. As an algebra, this is the tensor product $\mathcal{H}_{\mathcal{P}}^{\text{dif}} \otimes \mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}$. As a coalgebra, it is endowed with the twisted coproduct defined on the generators $p \in \mathcal{H}_{\mathcal{P}}^{\text{dif}}$ and $q \in \mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}$ by

$$\Delta_{\mathcal{P}}^{\ltimes}(p \otimes q) = \Delta_{\mathcal{P}}^{\text{dif}}(p) \cdot [(\delta_{\mathcal{P}}^{\text{inv}} \otimes \text{Id})\Delta_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(q)].$$

Applying the results found by R. Molnar in [14], we know that this Hopf algebra admits a non-commutative lift given by the semi-direct coproduct Hopf algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif}} \ltimes \mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv,nc}}$.

Instead, note that the semi-direct coproduct $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}} \ltimes \mathcal{H}_{\mathcal{M}_{\mathcal{P}}}^{\text{inv,nc}}$ is at the same time an algebra and a coalgebra, but not a Hopf algebra because the non-commutativity of the algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$ prevents the coproduct $\Delta_{\mathcal{P}}^{\ltimes}$ to be an algebra morphism.

3.2. Tree-expanded series and actions

In this section we briefly illustrate the above results on the example of trees, using the duplicial operad.

The duplicial operad has two binary operations, / and \, both associative. The graded monoid \mathcal{M}_{Dup} associated to these operations are exactly the monoids of trees introduced in Section 1.2. Therefore the group $G_Y^{\text{dif}}(A)$ acts on the groups $G^{\text{over}}(A)$ and $G^{\text{under}}(A)$, by composition.

The action is compatible with the group structures of $G^{\text{over}}(A)$ and $G^{\text{under}}(A)$, therefore the semi-direct products $G_Y^{\text{dif}}(A) \ltimes G^{\text{over}}(A)$ and $G_Y^{\text{dif}}(A) \ltimes G^{\text{under}}(A)$ form two groups.

Proposition 3.2 then tells us how this action is reflected on the dual Hopf algebras on trees. Let us denote by $\mathcal{H}_Y^{\text{inv}} = \mathbb{Q}[\bar{Y}] \cong \mathbb{Q}[Y](| - 1)$ the coordinate ring of the proalgebraic set $G_Y^{\text{inv}}(A)$ of tree-expanded invertible series, as introduced in Section 1.2, and by $\mathcal{H}_Y^{\text{inv,nc}} = \mathbb{Q}\langle \bar{Y} \rangle$ its non-commutative lift. Then \mathcal{H}^{\vee} and \mathcal{H}^e are the two Hopf algebras with underlying algebra $\mathcal{H}_Y^{\text{inv,nc}}$ endowed with the “pruning coproducts” Δ_Y^{inv} and Δ_e^{inv} .

Corollary 3.3. *The algebra homomorphism $\delta_Y^{\text{inv}} : \mathcal{H}_Y^{\text{inv,nc}} \rightarrow \mathcal{H}_Y^{\text{inv,nc}} \otimes \mathcal{H}_Y^{\text{dif,nc}}$ defined on the generators $u \in \bar{Y}$ formally as the coproduct Δ_Y^{dif} , that is*

$$\delta_Y^{\text{inv}}(u) := \sum_{\substack{t \in \bar{Y} \\ s_1, \dots, s_{|t|} \in \bar{Y} \\ u = \mu_t(s_1, \dots, s_{|t|})}} t \otimes s_1 \dots s_{|t|}$$

and which respects the units, that is $\delta_Y^{\text{inv}}(|) = | \otimes \vee$, is a graded right coaction of the Hopf algebra $\mathcal{H}_Y^{\text{dif,nc}}$ on the algebra $\mathcal{H}_Y^{\text{inv,nc}}$.

The map induced by δ_Y^{inv} on the abelian quotients $\mathcal{H}_Y^{\text{dif}}$ and $\mathcal{H}_Y^{\text{inv}}$ is dual to the right action of the group $G_Y^{\text{dif}}(A)$ on the set $G_Y^{\text{inv}}(A)$.

Note that the degrees and the units are different in the two algebras $\mathcal{H}_Y^{\text{inv,nc}}$ and $\mathcal{H}_Y^{\text{dif,nc}}$. Therefore, even if the coproduct Δ_Y^{dif} and the coaction δ_Y^{inv} are formally defined by the same expression,

the meaning of the result is different. To see this difference, compare the value of Δ_Y^{dif} on small trees, given at the end of Section 2.2, with the following values of δ_Y^{inv} , obtained by setting $\Upsilon = 1$ in $\mathcal{H}_Y^{\text{dif}}$, and $| = 1$ in $\mathcal{H}_Y^{\text{inv}}$:

$$\begin{aligned} \delta_Y^{\text{inv}}(\Upsilon) &= \Upsilon \otimes 1, \\ \delta_Y^{\text{inv}}(\Upsilon \diagdown) &= \Upsilon \diagdown \otimes 1 + \Upsilon \otimes \Upsilon \diagdown, \\ \delta_Y^{\text{inv}}(\Upsilon \diagup) &= \Upsilon \diagup \otimes 1 + \Upsilon \otimes \Upsilon \diagup, \\ \delta_Y^{\text{inv}}(\Upsilon \diagdown \diagdown) &= \Upsilon \diagdown \diagdown \otimes 1 + 2 \Upsilon \diagdown \otimes \Upsilon \diagdown + \Upsilon \otimes \Upsilon \diagdown \diagdown, \\ \delta_Y^{\text{inv}}(\Upsilon \diagup \diagup) &= \Upsilon \diagup \diagup \otimes 1 + \Upsilon \diagup \otimes \Upsilon \diagup + \Upsilon \otimes \Upsilon \diagup \diagup, \\ \delta_Y^{\text{inv}}(\Upsilon \diagdown \diagup) &= \Upsilon \diagdown \diagup \otimes 1 + \Upsilon \diagdown \otimes \Upsilon \diagup + \Upsilon \otimes \Upsilon \diagdown \diagup, \\ \delta_Y^{\text{inv}}(\Upsilon \diagup \diagdown) &= \Upsilon \diagup \diagdown \otimes 1 + 2 \Upsilon \diagup \otimes \Upsilon \diagdown + \Upsilon \otimes \Upsilon \diagup \diagdown. \end{aligned}$$

Proposition 3.2 tells us that the coaction δ_Y^{inv} is compatible with the coproducts Δ_Y^{inv} and Δ_e^{inv} , and with the counit ε . Therefore \mathcal{H}^γ and \mathcal{H}^e are coalgebra comodules over $\mathcal{H}_Y^{\text{dif,nc}}$. Then, the semi-direct coproduct algebras $\mathcal{H}_Y^{\text{dif}} \ltimes \mathcal{H}^\gamma$ and $\mathcal{H}_Y^{\text{dif}} \ltimes \mathcal{H}^e$ are non-commutative Hopf algebras, which lift the coordinate rings of the group functors $G_Y^{\text{dif}} \ltimes G^{\text{over}}$ and $G_Y^{\text{dif}} \ltimes G^{\text{under}}$ respectively.

Finally, the maps $b_n \mapsto \sum_{|t|=n} t$ and $a_n \mapsto \sum_{|t|=n+1} t$ define an inclusion of the Hopf algebra $\mathcal{H}^{\text{dif}} \ltimes \mathcal{H}^{\text{inv,nc}}$ into respectively $\mathcal{H}_Y^{\text{dif}} \ltimes \mathcal{H}^\gamma$ and $\mathcal{H}_Y^{\text{dif}} \ltimes \mathcal{H}^e$.

4. Subgroup dual to the QED charge Hopf algebra

The renormalization of the electric charge in quantum electrodynamics was described in [5] by a commutative Hopf algebra \mathcal{H}^α on trees which was proved in [6] to contain the Faà di Bruno Hopf algebra, that is, the coordinate ring of G^{dif} , and which is different from $\mathcal{H}_Y^{\text{dif}}$. Since this latter is the largest Hopf algebra on trees describing the composition of tree-expanded series, it is natural to look for a subgroup of G_Y^{dif} having \mathcal{H}^α as coordinate ring. We describe it in this section.

To do it, we first introduce some intermediate subgroups of tree-expanded diffeomorphisms which exist for any multiplicative set-operad. The final construction of the group G^α , dual to \mathcal{H}^α , is possible only if the set-operad has two distinct associative elements with some suitable compatibility relation. At this level we specify the construction to the duplcial set-operad.

4.1. Subgroups of series expanded over a graded monoid set-operad

Let \mathcal{P} be a multiplicative set-operad and let $\mathcal{M}_\mathcal{P}$ be its associated graded monoid. For any associative, commutative and unital algebra A , we consider the two linear maps $\lambda, \rho: A[[\mathcal{M}_\mathcal{P}]] \rightarrow A[[\mathcal{P}]]$ defined on a series $f(x) = f_e x^e + \sum_{p \in \mathcal{P}} f_p x^p$ by

$$\lambda_f(x) := x^{\text{id}} \cdot f(x) = f_e x^{\text{id}} + \sum_{p \in \mathcal{P}} f_p x^{\text{id} \cdot p},$$

$$\rho_f(x) := f(x) \cdot x^{\text{id}} = f_e x^{\text{id}} + \sum_{p \in \mathcal{P}} f_p x^{p \cdot \text{id}}.$$

These maps are injective, and we denote their images in $A[[\mathcal{P}]]$ by $x^{\text{id}} \cdot A[[\mathcal{M}_{\mathcal{P}}]]$ and by $A[[\mathcal{M}_{\mathcal{P}}]] \cdot x^{\text{id}}$, respectively.

Theorem 4.1. *The two sets*

$$G_{\mathcal{P}}^{\lambda}(A) := x^{\text{id}} \cdot G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A) \quad \text{and} \quad G_{\mathcal{P}}^{\rho}(A) := G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A) \cdot x^{\text{id}}$$

are subgroups of the group $G_{\mathcal{P}}^{\text{dif}}(A)$.

Proof. It suffices to show that the images of λ and ρ are stable under the composition of series. Given $\lambda_f(x) = x^{\text{id}} \cdot f(x)$ and $\lambda_g(x) = x^{\text{id}} \cdot g(x)$ in $x^{\text{id}} \cdot A[[\mathcal{M}_{\mathcal{P}}]]$, we have to show that there exists an $h \in A[[\mathcal{M}_{\mathcal{P}}]]$ such that $(\lambda_f \circ \lambda_g)(x) = x^{\text{id}} \cdot h(x)$. Using the compatibility of the composition with the multiplication proved in Theorem 3.1, we have

$$\begin{aligned} (\lambda_f \circ \lambda_g)(x) &= (x^{\text{id}} \cdot f(x))^{\lambda_g(x)} = \lambda_g(x) \cdot f^{\lambda_g}(x) = [x^{\text{id}} \cdot g(x)] \cdot f^{\lambda_g}(x) \\ &= x^{\text{id}} \cdot [g \cdot f^{\lambda_g}](x). \end{aligned}$$

Therefore $\lambda_f \circ \lambda_g = \lambda_h$ if we set $h = g \cdot f^{\lambda_g}$. Similarly, if ρ_f and ρ_g belong to $A[[\mathcal{M}_{\mathcal{P}}]] \cdot x^{\text{id}}$, we have $\rho_f \circ \rho_g = \rho_h$, with $h = f^{\rho_g} \cdot g$. \square

The map $\lambda : G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A) \rightarrow G_{\mathcal{P}}^{\lambda}(A)$ is an isomorphism of sets and its inverse $\lambda^{-1} : G_{\mathcal{P}}^{\lambda}(A) \rightarrow G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$ sends a \mathcal{P} -expanded diffeomorphism of the form $\lambda_f(x) = x^{\text{id}} \cdot f(x)$ to the $\mathcal{M}_{\mathcal{P}}$ -expanded invertible series $f(x)$. Note that λ is *not* a morphism of groups. Instead, its inverse λ^{-1} is a 1-cocycle of $G_{\mathcal{P}}^{\lambda}(A)$ with values in $G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}(A)$, with respect to the right action by composition, that is

$$\lambda^{-1}(\psi) [\lambda^{-1}(\varphi \circ \psi)]^{-1} \lambda^{-1}(\varphi)^{\psi} = x^e,$$

for any $\varphi, \psi \in G_{\mathcal{P}}^{\lambda}(A)$.

Example. In the case $\mathcal{P} = \mathcal{A}_S$, the multiplication in the graded monoid $\mathcal{M}_{\mathcal{A}_S} = \mathbb{N}$ is commutative, therefore $\lambda = \rho$ and $G_{\mathcal{A}_S}^{\lambda}(A) = G_{\mathcal{A}_S}^{\rho}(A)$. Moreover, the map λ simply brings an invertible series $f(x)$ into $\lambda_f(x) = x f(x)$. This map is invertible on the whole space $A[[\mathcal{A}_S]] = x A[[x]]$, and its inverse λ^{-1} brings a formal diffeomorphism φ into the invertible series $\frac{\varphi(x)}{x}$. Therefore the group $G_{\mathcal{A}_S}^{\lambda}(A)$ coincides with the whole group $G^{\text{dif}}(A)$ of formal diffeomorphisms.

In general, the two groups $G_{\mathcal{P}}^{\lambda}(A)$ and $G_{\mathcal{P}}^{\rho}(A)$ are not isomorphic, because the multiplication by id in the monoid $\mathcal{M}_{\mathcal{P}}$ is not commutative in general.

Consider now the map $(x^{\text{id}} \cdot A[[M_{\mathcal{P}}]]) \times A[[\mathcal{P}]] \rightarrow x^{\text{id}} \cdot A[[M_{\mathcal{P}}]]$ defined by

$$\begin{aligned} \lambda_f^\psi(x) &:= \lambda_{f\psi}(x) = x^{\text{id}} \cdot f^\psi(x) \\ &= f_e x^{\text{id}} + \sum_{\substack{p \in \mathcal{P} \\ q_1, \dots, q_{|p|} \in \mathcal{P}}} f_p \psi_{q_1} \dots \psi_{q_n} x^{\text{id} \cdot \gamma(p; q_1, \dots, q_{|p|})}, \end{aligned} \tag{4.1}$$

for $f(x) = f_e x^e + \sum_{p \in \mathcal{P}} f_p x^p$ and $\psi(x) = \sum_{q \in \mathcal{P}} \psi_q x^q$. Similarly, define a map $(A[[M_{\mathcal{P}}]] \cdot x^{\text{id}}) \times A[[\mathcal{P}]] \rightarrow A[[M_{\mathcal{P}}]] \cdot x^{\text{id}}$, by setting

$$\rho_f^\psi(x) := \rho_{f\psi}(x) = f^\psi(x) \cdot x^{\text{id}}.$$

Theorem 4.2. *The map $(\lambda_f, \psi) \mapsto \lambda_{f\psi} = \lambda_{f\psi}$, restricted to $G_{\mathcal{P}}^\lambda(A) \times G_{\mathcal{P}}^{\text{dif}}(A) \rightarrow G_{\mathcal{P}}^\lambda(A)$, is a right action of $G_{\mathcal{P}}^{\text{dif}}(A)$ on $G_{\mathcal{P}}^\lambda(A)$. The analogue statement holds for $G_{\mathcal{P}}^\rho(A)$.*

Proof. In fact the series $x^{\text{id}} \in G_{\mathcal{P}}^{\text{dif}}(A)$ obviously acts as the identity, and for any $\psi, \eta \in G_{\mathcal{P}}^{\text{dif}}(A)$ we have

$$(\lambda_{f\psi})^\eta = (\lambda_{f\psi})^\eta = \lambda_{(f\psi)\eta} = \lambda_{f\psi\circ\eta} = \lambda_{f\psi\circ\eta}. \quad \square$$

Note that the right action of $G_{\mathcal{P}}^{\text{dif}}(A)$ on $G_{\mathcal{P}}^\lambda(A)$ is indeed *different* from the composition of $G_{\mathcal{P}}^\lambda(A)$ by $G_{\mathcal{P}}^{\text{dif}}(A)$, where $G_{\mathcal{P}}^\lambda(A)$ is seen as a subgroup of $G_{\mathcal{P}}^{\text{dif}}(A)$. In other words, for any $\lambda_f \in G_{\mathcal{P}}^\lambda(A)$, and any $\psi \in G_{\mathcal{P}}^{\text{dif}}(A)$, in general we have $\lambda_{f\psi} \neq \lambda_f \circ \psi$. This is easily seen if we restrict the action of $G_{\mathcal{P}}^{\text{dif}}(A)$ to its subgroup $G_{\mathcal{P}}^\lambda(A)$. In this case, in fact, we have

$$\lambda_{f\lambda_g} = \lambda_{f\lambda_g} \neq \lambda_{g \cdot f\lambda_g} = \lambda_f \circ \lambda_g.$$

Example. In the case $\mathcal{P} = \mathcal{A}s$, the action of $G^{\text{dif}}(A)$ on itself induced by the action of $G^{\text{dif}}(A)$ on $G^{\text{inv}}(A)$ has the following explicit form,

$$\varphi^\psi(x) = x + \sum_{n=2}^{\infty} \left(\sum_{m=2}^n \sum_{\substack{k_2 + \dots + k_m = n-1 \\ k_2, \dots, k_m \geq 1}} \varphi_m \psi_{k_2} \dots \psi_{k_m} \right) x^n, \tag{4.2}$$

for any $\varphi, \psi \in G^{\text{dif}}(A)$. Comparing this expression with that of the composition given in Eq. (2.2), we see that we obtain (4.2) if we set $\psi_{k_1} = \psi_1 = 1$ in (2.2).

As usual, the construction of the groups $G_{\mathcal{P}}^\lambda(A)$ and $G_{\mathcal{P}}^\rho(A)$ is functorial in A . Again, the coordinate rings $\mathcal{H}_{\mathcal{P}}^\lambda$ and $\mathcal{H}_{\mathcal{P}}^\rho$ of the group functors $G_{\mathcal{P}}^\lambda$ and $G_{\mathcal{P}}^\rho$ admit a straightforward non-commutative lift, as well as the actions by $G_{\mathcal{P}}^{\text{dif}}$. In Section 4.3 we will use in particular the Hopf algebra $\mathcal{H}_{\mathcal{P}}^\rho$. Let us then describe explicitly only its non-commutative lift.

Consider the free associative algebra $\mathcal{H}_{\mathcal{P}}^{\rho, \text{nc}} = \mathbb{Q}\langle \mathcal{M}_{\mathcal{P}} \rangle / (e - 1) \cong \mathbb{Q}\langle \mathcal{P} \rangle$, with grading given by the order, $\text{deg}(u) = |u|$ for $u \in \mathcal{P}$, and where the element $e \in \mathcal{M}_{\mathcal{P}}(0)$ is identified with the

unit 1. Define a coproduct $\Delta_{\mathcal{P}}^{\rho} : \mathcal{H}_{\mathcal{P}}^{\rho,nc} \rightarrow \mathcal{H}_{\mathcal{P}}^{\rho,nc} \otimes \mathcal{H}_{\mathcal{P}}^{\rho,nc}$ as the algebra morphism given on the generators u by

$$\Delta_{\mathcal{P}}^{\rho}(u) := 1 \otimes u + \sum_{\substack{p \in \mathcal{P} \\ q_1, \dots, q_{|p|+1} \in \mathcal{M}_{\mathcal{P}} \\ u = \gamma(p; q_1 \cdot \text{id}, \dots, q_{|p|} \cdot \text{id}) \cdot q_{|p|+1}}} p \otimes q_1 \cdots q_{|p|+1}. \tag{4.3}$$

Define a counit $\varepsilon : \mathcal{H}_{\mathcal{P}}^{\rho,nc} \rightarrow \mathbb{Q}$ as the algebra morphism given on any $u \in \mathcal{P}$ by $\varepsilon(u) = 0$.

Theorem 4.3. *The algebra $\mathcal{H}_{\mathcal{P}}^{\rho,nc}$ is a graded and connected Hopf algebra, neither commutative nor cocommutative.*

The coordinate ring of the group $G_{\mathcal{P}}^{\rho}$ is the abelian quotient $\mathcal{H}_{\mathcal{P}}^{\rho} = \mathbb{Q}[\mathcal{P}]$ of the Hopf algebra $\mathcal{H}_{\mathcal{P}}^{\rho,nc}$.

Proof. The only difficulty of this result is the explicit form (4.3) of the coproduct.

In fact, if p_2 is the associative element in $\mathcal{P}(2)$, the coordinate ring of the group $G_{\mathcal{P}}^{\rho}$ is generated by the elements of $\overline{\mathcal{P}}$ of the form $u \cdot \text{id} = \gamma_{\mathcal{P}}(p_2; u, \text{id})$, where $u \in \mathcal{P}$, that is $\mathcal{H}_{\mathcal{P}}^{\rho} = \mathbb{Q}[\mathcal{P} \cdot \text{id}]$. As a free algebra, we can identify it with $\mathbb{Q}[\mathcal{P}] \cong \mathbb{Q}[\mathcal{M}_{\mathcal{P}}]/(e - 1)$. The coproduct on $\mathcal{H}_{\mathcal{P}}^{\rho}$ which represents the composition of the series in $G_{\mathcal{P}}^{\rho}(A)$ can be found by dualizing the inclusion of $G_{\mathcal{P}}^{\rho}(A)$ into $G_{\mathcal{P}}^{\text{dif}}(A)$. The result makes sense on the non-commutative algebras.

Let us then consider the surjective algebra homomorphism $P : \mathcal{H}_{\mathcal{P}}^{\text{dif},nc} \rightarrow \mathcal{H}_{\mathcal{P}}^{\rho,nc}$ which sends each generator of $\mathcal{H}_{\mathcal{P}}^{\text{dif},nc} = \mathbb{Q}\langle \overline{\mathcal{P}} \rangle$ of the form $u \cdot \text{id}$ to its quotient $u \in \mathcal{P}$ and all the others to zero. If we verify that

$$\Delta_{\mathcal{P}}^{\rho}(u) = (P \otimes P) \Delta_{\mathcal{P}}^{\text{dif}}(u \cdot \text{id}), \tag{4.4}$$

and that $\varepsilon(u) = P(\varepsilon_{\mathcal{H}_{\mathcal{P}}^{\text{dif},nc}}(u \cdot \text{id}))$, the coassociativity of the coproduct $\Delta_{\mathcal{P}}^{\rho}$ then follows easily. In fact, it holds on the commutative quotient $\mathcal{H}_{\mathcal{P}}^{\rho}$ because $G_{\mathcal{P}}^{\rho}(A)$ is a group, and the passage to the non-commutative lift is as usual straightforward. All the other assertions are then easily verified.

Therefore, it only remains to show the equality (4.4). Let us fix $u \in \mathcal{P}$. In the sum

$$\Delta_{\mathcal{P}}^{\text{dif}}(u \cdot \text{id}) = \sum_{\substack{\tilde{p} \in \mathcal{M}_{\mathcal{P}} \\ \tilde{q}_1, \dots, \tilde{q}_{|\tilde{p}|} \in \mathcal{M}_{\mathcal{P}} \\ u \cdot \text{id} = \gamma(\tilde{p}; \tilde{q}_1, \dots, \tilde{q}_{|\tilde{p}|})}} \tilde{p} \otimes \tilde{q}_1 \cdots \tilde{q}_{|\tilde{p}|},$$

the element $\gamma(\tilde{p}; \tilde{q}_1, \dots, \tilde{q}_{|\tilde{p}|})$ can be of the form $u \cdot \text{id}$ only if $\tilde{p} = p \cdot \text{id}$ (hence $|\tilde{p}| = |p| + 1$), and $\tilde{q}_{|\tilde{p}|} = q_{|p|} \cdot \text{id}$, with $q_{|p|} \in \mathcal{M}_{\mathcal{P}}$. The term corresponding to $\tilde{p} = \text{id}$, that is $p = e$, gives

$$\sum_{\substack{\tilde{q}_1 \in \mathcal{P} \\ u \cdot \text{id} = \gamma(\text{id}; \tilde{q}_1) = \tilde{q}_1}} \text{id} \otimes \tilde{q}_1 = \text{id} \otimes u \cdot \text{id}.$$

Therefore, separating from the sum the term corresponding to $\tilde{p} = \text{id}$, we obtain

$$\Delta_{\mathcal{P}}^{\text{dif}}(u \cdot \text{id}) = \text{id} \otimes u \cdot \text{id} + \sum_{\substack{p \in \mathcal{P} \\ \tilde{q}_1, \dots, \tilde{q}_{|p|} \in \mathcal{P}, q_{|p|+1} \in \mathcal{M}_{\mathcal{P}} \\ u \cdot \text{id} = \gamma(p \cdot \text{id}; \tilde{q}_1, \dots, \tilde{q}_{|p|}, q_{|p|+1} \cdot \text{id})}} p \cdot \text{id} \otimes \tilde{q}_1 \dots \tilde{q}_{|p|} (q_{|p|+1} \cdot \text{id}).$$

Since for any $p \in \mathcal{P}$ we have $p \cdot \text{id} = \gamma(p_2; p, \text{id})$, using the associativity of γ we obtain

$$\begin{aligned} u \cdot \text{id} &= \gamma(\gamma(p_2; p, \text{id}); \tilde{q}_1, \dots, \tilde{q}_{|p|}, q_{|p|+1} \cdot \text{id}) \\ &= \gamma(p_2; \gamma(p; \tilde{q}_1, \dots, \tilde{q}_{|p|}), \gamma(\text{id}; q_{|p|+1} \cdot \text{id})) \\ &= \gamma(p; \tilde{q}_1, \dots, \tilde{q}_{|p|}) \cdot q_{|p|+1} \cdot \text{id}. \end{aligned}$$

Therefore $u = \gamma(p; \tilde{q}_1, \dots, \tilde{q}_{|p|}) \cdot q_{|p|+1}$, and we get

$$\Delta_{\mathcal{P}}^{\text{dif}}(u \cdot \text{id}) = \text{id} \otimes u \cdot \text{id} + \sum_{\substack{p \in \mathcal{P} \\ \tilde{q}_1, \dots, \tilde{q}_{|p|} \in \mathcal{P}, q_{|p|+1} \in \mathcal{M}_{\mathcal{P}} \\ u = \gamma(p; \tilde{q}_1, \dots, \tilde{q}_{|p|}) \cdot q_{|p|+1}}} p \cdot \text{id} \otimes \tilde{q}_1 \dots \tilde{q}_{|p|} (q_{|p|+1} \cdot \text{id}).$$

Now, applying the projection P , we kill all the elements \tilde{q}_i of $\mathcal{H}_{\mathcal{P}}^{\text{dif.nc}}$ different from $\tilde{q}_i = q_i \cdot \text{id}$, therefore the sum on the right-hand side is reduced to

$$\begin{aligned} &(P \otimes P) \Delta_{\mathcal{P}}^{\text{dif}}(u \cdot \text{id}) \\ &= (P \otimes P) \left[\text{id} \otimes u \cdot \text{id} + \sum_{\substack{p \in \mathcal{P} \\ q_1, \dots, q_{|p|}, q_{|p|+1} \in \mathcal{M}_{\mathcal{P}} \\ u = \gamma(p; q_1 \cdot \text{id}, \dots, q_{|p|} \cdot \text{id}) \cdot q_{|p|+1}}} p \cdot \text{id} \otimes (q_1 \cdot \text{id}) \dots (q_{|p|+1} \cdot \text{id}) \right] \end{aligned}$$

and we finally obtain

$$(P \otimes P) \Delta_{\mathcal{P}}^{\text{dif}}(u \cdot \text{id}) = 1 \otimes u + \sum_{\substack{p \in \mathcal{P} \\ q_1, \dots, q_{|p|+1} \in \mathcal{M}_{\mathcal{P}} \\ u = \gamma(p; q_1 \cdot \text{id}, \dots, q_{|p|} \cdot \text{id}) \cdot q_{|p|+1}}} p \otimes q_1 \dots q_{|p|+1}. \quad \square$$

Note that the coordinate ring of the group $G_{\mathcal{P}}^{\rho}$ has the same generators as the coordinate ring of the group $G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}$, and the same holds for their non-commutative lifts. As algebras they are isomorphic, but they differ for the coalgebra structure. Since the action of $G_{\mathcal{P}}^{\text{dif}}$ on $G_{\mathcal{P}}^{\rho}$ is induced by that on $G_{\mathcal{M}_{\mathcal{P}}}^{\text{inv}}$, it is not surprising that the dual coactions coincide.

In other words, if we define the algebra morphism $\delta_{\mathcal{P}}^{\text{dif}} : \mathcal{H}_{\mathcal{P}}^{\rho, \text{nc}} \rightarrow \mathcal{H}_{\mathcal{P}}^{\rho, \text{nc}} \otimes \mathcal{H}_{\mathcal{P}}^{\text{dif.nc}}$ by setting, on the generators $u \in \mathcal{P}$,

$$\delta_{\mathcal{P}}^{\text{dif}}(u) := \sum_{\substack{p \in \mathcal{P} \\ q_1, \dots, q_{|p|} \in \overline{\mathcal{P}} \cup \{\text{id}\} \\ \gamma(p; q_1, \dots, q_{|p|}) = u}} p \otimes q_1 \dots q_{|p|}, \tag{4.5}$$

then the following result is straightforward.

Proposition 4.4. *The map $\delta_{\mathcal{P}}^{\text{dif}}$ is a graded right coaction of the Hopf algebra $\mathcal{H}_{\mathcal{P}}^{\text{dif,nc}}$ on the algebra $\mathcal{H}_{\mathcal{P}}^{\rho,\text{nc}}$.*

4.2. *Diffeomorphisms subgroups of tree-expanded series*

Let us apply the results of the previous section to the duplicial operad. As observed in Section 3.2, the operad $\mathcal{D}up$ has two associative binary operations, \backslash and $/$, which lead to the two groups $G^{\text{under}}(A)$ and $G^{\text{over}}(A)$ of invertible tree-expanded series. Each of the two operations determines two linear maps $\lambda, \rho : A[[Y]] \rightarrow A[[\bar{Y}]]$ and consequently two subgroups $G_Y^\lambda(A)$ and $G_Y^\rho(A)$ of $G_Y^{\text{dif}}(A)$.

For our purposes, we are only interested in one of the four resulting groups: the group $G_Y^\rho(A)$ corresponding to the operation $/$. However, in order to discuss some of its properties, we also make use of the group $G_Y^\lambda(A)$ corresponding to the operation \backslash . To fix the notations, we recall these two groups explicitly:

$$G_Y^\rho(A) := G^{\text{over}}(A)/x^\curlywedge = \left\{ \rho_f(x) = \sum_{t \in Y} f_t x^t \curlywedge, f_t \in A, f_1 = 1 \right\},$$

$$G_Y^\lambda(A) := x^\curlywedge \backslash G^{\text{under}}(A) = \left\{ \lambda_f(x) = \sum_{t \in Y} f_t x^t \curlywedge, f_t \in A, f_1 = 1 \right\}.$$

The intersection $G_Y^\rho(A) \cap G_Y^\lambda(A)$ obviously contains only the unit $\text{id}(x)$.

As we already observed, in the case $\mathcal{P} = \mathcal{A}s$ all these subgroups in fact coincide with the whole group of formal diffeomorphisms. In the present case this surely does not hold. Moreover the two subgroups $G_Y^\rho(A)$ and $G_Y^\lambda(A)$ are not normal in $G_Y^{\text{dif}}(A)$, however they allow to reconstruct the group $G_Y^{\text{dif}}(A)$. To do this, let us fix the notation

$$G_Y^\lambda(A) \circ G_Y^\rho(A) := \{ \lambda_f \circ \rho_g \text{ where } \lambda_f \in G_Y^\lambda(A) \text{ and } \rho_g \in G_Y^\rho(A) \}.$$

Lemma 4.5. *Each series in $G_Y^{\text{dif}}(A)$ can be written as the composition of two series in $G_Y^\lambda(A)$ and $G_Y^\rho(A)$, that is*

$$G_Y^{\text{dif}}(A) = G_Y^\lambda(A) \circ G_Y^\rho(A) = G_Y^\rho(A) \circ G_Y^\lambda(A). \tag{4.6}$$

Moreover, this decomposition is unique if, on the left-hand side, we restrict the choice to the comb-trees, that is

$$G_Y^{\text{dif}}(A) = i^{\text{under}}(G^{\text{dif}}(A)) \circ G_Y^\rho(A) = i^{\text{over}}(G^{\text{dif}}(A)) \circ G_Y^\lambda(A). \tag{4.7}$$

Proof. To show the equality (4.6), we have to show that any tree-expanded formal diffeomorphism $\eta(x) = \sum_{u \in \bar{Y}} \eta(u)x^u$ can be written as the compositions $\lambda_f \circ \rho_g$ and $\rho_{g'} \circ \lambda_{f'}$, for some $f, g, f', g' \in G_Y^{\text{inv}}(A)$. In other words, since the coefficients lie in a commutative unital algebra and can be chosen arbitrarily, we have to show that, in the compositions $\lambda_f \circ \rho_g$ and $\rho_{g'} \circ \lambda_{f'}$, the power x^u appears for all the trees $u \in \bar{Y}$. Let us show it for the case $\lambda_f \circ \rho_g$, the same procedure can be adapted to the other case.

In the composition of the two series $\lambda_f(x) = \sum_{t \in Y} f_t x^{\Upsilon \setminus t}$ and $\rho_g(x) = \sum_{s \in Y} g_s x^{s/\Upsilon}$, namely

$$(\lambda_f \circ \rho_g)(x) = \sum_{t, s_0, s_1, \dots, s_{|t|} \in Y} f_t g_{s_0} g_{s_1} \dots g_{s_{|t|}} x^{\mu_{\Upsilon \setminus t}(s_0/\Upsilon, \dots, s_{|t|}/\Upsilon)},$$

there appears the power x^u for $u = \mu_{\Upsilon \setminus t}(s_0/\Upsilon, \dots, s_{|t|}/\Upsilon)$, where t and $s_0, \dots, s_{|t|}$ are arbitrary trees (all possibly equal to $|$).

If $t = |$, we get

$$u = \mu_{\Upsilon}(s_0/\Upsilon) = s_0/\Upsilon = \overset{s_0}{\Upsilon}.$$

Since s_0 runs over all possible trees, this u recovers all trees with nothing branched on the right of the root.

If $t \neq |$, we use the fact that $\Upsilon \setminus t = \mu_{\Upsilon \setminus t}(\Upsilon, t)$, the associativity of the product μ shaped by trees, and the associativity of the over and under products, to get

$$\begin{aligned} u &= \mu_{\mu_{\Upsilon \setminus t}(\Upsilon, t)}(s_0/\Upsilon, \dots, s_{|t|}/\Upsilon) \\ &= \mu_{\Upsilon \setminus t}(\mu_{\Upsilon}(s_0/\Upsilon), \mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)) \\ &= s_0/\Upsilon \setminus \mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon). \end{aligned} \tag{4.8}$$

Since t and $s_0, \dots, s_{|t|}$ run over all possible trees (including the root-tree $|$ for the s_i 's), we can recover any possible tree $u \in \bar{Y}$ with something branched simultaneously on the left and on the right of the root.


The above decomposition is clearly not unique, because different choices of t and $s_1, \dots, s_{|t|}$ might give rise to the same tree u . For instance, if in Eq. (4.8) we choose $t = \Upsilon \setminus |$, any s_1 , and $s_2 = |$, we get

$$\mu_t(s_1/\Upsilon, s_2/\Upsilon) = \mu_{\Upsilon \setminus |}(\overset{s_1}{\Upsilon \setminus |}, |) = \overset{s_1}{\Upsilon \setminus |}$$

and therefore for any s_0 we get $u = s_0/\Upsilon \setminus \overset{s_1}{\Upsilon \setminus |} = \overset{s_1}{\overset{s_0}{\Upsilon \setminus |}}$. But if we choose $t' = \Upsilon$ and $s'_1 = \overset{s_1}{\Upsilon}$, we get the same result for any s_0 because

$$\mu_{t'}(s'_1/\Upsilon) = \mu_{\Upsilon}(\overset{s_1}{\Upsilon}) = \overset{s_1}{\Upsilon}.$$

To show that the decomposition (4.7) is unique, in Eq. (4.8) it suffices to consider, for t , only

the right-comb trees . With this choice, we get

$$u = \begin{array}{c} & & & & s_{|t|} \\ & & & & / \\ & & & \cdot & \\ & & s_1 & & \\ & & / & & \\ & s_0 & & & \\ & / & & & \\ & & & & \end{array},$$

and therefore, for arbitrary $s_0, \dots, s_{|t|} \in Y$, with $|t| \geq 1$, we recover in a unique way all trees $u \in \bar{Y}$ with something branched simultaneously on the left and on the right of the root. Then we apply Proposition 2.3 to identify the group $G^{\text{dif}}(A)$ with the subgroup of $G_Y^{\text{dif}}(A)$ made of series expanded only on the right-comb trees. We have therefore proved the uniqueness of decomposition $i^{\text{under}}(G^{\text{dif}}(A)) \circ G_Y^\rho(A) = G_Y^{\text{dif}}(A)$. The same argument applies to $i^{\text{over}}(G^{\text{dif}}(A)) \circ G_Y^\lambda(A) = G_Y^{\text{dif}}(A)$. \square

The order map π also gives two surjective group morphisms from $G_Y^\rho(A)$ and $G_Y^\lambda(A)$ to $G^{\text{dif}}(A)$. In fact, since $G_Y^\rho(A)$ and $G_Y^\lambda(A)$ are subgroups of $G_Y^{\text{dif}}(A)$, and π is a group homomorphism from $G_Y^{\text{dif}}(A)$ to $G^{\text{dif}}(A)$, it only remains to show that π is still surjective when restricted to $G_Y^\rho(A)$ or $G_Y^\lambda(A)$. This follows from the fact that $G_Y^\rho(A)$ and $G_Y^\lambda(A)$ contain $G^{\text{dif}}(A)$ via the inclusions of Proposition 2.3, which are sections of π .

To conclude this section, we apply Theorem 4.3 to describe explicitly the Hopf structure of the algebra \mathcal{H}_Y^ρ , because it gives rise to another new Hopf algebra on trees, which is neither commutative nor cocommutative.

Corollary 4.6. *The free associative algebra $\mathcal{H}_Y^{\rho, \text{nc}} = \mathbb{Q}\langle \bar{Y} \rangle \cong \mathbb{Q}\langle Y \rangle / (\mid - 1)$ is a graded and connected algebra, with grading given by the order of trees. The coproduct Δ_Y^ρ is defined on any $u \in \bar{Y}$ by*

$$\Delta_Y^\rho(u) := 1 \otimes u + \sum_{\substack{t \in \bar{Y} \\ s_1, \dots, s_{|t|+1} \in Y \\ u = \mu_t(s_1/\bar{Y}, \dots, s_{|t|}/\bar{Y})/s_{|t|+1}}} t \otimes s_1 \dots s_{|t|} s_{|t|+1} \tag{4.9}$$

and the counit is $\varepsilon(u) = 0$ for any $u \in \bar{Y}$.

For instance, setting $\mid = 1$, the coproduct on small trees is:

$$\begin{aligned} \Delta_Y^\rho(\Upsilon) &= \Upsilon \otimes 1 + 1 \otimes \Upsilon, \\ \Delta_Y^\rho(\Upsilon\Upsilon) &= \Upsilon\Upsilon \otimes 1 + 2\Upsilon \otimes \Upsilon + 1 \otimes \Upsilon\Upsilon, \\ \Delta_Y^\rho(\Upsilon\Upsilon\Upsilon) &= \Upsilon\Upsilon\Upsilon \otimes 1 + 1 \otimes \Upsilon\Upsilon\Upsilon, \\ \Delta_Y^\rho(\Upsilon\Upsilon\Upsilon\Upsilon) &= \Upsilon\Upsilon\Upsilon\Upsilon \otimes 1 + 3\Upsilon\Upsilon \otimes \Upsilon + \Upsilon \otimes (2\Upsilon\Upsilon + \Upsilon^2) + 1 \otimes \Upsilon\Upsilon\Upsilon\Upsilon, \\ \Delta_Y^\rho(\Upsilon\Upsilon\Upsilon\Upsilon\Upsilon) &= \Upsilon\Upsilon\Upsilon\Upsilon\Upsilon \otimes 1 + \Upsilon\Upsilon \otimes \Upsilon + \Upsilon \otimes \Upsilon\Upsilon + 1 \otimes \Upsilon\Upsilon\Upsilon\Upsilon, \end{aligned}$$

$$\begin{aligned} \Delta_Y^\rho(\check{\check{Y}}) &= \check{\check{Y}} \otimes 1 + \check{Y} \otimes Y + Y \otimes \check{Y} + 1 \otimes \check{\check{Y}}, \\ \Delta_Y^\rho(\check{Y}) &= \check{Y} \otimes 1 + Y \otimes Y + 1 \otimes \check{Y}, \\ \Delta_Y^\rho(\check{\check{Y}}) &= \check{\check{Y}} \otimes 1 + 1 \otimes \check{\check{Y}}. \end{aligned}$$

4.3. Subgroup dual to the Hopf algebra \mathcal{H}^α

The main aim of this section is to define the subgroup G^α represented by the “charge Hopf algebra” \mathcal{H}^α introduced in [5], and used in [4] to describe the renormalization of the electric charge in quantum electrodynamics.

Let us fix an associative, commutative and unital algebra A . For any $f(x) = \sum_{t \in Y} f_t x^t \in A[[Y]]$, the series $x^| - x^{\check{Y}} \setminus f(x)$ belongs to the set $G_Y^{\text{inv}}(A)$, and therefore to the group $G^{\text{over}}(A)$ of tree-expanded invertible series with respect to the product $/$. Let us call $\tilde{f}(x) = (x^| - x^{\check{Y}} \setminus f(x))^{-1}$ its inverse in $G^{\text{over}}(A)$, and set

$$G^\alpha(A) := \{\alpha_f(x) = \rho_{\tilde{f}}(x) = (x^| - x^{\check{Y}} \setminus f(x))^{-1} / x^{\check{Y}}, f \in A[[Y]]\}. \tag{4.10}$$

A tree-expanded diffeomorphism $\alpha_f(x)$ can be thought as the translations by the series f which fixes zero.

For any tree $t \in Y$, set $V(t) = \check{Y} \setminus t$.

Lemma 4.7. *The set $G^\alpha(A)$ coincides with the subset of $G_Y^\rho(A)$ made of the series $\rho_g(x) = \sum_{t \in Y} g_t x^t \check{Y}$ such that*

$$g_t = g_{V(t_1)} g_{V(t_2)} \cdots g_{V(t_n)}, \quad \text{if } t = V(t_1)/V(t_2)/\cdots/V(t_n). \tag{4.11}$$

Proof. For any fixed $f(x) \in A[[Y]]$, the inverse of the series $x^| - x^{\check{Y}} \setminus f(x) = x^| - \sum_{t \in Y} f_t x^t \check{Y}$ in $G^{\text{over}}(A)$ is

$$\tilde{f}(x) = x^| + \sum_{n=1}^\infty \left(\sum_{t \in Y} f_t x^t \check{Y} \right)^n = x^| + \sum_{n=1}^\infty \sum_{t_1, \dots, t_n \in Y} f_{t_1} \cdots f_{t_n} x^{V(t_1)/\cdots/V(t_n)}.$$

Any tree $t \neq |$ can be written in a unique way as an over product of trees which have nothing branched at the left of the root, in fact

$$t = \begin{array}{c} \begin{array}{l} t_1 \\ t_2 \\ \vdots \\ t_n \end{array} \\ \diagdown \\ \diagup \\ \check{Y} \end{array} = V(t_1)/V(t_2)/\cdots/V(t_n).$$

Therefore we have

$$\tilde{f}(x) = x^{\downarrow} + \sum_{n=1}^{\infty} \sum_{\substack{t \in Y \\ t=V(t_1)/\dots/V(t_n)}} f_{t_1} \cdots f_{t_n} x^t.$$

Then, varying $f \in A[[Y]]$, the series $\alpha_f(x) = \tilde{f}(x)/x^{\downarrow}$ give exactly all the series $\rho_g(x)$, where $g(x) = x^{\downarrow} + \sum_{t \in \bar{Y}} g_t x^t$ has arbitrary coefficients $g_{V(t)} = f_t$ and constrained coefficients $g_{V(t_1)/V(t_2)/\dots/V(t_n)} = g_{V(t_1)} g_{V(t_2)} \cdots g_{V(t_n)}$. \square

Theorem 4.8. *The set $G^\alpha(A)$ is a subgroup of $G_Y^\rho(A)$.*

Proof. Since the series $f(x) = 0$ gives $\alpha_f(x) = x^{\downarrow}$, it suffices to show that the subset $G^\alpha(A)$ is closed for the composition.

Let us exploit Lemma 4.7, and choose two generic series in $G^\alpha(A)$ by taking two series ρ_f and ρ_g in $G_Y^\rho(A)$ such that the coefficients of the series $f, g \in G^{\text{over}}(A)$ satisfy the condition (4.11). Let $h \in G^{\text{over}}(A)$ be the series which results from the composition $\rho_f \circ \rho_g = \rho_h$. We have to show that the coefficients of h also satisfy the condition (4.11), that is, we have to show that for any $u \in \bar{Y}$ we have $h_u = h_{V(u_1)} \cdots h_{V(u_n)}$, if $u = V(u_1)/\dots/V(u_n)$. For this, it suffices to show that

$$h_u = h_{u^l} h_{V(u^r)} \quad \text{if } u = u^l/V(u^r). \tag{4.12}$$

Applying the definition of the composition, for any $u \in \bar{Y}$ we have

$$h_u = g_u + \sum_{u=\mu_t(s_1/\gamma, \dots, s_{|t|}/\gamma)/s_{|t|+1}} f_t g_{s_1} \cdots g_{s_{|t|+1}}, \tag{4.13}$$

where from now on we suppose that the sums run over all the trees in the set \bar{Y} if they appear as subindices of the tree-product μ (in this case t), and to the set Y if they appear inside the arguments of μ or anywhere else (in this case $s_1, \dots, s_{|t|+1}$).

In particular, we need an explicit expression the coefficient $h_{V(u)}$, where $u \in Y$. Let us compute it. If $u = \downarrow$ and $V(u) = \downarrow$, it is easy to see that

$$h_{\downarrow} = g_{\downarrow} + f_{\downarrow}.$$

Then we suppose that $u \neq \downarrow$. In Eq. (4.13), we replace the tree u by the tree $V(u) = \downarrow^u$, and obtain

$$h_{V(u)} = g_{V(u)} + \sum_{V(u)=\mu_{\tilde{t}}(\tilde{s}_1/\gamma, \dots, \tilde{s}_{|\tilde{t}|}/\gamma)/\tilde{s}_{|\tilde{t}|+1}} \tilde{f}_{\tilde{t}} g_{\tilde{s}_1} \cdots g_{\tilde{s}_{|\tilde{t}|+1}}.$$

The tree $\mu_{\tilde{t}}(\tilde{s}_1/\gamma, \dots, \tilde{s}_{|\tilde{t}|}/\gamma)/\tilde{s}_{|\tilde{t}|+1}$ can be of the form $V(u) = \downarrow^u$ only if $\tilde{s}_{|\tilde{t}|+1} = \downarrow$, $\tilde{t} = V(t) = \downarrow^t$ with $t \in Y$, and $\tilde{s}_1 = \downarrow$. The case $t = \downarrow$ corresponds to $u = \downarrow$, that we already computed apart. For $t \neq \downarrow$, we write $V(t) = \mu_{\downarrow}(\downarrow, t)$ and apply the associativity of μ to conclude that

$$\begin{aligned}
 V(u) &= \mu_{V(t)}(\Upsilon, \tilde{s}_2/\Upsilon, \dots, \tilde{s}_{|t|+1}/\Upsilon) / | \\
 &= V(\mu_t(\tilde{s}_2/\Upsilon, \dots, \tilde{s}_{|t|+1}/\Upsilon)),
 \end{aligned}$$

and therefore $u = \mu_t(\tilde{s}_2/\Upsilon, \dots, \tilde{s}_{|t|+1}/\Upsilon)$. By renaming the trees $\tilde{s}_i = s_{i-1}$, we finally obtain

$$h_{V(u)} = g_{V(u)} + \sum_{u=\mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)} f_{V(t)} g_{s_1} \cdots g_{s_{|t|}}. \tag{4.14}$$

Let us now prove (4.12). We start again from (4.13), for a fixed tree $u = u^l/V(u^r)$.

Assume $u^r = |$. Let us start by considering the case $u = u^l/\Upsilon$. We already computed $h_\Upsilon = g_\Upsilon + f_\Upsilon$. The sum in (4.13) is over all trees $t \in \bar{Y}$ and $s_1, \dots, s_{|t|+1} \in Y$ such that $u = u^l/\Upsilon = \mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)/s_{|t|+1}$. Let us list the contributions to this sum coming from different cases.

Case 1. If $s_{|t|+1} = |$, the equality $u = u^l/\Upsilon = \mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)$ is possible if and only if $t = t^l/\Upsilon$ and $s_{|t|} = s_{|t|}^l/\Upsilon$. Then we distinguish the following two possible cases.

Case 1a. If $t^l \neq |$, and therefore $|t| = |t^l| + 1$, then $u^l = \mu_{t^l}(s_1/\Upsilon, \dots, s_{|t^l|}/\Upsilon)/s_{|t^l|+1}^l$. We then rename $t^l =: w$. Since $g_| = 1$, and $f_{w/\Upsilon} = f_w f_\Upsilon$, we have the contribution

$$\sum_{u^l=\mu_w(s_1/\Upsilon, \dots, s_{|w|}/\Upsilon)/s_{|w|+1}} f_w f_\Upsilon g_{s_1} \cdots g_{s_{|w|+1}}.$$

Case 1b. If $t^l = |$, then $u = u^l/\Upsilon = \mu_\Upsilon(s_1/\Upsilon) = s_1/\Upsilon$, and therefore $s_1 = u^l$. We then have the contribution

$$f_\Upsilon g_{u^l}.$$

Case 2. If $s_{|t|+1} \neq |$, the equality

$$u^l/\Upsilon = \mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)/s_{|t|+1}$$

is possible if and only if $s_{|t|+1} = s_{|t|+1}^l/\Upsilon$ and $\mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)/s_{|t|+1}^l = u^l$. We then rename the free trees $t =: w$ and $s_{|t|+1}^l =: s_{|t|+1}$, and obtain the contribution

$$\sum_{u^l=\mu_w(s_1/\Upsilon, \dots, s_{|w|}/\Upsilon)/s_{|w|+1}} f_w g_{s_1} \cdots g_{s_{|w|+1}} g_\Upsilon.$$

Summing up all the possible cases, we obtain

$$h_{u^l/\Upsilon} = \left(g_{u^l} + \sum_{u^l=\mu_w(s_1/\Upsilon, \dots, s_{|w|}/\Upsilon)/s_{|w|+1}} f_w g_{s_1} \cdots g_{s_{|w|+1}} \right) (g_\Upsilon + f_\Upsilon) = h_{u^l} h_\Upsilon.$$

Assume $u^r \neq |$. The sum in (4.13) is over all trees $t \in \bar{Y}$ and $s_1, \dots, s_{|t|+1} \in Y$ such that $u = u^l / V(u^r) = \mu_t(s_1/\gamma, \dots, s_{|t|+1}/\gamma) / s_{|t|+1}$. Let us list all the contributions to this sum.

Case 1. If $s_{|t|+1} = |$, since $t \neq |$, we split $t = t^l / V(t^r)$, and distinguish the following three possible cases.

Case 1a. If $t^l \neq |$ and $t^r = |$, then $|t| = |t^l| + 1$ and the equality becomes

$$\begin{aligned} u^l / V(u^r) &= \mu_{t^l/\gamma}(s_1/\gamma, \dots, s_{|t^l|+1}/\gamma) = \mu_{t^l}(s_1/\gamma, \dots, s_{|t^l|}/\gamma) / s_{|t^l|+1}/\gamma \\ &= \mu_{t^l}(s_1/\gamma, \dots, s_{|t^l|}/\gamma) / s_{|t^l|+1} / V(|), \end{aligned}$$

which is impossible because $u^r \neq |$.

Case 1b. If $t^l = |$ and $t^r \neq |$, then $t = V(t^r) = \mu_{\gamma}(t^r)$ with $|t| = |t^r| + 1$. Using the associativity of the μ product we get

$$\mu_{V(t^r)}(s_1/\gamma, \dots, s_{|t^r|+1}/\gamma) = s_1/\gamma \setminus \mu_{t^r}(s_2/\gamma, \dots, s_{|t^r|+1}/\gamma).$$

This tree can be equal to $u = u^l / \gamma \setminus u^r$ if and only if $s_1 = u^l$ and $\mu_{t^r}(s_2/\gamma, \dots, s_{|t^r|+1}/\gamma) = u^r$. Let us rename the free trees as follows: $t^r =: y$, $s_i =: z_{i-1}$ for $i = 2, \dots, |t^r| + 1$. Then the contribution to the whole sum for this case is

$$\sum_{u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)} f_{V(y)} g_{u^l} g_{z_1} \cdots g_{z_{|y|}}.$$

Case 1c. If $t^l \neq |$ and $t^r \neq |$, using again the properties of the μ product we have

$$\begin{aligned} u^l / V(u^r) &= \mu_{t^l}(s_1/\gamma, \dots, s_{|t^l|}/\gamma) / \mu_{V(t^r)}(s_{|t^l|+1}/\gamma, \dots, s_{|t^l|+|t^r|+1}/\gamma) \\ &= \mu_{t^l}(s_1/\gamma, \dots, s_{|t^l|}/\gamma) / s_{|t^l|+1} / V(\mu_{t^r}(s_{|t^l|+2}/\gamma, \dots, s_{|t^l|+|t^r|+1}/\gamma)). \end{aligned}$$

We rename the free trees as $t^l =: w$, $s_i =: v_i$ for $i = 1, \dots, |t^l| + 1$, and $t^r =: y$, $s_{|t^l|+j} =: z_{j-1}$ for $j = 2, \dots, |t^r| + 1$. Since $f_{w/V(y)} = f_w f_{V(y)}$, we obtain the contribution

$$\sum_{\substack{u^l = \mu_w(v_1/\gamma, \dots, v_{|w|}/\gamma) / v_{|w|+1} \\ u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)}} f_w f_{V(y)} g_{v_1} \cdots g_{v_{|w|+1}} g_{z_1} \cdots g_{z_{|y|}}.$$

Case 2. If $s_{|t|+1} \neq |$, then it can be decomposed as $s_{|t|+1} = s_{|t|+1}^l / V(s_{|t|+1}^r)$, and therefore the equality

$$u^l / V(u^r) = \mu_t(s_1/\gamma, \dots, s_{|t|}/\gamma) / s_{|t|+1}^l / V(s_{|t|+1}^r)$$

is possible if and only if $\mu_t(s_1/\gamma, \dots, s_{|t|}/\gamma) / s_{|t|+1}^l = u^l$ and $s_{|t|+1}^r = u^r$. We then rename the free trees as $t =: w$, $s_i =: v_i$ for $i = 1, \dots, |t|$ and $s_{|t|+1}^l =: v_{|w|+1}$, and obtain the contribution

$$\sum_{u^l = \mu_w(v_1/\gamma, \dots, v_{|w|}/\gamma)/v_{|w|+1}} f_w g_{v_1} \cdots g_{v_{|w|+1}} g_{V(u^r)}.$$

Summing up all the possible cases, and rearranging them using (4.13) and (4.14), we finally obtain

$$\begin{aligned} h_{u^l/V(u^r)} &= g_u + \sum_{u = \mu_t(s_1/\gamma, \dots, s_{|t|}/\gamma)/s_{|t|+1}} f_t g_{s_1} \cdots g_{s_{|t|+1}} \\ &= \left(g_{u^l} + \sum_{u^l = \mu_w(v_1/\gamma, \dots, v_{|w|}/\gamma)/v_{|w|+1}} f_w g_{v_1} \cdots g_{v_{|w|+1}} \right) \\ &\quad \times \left(g_{V(u^r)} + \sum_{u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)} f_{V(y)} g_{z_1} \cdots g_{z_{|y|}} \right) \\ &= h_{u^l} h_{V(u^r)}. \quad \square \end{aligned}$$

The construction of the group $G^\alpha(A)$ is clearly functorial in A .

Theorem 4.9. *The group functor G^α is represented by the Hopf algebra \mathcal{H}^α .*

Proof. The functoriality of the group $G^\alpha(A)$, with respect to the algebra A , is obvious, as well as the fact that G^α is a proalgebraic group. In fact, after Lemma 4.7, the coordinate ring of G^α is the quotient of \mathcal{H}_Y^ρ by the ideal generated by the relation $t = V(t_1)/V(t_2)/\cdots/V(t_n)$, for all $t \in \bar{Y}$, and therefore it is freely spanned by the trees of the form $V(t)/\gamma$, for any $t \in Y$. In other words, the coordinate ring of G^α is the polynomial algebra $\mathbb{Q}[V(t)/\gamma, t \in Y]$, which is isomorphic, as an algebra, to the polynomial algebra $\mathbb{Q}[V(t), t \in Y]$.

Let us recall, from [5], that \mathcal{H}^α is the abelian quotient of the algebra $\mathbb{Q}Y$ of all trees endowed with the over product. Thus the root tree $|$ is the unit, and the algebra \mathcal{H}^α is in fact isomorphic to the polynomial algebra $\mathbb{Q}[V(t), t \in Y]$. In [5] it was shown that \mathcal{H}^α is a connected graded Hopf algebra, with the grading given by the order of the trees. The coproduct $\Delta^\alpha : \mathcal{H}^\alpha \rightarrow \mathcal{H}^\alpha \otimes \mathcal{H}^\alpha$ is the algebra morphism defined on the generators by the assignment

$$\Delta^\alpha(V(t)) = 1 \otimes V(t) + \delta^\alpha(V(t)), \tag{4.15}$$

where $\delta^\alpha : \mathcal{H}^\alpha \rightarrow \mathcal{H}^\alpha \otimes \mathcal{H}^\alpha$ is a right coaction of \mathcal{H}^α on itself (w.r.t. the coproduct Δ^α), defined recursively as

$$\delta^\alpha(V(t)) = (V \otimes \text{Id})[\Delta^\alpha(t^l)/\delta^\alpha(V(t^r))], \tag{4.16}$$

where $t = t^l/V(t^r)$. The counit $\varepsilon : \mathcal{H}^\alpha \rightarrow \mathbb{Q}$ is the algebra morphism with value $\varepsilon(V(t)) = 0$ on the generators.

It is clear that the coordinate ring of G^α is isomorphic to \mathcal{H}^α , as an algebra. It remains to show that the coproduct dual to the composition is indeed Δ^α . To do this, we prove that the projection $R : \mathcal{H}_Y^\rho = \mathbb{Q}[\bar{Y}] \rightarrow \mathcal{H}^\alpha \cong \mathbb{Q}[V(t), t \in Y]$, dual to the inclusion of $G^\alpha(A)$ into $G_Y^\rho(A)$, is a morphism of Hopf algebras, that is

$$\Delta^\alpha(R(u)) = (R \otimes R)\Delta_Y^\rho(u) \tag{4.17}$$

for all $u \in \bar{Y}$. The map R is the algebra morphism which sends the generators $u \in \bar{Y}$ of \mathcal{H}_Y^ρ into themselves, seen as over products of its components, that is

$$R(u) = u = V(u^1)/\cdots/V(u^n),$$

and of course, being an algebra morphism, it sends the free products of \mathcal{H}_Y^ρ into the over products of \mathcal{H}^α , that is

$$R(u_1 \cdots u_m) = u_1/\cdots/u_m.$$

To show the identity (4.17), it suffices to show that $(R \otimes R)\Delta_Y^\rho$ satisfies the same recursive relation (4.15) which defines Δ^α . For this purpose, we introduce a coaction δ_Y^ρ of \mathcal{H}_Y^ρ on itself.

Let us restrict the right action of $G_Y^{\text{dif}}(A)$ on $G_Y^\rho(A)$ of Theorem 4.2 to the map $G_Y^\rho(A) \times G_Y^\rho(A) \rightarrow G_Y^\rho(A)$ given by $(\rho_f)^{\rho_g} = \rho_{f\rho_g}$. We obtain a right action of $G_Y^\rho(A)$ on itself. Its dual map on the coordinate rings can be found from the coaction δ_Y^{dif} , given by Eq. (4.5) for $\mathcal{P} = \mathcal{D}up$ and which in fact coincides with the coaction δ_Y^{inv} , by applying the projection $P: \mathcal{H}_Y^{\text{dif}} \rightarrow \mathcal{H}_Y^\rho$ described in the proof of Theorem 4.3. In conclusion, we obtain the map $\delta_Y^\rho: \mathcal{H}_Y^\rho \rightarrow \mathcal{H}_Y^\rho \otimes \mathcal{H}_Y^\rho$ given on the generators $u \in \bar{Y}$ by

$$\delta_Y^\rho(u) = (\text{Id} \otimes P)\delta_Y^{\text{dif}}(u) = \sum_{u=\mu_t(s_1/\bar{Y}, \dots, s_{|t|}/\bar{Y})} t \otimes s_1 \cdots s_{|t|}, \tag{4.18}$$

where, again, from now on we suppose that the sums run over all the trees in the set \bar{Y} if they appear as subindices of the tree-product μ (in this case t), and to the set Y if they appear inside the arguments of μ or anywhere else (in this case $s_1, \dots, s_{|t|}$). Now we compute an explicit formula for $\Delta_Y^\rho(V(u))$ and for $\delta_Y^\rho(V(u))$, and show that relations (4.15) and (4.16) are satisfied after projecting by R .

If $u = |$, and $V(u) = \bar{Y}$, we can easily compute

$$\begin{aligned} \Delta_Y^\rho(\bar{Y}) &= \bar{Y} \otimes 1 + 1 \otimes \bar{Y}, \\ \delta_Y^\rho(\bar{Y}) &= \bar{Y} \otimes 1. \end{aligned}$$

Therefore $\Delta_Y^\rho(\bar{Y}) = 1 \otimes \bar{Y} + \delta_Y^\rho(\bar{Y})$. The relation (4.15) is satisfied in \mathcal{H}_Y^ρ , and therefore it is satisfied after applying the algebra morphism R . Since $\delta_Y^\rho(|) = | \otimes |$, and $\bar{Y} = V(|)$, relation (4.16) is also satisfied in \mathcal{H}_Y^ρ .

Now suppose that $u \neq |$. In Eq. (4.9), we replace the tree u by the tree $V(u)$, and obtain

$$\Delta_Y^\rho(V(u)) = 1 \otimes V(u) + \sum_{V(u)=\mu_{\tilde{t}}(\tilde{s}_1/\bar{Y}, \dots, \tilde{s}_{|\tilde{t}|}/\bar{Y})/\tilde{s}_{|\tilde{t}|+1}} \tilde{t} \otimes \tilde{s}_1/\cdots/\tilde{s}_{|\tilde{t}|}/\tilde{s}_{|\tilde{t}|+1}.$$

Since $\tilde{t} \neq |$, the tree $\mu_{\tilde{t}}(\tilde{s}_1/\bar{Y}, \dots, \tilde{s}_{|\tilde{t}|}/\bar{Y})/\tilde{s}_{|\tilde{t}|+1}$ can be of the form $V(u)$ only if $\tilde{s}_{|\tilde{t}|+1} = |$, $\tilde{t} = V(t)$ with $t \in Y$, and $\tilde{s}_1 = |$. The case $t = |$ corresponds to $u = |$, and we exclude it. For $t \neq |$, we write $V(t) = \mu_{\bar{Y}}(\bar{Y}, t)$ and apply the associativity of μ to conclude that

$$\begin{aligned}
 V(u) &= \mu_{V(t)}(\Upsilon, \tilde{s}_2/\Upsilon, \dots, \tilde{s}_{|t|+1}/\Upsilon) / | \\
 &= V(\mu_t(\tilde{s}_2/\Upsilon, \dots, \tilde{s}_{|t|+1}/\Upsilon)),
 \end{aligned}$$

and therefore $u = \mu_t(\tilde{s}_2/\Upsilon, \dots, \tilde{s}_{|t|+1}/\Upsilon)$. By renaming the trees $\tilde{s}_i = s_{i-1}$, we finally obtain

$$\Delta_Y^\rho(V(u)) = 1 \otimes V(u) + \sum_{u=\mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)} V(t) \otimes s_1 \cdots s_{|t|}. \tag{4.19}$$

Similarly, if we replace the tree u by the tree $V(u)$ in Eq. (4.18), we obtain

$$\delta_Y^\rho(V(u)) = \sum_{u=\mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)} V(t) \otimes s_1 \cdots s_{|t|}. \tag{4.20}$$

Therefore we have $\Delta_Y^\rho(V(u)) = 1 \otimes V(u) + \delta_Y^\rho(V(u))$, and consequently the relation (4.15) is fulfilled already in \mathcal{H}_Y^ρ .

Using (4.18), Eq. (4.20) can be written as

$$\begin{aligned}
 \delta_Y^\rho(V(u)) &= (V \otimes \text{Id}) \left(\sum_{u=\mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)} t \otimes s_1 \cdots s_{|t|} \right) \\
 &= (V \otimes \text{Id}) \delta_Y^\rho(u).
 \end{aligned}$$

Let us develop $\delta_Y^\rho(u)$, for $u = u^l/V(u^l)$.

Assume $u^l = |$. Let us start by considering the case $u = u^l/\Upsilon$. We already computed $\delta_Y^\rho(\Upsilon) = \Upsilon \otimes |$. The sum in (4.18) is over all trees $t \in \bar{Y}$ and $s_1, \dots, s_{|t|} \in Y$ such that $u = u^l/\Upsilon = \mu_t(s_1/\Upsilon, \dots, s_{|t|}/\Upsilon)$. This equality is possible if and only if $t = t^l/\Upsilon$ and $s_{|t|} = s_{|t|}^l/\Upsilon$. Then we distinguish two possible cases, let us list the contributions to the whole sum coming from each of them.

Case 1. If $t^l \neq |$, and therefore $|t| = |t^l| + 1$, then $u^l = \mu_{t^l}(s_1/\Upsilon, \dots, s_{|t^l|}/\Upsilon) / s_{|t^l|+1}^l$. If we rename $t^l =: w$, the contribution can be written as

$$\sum_{u^l=\mu_w(s_1/\Upsilon, \dots, s_{|w|}/\Upsilon)} w/\Upsilon \otimes s_1 \cdots s_{|w|}.$$

Case 2. If $t^l = |$, then $u = u^l/\Upsilon = \mu_\Upsilon(s_1/\Upsilon) = s_1/\Upsilon$, and therefore $s_1 = u^l$. We then have the contribution

$$\Upsilon \otimes u^l.$$

Summing up the two contributions, we obtain

$$\delta_Y^\rho(u^l/\Upsilon) = \Upsilon \otimes u^l + \sum_{u^l=\mu_w(s_1/\Upsilon, \dots, s_{|w|}/\Upsilon)} w/\Upsilon \otimes s_1 \cdots s_{|w|},$$

and therefore

$$\begin{aligned} (R \otimes R)\delta_Y^\rho(u^l/\Upsilon) &= \Upsilon \otimes u^l + \sum_{u^l = \mu_w(s_1/\Upsilon, \dots, s_{|w|}/\Upsilon)} w/\Upsilon \otimes s_1/\dots/s_{|w|} \\ &= \left(1 \otimes u^l + \sum_{u^l = \mu_w(s_1/\Upsilon, \dots, s_{|w|}/\Upsilon)} w \otimes s_1/\dots/s_{|w|} \right) / (\Upsilon \otimes 1) \\ &= [(R \otimes R)\Delta_Y^\rho(u^l)] / [(R \otimes R)\delta_Y^\rho(\Upsilon)]. \end{aligned}$$

Therefore Eq. (4.16) holds for $u = u^l/V(\mid)$.

Assume $u^r \neq \mid$. The sum in (4.18) is over all trees $t \in \bar{Y}$ and $s_1, \dots, s_{|t|+1} \in Y$ such that $u = u^l/V(u^r) = \mu_t(s_1/\Upsilon, \dots, s_{|t|+1}/\Upsilon)$. Since $t \neq \mid$, we split $t = t^l/V(t^r)$, and distinguish three possible cases. Let us list the contributions to the sum coming from each of them.

Case 1. If $t^l \neq \mid$ and $t^r = \mid$, then $t = t^l/\Upsilon = \mu_{\Upsilon} (t^l, \Upsilon)$ with $|t| = |t^l| + 1$. Using the properties of the μ product, the equality becomes

$$\begin{aligned} u^l/V(u^r) &= \mu_{t^l/\Upsilon}(s_1/\Upsilon, \dots, s_{|t^l|+1}/\Upsilon) = \mu_{t^l}(s_1/\Upsilon, \dots, s_{|t^l|}/\Upsilon) / s_{|t^l|+1}/\Upsilon \\ &= \mu_{t^l}(s_1/\Upsilon, \dots, s_{|t^l|}/\Upsilon) / s_{|t^l|+1}/V(\mid), \end{aligned}$$

which is impossible because $u^r \neq \mid$.

Case 2. If $t^l = \mid$ and $t^r \neq \mid$, then $t = V(t^r) = \mu_{\Upsilon} (\Upsilon, t^r)$ with $|t| = |t^r| + 1$. Using the associativity of the μ product we get

$$\mu_{V(t^r)}(s_1/\Upsilon, \dots, s_{|t^r|+1}/\Upsilon) = s_1/\Upsilon \setminus \mu_{t^r}(s_2/\Upsilon, \dots, s_{|t^r|+1}/\Upsilon).$$

This tree can be equal to $u = u^l/\Upsilon \setminus u^r$ if and only if $s_1 = u^l$ and $\mu_{t^r}(s_2/\Upsilon, \dots, s_{|t^r|+1}/\Upsilon) = u^r$. Let us rename the free trees as follows: $t^r =: y$, $s_i =: z_{i-1}$ for $i = 2, \dots, |t^r| + 1$. Then the contribution to the whole sum for this case is

$$\sum_{u^r = \mu_y(z_1/\Upsilon, \dots, z_{|y|}/\Upsilon)} V(y) \otimes u^l z_1 \cdots z_{|y|}.$$

Case 3. If $t^l \neq \mid$ and $t^r \neq \mid$, using again the properties of the μ product we have

$$\begin{aligned} u^l/V(u^r) &= \mu_{t^l}(s_1/\Upsilon, \dots, s_{|t^l|}/\Upsilon) / \mu_{V(t^r)}(s_{|t^l|+1}/\Upsilon, \dots, s_{|t^l|+|t^r|+1}/\Upsilon) \\ &= \mu_{t^l}(s_1/\Upsilon, \dots, s_{|t^l|}/\Upsilon) / s_{|t^l|+1}/V(\mu_{t^r}(s_{|t^l|+2}/\Upsilon, \dots, s_{|t^l|+|t^r|+1}/\Upsilon)). \end{aligned}$$

Renaming the free trees as $t^l =: w$, $s_i =: v_i$ for $i = 1, \dots, |t^l| + 1$, and $t^r =: y$, $s_{|t^l|+j} =: z_{j-1}$ for $j = 2, \dots, |t^r| + 1$, we obtain the following contribution to the sum:

$$\sum_{\substack{u^l = \mu_w(v_1/\gamma, \dots, v_{|w|}/\gamma)/v_{|w|+1} \\ u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)}} w/V(y) \otimes v_1 \cdots v_{|w|+1} z_1 \cdots z_{|y|}.$$

Summing up the two contributions, we obtain

$$\begin{aligned} \delta_Y^\rho(u^l/V(u^r)) &= \sum_{u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)} V(y) \otimes u^l z_1 \cdots z_{|y|} \\ &+ \sum_{\substack{u^l = \mu_w(v_1/\gamma, \dots, v_{|w|}/\gamma)/v_{|w|+1} \\ u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)}} w/V(y) \otimes v_1 \cdots v_{|w|+1} z_1 \cdots z_{|y|}. \end{aligned}$$

Therefore

$$\begin{aligned} (R \otimes R)\delta_Y^\rho(u^l/V(u^r)) &= \sum_{u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)} V(y) \otimes u^l/z_1/\cdots/z_{|y|} \\ &+ \sum_{\substack{u^l = \mu_w(v_1/\gamma, \dots, v_{|w|}/\gamma)/v_{|w|+1} \\ u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)}} w/V(y) \otimes v_1/\cdots/v_{|w|+1}/z_1/\cdots/z_{|y|} \\ &= \left[1 \otimes u^l + \sum_{u^l = \mu_w(v_1/\gamma, \dots, v_{|w|}/\gamma)/v_{|w|+1}} w \otimes v_1/\cdots/v_{|w|+1} \right] / \sum_{u^r = \mu_y(z_1/\gamma, \dots, z_{|y|}/\gamma)} V(y) \otimes z_1/\cdots/z_{|y|} \\ &= [(R \otimes R)\Delta_Y^\rho(u^l)] / [(R \otimes R)\delta_Y^\rho(V(u^l))]. \end{aligned}$$

Therefore the recursive relation (4.16) holds for any $u = u^l/V(u^r)$. \square

In [5], it was proved that there exists a non-commutative lift of \mathcal{H}^α , let us denote it by $\mathcal{H}^{\alpha,nc}$. As a corollary to the previous result, we obtain a non-recursive formula for the coproduct Δ^α , which is still valid on $\mathcal{H}^{\alpha,nc}$.

Corollary 4.10. *The free associative algebra $\mathcal{H}^{\alpha,nc} = \mathbb{Q}\langle V(u), u \in Y \rangle$ and its abelian quotient $\mathcal{H}^\alpha = \mathbb{Q}[V(u), u \in Y]$ are graded and connected Hopf algebras with coproduct defined on the generators by*

$$\Delta^\alpha(V(u)) = 1 \otimes V(u) + \sum_{\substack{t \in \bar{Y} \\ s_1, \dots, s_{|t|} \in Y \\ u = \mu_t(s_1/\gamma, \dots, s_{|t|}/\gamma)}} V(t) \otimes s_1/\cdots/s_{|t|}.$$

To conclude, we apply the results of Section 3 on the semi-direct coproduct Hopf algebras to the Hopf algebras \mathcal{H}^α and \mathcal{H}^e . The ‘‘QED renormalization Hopf algebra’’ $\mathcal{H}^\alpha \rtimes \mathcal{H}^e$, introduced in [5], is then the non-commutative lift of the coordinate ring of the group functor $G^\alpha \rtimes G^e$.

Then, note that the order map π gives a surjective group morphism from $G^\alpha(A)$ to $G^{\text{dif}}(A)$. In fact, since $G^\alpha(A)$ is a subgroup of $G_Y^\rho(A)$, and π is a group homomorphism from $G_Y^\rho(A)$

to $G^{\text{dif}}(A)$, it suffices to verify that π is still surjective when restricted to $G^\alpha(A)$. This follows from the fact that $G^\alpha(A)$ contains $G^{\text{dif}}(A)$ via the inclusion i^{under} of Proposition 2.3, which is a section of π .

Therefore, for any fixed algebra A , the “QED renormalization group” $G^\alpha(A) \rtimes G^e(A)$ is projected to the semi-direct product of usual series $G^{\text{dif}}(A) \rtimes G^{\text{inv}}(A)$.

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