

ACADEMIC
PRESSAvailable at
WWW.MATHEMATICSWEB.ORG
POWERED BY SCIENCE @ DIRECT®

Advances in Mathematics 181 (2004) 353–367

ADVANCES IN
Mathematics<http://www.elsevier.com/locate/aim>

Ideals of quasi-symmetric functions and super-covariant polynomials for \mathcal{S}_n

J.-C. Aval,^a F. Bergeron,^{b,1} and N. Bergeron^{c,*,2}^aLaboratoire A2X, Université Bordeaux 1, 351 cours de la Libération, 33405 Talence cedex, France^bDépartement de Mathématiques, Université du Québec à Montréal, Montréal, Qué., Canada H3C 3P8^cDepartment of Mathematics and Statistics, York University, Toronto, Ont., Canada M3J 1P3

Received 2 April 2002; accepted 4 November 2002

Communicated by Adriano Garsia

Abstract

The aim of this work is to study the quotient ring \mathbf{R}_n of the ring $\mathbb{Q}[x_1, \dots, x_n]$ over the ideal \mathcal{J}_n generated by non-constant homogeneous quasi-symmetric functions. This article is a sequel of Aval and Bergeron (Proc. Amer. Math. Soc., to appear), in which we investigated the case of infinitely many variables. We prove here that the dimension of \mathbf{R}_n is given by C_n , the n th Catalan number. This is also the dimension of the space \mathbf{SH}_n of super-covariant polynomials, defined as the orthogonal complement of \mathcal{J}_n with respect to a given scalar product. We construct a basis for \mathbf{R}_n whose elements are naturally indexed by Dyck paths. This allows us to understand the Hilbert series of \mathbf{SH}_n in terms of number of Dyck paths with a given number of factors.

© 2003 Elsevier Science (USA). All rights reserved.

1. Introduction

We study, in this paper, a natural analog of the space \mathbf{H}_n of covariant polynomials of \mathcal{S}_n . Let X denote the n variables x_1, \dots, x_n and $\mathbb{Q}[X]$ denote the ring of polynomials in the variables X . Let \mathcal{I}_n denote the ideal of $\mathbb{Q}[X]$ generated by all

*Corresponding author. Fax: +416-736-5757.

E-mail addresses: aval@math.u-bordeaux.fr (J.-C. Aval), bergeron.francois@uqam.ca (F. Bergeron), bergeron@mathstat.yorku.ca (N. Bergeron).

URL: <http://www.math.yorku.ca/bergeron>.

¹Supported in part by NSERC and FCAR.

²Supported in part by CRC, NSERC and PREA.

symmetric polynomials with no constant term. That is

$$\mathcal{I}_n = \langle h_k(X), k > 0 \rangle,$$

where $h_k(X)$ is the k th homogeneous symmetric polynomials in the variables X (cf. [12]). We consider the following scalar product on $\mathbb{Q}[X]$:

$$\langle P, Q \rangle = P(\partial X)Q(X)|_{X=0}, \quad (1.1)$$

where ∂X stands for $\partial x_1, \dots, \partial x_n$ and in the same spirit $X = 0$ stands for $x_1 = \dots = x_n = 0$. The space \mathbf{H}_n is defined as the orthogonal complement, denoted by \mathcal{I}_n^\perp , of the ideal \mathcal{I}_n in $\mathbb{Q}[X]$.

Equivalently (cf. [6, Proposition I.2.3]), covariant polynomials (also known as \mathcal{S}_n -harmonic polynomials) can be defined as polynomials P such that $Q(\partial X)P = 0$, for any symmetric polynomial Q with no constant term. Since elements of \mathbf{H}_n satisfy the Laplace equation

$$(\partial x_1^2 + \dots + \partial x_n^2)P = \Delta P = 0,$$

every covariant polynomial is also harmonic.

Classical results [1,16] state that the space \mathbf{H}_n affords a graded \mathcal{S}_n -module structure and is isomorphic (as a representation of \mathcal{S}_n) to the left regular representation. Furthermore, as a graded \mathcal{S}_n -module, \mathbf{H}_n is isomorphic to the quotient

$$\mathcal{Q}_n = \mathbb{Q}[X]/\mathcal{I}_n.$$

The space \mathcal{Q}_n appears naturally in other contexts; for instance, as the cohomology ring of the variety of complete flags [5]. The discussion above implies that

$$\dim \mathbf{H}_n = n!. \quad (1.2)$$

Part of the interesting results surrounding the study of \mathbf{H}_n involve the fact that it can also be described as the linear span of all partial derivatives of the Vandermonde determinant. This is a special case of a general result for finite groups generated by reflections [16].

By analogy, we consider here the space $\mathbf{SH}_n = \mathcal{J}_n^\perp$ of *super-covariant* polynomials, where \mathcal{J}_n is the ideal generated by *quasi-symmetric* polynomials with no constant term. Since the ring of symmetric polynomials is a subring of the ring of quasi-symmetric polynomials, we have $\mathcal{I}_n \subseteq \mathcal{J}_n$ hence $\mathcal{J}_n^\perp \subseteq \mathcal{I}_n^\perp$, thus

$$\mathbf{SH}_n \subseteq \mathbf{H}_n,$$

which justifies the terminology. Quasi-symmetric polynomials were introduced by Gessel in 1984 [8] and have since appeared as a crucial tool in many interesting algebraico-combinatorial contexts (cf. [4,7,13–15]).

As in the corresponding symmetric setup, we have a graded isomorphism

$$\mathbf{SH}_n \simeq \mathbf{R}_n = \mathbb{Q}[X]/\mathcal{J}_n \tag{1.3}$$

and the approach used in the following work concentrates on this alternate description. We construct a basis of \mathbf{R}_n by giving an explicit set of monomial representatives. This set is naturally indexed by *Dyck paths* of length n , hence we obtain the following main theorem.

Theorem 1.1. *The dimension of \mathbf{SH}_n is given y the well-known Catalan numbers:*

$$\dim \mathbf{SH}_n = \dim \mathbf{R}_n = C_n = \frac{1}{n+1} \binom{2n}{n}. \tag{1.4}$$

In fact, taking into account the grading (with respect to degree), we have the Hilbert series

$$\sum_{k=0}^{n-1} \dim \mathbf{SH}_n^{(k)} t^k = \sum_{k=0}^{n-1} \frac{n-k}{n+k} \binom{n+k}{k} t^k. \tag{1.5}$$

The article contains five section. In Section 2 we recall useful definitions and basic properties. In Section 3 we construct a family \mathcal{G} of generators for the ideal \mathcal{J}_n and state useful properties of this set. Section 4 is devoted to the proof of the first part of Theorem 1.1. We construct an explicit basis for \mathbf{R}_n which allows us in Section 5 to obtain the Hilbert series of \mathbf{SH}_n .

Before we begin, let us remark that Hivert [9] has developed an action of the Hecke algebra on $\mathbb{Q}[X]$ for which a polynomial is invariant if and only if it is quasi-symmetric. One way to reformulate his result is to consider the generators $e_i = \frac{q-T_i}{(1+q)}$ of the Hecke algebra, where T_i are the standard generators and q is an arbitrary parameter. Then

$$e_i e_{i\pm 1} e_i - \frac{q}{(1+q)^2} e_i \tag{1.6}$$

acts, via Hivert’s action, as zero on the polynomial ring and generates the kernel of this action. Hence, the Temperley–Lieb algebra $TL_n(q)$ (cf. [10]) classically defined as the quotient of the Hecke algebra by relation (1.6), faithfully acts on polynomials. The algebra $TL_n(q)$ is known to have dimension equal to C_n and at $q = 1$ this is a quotient of the symmetric group algebra. The quasi-symmetric polynomials are thus identified as the polynomial invariants $\mathbb{Q}[X]^{TL_n}$ of the algebra $TL_n = TL_n(1)$.

The action of Hivert is not compatible with multiplication and does not preserve the ideal \mathcal{J}_n , yet there are some striking facts related to TL_n -invariants. The quasi-symmetric functions are closed under multiplication [14], in particular they form a

subring of $\mathbb{Q}[X]$. Moreover, if we let n go to infinity, there is a graded Hopf algebra structure on quasi-symmetric functions [8] that is free and cofree with cogenerators in every degree [13]. That is, the graded dual is isomorphic to a free non-commutative Hopf algebra $\mathbb{Q}\langle h_1, h_2, \dots \rangle$ where $\deg(h_k) = k$. Moreover, in this paper, we show that the space R_n of TL_n -covariants has dimension equal to $C_n = \dim(TL_n)$.

These facts are very similar to the classical theory of group invariants [16]. Unfortunately the analogy is incomplete as Hivert's action does not induce an action on R_n . This raises new open questions for future investigation: how can we explain that $\dim(R_n) = \dim(TL_n)$?

2. Basic definitions

A *composition* $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_k)$ of a positive integer d is an ordered list of positive integers (>0) whose sum is d . We denote this by $\alpha \models d$ and also say that α is a composition of *size* d and denote this by $|\alpha|$. The integers α_i are the *parts* of α , and the length $\ell(\alpha)$ is set to be the number of parts of α . We denote by 0 the unique empty composition of size $d = 0$.

There is a natural one-to-one correspondence between compositions of d and subsets of $\{1, 2, \dots, d-1\}$. Let $S = \{a_1, a_2, \dots, a_k\}$ be such a subset, with $a_1 < \dots < a_k$, then the composition associated to S is $\alpha_d(S) = (a_1 - a_0, a_2 - a_1, \dots, a_{k+1} - a_k)$, where we set $a_0 := 0$ and $a_{k+1} := d$. We denote by $D(\alpha)$ the set associated to α through this correspondence. For compositions α and β , we say that β is a *refinement* of α , if $D(\alpha) \subset D(\beta)$, and denote this by $\beta \succcurlyeq \alpha$.

We use vector notation for monomials. More precisely, for $v = (v_1, \dots, v_n) \in \mathbb{N}^n$, we denote X^v the monomial

$$x_1^{v_1} x_2^{v_2} \cdots x_n^{v_n}. \quad (2.1)$$

For a polynomial $P \in \mathbb{Q}[X]$, we further denote $[X^v]P(X)$ as the coefficient of the monomial X^v in $P(X)$.

For a *vector* $v \in \mathbb{N}^n$, let $c(v)$ represent the composition obtained by erasing zero (if any) in v . A polynomial $P \in \mathbb{Q}[X]$ is said to be *quasi-symmetric* if and only if, for any v and μ in \mathbb{N}^n , we have

$$[X^v]P(X) = [X^\mu]P(X)$$

whenever $c(v) = c(\mu)$. The space of quasi-symmetric polynomials in n variables is denoted by $Qsym_n$. The space $Qsym_n^{(d)}$ of homogeneous quasi-symmetric polynomials of degree d admits as linear basis the set of *monomial* quasi-symmetric polynomials indexed by compositions of d . More precisely, for each composition α

of d with at most n parts, we set

$$M_\alpha = \sum_{\ell(v)=\alpha} X^v. \tag{2.2}$$

For the 0 composition, we set $M_0 = 1$. Another important linear basis is that of the *fundamental* quasi-symmetric polynomials (cf. [8]):

$$F_\alpha = \sum_{\beta \succ \alpha} M_\beta \tag{2.3}$$

with $\alpha \models n$ and $\ell(\alpha) \leq n$. For example, with $n = 4$,

$$\begin{aligned} F_{21}(x_1, x_2, x_3, x_4) &= M_{21}(x_1, x_2, x_3, x_4) + M_{111}(x_1, x_2, x_3, x_4) \\ &= x_1^2 x_2 + x_1^2 x_3 + x_1^2 x_4 + x_2^2 x_3 + x_2^2 x_4 + x_3^2 x_4 \\ &\quad + x_1 x_2 x_3 + x_1 x_2 x_4 + x_1 x_3 x_4 + x_2 x_3 x_4. \end{aligned}$$

Part of the interest of fundamental quasi-symmetric functions comes from the following properties. The first is trivial, but very useful and the second comes from the theory of P -partitions [14,15].

Proposition 2.1. For $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_k) \models d$,

$$F_\alpha(X) = \begin{cases} x_1 F_{(\alpha_1-1, \alpha_2, \dots, \alpha_k)}(X) + F_\alpha(x_2, \dots, x_n) & \text{if } \alpha_1 > 1, \\ x_1 F_{(\alpha_2, \alpha_3, \dots, \alpha_k)}(x_2, \dots, x_n) + F_\alpha(x_2, \dots, x_n) & \text{if } \alpha_1 = 1. \end{cases} \tag{2.4}$$

Let $u = u_1 \dots u_\ell \in \mathcal{S}_\ell$ and $v = v_1 \dots v_m \in \mathcal{S}_{[\ell+1, \ell+m]}$. Let $u \cup v$ denote the set of *shuffles* of the words u and v , i.e. $u \cup v$ is the set of all permutations w of $\ell + m$ such that u and v are subwords of w . In particular $u \cup v$ contains $\binom{\ell+m}{m}$ permutations. Let $\mathcal{D}(u) = \{i, u_i > u_{i+1}\}$ denote the *descent set* of u . If β and γ are the two compositions such that $D(\beta) = \mathcal{D}(u)$ and $D(\gamma) = \mathcal{D}(v)$, then

Proposition 2.2 (Stanley [15, Exercise 7.93]).

$$F_\beta F_\gamma = \sum_{w \in u \cup v} F_{\alpha_{\ell+m}(D(w))}. \tag{2.5}$$

In (2.1), the monomials are in correspondence with vectors $v \in \mathbb{N}^n$. Just as for compositions, the size $v_1 + \dots + v_n$ of v is denoted by $|v|$. It is also convenient to denote by $\ell(v)$ the position of its last non-zero component. As usual, $v + \mu$ is the componentwise addition of vectors.

For ease of reading, we reserve the use of α, β and γ to represent compositions, and the other Greek letters to represent vectors. We use the same symbol α for both the composition $(\alpha_1, \dots, \alpha_\ell)$ and the word $\alpha_1 \dots \alpha_\ell$, likewise for vectors. In general, the length of vectors (or number of variables) is fixed and equal to n . If w is a word of integers (that is an element of \mathbb{N}^k for $0 \leq k \leq n$) we denote by $w0^* = w0^{n-k}$ the vector whose first k parts are the *letters* of w , to which are added $n - k$ zeros at the end. If $u = u_1 \dots u_k$ and $v = v_1 \dots v_m$ are words of integers, the word

$$uv := u_1 \dots u_k v_1 \dots v_m$$

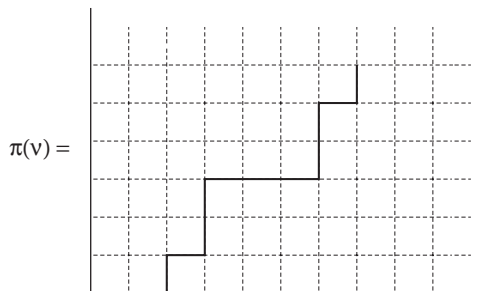
is the *concatenation* of u and v .

We next associate to any vector v a path $\pi(v)$ in the $\mathbb{N} \times \mathbb{N}$ plane with steps going north or east as follows. If $v = (v_1, \dots, v_n)$, the path $\pi(v)$ is

$$(0, 0) \rightarrow (v_1, 0) \rightarrow (v_1, 1) \rightarrow (v_1 + v_2, 1) \rightarrow (v_1 + v_2, 2) \rightarrow \dots$$

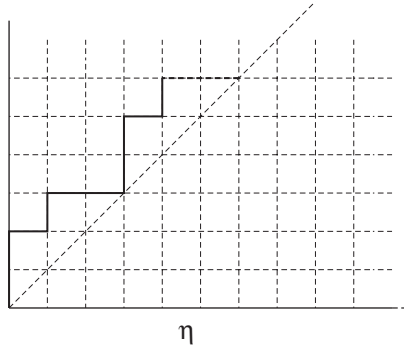
$$\rightarrow (v_1 + \dots + v_n, n - 1) \rightarrow (v_1 + \dots + v_n, n).$$

For example the path associated to $v = (2, 1, 0, 3, 0, 1)$ is

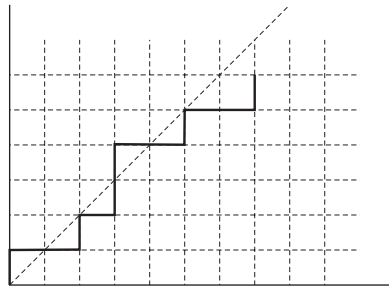


Observe that the height of the path is always n , whereas its width is $|v|$.

We distinguish two kinds of paths, thus two kinds of vectors, with respect to their “behavior” with respect to the diagonal $y = x$. If the path remains above the diagonal, we call it a *Dyck path*, and say that the corresponding vector is *Dyck*. If not, we say that the path (or equivalently the associated vector) is *transdiagonal*. For example $\eta = (0, 0, 1, 2, 0, 1)$ is Dyck and $\varepsilon = (0, 2, 1, 0, 2, 2)$ is transdiagonal.



η



ε

Observe that $v = v_1 \cdots v_n$ is transdiagonal if and only if there exists $1 \leq m \leq n$ such that

$$m < v_1 + \cdots + v_m. \tag{2.6}$$

Recall that the classical lexicographic order, on monomials of same degree, is

$$X^v \geq_{\text{lex}} X^\mu \quad \text{iff} \quad v \geq_{\text{lex}} \mu, \tag{2.7}$$

where we say that v is lexicographically larger than μ , $v >_{\text{lex}} \mu$, if the first non-zero part of the vector $v - \mu$ is positive. For example

$$x_1^3 >_{\text{lex}} x_1^2 x_2 >_{\text{lex}} x_1 x_2^2 >_{\text{lex}} x_2^3 \quad \text{since} \quad (3, 0) >_{\text{lex}} (2, 1) >_{\text{lex}} (1, 2) >_{\text{lex}} (0, 3).$$

3. The \mathcal{G} basis

Following [2], we exploit relations (2.4) to construct a family

$$\mathcal{G} = \{G_\varepsilon\} \subset \mathcal{J}_n$$

indexed by vectors that are transdiagonal. For α any composition of $k \leq n$, the polynomial G_ε , with $\varepsilon := \alpha 0^*$, is defined to be

$$G_\varepsilon := F_\alpha. \tag{3.1}$$

When $\alpha \neq 0$, the vector $\varepsilon = \alpha 0^*$ is clearly transdiagonal. For a general vector ε (not of the form $\alpha 0^*$), the polynomial G_ε is defined recursively in the following way. Let $\varepsilon = w0a\beta 0^*$ be the unique factorization of ε such that w is a word of $k - 1$ non-negative integers, $a > 0$ is a positive integer, and β is a composition (parts > 0). Then we set

$$G_\varepsilon = G_{wa\beta 0^*} - x_k G_{w(a-1)\beta 0^*}. \tag{3.2}$$

By induction on the length of the indexing vectors, both terms on the right of (3.2) are well defined, and we have

- $\ell(wa\beta 0^*) = \ell(w(a - 1)\beta 0^*) = \ell(\varepsilon) - 1$;
- $wa\beta 0^*$ and $w(a - 1)\beta 0^*$ are transdiagonal as soon as ε is transdiagonal.

In fact, let m be the first ordinate where $\pi(\varepsilon)$ crosses the diagonal, this is to say the smallest integer such that $m < \varepsilon_1 + \dots + \varepsilon_m$. Then the second assertion follows from

$$\varphi_1 + \dots + \varphi_m > \psi_1 + \dots + \psi_m = \varepsilon_1 + \dots + \varepsilon_m - 1 > m - 1,$$

where $\varphi = wa\beta 0^*$ and $\psi = w(a - 1)\beta 0^*$.

For example,

$$\begin{aligned} G_{1020} &= G_{1200} - x_2 G_{1100} \\ &= F_{12}(x_1, x_2, x_3, x_4) - x_2 F_{11}(x_1, x_2, x_3, x_4) \\ &= x_1 x_2^2 + x_1 x_3^2 + x_1 x_4^2 + x_2 x_3^2 + x_2 x_4^2 + x_3 x_4^2 + x_1 x_2 x_3 + x_1 x_2 x_4 \\ &\quad + x_1 x_3 x_4 + x_2 x_3 x_4 - x_2(x_1 x_2 + x_1 x_3 + x_1 x_4 + x_2 x_3 + x_2 x_4 + x_3 x_4) \\ &= x_1 x_3^2 + x_1 x_3 x_4 + x_1 x_4^2 - x_2^2 x_3 - x_2^2 x_4 + x_2 x_3^2 + x_2 x_4^2 + x_3 x_4^2. \end{aligned}$$

We observe in this example that the leading monomial (in lex order) of G_{1020} is $X^{1020} = x_1^1 x_2^0 x_3^2 x_4^0$. This holds in general for the \mathcal{G} family as stated in the following proposition, for which all technical details can be found in [2].

Proposition 3.1 (Aval and Bergeron [2, Corollary 3.4]). *The leading monomial $LM(G_\varepsilon)$ of G_ε is X^ε .*

4. Proof of the main theorem

We now give an explicit basis for the space \mathbf{R}_n naturally indexed by Dyck paths. This proves the first part of Theorem 1.1.

Theorem 4.1. *The set of monomials*

$$\mathcal{B}_n = \{X^\eta \mid \pi(\eta) \text{ is a Dyck path}\} \tag{4.1}$$

is a basis of the space \mathbf{R}_n .

The proof is achieved in a few steps. We start with the following lemma.

Lemma 4.2. *Any $P(X) \in \mathbb{Q}[X]$ is in the linear span of \mathcal{B}_n modulo \mathcal{J}_n . That is*

$$P(X) \equiv \sum_{X^\eta \in \mathcal{B}_n} c_\eta X^\eta \pmod{\mathcal{J}_n}. \tag{4.2}$$

Proof. It clearly suffices to show that (4.2) holds for any monomial X^v , with v transdiagonal. We assume that there exists X^v not reducible of the form (4.2) and we choose X^e to be the smallest amongst them with respect to the lexicographic order. Let us write

$$\begin{aligned} X^e &= LM(G_e) \\ &= (X^e - G_e) + G_e \\ &\equiv X^e - G_e \pmod{\mathcal{J}_n}. \end{aligned}$$

All monomials in $(X^e - G_e)$ are lexicographically smaller than X^e , thus they are reducible. This contradicts our assumption on X^e and completes our proof. \square

Thus \mathcal{B}_n spans the space \mathbf{R}_n . We now prove its linear independence. This is equivalent to showing that the set \mathcal{G} is a Gröbner basis of the ideal \mathcal{J}_n . A crucial lemma is the following one, which is the quasi-symmetric analogue of a classical result is the case of symmetric polynomials ([6, Theorem II.2.2]).

Lemma 4.3. *If we denote by $\mathcal{L}[S]$ the linear span of a set S , then*

$$\mathbb{Q}[X] = \mathcal{L}[X^\eta F_\alpha \mid X^\eta \in \mathcal{B}_n, \alpha \models r \geq 0]. \tag{4.3}$$

Proof. We have already obtained the following reduction for any monomial X^e in $\mathbb{Q}[X]$.

$$X^e \equiv \sum_{X^\eta \in \mathcal{B}_n} c_\eta X^\eta \pmod{\mathcal{J}_n},$$

which is equivalent to

$$X^e = \sum_{X^\eta \in \mathcal{B}_n} c_\eta X^\eta + \sum_{\alpha \models r \geq 1} Q_\alpha F_\alpha. \tag{4.4}$$

We then apply reduction (4.4) to each monomial of the Q_α 's and use Proposition 2.2 to reduce products of fundamental quasi-symmetric functions. We obtain (4.3) in a finite number of operations since degrees strictly decrease at each operation, because $\alpha \models r \geq 1$ implies $\text{deg } Q_\alpha < |\varepsilon|$. \square

The next lemma is the final step in our proof of Theorem 4.1.

Lemma 4.4. *The set \mathcal{G} is a linear basis of the ideal \mathcal{J}_n , i.e.*

$$\mathcal{J}_n = \mathcal{L}[G_\varepsilon \mid \varepsilon \text{ transdiagonal}]. \tag{4.5}$$

Proof. Let us denote by \mathcal{A}_n the set

$$\mathcal{A}_n = \{X^\xi \mid x_1^{\xi_1} x_2^{\xi_2} \dots x_n^{\xi_n} \in \mathcal{B}_n\}. \tag{4.6}$$

Now the algebra endomorphism of $\mathbb{Q}[X]$ that *reverses* the variables,

$$x_i \mapsto x_{n-i+1},$$

clearly fixes the subalgebra \mathcal{Qsym} . In fact it maps F_α to $F_{\alpha'}$, where α' is the reverse composition.

It follows from Lemma 4.3 and the endomorphism above that

$$\mathbb{Q}[X] = \mathcal{L}[X^\xi F_\alpha \mid X^\xi \in \mathcal{A}_n, \alpha \models r \geq 0]. \tag{4.7}$$

Now to prove Lemma 4.4, we reduce the problem as follows. We first use (4.7) and Proposition 2.2 to write

$$\begin{aligned} \mathcal{J}_n &= \langle F_\alpha, \alpha \models s \geq 0 \rangle_{\mathbb{Q}[X]} = \mathcal{L}[X^\xi F_\alpha F_\beta \mid X^\xi \in \mathcal{A}_n, \alpha \models s \geq 0, \beta \models t \geq 1] \\ &= \mathcal{L}[X^\xi F_\gamma \mid X^\xi \in \mathcal{A}_n, \gamma \models r \geq 1]. \end{aligned}$$

It is now sufficient to prove that for all $X^\xi \in \mathcal{A}_n$ and all $\gamma \models r \geq 1$

$$X^\xi F_\alpha \in \mathcal{L}[G_\varepsilon \mid \varepsilon \text{ transdiagonal}]. \tag{4.8}$$

But Lemma 4.2 implies that any monomial of degree greater than n is in \mathcal{J}_n . Hence to prove (4.8), we need only show it for ξ and γ such that $|\xi| + |\gamma| \leq n$. To do that, we reduce the product

$$x_n^{\xi_n} (x_{n-1}^{\xi_{n-1}} (\dots (x_2^{\xi_2} (x_1^{\xi_1} F_\alpha)))) \tag{4.9}$$

recursively, using

$$x_k G_{wb\beta 0^*} = G_{w(b+1)\beta 0^*} - G_{w0(b+1)\beta 0^*} \tag{4.10}$$

or

$$x_k G_{w0^*00^*} = G_{w0^*10^*} - G_{w0^*010^*}. \tag{4.11}$$

Relations (4.10) and (4.11) are immediate consequences of the definition of the \mathcal{G} basis (relation (3.2)).

We have to show that the vectors ε generated in this process are all transdiagonal and that the length $\ell(\varepsilon)$ always remains at most equal to n . Let us first check that the transdiagonal part. This is obvious in the case of relation (4.11). In the other case (relation (4.10)), for $\varphi = wb\beta 0^*$, it is sufficient to observe that if m is such that

$$\varphi_1 + \dots + \varphi_m > m$$

with $m > \ell(w)$ (if not, it is evident), then

$$\varphi'_1 + \dots + \varphi'_m > m + 1 > m \quad \text{and} \quad \varphi''_1 + \dots + \varphi''_{m+1} > m + 1,$$

where $\varphi' = w(b + 1)\beta 0^*$, and $\varphi'' = w0(b + 1)\beta 0^*$. We shall now prove that the length of the ε 's always remains at most equal to n . For this we need to keep track of the term $\varepsilon_{\ell(\varepsilon)}$. Two cases have to be considered.

- First case: $\varepsilon_{\ell(\varepsilon)}$ comes from $\alpha_{\ell(\alpha)}$ that has shifted to the right by relation (4.10). It could move at most $|\xi|$ steps to the right, whence

$$\ell(\varepsilon) \leq \ell(\alpha) + |\xi| \leq |\alpha| + |\xi| \leq n.$$

- Second case: $\varepsilon_{\ell(\varepsilon)}$ is a “1” generated by relation (4.11) that has shifted to the right. If it is generated by a multiplication by x_k , then we consider the vector

$$\eta = \xi_n \xi_{n-1} \dots \xi_k 0^*.$$

Since $X^\xi \in \mathcal{A}_n$ implies $\pi(\eta)$ is a Dyck path, we have

$$|\eta| < \ell(\eta) = n - k + 1$$

hence the generated “1” can shift at most to position

$$k + |\eta| \leq k + n - k = n. \quad \square$$

The recursive process used to reduce a product of form (4.9) is illustrated in the following example, where $n = 5$.

$$\begin{aligned} x_1 x_3 F_{21} &= x_3 (x_1 F_{21}) \\ &= x_3 (G_{31000} - G_{03100}) \end{aligned}$$

$$\begin{aligned}
 &= x_3 G_{31000} - x_3 G_{03100} \\
 &= G_{31100} - G_{31010} - G_{03200} + G_{03020}.
 \end{aligned}$$

End of proof of Theorem 4.1: By Lemma 4.2, the set \mathcal{B}_n spans the quotient \mathbf{R}_n . Assume we have a linear dependence relation modulo \mathcal{J}_n , i.e. there exists P

$$P = \sum_{X^\xi \in \mathcal{B}_n} a_\xi X^\xi \in \mathcal{I}_n.$$

By Lemma 4.4, \mathcal{J}_n is linearly spanned by the G_ε 's, thus

$$P = \sum_{\varepsilon \text{ transdiagonal}} b_\varepsilon G_\varepsilon.$$

This implies $LM(P) = X^\varepsilon$, with ε transdiagonal, which is absurd. \square

A consequence of Lemma 4.4 and Theorem 4.1 is that the set \mathcal{G} is a Gröbner basis of \mathcal{J}_n with respect to the lex order. From this we see below that a minimal Gröbner basis of \mathcal{J}_n is obtained from \mathcal{G} if we select the $G_\varepsilon \in \mathcal{G}$ such that $\pi(\varepsilon)$ has exactly one step under the line $y = x$ and no other horizontal steps after that.

Corollary 4.5. *A minimal Gröbner basis for \mathcal{J}_n is given by*

$$\{G_\varepsilon \in \mathcal{G} \mid \varepsilon = w0^*, \ell(w) = |w| + 1, w_1 + \dots + w_s \leq s, \text{ for } s < \ell(w)\}. \quad (4.12)$$

Proof. Theorem 4.1 implies that the monomial ideal $LT(\mathcal{J}_n)$ of leading terms of \mathcal{J}_n is generated by all monomials X^η where $\pi(\eta)$ is transdiagonal. For any such η let m be the smallest integer such that $m < \eta_1 + \dots + \eta_m$ and let $\varepsilon = \eta_1 \dots \eta_{m-1} a 0^*$ where $a = m - 1 - \eta_1 - \dots - \eta_{m-1}$. The monomial X^ε divides X^η which shows that $LT(\mathcal{J}_n)$ is generated by the leading monomial of the G_ε in (4.12). This gives that (4.12) is a Gröbner basis. To show minimality, consider X^ξ a monomial that strictly divides the leading monomial of a G_ε in (4.12). Since $\pi(\varepsilon)$ has exactly one step under the line $y = x$, we have that $\pi(\xi)$ is not transdiagonal and $X^\xi \notin LT(\mathcal{J}_n)$. Hence the leading monomials of the G_ε in (4.12) is a minimal set of generators for $LT(\mathcal{J}_n)$. \square

5. Hilbert series

Since Theorem 4.1 gives us an explicit basis for the quotient \mathbf{R}_n , which is isomorphic to \mathbf{SH}_n as a graded vector space, we are able to refine relation (1.4) by giving the Hilbert series of the space of super-covariant polynomials. For $k \in \mathbb{N}$, let

$\mathbf{SH}_n^{(k)}$ and $\mathbf{R}_n^{(k)}$ denote the projections

$$\mathbf{SH}_n^{(k)} = \mathbf{SH}_n \cap \mathbb{Q}^{(k)}[X] \simeq \mathbf{R}_n \cap \mathbb{Q}^{(k)}[X] = \mathbf{R}_n^{(k)}, \tag{5.1}$$

where $\mathbb{Q}^{(k)}[X]$ is the vector space of homogeneous polynomials of degree k together with zero. Here, we represent Dyck paths horizontally, with n rising steps $(1, 1)$ and n falling steps $(1, -1)$. Let us denote by $D_n^{(k)}$ the number of Dyck paths of length $2n$ ending by exactly k falling steps and by $C_n^{(k)}$ the number of Dyck paths of length $2n$ which have exactly k factors, i.e. $k + 1$ points on the axis. The next figure gives an example of a Dyck path of length 28, ending with four falling steps and made of three factors.



It is well known that

$$D_n^{(k)} = C_n^{(k)} = \frac{k(2n - k - 1)!}{n! (n - k)!}, \tag{5.2}$$

where the first equality is classical (cf. [17] for example for a bijective proof), and the second corresponds to [11, formula (7)].

Let us denote by $F_n(t)$ the Hilbert series of \mathbf{SH}_n , i.e.

$$F_n(t) = \sum_{k \geq 0} \dim \mathbf{SH}_n^{(k)} t^k. \tag{5.3}$$

Theorem 5.1. *For $0 \leq k \leq n - 1$, the dimension of $\mathbf{SH}_n^{(k)}$ is given by*

$$\dim \mathbf{SH}_n^{(k)} = \dim \mathbf{R}_n^{(k)} = D_n^{(n-k)} = C_n^{(n-k)} = \frac{n - k}{n + k} \binom{n + k}{k}. \tag{5.4}$$

For $k \geq n$ the dimension of $\mathbf{SH}_n^{(k)}$ is 0.

Proof. By Theorem 4.1, we know that the set

$$\mathcal{B}_n = \{X^\eta \mid \pi(\eta) \text{ is a Dyck path}\}$$

is a basis for \mathbf{R}_n . It is then sufficient to observe that the path $\pi(\eta)$ associated to η ends by exactly $n - |\eta|$ falling steps. \square

For example, we have:

n	$F_n(t)$
1	1
2	$1 + t$
3	$1 + 2t + 2t^2$
4	$1 + 3t + 5t^2 + 5t^3$
5	$1 + 4t + 9t^2 + 14t^3 + 14t^4$
6	$1 + 5t + 14t^2 + 28t^3 + 42t^4 + 42t^5$
7	$1 + 6t + 20t^2 + 48t^3 + 90t^4 + 132t^5 + 132t^6$

This gives

$$F_n(t) = \sum_{k=0}^{n-1} \frac{n-k}{n+k} \binom{n+k}{k} t^k \quad (5.5)$$

from which one easily deduces that the generating series for the $F_n(t)$'s is

$$\sum_n F_n(t) x^n = \frac{1 - \sqrt{1 - 4tx} - 2x}{2(t+x-1)}. \quad (5.6)$$

Remark 5.2. The study of various filtrations of the space $\mathbb{Q}[X]$, with respect to family of ideals of quasi-symmetric polynomials, will be the object of a forthcoming paper [3].

Acknowledgments

We thank Adriano Garsia for stimulating discussions and suggestions about this work. We also thank Mike Zabrocki for his careful reading.

References

- [1] E. Artin, Galois Theory, Notre Dame Mathematical Lecture, Vol. 2, Notre Dame, IN, 1944.
- [2] J.-C. Aval, N. Bergeron, Catalan paths and quasi-symmetric functions, Proc. Amer. Math. Soc. 131 (2003) 1053–1062.
- [3] J.-C. Aval, F. Bergeron, N. Bergeron, A. Garsia, Ideals of quasi-symmetric polynomials and related varieties, in preparation.
- [4] N. Bergeron, S. Mykytiuk, F. Sottile, S. van Willigenburg, Pieri operations on posets, J. Combin. Theory Ser. A 91 (2000) 84–110.
- [5] A. Borel, Sur la cohomologie des espaces fibrés principaux et des espaces homogènes des groupes de Lie compacts, Ann. Math. 57 (1953) 115–207.

- [6] A. Garsia, M. Haiman, *Orbit Harmonics and Graded Representations*, Éditions du Lacim, to appear.
- [7] I. Gelfand, D. Krob, A. Lascoux, B. Leclerc, V. Retakh, J.-Y. Thibon, Noncommutative symmetric functions, *Adv. Math.* 112 (1995) 218–348.
- [8] I. Gessel, Multipartite P -partitions and products of skew Schur functions, in: C. Greene (Ed.), *Combinatorics and Algebra* (Boulder, CO, 1983), Contemporary Mathematics, Vol. 34, American Mathematical Society, Providence RI, 1984, pp. 289–317.
- [9] F. Hivert, Hecke algebras, difference operators, and quasi-symmetric functions, *Adv. Math.* 155 (2000) 181–238.
- [10] V. Jones, Index for subfactors, *Invent. Math.* 72 (1983) 1–25.
- [11] G. Kreweras, Sur les éventails de segments, *Cahiers BURO* 15 (1970) 3–41.
- [12] I. Macdonald, *Symmetric Functions and Hall Polynomials*, 2nd Edition, Oxford University Press, Oxford, 1995.
- [13] C. Malvenuto, C. Reutenauer, Duality between quasi-symmetric functions and the Solomon descent algebra, *J. Algebra* 177 (1995) 967–982.
- [14] R. Stanley, *Enumerative Combinatorics*, Vol. 1, Wadsworth and Brooks/Cole, Belmont, CA, 1986.
- [15] R. Stanley, *Enumerative Combinatorics*, in: *Cambridge Studies in Advanced Mathematics*, Vol. 2 No. 62, Cambridge University Press, Cambridge, 1999 (Appendix 1 by Sergey Fomin).
- [16] R. Steinberg, Differential equations invariant under finite reflection groups, *Trans. Amer. Math. Soc.* 112 (1964) 392–400.
- [17] J. Vallé, Une bijection explicative de plusieurs propriétés remarquables des ponts, *Europ. J. Combin.* 18 (1997) 117–124.

Further reading

- F. Bergeron, N. Bergeron, A. Garsia, M. Haiman, G. Tesler, Lattice diagram polynomials and extended Pieri rules, *Adv. Math.* 142 (1999) 244–334.
- F. Bergeron, A. Garsia, G. Tesler, Multiple left regular representations generated by alternants, *J. Combin. Theory Ser. A* 91 (1–2) (2000) 49–83.
- C. de Concini, C. Procesi, Symmetric functions, conjugacy classes and the flag variety, *Invent. Math.* 64 (1981) 203–230.
- D. Cox, J. Little, D. O’Shea, *Ideals, Varieties, and Algorithms*, Springer, New York, 1992.
- A.M. Garsia, M. Haiman, A graded representation model for Macdonald’s polynomials, *Proc. Natl Acad. Sci. USA* 90 (8) (1993) 3607–3610.
- M. Haiman, Hilbert schemes, polygraphs, and the Macdonald positivity conjecture, *J. Amer. Math. Soc.* 14 (2001) 941–1006.