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## Free Subproducts and Free Scaled Products of $\text{II}_1$ -Factors

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The constructions of free subproducts of von Neumann algebras and free scaled products are introduced, and results about them are proved, including rescaling results and results about free trade in free scaled products. © 2002 Elsevier Science (USA)

*Key Words:* von Neumann algebra; free products.

### 0. INTRODUCTION

The rescaling  $\mathcal{M}_t$  of a  $\text{II}_1$ -factor  $\mathcal{M}$  by a positive number  $t$  was introduced by Murray and von Neumann [8]. In the paper [6], Rădulescu and the author showed that if  $\mathcal{Q}(1), \dots, \mathcal{Q}(n)$  are  $\text{II}_1$ -factors ( $n \in \{2, 3, \dots\}$ ) and if  $0 < t < \sqrt{1 - 1/n}$  then

$$(\mathcal{Q}(1) * \dots * \mathcal{Q}(n))_t \cong \mathcal{Q}(1)_t * \dots * \mathcal{Q}(n)_t * L(\mathbf{F}_r), \quad (1)$$

where  $r = (n - 1)(t^{-2} - 1)$ . Here  $L(\mathbf{F}_r)$ , ( $r > 1$ ), is an interpolated free group factor [2, 9]. In note [7], we defined the RHS of (1) for any  $1 - n < r \leq \infty$ . Several natural formulae were shown to hold, including

$$(\mathcal{Q}(1) * \dots * \mathcal{Q}(n) * L(\mathbf{F}_r))_t \cong \mathcal{Q}(1)_t * \dots * \mathcal{Q}(n)_t * L(\mathbf{F}_{t^{-2}r + (n-1)(t^{-2}-1)})$$

$$(1 - n < r \leq \infty, \quad 0 < t < \infty).$$

This paper will study what we call *free subproducts* of von Neumann algebras,

$$\mathcal{M} = \mathcal{N} *_{i \in I} [t_i, \mathcal{Q}(i)].$$

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Here we have a *coefficient algebra*  $\mathcal{N}$  which must be a  $\text{II}_1$ -factor, additional von Neumann algebras  $\mathcal{Q}(i)$  with specified normal faithful tracial states and  $0 < t_i \leq 1$ . Loosely speaking, each  $\mathcal{Q}(i)$  is added (freely) with support projection  $p_i \in \mathcal{N}$ , where the trace of  $p_i$  equals  $t_i$ . (See Section 3 for details.) We prove a number results about free subproducts when all the  $\mathcal{Q}(i)$  are  $\text{II}_1$ -factors, including (Theorem 3.10)

$$\mathcal{N} \underset{i=1}{*}^n [t(i), \mathcal{Q}(i)] \cong \mathcal{N} * \mathcal{Q}(1) \underset{t(1)}{\perp} * \cdots * \mathcal{Q}(n) \underset{t(n)}{\perp} * L(\mathbf{F}_r),$$

where  $r = -n + \sum_{i=1}^n t(i)^2$ , and (Theorem 3.11) if  $\mathcal{N} \cong \mathcal{N} * L(\mathbf{F}_\infty)$  or  $\mathcal{Q}(i) \cong \mathcal{Q}(i) * L(\mathbf{F}_\infty)$  for some  $i$  then

$$\mathcal{N} \underset{i=1}{*}^\infty [t_i, \mathcal{Q}(i)] \cong \mathcal{N} * \left( \underset{i=1}{*}^\infty \mathcal{Q}(i) \underset{t(i)}{\perp} \right).$$

We then turn to compressions and rescalings of free subproducts of  $\text{II}_1$ -factors. In order to elegently express the rescaling of a free subproduct, we define

$$\mathcal{M} = \mathcal{N} \underset{i \in I}{*} [t_i, \mathcal{Q}(i)], \tag{2}$$

where every  $\mathcal{Q}(i)$  is a  $\text{II}_1$ -factor and where  $0 < t_i < \infty$ . This generalization of the free subproduct is called the *free scaled product*. Analogues of the above-mentioned results hold for free scaled products. We also prove the rescaling result (Theorem 4.9)

$$\left( \mathcal{N} \underset{i \in I}{*} [t(i), \mathcal{Q}(i)] \right)_s \cong \mathcal{N}_s \underset{i \in I}{*} \left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right].$$

We then introduce the technique we call *free trade* in a free scaled product of  $\text{II}_1$ -factors. This allows, in a free scaled product,

$$(\mathcal{N} * L(\mathbf{F}_r)) \underset{i \in I}{*} [t_i, \mathcal{Q}(i)],$$

increasing some  $t_i$  at the cost of decreasing  $r$ , or increasing  $r$  at the cost of decreasing some  $t_i$ . Of course, some  $t_i$  can increase while another decreases and  $r$  remains constant. Using free trade, we prove (Theorem 5.5(i)) that

$$\mathcal{N} \underset{n=1}{*}^\infty [t(n), \mathcal{Q}(n)] \cong \mathcal{N} * \left( \underset{n=1}{*}^\infty \mathcal{Q}(n) \underset{t(n)}{\perp} \right). \tag{3}$$

holds for a free scaled product whenever  $\sum_{n=1}^\infty t(n)^2 = \infty$ . We also show that isomorphism of free group factors is equivalent to isomorphism (3) holding for free scaled products in general.

Rescaled free products and free subproducts can arise quite naturally in von Neumann algebras whose definitions involve freeness. For example, the results of this paper are used in [4] to describe certain subfactors of free product factors. In proving isomorphism theorems involving free subproducts and free scaled products (2) and rescalings of them, we are careful to keep track of how the algebra  $\mathcal{N}$  and its compressions are embedded in the free scaled products. Although this requires considerable extra effort, the results are important for this paper's development and for applications.

In Section 1, the notation we use for von Neumann algebras with specified traces is laid out and results from [1] about free products of certain classes of von Neumann algebras with respect to traces are reviewed. This section includes a discussion of the heuristic quantity "*free dimension*", which was introduced in [1] and is useful for proving isomorphisms involving free products of von Neumann algebras from a certain class. We also introduce a minor modification of notation for free dimension, which corrects a misleading aspect of the old notation, and which we will use here and in the future.

In Section 2, the rescaling of free products of  $\text{II}_1$ -factors is revisited and related results are proved. In Section 3, free subproducts of von Neumann algebras are defined and a number of facts about them are proved. In Section 4, free scaled products are introduced and used to describe rescalings of free subproducts of  $\text{II}_1$ -factors. In Section 5, the technique of free trade in free scaled products is developed.

## 1. INTERPOLATED FREE GROUP FACTORS AND FREE DIMENSION

In this section, we describe some notation for specifying tracial states on certain sorts of von Neumann algebras, and recall some results from [1] about free products of von Neumann algebras. We will also describe the heuristic notion of *free dimension*, which was introduced in [1] and which is a useful tool for describing the von Neumann algebras resulting from these free products. However, whether this free dimension is truly an invariant of von Neumann algebras is still an open question, depending on whether the free group factors are isomorphic to each other or not. We will describe this in more detail, and also make a strictly rigorous interpretation of our free dimension.

Let us begin by recalling that the family of interpolated free group factors  $L(\mathbf{F}_r)$ , ( $1 < r \leq \infty$ ), extending the family of usual free group factors  $L(\mathbf{F}_n)$ , ( $n \in \{2, 3, \dots, \infty\}$ ), was defined in [2, 9], these factors satisfy the rescaling

formula

$$L(\mathbf{F}_r)_t \cong L(\mathbf{F}_{1+t^{-2}(r-1)}), \quad (1 < r \leq \infty, 0 < t < \infty) \tag{4}$$

and their index behaves additively with respect to free products:

$$L(\mathbf{F}_r) * L(\mathbf{F}_s) \cong L(\mathbf{F}_{r+s}),$$

where the free product is taken with respect to the tracial states on  $L(\mathbf{F}_r)$  and  $L(\mathbf{F}_s)$ . From these isomorphism, it was shown in [2, 9] that the interpolated free group factors are either all isomorphic to each other or all mutually nonisomorphic; (however, assuming  $L(\mathbf{F}_r) \cong L(\mathbf{F}_s)$  for some  $1 < s < r \leq \infty$ , the isomorphism of  $L(\mathbf{F}_r) \cong L(\mathbf{F}_\infty)$  was shown by Rădulescu [9], and not in [2]).

The operation of free product for von Neumann algebras,

$$(\mathcal{M}, \phi) = (A, \phi_A) * (B, \phi_B), \tag{5}$$

defined by Voiculescu [10] (see also the book [13]) acts on the class of pairs  $(\mathcal{N}, \psi)$  of von Neumann algebras  $\mathcal{N}$  equipped with normal states  $\psi$ , whose GNS representations are faithful. In this paper, we will be concerned only with pairs  $(\mathcal{N}, \phi)$  where  $\psi$  is a faithful tracial state. Moreover, we will usually avoid writing the traces explicitly, using the notation  $\mathcal{M} = A * B$  instead of notation (5), with the understanding that the algebras  $A$  and  $B$  are equipped with specific traces and with  $\mathcal{M}$  inheriting the free product trace. We use the following conventions for specifying traces on von Neumann algebras:

- (a) Any  $\text{II}_1$ -factor is equipped with its unique tracial state.
- (b) Any matrix algebra  $M_n(\mathbf{C})$  is equipped with its unique tracial state.
- (c) For any discrete group  $G$ , its group von Neumann algebra  $L(G)$ , which is the strong-operator closure of the span of its left regular representation on  $\ell^2(G)$ , is equipped with its canonical tracial state,  $\tau_G(x) = \langle \delta_e, x\delta_e \rangle$ , where  $\delta_e \in \ell^2(G)$  is the characteristic function of the identity element of  $G$ .
- (d) If  $A$  is equipped with a tracial state  $\tau$  and if  $p \in A$  is a projection, then  $pAp$  is equipped with the renormalized tracial state  $\tau(p)^{-1}\tau|_{pAp}$ .
- (e) If  $A = A_1 \oplus A_2$  and if  $A_1$  and  $A_2$  are equipped with tracial states  $\tau_1$  and  $\tau_2$ , respectively, then each of the notations

$$A = \underset{\alpha}{A_1} \oplus A_2, \quad A = \underset{\alpha}{A_1} \oplus \underset{1-\alpha}{A_2} \quad \text{and} \quad A = \underset{1-\alpha}{A_1} \oplus \underset{\alpha}{A_2}$$

indicates that the direct sum of von Neumann algebras  $A = A_1 \oplus A_2$  is equipped with the tracial state

$$\tau((a_1, a_2)) = \alpha\tau_1(a_1) + (1 - \alpha)\tau_2(a_2).$$

Moreover, if  $A_i$  is equipped with tracial state  $\tau_i$  then the notation

$$A = A_1 \underset{\alpha_1}{\oplus} A_2 \underset{\alpha_2}{\oplus} \cdots \underset{\alpha_n}{\oplus} A_n,$$

where  $\alpha_j > 0$  and  $\alpha_1 + \cdots + \alpha_n = 1$ , indicates that the direct sum of von Neumann algebras  $A = A_1 \oplus \cdots \oplus A_n$  is equipped with the tracial state

$$\tau((a_1, \dots, a_n)) = \sum_{i=1}^n \alpha_i \tau_i(a_i),$$

and we use a similar notation for countably infinite direct sums.

Let  $\mathcal{F}$  be the class of all von Neumann algebras, equipped with specified faithful tracial states, that are either finite dimensional, hyperfinite, interpolated free group factors or direct sums of the form

$$\bigoplus_{i \in I} L(\mathbf{F}_{t_i}) \quad \text{or} \quad F \oplus \left( \bigoplus_{i \in I} L(\mathbf{F}_{t_i}) \right),$$

where  $I$  is finite or countably infinite and where  $F$  is either finite dimensional or hyperfinite. In [1], it was shown that whenever  $A, B \in \mathcal{F}$  and  $\dim(A) \geq 2$ ,  $\dim(B) \geq 3$ , then their free product  $\mathcal{M} = A * B$ , satisfies

$$\mathcal{M} \cong L(\mathbf{F}_r) \quad \text{or} \quad \mathcal{M} \cong L(\mathbf{F}_r) \oplus D, \tag{6}$$

where  $D$  is finite-dimensional von Neumann algebra. Moreover, an algorithm was proved to determine whether  $\mathcal{M}$  is a factor, and if it is not, to find  $D$  and the restriction of the free product trace to  $D$ . (This information in turn depends only on information about minimal projections in  $A$  and  $B$  and their traces.) In the proof of isomorphism (6), a value for the parameter  $r$  was also found, although it is not yet known whether this parameter has any meaning. The best way to describe the calculus for finding  $r$  is to use what we called “free dimension”, which we introduced in [1]. This was a quantity  $\text{fdim}(A)$ , ostensibly assigned to any von Neumann algebra with specified tracial state  $A$  belonging to the class  $\mathcal{F}$ , according to certain rules, which will be given below. One of the rules was “ $\text{fdim}(L(\mathbf{F}_t)) = t$ ”. However, the question of whether the free group factors are isomorphic to each other was and remains open. We pointed out that the assignment “ $\text{fdim}(L(\mathbf{F}_t)) = t$ ” does not lead to any mathematical contradiction, because the only valid use of free dimension computed using such assignments is to find the parameter  $r$  in (6), which is only meaningful if the free group factors are mutually nonisomorphic.

However, the valid objection has been raised that the notation “ $\text{fdim}(L(\mathbf{F}_t)) = t$ ” is extremely misleading. Therefore, we will modify our

notation around the heuristic quantity “free dimension”. Instead of writing “ $\text{fdim}(A) = t$ ” for a von Neumann algebra with specified tracial state  $A$  belonging to the class  $\mathcal{F}$ , we will write “ $A$  has a generating set of free dimension  $t$ ”. Then, with this convention, results of [1] give the following rules, which allow one to compute  $r$  in (6):

- (i) If  $A$  is a hyperfinite von Neumann algebra that is diffuse (i.e. having no minimal projections) then  $A$  has a generating set of free dimension 1.
- (ii) If  $A = M_n(\mathbf{C})$ ,  $n \in \mathbf{N}$ , then  $A$  has a generating set of free dimension  $1 - n^{-2}$ .
- (iii) If  $A$  is an interpolated free group factor then  $A \cong L(\mathbf{F}_t)$  if and only if  $A$  has a generating set of free dimension  $t$ .
- (iv) If

$$A = A_1 \oplus_{\alpha_1} \cdots \oplus_{\alpha_n} A_n \quad \text{or} \quad A = \bigoplus_{i=1}^{\infty} A_i$$

and if  $A_i$  has a generating set of free dimension  $t_i$ , then  $A$  has a generating set of free dimension

$$t = 1 + \sum_{i=1}^m \alpha_i^2(t_i - 1),$$

where  $m = n$  or  $m = \infty$ , respectively.

- (v) If

$$A = B_1 * \cdots * B_n \quad \text{or} \quad A = \bigast_{i=1}^{\infty} B_i$$

and if  $B_j$  has a generating set of free dimension  $t_j$  then  $A$  has a generating set of free dimension  $t = \sum_{i=1}^m t_i$ , where  $m = n$  or  $m = \infty$ , respectively.

We should point out that the phrase “has a generating set of free dimension” is intended to be used primarily as a code to help us in computations, not unlike the misleading code “ $\text{fdim}(A) = \dots$ ” that it replaces. Thus, for example, if

$$\mathcal{M} = \left( L(\mathbf{F}_2) \oplus_{1/2} \mathbf{C} \right) * L(\mathbf{F}_4),$$

then we note that  $\mathcal{M}$  has a generating set of free dimension 5, while from results of [1]  $\mathcal{M}$  must be an interpolated free group factor; hence  $\mathcal{M} \cong L(\mathbf{F}_5)$ . However, if we wish, we may correctly interpret the phrase to refer to actual generating sets of von Neumann algebras and, for certain classes of them, their (heuristic) free dimensions. We will refrain from explicating this interpretation in detail, but will observe that there is no

assertion that all generating sets of a von Neumann algebra to which a free dimension can be assigned will have the same free dimension.

The notion of free dimension as used in [1] and in this paper should not be confused with the *free entropy dimensions* which were defined by Voiculescu [11, 12]. While the former is only a heuristic device to help in intermediate calculations in order to obtain true statements about certain isomorphisms of von Neumann algebras, the latter are intrinsic quantities which are defined on  $n$ -tuples of self-adjoint elements in von Neumann algebras having specified traces.

## 2. RESCALINGS OF FREE PRODUCTS OF $\text{II}_1$ -FACTORS REVISITED

The paper [6], where the compression formula

$$\left( \begin{matrix} * \\ \text{\scriptsize } i \in I \end{matrix} A(i) \right)_t \cong \left( \begin{matrix} * \\ \text{\scriptsize } i \in I \end{matrix} A(i)_t \right) * L(\mathbf{F}_{(|I|-1)(t^{-2}-1)}) \tag{7}$$

was proved for  $\text{II}_1$ -factors  $A(i)$ , was concerned only with the isomorphism class of the compression. However, we will need to know that if  $p \in A(i_0)$  is a projection, then  $pA(i_0)p$  is itself freely complemented in  $p(\begin{matrix} * \\ \text{\scriptsize } i \in I \end{matrix} A(i))p$ . The purpose of the next lemma is to prove this by modifying the proof of formula (7) found in [6].

**THEOREM 2.1.** *Let  $I$  be a finite or countably infinite set and for each  $i \in I$  let  $A(i)$  be a  $\text{II}_1$ -factor. Let*

$$\mathcal{M} = \begin{matrix} * \\ \text{\scriptsize } i \in I \end{matrix} A(i).$$

*Single out some  $i_0 \in I$  and let  $p \in A(i_0)$  be a projection of trace  $t$ , where  $0 < t < 1$ . Then  $pA(i_0)p$  is freely complemented in  $p\mathcal{M}p$  by an algebra isomorphic to*

$$\left( \begin{matrix} * \\ \text{\scriptsize } i \in I \setminus \{i_0\} \end{matrix} A(i)_t \right) * L(\mathbf{F}_{(|I|-1)(t^{-2}-1)}). \tag{8}$$

*Proof.* If  $t = 1/n$  for some  $n \in \mathbb{N}$  then this follows directly from the proof of Lemma 1.1 of [6].

Suppose  $t$  is not a reciprocal integer. From the proof of Lemma 1.2 of [6],

$$p\mathcal{M}p = W^* \left( p\mathcal{N}p \cup pA(i_0)p \cup \bigcup_{i \in I \setminus \{i_0\}} u(i)^* A(i) u(i) \right),$$

where  $u(i) \in \mathcal{N}$  are some partial isometries with  $u(i)^*u(i) = p$  and  $u(i)u(i)^* \in A(i)$ . Moreover, the family

$$p\mathcal{N}p, pA(i_0)p, (u(i)^*A(i)u(i))_{i \in I \setminus \{i_0\}}$$

of subalgebras of  $p\mathcal{M}p$  is free over a common two-dimensional subalgebra

$$D = \mathbf{C} \oplus_r \mathbf{C},$$

and

$$p\mathcal{N}p \cong \begin{cases} L(\mathbf{F}_x) & \text{if } t \leq 1 - \frac{1}{2|I|}, \\ L(\mathbf{F}_w) \oplus \mathbf{C}_\alpha & \text{if } t > 1 - \frac{1}{2|I|}, \end{cases}$$

where

$$\begin{aligned} x &= (|I| - 1)(t^{-2} - 1) + 2|I|r(1 - r), \\ w &= 2 - (|I| + 1)(2|I| - 1)^{-2}, \\ \alpha &= 2|I| - (2|I| - 1)t^{-1}. \end{aligned}$$

Let

$$\mathcal{A} = *_{i \in I} \left( \mathbf{C} \oplus_{\substack{r \\ 1-r}} \mathbf{C} \right).$$

Note that  $\mathcal{A}$  has a generating set of free dimension  $2|I|r(1 - r)$ . We will find  $\mathcal{Q}$  such that  $p\mathcal{N}p \cong \mathcal{Q} * \mathcal{A}$ .

First suppose  $(|I| - 1)(t^{-2} - 1) \geq 1$ , i.e.

$$t \leq \sqrt{1 - \frac{1}{|I|}}.$$

Then  $t \leq 1 - \frac{1}{2|I|}$ , and it suffices to take

$$\mathcal{Q} = \begin{cases} L(\mathbf{F}_{(|I|-1)(t^{-2}-1)}) & \text{if } t < \sqrt{1 - \frac{1}{|I|}}, \\ R & \text{if } t = \sqrt{1 - \frac{1}{|I|}}, \end{cases}$$

where  $R$  is the hyperfinite  $\text{II}_1$ -factor.

Now suppose

$$\sqrt{1 - \frac{1}{|I|}} < t \leq 1 - \frac{1}{2|I|}.$$



Then,

$$\frac{1}{2|I|-1} \leq r < \sqrt{\frac{|I|}{|I|-1}} - 1, \tag{10}$$

so  $|I|r < 1$  and

$$\mathcal{A} \cong \begin{cases} L^\infty[0, 1] \otimes M_2(\mathbf{C}) \oplus \mathbf{C}_{1-2r} & \text{if } |I| = 2, \\ L(\mathbf{F}_v) \oplus \mathbf{C}_{1-|I|r} & \text{if } |I| \geq 3, \end{cases} \tag{11}$$

where  $v = (2|I| - 1)/|I|$ . If we can find  $\mathcal{Q}$  having a generating set of free dimension  $(|I| - 1)(t^{-2} - 1) = (|I| - 1)(r^2 + 2r)$  and such that  $\mathcal{Q}$  has no central and minimal projections of trace  $> |I|r$ , then we will have  $\mathcal{A} * \mathcal{Q} = L(\mathbf{F}_{(|I|-1)(r^2-1)+2|I|r(1-r)})$ , as required. Since

$$|I|r \geq \frac{|I|}{2|I|-1} > \frac{1}{2},$$

we can let

$$\mathcal{Q} = \mathcal{Q}(1) \oplus_{|I|r} \mathbf{C},$$

where  $\mathcal{Q}(1) \in \mathcal{F}$  has a generating set of the appropriate free dimension. We must show this is possible.  $\mathcal{Q}(1)$  must have generating set of free dimension  $t_1$ , where

$$(|I| - 1)(r^2 + 2r) = 1 - (|I|r)^2 + (1 - |I|r)^2(t_1 - 1).$$

Solving yields

$$t_1 = \frac{(2|I|^2 + |I| - 1)r^2 - 2r}{(1 - |I|r)^2}. \tag{12}$$

But the lower bound (10) gives that  $(2|I|^2 + |I| - 1)r^2 - 2r > 0$ . We can take

$$\mathcal{Q}(1) = L(\mathbf{F}_u) \oplus_{\gamma} \mathbf{C}$$

for suitable  $u > 1$  and  $\gamma > 0$  making (12) hold, and this yields  $p\mathcal{N}p \cong \mathcal{A} * \mathcal{Q}$ .

Finally, suppose

$$t > 1 - \frac{1}{2|I|}.$$

Then  $0 < r < 1/(2|I| - 1)$  and  $|I|r < 1$ , so (11) holds. In isomorphism (9),  $\alpha = 1 - (2|I| - 1)r$ . So letting

$$\mathcal{Q} = L\left(\mathbf{F}_{2+\frac{1}{|I|-1}}\right) \oplus_{1-(|I|-1)r} \mathbf{C}$$

we find that  $p\mathcal{N}p \cong \mathcal{A} * \mathcal{Q}$ .

Therefore, in every case we have

$$p\mathcal{N}p = W^*\left(F \cup \bigcup_{i \in I} \tilde{D}_i\right),$$

where  $F \in \mathcal{F}$  has generating set of free dimension  $(|I| - 1)(t^{-2} - 1)$  and with  $D \subseteq F$ , each  $\tilde{D}_i$  is a tracially identical copy of  $D$  and the family  $F, (\tilde{D}_i)_{i \in I}$  is free. Then

$$p\mathcal{M}p = W^*\left(\left(F \cup \tilde{D}_{i_0}\right) \cup pA(i_0)p \cup \bigcup_{i \in I \setminus \{i_0\}} (u(i)^*A(i)u(i) \cup \tilde{D}_i)\right)$$

and the family

$$W^*(F \cup \tilde{D}_{i_0}), pA(i_0)p, (W^*(u(i)^*A(i)u(i) \cup \tilde{D}_i))_{i \in I \setminus \{i_0\}}$$

is free over  $D$ . But  $\tilde{D}_{i_0}$  is in  $W^*(F \cup \tilde{D}_{i_0})$  both freely complemented by  $F$  and unitarily equivalent to  $D$ . Hence  $D$  is freely complemented in  $W^*(F \cup \tilde{D}_{i_0})$  by an algebra isomorphic to  $F$ . Similarly, as  $\tilde{D}_i$  is in  $W^*(u(i)^*A(i)u(i) \cup \tilde{D}_i)$  both freely complemented by an algebra isomorphic to  $A(i)_i$  and unitarily equivalent to  $D$ , we conclude that  $D$  is freely complemented in  $W^*(u(i)^*A(i)u(i) \cup \tilde{D}_i)$  by an algebra isomorphic to  $A(i)_i$ . Altogether, we have that  $pA(i_0)p$  is freely complemented in  $p\mathcal{N}p$  by an algebra isomorphic to algebra (8). ■

The following standard lemma will allow use of Theorem 2.1 in reverse (see Corollary 2.3). For completeness, we indicate a proof.

LEMMA 2.2. *Suppose  $\mathcal{N}$  is a  $\text{II}_1$ -factor,  $\mathcal{M}(1)$  and  $\mathcal{M}(2)$  are von Neumann algebras and  $\pi_k : \mathcal{N} \rightarrow \mathcal{M}(k)$ , ( $k = 1, 2$ ) are normal, unital  $*$ -homomorphisms. Let  $p \in \mathcal{N}$  be a nonzero projection and suppose there is an isomorphism*

$$\rho : \pi_1(p)\mathcal{M}(1)\pi_1(p) \xrightarrow{\sim} \pi_2(p)\mathcal{M}(2)\pi_2(p)$$

*such that  $\rho \circ \pi_1 \upharpoonright_{p\mathcal{N}p} = \pi_2 \upharpoonright_{p\mathcal{N}p}$ . Then there is an isomorphism  $\sigma : \mathcal{M}(1) \rightarrow \mathcal{M}(2)$  such that  $\sigma \circ \pi_1 = \pi_2$  and  $\sigma \upharpoonright_{\pi_1(p)\mathcal{M}(1)\pi_1(p)} = \rho$ .*

*Proof.* There is  $n \in \mathbf{N} \cup \{0\}$  and there are  $v_0, v_1, \dots, v_n$  such that  $\sum_{j=0}^n v_j^*v_j = 1$ ,  $v_0v_0^* \leq p$  and  $v_jv_j^* = p$  ( $1 \leq j \leq n$ ). Define  $\sigma$  by

$$\sigma(x) = \sum_{0 \leq i, j \leq n} \pi_2(v_i)^* \rho(\pi_1(v_i)x\pi_1(v_j)^*) \pi_2(v_j). \quad \blacksquare$$

COROLLARY 2.3. *Let  $\mathcal{N}$  be a  $\text{II}_1$ -factor unittally contained in a von Neumann algebra  $\mathcal{M}$  with fixed tracial state. If  $p \in \mathcal{N}$  is a projection of trace  $t$  and if  $p\mathcal{N}p$  is freely complemented in  $p\mathcal{M}p$  by an algebra which is trace-preservingly isomorphic to*

$$\left( \begin{array}{c} * \\ \text{\scriptsize } i \in I \end{array} A(i) \right) * L(\mathbf{F}_{n(t^{-2}-1)}),$$

for some  $\text{II}_1$ -factors  $A(i)$ , then  $\mathcal{N}$  is freely complemented in  $\mathcal{M}$  by an algebra isomorphic to

$$\begin{array}{c} * \\ \text{\scriptsize } i \in I \end{array} A(i) \mathbb{1}.$$

*Proof.* Let  $\pi: \mathcal{N} \rightarrow \mathcal{M}$  denote the inclusion. Let  $\tilde{\mathcal{M}} = \mathcal{N} * \left( \begin{array}{c} * \\ \text{\scriptsize } i \in I \end{array} A(i) \mathbb{1}_{1/I} \right)$  and let  $\tilde{\pi}: \mathcal{N} \rightarrow \tilde{\mathcal{M}}$  denote the embedding arising from the free product construction. By Theorem 2.1 and the hypothesis on  $p\mathcal{M}p$ , there is an isomorphism  $\rho: p\mathcal{M}p \xrightarrow{\sim} \tilde{\pi}(p)\tilde{\mathcal{M}}\tilde{\pi}(p)$  such that  $\rho \circ \pi|_{p\mathcal{N}p} = \tilde{\pi}|_{p\mathcal{N}p}$ . By Lemma 2.2,  $\rho$  extends to an isomorphism  $\sigma: \mathcal{M} \rightarrow \tilde{\mathcal{M}}$  such that  $\sigma \circ \pi = \tilde{\pi}$ . ■

Rădulescu and the author showed [7] that if  $A \in \mathcal{F}$  has a generating set of free dimension  $r$  and if  $\mathcal{N}$  is a  $\text{II}_1$ -factor then  $\mathcal{N} * A \cong \mathcal{N} * L(\mathbf{F}_r)$ . We now show that the resulting embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_r)$  is independent of the choice of the particular algebra  $A$ , so long as it has a generating set of free dimension  $r$ .

PROPOSITION 2.4. *Let  $\mathcal{N}$  be a  $\text{II}_1$ -factor and let  $A_1, A_2 \in \mathcal{F}$  have generating sets of the same free dimension  $r > 0$ . Let  $\mathcal{M}(i) = \mathcal{N} * A_i$  ( $i = 1, 2$ ). Then there is an isomorphism  $\mathcal{M}(1) \xrightarrow{\sim} \mathcal{M}(2)$  which intertwines the embeddings  $\mathcal{N} \hookrightarrow \mathcal{M}(i)$  arising from the free product construction.*

*Proof.* By [1], we may take  $k \in \mathbb{N}$  be so large that  $A_i * M_k(\mathbb{C})$  is isomorphic to the interpolated free group factor  $L(\mathbf{F}_{r+1-k-2})$  for both  $i = 1$  and  $2$ . Let  $(e_{ij})_{1 \leq i, j \leq k}$  be a system of matrix units in  $\mathcal{N}$  and let

$$\mathcal{P}(i) = W^*(\{e_{ij} \mid 1 \leq i, j \leq k\} \cup A_i) \subseteq \mathcal{M}(i).$$

Then,

$$\mathcal{M}(i) = W^*(\{e_{ij} \mid 1 \leq i, j \leq k\} \cup e_{11}\mathcal{N}e_{11} \cup e_{11}\mathcal{P}(i)e_{11}).$$

By [1, Theorem 1.2],  $e_{11}\mathcal{N}e_{11}$  and  $e_{11}\mathcal{P}(i)e_{11}$  are free. Choosing any isomorphism  $e_{11}\mathcal{P}(1)e_{11} \simeq e_{11}\mathcal{P}(2)e_{11}$  and taking the identity maps on  $e_{11}\mathcal{N}e_{11}$  and  $\{e_{ij} \mid 1 \leq i, j \leq k\}$ , we construct the desired isomorphism  $\mathcal{M}(1) \simeq \mathcal{M}(2)$ . ■

DEFINITION 2.5. Let  $\mathcal{N}$  be a  $\text{II}_1$ -factor and let  $r > 0$ . By the *canonical embedding*  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_r)$ , we will mean any inclusion such that the image of  $\mathcal{N}$  in  $\mathcal{N} * L(\mathbf{F}_r)$  is freely complemented by an algebra  $A$  which (together with the restriction of the trace) belongs to the class  $\mathcal{F}$  and has a generating set of free dimension  $r$ .

DEFINITION 2.6. Let us extend the notation  $\mathcal{N} * L(\mathbf{F}_r)$  to the case  $r = 0$ , defining  $\mathcal{N} * L(\mathbf{F}_0)$  to be  $\mathcal{N}$  and the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_0)$  to be the identity map.

### 3. FREE SUBPRODUCTS OF VON NEUMANN ALGEBRAS

What follows is the construction of the free subproduct. We have as a *coefficient algebra* a  $\text{II}_1$ -factor  $\mathcal{N}$  and we add, in a free manner, von Neumann algebras  $\mathcal{Q}(i)$  having with specified normal faithful tracial states at *projections* in  $\mathcal{N}$  with traces  $t_i$ .

PROPOSITION 3.1. *Let  $\mathcal{N}$  be a  $\text{II}_1$ -factor. Let  $I$  be a set and for every  $i \in I$  let  $\mathcal{Q}(i)$  be a von Neumann algebra with fixed normal, faithful, tracial state and let  $0 < t_i \leq 1$ . Then there is a von Neumann algebra  $\mathcal{M}$  with normal faithful tracial state  $\tau$ , unique up to trace-preserving isomorphism, with the property that*

$$\mathcal{M} = W^* \left( A \cup \bigcup_{i \in I} B_i \right),$$

where

- (i)  $A$  is a unital subalgebra of  $\mathcal{M}$  isomorphic to  $\mathcal{N}$ ;
- (ii) for all  $i \in I$ ,  $p_i \in B_i \subseteq p_i \mathcal{M} p_i$  for a projection  $p_i \in A$  having trace  $t_i$ , and there is a trace-preserving isomorphism  $B_i \simeq \mathcal{Q}(i)$ ;
- (iii) for all  $i \in I$ ,  $B_i$  and

$$p_i \left( W^* \left( A \cup \bigcup_{j \in I \setminus \{i\}} B_j \right) \right) p_i$$

are free with respect to  $t_i^{-1} \tau \upharpoonright_{p_i \mathcal{M} p_i}$ .

*Proof.* Let

$$\mathcal{P} = \mathcal{N} * \left( *_{i \in I} \left( \begin{array}{c} \mathbf{C} \\ 1-t_i \end{array} \oplus \begin{array}{c} \mathcal{Q}(i) \\ t_i \end{array} \right) \right). \quad (13)$$

Let  $\lambda_{\mathcal{N}} : \mathcal{N} \hookrightarrow \mathcal{P}$  and  $\lambda_i : \mathbf{C} \oplus \mathcal{Q}(i) \hookrightarrow \mathcal{P}$  be the embeddings arising from the free product construction. Let

$$\mathcal{P}(i) = W^*(\lambda_{\mathcal{N}}(\mathcal{N}) \cup \lambda_i(\mathbf{C} \oplus \mathbf{C})).$$

Then by [7, Proposition 4(ix)],  $\mathcal{P}(i)$  is the  $\text{II}_1$ -factor  $\mathcal{N} * L(\mathbf{F}_{2t_i(1-t_i)})$ . Let  $q_i = \lambda_i(0 \oplus 1) \in \mathcal{P}(i)$  and let  $v_i \in \mathcal{P}(i)$  be such that  $v_i v_i^* = q_i$  and  $p_i := v_i^* v_i \in \lambda_{\mathcal{N}}(\mathcal{N})$ . By [1, Theorem 1.2],  $\lambda_i(0 \oplus \mathcal{Q}(i))$  and

$$v_i \left( W^* \left( \mathcal{P}(i) \cup \bigcup_{j \in I \setminus \{i\}} \lambda_j(\mathbf{C} \oplus \mathcal{Q}(j)) \right) \right) v_i^*$$

are free. Let  $A = \lambda_{\mathcal{N}}(\mathcal{N})$ ,  $B_i = v_i^* \lambda_i(0 \oplus \mathcal{Q}(i)) v_i$ , let

$$\mathcal{M} = W^* \left( A \cup \bigcup_{i \in I} B_i \right)$$

and let  $\tau$  be the restriction of the free product trace on  $\mathcal{P}$  to  $\mathcal{M}$ . Then the pair  $(\mathcal{M}, \tau)$  satisfies the desired properties. Moreover, if the  $p_i$  are fixed then  $\mathcal{M}$  is clearly unique up to trace-preserving isomorphism. However, using partial isometries in  $A$ , the projections  $p_i \in A$  may be chosen arbitrarily so long as  $\tau(p_i) = t_i$ . This shows the desired uniqueness. ■

*Remark 3.2.* For future use note that if  $C_i = W^*(A \cup B_i)$  then the family  $(C_i)_{i \in I}$  is free over  $A$ , with respect to the trace-preserving conditional expectation  $\mathcal{M} \rightarrow A$ , which is the restriction of the canonical conditional expectation  $\mathcal{P} \rightarrow A = \lambda_{\mathcal{N}}(\mathcal{N})$  arising from the free product construction in (13).

**DEFINITION 3.3.** The von Neumann algebra  $\mathcal{M}$  of Proposition 3.1 will be called the *free subproduct* of  $\mathcal{N}$  with  $(\mathcal{Q}(i))_{i \in I}$  at projections of traces  $(t_i)_{i \in I}$ , and will be denoted

$$\mathcal{N} *_{i \in I} [t_i, \mathcal{Q}(i)]. \quad (14)$$

The inclusion  $A \hookrightarrow \mathcal{M}$  is called the *canonical embedding*

$$\mathcal{N} \hookrightarrow \mathcal{N} *_{i \in I} [t_i, \mathcal{Q}(i)].$$

The following variants of notation (14) may be used:

$$\mathcal{N} * [t_1, \mathcal{Q}(1)] \quad \text{if } I = \{1\},$$

$$\mathcal{N} \underset{i=1}{*}^n [t_i, \mathcal{Q}(i)] \quad \text{if } I = \{1, \dots, n\},$$

$$\mathcal{N} \underset{i=1}{*}^\infty [t_i, \mathcal{Q}(i)] \quad \text{if } I = \mathbf{N}.$$

*Remark 3.4.* Dimitri Shlyakhtenko has pointed out to us that the free subproduct

$$\mathcal{M} = \mathcal{N} * \underset{i \in I}{[t_i, \mathcal{Q}(i)]}$$

is isomorphic to the amalgamated free product

$$(*_{\mathcal{N}})_{i \in I} \left( \mathcal{N} *_{(\mathbf{C} \oplus \mathbf{C})} \left( \mathbf{C} \underset{1-t_i}{\oplus} \mathcal{Q}(i) \underset{t_i}{\oplus} \right) \right),$$

where all amalgamations are with respect to the trace-preserving conditional expectations and where the  $i$ th amalgamation over  $\mathbf{C} \oplus \mathbf{C}$  is with respect to the copy of  $\mathbf{C} \oplus \mathbf{C}$  in  $\mathbf{C} \oplus \mathcal{Q}(i)$  suggested by the notation (and any copy in  $\mathcal{N}$ ) having minimal projections of traces  $1 - t_i$  and  $t_i$ .

We will be primarily interested in free subproducts (14) where the  $\mathcal{Q}(i)$  are either  $\text{II}_1$ -factors or belong to the class of algebras  $\mathcal{F}$ . We begin, however, with a few easy properties of free subproducts.

**PROPOSITION 3.5.** *Let*

$$\mathcal{M} = \mathcal{N} * \underset{i \in I}{[t_i, \mathcal{Q}(i)]}$$

*be a free subproduct of von Neumann algebras.*

(A) If  $I = I_1 \cup I_2$  is a partition of  $I$  then there is an isomorphism

$$\mathcal{M} \xrightarrow{\sim} \left( \mathcal{N} * \underset{i \in I_1}{[t_i, \mathcal{Q}(i)]} \right) * \underset{i \in I_2}{[t_i, \mathcal{Q}(i)]}$$

*intertwining the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  with the composition of the canonical embeddings*

$$\mathcal{N} \hookrightarrow \mathcal{N} * \underset{i \in I_1}{[t_i, \mathcal{Q}(i)]}$$

and

$$\mathcal{N} *_{i \in I_1} [t_i, \mathcal{Q}(i)] \hookrightarrow \left( \mathcal{N} *_{i \in I_1} [t_i, \mathcal{Q}(i)] \right) *_{i \in I_2} [t_i, \mathcal{Q}(i)].$$

(B) If  $I_1 = \{i \in I \mid t_i = 1\}$  then there is an isomorphism

$$\mathcal{M} \xrightarrow{\sim} \left( \mathcal{N} * \left( *_{i \in I_1} \mathcal{Q}(i) \right) \right) *_{i \in I \setminus I_1} [t_i, \mathcal{Q}(i)]$$

intertwining the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  with the composition of the embedding

$$\mathcal{N} \hookrightarrow \mathcal{N} * \left( *_{i \in I_1} \mathcal{Q}(i) \right)$$

arising from the free product construction and the canonical embedding

$$\mathcal{N} * \left( *_{i \in I_1} \mathcal{Q}(i) \right) \hookrightarrow \left( \mathcal{N} * \left( *_{i \in I_1} \mathcal{Q}(i) \right) \right) *_{i \in I \setminus I_1} [t_i, \mathcal{Q}(i)].$$

(C) If

$$\mathcal{Q}(i) = \mathcal{N}(i) *_{j \in J_i} [s_j, \mathcal{P}(j)] \quad (i \in I)$$

for a family  $(J_i)_{i \in I}$  of pairwise disjoint sets,  $\Pi_1$ -factors  $\mathcal{N}(i)$  and von Neumann algebras  $\mathcal{P}(j)$ , then letting  $J = \bigcup_{i \in I} J_i$  and  $r_j = s_j t_i$  whenever  $j \in J_i$ , there is an isomorphism

$$\mathcal{M} \xrightarrow{\sim} \left( \mathcal{N} *_{i \in I} [t_i, \mathcal{N}(i)] \right) *_{j \in J} [r_j, \mathcal{P}(j)]$$

intertwining the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  with the composition of the canonical embeddings

$$\mathcal{N} \hookrightarrow \mathcal{N} *_{i \in I} [t_i, \mathcal{N}(i)]$$

and

$$\mathcal{N} *_{i \in I} [t_i, \mathcal{N}(i)] \hookrightarrow \left( \mathcal{N} *_{i \in I} [t_i, \mathcal{N}(i)] \right) *_{j \in J} [r_j, \mathcal{P}(j)].$$

(D) If  $\mathcal{M}(i)$  is a  $\text{II}_1$ -factor, ( $i \in \mathbb{N}$ ), if

$$\mathcal{M}(i+1) \cong \mathcal{M}(i) *_{j \in J_i} [t_j, \mathcal{Q}(j)]$$

with  $(J_i)_{i \in \mathbb{N}}$  a family of pairwise disjoint sets and if  $\pi_i : \mathcal{M}(i) \hookrightarrow \mathcal{M}(i+1)$  is the canonical embedding then letting

$$\mathcal{M} = \varinjlim_i (\mathcal{M}(i), \pi_i)$$

be the inductive limit, we have

$$\mathcal{M} \cong \mathcal{M}(1) *_{j \in J} [t_j, \mathcal{Q}(j)].$$

PROPOSITION 3.6. *Let*

$$\mathcal{M} = \mathcal{N} *_{i \in I} [t_i, \mathcal{Q}(i)]$$

be any free subproduct. Then  $\mathcal{M}$  is a  $\text{II}_1$ -factor.

*Proof.* By the results of [3], the free product of a  $\text{II}_1$ -factor with any von Neumann algebra is a factor. Hence if  $|I| = 1$  then  $\mathcal{M}$  is a factor. By induction, it follows that  $\mathcal{M}$  is a factor whenever  $I$  is finite.

For  $I$  infinite, factoriality of  $\mathcal{M}$  can be proved by transfinite induction on the cardinality of  $I$ . Let  $<$  be a well-ordering of  $I$  with the order structure of the least ordinal having the same cardinality as  $I$ . Given  $k \in I$ , let  $I(k) = \{i \in I \mid i < k\} \cup \{k\}$  and let  $\mathcal{M}(k) = W^*(A \cup \bigcup_{i \in I(k)} B_i)$ . Then

$$\mathcal{M}(k) \cong \mathcal{N} *_{i \in I(k)} [t_i, \mathcal{Q}(i)].$$

By the induction hypothesis, each  $\mathcal{M}(k)$  is a  $\text{II}_1$ -factor. As

$$\mathcal{M} = \overline{\bigcup_{k \in I} \mathcal{M}(k)},$$

it follows that  $\mathcal{M}$  is a factor. ■

The following lemma prepares us to consider the case of a free subproduct  $\mathcal{N} *_{i \in I} [t_i, \mathcal{Q}(i)]$  where  $\mathcal{Q}(i) \in \mathcal{F}$  for all  $i \in I$ . Although we are concerned in this paper only with von Neumann algebra free products with traces, it seems expedient for possible future use to prove the lemma for free products with respect to states.



LEMMA 3.7. *Let  $(\mathcal{M}, \phi) = (\mathcal{N}, \psi) * (F, \rho)$  be a free product of von Neumann algebras, where  $\psi$  and  $\rho$  are normal states. Suppose that in the centralizer  $\mathcal{N}_\psi$  of  $\psi$  in  $\mathcal{N}$ , there are projections  $p_k$  ( $k \in K$ ) such that  $\sum_{k \in K} p_k = 1$ . For every  $k \in K$  let  $n(k) \in \mathbf{N}$  and suppose  $(e_{ij}^{(k)})_{1 \leq i, j \leq n(k)}$  is a system of matrix units in  $\mathcal{N}_\psi$  such that  $\sum_{i=1}^{n(k)} e_{ii}^{(k)} = p_k$ . Let  $q = \sum_{k \in K} e_{11}^{(k)}$ . Let*

$$\mathcal{P} = W^*\left(\{e_{ij}^{(k)} \mid k \in K, 1 \leq i, j \leq n(k)\} \cup F\right) \subseteq \mathcal{M}.$$

*Let  $D = \overline{\text{span}}^w \{e_{11}^{(k)} \mid k \in K\}$ . Then  $q\mathcal{P}q$  and  $q\mathcal{N}q$  are free over  $D$ , with respect to the  $\phi$ -preserving conditional expectation  $E : q\mathcal{M}q \rightarrow D$ .*

*Proof.* In order to prove freeness over  $D$  of  $q\mathcal{P}q$  and  $q\mathcal{N}q$ , it will suffice to show

$$\Lambda^\circ(q\mathcal{P}q \cap \ker E, q\mathcal{N}q \cap \ker E) \subseteq \ker \phi, \tag{15}$$

where for subsets  $X$  and  $Y$  of an algebra,  $\Lambda^\circ(X, Y)$  is the set of all words which are products  $a_1 a_2 \dots a_n$ , of elements  $a_j \in X \cup Y$ , satisfying  $a_j \in X \Leftrightarrow a_{j+1} \in Y$ .

Let  $\mathcal{P}^\circ = \mathcal{P} \cap \ker \phi$ ,  $\mathcal{N}^\circ = \mathcal{N} \cap \ker \psi$  and  $F^\circ = F \cap \ker \rho$ . Then  $\mathcal{P}^\circ$  is the weak\* closure of the linear span of  $\Theta$ , where

$$\begin{aligned} \Theta = & \Lambda^\circ(\{e_{ij}^{(k)} \mid k \in K, 1 \leq i, j \leq n(k), i \neq j\} \\ & \cup \{e_{ii}^{(k)} - \phi(e_{ii}^{(k)})1 \mid k \in K, 1 \leq i \leq n(k)\}, F^\circ). \end{aligned}$$

The set  $q\mathcal{P}q \cap \ker E$  is the weak\* closure of the linear span of

$$\left( \bigcup_{k \in K} (e_{11}^{(k)} \mathcal{P} e_{11}^{(k)})^\circ \right) \cup \left( \bigcup_{\substack{k_1, k_2 \in K \\ k_1 \neq k_2}} e_{11}^{(k_1)} \mathcal{P} e_{11}^{(k_2)} \right)$$

and  $(e_{11}^{(k)} \mathcal{P} e_{11}^{(k)})^\circ$ , respectively,  $e_{11}^{(k_1)} \mathcal{P} e_{11}^{(k_2)}$ , ( $k_1 \neq k_2$ ), is the weak\* closure of the linear span of  $e_{11}^{(k)} \Theta_{k,k} e_{11}^{(k)}$ , respectively,  $e_{11}^{(k_1)} \Theta_{k_1, k_2} e_{11}^{(k_2)}$ , where for  $k, k' \in K$ ,  $\Theta_{k,k'}$  is the set of words in  $\Theta$

whose first letter either belongs to  $F^\circ$  or is  $e_{1j}^{(k)}$ , some  $j > 1$

and whose last letter either belongs to  $F^\circ$  or is  $e_{j1}^{(k')}$ , some  $j > 1$ .

Note that every element of  $\Theta_{k,k'}$  has at least one letter from  $F^\circ$ . We have that  $q\mathcal{N}q \cap \ker E$  is the weak\* closure of the linear span of

$$\Psi = \left( \bigcup_{k \in K} (e_{11}^{(k)} \mathcal{N} e_{11}^{(k)})^\circ \right) \cup \left( \bigcup_{\substack{k_1, k_2 \in K \\ k_1 \neq k_2}} e_{11}^{(k_1)} \mathcal{N} e_{11}^{(k_2)} \right).$$

Thus, in order to prove (15), it will suffice to show

$$A^\circ \left( \Psi, \bigcup_{k, k' \in K} \Theta_{k, k'} \right) \subseteq \ker \phi. \tag{16}$$

However, beginning with a word  $x$  from the left-hand side of (16), one can erase parentheses and regroup to show that  $x$  is equal to a word from  $A^\circ(\mathcal{N}^\circ, F^\circ)$ . Then  $\phi(x) = 0$  follows by freeness. ■

LEMMA 3.8. *Let  $\mathcal{M} = \mathcal{N} * [t, \mathcal{Q}]$  where  $\mathcal{Q} \in \mathcal{F}$  has a generating set of free dimension  $\gamma$ . Then there is an isomorphism  $\mathcal{M} \simeq \mathcal{N} * L(\mathbf{F}_{t^2\gamma})$  which intertwines the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  with the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_{t^2\gamma})$ .*

*Proof.*  $\mathcal{M}$  is generated by a unital copy of  $\mathcal{N} \subseteq \mathcal{M}$  and a subalgebra  $p \in B \subseteq p\mathcal{M}p$   $B \cong \mathcal{Q}$ , where  $p \in \mathcal{N}$  is a projection of trace  $t$  and where  $p\mathcal{N}p$  and  $B$  are free in  $p\mathcal{M}p$ . Let  $F \in \mathcal{F}$  have generating set of free dimension  $t^2\gamma$ . Recall that the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_{t^2\gamma})$  is the embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * F$  arising from the free product construction.

Let  $q, r \in \mathcal{N}$  be projections such that  $q + r = 1$ , let  $m, n \in \mathbf{N}$  and let  $(e_{ij})_{1 \leq i, j \leq m}$  and  $(f_{ij})_{1 \leq i, j \leq n}$  be systems of matrix units in  $\mathcal{N}$  such that

$$\sum_{i=1}^m e_{ii} = q, \quad \sum_{i=1}^n f_{ii} = r \quad \text{and} \quad p = e_{11} + r.$$

We may and do choose  $m$  and  $n$  so large that if

$$A = \text{span}\{e_{ij} \mid 1 \leq i, j \leq m\} \cup \{f_{ij} \mid 1 \leq i, j \leq n\}$$

is equipped with the trace inherited from  $\mathcal{N}$  then  $A * F$  is a factor and  $(pAp) * \mathcal{Q}$  is a factor.

Let  $\alpha = \tau_{\mathcal{N}}(e_{11})$  and  $\beta = \tau_{\mathcal{N}}(f_{11})$ , where  $\tau_{\mathcal{N}}$  is the tracial state on  $\mathcal{N}$ . We have

$$W^*(pAp \cup B) \cong (pAp) * \mathcal{Q} \cong L(\mathbf{F}_s),$$

where

$$s_1 = \gamma + 1 - \left(\frac{\alpha}{t}\right)^2 - \left(\frac{\beta}{t}\right)^2.$$

Thus,

$$(e_{11} + f_{11})(W^*(pAp \cup B))(e_{11} + f_{11}) \cong L(\mathbf{F}_{s_2}),$$

where

$$s_2 = 1 + \frac{t^2\gamma}{(\alpha + \beta)^2} - \left(\frac{\alpha}{\alpha + \beta}\right)^2 - \left(\frac{\beta}{\alpha + \beta}\right)^2.$$

We have

$$\begin{aligned} \mathcal{M} = & W^*(\{e_{ij} \mid 1 \leq i, j \leq m\} \cup \{f_{ij} \mid 1 \leq i, j \leq n\}) \\ & \cup (e_{11} + f_{11})\mathcal{N}(e_{11} + f_{11}) \cup (e_{11} + f_{11})(W^*(pAp \cup B))(e_{11} + f_{11}) \end{aligned} \quad (17)$$

and, by Lemma 3.7,  $(e_{11} + f_{11})\mathcal{N}(e_{11} + f_{11})$  and  $(e_{11} + f_{11})(W^*(pAp \cup B))(e_{11} + f_{11})$  are free over  $\mathbf{C}e_{11} + \mathbf{C}f_{11}$  with respect to the trace-preserving conditional expectation  $(e_{11} + f_{11})\mathcal{M}(e_{11} + f_{11}) \rightarrow \mathbf{C}e_{11} + \mathbf{C}f_{11}$ .

On the other hand, letting  $\mathcal{P} = \mathcal{N} * F$ , we have

$$\mathcal{P} = W^*((e_{11} + f_{11})\mathcal{N}(e_{11} + f_{11}) \cup W^*(A \cup F))$$

and  $W^*(A \cup F) \cong L(\mathbf{F}_{s_3})$  where  $s_3 = 1 + t^2\gamma - \alpha^2 - \beta^2$ . Therefore,  $(e_{11} + f_{11})W^*(A \cup F)(e_{11} + f_{11}) \cong L(\mathbf{F}_{s_2})$ . Furthermore,

$$\begin{aligned} \mathcal{P} = & W^*(\{e_{ij} \mid 1 \leq i, j \leq m\} \cup \{f_{ij} \mid 1 \leq i, j \leq n\}) \\ & \cup (e_{11} + f_{11})\mathcal{N}(e_{11} + f_{11}) \cup (e_{11} + f_{11})(W^*(A \cup F))(e_{11} + f_{11}) \end{aligned} \quad (18)$$

while by Lemma 3.7,  $(e_{11} + f_{11})\mathcal{N}(e_{11} + f_{11})$  and  $(e_{11} + f_{11})(W^*(A \cup F))(e_{11} + f_{11})$  are free over  $\mathbf{C}e_{11} + \mathbf{C}f_{11}$  with respect to the trace-preserving conditional expectation  $(e_{11} + f_{11})\mathcal{P}(e_{11} + f_{11}) \rightarrow \mathbf{C}e_{11} + \mathbf{C}f_{11}$ .

The von Neumann algebras  $(e_{11} + f_{11})W^*(A \cup F)(e_{11} + f_{11})$  and  $(e_{11} + f_{11})W^*(pAp \cup B)(e_{11} + f_{11})$  are isomorphic, and we can choose an isomorphism so that  $e_{11} \mapsto e_{11}$  and  $f_{11} \mapsto f_{11}$ . Using this isomorphism, sending  $(e_{11} + f_{11})\mathcal{N}(e_{11} + f_{11})$  identically to itself and sending  $e_{ij} \mapsto e_{ij}$  and  $f_{ij} \mapsto f_{ij}$ , from (17) and (18) we get an isomorphism  $\mathcal{M} \simeq \mathcal{P}$  which is the identity on the embedded copies of  $\mathcal{N}$ . By [7, Proposition 4(ix)],  $\mathcal{P} \cong \mathcal{N} * L(\mathbf{F}_{t^2\gamma})$ .

THEOREM 3.9. *Let*

$$\mathcal{M} = \mathcal{N} *_{i \in I} [t(i), \mathcal{Q}(i)],$$

where  $I$  is finite or countably infinite and where for all  $i \in I$ ,  $\mathcal{Q}(i) \in \mathcal{F}$ . Let  $\mathcal{Q}(i)$  have a generating set of free dimension  $\gamma_i$ . Then  $\mathcal{M}$  is isomorphic to  $\mathcal{N} * L(\mathbf{F}_r)$ , where

$$r = \sum_{i \in I} t(i)^2 \gamma_i,$$

by an isomorphism intertwining the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  with the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_r)$ .

*Proof.* Iterating Lemma 3.8, we see that the image of the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  is freely complemented by an algebra isomorphic to  $F = *_{i \in I} F_i$  where  $F_i \in \mathcal{F}$  has generating set of free dimension  $t(i)^2 \gamma_i$ . By the results of [1], (see Section 1) we have  $F \in \mathcal{F}$  and  $F$  has a generating set of free dimension  $r$ . ■

Henceforth in this section, we will concentrate on free subproducts  $\mathcal{N} *_{i \in I} [t_i, \mathcal{Q}(i)]$  where every  $\mathcal{Q}(i)$  is a  $\text{II}_1$ -factor and where  $I$  is finite or countably infinite.

THEOREM 3.10. *Let*

$$\mathcal{M} = \mathcal{N} *_{i=1}^n [t(i), \mathcal{Q}(i)],$$

where  $n \in \mathbf{N}$ . If  $\mathcal{Q}(1), \dots, \mathcal{Q}(n)$  are  $\text{II}_1$ -factors then

$$\mathcal{M} \cong \mathcal{N} * \mathcal{Q}(1)_{\frac{1}{t(1)}} * \dots * \mathcal{Q}(n)_{\frac{1}{t(n)}} * L(\mathbf{F}_r),$$

where

$$r = -n + \sum_{i=1}^n t(i)^2. \tag{19}$$

*Proof.* Use induction on  $n$ . When  $n = 1$  then by construction,

$$\mathcal{N} * [t(1), \mathcal{Q}(1)] \cong (\mathcal{N}_{t(1)} * \mathcal{Q}(1))_{\frac{1}{t(1)}} \cong \mathcal{N} * \mathcal{Q}(1)_{\frac{1}{t(1)}} * L(\mathbf{F}_{t(1)^2-1}). \tag{20}$$

For  $n \geq 2$ ,

$$\begin{aligned} \mathcal{N} \underset{i=1}{*}^n [t(i), \mathcal{Q}(i)] &\cong \left( \mathcal{N} \underset{i=1}{*}^{n-1} [t(i), \mathcal{Q}(i)] \right) * [t(n), \mathcal{Q}(n)] \\ &\cong \left( \mathcal{N} * \mathcal{Q}(1) \underset{1}{\frac{1}{t(1)}} * \cdots * \mathcal{Q}(n-1) \underset{1}{\frac{1}{t(n-1)}} * L(\mathbf{F}_{r'}) \right) * [t(n), \mathcal{Q}(n)] \\ &\cong \mathcal{N} * \mathcal{Q}(1) \underset{1}{\frac{1}{t(1)}} * \cdots * \mathcal{Q}(n) \underset{1}{\frac{1}{t(n)}} * L(\mathbf{F}_r), \end{aligned}$$

where  $r' = -n + 1 + \sum_{i=1}^{n-1} t(i)^2$  and  $r$  is as in (19). The isomorphisms above are from the nesting result of Proposition 3.5(A), the induction hypothesis and, respectively, (20) combined with [7, Proposition 4(vii)].  $\blacksquare$

THEOREM 3.11. *Let*

$$\mathcal{M} = \mathcal{N} \underset{i=1}{*}^{\infty} [t_i, \mathcal{Q}(i)], \tag{21}$$

where every  $\mathcal{Q}(i)$  is a  $\Pi_1$ -factor. If  $\mathcal{N} \cong \mathcal{N} * L(\mathbf{F}_{\infty})$  or if  $\mathcal{Q}(k) \cong \mathcal{Q}(k) * L(\mathbf{F}_{\infty})$  for some  $k \in \mathbf{N}$ , then

$$\mathcal{M} \cong \mathcal{N} * \left( \underset{i=1}{*}^{\infty} \mathcal{Q}(i) \underset{1}{\frac{1}{t(i)}} \right). \tag{22}$$

Furthermore, regarding  $\mathcal{N}$  as contained in  $\mathcal{M}$  via the canonical embedding for the construction of the free subproduct (21),  $\mathcal{N}$  is freely complemented in  $\mathcal{M}$  by an algebra isomorphic to

$$\underset{i=1}{*}^{\infty} \mathcal{Q}(i) \underset{1}{\frac{1}{t(i)}}. \tag{23}$$

*Proof.* Suppose  $\mathcal{N} \cong \mathcal{N} * L(\mathbf{F}_{\infty})$ . We will perform a variant of the construction in the proof of Proposition 3.1 for

$$\mathcal{M} = (\mathcal{N} * L(\mathbf{F}_{\infty})) \underset{i=1}{*}^{\infty} [t_i, \mathcal{Q}(i)].$$

We may rewrite  $\mathcal{P}$  as

$$\mathcal{P} = \left( \mathcal{N} * \left( \underset{i=1}{*}^{\infty} D_i \right) \right) * \left( \underset{i=1}{*}^{\infty} \left( \mathbf{C} \oplus \underset{1-t(i)}{\mathcal{Q}(i)} \right) \right),$$

where  $D_i \cong L(\mathbf{F}_{\infty})$ . Let  $\lambda_{\mathcal{N}}: \mathcal{N} \hookrightarrow \mathcal{P}$ ,  $\lambda_i: \mathbf{C} \oplus \mathcal{Q}(i) \hookrightarrow \mathcal{P}$  and  $\kappa_i: D_i \hookrightarrow \mathcal{P}$  be the embeddings arising from the free product construction. We may choose, for each  $i$ ,  $v_i \in W^*(\kappa_i(D_i) \cup \lambda_i(\mathbf{C} \oplus \mathbf{C}))$  so that  $v_i v_i^* = \lambda_i(0 \oplus 1)$  and

$v_i^*v_i \in \kappa_i(D_i)$ . Then,

$$\mathcal{M} = W^* \left( \lambda_{\mathcal{N}}(\mathcal{N}) \cup \bigcup_{i=1}^{\infty} (\kappa_i(D_i) \cup v_i^* \lambda_i(0 \oplus \mathcal{Q}(i))v_i) \right).$$

But the family

$$\lambda_{\mathcal{N}}(\mathcal{N}), (W^*(\kappa_i(D_i) \cup v_i^* \lambda_i(0 \oplus \mathcal{Q}(i))v_i))_{i=1}^{\infty}$$

is free with respect to the free product trace on  $\mathcal{P}$ , while

$$\begin{aligned} W^*(\kappa_i(D_i) \cup v_i^* \lambda_i(0 \oplus \mathcal{Q}(i))v_i) &\cong D_i * [t(i), \mathcal{Q}(i)] \\ &\cong L(\mathbf{F}_{\infty}) * \mathcal{Q}(i)_{\frac{1}{t(i)}}, \end{aligned}$$

so

$$\begin{aligned} \mathcal{M} &\cong \mathcal{N} * \left( \bigast_{i=1}^{\infty} \left( L(\mathbf{F}_{\infty}) * \mathcal{Q}(i)_{\frac{1}{t(i)}} \right) \right) \\ &\cong \mathcal{N} * \left( \bigast_{i=1}^{\infty} \mathcal{Q}(i)_{\frac{1}{t(i)}} \right) * L(\mathbf{F}_{\infty}) \\ &\cong \mathcal{N} * \left( \bigast_{i=1}^{\infty} \mathcal{Q}(i)_{\frac{1}{t(i)}} \right), \end{aligned}$$

where the third isomorphism above is because by [6, Theorem 1.5], every free product of infinitely many  $\text{II}_1$ -factors is stable under taking the free product with  $L(\mathbf{F}_{\infty})$ . This proves isomorphism (22) and that  $\mathcal{N}$  is freely complemented in  $\mathcal{M}$  by an algebra isomorphic to (23).

Now suppose  $\mathcal{Q}(k) \cong \mathcal{Q}(k) * L(\mathbf{F}_{\infty})$ , for some  $k \in \mathbf{N}$ . We may without loss of generality take  $k = 1$ . Let  $\mathcal{Q}(1)$  be generated by free subalgebras  $D$  and  $F$ , where  $D \cong \mathcal{Q}(1)$  and  $F \cong L(\mathbf{F}_{\infty})$ . Then using the nesting result of Proposition 3.5(A),

$$\begin{aligned} \mathcal{M} &\cong (\mathcal{N} * [t(1), \mathcal{Q}(1)])_{i=2}^{\infty} [t(i), \mathcal{Q}(i)] \\ &\cong (\mathcal{N} * [t(1), F])_{i=1}^{\infty} [t(i), \mathcal{Q}(i)]. \end{aligned}$$

By Theorem 3.9,  $\mathcal{N} * [t(1), F] \cong \mathcal{N} * L(\mathbf{F}_{\infty})$  via an isomorphism intertwining the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * [t(1), F]$  and the embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_{\infty})$  coming from the free product construction. Therefore, there is an isomorphism

$$\mathcal{M} \xrightarrow{\sim} (\mathcal{N} * L(\mathbf{F}_{\infty}))_{i=1}^{\infty} [t(i), \mathcal{Q}(i)]$$

intertwining the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  and the composition of the embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_\infty)$  coming from the free product construction and the canonical embedding

$$\mathcal{N} * L(\mathbf{F}_\infty) \hookrightarrow (\mathcal{N} * L(\mathbf{F}_\infty)) \underset{i=1}{*}^\infty [t(i), \mathcal{Q}(i)].$$

Now applying the part of the theorem already proved shows isomorphism (22) and that  $\mathcal{N}$  is freely complemented in  $\mathcal{M}$  by an algebra isomorphic to

$$L(\mathbf{F}_\infty) * \left( \underset{i=1}{*}^\infty \mathcal{Q}(i) \underset{t(i)}{1} \right) \cong \underset{i=1}{*}^\infty \mathcal{Q}(i) \underset{t(i)}{1}. \quad \blacksquare$$

LEMMA 3.12. *Let*

$$\mathcal{M} = \mathcal{N} \underset{i=1}{*}^\infty [t(i), \mathcal{Q}(i)] \tag{24}$$

*be a free subproduct of countably infinitely many  $\text{II}_1$ -factors. If there is  $\varepsilon > 0$  such that  $t(i) > \varepsilon$  for infinitely many  $i \in \mathbf{N}$ , then*

$$\mathcal{M} \cong \mathcal{N} * \left( \underset{i=1}{*}^\infty \mathcal{Q}(i) \underset{t(i)}{1} \right). \tag{25}$$

*Furthermore, regarding  $\mathcal{N}$  as contained in  $\mathcal{M}$  via the canonical embedding for the free subproduct construction (24),  $\mathcal{N}$  is freely complemented in  $\mathcal{M}$  by an algebra isomorphic to*

$$\underset{i=1}{*}^\infty \mathcal{Q}(i) \underset{t(i)}{1}. \tag{26}$$

*Proof.* Let  $I_1$  be an infinite set of  $i \in \mathbf{N}$  such that  $t(i) > \varepsilon$  and such that  $I_0 := \mathbf{N} \setminus I_1$  is also infinite. By the nesting result of Proposition 3.5(A),

$$\mathcal{M} \cong \mathcal{M}(1) \underset{i \in I_0}{*} [t(i), \mathcal{Q}(i)],$$

where

$$\mathcal{M}(1) = \mathcal{N} \underset{i \in I_1}{*} [t(i), \mathcal{Q}(i)].$$

If we can show

$$\mathcal{M}(1) \cong \mathcal{N} * \left( \underset{i \in I_1}{*} \mathcal{Q}(i) \underset{t(i)}{1} \right),$$

then, since  $|I_1| = \infty$ , by [6, Theorem 1.5]  $\mathcal{M}(1) \cong \mathcal{M}(1) * L(\mathbf{F}_\infty)$  and isomorphism (25) will follow from Theorem 3.11. Hence we may, without loss of generality, assume  $t(i) > \varepsilon$  for all  $i \in \mathbf{N}$ .

Let

$$\mathcal{M} = W^* \left( A \cup \bigcup_{i=1}^{\infty} B_i \right) \subseteq \mathcal{P} = \mathcal{N} * \left( \begin{matrix} \infty \\ * \\ i=1 \end{matrix} \left( \mathbf{C} \oplus_{t(i)} \mathcal{Q}(i) \right) \right)$$

with trace  $\tau$  be as in the proof of Proposition 3.1. Recall  $B_i = v_i^* \lambda_i (0 \oplus \mathcal{Q}(i)) v_i$  where the projection  $v_i^* v_i \in A$  is arbitrary subject to its trace being  $t(i)$ . Let us fix a projection  $p \in A$  of trace  $\varepsilon$ , and let us take  $p_i \geq p$  for all  $i \in \mathbf{N}$ . Let  $C_i = W^*(A \cup B_i)$  and recall from Remark 3.2 that the family  $(C_i)_{i=1}^\infty$  is free over  $A$  with respect to the canonical trace-preserving conditional expectation  $E_A^\mathcal{P} : \mathcal{P} \rightarrow A$ . Using partial isometries from  $A$  to bring everything under  $p$ , we see that

$$p\mathcal{M}p = W^* \left( \bigcup_{i=1}^{\infty} pC_i p \right)$$

and that the family  $(pC_i p)_{i=1}^\infty$  is free over  $pAp$  with respect to  $E_A^\mathcal{P} \upharpoonright_{p\mathcal{M}p}$ . Now  $p_i C_i p_i = W^*(p_i A p_i \cup B_i)$  and, moreover,  $p_i A p_i$  and  $B_i$  are free by Proposition 3.1. It follows from Theorem 2.1 that  $pAp$  is freely complemented in  $pC_i p$  by an algebra, let us call it  $D_i$ , isomorphic to

$$\mathcal{Q}(i) \frac{\varepsilon}{t(i)} * L(\mathbf{F}_{y(i)}),$$

where  $y(i) = \left(\frac{t(i)}{\varepsilon}\right)^2 - 1$ . Thus,

$$p\mathcal{M}p = W^* \left( pAp \cup \bigcup_{i=1}^{\infty} D_i \right)$$

and the family  $pAp, (D_i)_{i=1}^\infty$  is free with respect to  $\varepsilon^{-1} \tau \upharpoonright_{p\mathcal{M}p}$ , yielding

$$p\mathcal{M}p \cong (p\mathcal{N}p) * \left( \begin{matrix} \infty \\ * \\ i=1 \end{matrix} \mathcal{Q}(i) \frac{\varepsilon}{t(i)} * L(\mathbf{F}_\infty) \right),$$

with  $p\mathcal{N}p$  freely complemented in  $p\mathcal{M}p$  by an algebra isomorphic to

$$\begin{matrix} \infty \\ * \\ i=1 \end{matrix} \mathcal{Q}(i) \frac{\varepsilon}{t(i)} * L(\mathbf{F}_\infty).$$

Application of Corollary 2.3 gives isomorphism (25), and that  $\mathcal{N}$  is freely complemented in  $\mathcal{M}$  by an algebra isomorphic to the one displayed at (26). ■



4. RESCALINGS OF FREE SUBPRODUCTS

The notation introduced below, though perhaps awkward to define, permits an elegant formulation of rescalings of free subproducts of  $\text{II}_1$ -factors.

DEFINITION 4.1. Let  $\mathcal{N}$  be a  $\text{II}_1$ -factor, let  $I$  be a set and for every  $\iota \in I$  let  $\mathcal{Q}(\iota)$  be a  $\text{II}_1$ -factor and let  $0 < t(\iota) < \infty$ . Then the *free scaled product* of  $\text{II}_1$ -factors

$$\mathcal{M} = \overline{\mathcal{N} *_{\iota \in I} [\mathcal{Q}(\iota), t(\iota)]}$$

is the free subproduct

$$\mathcal{M}(I_1) *_{\iota \in I_0} [\mathcal{Q}(\iota), t(\iota)],$$

where  $I_0 = \{\iota \in I \mid t(\iota) \leq 1\}$  and where

$$\mathcal{M}(I_1) = \overline{\mathcal{N} *_{\iota \in I_1} \left( *_{\frac{1}{t(\iota)}} \left( \mathcal{Q}(\iota) *_{\frac{1}{t(\iota)}} L(\mathbf{F}_{t(\iota)^2 - 1}) \right) \right)},$$

with  $I_1 = I \setminus I_0$ .

Remark 4.2. Clearly the free scaled product  $\mathcal{M}$  is always a  $\text{II}_1$ -factor. Let  $\tau$  be the tracial state on  $\mathcal{M}$ . Then,

$$\mathcal{M} = W^* \left( A \cup \bigcup_{\iota \in I} B_\iota \right)$$

for  $*$ -subalgebras  $A$  and  $B_\iota$  of  $\mathcal{M}$ , where

- (i)  $A \cong \mathcal{N}$ ;
- (ii) for all  $\iota \in I$ ,  $p_\iota \in B_\iota \subseteq p_\iota \mathcal{M} p_\iota$  for a projection  $p_\iota \in A$ ;
- (ii') for all  $\iota \in I$ ,  $\tau(p_\iota) = \min(1, t_\iota)$ ;
- (ii'') for all  $\iota \in I$ ,

$$B_\iota \cong \begin{cases} \mathcal{Q}(\iota) & \text{if } \iota \in I_0, \\ \mathcal{Q}(\iota) *_{\frac{1}{t(\iota)}} L(\mathbf{F}_{t(\iota)^2 - 1}) & \text{if } \iota \in I_1; \end{cases}$$

- (iii) for all  $\iota \in I$ ,  $B_\iota$  and

$$p_\iota \left( W^* \left( A \cup \bigcup_{j \in I \setminus \{\iota\}} B_j \right) \right) p_\iota$$

are free with respect to  $t_\iota^{-1} \tau \upharpoonright_{p_\iota \mathcal{M} p_\iota}$ .

DEFINITION 4.3. The inclusion  $\mathcal{A} \hookrightarrow \mathcal{M}$  is called the *canonical embedding*

$$\mathcal{N} \hookrightarrow \mathcal{N} *_{i \in I} [t_i, \mathcal{Q}(i)]$$

of free scaled products.

Clearly, the analogues of the properties spelled out in Proposition 3.5 hold for free scaled products as well.

Theorems 3.10, 3.11 and Lemma 3.12 imply their analogues for free scaled products:

THEOREM 4.4. *If*

$$\mathcal{M} = \mathcal{N} *_{i=1}^n [t(i), \mathcal{Q}(i)]$$

*is a free scaled product where  $n \in \mathbf{N}$ , then*

$$\mathcal{M} \cong \mathcal{N} * \mathcal{Q}(1)_{\frac{1}{t(1)}} * \cdots * \mathcal{Q}(n)_{\frac{1}{t(n)}} * L(\mathbf{F}_r),$$

*where*

$$r = -n + \sum_{i=1}^n t(i)^2.$$

THEOREM 4.5. *Suppose*

$$\mathcal{M} = \mathcal{N} *_{i=1}^{\infty} [t_i, \mathcal{Q}(i)] \tag{27}$$

*is a free scaled product of countably infinitely many  $\text{II}_1$  factors and that either  $\mathcal{N} \cong \mathcal{N} * L(\mathbf{F}_{\infty})$  or  $\mathcal{Q}(i) \cong \mathcal{Q}(i) * L(\mathbf{F}_{\infty})$  for some  $i \in \mathbf{N}$ . Then,*

$$\mathcal{M} \cong \mathcal{N} * \left( *_{i=1}^{\infty} \mathcal{Q}(i)_{\frac{1}{t(i)}} \right)$$

*and regarding  $\mathcal{N} \subseteq \mathcal{M}$  by the canonical embedding for construction (27),  $\mathcal{N}$  is freely complemented in  $\mathcal{M}$  by an algebra isomorphic to*

$$*_{i=1}^{\infty} \mathcal{Q}(i)_{\frac{1}{t(i)}}.$$

LEMMA 4.6. *Let*

$$\mathcal{M} = \mathcal{N} *_{i=1}^{\infty} [t_i, \mathcal{Q}(i)]$$

be a free scaled product. If there is  $\varepsilon > 0$  such that  $t(i) > \varepsilon$  for infinitely many  $i \in \mathbf{N}$  then the conclusions of Theorem 4.5 hold.

We now begin proving the rescaling formula for free scaled products.

LEMMA 4.7. Consider a free subproduct

$$\mathcal{M} = \mathcal{N} \underset{i=1}{*}^n [t(i), \mathfrak{Q}(i)],$$

$n \in \mathbf{N} \cup \infty$ , of  $\mathcal{N}$  with finitely or countably infinitely many  $\text{II}_1$ -factors  $\mathfrak{Q}(i)$ , where either  $n \in \mathbf{N}$  or  $\lim_{i \rightarrow \infty} t(i) = 0$ . Consider  $\mathcal{N} \subseteq \mathcal{M}$  via the canonical embedding. Let  $p \in \mathcal{N}$  be a projection of trace  $s$ . Then there is an isomorphism

$$p\mathcal{M}p \xrightarrow{\sim} (p\mathcal{N}p) \underset{i=1}{*}^n \left[ \frac{t(i)}{s}, \mathfrak{Q}(i) \right]$$

intertwining the inclusion  $p\mathcal{N}p \hookrightarrow p\mathcal{M}p$  with the canonical embedding

$$p\mathcal{N}p \hookrightarrow (p\mathcal{N}p) \underset{i=1}{*}^n \left[ \frac{t(i)}{s}, \mathfrak{Q}(i) \right].$$

*Proof.* Write

$$\mathcal{M} = W^* \left( A \cup \bigcup_{i=1}^n B_i \right)$$

as in Proposition 3.1 with for every  $i$ ,  $p_i \in B_i \subseteq p_i\mathcal{M}p_i$  for projections  $p_i \in A$  satisfying either  $p_i \geq p$  or  $p_i \leq p$ . If  $t(i) \leq s$  for all  $i \in \mathbf{N}$  then

$$p\mathcal{M}p = W^* \left( pAp \cup \bigcup_{i=1}^n B_i \right)$$

and the conclusions of the lemma are clear.

Assume  $t(i) \geq t(i + 1)$  for all  $i$  and, for some  $m \in \mathbf{N}$ ,  $t(m) > s$  and either  $m = n$  or  $t(m + 1) \leq s$ . For every  $k \in \{1, \dots, m\}$ , let

$$\mathcal{N}(k) = W^* \left( A \cup \bigcup_{1 \leq j \leq k} B_j \right).$$

Then,  $p_k\mathcal{N}(k)p_k = W^*(p_k\mathcal{N}(k - 1)p_k \cup B_k)$  and  $p_k\mathcal{N}(k - 1)p_k$  and  $B_k$  are free. By Theorem 2.1,  $p_k\mathcal{N}(k - 1)p_k$  is freely complemented in  $p_k\mathcal{N}(k)p_k$  by an algebra isomorphic to

$$\mathfrak{Q}(k) \frac{s}{t(k)} * L \left( \mathbf{F}_{\left(\frac{t(k)}{s}\right)^2 - 1} \right).$$

Combining these embeddings, one obtains

$$p\mathcal{N}(m)p \cong (p\mathcal{N}p) * \mathfrak{Q}(1)_{\frac{s}{t(1)}} * \cdots * \mathfrak{Q}(m)_{\frac{s}{t(m)}} * L(\mathbf{F}_r),$$

where  $r = -m + \sum_{i=1}^m t(i)^2$ , and that the algebra  $p\mathcal{N}(0)p = pAp$  is freely complemented in  $p\mathcal{N}(n)p$  by an algebra, call it  $D$ , isomorphic to

$$\mathfrak{Q}(1)_{\frac{s}{t(1)}} * \cdots * \mathfrak{Q}(m)_{\frac{s}{t(m)}} * L(\mathbf{F}_r).$$

Then,

$$p\mathcal{M}p = W^* \left( pAp \cup D \cup \bigcup_{i=m+1}^n B_i \right).$$

Now the conclusions of the lemma are clear. ■

**PROPOSITION 4.8.** *Let  $\mathcal{M} = \mathcal{N} * L(\mathbf{F}_r)$  for a  $\Pi_1$ -factor  $\mathcal{N}$  and for some  $r > 0$ . Regard  $\mathcal{N} \subseteq \mathcal{M}$  via the canonical embedding. If  $p \in \mathcal{N}$  is a projection of trace  $s$ , then there is an isomorphism*

$$p\mathcal{M}p \overset{\sim}{\rightarrow} (p\mathcal{N}p) * L(\mathbf{F}_{r/s^2}) \tag{28}$$

intertwining the inclusion  $p\mathcal{N}p \hookrightarrow p\mathcal{M}p$  with the canonical embedding  $p\mathcal{N}p \hookrightarrow (p\mathcal{N}p) * L(\mathbf{F}_{r/s^2})$ .

*Proof.* By Theorem 3.9, we have isomorphisms

$$\mathcal{M} \overset{\sim}{\rightarrow} \mathcal{N} * \left[ \sqrt{\frac{r}{r+1}}, L(\mathbf{F}_{r+1}) \right],$$

$$(p\mathcal{N}p) * L(\mathbf{F}_{r/s^2}) \overset{\sim}{\rightarrow} (p\mathcal{N}p) * \left[ \frac{1}{s} \sqrt{\frac{r}{r+1}}, L(\mathbf{F}_{r+1}) \right]$$

that intertwine the corresponding canonical embeddings. These combined with the isomorphism

$$p \left( \mathcal{N} * \left[ \sqrt{\frac{r}{r+1}}, L(\mathbf{F}_{r+1}) \right] \right) p \overset{\sim}{\rightarrow} (p\mathcal{N}p) * \left[ \frac{1}{s} \sqrt{\frac{r}{r+1}}, L(\mathbf{F}_{r+1}) \right]$$

obtained from Lemma 4.7 give the desired isomorphism (28). ■

THEOREM 4.9. *Let*

$$\mathcal{M} = \mathcal{N} *_{i \in I} [t(i), \mathcal{Q}(i)]$$

*be a free scaled product of  $\text{II}_1$ -factors  $\mathcal{Q}(i)$  with  $I$  finite or countably infinite. If  $0 < s < \infty$  then*

$$\mathcal{M}_s \cong \mathcal{N}_s *_{i \in I} \left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right]. \tag{29}$$

*Furthermore, if  $s \leq 1$  and if  $p \in \mathcal{N}$  is a projection of trace  $s$ , then regarding  $\mathcal{N} \subseteq \mathcal{M}$  via the canonical embedding, there is an isomorphism*

$$p\mathcal{M}p \xrightarrow{\sim} (p\mathcal{N}p) *_{i \in I} \left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right] \tag{30}$$

*intertwining the inclusion  $p\mathcal{N}p \hookrightarrow p\mathcal{M}p$  and the canonical embedding*

$$p\mathcal{N}p \hookrightarrow (p\mathcal{N}p) *_{i \in I} \left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right].$$

*Proof.* In order to prove isomorphism (29) for all  $s \in (0, \infty)$ , it will suffice to show it for all  $s \in (0, 1)$ . So assume  $0 < s < 1$ . If there is  $\varepsilon > 0$  such that  $t(i) > \varepsilon$  for infinitely many  $i \in I$ , then the existence of isomorphism (30) with the required properties follows from Lemma 4.6 and Theorem 2.1. Hence, we may assume either  $I = \{1, \dots, n\}$  for some  $n \in \mathbf{N}$  or  $I = \mathbf{N}$  and  $\lim_{i \rightarrow \infty} t(i) = 0$  (in which case we let  $n = \infty$ ). Assume also  $t(1) \geq t(2) \geq \dots$ . If  $t(1) \leq 1$  then the conclusion of the theorem follows from Lemma 4.7. So assume there is  $m \in I$  such that  $t(m) > 1$  and either  $m + 1 \notin I$  or  $t(m + 1) \leq 1$ . Letting

$$\mathcal{M}(m) = \mathcal{N} *_{i=1}^m [t(i), \mathcal{Q}(i)],$$

by definition  $\mathcal{N}$  is freely complemented in  $\mathcal{M}$  by an algebra isomorphic to

$$\mathcal{Q}(1)_{\frac{1}{t(1)}} * \dots * \mathcal{Q}(m)_{\frac{1}{t(m)}} * L(\mathbf{F}_r),$$

where  $r = -m + \sum_{i=1}^m t(i)^2$ . By Theorem 2.1,  $p\mathcal{N}p$  is freely complemented in  $p\mathcal{M}(m)p$  by an algebra isomorphic to

$$\begin{aligned} & \left( \mathcal{Q}(1)_{\frac{1}{t(1)}} * \dots * \mathcal{Q}(m)_{\frac{1}{t(m)}} * L(\mathbf{F}_r) \right)_s * L(\mathbf{F}_{s^{-2}-1}) \\ & \cong \mathcal{Q}(1)_{\frac{s}{t(1)}} * \dots * \mathcal{Q}(m)_{\frac{s}{t(m)}} * L(\mathbf{F}_{s^{-2}(r+m)-m}). \end{aligned} \tag{31}$$

If  $I = \{1, \dots, m\}$  then we are done. Otherwise, by Proposition 3.5(A), there is an isomorphism

$$\mathcal{M} \xrightarrow{\sim} \mathcal{M}(m) \underset{i=m+1}{*}^n [t(i), \mathcal{Q}(i)]$$

intertwining the inclusion  $\mathcal{M}(m) \hookrightarrow \mathcal{M}$  and the canonical embedding

$$\mathcal{M}(m) \hookrightarrow \mathcal{M}(m) \underset{i=m+1}{*}^n [t(i), \mathcal{Q}(i)].$$

Now Lemma 4.7 shows that there is an isomorphism

$$p\mathcal{M}p \xrightarrow{\sim} (p\mathcal{M}(m)p) \underset{i=m+1}{*}^n \left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right]$$

intertwining the inclusion  $p\mathcal{M}(m)p \hookrightarrow p\mathcal{M}p$  and the canonical embedding

$$p\mathcal{M}(m)p \hookrightarrow (p\mathcal{M}(m)p) \underset{i=m+1}{*}^n \left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right].$$

This together with the fact that  $p\mathcal{N}p$  is freely complemented in  $p\mathcal{M}(m)p$  by an algebra isomorphic to (31) finishes the proof.  $\blacksquare$

The following corollary is simply Theorem 4.9 in reverse, and can be proved using Lemma 2.2 similarly to how Corollary 2.3 was proved.

**COROLLARY 4.10.** *Let  $\mathcal{N}$  be a  $\text{II}_1$ -factor which is a unital subalgebra of a tracial von Neumann algebra  $\mathcal{M}$ . If  $p \in \mathcal{N}$  is a projection of trace  $s > 0$  and if there is an isomorphism*

$$p\mathcal{M}p \xrightarrow{\sim} (p\mathcal{N}p) \underset{i \in I}{*} [t(i), \mathcal{Q}(i)],$$

where the RHS is a free scaled product, intertwining the inclusion  $p\mathcal{N}p \hookrightarrow p\mathcal{M}p$  and the canonical embedding

$$p\mathcal{N}p \hookrightarrow (p\mathcal{N}p) \underset{i \in I}{*} [t(i), \mathcal{Q}(i)],$$

then there is an isomorphism

$$\mathcal{M} \xrightarrow{\sim} \mathcal{N} \underset{i \in I}{*} [t(i)s, \mathcal{Q}(i)]$$

intertwining the inclusion  $\mathcal{N} \hookrightarrow \mathcal{M}$  and the canonical embedding

$$\mathcal{N} \hookrightarrow \mathcal{N} * \underset{i \in I}{[t(i)s, \mathcal{Q}(i)]}.$$

### 5. FREE TRADE IN FREE SUBPRODUCTS AND FREE SCALED PRODUCTS

In this section we will be concerned with free scaled products

$$(\mathcal{N} * L(\mathbf{F}_r)) * \underset{i \in I}{[t_i, \mathcal{Q}(i)]}, \tag{32}$$

where  $r \geq 0$ , and with results allowing one to increase or decrease the  $t_i$ , compensating by rescaling  $\mathcal{Q}(i)$  and, if necessary, by changing  $r$ . This sort of exchange we call *free trade* in free scaled products.

DEFINITION 5.1. Let  $\mathcal{M}$  be the free scaled product (32) above. Then the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  is the composition of the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{N} * L(\mathbf{F}_r)$  and the canonical embedding

$$\mathcal{N} * L(\mathbf{F}_r) \hookrightarrow (\mathcal{N} * L(\mathbf{F}_r)) * \underset{i \in I}{[t_i, \mathcal{Q}(i)]}.$$

Proposition 4.8 and Theorem 4.9 combine to give the following result.

THEOREM 5.2. Let  $\mathcal{M}$  be the free scaled product (32) above and let  $0 < s < \infty$ . Then,

$$\mathcal{M}_s \cong (\mathcal{N}_s * L(\mathbf{F}_{s^{-2}r})) * \underset{i \in I}{\left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right]}.$$

Furthermore, regarding  $\mathcal{N}$  as contained in  $\mathcal{M}$  by the canonical embedding, if  $s < 1$  and if  $p \in \mathcal{N}$  is a projection of trace  $s$ , then there is an isomorphism

$$p\mathcal{M}p \xrightarrow{\sim} (p\mathcal{N}p * L(\mathbf{F}_{s^{-2}r})) * \underset{i \in I}{\left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right]}$$

intertwining the inclusion  $p\mathcal{N}p \hookrightarrow p\mathcal{M}p$  and the canonical embedding

$$p\mathcal{N}p \hookrightarrow (p\mathcal{N}p * L(\mathbf{F}_{s^{-2}r})) * \underset{i \in I}{\left[ \frac{t(i)}{s}, \mathcal{Q}(i) \right]}.$$

LEMMA 5.3. *Let  $\mathcal{N}$  and  $\mathcal{Q}$  be  $\text{II}_1$ -factors, let  $0 < t < \infty$ , let  $\max(0, 1 - t^2) \leq r \leq \infty$  and let*

$$\mathcal{M} = (\mathcal{N} * L(\mathbf{F}_r)) * [t, \mathcal{Q}].$$

*Then there is an isomorphism*

$$\mathcal{M} \xrightarrow{\sim} \mathcal{N} * (\mathcal{Q}_1 * L(\mathbf{F}_{r-1+t^2})) \tag{33}$$

*intertwining the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  and the embedding*

$$\mathcal{N} \hookrightarrow \mathcal{N} * (\mathcal{Q}_1 * L(\mathbf{F}_{r-1+t^2}))$$

*arising from the free product construction.*

*Proof.* If  $t \geq 1$  then this is immediate from the definition of free scaled products, (Definition 4.1).

Let  $\tau$  denote the tracial state on  $\mathcal{M}$ . Suppose first  $t = 1/k$ ,  $k \in \mathbf{N} \setminus \{1\}$ . Then,

$$\mathcal{N} * L(\mathbf{F}_r) \cong (\mathcal{N} * L(\mathbf{F}_{r-1+t^2})) * M_k(\mathbf{C})$$

and we may take

$$\mathcal{M} = W^*(A \cup F \cup \{e_{ij} \mid 1 \leq i, j \leq k\} \cup B),$$

where  $A$  is a unital copy of  $\mathcal{N}$ ,  $1_{\mathcal{M}} \in F \in \mathcal{F}$  with  $F$  having generating set of free dimension  $r - 1 + t^2$ ,  $(e_{ij})_{1 \leq i, j \leq k}$  is a system of matrix units in  $\mathcal{M}$ , the family

$$A, F, \{e_{ij} \mid 1 \leq i, j \leq k\}$$

is free with respect to  $\tau$ ,  $e_{11} \in B \subseteq e_{11} \mathcal{M} e_{11}$  with  $B$  a subalgebra of  $e_{11} \mathcal{M} e_{11}$  isomorphic to  $\mathcal{Q}$  and the pair

$$e_{11} W^*(A \cup F \cup \{e_{ij} \mid 1 \leq i, j \leq k\}) e_{11}, B \tag{34}$$

is free with respect to  $k\tau \upharpoonright_{e_{11} \mathcal{M} e_{11}}$ . Let

$$\mathcal{P} = W^*(A \cup F), \quad \mathcal{S} = W^*(\{e_{ij} \mid 1 \leq i, j \leq k\} \cup B).$$

Then,

$$\mathcal{P} \cong \mathcal{N} * L(\mathbf{F}_{r-1+t^2}), \quad \mathcal{F} \cong \mathcal{Q}_k.$$



We shall show that  $\mathcal{P}$  and  $\mathcal{S}$  are free with respect to  $\tau$ . Let

$$U^0 = \{e_{ij} \mid 1 \leq i, j \leq k, i \neq j\} \cup \left\{ e_{ii} - \frac{1}{k} \mid 1 \leq i \leq k \right\}.$$

Then we have

$$\begin{aligned} \mathcal{P}^0 &= \overline{\text{span}} A^0(A^0, F^0), \\ \mathcal{S}^0 &= \overline{\text{span}} \left( U^0 \cup \bigcup_{1 \leq i, j \leq k} e_{ii} B^0 e_{ij} \right). \end{aligned}$$

Hence, for freeness of  $\mathcal{P}$  and  $\mathcal{S}$ , it will suffice to show

$$A^0 \left( C^0, F^0, U^0 \cup \bigcup_{1 \leq i, j \leq k} e_{ii} B^0 e_{ij} \right) \subseteq \ker \tau. \tag{35}$$

After regrouping, any word  $x$  belonging to the LHS of (35) is seen to be equal to  $e_{i1} x' e_{1j}$ , for some  $i, j \in \{1, \dots, k\}$ , where

$$x' \in A^0(e_{11} A^0(C^0, F^0, U^0) e_{11}, B^0).$$

But freeness of pair (34) shows  $\tau(x') = 0$  and thus  $\tau(e_{i1} x' e_{1j}) = 0$ . This shows the existence of isomorphism (33) in the case  $t = 1/k$ .

Now suppose  $t < 1$  is not a reciprocal integer. Let  $k \in \mathbb{N}$  be such that  $\frac{1}{k} < t$  and let  $s = \frac{1}{kt}$ ,  $\tilde{\mathcal{N}} = \mathcal{N}_{\frac{1}{s}}$  and

$$\tilde{\mathcal{M}} = (\tilde{\mathcal{N}} * L(\mathbf{F}_{s^2 r})) * [\frac{1}{k}, \mathcal{Q}].$$

By the case just proved, regarding  $\tilde{\mathcal{N}}$  as contained in  $\tilde{\mathcal{M}}$  via the canonical embedding,  $\tilde{\mathcal{N}}$  is freely complemented in  $\tilde{\mathcal{M}}$  by a copy of  $\mathcal{Q}_k * L(\mathbf{F}_{s^2 r - 1 + k - 2})$ . Let  $q \in \tilde{\mathcal{N}}$  be a projection of trace  $s$ . By Theorem 2.1,  $q \tilde{\mathcal{N}} q$  is freely complemented in  $q \tilde{\mathcal{M}} q$  by a copy of

$$(\mathcal{Q}_k * L(\mathbf{F}_{s^2 r - 1 + k - 2}))_s * L(\mathbf{F}_{s^2 - 1}) \cong \mathcal{Q}_1 * L(\mathbf{F}_{r - 1 + t^2}).$$

On the other hand, by Proposition 4.8 and Theorem 4.9, there is an isomorphism

$$q \tilde{\mathcal{M}} q \xrightarrow{\sim} ((q \tilde{\mathcal{N}} q) * L(\mathbf{F}_r)) * [t, \mathcal{Q}]$$

intertwining the inclusion  $q \tilde{\mathcal{N}} q \hookrightarrow q \tilde{\mathcal{M}} q$  and the canonical embedding  $q \tilde{\mathcal{N}} q \hookrightarrow ((q \tilde{\mathcal{N}} q) * L(\mathbf{F}_r)) * [t, \mathcal{Q}]$ . As  $q \tilde{\mathcal{N}} q \cong \mathcal{N}$ , we are done. ■

LEMMA 5.4. *Let  $\mathcal{N}$  and  $\mathcal{Q}$  be  $\text{II}_1$ -factors, let  $0 < t < s < \infty$ , let  $s^2 - t^2 \leq r \leq \infty$  and let*

$$\mathcal{M} = (\mathcal{N} * L(\mathbf{F}_r)) * [t, \mathcal{Q}].$$

*Then there is an isomorphism*

$$\mathcal{M} \xrightarrow{\sim} (\mathcal{N} * L(\mathbf{F}_{r-s^2+t^2})) * [s, \mathcal{Q}_{\frac{s}{t}}] \tag{36}$$

*intertwining the canonical embeddings  $\mathcal{N} \hookrightarrow \mathcal{M}$  and*

$$\mathcal{N} \hookrightarrow (\mathcal{N} * L(\mathbf{F}_{r-s^2+t^2})) * [s, \mathcal{Q}_{\frac{s}{t}}].$$

*Proof.* If  $s = 1$  then this is just Lemma 5.3. Suppose  $s > 1$ . Then by Lemma 5.3, since  $r > 1 - t^2$ , the image of  $\mathcal{N}$  in  $\mathcal{M}$  under the canonical embedding is freely complemented by an algebra isomorphic to  $\mathcal{Q}_{1/t} * L(\mathbf{F}_{r-1+t^2})$ . On the other hand, by the definition of free scaled products (Definition 4.1), the image of  $\mathcal{N}$  in  $(\mathcal{N} * L(\mathbf{F}_{r-s^2+t^2})) * [s, \mathcal{Q}_{\frac{s}{t}}]$  under the canonical embedding is freely complemented by an algebra isomorphic to

$$(L(\mathbf{F}_{s^{-2}(r+t^2)-1} * \mathcal{Q}_{\frac{s}{t}})_{\frac{1}{s}} * L(\mathbf{F}_{s^2-1})) \cong L(\mathbf{F}_{r-1+t^2}) * \mathcal{Q}_{\frac{1}{t}}.$$

From this, we can construct isomorphism (36) in the case  $s > 1$ .

Now suppose  $s < 1$ . Denote by  $\pi : \mathcal{N} \rightarrow \mathcal{M}$  the canonical embedding, let

$$\tilde{\mathcal{M}} = (\mathcal{N} * L(\mathbf{F}_{r-s^2+t^2})) * [s, \mathcal{Q}_{\frac{s}{t}}]$$

and let  $\tilde{\pi} : \mathcal{N} \rightarrow \tilde{\mathcal{M}}$  denote the canonical embedding. Let  $p \in \mathcal{N}$  be a projection of trace  $s$ . Then using Theorem 5.2, there is an isomorphism

$$\pi(p) \mathcal{M} \pi(p) \xrightarrow{\sim} (p \mathcal{N} p * L(\mathcal{F}_{s^{-2}r})) * [\frac{t}{s}, \mathcal{Q}]$$

intertwining  $\pi \upharpoonright_{p \mathcal{N} p}$  and the canonical embedding

$$p \mathcal{N} p \hookrightarrow (p \mathcal{N} p * L(\mathcal{F}_{s^{-2}r})) * [\frac{t}{s}, \mathcal{Q}].$$

Since  $s^{-2}r \geq 1 - s^{-2}t^2$ , Lemma 5.3 gives an isomorphism

$$\pi(p) \mathcal{M} \pi(p) \xrightarrow{\sim} p \mathcal{N} p * (\mathcal{Q}_{\frac{s}{t}} * L(\mathbf{F}_{s^{-2}r-1+s^{-2}t^2})) \tag{37}$$

intertwining  $\pi \upharpoonright_{p \mathcal{N} p}$  and the canonical embedding

$$p \mathcal{N} p \hookrightarrow p \mathcal{N} p * (\mathcal{Q}_{\frac{s}{t}} * L(\mathbf{F}_{s^{-2}r-1+s^{-2}t^2})). \tag{38}$$

On the other hand, by Theorem 5.2, there is an isomorphism

$$\tilde{\pi}(p)\tilde{\mathcal{M}}\tilde{\pi}(p) \xrightarrow{\sim} p\mathcal{N}p * (\mathcal{Q}_s * L(\mathbf{F}_{s^{-2}r-1+s^{-2}r^2})) \tag{39}$$

intertwining  $\tilde{\pi}|_{p\mathcal{N}p}$  with canonical embedding (38). Isomorphisms (37) and (39) together with Lemma 2.2 give the desired isomorphism (36). ■

THEOREM 5.5. *Let*

$$\mathcal{M} = (\mathcal{N} * L(\mathbf{F}_r)) \underset{i=1}{*}^n [t(i), \mathcal{Q}(i)],$$

for  $n \in \mathbf{N} \cup \{\infty\}$ ,  $0 \leq r < \infty$  and  $0 < t(i) < \infty$  be a free scaled product of finitely or countably infinitely many  $\text{II}_1$ -factors  $\mathcal{N}$  and  $\mathcal{Q}(i)$ .

(i) *If  $\sum_{i=1}^n t(i)^2 = \infty$  then there is an isomorphism*

$$\mathcal{M} \xrightarrow{\sim} \mathcal{N} * \left( \underset{i=1}{*}^{\infty} \mathcal{Q}(i) \frac{1}{t(i)} \right) \tag{40}$$

intertwining the canonical embedding  $\mathcal{N} \hookrightarrow \mathcal{M}$  and the embedding

$$\mathcal{N} \hookrightarrow \mathcal{N} * \left( \underset{i=1}{*}^{\infty} \mathcal{Q}(i) \frac{1}{t(i)} \right)$$

arising from the free product construction.

(ii) *Suppose  $\sum_{i=1}^n t(i)^2 < \infty$ , let  $0 < s(i) < \infty$  and let*

$$r' = r + \sum_{i=1}^n (t(i)^2 - s(i)^2). \tag{41}$$

*If  $r' \geq 0$  then there is an isomorphism*

$$\mathcal{M} \xrightarrow{\sim} (\mathcal{N} * L(\mathbf{F}_{r'})) \underset{i=1}{*}^n [s(i), \mathcal{Q}(i) \frac{s(i)}{t(i)}] \tag{42}$$

intertwining the canonical embeddings  $\mathcal{N} \hookrightarrow \mathcal{M}$  and

$$\mathcal{N} \hookrightarrow (\mathcal{N} * L(\mathbf{F}_{r'})) \underset{i=1}{*}^n [s(i), \mathcal{Q}(i) \frac{s(i)}{t(i)}].$$

*Proof.* We begin by proving (i) and a special case of (ii) simultaneously. Suppose  $0 < s(i) \leq t(i)$  for all  $i$ . Denote by  $\tau$  the tracial state on  $\mathcal{M}$ . We may

write

$$\mathcal{M} = W^* \left( A \cup F \cup \bigcup_{i=1}^n B_i \right),$$

where  $A$  is a unital copy of  $\mathcal{N}$ ,  $1_{\mathcal{M}} \in F \in \mathcal{F}$  with  $F$  having generating set of free dimension  $r$ ,  $p_i \in B_i \subseteq p_i \mathcal{M} p_i$  for a projection  $p_i \in A$  of trace  $\min(t(i), 1)$ ,  $B_i$  is a subalgebra of  $p_i \mathcal{M} p_i$  isomorphic to  $\mathcal{Q}(i)$  if  $t(i) \leq 1$  and to  $\mathcal{Q}(i) * L(\mathbf{F}_{t(i)^2-1})$  if  $t(i) > 1$ ,  $F$  and  $W^*(A \cup \bigcup_{i=1}^n B_i)$  are free with respect to  $\tau$  and, finally, the family

$$(W^*(A \cup B_i))_{i=1}^n$$

is free over  $A$  with respect to the  $\tau$ -preserving conditional expectation  $\mathcal{M} \rightarrow A$  (see Remark 3.2). Using Lemma 5.4, we get

$$W^*(A \cup B_i) = W^*(A \cup D_i \cup C_i),$$

where  $D_i \in \mathcal{F}$  has generating set of free dimension  $r - s(i)^2 + t(i)^2$ ,  $D_i$  and  $A$  are free,  $q_i \in C_i \subseteq q_i W^*(A \cup B_i) q_i$  is a subalgebra, for a projection  $q_i \in A$  of trace  $s(i)$ ,

$$C_i \cong \begin{cases} \mathcal{Q}(i)_{s(i)} & \text{if } t(i) \leq 1, \\ \mathcal{Q}(i) * L(\mathbf{F}_{s(i)^2-1}) & \text{if } t(i) > 1, \end{cases}$$

and, finally,  $q_i W^*(A \cup D_i) q_i$  and  $C_i$  are free with respect to  $s(i)^{-1} \tau|_{q_i \mathcal{M} q_i}$ . Thus,

$$\mathcal{M} = W^* \left( A \cup F \cup \bigcup_{i=1}^n (D_i \cup C_i) \right)$$

and we get an isomorphism (42), with  $r'$  as in (41), intertwining the canonical embeddings. This proves (ii) in the case  $s(i) < t(i)$  for all  $i$ . For (i), if  $\sum_{i=1}^n t(i)^2 = \infty$ , then  $0 < s(i) < t(i)$  can be chosen making  $r' = \infty$ . Then isomorphism (40) follows by Theorem 4.5.

In order to prove the general case of (ii), let

$$I = \begin{cases} \{1, \dots, n\} & \text{if } n \in \mathbf{N}, \\ \mathbf{N} & \text{if } n = \infty \end{cases}$$

and let

$$I_1 = \{i \in I \mid s(i) > t(i)\},$$

$$I_0 = I \setminus I_1.$$

Using the nesting result of Proposition 3.5(A) and, twice in succession, the case of (ii) just proved, we get isomorphisms

$$\begin{aligned} \mathcal{M} &\xrightarrow{\sim} \left( (\mathcal{N} * L(\mathbf{F}_r)) *_{i \in I_0} [t(i), \mathcal{Q}(i)] \right) *_{i \in I_1} [t(i), \mathcal{Q}(i)] \\ &\xrightarrow{\sim} \left( (\mathcal{N} * L(\mathbf{F}_{r''})) *_{i \in I_0} [s(i), \mathcal{Q}(i)_{\frac{s(i)}{t(i)}}] \right) *_{i \in I_1} [t(i), \mathcal{Q}(i)] \\ &\xrightarrow{\sim} \left( \left( \mathcal{N} *_{i \in I_0} [s(i), \mathcal{Q}(i)_{\frac{s(i)}{t(i)}}] \right) * L(\mathbf{F}_{r''}) \right) *_{i \in I_1} [t(i), \mathcal{Q}(i)] \\ &\xrightarrow{\sim} \left( \left( \mathcal{N} *_{i \in I_0} [s(i), \mathcal{Q}(i)_{\frac{s(i)}{t(i)}}] \right) * L(\mathbf{F}_{r''}) \right) *_{i \in I_1} [s(i), \mathcal{Q}(i)_{\frac{s(i)}{t(i)}}] \\ &\xrightarrow{\sim} (\mathcal{N} * L(\mathbf{F}_{r''})) *_{i \in I} [s(i), \mathcal{Q}(i)_{\frac{s(i)}{t(i)}}], \end{aligned}$$

where  $r'' = r + \sum_{i \in I_0} t(i)^2 - s(i)^2$ , whose composition intertwines the canonical embeddings. ■

We know from [9] (see also [2]) that the interpolated free group factors  $(L(\mathbf{F}_t))_{1 < t \leq \infty}$  are either all isomorphic to each other or all mutually nonisomorphic. Some statements equivalent to isomorphism of free group factors were found in [7]. The following theorem gives another equivalent statement involving free scaled products.

**THEOREM 5.6.** *The free group factors are isomorphic if and only if the isomorphism*

$$\mathcal{N} *_{i=1}^{\infty} [t(i), \mathcal{Q}(i)] \cong \mathcal{N} * \left( *_{i=1}^{\infty} \mathcal{Q}(i)_{\frac{1}{t(i)}} \right) \tag{43}$$

*holds for every free scaled product of countably infinitely many  $\text{II}_1$ -factors.*

*Proof.* Suppose the free group factors are isomorphic. Then

$$\begin{aligned} \mathcal{N} \underset{i=1}{*}^{\infty} [t(i), \mathcal{Q}(i)] &\cong (\mathcal{N} * [t(1), \mathcal{Q}(1)]) \underset{i=2}{*}^{\infty} [t(i), \mathcal{Q}(i)] \\ &\cong \left( \mathcal{N} * \underset{i(1)}{\mathcal{Q}} \underset{i(1)}{1} * L(\mathbf{F}_{t(1)^2-1}) \right) \underset{i=2}{*}^{\infty} [t(i), \mathcal{Q}(i)] \\ &\cong \left( \mathcal{N} * \underset{i(1)}{\mathcal{Q}} \underset{i(1)}{1} * L(\mathbf{F}_{\infty}) \right) \underset{i=2}{*}^{\infty} [t(i), \mathcal{Q}(i)] \\ &\cong \mathcal{N} * \left( \underset{i=1}{*}^{\infty} \underset{i(i)}{\mathcal{Q}(i)} \underset{i(i)}{1} \right), \end{aligned}$$

where the second isomorphism is from Theorem 4.4, the third isomorphism is a consequence of isomorphism of free group factors by [7, Theorem 6] and the last isomorphism is from Theorem 4.5.

On the other hand, suppose (43) holds in general. From Theorem 3.9 we have

$$L(\mathbf{F}_4) \cong L(\mathbf{F}_2) \underset{k=1}{*}^{\infty} [2^{-k/2}, L(\mathbf{F}_2)],$$

while isomorphism (43) gives

$$L(\mathbf{F}_2) \underset{k=1}{*}^{\infty} [2^{-k/2}, L(\mathbf{F}_2)] \cong L(\mathbf{F}_2) * \left( \underset{k=1}{*}^{\infty} L(\mathbf{F}_{1+2^{-k}}) \right) \cong L(\mathbf{F}_{\infty}). \blacksquare$$

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