

Linear Operators Strongly Preserving r -Potent Matrices Over Semirings

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ABSTRACT

A matrix X is said to be r -potent if $X^r = X$. We investigate the structure of linear operators on matrices over antinegative semirings that map the set of r -potent matrices into itself and the set of matrices which are not r -potent into itself.

0. INTRODUCTION

Let $\mathcal{M}_n(\mathbb{F})$ be the algebra of $n \times n$ matrices over an algebraic structure \mathbb{F} . Problems of the following type have been of interest to several authors: let \mathcal{V} be an algebraic subset of $\mathcal{M}_n(\mathbb{F})$; characterize the semigroup of all linear operators $T: \mathcal{M}_n(\mathbb{F}) \rightarrow \mathcal{M}_n(\mathbb{F})$ that map \mathcal{V} into \mathcal{V} . Beasley and Pullman characterized the linear operators that strongly preserve idempotence over an antinegative semiring with no zero divisors [3].

The purpose of this paper is to extend these previous results. A matrix X is said to be r -potent if $X^r = X$. In this paper we will characterize the semigroup of linear operators that *strongly preserve* the set of r -potent matrices, i.e. that map r -potents to r -potents and non- r -potents to non- r -potents. We find that it is generated by *transposition*, the *similarity operators*, and some *scaling operators* when \mathbb{F} is an antinegative semiring with no zero divisors (in particular a chain semiring).

Preliminary results and definitions are presented in Section 1.

1. PRELIMINARIES

A *semiring* is a binary system $(\mathbb{S}, +, \times)$ such that $(\mathbb{S}, +)$ is an abelian monoid (identity 0), (\mathbb{S}, \times) is a monoid (identity 1), \times distributes over $+$, $0 \times s = s \times 0 = 0$ for all s in \mathbb{S} , and $1 \neq 0$. Usually \mathbb{S} denotes the system and \times is denoted by a juxtaposition. If (\mathbb{S}, \times) is abelian, then \mathbb{S} is *commutative*. If 0 is the only element to have an additive inverse, then \mathbb{S} is *antinegative*. All rings with unity are semirings, but no such ring is antinegative. The two-element *Boolean algebra* \mathbb{B} , the nonnegative integers \mathbb{Z}^+ , the nonnegative rationals \mathbb{Q}^+ , and the nonnegative reals \mathbb{R}^+ all serve as examples of antinegative semirings which occur in combinatorics.

Algebraic terms such as *unit*, *zero divisor*, *linearity*, and *invertibility* are defined for semirings as for rings.

We let $\mathcal{M}_n(\mathbb{S})$ denote the set of $n \times n$ matrices over \mathbb{S} . The matrix all of whose entries are 1 is denoted J_n . We will suppress the subscripts on these matrices when the order is evident from the context.

If A and B are in \mathcal{M} , we say B *dominates* A (written $A \leq B$) if $b_{ij} = 0$ implies $a_{ij} = 0$ for all i, j .

The number of nonzero entries in a matrix A is denoted $|A|$. The number of elements in a set \mathcal{S} is also denoted $|\mathcal{S}|$.

A matrix S having at least one nonzero off-diagonal entry is a *star matrix* if all its nonzero entries lie on a *line* (a row or a column). An *s-star matrix* is a star matrix having $|S| = s$ and all diagonal entries 0. A zero-one $n \times n$ matrix with only one entry equal to 1, say the (i, j) th entry, is called a *cell*, E_{ij} . A set of cells is *collinear* if their nonzero entries lie in one line.

When X and Y are in $\mathcal{M}_n(\mathbb{B})$, we define $X \setminus Y$ to be the matrix Z such that $z_{ij} = 1$ if and only if $x_{ij} = 1$ and $y_{ij} = 0$. We let $K = J \setminus I$.

We denote the *Hadamard product* of A and B in \mathcal{M} by $A \circ B$. That is, $C = A \circ B$ if and only if $c_{ij} = a_{ij}b_{ij}$ for all i and j .

An operator L is *nonsingular* if $L(X) = O$ only when $X = O$; such operators need not be invertible. For example, when $\mathbb{S} = \mathbb{B}$ if $L(X) = J$ for all $X \neq O$ in $\mathcal{M}_n(\mathbb{S})$ and $L(O) = O$, then L is linear and nonsingular, but not invertible unless $m = n = 1$. However, we have Theorem 2.1 below for operators which strongly preserve r -potence.

Henceforth, we will assume that T is a linear operator on $\mathcal{M}_n(\mathbb{S})$ which strongly preserves the set of r -potent matrices, and $n \geq r \geq 2$.

2. THE BOOLEAN (0, 1) CASE

Throughout this section $\mathbb{S} = \mathbb{B}$, the Boolean algebra of two elements.

THEOREM 2.1. \mathbf{T} is nonsingular.

Proof. The case $r = 2$ was proved in [3, Lemma 1.3]. So we only need to prove the theorem for $n \geq r \geq 3$. Suppose $\mathbf{T}(X) = O$ and $X \neq O$; then $\mathbf{T}(C) = O$ for some cell C , because \mathfrak{B} is antinegative and \mathbf{T} is linear. Now $[\mathbf{T}(J)]^r = \mathbf{T}(J)$, since $J^r = J$ and \mathbf{T} strongly preserves r -potence. But $[\mathbf{T}(J \setminus C)]^r = [\mathbf{T}(J)]^r = \mathbf{T}(J) = \mathbf{T}(J \setminus C)$, a contradiction, since $(J \setminus C)^r = J \neq J \setminus C$. ■

NOTE. If $r = 1$, then \mathbf{T} may be singular; in fact, all operators preserve 1-potence strongly, since all matrices are 1-potent.

LEMMA 2.1. If $n \geq 3$ and E is a cell, then $\mathbf{T}(E)$ is a cell.

Proof. Suppose there is a cell C with $|\mathbf{T}(C)| \geq 2$. Let $X_1 = C$, and index the cells by $C_1 = C$ and the rest C_2, C_3, \dots, C_{n^2} arbitrarily. For $2 \leq j \leq n^2$, let $X_j = X_{j-1}$ or $X_{j-1} + C_{j+1}$ according as $\mathbf{T}(C_j) \leq \mathbf{T}(X_{j-1})$ or not. Then $|X_j| \leq |X_{j-1}| + 1$ for all $j \geq 2$. If equality held for every $2 \leq j \leq n^2$, then $|\mathbf{T}(X_j)| \geq j + 1$, in particular for $j = n^2$, which is impossible. Therefore $|X_{n^2}| \leq n^2 - 1$ and $\mathbf{T}(H) \leq \mathbf{T}(X_{m-1})$ for some cell $H = C_m$ not dominated by X_{m-1} . Therefore $\mathbf{T}(J) = \mathbf{T}(J \setminus H)$. But $[\mathbf{T}(J)]^r = \mathbf{T}(J)$ because \mathbf{T} preserves r -potence, and so $[\mathbf{T}(J \setminus H)]^r = \mathbf{T}(J \setminus H)$. Therefore $(J \setminus H)^r = J \setminus H$, because \mathbf{T} preserves r -potence strongly. But in fact $(J \setminus H)^r = J$, a contradiction. ■

LEMMA 2.2. If $n \geq 3$, then \mathbf{T} is bijective on the set of cells.

Proof. Let E and F be different cells. Suppose $\mathbf{T}(E) = \mathbf{T}(F)$; then $\mathbf{T}(J) = \mathbf{T}\{[J \setminus (E + F)] + (E + F)\} = \mathbf{T}[J \setminus (E + F)] + \mathbf{T}(E + F) = \mathbf{T}[J \setminus (E + F)] + \mathbf{T}(E) + \mathbf{T}(F) = \mathbf{T}[J \setminus (E + F)] + \mathbf{T}(E) = \mathbf{T}(J \setminus F)$. But J is r -potent and $J \setminus F$ is not—a contradiction, since \mathbf{T} strongly preserves r -potence. Thus $\mathbf{T}(E) \neq \mathbf{T}(F)$.

LEMMA 2.3. If $n \geq 3$ then $\mathbf{T}(I) = I$ and $\mathbf{T}(K) = K$.

Proof. If E is a diagonal cell, then $\mathbf{T}(E)$ is a cell by Lemma 2.1. Since \mathbf{T} strongly preserves r -potence and $E^r = E$, we have $\mathbf{T}(E)^r = \mathbf{T}(E)$. Since the only r -potent cells are diagonal cells, $\mathbf{T}(E)$ is a diagonal cell. Therefore $\mathbf{T}(I) = I$, since \mathbf{T} is bijective on the set of cells from Lemma 2.2. Also, since \mathbf{T} is bijective, $\mathbf{T}(K) = K$. ■

LEMMA 2.4. *Let F, G be distinct off-diagonal cells, and E be a diagonal cell. Then $(E + F + G)^r = E + F + G$ and $F \neq G^t$ if and only if $E, F,$ and G are collinear.*

Proof. The necessity is trivial. Now, without loss of generality, we assume $E = E_{11}$. Let $F = E_{jk}$ and $G = E_{is}$. We have $j \neq k, i \neq s, (j, k) \neq (i, s)$, and $(j, k) \neq (s, i)$, since F and G are distinct off-diagonal cells and $F \neq G^t$. First we will show that E is collinear with F or G . Suppose not; then $j, k, i, s \neq 1$. Let $X = E + F + G$. Then $X^2 = (E_{11} + E_{jk} + E_{is})^2 = E_{11} + E_{jk}E_{is} + E_{is}E_{jk}$. If $k = i$ and $j \neq s$, then $X^2 = E_{11} + E_{js}$. If $j = s$ and $k \neq i$, then $X^2 = E_{11} + E_{ik}$. Therefore $X^r \neq X$ for all $r \geq 2$, a contradiction. Since $F \neq G^t$, the remaining case is $k \neq i$ and $j \neq s$. We now have $X^2 = E_{11}$, so that $X^r = E_{11} \neq X$ for all $r \geq 2$, a contradiction. Therefore E is collinear with F or G .

Assume E and F are collinear and $j = 1$, i.e. $F = E_{1k}$. We will show $i = 1$. Suppose $i \neq 1$. Then $X^2 = E_{11} + E_{1k} + E_{1k}E_{is} + E_{is}E_{11} + E_{is}E_{1k}$. If $s \neq 1$, then $X^2 = E_{11} + E_{1k} + E_{1k}E_{is}$. Furthermore, if $k \neq i$, then $X^2 = E_{11} + E_{1k}$, so that $X^3 = E_{11} + E_{1k} = X^2$. It follows that $X^r = X^2 \neq X$ for all $r \geq 2$, a contradiction. If $k = i$, then $X^2 = E_{11} + E_{1k} + E_{1s}$, so that $X^3 = E_{11} + E_{1k} + E_{1s} = X^2 \neq X$, since $k \neq 1$. Hence $X^r \neq X$ for all $r \geq 2$, a contradiction. We thus must have $s = 1$. Now $X^2 = E_{11} + E_{1k} + E_{i1} + E_{ik}$, since $(1, k) \neq (1, i)$, so that $X^3 = E_{11} + E_{ik} + E_{i1} + E_{ik} = X^2 \neq X$. Therefore $X^r \neq X$ for all $r \geq 2$, a contradiction.

This contradicts the assumption $i \neq 1$, since each choice of s and k yields a contradiction. Therefore $E, F,$ and G are collinear. The proof that G is also collinear with E and F when $k = 1$ and $j \neq 1$ is parallel. ■

COROLLARY 2.1. *If F, G are distinct off-diagonal cells and E is a diagonal cell such that $(E + F + G)^r = E + F + G$ and $F = G^t$, then r is odd.*

Proof. Without loss of generality, assume $E = E_{11}, F = E_{ij}$, and $G = E_{ji}$. Let $X = E + F + G$. Suppose $i = 1$ then $X^2 = E_{11} + E_{1j} + E_{j1} + E_{jj}$ and $X^r = X^2 \neq X$ for all $r \geq 2$, a contradiction. Therefore, $i \neq 1$, and similarly, $j \neq 1$. Thus $X^{2k+1} = X$ for all k , since $X^{2k} = E_{11} + E_{ii} + E_{jj}$. Obviously $X^r = X$ only if r is odd. ■

COROLLARY 2.2. *If F, G are distinct off-diagonal cells, E is a diagonal cell, and r is even, then $(E + F + G)^r = E + F + G$ if and only if $E, F,$ and G are collinear.*

Proof. This is immediate from Lemma 2.4 and Corollary 2.1. ■

A star matrix is *maximal* if it has exactly $n - 1$ nonzero off-diagonal entries.

LEMMA 2.5. *If $|F| = n - 1$ and $X = J \setminus F$ is r -potent, then all of the diagonal entries of X are nonzero, F is a maximal star matrix, and X is idempotent.*

Proof. If X were irreducible, then since one of its diagonal entries is not zero, it would have to be primitive. Being r -potent, X would then have to be J . So X is reducible. Therefore it has a $k \times (n - k)$ submatrix of zeros, and hence $k(n - k) = n - 1$. That quadratic in k has only two roots: 1 and $n - 1$. The line containing the $n - 1$ zeros must have a nonzero diagonal entry, because X is reducible. Therefore F is a maximal star matrix, and hence the diagonal entries of X are all nonzero and $X^2 = X$. ■

REMARK. Since T is bijective on the set of cells, $T(X \setminus Y) = T(X) \setminus T(Y)$ for each fixed X and Y .

COROLLARY 2.3. *If $n \geq 3$, then T preserves s -stars for all $1 \leq s \leq n - 1$.*

Proof. Let S be a s -star matrix, H be a maximal star matrix such that $S \leq H$, and A be $T(H)$. Then $|A| = n - 1$, since T is bijective and $|H| = n - 1$. Thus $(J \setminus H)^r = J \setminus H$, and so $T(J \setminus H) = T(J) \setminus T(H) = J \setminus T(H) = J \setminus A$ is r -potent. By Lemma 2.5, A is a maximal star matrix. Therefore, $T(S)$ is an s -star matrix, because T is bijective on the set of cells and $T(S) \leq T(H)$. ■

LEMMA 2.6. *If $n = 2$, then T is bijective on the set of cells, $T(I) = I$, and $T(K) = K$.*

Proof. Let $E = E_{12}$, $E' = E_{21}$, $D = E_{11}$, $D' = E_{22}$, $S = K + D$, and $S' = K + D'$. We know $T(E) \neq O$ by Theorem 2.1, and every 2×2 Boolean matrix with two or more cells is either idempotent, S , S' , or K ; the last is r -potent when r is odd. Suppose $T(E) \geq F + G$, where F and G are distinct cells. Then $T(E) \geq K$, since E and $T(E)$ is non- r -potent. Also we know $T(E) \neq K$ and hence $|T(D + E)| \geq 3$ when r is odd. Therefore $T(D + E) \geq K$. But $T(D + E)$ is idempotent, so that $T(D + E) = J_2$, since J_2 is the only idempotent 2×2 matrix with more than two cells dominating K . Thus $T(S) = T(D + E) + T(E') = J$. But S is non- r -potent and J is r -potent, a contradiction. So $|T(E)| = 1$. Furthermore, $T(E)$ is not a diagonal cell, since E is non- r -potent. Therefore $T(E) = E$ or E' . Similarly $T(E') = E$ or E' .

Suppose $\mathbf{T}(E) = \mathbf{T}(E')$. Then $\mathbf{T}(D + E + E') = \mathbf{T}(D + E)$. But $D + E + E'$ is not r -potent, while $D + E$ is r -potent, a contradiction. Therefore (i) $\mathbf{T}(E) = E$ and $\mathbf{T}(E') = E'$ or (ii) $\mathbf{T}(E') = E$ and $\mathbf{T}(E) = E'$. Therefore we have shown $\mathbf{T}(K) = K$. We now suppose $\mathbf{T}(D)$ is not a cell. That is, $\mathbf{T}(D) \geq F + G$ for some cells F, G . If F and G are distinct off-diagonal cells, then $\mathbf{T}(D) \geq \mathbf{T}(E + E')$, so that $\mathbf{T}(D + E + E') = \mathbf{T}(D)$ is idempotent. It follows that $D + E + E'$ must be r -potent, a contradiction. Thus we may assume that F is a diagonal cell. If $\mathbf{T}(D) \geq I$ then $\mathbf{T}(S) \geq I$. But $\mathbf{T}(S)$ is not r -potent, and every 2×2 matrix which dominates I is r -potent, a contradiction. Therefore $\mathbf{T}(D)$ does not dominate I . It follows that $\mathbf{T}(D) = F + G$, where F is a diagonal cell and G is E or E' . Then $\mathbf{T}(D + A) = F + E + E'$, where $A = E$ or E' . But $D + A$ is r -potent while $F + E + E'$ is not, a contradiction. Thus $\mathbf{T}(D)$ is a diagonal cell. Similarly $\mathbf{T}(D')$ is a diagonal cell. Suppose $\mathbf{T}(D) = \mathbf{T}(D') = F$, where F is a diagonal cell; then $\mathbf{T}(J) = \mathbf{T}(D + D') + \mathbf{T}(K) = F + K$, which is not r -potent, a contradiction. Therefore $\mathbf{T}(I) = I$, $\mathbf{T}(K) = K$, and \mathbf{T} is bijective on the set of cells. ■

LEMMA 2.7 [2, Lemma 3.7]. *If a nonsingular linear operator \mathbf{T} on $\mathcal{M}_n(\mathbb{B})$ is bijective on the off-diagonal cells, $\mathbf{T}(I) \leq I$, and \mathbf{T} preserves 2-star matrices, then \mathbf{T} is one of, or a composition of two or more of, the following operators:*

- (a) *transposition (i.e., $X \rightarrow X^t$),*
- (b) *similarity operators (i.e., $X \rightarrow PXP^t$ for some fixed permutation matrix P in \mathcal{M}),*
- (c) *nonsingular diagonal replacement (i.e., for some fixed nonsingular linear operator s on the diagonal matrices of \mathcal{M} , $X \rightarrow X \circ K + s(X \circ I)$).*

THEOREM 2.2. *If $n \geq 2$, the semigroup \mathcal{S} of linear operators strongly preserving r -potent matrices over the two-element Boolean semiring is generated by transposition and the similarity operators.*

Proof. Since transposition and all operators $X \rightarrow PXP^t$ are in \mathcal{S} ($P^t = P^{-1}$ when P is a permutation matrix), we need only show that \mathcal{S} is contained in the group they generate. Let $\mathbf{T} \in \mathcal{S}$. If $n \geq 3$, let S be an $(n - 1)$ -star and E be a diagonal cell such that they are in a line. Then $S + E$ is r -potent, so $(S + E)^r = S + E$, and hence $[\mathbf{T}(S + E)]^r = \mathbf{T}(S + E)$. Since \mathbf{T} is linear, $[\mathbf{T}(S) + \mathbf{T}(E)]^r = \mathbf{T}(S) + \mathbf{T}(E)$. By Lemma 2.5, \mathbf{T} preserves 2-stars and $\mathbf{T}(S)$ must be a star matrix. Therefore $\mathbf{T}(E)$, $\mathbf{T}(S)$ are collinear. That is, $\mathbf{T}(S)$ is an $(n - 1)$ -star and $\mathbf{T}(E)$ is a diagonal cell lying in the same line as $\mathbf{T}(S)$. Thus the operator s in (c) of Lemma 2.7 must be the identity.

In case $n = 2$, by Lemma 2.6, \mathbf{T} either fixes the diagonal cells or switches them. Also, \mathbf{T} either fixes the off-diagonal cells or switches them. The only four possible operators are those given, establishing the theorem. ■

3. THE ANTINEGATIVE-SEMIRING CASE

In this section, \mathbb{A} is an antinegative semiring with no zero divisors, $n \geq r \geq 2$, and $\mathcal{S} = \mathcal{S}_n(\mathbb{A})$ denotes the semigroup of all linear operators on $\mathcal{M}_n(\mathbb{A})$ strongly preserving r -potence.

The mapping accomplished by associating each matrix A in $\mathcal{M}_n(\mathbb{S})$ with its pattern \bar{A} in $\mathcal{M}_n(\mathbb{B})$ is a semiring homomorphism when \mathbb{S} is antinegative and zero-divisor-free.

If \mathbf{T} is a linear operator on $\mathcal{M}_n(\mathbb{S})$, let $\bar{\mathbf{T}}$, its *pattern*, be the operator on $\mathcal{M}_n(\mathbb{B})$ defined by $\bar{\mathbf{T}}(\bar{E}_{ij}) = \overline{\mathbf{T}(E_{ij})}$ for all (i, j) . Then $\overline{\mathbf{T}(A)} \leq \bar{\mathbf{T}}(\bar{A})$ for all A in $\mathcal{M}_n(\mathbb{S})$. Equality holds if \mathbb{S} is an antinegative semiring having no zero divisors.

Let $A \in \mathcal{M}_n(\mathbb{S})$. The *scaling operator* L_A induced by A is defined by $L_A: X \rightarrow A \circ X$.

LEMMA 3.1. *The semigroup \mathcal{S} is generated by the scaling operators in \mathcal{S} , transposition, and the similarity operators.*

Proof. Suppose $\mathbf{T} \in \mathcal{S}$. Then $\bar{\mathbf{T}} \in \mathcal{S}_n(\mathbb{B})$, since $\overline{\mathbf{T}(X)} = \bar{\mathbf{T}}(\bar{X})$ whenever \mathbb{A} is an antinegative semiring having no zero divisors. Therefore $\bar{\mathbf{T}}$ is in the semigroup of operators generated by the similarity operators and transposition, by Theorem 2.1.2. Thus $\mathbf{T}(X) = M \circ \bar{\mathbf{T}}(\bar{A})$ for some $M \in \mathcal{M}$, and the lemma follows. ■

LEMMA 3.2. *If $n \geq 3$ and every element of \mathbb{A} is idempotent, then the identity operator is the only scaling operator that strongly preserves r -potence.*

Proof. Clearly, the identity operator is L_J . Suppose $L = L_A$ strongly preserves r -potence for some A . Let i, j , and k be distinct positive integers, $i, j, k \leq n$. Put $X_{ijk} = a_{ij}E_{ij} + E_{ik} + E_{jj} + E_{jk}$, $J_{ijk} = E_{ij} + E_{ik} + E_{jj} + E_{jk}$, $X_{jk} = a_{jj}E_{jj} + E_{jk}$, and $J_{jk} = E_{jj} + E_{jk}$. It is easily seen that J_{ijk} and J_{jk} are r -potent. Since $L(X_{ijk}) = L(J_{ijk})$ and $L(X_{jk}) = L(J_{jk})$, we have that X_{ijk} and X_{jk} are r -potent. Then the (i, k) entry of $(X_{ijk})^r$ is a_{ij} , while the (i, k) entry of X_{ijk} is 1. Thus, $a_{ij} = 1$. Also, the (j, k) entry of $(X_{jk})^r$ is a_{jj} , while the (j, k) entry of X_{jk} is 1. Thus, $a_{jj} = 1$. Since i, j , and k were arbitrary, we have $A = J$. ■

NOTE. In Lemma 3.2, \mathbb{A} need not be antinegative.

THEOREM 3.1. *If $n \geq 3$ and every member of \mathbb{A} is idempotent, then \mathcal{S} is generated by transposition and the similarity operators; \mathcal{S} is therefore a group.*

Proof. This is immediate with Lemmas 3.1 and 3.2. ■

The permutation matrices are the only invertible matrices over those antinegative semirings that have only one unit 1 such as: the nonnegative integers, any chain semiring (such as the fuzzy scalars), or the two-element Boolean algebra. For any antinegative semiring \mathbb{A} , Q is invertible in $\mathcal{M}_n(\mathbb{A})$ if and only if $Q = PD$ for some permutation matrix P and some diagonal matrix D whose diagonal entries are all units in \mathbb{A} .

COROLLARY 3.1. *If $n \geq 3$, the semigroup of linear operators on the $n \times n$ matrices over chain semiring that strongly preserves r -potence is generated by transposition and the operators $X \rightarrow PXP^t$, P a permutation matrix.*

LEMMA 3.3. *If L_A preserves r -potence on $\mathcal{M}_n(\mathbb{A})$, then each diagonal entry in A is r -potent.*

Proof. Since $I^r = I$, we must have that $L_A(I)$ is r -potent. Thus $(A \circ I)^r = [L_A(I)]^r = L_A(I) = A \circ I$, and thus $a_{ii}^r = a_{ii}$ for all i , $1 \leq i \leq n$. ■

LEMMA 3.4. *Suppose \mathbb{A} is an antinegative, commutative semiring with only one $(r - 1)$ th root of unity, 1, having the multiplicative cancellation property.*

- (i) *If L_A strongly preserves r -potence, then*
 - (a) *each diagonal entry in A is 1, and*
 - (b) *when $n \geq 3$, there exist units a_i in \mathbb{A} such that for all i, j ,*

$$a_{ij} = a_i a_j^{-1}.$$

- (ii) *If $a_{ij} = a_i a_j^{-1}$ for all i, j , then L_A strongly preserves r -potence.*

Proof. Since each diagonal entry in A is r -potent (Lemma 3.3) and none are 0 by Theorem 2.1, it follows by the cancellation property that $a_{ii}^{r-1} = 1$. This implies $a_{ii} = 1$ for all i , since \mathbb{A} has only one $(r - 1)$ th root of unity, 1. This establishes (i)(a).

Next we fix i and choose $j \neq i$. Let $R = \sum_{k \neq i} (E_{ik} + E_{jk})$; then by direct computation we have $(A \circ R)^2 = (A \circ R)^3$ and hence $(A \circ R)^m = (A \circ R)^2$ for all $m > 1$. In particular, $(A \circ R)^r = (A \circ R)^2$. But R is r -potent, so its image, $A \circ R = L_A(R)$, is r -potent too. Consequently $A \circ R = (A \circ R)^r = (A \circ R)^2$, i.e., $A \circ R$ is idempotent. Therefore

$$\sum_{k \neq i} (a_{ik} E_{ik} + a_{jk} E_{jk}) = \sum_{k \neq i} (a_{ij} a_{jk} E_{ik} + a_{jk} E_{jk}).$$

Therefore

$$a_{ik} = a_{ij} a_{jk} \quad \text{for all } k \neq i, \tag{3.1}$$

and by interchanging the roles of i and j in (3.1), we obtain

$$a_{jk} = a_{ji} a_{ik} \quad \text{for all } k \neq j. \tag{3.2}$$

Since $n \geq 3$, we can choose $g \neq 1, j$, obtaining $a_{ig} = a_{ij} a_{ji} a_{ig}$ from (3.1) and (3.2), and hence no entry in A is 0 by Theorem 2.1. Thus if $k \neq i$, then $a_{ij} = a_{ig} a_{kg}^{-1}$ for all k . Let $a_i = a_{i1}$. This completes the proof of part (i).

The verification of part (ii) is a straight forward computation. ■

Let \mathbb{P}^+ be the nonnegative members of a nontrivial subring \mathbb{P} of the reals. That is, if $\mathbb{P} = \mathbb{R}$ (reals) then $\mathbb{P}^+ = \mathbb{R}^+$; if $\mathbb{P} = \mathbb{Z}$ (integers) then $\mathbb{P}^+ = \mathbb{Z}^+$.

NOTE. If $\mathbb{A} = \mathbb{P}^+$, then Lemma 3.4(i) implies that all $a_{ij} = 1$.

THEOREM 3.2. *The semigroup $\mathcal{S} = \mathcal{S}_n(\mathbb{P}^+)$ is generated by transposition and the similarity operators, unless $n = 2$ and $\mathcal{M}_2(\mathbb{P}^+)$'s r -potent matrices are triangular and hence are on a single line. In that case, an additional family of generators is required, namely, the set of scaling operators*

$$X \rightarrow \begin{bmatrix} 1 & x \\ y & 1 \end{bmatrix} \circ X \quad \text{with } xy > 0.$$

Proof. Because a scaling operator induced by a matrix A satisfying Lemma 3.4(i) is the similarity operator $X \rightarrow DXD^{-1}$, where $D = \text{diag}(a_1, a_2, \dots, a_n)$, the theorem is immediate from Lemmas 3.1 and 3.4 unless $n = 2$ and $\mathcal{M}_2(\mathbb{P}^+)$'s r -potent matrices are triangular. In that case,

suppose \mathbf{T} is in \mathcal{S} . Lemma 2.6 implies that we may assume \mathbf{T} is a scaling operator, say $\mathbf{T} = \mathbf{L}_A$. According to Lemma 3.4,

$$A = \begin{bmatrix} 1 & x \\ y & 1 \end{bmatrix} \quad \text{for some } x, y \text{ in } \mathbb{P}^+.$$

Then $xy > 0$: otherwise

$$A \circ \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \quad \text{and} \quad A \circ \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

are not r -potent because

$$\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$$

are not, a contradiction. Conversely, the scaling operators

$$X \rightarrow \begin{bmatrix} 1 & x \\ y & 1 \end{bmatrix} \circ X$$

are in \mathcal{S} whenever $xy > 0$. ■

COROLLARY 3.2. *The semigroup $\mathcal{S} = \mathcal{S}_n(\mathbb{R}^+)$ is generated by transposition, permutation similarity, and $X \rightarrow DXD^{-1}$, where D is a diagonal matrix and all $d_{ii} > 0$.*

COROLLARY 3.3. *The semigroup $\mathcal{S} = \mathcal{S}_n(\mathbb{Z}^+)$ is generated by transposition and permutation similarity, unless $n = 2$. If $n = 2$, an additional family of generators is needed, namely, all the scaling operators*

$$X \rightarrow \begin{bmatrix} 1 & x \\ y & 1 \end{bmatrix} \circ X \quad \text{with } xy \geq 1.$$

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