



JOURNAL OF PURE AND APPLIED ALGEBRA

Journal of Pure and Applied Algebra 212 (2008) 1060-1071

www.elsevier.com/locate/jpaa

# A Morita type equivalence for dual operator algebras

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Received 9 January 2007; received in revised form 24 July 2007; accepted 24 July 2007

Available online 4 October 2007

Communicated by C. Kassel

#### Abstract

We generalize the main theorem of Rieffel for Morita equivalence of  $W^*$ -algebras to the case of unital dual operator algebras: two unital dual operator algebras  $\mathcal{A}$ ,  $\mathcal{B}$  have completely isometric normal representations  $\alpha$ ,  $\beta$  such that  $\alpha(\mathcal{A}) = [\mathcal{M}^*\beta(\mathcal{B})\mathcal{M}]^{-w^*}$  and  $\beta(\mathcal{B}) = [\mathcal{M}\alpha(\mathcal{A})\mathcal{M}^*]^{-w^*}$  for a ternary ring of operators  $\mathcal{M}$  (i.e. a linear space  $\mathcal{M}$  such that  $\mathcal{M}\mathcal{M}^*\mathcal{M} \subset \mathcal{M}$ ) if and only if there exists an equivalence functor  $\mathcal{F}: \mathcal{A}\mathfrak{M} \to \mathcal{B}\mathfrak{M}$  which "extends" to a \*-functor implementing an equivalence between the categories  $\mathcal{A}\mathfrak{D}\mathfrak{M}$  and  $\mathcal{B}\mathfrak{D}\mathfrak{M}$ . By  $\mathcal{A}\mathfrak{M}$  we denote the category of normal representations of  $\mathcal{A}$  and by  $\mathcal{A}\mathfrak{D}\mathfrak{M}$  the category with the same objects as  $\mathcal{A}\mathfrak{M}$  and  $\mathcal{A}(\mathcal{A})$ -module maps as morphisms  $(\mathcal{A}(\mathcal{A}) = \mathcal{A} \cap \mathcal{A}^*)$ . We prove that this functor is equivalent to a functor "generated" by a  $\mathcal{B}$ ,  $\mathcal{A}$  bimodule, and that it is normal and completely isometric. © 2007 Elsevier B.V. All rights reserved.

MSC: 47L30: 16D90: 46M15: 47L45: 47L55

#### 1. Introduction

At the beginning of the 70's, Rieffel [9] (see also [10]) introduced to operator theory the notion of Morita equivalence. Rieffel's work was concerned with the equivalence of representations of  $C^*$  and  $W^*$  algebras. With the development of the theory of operator spaces, it was natural to seek extensions of this theory to the class of (abstract) operator algebras.

The papers [4,1] deal with Morita equivalence of not necessarily self-adjoint (norm closed) operator algebras. To this day however, as far as we know, there is no complete theory of Morita equivalence for dual operator algebras. A natural requirement for such a theory would be to respect the additional topological structure that dual operator algebras possess as dual operator spaces. A step in this direction is taken in [2], where Rieffel's theory of Hilbert modules is extended to (dual) modules over dual (non-self-adjoint) operator algebras. In this paper we are able to generalize Rieffel's theory in a different direction. We study a new notion of equivalence for representations of dual operator algebras on Hilbert spaces. This equivalence coincides in the  $W^*$ -algebra case with the one studied by M. Rieffel; in the non-self-adjoint case there are differences in that two distinct categories have to be simultaneously equivalent. We will say that two unital dual operator algebras are  $\Delta$ -equivalent when there is an equivalence functor between their normal representations which not only preserves intertwiners of representations of the algebras, but also

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preserves intertwiners of restrictions to the diagonals (see Definition 1.4). In [6] a new notion of equivalence between concrete  $w^*$  closed operator algebras was developed:

**Definition 1.1** ([6]). Let  $\mathcal{A}, \mathcal{B}$  be  $w^*$  closed algebras acting on Hilbert spaces  $H_1$  and  $H_2$  respectively. If there is a TRO  $\mathcal{M} \subset \mathcal{B}(H_1, H_2)$  (i.e. a subspace of  $\mathcal{B}(H_1, H_2)$  satisfying  $\mathcal{M}\mathcal{M}^*\mathcal{M} \subset \mathcal{M}$ )) such that  $\mathcal{A} = [\mathcal{M}^*\mathcal{B}\mathcal{M}]^{-w^*}$  and  $\mathcal{B} = [\mathcal{M}\mathcal{A}\mathcal{M}^*]^{-w^*}$  we write  $\mathcal{A} \overset{\mathcal{M}}{\sim} \mathcal{B}$ . The algebras  $\mathcal{A}, \mathcal{B}$  are called **TRO equivalent** if there is a TRO  $\mathcal{M}$  such that  $\mathcal{A} \overset{\mathcal{M}}{\sim} \mathcal{B}$ .

Our first main theorem (Theorem 1.3) which generalizes the main result of [9] is that two (abstract) unital dual operator algebras  $\mathcal{A}$ ,  $\mathcal{B}$  are  $\Delta$ -equivalent if and only if they have completely isometric normal representations  $\alpha$ ,  $\beta$  such that the algebras  $\alpha(\mathcal{A})$ ,  $\beta(\mathcal{B})$  are TRO equivalent. The second main theorem (Theorem 3.3) states that every  $\Delta$ -equivalent functor is (unitarily) equivalent to a functor "generated" by an algebra bimodule. The bimodule is generated by "saturating" the TRO which implements the equivalence.

We present some symbols used below. If  $\mathcal{A}$  is an operator algebra we denote its diagonal  $\mathcal{A} \cap \mathcal{A}^*$  by  $\mathcal{\Delta}(\mathcal{A})$ . The symbol  $[\mathcal{S}]$  denotes the linear span of  $\mathcal{S}$ . The commutant of a set  $\mathcal{L}$  of bounded operators on a Hilbert space H is denoted as  $\mathcal{L}'$ . If  $\mathcal{U}$  is a linear space and  $n, m \in \mathbb{N}$  we denote by  $M_{n,m}(\mathcal{U})$  the space of  $n \times m$  matrices with entries from  $\mathcal{U}$  and by  $M_n(\mathcal{U})$  the space  $M_{n,n}(\mathcal{U})$ . If  $\mathcal{U}$ ,  $\mathcal{V}$  are linear spaces,  $\alpha$  is a linear map from  $\mathcal{U}$  to  $\mathcal{V}$  and  $n, m \in \mathbb{N}$  we denote the linear map

$$M_{n,m}(\mathcal{U}) \to M_{n,m}(\mathcal{V}) : (A_{ij})_{i,j} \to (\alpha(A_{ij}))_{i,j}$$

again by  $\alpha$ . If  $\mathcal{U}$  is a subspace of B(H, K) for H, K Hilbert spaces we equip  $M_{n,m}(\mathcal{U})$ ,  $n, m \in \mathbb{N}$  with the norm inherited from the embedding  $M_{n,m}(\mathcal{U}) \subset B(H^n, K^m)$ . If  $(\mathcal{X}, \|\cdot\|)$  is a normed space we denote by  $Ball(\mathcal{X})$  the unit ball of  $\mathcal{X}: \{X \in \mathcal{X}: \|X\| \leq 1\}$ . If  $x_1, \ldots, x_n$  are in a vector space  $\mathcal{V}$ , we write  $(x_1, \ldots, x_n)^t$  for the column vector in  $M_{n,1}(\mathcal{V})$ .

We present some definitions and concepts used in this work. A  $C^*$  algebra which is a dual Banach space is called a  $W^*$  algebra. A dual operator algebra is an operator algebra which is the dual of an operator space. Every  $W^*$  algebra is a dual operator algebra. For every dual operator algebra  $\mathcal{A}$  there exists a Hilbert space  $H_0$  and an algebraic homomorphism  $\alpha_0: \mathcal{A} \to B(H_0)$  which is a complete isometry and a  $w^*$ -continuous map [3].

**Lemma 1.1** ([3, 8.5.32]). Let C, E be von Neumann algebras acting on Hilbert spaces  $H_1$  and  $H_2$  respectively,  $\theta: C \to E$  be a \*-isomorphism and

$$\mathcal{M} = \{ T \in \mathcal{B}(H_1, H_2) : TA = \theta(A)T \text{ for all } A \in \mathcal{C} \}.$$

Then the space  $\mathcal{M}$  is an essential TRO, i.e. the algebras  $[\mathcal{M}^*\mathcal{M}]^{-w^*}$ ,  $[\mathcal{M}\mathcal{M}^*]^{-w^*}$  contain the identity operators.

We now define the category  $_{\mathcal{A}}\mathfrak{M}$  for a unital dual operator algebra  $\mathcal{A}$  [3]. The objects of  $_{\mathcal{A}}\mathfrak{M}$  are pairs  $(H,\alpha)$  where H is a Hilbert space and  $\alpha: \mathcal{A} \to B(H)$  is a **normal representation** of  $\mathcal{A}$ , i.e. a unital completely contractive  $w^*$ -continuous homomorphism. If  $(H_i,\alpha_i)$ , i=1,2, are objects of the category  $_{\mathcal{A}}\mathfrak{M}$  the space of homomorphisms  $\operatorname{Hom}_{\mathcal{A}}(H_1,H_2)$  is the following:

$$\operatorname{Hom}_{A}(H_{1}, H_{2}) = \{ T \in B(H_{1}, H_{2}) : T\alpha_{1}(A) = \alpha_{2}(A)T \text{ for all } A \in A \}.$$

Observe that the map  $\alpha_i|_{\Delta(\mathcal{A})}$  is a \*-homomorphism since  $\alpha_i$  is a contraction [3]. We also define the category  $_{\mathcal{A}}\mathfrak{DM}$  which has the same objects as  $_{\mathcal{A}}\mathfrak{M}$  but for every pair of objects  $(H_i, \alpha_i)$ , i = 1, 2, the space of homomorphisms  $\mathrm{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2)$  is given by

$$\operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2) = \{ T \in \mathcal{B}(H_1, H_2) : T\alpha_1(A) = \alpha_2(A)T \text{ for all } A \in \Delta(\mathcal{A}) \}.$$

If  $\mathcal{A}$  is a  $W^*$ -algebra the categories  $_{\mathcal{A}}\mathfrak{M}$  and  $_{\mathcal{A}}\mathfrak{D}\mathfrak{M}$  are the same. Also observe that  $\operatorname{Hom}_{\mathcal{A}}(H_1,H_2)\subset \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1,H_2)$ .

**Definition 1.2.** Let  $\mathcal{A}$ ,  $\mathcal{B}$  be unital dual operator algebras and  $\mathcal{F}:_{\mathcal{A}}\mathfrak{M}\to_{\mathcal{B}}\mathfrak{M}$  be a functor. We say that the functor  $\mathcal{F}$  has a  $\Delta$ -extension if there is a functor  $\mathcal{G}:_{\mathcal{A}}\mathfrak{DM}\to_{\mathcal{B}}\mathfrak{DM}$  such that the following diagram is commutative:

$$_{\mathcal{A}}\mathfrak{M} \hookrightarrow _{\mathcal{A}}\mathfrak{D}\mathfrak{M}$$
 $\mathcal{F}\downarrow \qquad \mathcal{G}\downarrow$ 
 $_{\mathcal{B}}\mathfrak{M} \hookrightarrow _{\mathcal{B}}\mathfrak{D}\mathfrak{M}.$ 

The following extends Rieffel's definition [9].

**Definition 1.3.** Let  $\mathcal{A}$ ,  $\mathcal{B}$  be unital dual operator algebras and  $\mathcal{F}: {}_{\mathcal{A}}\mathfrak{DM} \to {}_{\mathcal{B}}\mathfrak{DM}$  be a functor. We say that  $\mathcal{F}$  is a \*-functor if for every pair of objects  $H_1$ ,  $H_2$  of  ${}_{\mathcal{A}}\mathfrak{DM}$  every operator  $F \in \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2)$  satisfies  $\mathcal{F}(F^*) = \mathcal{F}(F)^*$ .

**Definition 1.4.** Let  $\mathcal{A}$ ,  $\mathcal{B}$  be unital dual operator algebras. If there exists an equivalence functor  $\mathcal{F}:_{\mathcal{A}}\mathfrak{M} \to _{\mathcal{B}}\mathfrak{M}$  which has a  $\Delta$ -extension as a \*-functor implementing an equivalence between the categories  $_{\mathcal{A}}\mathfrak{DM}$ ,  $_{\mathcal{B}}\mathfrak{DM}$ , we say that  $\mathcal{A}$  and  $\mathcal{B}$  are  $\Delta$ -equivalent algebras.

In [9] two  $W^*$  algebras  $\mathcal{A}, \mathcal{B}$  are called Morita equivalent if there exists an equivalence of  $_{\mathcal{A}}\mathfrak{M}$  with  $_{\mathcal{B}}\mathfrak{M}$  implemented by \*-functors. The main theorem of Rieffel for Morita equivalence of  $W^*$ -algebras can be formulated as follows [3, 8.5.38]:

**Theorem 1.2.** Two  $W^*$  algebras A, B are Morita equivalent if and only if they have faithful normal representations  $\alpha$ ,  $\beta$  on Hilbert spaces such that the algebras  $\alpha(A)$ ,  $\beta(B)$  are TRO equivalent.

We will generalize this to dual operator algebras:

**Theorem 1.3.** Two unital dual operator algebras A, B are  $\Delta$ -equivalent if and only if they have completely isometric normal representations  $\alpha$ ,  $\beta$  on Hilbert spaces such that the algebras  $\alpha(A)$ ,  $\beta(B)$  are TRO equivalent.

For the proof, we use a recent result obtained jointly with Paulsen [8] (see the Concluding remarks): If the unital dual operator algebras  $\mathcal{A}$ ,  $\mathcal{B}$  have completely isometric normal representations with TRO equivalent images then they are stably isomorphic, i.e. there exists a Hilbert space H such that the algebras  $\mathcal{A} \otimes B(H)$  and  $\mathcal{B} \otimes B(H)$  (where  $\otimes$  denotes the normal spatial tensor product [3]) are isomorphic as dual operator algebras. One easily checks that the algebras  $\mathcal{A}$  and  $\mathcal{A} \otimes B(H)$  (resp.  $\mathcal{B}$  and  $\mathcal{B} \otimes B(H)$ ) are  $\Delta$ -equivalent.

We now fix unital dual operator algebras  $\mathcal{A}, \mathcal{B}$  and an equivalence functor  $\mathcal{F}:_{\mathcal{A}}\mathfrak{M} \to _{\mathcal{B}}\mathfrak{M}$  which has a  $\Delta$ -extension as a \*-functor implementing an equivalence between the categories  $_{\mathcal{A}}\mathfrak{D}\mathfrak{M}$  and  $_{\mathcal{B}}\mathfrak{D}\mathfrak{M}$ . We still denote the  $\Delta$ -extension of this functor by  $\mathcal{F}$ . We need the following lemma.

**Lemma 1.4.** The functor  $\mathcal{F}$  restricts to an equivalence \*-functor between the categories  $W^*(A)\mathfrak{M}$  and  $W^*(B)\mathfrak{M}$ .

**Proof.** If  $T \in \operatorname{Hom}_{W^*(\mathcal{A})}(H_1, H_2)$ , using the fact that  $W^*(\mathcal{A})$  (resp.  $W^*(\mathcal{B})$ ) is a  $W^*$ -algebra generated by a copy of  $\mathcal{A}$  (resp.  $\mathcal{B}$ ) and  $\mathcal{F}$  is a \*-functor we can check that  $\mathcal{F}(T) \in \operatorname{Hom}_{W^*(\mathcal{B})}(\mathcal{F}(H_1), \mathcal{F}(H_2))$ . Since the objects of  $W^*(\mathcal{A})$  and  $\mathcal{A}$  of coincide, as do the objects of  $W^*(\mathcal{B})$  and  $\mathcal{B}$  of the homomorphism  $\mathcal{F}(T)$ . Clearly by sending every object  $\mathcal{K}$  to the object  $\mathcal{F}(K)$  and every homomorphism  $\mathcal{F}(T)$  to the homomorphism  $\mathcal{F}(T)$ . Clearly  $\mathcal{G}$  is a \*-functor. For every  $\mathcal{F}(T)$  and  $\mathcal{F}(T)$  is faithful, being a restriction of  $\mathcal{F}(T)$ . Also it is onto because for every  $\mathcal{F}(T)$  is  $\mathcal{F}(T)$  enormally  $\mathcal{F}(T)$ . If  $\mathcal{F}(T)$  is an equivalence functor, there exists an object  $\mathcal{F}(T)$  is an equivalence \*-functor. See for example [11, Theorem 1, Section IV-4].

**Corollary 1.5.** If H is an A-universal object then  $\mathcal{F}(H)$  is a B-universal object.

**Proof.** Let  $\mathcal{G}: {}_{W^*(\mathcal{A})}\mathfrak{M} \to {}_{W^*(\mathcal{B})}\mathfrak{M}$  be the restriction of  $\mathcal{F}$  as in Lemma 1.4. Every  $\mathcal{A}$ -universal object H is  $W^*(\mathcal{A})$ -universal. Since  $\mathcal{G}$  is an equivalence,  $\mathcal{F}(H)$  is a  $W^*(\mathcal{B})$ -universal object [9], and hence  $\mathcal{B}$ -universal.  $\square$ 

We now return to the proof of Theorem 1.3. Choose an  $\mathcal{A}$ -universal object  $(H, \alpha)$  and denote by  $(\mathcal{F}(H), \beta)$  the corresponding object. By the previous corollary this object is  $\mathcal{B}$ -universal. As we remarked in the discussion before Lemma 1.4 the normal representations  $\alpha$ ,  $\beta$  are complete isometries and the algebras  $\alpha(\mathcal{A})$ ,  $\beta(\mathcal{B})$  have the double commutant property:  $\alpha(\mathcal{A}) = \alpha(\mathcal{A})''$ ,  $\beta(\mathcal{B}) = \beta(\mathcal{B})''$ . We denote by  $\sigma$  the map

$$\mathcal{F}: \operatorname{Hom}\nolimits^{\mathfrak{D}}_{\mathcal{A}}(H,H) = \alpha(\Delta(\mathcal{A}))' \to \beta(\Delta(\mathcal{B}))' = \operatorname{Hom}\nolimits^{\mathfrak{D}}_{\mathcal{B}}(\mathcal{F}(H),\mathcal{F}(H)),$$

that is  $\sigma(T) = \mathcal{F}(T)$ ,  $T \in \alpha(\Delta(A))'$ . Since  $\mathcal{F} : {}_{\mathcal{A}}\mathfrak{DM} \to {}_{\mathcal{B}}\mathfrak{DM}$  is an equivalence \*-functor this map is a \*-isomorphism. By the  $\Delta$ -extension property  $\sigma$  maps the space  $\operatorname{Hom}_{\mathcal{A}}(H,H) = \alpha(\mathcal{A})'$  into  $\operatorname{Hom}_{\mathcal{B}}(\mathcal{F}(H),\mathcal{F}(H)) = \beta(\mathcal{B})'$ . Since  $\mathcal{F} : {}_{\mathcal{A}}\mathfrak{M} \to {}_{\mathcal{B}}\mathfrak{M}$  is an equivalence functor we have  $\sigma(\alpha(\mathcal{A})') = \beta(\mathcal{B})'$ . We define the space

$$\mathcal{M} = \{M : MA = \sigma(A)M \text{ for all } A \in \alpha(\Delta(A))'\}.$$

By Lemma 1.1 this space is an essential TRO. Choose  $M, N \in \mathcal{M}, B \in \mathcal{B}$ . For all  $A \in \alpha(\mathcal{A})'$  we have  $M^*\beta(B)NA = M^*\beta(B)\sigma(A)N$ . Since  $\sigma(A) \in \beta(\mathcal{B})'$  the last operator equals  $M^*\sigma(A)\beta(B)N = AM^*\beta(B)N$ . We proved that  $\mathcal{M}^*\beta(\mathcal{B})\mathcal{M} \subset \alpha(\mathcal{A})$ . Symmetrically we can prove  $\mathcal{M}\alpha(\mathcal{A})\mathcal{M}^* \subset \beta(\mathcal{B})$ . It follows from [6, 2.1] that  $\alpha(\mathcal{A}) \stackrel{\mathcal{M}}{\sim} \beta(\mathcal{B})$ .

# 2. The generated functor

In this section we fix unital dual operator algebras  $\mathcal{A}$ ,  $\mathcal{B}$  acting on Hilbert spaces  $H_0$ ,  $K_0$  respectively which are TRO equivalent. We are going to construct a functor  $\mathcal{F}_{\mathcal{U}}$  generated by a  $\mathcal{B}$ ,  $\mathcal{A}$  bimodule  $\mathcal{U}$ . In Section 3 we shall prove that every functor implementing the equivalence of Theorem 1.3 is unitarily equivalent to such a functor  $\mathcal{F}_{\mathcal{U}}$ .

In [6, 2.8] it is shown that the TRO  $\mathcal{M} \subset \mathcal{B}(H_0, K_0)$  implementing the equivalence can be chosen so that  $[\mathcal{M}^*\mathcal{M}]^{-w^*} = \Delta(\mathcal{A})$ ,  $[\mathcal{M}\mathcal{M}^*]^{-w^*} = \Delta(\mathcal{B})$ . Define  $\mathcal{U} = [\mathcal{B}\mathcal{M}]^{-w^*}$ ,  $\mathcal{V} = [\mathcal{M}^*\mathcal{B}]^{-w^*}$ . One can now check that  $\mathcal{U} = [\mathcal{M}\mathcal{A}]^{-w^*}$ ,  $\mathcal{V} = [\mathcal{A}\mathcal{M}^*]^{-w^*}$  and

$$\mathcal{BUA} \subset \mathcal{U}, \qquad \mathcal{AVB} \subset \mathcal{V}, \qquad [\mathcal{VU}]^{-w^*} = \mathcal{A}, \qquad [\mathcal{UV}]^{-w^*} = \mathcal{B}.$$

If  $n \in \mathbb{N}$  and  $S \in \text{Ball}(M_{n,1}(\mathcal{V}))$  we define on the algebraic tensor product  $\mathcal{U} \otimes \mathcal{H}$  a sesquilinear form by the formula

$$\langle T_1 \otimes x_1, T_2 \otimes x_2 \rangle_S = \langle \alpha(ST_1)x_1, \alpha(ST_2)x_2 \rangle_{H^n}.$$

We write  $\|\cdot\|_S$  for the associated seminorm and  $\mathcal{L}_S$  for its kernel. The completion of  $((\mathcal{U} \otimes H)/\mathcal{L}_S, \|\cdot\|_S)$  will be denoted by  $H_S$  and the symbol  $\|\cdot\|_S$  will be used for the norm of  $H_S$  as well. Let  $\pi_S : \mathcal{U} \otimes H \to H_S$  be the quotient map. Again on the algebraic tensor product  $\mathcal{U} \otimes H$  we define the following seminorm:

$$\left\| \sum_{j=1}^{m} T_{j} \otimes x_{j} \right\|_{\mathcal{T}_{2}(H)} = \sup_{S \in \text{Ball}(M_{n,1}(\mathcal{V})), n \in \mathbb{N}} \left\| \sum_{j=1}^{m} T_{j} \otimes x_{j} \right\|_{S}.$$

Since the seminorm  $\|\cdot\|_S$  satisfies the parallelogram identity for all S, the previous seminorm satisfies the parallelogram identity too. If  $\mathcal{L} = \{z \in \mathcal{U} \otimes H : \|z\|_{\mathcal{F}_{\mathcal{U}}(H)} = 0\}$  the space  $(\mathcal{U} \otimes H)/\mathcal{L}$  is a pre-Hilbert space. We denote its completion by  $\mathcal{F}_{\mathcal{U}}(H)$  and we use the same symbol  $\|\cdot\|_{\mathcal{F}_{\mathcal{U}}(H)}$  for the corresponding norm. We write  $\pi: \mathcal{U} \otimes H \to \mathcal{F}_{\mathcal{U}}(H)$  for the quotient map. The following lemma is essentially due to Paschke; see for example [3, 8.5.23].

**Lemma 2.1.** There exist partial isometries  $\{W_k, k \in J\} \subset \mathcal{M}(\{V_k, k \in I\} \subset \mathcal{M})$  such that  $W_k^*W_k \perp W_m^*W_m(V_kV_k^* \perp V_mV_m^*)$  for  $k \neq m$  and  $I_{H_0} = \sum_k \oplus W_k^*W_k(I_{K_0} = \sum_k \oplus V_kV_k^*)$ .

The following proposition says that we can calculate the norm  $\|\cdot\|_{\mathcal{F}_{\mathcal{U}}(H)}$  using only the operators  $\{S:S\in \text{Ball}(M_{n,1}(\mathcal{M}^*)), n\in\mathbb{N}\}$ .

**Proposition 2.2.** If  $\sum_{j=1}^{m} T_j \otimes x_j \in \mathcal{U} \otimes H$  then

$$\left\| \sum_{j=1}^{m} T_{j} \otimes x_{j} \right\|_{\mathcal{F}_{\mathcal{U}}(H)} = \sup_{S \in \text{Ball}(M_{n,1}(\mathcal{M}^{*})), n \in \mathbb{N}} \left\| \sum_{j=1}^{m} T_{j} \otimes x_{j} \right\|_{S}.$$

**Proof.** For  $\epsilon > 0$  there exist  $n \in \mathbb{N}$  and  $S \in \text{Ball}(M_{n,1}(\mathcal{V}))$  such that

$$\left\| \sum_{j=1}^m T_j \otimes x_j \right\|_{\mathcal{F}_{\mathcal{U}}(H)} - \epsilon < \left\| \sum_{j=1}^m \alpha(ST_j) x_j \right\|_{H^n} - \frac{\epsilon}{2}.$$

Using Lemma 2.1 and the fact that  $\alpha$  is  $w^*$ -continuous we can find partial isometries  $\{V_1,\ldots,V_N\}\subset\mathcal{M}$  such that the operator  $\sum_{i=1}^N V_i V_i^*$  is a projection and

$$\left\| \sum_{j=1}^{m} \alpha(ST_{j}) x_{j} \right\|_{H^{n}} - \frac{\epsilon}{2} \leq \left\| \sum_{j=1}^{m} \alpha \left( S \sum_{k=1}^{N} V_{k} V_{k}^{*} T_{j} \right) x_{j} \right\|_{H^{n}}$$

$$= \left\| \alpha \left( S(V_{1}, \dots, V_{N}) \right) \sum_{j=1}^{m} \alpha \left( (V_{1}^{*}, \dots, V_{N}^{*})^{t} T_{j} \right) x_{j} \right\|_{H^{n}}.$$

Observe that  $(V_1^*, \ldots, V_N^*)^t$  is in  $Ball(M_{N,1}(\mathcal{M}^*))$ . So since  $\alpha$  is a complete contraction we have

$$\left\| \sum_{j=1}^{m} T_{j} \otimes x_{j} \right\|_{\mathcal{F}_{\mathcal{U}}(H)} - \epsilon \leq \sup_{S \in \text{Ball}(M_{r,1}(\mathcal{M}^{*})), r \in \mathbb{N}} \left\| \sum_{j=1}^{m} T_{j} \otimes x_{j} \right\|_{S}.$$

Since  $\epsilon$  is arbitrary the proof is complete.  $\square$ 

For all  $n \in \mathbb{N}$  and  $S \in \text{Ball}(M_{n,1}(\mathcal{V}))$  we have  $\|\pi_s(\xi)\|_S \leq \|\pi(\xi)\|_{\mathcal{F}_{\mathcal{U}}(H)}$  for every  $\xi \in \mathcal{U} \otimes H$ . This shows that the map  $\pi(\xi) \to \pi_S(\xi)$  is well defined and extends to a contraction  $\theta_S : \mathcal{F}_{\mathcal{U}}(H) \to H_S$  between the associated completions.

**Lemma 2.3.** If  $\theta_S : \mathcal{F}_{\mathcal{U}}(H) \to H_S$  is given by  $\theta_S(\pi(\xi)) = \pi_S(\xi)$ ,

$$\mathcal{F}_{\mathcal{U}}(H) = [\theta_S^*(\pi_S(T \otimes x)) : S \in \text{Ball}(M_{m,1}(\mathcal{V})), m \in \mathbb{N}, T \in \mathcal{U}, x \in H]^-.$$

**Proof.** Let  $z \in \mathcal{F}_{\mathcal{U}}(H)$  be such that  $\langle \theta_S^*(\pi_S(T \otimes x)), z \rangle_{\mathcal{F}_{\mathcal{U}}(H)} = 0$  for all  $m \in \mathbb{N}$ ,  $S \in \text{Ball}(M_{m,1}(\mathcal{V}))$ ,  $T \in \mathcal{U}$  and  $x \in H$ . Then  $\langle \pi_S(T \otimes x), \theta_S(z) \rangle_{H_S} = 0$  for all  $m \in \mathbb{N}$ ,  $S \in \text{Ball}(M_{m,1}(\mathcal{V}))$ ,  $T \in \mathcal{U}$  and  $x \in H$ . It follows that  $\theta_S(z) = 0$  for all  $m \in \mathbb{N}$ ,  $S \in \text{Ball}(M_{m,1}(\mathcal{V}))$ . But

$$||z||_{\mathcal{F}_{\mathcal{U}}(H)} = \sup_{S \in \text{Ball}(M_{n,1}(\mathcal{V})), n \in \mathbb{N}} ||\theta_S(z)||_S.$$

Indeed, this holds when  $z \in \pi(\mathcal{U} \otimes H)$  and it is a standard fact that the equality extends to all  $z \in \mathcal{F}_{\mathcal{U}}(H)$ . It follows that z = 0.  $\square$ 

We will show below that the space  $\pi(\mathcal{M} \otimes H)$  is dense in  $\mathcal{F}_{\mathcal{U}}(H)$ . In fact we shall prove the following stronger result:

**Lemma 2.4.** Let L be an invariant projection for  $\alpha(A)$ . If  $T \in \mathcal{U}$  and  $x \in H$  then

$$\pi(T \otimes L(x)) \in [\pi(N \otimes L(y)) : N \in \mathcal{M}, y \in H]^{-\mathcal{F}_{\mathcal{U}}(H)}.$$

**Proof.** On the algebraic tensor product  $\mathcal{M}^* \otimes \mathcal{U} \otimes L(H)$  we define the following sesquilinear form:

$$\left\langle M_1^* \otimes T_1 \otimes L(x_1), M_2^* \otimes T_2 \otimes L(x_2) \right\rangle = \left\langle \alpha(M_1^*T_1)L(x_1), \alpha(M_2^*T_2)L(x_2) \right\rangle_H.$$

If K is the kernel of  $\langle \cdot, \cdot \rangle$  we denote by K the completion of  $(\mathcal{M}^* \otimes \mathcal{U} \otimes L(H))/\mathcal{K}$  under the corresponding norm and by  $\pi_K$  the quotient map  $\mathcal{M}^* \otimes \mathcal{U} \otimes L(H) \to K$ . Since the identity operator belongs to  $[\mathcal{M}^*\mathcal{M}]^{-u^*}$  and  $\alpha$ 

is  $w^*$ -continuous we can check that the space  $K_1$  generated by vectors of the form  $\pi_K(M^* \otimes N \otimes L(y))$  where  $M, N \in \mathcal{M}, y \in H$  is dense in K.

Claim. For every  $N, M_0 \in \mathcal{M}, T \in \mathcal{U}$  and  $x \in H$ ,

$$\pi(NM_0^*T \otimes L(x)) \in [\pi(M \otimes L(y)) : M \in \mathcal{M}, y \in H]^{-\mathcal{F}_{\mathcal{U}}(H)}.$$

*Proof.* For every  $n \in \mathbb{N}$ ,  $S \in \text{Ball}(M_{n,1}(\mathcal{V}))$ ,  $M_i \in \mathcal{M}$ ,  $T_i \in \mathcal{U}$ ,  $x_i \in H$ , i = 1, ..., m, and  $N \in \text{Ball}(\mathcal{M})$  we have

$$\left\|\alpha\left(SN\sum_{i=1}^{m}M_{i}^{*}T_{i}\right)L(x_{i})\right\|_{H^{n}} = \left\|\alpha(SN)\sum_{i=1}^{m}\alpha(M_{i}^{*}T_{i})L(x_{i})\right\|_{H^{n}}$$

$$\leq \left\|\sum_{i=1}^{m}\alpha(M_{i}^{*}T_{i})L(x_{i})\right\|_{H} = \left\|\pi_{K}\left(\sum_{i=1}^{m}M_{i}^{*}\otimes T_{i}\otimes L(x_{i})\right)\right\|_{K}.$$

It follows from the definition of  $\|\cdot\|_{\mathcal{F}_{\mathcal{U}}(H)}$  that

$$\left\| \sum_{i=1}^{m} N M_i^* T_i \otimes L(x_i) \right\|_{\mathcal{F}_{\mathcal{U}}(H)} \le \left\| \pi_K \left( \sum_{i=1}^{m} M_i^* \otimes T_i \otimes L(x_i) \right) \right\|_K. \tag{2.1}$$

Now fix  $N \in \text{Ball}(\mathcal{M})$ ,  $M_0 \in \mathcal{M}$ ,  $T \in \mathcal{U}$ ,  $x \in H$  and  $\epsilon > 0$ . By the density of  $K_1$  in K there exist  $N_i$ ,  $M_i \in \mathcal{M}$ ,  $x_i \in H$ ,  $i = 1, \dots, m$ , such that

$$\left\| \pi_K(M_0^* \otimes T \otimes L(x)) - \pi_K \left( \sum_{i=1}^m M_i^* \otimes N_i \otimes L(x_i) \right) \right\|_{K} < \epsilon.$$

It follows from (2.1) that

$$\left\| NM_0^*T \otimes L(x) - \sum_{i=1}^m NM_i^*N_i \otimes L(x_i) \right\|_{\mathcal{F}_{\mathcal{U}}(H)} < \epsilon.$$

This proves the Claim. Let  $T \in \mathcal{U}$  and  $x \in H$ . It now suffices to show that

$$\pi(T \otimes L(x)) \in [\pi(NM^*U \otimes L(y)) : N, M \in \mathcal{M}, U \in \mathcal{U}, y \in H]^{-\mathcal{F}_{\mathcal{U}}(H)}.$$

Recall the partial isometries  $\{V_k, k \in I\} \subset \mathcal{M}$  from Lemma 2.1. We have

$$\begin{split} &\lim_{E\subset I, \text{finite}} \left\langle \pi(T\otimes L(x)) - \sum_{k\in E} \pi(V_k V_k^*T\otimes L(x)), \theta_S^*(\pi_S(U\otimes y)) \right\rangle_{\mathcal{F}_{\mathcal{U}}(H)} \\ &= \lim_{E} \left\langle \theta_S \left( \pi \left( T\otimes L(x) - \sum_{k\in E} V_k V_k^*T\otimes L(x) \right) \right), \pi_S(U\otimes y) \right\rangle_S \\ &= \lim_{E} \left\langle \pi_S \left( T\otimes L(x) - \sum_{k\in E} V_k V_k^*T\otimes L(x) \right), \pi_S(U\otimes y) \right\rangle_S \\ &= \lim_{E} \left\langle \alpha(ST)L(x) - \sum_{k\in E} \alpha(SV_k V_k^*T)L(x), \alpha(SU)(y) \right\rangle_{H^n} \\ &= \lim_{E} \left\langle \alpha \left( S\left( I - \sum_{k\in E} V_k V_k^* \right) T \right) L(x), \alpha(SU)(y) \right\rangle_{H^n} = 0. \end{split}$$

Since this net is uniformly bounded from Lemma 2.3 the equality  $\pi(T \otimes L(x)) = \sum_{k \in I} \pi(V_k V_k^* T \otimes L(x))$  follows and the proof is complete.  $\square$ 

**Corollary 2.5.** The subspace  $\pi(\mathcal{M} \otimes H)$  of  $\mathcal{F}_{\mathcal{U}}(H)$  is dense.

We define a map  $\beta: \mathcal{B} \to B(\mathcal{F}_{\mathcal{U}}(H))$  given by

$$\beta(B)(\pi(T \otimes x)) = \pi(BT \otimes x), \quad B \in \mathcal{B}, T \in \mathcal{U}, x \in H.$$

This is a well-defined unital algebraic homomorphism and a contraction. We shall prove the following stronger result.

**Proposition 2.6.** *The map*  $\beta$  *is a complete contraction.* 

**Proof.** Let  $n \in \mathbb{N}$  and  $(B_{ij}) \in M_n(\mathcal{B})$ . Fix vectors  $z_j = \sum_{i=1}^{k_j} \pi(T_i^j \otimes x_i^j)$ ,  $j = 1, \ldots, n$ , of the space  $\mathcal{F}_{\mathcal{U}}(H)$  and denote by z the vector  $(z_1, \ldots, z_n)^t$ . Also write  $y = \beta((B_{ij}))(z)$ . Then

$$\|y\|^2 = \sum_{k=1}^n \left\| \sum_{j=1}^n \sum_{i=1}^{k_j} B_{kj} T_i^j \otimes x_i^j \right\|_{\mathcal{F}_{\mathcal{U}}(H)}^2.$$

By the definition of the norm of the space  $\mathcal{F}_{\mathcal{U}}(H)$ , given  $\epsilon > 0$  there exist  $r \in \mathbb{N}$ ,  $S_k \in \text{Ball}(M_{r,1}(\mathcal{V})), k = 1, \ldots, n$ , such that

$$||y||^2 - \epsilon \le \sum_{k=1}^n \left\| \sum_{j=1}^n \sum_{i=1}^{k_j} \alpha(S_k B_{kj} T_i^j)(x_i^j) \right\|_{H^r}^2 - \frac{\epsilon}{2}.$$

Since  $\alpha$  is  $w^*$ -continuous from Lemma 2.1 we can find partial isometries  $V_1, \ldots, V_N \in \mathcal{M}$  such that

$$\sum_{k=1}^{n} \left\| \sum_{j=1}^{n} \sum_{i=1}^{k_{j}} \alpha(S_{k} B_{kj} T_{i}^{j})(x_{i}^{j}) \right\|_{H^{r}}^{2} - \frac{\epsilon}{2} \leq \sum_{k=1}^{n} \left\| \sum_{j=1}^{n} \sum_{i=1}^{k_{j}} \alpha\left(S_{k} B_{kj} \sum_{l=1}^{N} V_{l} V_{l}^{*} T_{i}^{j}\right)(x_{i}^{j}) \right\|^{2}.$$

Let  $V = (V_1, \dots, V_N)$ . Now  $\alpha$  is an algebraic homomorphism, and hence

$$||y||^{2} - \epsilon \leq \left\| \alpha((S_{i}B_{ij}V)_{1 \leq i, j \leq n}) \begin{bmatrix} \sum_{i=1}^{k_{1}} \alpha(V^{*}T_{i}^{1})(x_{i}^{1}) \\ \vdots \\ \sum_{i=1}^{k_{n}} \alpha(V^{*}T_{i}^{n})(x_{i}^{n}) \end{bmatrix} \right\|^{2}.$$

Since  $(S_i B_{ij} V)_{1 \le i, j \le n} = (S_1 \oplus \cdots \oplus S_n)(B_{ij})(V \oplus \cdots \oplus V)$  and  $\|(S_1 \oplus \cdots \oplus S_n)\| \le 1$ ,  $\|(V \oplus \cdots \oplus V)\| \le 1$  it follows that  $\|(\alpha(S_i B_{ij} V))\| \le \|(B_{ij})\|$  and hence

$$\|y\|^{2} - \epsilon \leq \|(B_{ij})\|^{2} \sum_{j=1}^{n} \left\| \sum_{i=1}^{k_{j}} \alpha(V^{*}T_{i}^{j})(x_{i}^{j}) \right\|^{2}$$

$$\leq \sum_{j=1}^{n} \left\| \sum_{i=1}^{k_{j}} T_{i}^{j} \otimes x_{i}^{j} \right\|_{\mathcal{F}_{\mathcal{U}}(H)}^{2} \|(B_{ij})\|^{2} = \|z\|^{2} \|(B_{ij})\|^{2}.$$

But  $\epsilon$  is arbitrary and so  $\|\beta((B_{ij}))(z)\| = \|y\| \le \|(B_{ij})\| \|z\|$ ; hence  $\|\beta((B_{ij}))\| \le \|(B_{ij})\|$ . Since n is arbitrary, this shows that  $\beta$  is a complete contraction.  $\square$ 

**Proposition 2.7.** The map  $\beta$  is  $w^*$ -continuous.

**Proof.** Since  $\beta$  is a bounded map it suffices to show that given a net  $(B_i) \subset \text{Ball}(\mathcal{B})$  which converges to 0 in the weak operator topology, the net  $(\beta(B_i))$  also converges to 0 in the weak operator topology. Indeed, for all  $T_1, T_2 \in \mathcal{U}, x_1, x_2 \in H, n \in \mathbb{N}$  and  $S \in \text{Ball}(M_{n-1}(\mathcal{V}))$ ,

$$\langle \beta(B_i)(\pi(T_1 \otimes x_1)), \theta_S^*(\pi_S(T_2 \otimes x_2)) \rangle_{\mathcal{F}_{\mathcal{U}}(H)} = \langle \theta_S(\pi((B_i T_1 \otimes x_1))), \pi_S(T_2 \otimes x_2) \rangle_{H_S}$$
$$= \langle \alpha(SB_i T_1)(x_1), \alpha(ST_2)(x_2) \rangle \to 0.$$

The conclusion follows from Lemma 2.3.  $\Box$ 

In the rest of this section if  $H \in_{\mathcal{A}} \mathfrak{M}$  we identify  $\mathcal{U} \otimes H$  with its image in  $\mathcal{F}_{\mathcal{U}}(H)$ . From the above discussion we have a correspondence  $H \in_{\mathcal{A}} \mathfrak{M} \to \mathcal{F}_{\mathcal{U}}(H) \in_{\mathcal{B}} \mathfrak{M}$ . If  $(H_i, \alpha_i) \in_{\mathcal{A}} \mathfrak{M}$ , i = 1, 2, we define a map  $\mathcal{F}_{\mathcal{U}}(F)$  from the space  $\mathcal{F}_{\mathcal{U}}(H_1)$  into the space  $\mathcal{F}_{\mathcal{U}}(H_2)$  by the formula

$$\mathcal{F}_{\mathcal{U}}(F)(T \otimes x) = T \otimes F(x)$$
 for all  $T \in \mathcal{U}, x \in H_1$ .

We can easily check that this map is bounded with norm at most ||F|| and  $\mathcal{F}_{\mathcal{U}}(F) \in \operatorname{Hom}_{\mathcal{B}}(\mathcal{F}_{\mathcal{U}}(H_1), \mathcal{F}_{\mathcal{U}}(H_2))$ . This definition completes the definition of the functor  $\mathcal{F}_{\mathcal{U}}: {}_{A}\mathfrak{M} \to {}_{\mathcal{B}}\mathfrak{M}$ .

**Theorem 2.8.** The functor  $\mathcal{F}_{\mathcal{U}}$  has a  $\Delta$ -extension.

**Proof.** Let  $F \in \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2)$ . Suppose that  $M_1, \ldots, M_m \in \mathcal{M}$  and  $x_1, \ldots, x_m \in H$ . If  $n \in \mathbb{N}$  and  $S \in \operatorname{Ball}(M_{n,1}(\mathcal{M}^*))$  we have

$$\left\| \sum_{i=1}^{m} \alpha_2(SM_i) F(x_i) \right\| = \left\| F^{(n)} \sum_{i=1}^{m} \alpha_1(SM_i)(x_i) \right\| \qquad (F^{(n)} = (F \oplus F \oplus \cdots \oplus F))$$

$$\leq \|F\| \left\| \sum_{i=1}^{m} \alpha_1(SM_i)(x_i) \right\| \leq \|F\| \left\| \sum_{i=1}^{m} M_i \otimes x_i \right\|_{\mathcal{F}_{\mathcal{U}}(H_1)}.$$

From Proposition 2.2 it follows that

$$\left\| \sum_{i=1}^{m} M_i \otimes F(x_i) \right\|_{\mathcal{F}_{\mathcal{U}}(H_2)} \le \|F\| \left\| \sum_{i=1}^{m} M_i \otimes x_i \right\|_{\mathcal{F}_{\mathcal{U}}(H_1)}.$$

So we can define a map  $\delta(F)$  from the subspace  $\mathcal{M} \otimes H_1$  of  $\mathcal{F}_{\mathcal{U}}(H_1)$  into the space  $\mathcal{F}_{\mathcal{U}}(H_2)$  by the formula

$$\delta(F)(M \otimes x) = M \otimes F(x) \quad \text{for all } M \in \mathcal{M}, x \in H_1. \tag{2.2}$$

The map  $\delta(F)$  is bounded with norm at most ||F||. By Corollary 2.5 the space  $\mathcal{M} \otimes H_1$  is dense in  $\mathcal{F}_{\mathcal{U}}(H_1)$ , so this map extends to  $\mathcal{F}_{\mathcal{U}}(H_1)$ . Since  $\Delta(\mathcal{B})\mathcal{M} \subset \mathcal{M}$ , equality (2.2) shows that  $\delta(F) \in \operatorname{Hom}_{\mathcal{B}}^{\mathfrak{D}}(\mathcal{F}_{\mathcal{U}}(H_1), \mathcal{F}_{\mathcal{U}}(H_2))$ . Observe that if  $F \in \operatorname{Hom}_{\mathcal{A}}(H_1, H_2)$  then  $\mathcal{F}_{\mathcal{U}}(F) = \delta(F)$ , because both operators are bounded and coincide in the dense subspace  $\mathcal{M} \otimes H_1$  of  $\mathcal{F}_{\mathcal{U}}(H_1)$ . Therefore we may define a functor  $\mathcal{A} \mathfrak{D} \mathfrak{M} \to \mathcal{B} \mathfrak{D} \mathfrak{M}$  by sending every object H to  $\mathcal{F}_{\mathcal{U}}(H)$  and every homomorphism F to  $\delta(F)$ . Clearly this functor is a  $\Delta$ -extension of the functor  $\mathcal{F}_{\mathcal{U}}$ .

**Definition 2.1.** In the sequel the  $\Delta$ -extension of the functor  $\mathcal{F}_{\mathcal{U}}$  will be denoted again by  $\mathcal{F}_{\mathcal{U}}$  and every homomorphism  $\delta(F)$  defined by Eq. (2.2) by  $\mathcal{F}_{\mathcal{U}}(F)$ .

Now we will prove that the  $\Delta$ -extension of  $\mathcal{F}_{\mathcal{U}}$  is a \*-functor.

**Lemma 2.9.** If  $U \in \text{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2)$  is a partial isometry then

$$\mathcal{F}_{\mathcal{U}}(U^*) = \mathcal{F}_{\mathcal{U}}(U)^*.$$

**Proof.** Let  $M_j \in \mathcal{M}, x_j \in H_1, 1 \leq j \leq m, \ S = (N_1^*, \dots, N_n^*)^t \in \text{Ball}(M_{n,1}(\mathcal{M}^*)).$  We have

$$\begin{split} \left\| \sum_{j=1}^{m} \alpha_{1}(SM_{j})U^{*}U(x_{j}) \right\|^{2} &= \sum_{i=1}^{n} \left\| \sum_{j=1}^{m} \alpha_{1}(N_{i}^{*}M_{j})U^{*}U(x_{j}) \right\|^{2} \\ &= \sum_{i=1}^{n} \left\| U^{*} \left( U \sum_{j=1}^{m} \alpha_{1}(N_{i}^{*}M_{j})(x_{j}) \right) \right\|^{2} = \sum_{i=1}^{n} \left\| \sum_{j=1}^{m} U \alpha_{1}(N_{i}^{*}M_{j})(x_{j}) \right\|^{2} \\ &= \sum_{i=1}^{n} \left\| \sum_{j=1}^{m} \alpha_{2}(N_{i}^{*}M_{j})U(x_{j}) \right\|^{2} = \left\| \sum_{j=1}^{m} \alpha_{2}(SM_{j})U(x_{j}) \right\|^{2}. \end{split}$$

Since S was arbitrary in Ball $(M_{n,1}(\mathcal{M}^*))$  we have

$$\left\| \sum_{j=1}^{m} M_j \otimes U^* U(x_j) \right\|_{\mathcal{F}_{\mathcal{U}}(H_1)} = \left\| \sum_{j=1}^{m} M_j \otimes U(x_j) \right\|_{\mathcal{F}_{\mathcal{U}}(H_2)}$$

or equivalently

$$\left\| \mathcal{F}_{\mathcal{U}}(U^*U) \left( \sum_{j=1}^m M_j \otimes x_j \right) \right\|_{\mathcal{F}_{\mathcal{U}}(H_1)} = \left\| \mathcal{F}_{\mathcal{U}}(U) \left( \sum_{j=1}^m M_j \otimes x_j \right) \right\|_{\mathcal{F}_{\mathcal{U}}(H_2)}.$$

By Corollary 2.5 we have that

$$\|\mathcal{F}_{\mathcal{U}}(U^*U)(z)\|_{\mathcal{F}_{\mathcal{U}}(H_1)} = \|\mathcal{F}_{\mathcal{U}}(U)(z)\|_{\mathcal{F}_{\mathcal{U}}(H_2)} \quad \text{for all } z \in \mathcal{F}_{\mathcal{U}}(H_1). \tag{2.3}$$

We proved in Theorem 2.8 that the map  $\mathcal{F}_{\mathcal{U}}$  between the spaces of homomorphisms is a contraction; therefore  $\mathcal{F}_{\mathcal{U}}(U^*U)$  is an orthogonal projection. It follows now by (2.3) that

$$\langle \mathcal{F}_{\mathcal{U}}(U^*U)(z), z \rangle_{\mathcal{F}_{\mathcal{U}}(H_1)} = \langle \mathcal{F}_{\mathcal{U}}(U)^* \mathcal{F}_{\mathcal{U}}(U)(z), z \rangle_{\mathcal{F}_{\mathcal{U}}(H_1)}$$

for all  $z \in \mathcal{F}_{\mathcal{U}}(H_1)$  and so  $\mathcal{F}_{\mathcal{U}}(U^*)\mathcal{F}_{\mathcal{U}}(U) = \mathcal{F}_{\mathcal{U}}(U^*U) = \mathcal{F}_{\mathcal{U}}(U)^*\mathcal{F}_{\mathcal{U}}(U)$ . Let  $W = \mathcal{F}_{\mathcal{U}}(U)$ ,  $V = \mathcal{F}_{\mathcal{U}}(U^*)$ . We have proved that  $VW = W^*W$ . Similarly working with the partial isometry  $U^*$  we obtain  $WV = V^*V$ . Now we have  $V = \mathcal{F}_{\mathcal{U}}(U^*) = \mathcal{F}_{\mathcal{U}}(U^*UU^*) = VWV$ . It follows that  $V = W^*WV \Rightarrow V^* = V^*W^*W = V^*VW = WVW = \mathcal{F}_{\mathcal{U}}(UU^*U) = \mathcal{F}_{\mathcal{U}}(U) = W$  or equivalently  $\mathcal{F}_{\mathcal{U}}(U^*) = \mathcal{F}_{\mathcal{U}}(U)^*$ .  $\square$ 

**Theorem 2.10.** The functor  $\mathcal{F}_{\mathcal{U}}: {}_{A}\mathfrak{DM} \to {}_{B}\mathfrak{DM}$  is a \*-functor.

**Proof.** Let  $T \in \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2)$  with polar decomposition T = U|T|. Observe that  $(|T| + \epsilon I)^{-1} \in \alpha_1(\Delta(\mathcal{A}))'$  for every  $\epsilon > 0$ . Since  $U = w^* - \lim_{\epsilon \to 0} T(|T| + \epsilon I)^{-1}$  it follows that  $U \in \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2)$ . The map

$$\mathcal{F}_{\mathcal{U}}: \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_1) = \alpha_1(\Delta(\mathcal{A}))' \to \beta_1(\Delta(\mathcal{B}))' = \operatorname{Hom}_{\mathcal{B}}^{\mathfrak{D}}(\mathcal{F}_{\mathcal{U}}(H_1), \mathcal{F}_{\mathcal{U}}(H_1))$$

is an algebraic homomorphism between von Neumann algebras. We also proved in Theorem 2.8 that it is a contraction. It follows that it is a \*-homomorphism. Therefore  $\mathcal{F}_{\mathcal{U}}(|T|) \geq 0$ . Using the previous lemma we obtain

$$\mathcal{F}_{\mathcal{U}}(T^*) = \mathcal{F}_{\mathcal{U}}(|T|U^*) = \mathcal{F}_{\mathcal{U}}(|T|)\mathcal{F}_{\mathcal{U}}(U^*)$$
$$= \mathcal{F}_{\mathcal{U}}(|T|)\mathcal{F}_{\mathcal{U}}(U)^* = (\mathcal{F}_{\mathcal{U}}(U)\mathcal{F}_{\mathcal{U}}(|T|))^* = \mathcal{F}_{\mathcal{U}}(T)^*. \quad \Box$$

#### 3. Equivalence functors

In this section we prove that every functor  $\mathcal{F}$  implementing the equivalence of Theorem 1.3 is equivalent to a functor of the form  $\mathcal{F}_{\mathcal{U}}$  for some  $\mathcal{B}$ ,  $\mathcal{A}$  bimodule  $\mathcal{U}$  and we also prove that  $\mathcal{F}$  is normal and completely isometric.

Throughout this section we fix unital dual operator algebras  $\mathcal{A}$ ,  $\mathcal{B}$  and a functor  $\mathcal{F}$  implementing the equivalence of Theorem 1.3. We choose an  $\mathcal{A}$ -universal object ( $H_0$ ,  $\alpha_0$ ). Suppose that ( $\mathcal{F}(H_0)$ ,  $\beta_0$ ) is the corresponding object which is  $\mathcal{B}$ -universal (Corollary 1.5.) By the proof of Theorem 1.3 (Section 1) the map

$$\mathcal{F}: \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_0, H_0) = \alpha_0(\Delta(\mathcal{A}))' \to \beta_0(\Delta(\mathcal{B}))' = \operatorname{Hom}_{\mathcal{B}}^{\mathfrak{D}}(\mathcal{F}(H_0), \mathcal{F}(H_0))$$

is a \*-isomorphism with the property  $\mathcal{F}(\alpha_0(\mathcal{A})') = \beta_0(\mathcal{B})'$ , the space

$$\mathcal{M} = \{ M \in \mathcal{B}(H_0, \mathcal{F}(H_0)) : MF = \mathcal{F}(F)M \text{ for all } F \in \alpha_0(\Delta(\mathcal{A}))' \}$$

is an essential TRO and the algebras  $\alpha_0(\mathcal{A})$ ,  $\beta_0(\mathcal{B})$  are TRO equivalent via the space  $\mathcal{M}$ . We denote by  $\mathcal{U}$  and  $\mathcal{V}$  the spaces

$$\mathcal{U} = [\mathcal{M}\alpha_0(\mathcal{A})]^{-w^*}, \qquad \mathcal{V} = [\alpha_0(\mathcal{A})\mathcal{M}^*]^{-w^*}$$

which satisfy the following relations:

$$\beta_0(\mathcal{B})\mathcal{U}\alpha_0(\mathcal{A}) \subset \mathcal{U}, \qquad \alpha_0(\mathcal{A})\mathcal{V}\beta_0(\mathcal{B}) \subset \mathcal{V}, \quad [\mathcal{V}\mathcal{U}]^{-w^*} = \alpha_0(\mathcal{A}), \quad [\mathcal{U}\mathcal{V}]^{-w^*} = \beta_0(\mathcal{B}).$$

As in Section 2 we define a functor  $\mathcal{F}_{\mathcal{U}}:_{\mathcal{A}}\mathfrak{M}\to_{\mathcal{B}}\mathfrak{M}$  which has a  $\Delta$ -extension. In the rest of this section for every  $(H,\alpha)\in_{\mathcal{A}}\mathfrak{M}$  we identify the element  $T\otimes x$  with its image in  $\mathcal{F}_{\mathcal{U}}(H)$  (see Section 2). Also we identify the algebra  $\alpha_0(\mathcal{A})$  with  $\mathcal{A}$  and the algebra  $\beta_0(\mathcal{B})$  with  $\mathcal{B}$ .

**Lemma 3.1.** (i) The map  $T \otimes x \to T(x)$   $T \in \mathcal{U}$ ,  $x \in H_0$  extends to a unitary  $U : \mathcal{F}_{\mathcal{U}}(H_0) \to \mathcal{F}(H_0)$  which belongs to the space  $\text{Hom}_{\mathcal{B}}(\mathcal{F}_{\mathcal{U}}(H_0), \mathcal{F}(H_0))$ .

(ii) For all  $F \in \text{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_0, H_0)$  the equality  $U\mathcal{F}_{\mathcal{U}}(F) = \mathcal{F}(F)U$  holds.

**Proof.** (i) For all  $T_1, \ldots, T_m \in \mathcal{U}, x_1, \ldots, x_m \in H_0$  we have

$$\left\| \sum_{j=1}^{m} T_j \otimes x_j \right\|_{\mathcal{F}_{\mathcal{U}}(H_0)} = \sup_{S \in \text{Ball}(M_{n,1}(\mathcal{V})), n \in \mathbb{N}} \left\| \sum_{j=1}^{m} ST_j(x_j) \right\|_{H_0^{(n)}} \le \left\| \sum_{j=1}^{m} T_j(x_j) \right\|_{\mathcal{F}(H_0)}.$$

For arbitrary  $\epsilon > 0$  there exist (Lemma 2.1) partial isometries  $V_1, \ldots, V_n \in \mathcal{M}$  such that the operator  $\sum_{i=1}^n V_i V_i^*$  is a projection and

$$\left\| \sum_{j=1}^{m} T_{j}(x_{j}) \right\|_{\mathcal{F}(H_{0})} - \epsilon \leq \left\| \sum_{l=1}^{n} V_{l} V_{l}^{*} \sum_{j=1}^{m} T_{j}(x_{j}) \right\|_{\mathcal{F}(H_{0})}$$

$$\leq \left\| (V_{1}^{*}, \dots, V_{n}^{*})^{l} \sum_{j=1}^{m} T_{j}(x_{j}) \right\|_{H_{0}^{(n)}} \leq \left\| \sum_{j=1}^{m} T_{j} \otimes x_{j} \right\|_{\mathcal{F}_{\mathcal{U}}(H_{0})}.$$

It follows that  $\left\|\sum_{j=1}^{m} T_{j} \otimes x_{j}\right\|_{\mathcal{F}_{\mathcal{U}}(H_{0})} = \left\|\sum_{j=1}^{m} T_{j}(x_{j})\right\|_{\mathcal{F}(H_{0})}$ . So the map  $T \otimes x \to T(x)$ ,  $T \in \mathcal{U}$ ,  $x \in H_{0}$  extends to an isometry  $U : \mathcal{F}_{\mathcal{U}}(H_{0}) \to \mathcal{F}(H_{0})$ . Since  $[\mathcal{U}(H_{0})]^{-} = \mathcal{F}(H_{0})$  the image of U is dense in  $\mathcal{F}(H_{0})$ , so U is a unitary. We can easily check that  $U \in \operatorname{Hom}_{\mathcal{B}}(\mathcal{F}_{\mathcal{U}}(H_{0}), \mathcal{F}(H_{0}))$ .

(ii) Let  $F \in \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_0, H_0)$ . For every  $M \in \mathcal{M}, x \in H_0$  we have

$$(U\mathcal{F}_{\mathcal{U}}(F))(M\otimes x)=U(M\otimes F(x))=M(F(x))=\mathcal{F}(F)M(x)=(\mathcal{F}(F)U)(M\otimes x).$$

By Corollary 2.5 it follows that  $U\mathcal{F}_{\mathcal{U}}(F) = \mathcal{F}(F)U$ .  $\square$ 

The following lemma is analogous to [9, Proposition 4.9]. The proof is similar, using the  $\Delta$ -extension of the functors  $\mathcal{F}$ ,  $\mathcal{F}_{\mathcal{U}}$ .

**Lemma 3.2.** If  $\{H_j : j \in I\}$  are objects of  $_{\mathcal{A}}\mathfrak{M}$ , then there exist unitaries  $W \in \operatorname{Hom}_{\mathcal{B}}(\oplus_j \mathcal{F}(H_j), \mathcal{F}(\oplus_j H_j))$ , and  $V \in \operatorname{Hom}_{\mathcal{B}}(\oplus_j \mathcal{F}_{\mathcal{U}}(H_j), \mathcal{F}_{\mathcal{U}}(\oplus_j H_j))$ .

**Theorem 3.3.** The functors  $\mathcal{F}$ ,  $\mathcal{F}_{\mathcal{U}}$  are equivalent as functors between the categories  $_{\mathcal{A}}\mathfrak{M}$ ,  $_{\mathcal{B}}\mathfrak{M}$  and their  $\Delta$ -extensions are equivalent as \*-functors between the categories  $_{\mathcal{A}}\mathfrak{DM}$ ,  $_{\mathcal{B}}\mathfrak{DM}$ .

**Proof.** Since  $H_0$  is an A-universal object, it is also  $W^*(A)$ -universal (Section 1). Therefore, by [9, Proposition 1.1] for every  $K \in {}_A \mathfrak{M}$  there exists a set of indices  $J_K$ , projections

$$\{Q_i^K : i \in J_K\} \subset \operatorname{Hom}_{W^*(\mathcal{A})}(H_0, H_0) \subset \operatorname{Hom}_{\mathcal{A}}(H_0, H_0)$$

and a unitary

$$W_K \in \operatorname{Hom}_{W^*(\mathcal{A})}(K, \bigoplus_i Q_i^K(H_0)) \subset \operatorname{Hom}_{\mathcal{A}}(K, \bigoplus_i Q_i^K(H_0)).$$

Since the  $\Delta$ -extensions of  $\mathcal{F}$ ,  $\mathcal{F}_{\mathcal{U}}$  are \*-functors, the operators  $\mathcal{F}(W_K)$ ,  $\mathcal{F}_{\mathcal{U}}(W_K)$  are unitaries. By Lemma 3.2 we can view  $\mathcal{F}_{\mathcal{U}}(W_K)$  as an element

$$\mathcal{F}_{\mathcal{U}}(W_K) \in \operatorname{Hom}_{\mathcal{B}}(\mathcal{F}_{\mathcal{U}}(K), \oplus_i \mathcal{F}_{\mathcal{U}}(Q_i^K(H_0)))$$

and

$$\mathcal{F}(W_K) \in \operatorname{Hom}_{\mathcal{B}}(\mathcal{F}(K), \bigoplus_i \mathcal{F}(Q_i^K(H_0))).$$

Lemma 3.1, ii, shows that  $U\mathcal{F}_{\mathcal{U}}(Q_i^K) = \mathcal{F}(Q_i^K)U$ . Thus the operator

$$U_i^K = U|_{\mathcal{F}_{\mathcal{U}}(\mathcal{O}_i^K(H_0))} : \mathcal{F}_{\mathcal{U}}(\mathcal{Q}_i^K(H_0)) \to \mathcal{F}(\mathcal{Q}_i^K(H_0))$$

is a unitary for all  $i \in J_K$ . So we can define the unitary

$$V_K = \mathcal{F}(W_K^*)(\bigoplus_i U_i^K)\mathcal{F}_{\mathcal{U}}(W_K) \in \operatorname{Hom}_{\mathcal{B}}(\mathcal{F}_{\mathcal{U}}(K), \mathcal{F}(K)) \subset \operatorname{Hom}_{\mathcal{B}}^{\mathfrak{D}}(\mathcal{F}_{\mathcal{U}}(K), \mathcal{F}(K)).$$

As in the proof of [9, Proposition 5.4] we can prove that the unitaries  $\{V_K : K \in {}_{\mathcal{A}}\mathfrak{M}\}$  implement both the required equivalences.  $\square$ 

**Definition 3.1.** Let  $A_1, B_1$  be unital dual operator algebras. A functor  $\mathcal{G}: A_1 \mathfrak{M} \to B_1 \mathfrak{M}$  is called **completely isometric (resp. normal)** if for every pair of objects  $H_1, H_2$  the map  $\mathcal{G}: \operatorname{Hom}_{A_1}(H_1, H_2) \to \operatorname{Hom}_{B_1}(\mathcal{G}(H_1), \mathcal{G}(H_2))$  is a complete isometry (resp.  $w^*$ -continuous). And similarly for a functor  $\mathcal{G}: A_1 \mathfrak{DM} \to B_1 \mathfrak{DM}$ .

**Lemma 3.4.** The functor  $\mathcal{F}_{\mathcal{U}}: {}_{\mathcal{A}}\mathfrak{DM} \to {}_{\mathcal{B}}\mathfrak{DM}$  is normal.

**Proof.** Let  $H_1, H_2 \in_{\mathcal{A}} \mathfrak{M}$ . We have proved in Theorem 2.8 that  $\|\mathcal{F}_{\mathcal{U}}(F)\| \leq \|F\|$  for all  $F \in \operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2)$ . So it suffices to show that if  $(F_i)$  is a bounded net of the space  $\operatorname{Hom}_{\mathcal{A}}(H_1, H_2)$  which converges in the weak operator topology to 0 then the net  $(\mathcal{F}_{\mathcal{U}}(F_i))$  converges in the weak operator topology to 0 too. We recall from Section 2 the contractions  $\theta_S : \mathcal{F}_{\mathcal{U}}(H_2) \to H_{2,S}$  and the quotient maps  $\pi, \pi_S$  where  $S \in \operatorname{Ball}(M_{n,1}(\mathcal{V})), n \in \mathbb{N}$ . If  $M \in \mathcal{M}, x \in H_1, T \in \mathcal{U}$  and  $y \in H_2$  then

$$\begin{aligned} \left\langle \mathcal{F}_{\mathcal{U}}(F_i)(\pi(M \otimes x)), \theta_S^*(\pi_S(T \otimes y)) \right\rangle_{\mathcal{F}_{\mathcal{U}}(H_2)} &= \left\langle \theta_S(\pi(M \otimes F_i(x))), \pi_S(T \otimes y) \right\rangle_{H_{2,S}} \\ &= \left\langle \pi_S(M \otimes F_i(x)), \pi_S(T \otimes y) \right\rangle_{H_{2,S}} &= \left\langle \alpha_2(SM)F_i(x), \alpha_2(ST)(y) \right\rangle \to 0 \end{aligned}$$

We recall from Lemma 2.3 that

$$\mathcal{F}_{\mathcal{U}}(H_2) = [\theta_S^*(\pi_S(T \otimes y)) : S \in \text{Ball}(M_{m,1}(\mathcal{V})), m \in \mathbb{N}, T \in \mathcal{U}, y \in H_2]^-$$

and from Corollary 2.5 that the space  $\pi(\mathcal{M} \otimes H_1)$  is dense in  $\mathcal{F}_{\mathcal{U}}(H_1)$ . Since the net  $(\mathcal{F}_{\mathcal{U}}(F_i))$  is bounded it follows that  $(\mathcal{F}_{\mathcal{U}}(F_i)(z), \xi) \to 0$  for all  $z \in \mathcal{F}_{\mathcal{U}}(H_1), \xi \in \mathcal{F}_{\mathcal{U}}(H_2)$ .

**Lemma 3.5.** The functor  $\mathcal{F}_{\mathcal{U}}: {}_{\Delta}\mathfrak{DM} \to {}_{\mathcal{B}}\mathfrak{DM}$  is completely isometric.

**Proof.** Let  $(H_1, \alpha_1)$ ,  $(H_2, \alpha_2) \in_{\mathcal{A}} \mathfrak{M}$  and  $(F_{ij}) \in M_n(\operatorname{Hom}_{\mathcal{A}}^{\mathfrak{D}}(H_1, H_2))$  for  $n \in \mathbb{N}$ . Fix vectors  $z_j = \sum_{k=1}^{m_j} M_k^j \otimes x_k^j \in \mathcal{M} \otimes H_1$ ,  $j = 1, \ldots, n$ , and denote by z the vector  $(z_1, \ldots, z_n)^t$ . Then

$$\|(\mathcal{F}_{\mathcal{U}}(F_{ij}))(z)\|^{2} = \sum_{i=1}^{n} \left\| \sum_{j=1}^{n} \sum_{k=1}^{m_{j}} M_{k}^{j} \otimes F_{ij}(x_{k}^{j}) \right\|_{\mathcal{F}_{\mathcal{U}}(H_{2})}^{2}.$$

For  $\epsilon > 0$  by Proposition 2.2 there exist  $r \in \mathbb{N}$  and  $S_i = (S_1^i, \dots, S_r^i)^t \in \text{Ball}(M_{r,1}(\mathcal{M}^*)), i = 1, \dots, n$ , such that

$$\left\| (\mathcal{F}_{\mathcal{U}}(F_{ij}))(z) \right\|^{2} - \epsilon \leq \sum_{i=1}^{n} \left\| \sum_{j=1}^{n} \sum_{k=1}^{m_{j}} \alpha_{2}(S_{i}M_{k}^{j}) F_{ij}(x_{k}^{j}) \right\|_{H_{2}^{r}}^{2} = \sum_{i=1}^{n} \sum_{l=1}^{r} \left\| \sum_{j=1}^{n} \sum_{k=1}^{m_{j}} \alpha_{2}(S_{l}^{i}M_{k}^{j}) F_{ij}(x_{k}^{j}) \right\|_{H_{2}}^{2}$$

$$= \sum_{i=1}^{n} \sum_{l=1}^{r} \left\| \sum_{j=1}^{n} \sum_{k=1}^{m_{j}} F_{ij} \alpha_{1}(S_{l}^{i}M_{k}^{j})(x_{k}^{j}) \right\|_{H_{2}}^{2} = \sum_{l=1}^{r} \left\| (F_{ij}) \left[ \sum_{k=1}^{m_{1}} \alpha_{1}(S_{l}^{1}M_{k}^{1})(x_{k}^{1}) \right] \right\|_{H_{2}^{r}}^{2}$$

$$= \sum_{i=1}^{n} \sum_{l=1}^{r} \left\| \sum_{j=1}^{n} \sum_{k=1}^{m_{j}} F_{ij} \alpha_{1}(S_{l}^{i}M_{k}^{j})(x_{k}^{j}) \right\|_{H_{2}^{r}}^{2}$$

$$\leq \|(F_{ij})\|^{2} \sum_{l=1}^{r} \left\| \left[ \sum_{k=1}^{m_{1}} \alpha_{1}(S_{l}^{1}M_{k}^{1})(x_{k}^{1}) \right] \right\|_{H_{1}^{n}}^{2} = \|(F_{ij})\|^{2} \sum_{i=1}^{n} \left\| \sum_{k=1}^{m_{i}} \alpha_{1}(S_{i}M_{k}^{i})(x_{k}^{i}) \right\|_{H_{1}^{n}}^{2}$$

$$\leq \|(F_{ij})\|^{2} \sum_{i=1}^{n} \|z_{i}\|_{\mathcal{F}_{\mathcal{U}}(H_{1})}^{2} = \|(F_{ij})\|^{2} \|z\|_{\mathcal{F}_{\mathcal{U}}(H_{1})^{n}}^{2}.$$

Since  $\epsilon$  was arbitrary we have  $\|(\mathcal{F}_{\mathcal{U}}(F_{ij}))(z)\| \leq \|(F_{ij})\|\|z\|$  for all  $z \in M_{n,1}(\mathcal{M} \otimes H_1)$ . From Corollary 2.5 it follows that  $\|(\mathcal{F}_{\mathcal{U}}(F_{ij}))\| \leq \|(F_{ij})\|$ . By Theorem 3.3,  $\mathcal{F}_{\mathcal{U}}$  is an equivalence functor; hence there is a functor  $\mathcal{G}$  such that  $\mathcal{G} \circ \mathcal{F}_{\mathcal{U}}$  is equivalent to the identity functor. As above we see that  $\mathcal{G}$  can be taken of the form  $\mathcal{G}_{\mathcal{W}}$  for a suitable bimodule  $\mathcal{W}$ . Hence the reverse inequality follows.  $\square$ 

Combining Lemma 3.4, 3.5 and Theorem 3.3 we obtain the next theorem:

**Theorem 3.6.** Every functor implementing the equivalence of Theorem 1.3 is a normal and completely isometric functor.

## Concluding remarks

- 1. In a companion paper [7] we show that every functor implementing the equivalence of Theorem 1.3 maps completely isometric representations to completely isometric representations and reflexive algebras to reflexive algebras. Also we present examples of  $\Delta$ -equivalent and  $\Delta$ -inequivalent CSL algebras.
- 2. The original proof (see ArXiv:math.OA/0607489v.3) of one direction of Theorem 1.3 (if the algebras have completely isometric normal representations with TRO equivalent images then they are  $\Delta$ -equivalent) was by proving that the functor  $\mathcal{F}_{\mathcal{U}}$ , constructed in Section 2, is an equivalence functor. After this work was submitted, the present author and V.I. Paulsen proved in [8] that TRO equivalent algebras are stably isomorphic. We thank the referee for suggesting that we use this result to shorten our original proof.

# Acknowledgments

I would like to express appreciation to A. Katavolos for his helpful comments and suggestions during the preparation of this work, which is part of my doctoral thesis. Thanks are also due to V. Paulsen for useful discussions. This research was partly supported by Special Account Research Grant No. 70/3/7463 of the University of Athens. A preliminary version of these results was presented by A. Katavolos in the Operator Algebra Workshop held at Queen's University Belfast in May 2006.

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