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## Approximation by Compact Operators between $C(X)$ Spaces

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A closed subspace  $M$  of a Banach space  $E$  is said to be proximal if every  $a \in E$  admits a closest point in  $M$ , i.e., a point  $x \in M$  for which  $\|a - x\| = d(a, M)$ , the distance of  $a$  from  $M$ . Many authors have considered the problem of determining whether  $K(E, F)$ , the space of compact operators from  $E$  to  $F$ , is proximal in  $B(E, F)$ , the corresponding space of bounded linear operators. We attempt to solve this problem for the case when  $E = C(X)$  and  $F = C(Y)$  are the usual function spaces over compact Hausdorff spaces  $X$  and  $Y$ . If  $Y$  is extremally disconnected, we can completely characterize those  $X$  for which  $K(C(X), C(Y))$  is proximal. Except where stated otherwise, our results are valid for both real and complex scalars.

In each case, we will establish proximality of the compact operators by establishing the  $1\frac{1}{2}$ -ball property. Recall that a subspace  $M$  has the  $1\frac{1}{2}$ -ball property in  $E$  if, whenever  $a \in E$ ,  $r \geq 0$ ,  $\|a\| < r + 1$  and the closed ball  $B(a, r)$  meets  $M$ , then  $M \cap B(0, 1) \cap B(a, r)$  is non-empty. Every subspace with the  $1\frac{1}{2}$ -ball property is proximal, and even more is true.

**PROPOSITION 1** [16, Theorem 1.2]. *Suppose  $M$  has the  $1\frac{1}{2}$ -ball property in  $E$ . Then there exists a continuous, homogeneous map  $\Pi: E \rightarrow M$  satisfying  $\|x - \Pi(x)\| = d(x, M)$  and also  $\Pi(x + m) = \Pi(x) + m$  whenever  $m \in M$ .*

Proposition 1 generalizes the corresponding result for  $M$ -ideals [5]. A number of authors, including [1, 4, 10, 11, 12], have established proximality of  $K(E, F)$ , for suitable  $E$  and  $F$ , by showing that  $K(E, F)$  is an  $M$ -ideal in  $B(E, F)$ . Rather than repeat the definition of  $M$ -ideals, we simply recall that every  $M$ -ideal has the  $1\frac{1}{2}$ -ball property [17].

Before starting our work, we need the following two observations. They are well known and easy to prove.

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PROPOSITION 2. *The map  $T \mapsto T^*|F$  is a linear isometry from  $B(E, F^*)$  onto  $B(F, E^*)$  which sends  $K(E, F^*)$  to  $K(F, E^*)$ .*

PROPOSITION 3. *Let  $M$  and  $N$  be the ranges of contractive projections on  $E$  and  $F$ , respectively. If  $K(E, F)$  is proximal (or has the  $1\frac{1}{2}$ -ball property) in  $B(E, F)$ , then the same is true of  $K(M, N)$  in  $B(M, N)$ .*

Our first result actually concerns certain spaces of measurable functions. Case (iv) improves a result proved for real scalars by Lau [7, Theorem 6.4]. Case (i) is obviously a special case of (iv), and is stated separately only to streamline the proof.

THEOREM 4. *In each of the following cases,  $K(E, F)$  has the  $1\frac{1}{2}$ -ball property in  $B(E, F)$ :*

- (i)  $E = l_1(A)$  and  $F = l_1(\Gamma)$  for discrete sets  $A$  and  $\Gamma$ .
- (ii)  $E^* = l_1(\Gamma)$  and  $F = C(Y)$ , where  $\Gamma$  is discrete and  $Y$  is extremally disconnected.
- (iii)  $E^* = l_1(\Gamma)$  and  $F = L_\infty(S, \mu)$ , where  $\Gamma$  is discrete and  $(S, \mu)$  is any measure space.
- (iv)  $E = L_1(S, \mu)$  and  $F = l_1(\Gamma)$ , where  $(S, \mu)$  is any measure space and  $\Gamma$  is discrete.

*Proof.* (i) This is a trivial generalization of [16, Proposition 2.8].

(ii) If  $Y$  is the Stone-Ćech compactification of some discrete set  $\Gamma$ , then  $C(Y) = l_\infty(\Gamma)$ , and the result follows from case (i) and Proposition 2. In general, the result follows from Proposition 3 and the fact that  $C(Y)$  is the range of a contractive projection on some  $l_\infty(\Gamma)$  [6, Corollary 11.2].

(iii) This is a special case of (ii). It is worth recalling that a Banach space is isometric to the range of a contractive projection on every superspace if and only if it is isometric to  $C(Y)$ , for some extremally disconnected  $Y$ . Every space  $L_\infty(S, \mu)$  has this property. See [3; 6, Sect. 11].

(iv) This follows from Proposition 2 and case (iii). ■

Although the proof of [16, Proposition 2.8] was constructive, the proof of Theorem 4 is not.

Now we can give the promised results about spaces of continuous functions.

THEOREM 5. *If  $Y$  is extremally disconnected, then the following are equivalent:*

- (i)  $X$  is dispersed (i.e., every subset contains an isolated point)

- (ii)  $K(C(X), C(Y))$  has the  $1\frac{1}{2}$ -ball property in  $B(C(X), C(Y))$
- (iii)  $K(C(X), C(Y))$  is proximal in  $B(C(X), C(Y))$ .

*Proof.* (i)  $\Rightarrow$  (ii). This follows from Theorem 4 and [6, Theorems 8.9 and 8.10].

(ii)  $\Rightarrow$  (iii). This is Proposition 1.

(iii)  $\Rightarrow$  (i). Feder [2, Theorem 3] proved that  $K(l_1, L_1(0, 1))$  is not proximal in  $B(l_1, L_1(0, 1))$ . If  $X$  is not dispersed, then  $L_1(0, 1)$  is isometric to the range of a contractive projection on  $C(X)^*$  [6, Theorems 14.11 and 18.5]. Propositions 2 and 3 then show that  $K(C(X), l_\infty)$  is not proximal in  $B(C(X), l_\infty)$ . Since the Stone-Ćech compactification of the integers is a continuous image of  $Y$ ,  $l_\infty$  is the range of a contractive projection on  $C(Y)$ . Another application of Proposition 3 completes the proof. ■

It is natural to ask if these results hold without the assumption that  $Y$  is extremally disconnected. For the 1-point compactification of the integers, they do not.

EXAMPLE 6. If the scalars are complex, then  $K(\mathcal{C})$  does not have the  $1\frac{1}{2}$ -ball property in  $B(\mathcal{C})$ .

*Proof.* We follow the notation of Taylor [15, Sect. 4.51]. If  $(\xi_1, \xi_2, \dots)$  is any sequence in  $\mathcal{C}$ , we let  $\xi_0$  denote its limit. Each  $A \in B(\mathcal{C})$  corresponds to an infinite matrix  $(a_{jk})$ , where  $j = 1, 2, 3, \dots$  and  $k = 0, 1, 2, \dots$ , for which  $\sum_{k=0}^{\infty} a_{jk}$  converges as  $j \rightarrow \infty$ , as does  $(a_{jk})_{j \rightarrow \infty}$  for  $k = 1, 2, 3, \dots$ . If  $(\eta_n) = A(\xi_n)$  then, of course,

$$\eta_j = \sum_{k=0}^{\infty} a_{jk} \xi_k \quad \text{for } j = 1, 2, 3, \dots$$

The norm of  $A$  is given by

$$\|A\| = \sup_{j=1}^{\infty} \sum_{k=0}^{\infty} |a_{jk}|,$$

but there is no simple formula for  $d(A, K(\mathcal{C}))$ .

Let  $\{e, e_1, e_2, \dots\}$  be the usual basis for  $\mathcal{C}$ , where  $e = (1, 1, 1, \dots)$ . Define  $A: \mathcal{C} \rightarrow \mathcal{C}$  by

$$Ae_1 = \frac{1}{2}e - e_1, \quad Ae_n = (-1)^n e_n$$

for  $n \geq 2$  and  $Ae = (i + \frac{1}{2})e$ . It is routine to verify that  $\|A\| < 3$  and that  $K(\mathcal{C}) \cap B(A, 2)$  is non-empty. However,

$$K(\mathcal{C}) \cap B(A, 2) \cap B(0, 1) = \emptyset.$$

To see this, suppose  $T \in K(\mathcal{C}) \cap B(A, 2)$ . Then

$$\sum_{k=0}^j |a_{jk} - t_{jk}| \leq 2$$

for all  $j$ , so

$$|i - 1 - t_{j0}| + |\frac{1}{2} - t_{j1}| + |1 - t_{jj}| \leq 2 \quad \text{for } j \text{ even}$$

and

$$|i + 1 - t_{j0}| + |\frac{1}{2} - t_{j1}| + |-1 - t_{jj}| \leq 2 \quad \text{for } j \text{ odd, } j \neq 1.$$

Let

$$t_1 = \lim_{j \rightarrow \infty} t_{j1}.$$

Since  $T$  is compact,  $t_0 = \lim_{j \rightarrow \infty} t_{j0}$  exists, and also  $\lim_{j \rightarrow \infty} t_{jj} = 0$ . Thus

$$|i \pm 1 - t_0| + |\frac{1}{2} - t_1| \leq 1.$$

This forces  $t_0 = i$  and  $t_1 = \frac{1}{2}$ , so  $T \notin B(0, 1)$ . ■

The classical sequence space  $\mathcal{C}$  seems to have received no attention in the literature. Curiously, we have a positive result (with a constructive proof) if the scalars are real.

**THEOREM 7.** *For real scalars,  $K(\mathcal{C})$  does have the  $1\frac{1}{2}$ -ball property in  $B(\mathcal{C})$ .*

*Proof.* If  $S = (s_{jk})$  has the property that, for some  $N$ ,  $s_{jk} = 0$  for all  $k > N$ , then  $S$  is a compact operator. Conversely, the set of operators with this property is dense in  $K(\mathcal{C})$ .

Now suppose we are given  $A \in B(\mathcal{C})$  with  $\|A\| < r + 1$  and  $K(\mathcal{C}) \cap B(A, r) \neq \emptyset$ , and choose  $\varepsilon$  so that  $0 < \varepsilon < r + 1 - \|A\|$ . Then

$$\sum_{k=0}^j |a_{jk}| \leq r + 1 - \varepsilon$$

for all  $j$ , and also  $\|A - S\| < r + \varepsilon$  for some  $S$  of the above form. We may also suppose that  $s_{j0} = s$  for all but finitely many  $j$ .

Let

$$a_k = \lim_{j \rightarrow \infty} a_{jk},$$

for  $1 \leq k \leq N$ . Then choose  $M$  so that, if  $j > M$ , then  $|a_k - a_{jk}| < \varepsilon/N$  and  $s_{j0} = s$ . Next, put

$$\sigma_j = \sum_{k > N} |a_{jk}| \quad \text{for all } j,$$

and

$$\sigma = \sum_{k=1}^N |a_k|.$$

We now have, for all  $j > M$ ,

$$|a_{j0} - s| + \sigma_j \leq \|A - S\| < r + \varepsilon \tag{1}$$

and

$$|a_{j0}| + \sigma + \sigma_j < r + 1. \tag{2}$$

There are two cases to consider, depending on the value of  $\sigma$ .

*Case I.* Suppose  $\sigma \geq 1$ . Then we find  $n \leq N$  and  $\lambda \in [0, 1]$  so that

$$\sum_{k=1}^{n-1} |a_k| + \lambda |a_n| = 1.$$

Put

$$s_k = a_k \quad \text{for } 1 \leq k < n, \quad s_n = \lambda a_n$$

and

$$s_k = 0 \quad \text{for } k > n \quad \text{or} \quad k = 0.$$

Then, for  $j > M$ ,

$$|a_{j0} - s_0| + \sum_{k=1}^N |a_k - s_k| + \sigma_j = |a_{j0}| + \sum_{k=1}^N |a_k| - 1 + \sigma_j < r + \varepsilon.$$

Clearly

$$\sum_{k=0}^N |s_k| \leq 1.$$

*Case II.* Suppose  $\sigma < 1$ . This time, we put  $s_k = a_k$  for  $1 \leq k \leq N$ . Choosing  $s_0$  is a little more difficult. First note that, for all  $j > M$ ,  $b_j = r + \varepsilon - \sigma_j > 0$ . From (2) it follows that  $-a_{j0} \leq r + 1 - \sigma - \sigma_j$  and so

$$-(1 - \sigma) \leq a_{j0} + b_j.$$

Similarly  $a_{j0} - b_j \leq 1 - \sigma$  and so

$$\sup(\{a_{j0} - b_j : j > M\} \cup \{-(1 - \sigma)\}) \leq \inf(\{a_{j0} + b_j : j > M\} \cup \{1 - \sigma\}).$$

Hence we can find a real number  $s_0$  satisfying

$$-(1 - \sigma) \leq s_0 \leq 1 - \sigma$$

and

$$a_{j0} - (r + \varepsilon - \sigma_j) \leq s_0 \leq a_{j0} + (r + \varepsilon - \sigma_j),$$

for all  $j > M$ . Then, as in the previous case, we have

$$|a_{j0} - s_0| + \sum_{k=1}^N |a_k - s_k| + \sigma_j = |a_{j0} - s_0| + \sigma_j \leq r + \varepsilon$$

and

$$\sum_{k=0}^N |s_k| = |s_0| + \sigma \leq 1.$$

Now define  $T = (t_{jk})$  by

$$\begin{aligned} t_{jk} &= a_{jk}/(r+1) && \text{for } j \leq M, \\ t_{jk} &= s_k && \text{for } j > M \text{ and } k \leq N, \end{aligned}$$

and

$$t_{jk} = 0 \quad \text{for } j > M \text{ and } k > N.$$

Then the image of  $T$  lies in the linear span of  $\{e, e_1, e_2, \dots, e_M\}$ , so  $T$  is compact. Clearly  $\|T\| \leq 1$ . Furthermore, for  $j \leq M$ ,

$$\sum_{k=0}^{\infty} |a_{jk} - t_{jk}| = \frac{r}{r+1} \sum_{k=0}^{\infty} |a_{jk}| \leq r,$$

and for  $j > M$ ,

$$\begin{aligned} \sum_{k=0}^{\infty} |a_{jk} - t_{jk}| &< |a_{j0} - s_0| + \sum_{k=1}^N |a_k - s_k| + \varepsilon + \sigma_j \\ &\leq r + 2\varepsilon. \end{aligned}$$

Thus  $\|T - A\| \leq r + 2\varepsilon$ .

We have now shown that

$$K(\mathcal{C}) \cap B(A, r + 2\varepsilon) \cap B(0, 1)$$

is non-empty. By [17, Theorem 3] this establishes the  $1\frac{1}{2}$ -ball property. ■

By severely restricting the domain space, we can completely dispense with the extremally disconnected assumption on the range space. To be precise, we can show that  $K(\mathcal{C}_0, C(X))$  has the  $1\frac{1}{2}$ -ball property in  $B(\mathcal{C}_0, C(X))$ , at least if the scalars are real. Before proving this, we discuss the difficulties that arise in the complex case.

If  $S$  is any metric space, let  $2^S$  denote the collection of closed, bounded, non-empty subsets of  $S$ . It is standard to make  $2^S$  into a metric space by giving it the Hausdorff metric, defined for  $A, B \in 2^S$  by

$$d(A, B) = \sup(\{d(x, A) : x \in B\} \cup \{d(x, B) : x \in A\}).$$

If  $E$  is a Banach space and  $f \in E$ , let us define

$$\Psi = \Psi_f: [(\|f\| - 1)^+, \infty) \rightarrow 2^E$$

by

$$\Psi(r) = B(0, 1) \cap B(f, r).$$

With the usual lack of imagination, we will say that  $E$  has property (P) if the family of maps  $\{\Psi_f: f \in E\}$  is uniformly equicontinuous. Recall that  $E$  is said to have the 3.2 intersection property if, whenever  $B_1, B_2, B_3$  are closed balls in  $E$  which meet pairwise, then

$$B_1 \cap B_2 \cap B_3 \neq \emptyset.$$

If  $E$  has the 3.2 intersection property, it is easy to verify that

$$d(\Psi_f(r), \Psi_f(r + \varepsilon)) \leq \varepsilon.$$

Thus, the 3.2 intersection property implies (P). It follows [9, Theorem 4.6(c)] that the real Banach space  $l_1$  has (P).

*Conjecture 8.* The complex Banach space  $l_1$  has property (P).

This ideal is crucial in the proof of the next theorem. We have been unable to determine whether Conjecture 8 is true or false.

Assuming property (P) for  $l_1$ , we will show that  $K(\mathcal{C}_0, C(X))$  has the  $1\frac{1}{2}$ -ball property in  $B(\mathcal{C}_0, C(X))$  for any compact Hausdorff space  $X$ . Since  $l_1$  is the dual of  $\mathcal{C}_0$ , we may identify  $B(\mathcal{C}_0, C(X))$  with the sup-normed space  $CW^*(X, l_1)$  of weak\* continuous maps  $f: X \rightarrow l_1$ , and  $K(\mathcal{C}_0, C(X))$  with the subspace  $C(X, l_1)$  of norm continuous maps. The identification is the obvious one, given by

$$(Ta)(x) = f(x)(a) \quad \text{for all } a \in \mathcal{C}_0, x \in X$$

and  $T: \mathcal{C}_0 \rightarrow C(X)$ .

Now fix  $f \in CW^*(X, l_1)$  and put

$$d(x) = \limsup_{y \rightarrow x} \|f(y) - f(x)\|.$$

Replacing  $f$  with  $f - g$ , where  $g \in C(X, l_1)$ , leaves the value of  $d(x)$  unaltered. The idea of introducing  $d(\cdot)$  is due to Mach [11], who used similar techniques to prove the proximality of  $K(\mathcal{C}_0, C(X))$ , for either scalar field.

LEMMA 9. If  $x, y \in l_1 = \mathcal{C}_0^*$  and  $x_\alpha \rightarrow 0$  weak\*, then

$$\|x_\alpha + y\| - \|x_\alpha\| \rightarrow \|y\|.$$

*Proof.* For any  $A \subset \mathbb{N}$  we have

$$|\|x_z + y\| - \|x_z\| - \|y\|| \leq \sum_{n \in A} 2|x_z(n)| + \sum_{n \notin A} 2|y(n)|.$$

A routine truncation argument completes the proof.  $\blacksquare$

If we regard  $l_1$  as the dual of some other Banach space, such as  $\mathcal{C}$ , then Lemma 9 does not hold.

LEMMA 10. *Let  $f, d$  be as above and fix  $x \in X$ . Then*

(i) *for any  $y \in X$ ,*

$$\limsup_{z \rightarrow y} \|f(z) - f(x)\| = \|f(y) - f(x)\| + d(y).$$

(ii) *for any  $y \in X$ ,*

$$\limsup_{z \rightarrow y} \|f(z)\| = \|f(y)\| + d(y).$$

(iii)  $d(x) = \limsup_{y \rightarrow x} (\|f(x) - f(y)\| + d(y)).$

(iv) *for any  $g \in C(X, l_1)$ ,*

$$\|f(x) - g(x)\| + d(x) \leq \|f - g\|.$$

*Proof.* (i) Since  $f$  is weak\*-continuous, the previous lemma gives

$$\begin{aligned} \limsup_{z \rightarrow y} \|f(z) - f(x)\| &= \lim_{z \rightarrow y} (\|f(z) - f(x)\| - \|f(z) - f(y)\|) \\ &\quad + \limsup_{z \rightarrow y} \|f(z) - f(y)\| \\ &= \|f(y) - f(x)\| + d(y). \end{aligned}$$

(ii) The constant function  $g = f(x)$  certainly lies in  $C(X, l_1)$ . Replace  $f$  by  $f - g$  in (i).

(iii) From the definition of  $d(\cdot)$ , and (i), we have

$$\begin{aligned} d(x) &\leq \limsup_{y \rightarrow x} (\|f(x) - f(y)\| + d(y)) \\ &= \limsup_{y \rightarrow x} \limsup_{z \rightarrow y} \|f(z) - f(x)\| \\ &\leq \limsup_{z \rightarrow x} \|f(z) - f(x)\| = d(x). \end{aligned}$$



(iv) Assume without loss of generality that  $g = 0$ . Then, by (ii),

$$\|f(x)\| + d(x) = \limsup_{y \rightarrow x} \|f(y)\| \leq \|f\|.$$

**THEOREM 11.** *Let  $X$  be any compact Hausdorff space. Then  $K(\mathcal{C}_0, C(X))$  has the  $1\frac{1}{2}$ -ball property in  $B(\mathcal{C}_0, C(X))$  if the scalars are real, or if Conjecture 8 is true.*

*Proof.* Suppose that  $C(X, l_1) \cap B(f, r) \neq \emptyset$  and  $\|f\| \leq r + 1$ . We must show that

$$C(X, l_1) \cap B(0, 1) \cap B(f, r) \neq \emptyset.$$

The last part of Lemma 10, with  $g \in C(X, l_1) \cap B(f, r)$ , shows that  $r \geq d(x)$  for all  $x \in X$ . With  $g = 0$  it shows that

$$\|f(x)\| \leq r + 1 - d(x),$$

for each  $x$ . Thus we may define  $\Psi: X \rightarrow 2^{l_1}$  by

$$\Psi(x) = B(0, 1) \cap B(f(x), r - d(x)).$$

Clearly each  $\Psi(x)$  is closed, convex, and non-empty; we claim that  $\Psi$  is lower semicontinuous. This means that if  $K$  is any closed subset of  $l_1$ , we have to show that  $\{x: \Psi(x) \subseteq K\}$  is closed.

Suppose then that  $x_x \rightarrow x$  in  $X$ , and that each  $\Psi(x_x) \subseteq K$ . Choose  $a \in \Psi(x)$  and put

$$\lambda_x = \|a - f(x_x)\| + d(x_x) - r.$$

By Lemma 10(iii)

$$\limsup \lambda_x \leq \|a - f(x)\| + d(x) - r \leq 0.$$

Hence  $\varepsilon_x = \max\{\lambda_x, 0\} \rightarrow 0$  and also

$$\|a - f(x_x)\| = r - d(x_x) + \lambda_x \leq r - d(x_x) + \varepsilon_x$$

and  $\|a\| \leq 1$ . Let

$$\begin{aligned} \delta(\varepsilon) = \sup\{d(B(0, 1) \cap B(g, s), B(0, 1) \cap B(g, s + \varepsilon)): g \in l_1, \\ s > 0, \|g\| \leq s + 1\}. \end{aligned}$$

Assuming  $l_1$  has property (P), we have  $\delta(\varepsilon) \rightarrow 0$  as  $\varepsilon \rightarrow 0$ . Thus, from the definition of  $\delta(\varepsilon)$ , we can find  $a_x$  with  $\|a_x\| \leq 1$ ,

$$\|a_x - f(x_x)\| \leq r - d(x_x)$$

and  $\|a - a_x\| \leq \delta(\varepsilon_x)$ . Then

$$a_x \in \Psi(x_x) \subseteq K$$

and  $a_x \rightarrow a$ . This proves that  $\Psi(x) \subseteq K$ . Michael's theorem [14] now gives us a continuous selection for  $\Psi$ , which clearly belongs to

$$C(X, l_1) \cap B(0, 1) \cap B(f, r). \quad \blacksquare$$

To show that the preceding examples are not  $M$ -ideals, first note that there is a function  $f \in CW^*(X, l_1)$  whose range contains the standard basis  $\{e_1, e_2, \dots\}$ . This is easy to see if  $X$  contains a convergent sequence of distinct points. For the general case, recall that every compact space can be mapped onto a Hausdorff space which contains a convergent sequence.

Choose  $x_n \in X$  so that  $f(x_n) = e_n$ , and let  $\text{LIM} \in l_x^*$  be any Banach limit. We define two functionals  $\Psi, \phi \in CW^*(X, l_1)^*$  by

$$\Psi(g) = \text{LIM}_n g_1(x_n) \quad \text{and} \quad \phi(g) = \text{LIM}_n \{g_1(x_n) + g_n(x_n)\}.$$

It is clear that  $\|\Psi\| \leq 1, \|\phi\| \leq 1$  and that  $\Psi(f) = 0 \neq 1 = \phi(f)$ . If  $g \in C(X, l_1)$  then  $g(X)$  is norm compact in  $l_1$ , and so  $g_n(x) \rightarrow 0$  (as  $n \rightarrow \infty$ ) uniformly with respect to  $x \in X$ . It follows that

$$\Psi|_{C(X, l_1)} = \phi|_{C(X, l_1)} = \eta,$$

say. If  $g \in C(X, l_1)$  is the constant function  $g(x) = e_1$  then  $\|g\| = n(g) = 1$ . Thus  $\phi$  and  $\Psi$  are two distinct norm-preserving extensions of  $\eta$ .

So  $K(\mathcal{C}_0, C(X))$  does not have the unique extension property in  $B(\mathcal{C}_0, C(X))$ . It follows [17, Theorem 4] that  $K(\mathcal{C}_0, C(X))$  is not an  $M$ -ideal in  $B(\mathcal{C}_0, C(X))$ .

The following result provides some evidence that Theorem 11 may be true for both scalar fields.

**PROPOSITION 12.** *For either scalar field,  $K(\mathcal{C}_0, \mathcal{C})$  has the  $1\frac{1}{2}$ -ball property in  $B(\mathcal{C}_0, \mathcal{C})$ .*

*Proof.* Again following [15], any  $A \in B(\mathcal{C}_0, \mathcal{C})$  corresponds to an infinite matrix  $(a_{jk})$ , where  $j = 1, 2, 3, \dots$  and  $k = 1, 2, 3, \dots$ . Imitating the proof of Theorem 7, we find that some simplifications are caused by the absence of zeroth columns in elements of  $B(\mathcal{C}_0, \mathcal{C})$ . In particular, it is not necessary to define  $s_0$ . Doing so, in Case II of the previous proof, was the only point at which the scalars were required to be real.  $\blacksquare$

We recall that for any Banach space  $E, K(E, \mathcal{C}_0)$  is actually an  $M$ -ideal in  $B(E, \mathcal{C}_0)$ . This was observed independently by several authors [8, 12, 16]. To see how special the role of  $\mathcal{C}_0$  is in this result, we note that  $K(L_p(S, \mu), C(X))$  fails the  $1\frac{1}{2}$ -ball property in  $B(L_p(S, \mu), C(X))$ , whenever  $L_p(S, \mu)$  and  $C(X)$  are infinite dimensional, and  $1 < p < \infty$ . By Proposition 3, and the remarks preceding Lemma 9, it suffices to show that

$C(X, l_p)$  fails the  $\frac{1}{2}$ -ball property in  $CW^*(X, l_p)$ . This follows from a generalization of the argument of [16, p. 296].

We finish with another negative result.

**PROPOSITION 13.** *Suppose  $X$  and  $Y$  both contain uncountable, metrizable, closed subsets. Then  $K(C(X), C(Y))$  is not proximal in  $B(C(X), C(Y))$ .*

*Proof.* Benyamini [2, Appendix] proved this in the case  $X = Y = [0, 1]$ . If  $[0, 1]$  is replaced by the Cantor set,  $Z$ , throughout the proof, it works just as well. By the Borsuk–Dugundji extension theorem [13, Sect. 7], the result holds whenever  $X$  and  $Y$  contain homeomorphic copies of  $Z$ . But every uncountable compact metric space contains a copy of  $Z$  (this follows from the Cantor–Bendixson theorem and a standard argument). ■

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