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## Finding decompositions of a class of separable states

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## ABSTRACT

We consider the class of separable states which admit a decomposition  $\sum_i A_i \otimes B_i$  with the  $B_i$ 's having independent images. We give a simple intrinsic characterization of this class of states. Given a density matrix in this class, we construct such a decomposition, which can be chosen so that the  $A_i$ 's are distinct with unit trace, and then the decomposition is unique. We relate this to the facial structure of the set of separable states.

The states investigated include a class that corresponds (under the Choi–Jamiołkowski isomorphism) to the quantum channels called quantum-classical and classical-quantum by Holevo.

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## 1. Introduction

A state on  $M_m \otimes M_n$  is separable if it is a convex combination of product states. States that are not separable are said to be entangled and are of substantial interest in quantum information theory since entanglement is at the heart of many applications. Some useful necessary conditions are known for separability, e.g., the PPT condition, by which a separable state must have positive partial transpose [15]. There also are some necessary and sufficient conditions, e.g. [10], which however are difficult to apply. Thus it would be of great interest to find a practical test for separability, at least for a significant class of states.

Closely related to this is the goal of finding a procedure to decompose interesting classes of separable states into a convex combination of product states. Such a procedure would not only shed light on separable states, but would provide a separability test for that class.

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We will identify states with their associated density matrix, and also consider unnormalized states, which are then associated with positive semi-definite matrices. (We will abbreviate “positive semi-definite” to simply “positive” hereafter.) Thus a density matrix  $T \in M_m \otimes M_n$  is separable if it admits a representation

$$T = \sum_{\gamma=1}^p A_\gamma \otimes B_\gamma, \quad (1)$$

where each  $A_\gamma$  and  $B_\gamma$  is positive. Such a density matrix  $T$  represents a mixed state on a bipartite quantum system composed of two subsystems, the  $A$ -system and the  $B$ -system, associated with  $M_m$  and  $M_n$  respectively.

In our previous paper [2], the authors studied separable states with such a representation with each  $A_\gamma$  and  $B_\gamma$  rank one, with the requirement that  $B_1, \dots, B_p$  be projections onto linearly independent vectors. This class of states turns out to be same as the set of separable states  $T$  with the property that  $T$  and the marginal state  $\text{tr}_A T$  (obtained by tracing out over the  $A$ -system) have the same rank, cf. Lemma 15. The equivalence of these two formulations was established for states on  $M_2 \otimes M_n$  in [14], and then in complete generality in [11, Lemma 6, and proof of Theorem 1], where it was also shown that for states satisfying this rank requirement, the PPT condition is equivalent to separability. (An alternate proof of the equivalence of these rank and independence conditions was given in [17, Lemma 13].) In [11] the authors also gave a procedure for decomposing such states into a convex sum of pure product states, based on an inductive argument for finding a certain kind of product basis, and then a reduction to a block matrix whose blocks are normal and commute. In this paper we also make use of a reduction to this type of matrix. The existence of special families of commuting normal matrices played an important role in the investigation of separability in [18] as well.

The current paper investigates separable states for which there is no rank restriction, but admitting a representation (1) in which  $B_1, \dots, B_p$  have independent images. We call such states  $B$ -independent, and give an intrinsic way to determine if a state falls in this category (Theorem 6). An interesting subcategory of such states are those with a representation (1) in which  $B_1, \dots, B_p$  have orthogonal images; we call such states  $B$ -orthogonal.

Both categories of states were previously studied in the paper [5]. In that paper the terms “classical with respect to Bob” and “generalized classical with respect to Bob” are used for what we have called  $B$ -orthogonal and  $B$ -independent. Those authors give a test for a state to be classical with respect to Bob, equivalent to that in parts (i) and (ii) of Theorem 4 of this paper. They also give a test for generalized classicality, involving a semidefinite programming algorithm. Part of our Theorem 6 gives a different (and simpler) test for  $B$ -independence.

Let  $T$  be a  $B$ -independent state. We show that without knowing an explicit decomposition to begin with, there is a canonical way to locally filter  $T$  to yield a state  $\tilde{T}$  which admits a representation (1) in which  $B_1, \dots, B_p$  are orthogonal. (Of course, there is nothing special about the  $B$ -system compared to the  $A$ -system, and all results in this paper are valid with the roles of the  $A$  and  $B$  systems interchanged.)

This is then used to give a canonical form for  $T$ , and to find a decomposition of  $T$  of the form (1), cf. Theorem 6. This decomposition can be chosen so that  $A_1, \dots, A_p$  are distinct and have unit trace, and in that case the representation is unique. It is then simple to decompose further to get a representation of  $T$  as a convex combination of pure product states (i.e., of density matrices where each is the projection onto the span of a product vector), and we describe when this decomposition is unique (Theorem 14.) Finally, we show in Theorem 11 that if a state has a representation (1) with the images of the  $A_\gamma$  disjoint and the images of the  $B_\gamma$  independent, then the face of the space  $S$  of separable states that is generated by this state is the direct convex sum of separable state spaces of lower dimension.

The density matrices investigated here are closely related to interesting classes of completely positive maps. A completely positive map  $\Phi : M_m \rightarrow M_n$  is *entanglement breaking* if  $(I \otimes \Phi)(\Gamma)$  is separable for all positive  $\Gamma$ , cf. [12, 16]. The Choi–Jamiołkowski isomorphism [6, 13] is a linear isomorphism under which completely positive maps correspond to positive matrices. Under this correspondence, entanglement breaking maps correspond to separable matrices, so the results of this paper on

convex decompositions of a class of separable states then can be transferred to give information about decompositions and identification of the corresponding class of entanglement breaking maps.

In particular, there are two important classes of entanglement breaking maps (quantum-classical channels and classical-quantum channels) that have Choi matrices in the class of separable states investigated in the current paper. These classes were originally singled out by Holevo [9], and further investigated as special cases of entanglement breaking maps by Horodecki, Shor, and Ruskai in [12, 16]. These are shown in Theorem 8 to be special cases of the classes of  $A$ -orthogonal and  $B$ -orthogonal density matrices, which play a key role in the current paper. Theorem 4 and Theorem 8 together provide an intrinsic way to identify such quantum channels without knowing an explicit Kraus decomposition ahead of time, as well as giving a procedure to find a Kraus decomposition of the appropriate form.

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## 2. A class of separable density matrices

**Definition.** Subspaces  $V_1, \dots, V_p$  of a vector space are *independent* if their sum is a direct sum. This is equivalent to the implication

$$\sum_{\gamma=1}^p x_\gamma = 0 \text{ with } x_\gamma \in V_\gamma \text{ for } 1 \leq \gamma \leq p \implies \text{all } x_\gamma = 0.$$

We now define the central class of separable density matrices that we will investigate. Later in Theorem 6 we will give an intrinsic characterization of this class.

**Definition.** A density matrix  $T \in M_m \otimes M_n$  is *B-independent* if  $T$  admits a decomposition

$$T = \sum_{\gamma=1}^p A_\gamma \otimes B_\gamma, \quad (2)$$

where  $0 \leq A_\gamma, B_\gamma$  for  $1 \leq \gamma \leq p$ , with the images of  $B_1, \dots, B_p$  independent.

**Example.** Let  $x_1, \dots, x_p \in \mathbb{C}^m$  and  $y_1, \dots, y_p \in \mathbb{C}^n$  be unit vectors, with  $y_1, \dots, y_p$  linearly independent, and  $0 < \lambda_1, \dots, \lambda_p$  with  $\sum_\gamma \lambda_\gamma = 1$ . Let  $T$  be the convex combination

$$T = \sum_{\gamma=1}^p \lambda_\gamma P_{x_\gamma} \otimes P_{y_\gamma}, \quad (3)$$

where for a unit vector  $z$ ,  $P_z$  denotes the projection onto  $\mathbb{C}z$ . Then  $T$  is  $B$ -independent. The uniqueness of such decompositions, and the facial structure of faces of the separable state space generated by such states, were investigated by the current authors in [2]. As was discussed in the introduction, such states played an important role in [11] and also appeared in [17].

We will return to the subject of  $B$ -independent states after developing some necessary results.

## 3. One sided local filtering

**Definition.** A linear map  $\Phi : M_d \rightarrow M_d$  is a *filter* if there is a positive  $A \in M_d$  such that  $\Phi(X) = AXA$ . (We do not require that  $A$  be invertible.) A map  $\Phi : M_m \otimes M_n \rightarrow M_m \otimes M_n$  is a *local filter* if there are positive  $A, B$  such that  $\Phi(X) = (A \otimes B)X(A \otimes B)$ .

Applications of filtering, e.g., to distillation of entanglement, date back at least to [3,4,7]. It is well known that we can apply a local filter to any density matrix to arrange for one or the other partial trace to be a projection, as we now describe.

**Definition.** If  $A \geq 0$ , then  $A^\#$  denotes the Penrose pseudo-inverse of  $A$ , i.e., the unique positive matrix which is zero on  $(\text{im } A)^\perp$  and satisfies  $A^\#A = AA^\# = P_A$ , where  $P_A$  is the projection onto the image of  $A$ . If a spectral decomposition of  $A$  is  $A = \sum_i \lambda_i P_i$  with all  $\lambda_i > 0$ , then  $A^\# = \sum_i \lambda_i^{-1} P_i$ .

**Definition.** We write  $\text{tr}_B$  and  $\text{tr}_A$  for the partial trace maps on  $M_m \otimes M_n$ , and if  $T \in M_m \otimes M_n$  then we write  $T_B = \text{tr}_A T$  and  $T_A = \text{tr}_B T$ .

**Definition.** Let  $0 \leq T \in M_m \otimes M_n$ . Then we denote by  $\tilde{T}$  the matrix

$$\tilde{T} = (I \otimes ((T_B)^\#)^{1/2})T(I \otimes ((T_B)^\#)^{1/2}). \tag{4}$$

We view the pair  $(\tilde{T}, T_B)$  as partitioning information about  $T$  into a state  $T_B$  that contains information about  $T$  on the subsystem  $B$ , and another part  $\tilde{T}$  which contains information about  $T$  relating to the system  $A$  as well as the interaction between  $A$  and  $B$  systems.

We will show later in this section that  $T$  can be recovered from the pair  $(\tilde{T}, T_B)$ . First, we discuss various facts about partial traces and filters which we need.

**Definition.**  $\{E_{ij}\}$  are the standard matrix units of  $M_m$ . For any matrix  $T \in M_m \otimes M_n$ , we denote by  $\{T_{ij} \mid 1 \leq i, j \leq m\}$  the unique matrices in  $M_n$  such that

$$T = \sum_{ij} E_{ij} \otimes T_{ij}.$$

If  $T = \sum_{ij} E_{ij} \otimes T_{ij} \in M_m \otimes M_n$ , then by definition

$$\text{tr}_A T = \sum_i T_{ii} \text{ and } \text{tr}_B T = \sum_{ij} \text{tr}(T_{ij})E_{ij}. \tag{5}$$

It is well-known that the partial trace maps are positive maps. We now show that they are also *faithful*, i.e., if  $T \geq 0$  and either partial trace of  $T$  is zero, then  $T$  is zero. (We expect the following is well-known, but we have included it here for lack of an explicit reference.)

**Lemma 1.** *The partial trace maps are faithful.*

**Proof.** Let  $0 \leq T = \sum_{ij} E_{ij} \otimes T_{ij} \in M_m \otimes M_n$ . We first show

$$T = 0 \iff T_{ii} = 0 \text{ for } 1 \leq i \leq m. \tag{6}$$

If  $T = 0$ , then clearly all  $T_{ij} = 0$ , so in particular all  $T_{ii} = 0$ . Conversely, suppose all  $T_{ii}$  are zero. Then  $T$  is an  $mn \times mn$  positive semidefinite matrix whose diagonal entries are all zero. Each  $2 \times 2$  principle submatrix is positive semidefinite with zeros on the diagonal, so must be the zero matrix. Thus  $T = 0$ .

Now we are ready to prove faithfulness of the partial traces. By (5), if  $\text{tr}_B(T) = 0$ , then in particular  $\text{tr}(T_{ii}) = 0$  for each  $i$ . Since  $0 \leq T$ , then  $0 \leq T_{ii}$  for each  $i$ , so  $\text{tr}(T_{ii}) = 0$  implies  $T_{ii} = 0$  for all  $i$  and thus  $T = 0$ .

On the other hand,  $\text{tr}_A(T) = 0$  implies  $\sum_i T_{ii} = 0$ , and by positivity of each  $T_{ii}$ , we again have  $T_{ii} = 0$  for each  $i$ , and thus  $T = 0$ .  $\square$

We next review some useful facts about projections and images. (For additional background, cf. [1, Chapter 3].) If  $A = A^*$  and  $P$  is a projection, then

$$\text{im } A \subset \text{im } P \iff PAP = A. \tag{7}$$

(Indeed, if  $\text{im } A \subset \text{im } P$ , then  $PA = A$ , so taking adjoints and using  $A^* = A$  gives  $A = AP$ . Then  $PAP = P(AP) = PA = A$ . The converse implication is clear.)

If  $E \in M_r$  is a projection, then we write  $E' = I - E$ , where  $I$  is the identity in  $M_r$ . Note that for  $E$  a projection in  $M_m$ ,  $(E \otimes I)' = (I \otimes I) - (E \otimes I) = E' \otimes I$ . For any projection  $R$  and positive operator  $T$  we have

$$RTR = T \iff R'TR' = 0, \tag{8}$$

cf., e.g., [1, Lemma 2.20].

Finally, we observe that if  $0 \leq A_1, A_2, \dots, A_p$ , then

$$\text{im } \sum_i A_i = \sum_i \text{im } A_i. \tag{9}$$

Indeed, for  $1 \leq j \leq p$  we have  $A_j \leq \sum_i A_i$  so  $\ker \sum_i A_i \subset \ker A_j$ . Taking orthogonal complements shows  $\text{im } A_j \subset \text{im } \sum_i A_i$ , which implies  $\sum_j \text{im } A_j \subset \text{im } \sum_i A_i$ . The opposite containment is evident, so (9) follows.

The following result is clear for separable  $T$ , but requires a little more work for general  $T$ .

**Lemma 2.** *If  $0 \leq T \in M_m \otimes M_n$ , the minimal product subspace containing the image of  $T$  is  $\text{im } T_A \otimes \text{im } T_B$ . In particular, if  $P_B$  is the projection onto the image of  $T_B$ , then  $(I \otimes P_B)T(I \otimes P_B) = T$ .*

**Proof.** Let  $V \subset \mathbb{C}^m$  and  $W \subset \mathbb{C}^n$  be subspaces, and let the corresponding projections be  $P$  and  $Q$ . Then by (7),  $\text{im } T \subset V \otimes W$  iff  $(P \otimes Q)T(P \otimes Q) = T$ .

Note  $(P \otimes Q)T(P \otimes Q) = T$  is equivalent to the combination of  $(P \otimes I)T(P \otimes I) = T$  and  $(I \otimes Q)T(I \otimes Q) = T$ . Thus it suffices to show that

$$(P \otimes I)T(P \otimes I) = T \iff \text{im } P \supset \text{im } (T_A) \tag{10}$$

together with the corresponding statement for  $T_B$ . Since the proof of the statements for  $T_A$  and  $T_B$  are essentially the same, we just will prove the statement for  $T_A$ .

We will make use of the following identity valid for all  $T \in M_m \otimes M_n$  and all  $X \in M_n$ :

$$\text{tr}_B(X \otimes I)T(X \otimes I) = X(\text{tr}_B T)X. \tag{11}$$

Thus

$$\begin{aligned} (P \otimes I)T(P \otimes I) = T &\iff (P' \otimes I)(T)(P' \otimes I) = 0 \text{ by (8)} \\ &\iff \text{tr}_B((P' \otimes I)T(P' \otimes I)) = 0 \text{ by Lemma 1} \\ &\iff P'(\text{tr}_B T)P' = 0 \text{ by (11)} \\ &\iff P \text{tr}_B TP = \text{tr}_B T \text{ by (8)} \\ &\iff \text{im } P \supset \text{im } \text{tr}_B T = \text{im } (T_A) \text{ by (7)}. \end{aligned} \tag{12}$$

This completes the proof of (10), and hence finishes the proof of the lemma.  $\square$

The next result relates properties of  $T$  and  $\tilde{T}$ , and shows that  $T$  can be recovered from the pair  $(\tilde{T}, T_B)$ .

**Lemma 3.** *Let  $0 \leq T \in M_m \otimes M_n$  and define  $\tilde{T}$  as in (4). Then*

$$T = (I \otimes T_B^{1/2})\tilde{T}(I \otimes T_B^{1/2}). \tag{13}$$

*$T$  will be separable iff  $\tilde{T}$  is separable, and  $\text{tr}_A \tilde{T} = P_B$  (where  $P_B$  is the projection onto the image of  $T_B$ ).*

**Proof.** From the definition of  $\tilde{T}$ , separability of  $T$  implies that of  $\tilde{T}$ . For  $F = ((T_B)^\#)^{1/2}$  we have

$$\text{tr}_A \tilde{T} = \text{tr}_A(I \otimes F)T(I \otimes F) = F(\text{tr}_A T)F = FT_B F = P_B.$$

Furthermore,

$$\begin{aligned} (I \otimes T_B^{1/2})\tilde{T}(I \otimes T_B^{1/2}) &= (I \otimes T_B^{1/2})(I \otimes ((T_B)^\#)^{1/2})T(I \otimes T_B^{1/2})(I \otimes ((T_B)^\#)^{1/2}) \\ &= (I \otimes P_B)T(I \otimes P_B) \end{aligned} \tag{14}$$

This would prove (13) if we knew the range of  $T$  were contained in  $\mathbb{C}^m \otimes \text{im } P_B$ . This follows from Lemma 2. Finally (13) shows that separability of  $\tilde{T}$  implies separability of  $T$ .  $\square$

#### 4. B-orthogonal density matrices

In this section we describe a canonical form for a class of positive matrices which we call *B-orthogonal*, and which is a subclass of the *B-independent* matrices. In the following section we will apply these results to achieve a canonical representation for the full class of *B-independent* matrices.

**Definition.** Positive matrices in  $M_r$  are *orthogonal* if their images are orthogonal. A density matrix  $T$  is *B-orthogonal* if it admits a representation

$$T = \sum_{\gamma=1}^p A_\gamma \otimes B_\gamma \tag{15}$$

with  $0 \leq A_\gamma, B_\gamma$  and with the  $\{B_\gamma\}$  matrices orthogonal. Similarly we say  $T$  is *A-orthogonal* if it admits a representation (15) with the  $\{A_\gamma\}$  matrices orthogonal.

The following gives a canonical form for *B-orthogonal* matrices, and a readily tested necessary and sufficient condition for *B-orthogonality*.

**Theorem 4.** Let  $0 \leq T = \sum_{ij} E_{ij} \otimes T_{ij} \in M_m \otimes M_n$ . Then the following are equivalent.

- (i)  $T$  is *B-orthogonal*.
- (ii) All  $T_{ij}$  are normal and mutually commute.

Furthermore, if  $T$  is *B-orthogonal*, then  $T$  admits a unique representation

$$T = \sum_{\gamma=1}^p A_\gamma \otimes Q_\gamma, \tag{16}$$

with  $Q_1, \dots, Q_p$  orthogonal projections, and  $A_1, \dots, A_p$  distinct nonzero positive matrices.

The projections  $Q_1, \dots, Q_p$  will be the projections onto the joint eigenspaces of  $\{T_{ij}\}$  (excluding the joint zero eigenspace), and will have sum  $P_B$  (the projection onto the image of  $T_B$ ). The matrices  $A_\gamma$  are given by

$$A_\gamma = \frac{1}{\text{tr } Q_\gamma} \text{tr}_B(I \otimes Q_\gamma)T(I \otimes Q_\gamma). \tag{17}$$

For any nonzero vector in  $\text{im } Q_\gamma$ , the associated eigenvalue of  $T_{ij}$  will be  $(A_\gamma)_{ij}$ .

**Proof.** (i)  $\implies$  (ii). If (15) holds with  $B_1, \dots, B_p$  orthogonal, then for each pair of indices  $i, j$

$$T_{ij} = \text{tr}_A((E_{ji} \otimes I)T) = \sum_{\gamma=1}^p \text{tr}(E_{ji}A_\gamma)B_\gamma. \tag{18}$$

Since  $B_1, \dots, B_p$  are orthogonal, then  $B_1, \dots, B_p$  commute. It follows that the matrices  $\{T_{ij} \mid 1 \leq i, j \leq m\}$  commute and are normal.

(ii)  $\implies$  (i) Conversely, suppose  $\{T_{ij} \mid 1 \leq i, j \leq m\}$  commute and are normal. Define  $Q_1, \dots, Q_p$  to be the projections onto the joint eigenspaces (for non zero eigenvalues) of  $\{T_{ij}\}$ . For each  $i, j$  write

$$T_{ij} = \sum_{\gamma=1}^p \lambda_\gamma^{i,j} Q_\gamma. \tag{19}$$

Then

$$\begin{aligned} T &= \sum_{ij} E_{ij} \otimes T_{ij} = \sum_{ij} E_{ij} \otimes \left( \sum_{\gamma=1}^p \lambda_\gamma^{i,j} Q_\gamma \right) \\ &= \sum_{\gamma=1}^p \left( \sum_{ij} \lambda_\gamma^{i,j} E_{ij} \right) \otimes Q_\gamma. \end{aligned} \tag{20}$$

For each  $\gamma$  define  $A_\gamma = \sum_{ij} \lambda_\gamma^{i,j} E_{ij} \in M_m$ . Then  $T = \sum_\gamma A_\gamma \otimes Q_\gamma$ . For each  $i, j, \gamma$  we have  $\lambda_\gamma^{i,j} = (A_\gamma)_{ij}$ . Thus by the definition of the joint eigenspaces of  $\{T_{ij}\}$ , for  $\gamma_1 \neq \gamma_2$  we must have  $A_{\gamma_1} \neq A_{\gamma_2}$ , and hence  $A_1, \dots, A_p$  are distinct. Now orthogonality of  $Q_1, \dots, Q_p$  implies (17).

Finally, we prove uniqueness. Suppose that we are given any representation (16) of  $T$  where  $\{Q_\gamma\}$  are orthogonal projections and  $\{A_\gamma\}$  distinct nonzero positive matrices. Then for  $1 \leq i, j \leq m$ ,

$$T_{ij} = \text{tr}_A(E_{ji} \otimes I)T = \sum_\gamma \text{tr}(E_{ji}A_\gamma)Q_\gamma.$$

Then the image of each  $Q_\gamma$  consists of eigenvectors for  $T_{ij}$  for the eigenvalues  $\text{tr}(E_{ji}A_\gamma)$ , and by distinctness of  $A_1, \dots, A_p$  for  $\gamma_1 \neq \gamma_2$  there is some pair of indices  $i, j$  such that  $\text{tr}(E_{ji}A_{\gamma_1}) \neq \text{tr}(E_{ji}A_{\gamma_2})$ , so the  $Q_\gamma$  are precisely the projections onto the joint eigenspaces.  $\square$

**Remark.** The condition (ii) is equivalent to the existence of an orthonormal basis of joint eigenvectors for  $\{T_{ij}\}$ , as is well known.

### 5. A canonical form for $B$ -independent matrices

The following describes how to map positive matrices with independent images to orthogonal projections by filtering with a positive matrix. We say an Hermitian matrix  $A \in M_n$  lives on a subspace  $H$  of  $\mathbb{C}^n$  if  $\text{im } A \subset H$  (or equivalently, if  $A = 0$  on  $H^\perp$ ).

**Lemma 5.** Let  $X_1, \dots, X_p$  be positive matrices in  $M_n$  with  $\text{im } X_1, \dots, \text{im } X_p$  independent, and let  $P$  be the projection on the image of  $\sum_i X_i$ . Then

$$A = \left( \left( \sum_i X_i \right)^\# \right)^{1/2}$$

is the unique positive matrix living on  $\text{im } P$  such that  $\{AX_iA \mid 1 \leq i \leq p\}$  are orthogonal projections with sum  $P$ .

**Proof.** Let  $A = ((\sum_i X_i)^\#)^{1/2}$ , and define  $Y_i = AX_iA$  for  $1 \leq i \leq p$ . Then

$$\sum_i Y_i = \sum_i AX_iA = A \left( \sum_i X_i \right) A = P,$$

where  $P$  is the projection onto the image of  $\sum_i X_i$ . By assumption,  $\text{im } X_1, \dots, \text{im } X_p$  are independent. Since for each  $i$ ,  $A$  is invertible on  $\text{im } P \supset \text{im } X_i$ , and  $\text{im } Y_i \subset A(\text{im } X_i)$ , then  $Y_1, \dots, Y_p$  have independent images. Now for  $1 \leq j \leq p$ ,

$$Y_j = PY_j = \sum_i Y_i Y_j,$$

and then independence of the  $Y$ 's implies  $Y_i Y_j = 0$  for  $i \neq j$ , and  $Y_j^2 = Y_j$ , so  $Y_1, \dots, Y_p$  are orthogonal projections with sum  $P$ .

Finally, to prove uniqueness, suppose that  $0 \leq A_0$ , with  $A_0$  living on  $\text{im } P$  and with  $\{A_0 X_i A_0 \mid 1 \leq i \leq p\}$  projections with sum  $P$ . Then  $\text{im } A_0 \subset \text{im } P$ , and

$$A_0 \left( \sum_i X_i \right) A_0 = P, \tag{21}$$

so  $\text{im } A_0 = \text{im } P$ . Multiplying (21) by  $A_0^\#$  on left and right of each side gives  $\sum_i X_i = (A_0^\#)^2$ , so  $A_0 = ((\sum_i X_i)^\#)^{1/2}$ .  $\square$

**Theorem 6.** Let  $0 \leq T \in M_m \otimes M_n$ . The following are equivalent.

- (i)  $T$  is  $B$ -independent.
- (ii)  $\tilde{T}$  is  $B$ -orthogonal.
- (iii) All  $\tilde{T}_{ij}$  are normal and mutually commute.

If  $T$  is  $B$ -independent then  $T$  admits a unique decomposition

$$T = \sum_{\gamma=1}^p A_\gamma \otimes B_\gamma \tag{22}$$

with  $0 \leq A_\gamma, B_\gamma$ ,  $\text{tr } A_\gamma = 1$ ,  $A_1, \dots, A_p$  distinct, and  $B_1, \dots, B_p$  independent.

Let  $Q_1, \dots, Q_p$  be the projections corresponding to the joint eigenspaces of  $\{\tilde{T}_{ij}\}$  excluding the subspace corresponding to the zero eigenvalue. Then the unique decomposition (22) is given by

$$B_\gamma = (T_B)^{1/2} Q_\gamma (T_B)^{1/2} \tag{23}$$

and

$$A_\gamma = \frac{1}{\text{tr } Q_\gamma} \text{tr}_B(I \otimes Q_\gamma) \tilde{T} (I \otimes Q_\gamma), \tag{24}$$

and the sum of the projections  $Q_\gamma$  will be  $P_B$  (the projection onto the image of  $T_B$ ). For any nonzero vector in  $\text{im } Q_\gamma$ , the associated eigenvalue of  $\tilde{T}_{ij}$  will be  $(A_\gamma)_{ij}$ .



**Proof.** If  $T$  is  $B$ -independent, then by definition there are positive matrices  $A_1, \dots, A_p$  and positive matrices  $B_1, \dots, B_p$  with independent images such that

$$T = \sum_{\gamma=1}^p A_\gamma \otimes B_\gamma. \tag{25}$$

If necessary, we absorb scalar factors into the  $B_\gamma$  so that  $\text{tr } A_\gamma = 1$  for all  $\gamma$ , and we combine terms if necessary so that  $A_1, \dots, A_p$  are distinct.

Now by the definition (4) of  $\tilde{T}$ ,

$$\tilde{T} = \sum_{\gamma=1}^p A_\gamma \otimes ((T_B)^\#)^{1/2} B_\gamma ((T_B)^\#)^{1/2} = \sum_{\gamma=1}^p A_\gamma \otimes Q_\gamma, \tag{26}$$

where

$$Q_\gamma = ((T_B)^\#)^{1/2} B_\gamma ((T_B)^\#)^{1/2}. \tag{27}$$

By Lemma 5, since  $T_B = \sum_\gamma B_\gamma$ , then  $Q_1, \dots, Q_p$  are orthogonal projections with sum the projection onto the image of  $\sum_\gamma B_\gamma$ , and hence  $\sum_\gamma Q_\gamma = P_B$ . Thus  $\tilde{T}$  is  $B$ -orthogonal. Furthermore, by the uniqueness statement of Theorem 4,  $Q_\gamma$  and  $A_\gamma$  must be as described in that theorem (with  $\tilde{T}$  in place of  $T$ ). By (27), since each  $B_\gamma$  has range contained in the range of  $T_B$ , then multiplying (27) on both sides by  $T_B^{1/2}$  gives (23), and (24) follows either from (26) or from Theorem 4. Thus we have shown that if  $T$  is  $B$ -independent, then  $T$  admits a unique representation as specified in the theorem.

To show that  $B$ -orthogonality of  $\tilde{T}$  implies  $B$ -independence of  $T$ , we apply Theorem 4 again. We have the representation

$$\tilde{T} = \sum_\gamma A_\gamma \otimes Q_\gamma,$$

where  $A_\gamma$  and  $Q_\gamma$  are defined as in Theorem 4 with  $\tilde{T}$  in place of  $T$ . Note that the image of each  $Q_\gamma$  will be contained in the image of  $\tilde{T}_B$ , and  $\tilde{T}_B = P_B$  by Lemma 3. Define  $B_1, \dots, B_p$  by (23). Orthogonality of the  $Q_\gamma$  implies that their images are independent. By definition,  $(T_B)^{1/2}$  is invertible on the range of  $T_B$ , and  $\text{im } B_\gamma \subset (T_B)^{1/2}(\text{im } Q_\gamma)$ , so  $B_1, \dots, B_p$  have independent images and are positive. By Eq. (13) of Lemma 3,

$$T = (I \otimes T_B^{1/2}) \tilde{T} (I \otimes T_B^{1/2}) = \sum A_\gamma \otimes B_\gamma,$$

so  $T$  is  $B$ -independent.

Finally, equivalence of (ii) and (iii) follows from Theorem 4.  $\square$

### 6. Connections with QC and CQ quantum channels

We will show in this section that the quantum channels known as classical-quantum channels and quantum-classical channels correspond under the Choi–Jamiołkowski isomorphism to density matrices that are in the classes of matrices we have called  $A$ -orthogonal or  $B$ -orthogonal respectively. The remainder of this paper is independent of this section.

**Definition.** Let  $\Phi : M_m \rightarrow M_n$  be a quantum channel (i.e., a completely positive trace preserving map). If it is possible to choose  $0 \leq F_1, \dots, F_q \in M_m, 0 \leq R_1, \dots, R_q$ , and  $\text{tr } R_k = 1$  for all  $k$  such that

$$\Phi(X) = \sum_{k=1}^q \text{tr}(F_k X) R_k, \tag{28}$$

such a representation is called a *Holevo form* for  $\Phi$ . (Note that since  $\Phi$  is assumed to be trace preserving, we must have  $\sum_k F_k = I$ .)

The following notion is due to Holevo [9], and was further investigated in [12] in the context of entanglement breaking maps.

**Definition.** A quantum channel  $\Phi : M_m \rightarrow M_n$  is a *classical-quantum* (CQ) channel if  $\Phi$  admits a Holevo form (28) with  $F_1, \dots, F_q$  rank one projections (necessarily with sum  $I_m$  since  $\Phi$  is a quantum channel). Similarly, one says  $\Phi$  is a *quantum-classical* (QC) channel if  $\Phi$  admits a Holevo form with  $R_1, \dots, R_q$  rank one projections with sum  $I_n$ .

**Definition.** If  $\Phi : M_m \rightarrow M_n$  is a linear map, the associated *Choi matrix* is the matrix in  $M_m \otimes M_n$  defined by

$$C_\Phi = \sum_{ij} E_{ij} \otimes \Phi(E_{ij}),$$

where  $\{E_{ij}\}$  are the standard matrix units of  $M_m$ .

It was shown by Choi [6] that  $\Phi$  is completely positive iff  $C_\Phi$  is positive semi-definite. Note that  $\Phi$  will be trace preserving iff  $\text{tr} \Phi(E_{ij}) = \delta_{ij}$ , or equivalently, iff  $\text{tr}_B C_\Phi = I$ .

**Lemma 7.** Let  $F_1, \dots, F_q \in M_m$  and  $R_1, \dots, R_q \in M_n$ . Define  $\Phi : M_m \rightarrow M_n$  by

$$\Phi(X) = \sum_k \text{tr}(F_k X) R_k.$$

Then the corresponding Choi matrix is

$$C_\Phi = \sum_k F_k^t \otimes R_k. \tag{29}$$

**Proof.** This follows from [19, Theorem 2 and Lemma 5], or directly from the definition of the Choi matrix:

$$\begin{aligned} C_\Phi &= \sum_{ij} E_{ij} \otimes \Phi(E_{ij}) = \sum_{ij} E_{ij} \otimes \sum_k \text{tr}(E_{ij} F_k) R_k \\ &= \sum_k \left( \sum_{ij} \text{tr}(E_{ij} F_k) E_{ij} \right) \otimes R_k \\ &= \sum_k \left( \sum_{ij} \text{tr}(E_{ji} F_k^t) E_{ij} \right) \otimes R_k \\ &= \sum_k F_k^t \otimes R_k, \end{aligned}$$

where the final equality follows from the fact that the matrix units  $\{E_{ij}\}$  are an orthonormal basis for  $M_m$  with respect to the Hilbert-Schmidt inner product.  $\square$

**Theorem 8.** Let  $0 \leq T \in M_m \otimes M_n$ .

- (i)  $T$  is the Choi matrix for a QC channel iff  $T$  is  $B$ -orthogonal with  $\text{tr}_B T = I$ .
- (ii)  $T$  is the Choi matrix for a CQ channel iff  $T$  is  $A$ -orthogonal with  $\text{tr}_B T = I$ .

**Proof.** (i) Let  $\Phi : M_m \rightarrow M_n$  be a QC channel with Choi matrix  $T$ . By definition, there is a Hovevo representation (28) with  $R_1, \dots, R_n$  rank one projections with sum  $I_n$ . By Lemma 7 the Choi matrix for  $\Phi$  is

$$T = \sum_{k=1}^n F_k^t \otimes R_k.$$

Since  $\sum_i R_i = I_n$ , then  $R_1, \dots, R_n$  are orthogonal, so  $T$  is  $B$ -orthogonal. Since  $\Phi$  is a quantum channel, then  $\text{tr}_B T = I$ .

Conversely, suppose  $T$  is  $B$ -orthogonal with  $\text{tr}_B T = I$  and  $\text{rank tr}_A T = n$ . Since  $T \geq 0$ , then  $\Phi$  is completely positive, and since  $\text{tr}_B T = I$ , then  $T$  is trace preserving, so  $T$  is a quantum channel. By definition of  $B$ -orthogonality, we can write

$$T = \sum_{k=1}^p A_k \otimes B_k$$

with  $0 \leq A_1, \dots, A_p$  and  $0 \leq B_1, \dots, B_p$  with  $B_1, \dots, B_p$  orthogonal. Via its spectral decomposition, we replace each  $B_j$  by a linear combination of orthogonal rank one projections, and absorb scalar factors into the  $A_j$ 's. Then we can write

$$T = \sum_{j=1}^q F_j^t \otimes R_j \tag{30}$$

with  $R_1, \dots, R_q$  orthogonal rank one projections. Clearly  $q \leq n$ . If  $q < n$ , we can define  $F_{q+1}, \dots, F_n$  to be zero, and choose rank one projections  $R_{q+1}, \dots, R_n$  so that  $\sum_i R_i = I_n$ . Thus  $\Phi$  admits a Hovevo form (30) in which  $R_1, \dots, R_n$  are rank one projections with sum  $I_n$ , so  $\Phi$  is a QC channel.

The proof of the characterization of QC channels is similar.  $\square$

## 7. Faces of the separable state space

A *face* of a convex set  $C$  is a convex subset  $F$  such that if  $A$  and  $B$  are points in  $C$  and a convex combination  $tA + (1-t)B$  with  $0 < t < 1$  is in  $F$ , then  $A$  and  $B$  are in  $F$ . The intersection of faces is always a face, so for each point  $A \in C$  there is a smallest face of  $C$  containing  $A$ , denoted  $\text{face}_C A$ .

We let  $K$  (or  $K_d$ ) denote the convex set of states on  $M_d$ , i.e., the density matrices, and  $S$  (or  $S_{mn}$ ) denotes the convex set of separable states on  $M_m \otimes M_n$ . There is a canonical 1-1 correspondence between subspaces of  $\mathbb{C}^d$  and faces of the state space  $K_d$ . If  $H$  is a subspace of  $\mathbb{C}^d$  and  $P$  is the projection onto  $H$ , then the associated face of  $K_d$  is

$$F_P = \{A \in K_d \mid \text{im } A \subset \text{im } P\} = \{A \in K_d \mid \text{im } A \subset H\}. \tag{31}$$

This correspondence of subspaces of  $\mathbb{C}^d$  and faces of  $K_d$  follows from, e.g., [1, Eq. (3.14)], which says that

$$F_P = \{A \in K_d \mid A = PAP\}.$$

By (7) this is equivalent to (31). (Eq. (3.14) of [1] is stated in terms of positive linear functionals  $\rho$  on  $M_d$  associated with the density matrices  $A$  in  $M_d$  via  $\rho(X) = \text{tr}(AX)$ , but it translates easily to (31) above.)

From this it follows that faces of the state space of  $M_m \otimes M_n$  are themselves "mini state-spaces", i.e., are affinely isomorphic to some  $K_p$  for  $p \leq mn$ . The extreme points of  $K$  are precisely the pure states  $P_x$ , where  $P_x$  denotes the projection onto the span of the unit vector  $x$ .

We recall for use below that the separable state space  $S$  is compact, as is any face (since faces of closed finite dimensional convex sets are always closed.) The extreme points of  $S$  are precisely the pure product states  $P_{x \otimes y}$ .

We now prove that certain faces of the separable state space are themselves “mini separable state spaces”, i.e., are affinely isomorphic to the separable state space  $S_{pq}$  of  $M_p \otimes M_q$  for some  $p \leq m, q \leq n$ .

**Notation.** If  $V, W$  are subspaces of  $\mathbb{C}^m, \mathbb{C}^n$  respectively with  $\dim V = p, \dim W = q$ , then  $\text{Sep}(V \otimes W)$  denotes the separable states in  $M_m \otimes M_n$  that live on  $V \otimes W$  (i.e., whose image is contained in  $V \otimes W$ ). Note  $\text{Sep}(V \otimes W)$  is affinely isomorphic to the separable state space  $S_{pq}$ .

We will make frequent use of the following implication for subspaces  $V \subset \mathbb{C}^m, W \subset \mathbb{C}^n$ :

$$\text{for } x \in \mathbb{C}^m, y \in \mathbb{C}^n, \quad 0 \neq x \otimes y \in V \otimes W \implies x \in V \text{ and } y \in W,$$

which follows immediately by expanding bases of  $V$  and  $W$  to bases of  $\mathbb{C}^m$  and  $\mathbb{C}^n$  and expressing  $x$  and  $y$  in terms of these bases. (Alternatively, cf. [8, Eq. (1.7)].

**Lemma 9.** Let  $A \in M_m, B \in M_n$  be density matrices. Then

$$\text{face}_S(A \otimes B) = \text{Sep}(\text{im } A \otimes \text{im } B).$$

**Proof.** Note that both sides are compact convex sets, and hence are the convex hull of their extreme points. The extreme points of both sides will be pure product states, so we can restrict consideration to such states.

Suppose  $P_{x \otimes y} \in \text{face}_S(A \otimes B)$ . This is contained in  $\text{face}_K(A \otimes B)$ , which consists of the density matrices whose images are contained in  $\text{im}(A \otimes B) = \text{im } A \otimes \text{im } B$ . Thus  $x \in \text{im } A$  and  $y \in \text{im } B$ , so  $P_{x \otimes y} \in \text{Sep}(\text{im } A \otimes \text{im } B)$ . Thus we shown

$$\text{face}_S(A \otimes B) \subset \text{Sep}(\text{im } A \otimes \text{im } B).$$

For the opposite inclusion, suppose  $P_{x \otimes y}$  is any extreme point of  $\text{Sep}(\text{im } A \otimes \text{im } B)$ . Then  $x \otimes y \in \text{im } A \otimes \text{im } B$  implies that  $x \in \text{im } A$  and  $y \in \text{im } B$ . Hence  $P_x$  is in  $\text{face}_K(A)$  and  $P_y \in \text{face}_K(B)$ , so there exists a scalar  $\lambda > 0$  such that  $\lambda P_x \leq A$  and  $\lambda P_y \leq B$ . Then

$$A \otimes B = [(A - \lambda P_x) + \lambda P_x] \otimes [(B - \lambda P_y) + \lambda P_y]$$

Expanding the right sides gives four separable (unnormalized) states, and hence

$$P_x \otimes P_y = P_{x \otimes y} \in \text{face}_S(A \otimes B).$$

Thus

$$\text{Sep}(\text{im } A \otimes \text{im } B) \subset \text{face}_S(A \otimes B),$$

which completes the proof of the lemma.  $\square$

**Lemma 10.** Let  $V_1, \dots, V_q$  be subspaces of  $\mathbb{C}^m$  and  $W_1, W_2, \dots, W_q$  independent subspaces of  $\mathbb{C}^n$ . If  $0 \neq x \otimes y \in \mathbb{C}^m \otimes \mathbb{C}^n$ , let  $J = \{\gamma \mid x \in V_\gamma\}$ . Then

$$x \otimes y \in \sum_{\gamma=1}^q V_\gamma \otimes W_\gamma \tag{32}$$

iff  $J$  is nonempty and  $y \in \sum_{\gamma \in J} W_\gamma$ .

**Proof.** Assume (32) holds. Then

$$x \otimes y \in \sum_{\gamma=1}^q V_\gamma \otimes W_\gamma \subset \left( \sum_{\gamma} V_\gamma \right) \otimes \left( \sum_{\gamma} W_\gamma \right)$$

so  $x \in \sum_{\gamma} V_{\gamma}$  and  $y \in \sum_{\gamma} W_{\gamma}$ . Thus without loss of generality we may assume  $\sum_{\gamma} V_{\gamma} = \mathbb{C}^m$  and  $\sum_{\gamma} W_{\gamma} = \mathbb{C}^n$ .

Let  $P_1, \dots, P_q$  be the (non-self-adjoint) projection maps corresponding to the linear direct sum decomposition  $\mathbb{C}^n = W_1 \oplus \dots \oplus W_q$ . Then for  $1 \leq \beta \leq q$

$$x \otimes P_{\beta}y = (I \otimes P_{\beta})(x \otimes y) \in V_{\beta} \otimes W_{\beta}. \tag{33}$$

If we choose  $\beta$  so that  $P_{\beta}y \neq 0$ , then  $x \in V_{\beta}$ , so  $J$  is not empty. Then for  $\gamma \notin J$ , we have  $x \notin V_{\gamma}$ , so by (33),  $P_{\gamma}y = 0$ . It follows that  $y \in \sum_{\gamma \in J} W_{\gamma}$ .

Conversely, suppose  $J$  is nonempty and  $y \in \sum_{\gamma \in J} W_{\gamma}$ , say  $y = \sum_{\gamma \in J} y_{\gamma}$ . Then

$$x \otimes y = \sum_{\gamma \in J} x \otimes y_{\gamma} \in \sum_{\gamma=1}^q V_{\gamma} \otimes W_{\gamma}. \quad \square$$

We say the convex hull of a collection of convex sets  $\{C_{\alpha}\}$  is a *direct convex sum* if each point  $x$  in the convex hull has a unique convex decomposition  $x = \sum_{\alpha} \lambda_{\alpha}x_{\alpha}$  with  $x_{\alpha} \in C_{\alpha}$ . In the theorem below,  $\text{co} \bigoplus$  denotes the direct convex sum.

**Theorem 11.** *Let  $T = \sum_{\gamma} A_{\gamma} \otimes B_{\gamma}$  be a density matrix in  $M_m \otimes M_n$ . Assume that  $A_1, \dots, A_p$  are density matrices with pairwise disjoint ranges, and that  $B_1, \dots, B_p$  are positive matrices with independent images. Then the face of the separable state space  $S$  generated by  $T$  is the direct convex sum*

$$\text{face}_S T = \text{co} \bigoplus_{\gamma=1}^p \text{face}_S(A_{\gamma} \otimes B_{\gamma}). \tag{34}$$

**Proof.** We first show that the convex hull on the right side of (34) is a direct convex sum. First note that by the assumption that the images of the  $B_{\gamma}$  are independent, it follows that the subspaces  $\text{im } A_{\gamma} \otimes \text{im } B_{\gamma}$  are independent. (Indeed, combining product bases of  $\text{im } A_1 \otimes \text{im } B_1, \dots, \text{im } A_p \otimes \text{im } B_p$  gives a basis of  $\sum_{\gamma} \text{im } A_{\gamma} \otimes \text{im } B_{\gamma}$ , from which the independence claim follows.)

Now suppose  $C_{\gamma}, D_{\gamma} \in \text{face}_S(A_{\gamma} \otimes B_{\gamma})$  for  $1 \leq \gamma \leq p$ , and that

$$\sum_{\gamma} C_{\gamma} = \sum_{\gamma} D_{\gamma}. \tag{35}$$

Then for any  $\xi \in \mathbb{C}^m \otimes \mathbb{C}^n$

$$\sum_{\gamma} C_{\gamma}\xi = \sum_{\gamma} D_{\gamma}\xi.$$

Since

$$\text{face}_S(A_{\gamma} \otimes B_{\gamma}) \subset \text{face}_K(A_{\gamma} \otimes B_{\gamma}) = \{E \in K \mid \text{im } E \subset \text{im}(A_{\gamma} \otimes B_{\gamma})\},$$

then for each  $\gamma$ ,  $C_{\gamma}\xi$  and  $D_{\gamma}\xi$  are in  $\text{im}(A_{\gamma} \otimes B_{\gamma}) = \text{im } A_{\gamma} \otimes \text{im } B_{\gamma}$ . Hence by independence of the subspaces  $\text{im } A_{\gamma} \otimes \text{im } B_{\gamma}$ , we must have  $C_{\gamma}\xi = D_{\gamma}\xi$  for each  $\gamma$  and each vector  $\xi$ . Therefore  $C_{\gamma} = D_{\gamma}$  for all  $\gamma$ , showing that the convex hull is indeed a direct convex sum.

Next we prove the equality in (34). Suppose  $P_{x \otimes y}$  is in the left side. Since the face that the state  $P_{x \otimes y}$  generates in  $S$  is contained in the face this state generates in  $K$ , then  $x \otimes y$  is contained in the image of  $\sum_{\gamma} A_{\gamma} \otimes B_{\gamma}$ , which is  $\sum_{\gamma} \text{im } A_{\gamma} \otimes \text{im } B_{\gamma}$  (cf. (9)). Since by assumption  $A_1, \dots, A_p$  are disjoint, the set  $J$  in Lemma 10 is a singleton set, so there is some  $\beta$  such that  $x \in \text{im } A_{\beta}$  and  $y \in \text{im } B_{\beta}$ . Then by Lemma 9,  $P_{x \otimes y} \in \text{face}_S(A_{\beta} \otimes B_{\beta})$ , which shows the left side of (34) is contained in the right.

The extreme points of the right side are each contained in some  $\text{face}_S(A_\beta \otimes B_\beta)$ , and since  $A_\beta \otimes B_\beta$  is one of the summands on the left, then

$$\text{face}_S(A_\beta \otimes B_\beta) \subset \text{face}_S\left(\sum_{\gamma} A_\gamma \otimes B_\gamma\right),$$

which completes the proof of (34).  $\square$

**Lemma 12.** *If  $x$  is a unit vector in  $\mathbb{C}^m$  and  $B$  is a density matrix in  $M_n$ , then*

$$\text{face}_S(P_x \otimes B) = \text{face}_{K_{mn}}(P_x \otimes B) = P_x \otimes \text{face}_{K_n} B. \tag{36}$$

**Proof.** By Lemma 9,

$$\text{face}_S(P_x \otimes B) = \text{Sep}(\text{im } P_x \otimes \text{im } B) = \text{Sep}(\mathbb{C}x \otimes \text{im } B).$$

Since every vector in  $\mathbb{C}x \otimes \text{im } B$  is a product vector, every density matrix whose image is contained in  $\mathbb{C}x \otimes \text{im } B$  is separable, as can be seen from its spectral decomposition. Thus by (31)

$$\text{Sep}(\text{im } P_x \otimes \text{im } B) = \{E \in K_{mn} \mid \text{im } E \subset \text{im}(P_x \otimes B)\} = \text{face}_{K_{mn}}(P_x \otimes B),$$

so the first equality of (36) follows.

Now we prove the second equality of (36). If  $T = P_x \otimes A$  with  $A \in \text{face}_{K_n} B$ , then

$$\text{im } T = \text{im}(P_x \otimes \text{im } A) \subset \text{im}(P_x \otimes B)$$

implies that  $T \in \text{face}_{K_{mn}}(P_x \otimes B)$ , so we have shown

$$P_x \otimes \text{face}_{K_n} B \subset \text{face}_{K_{mn}}(P_x \otimes B).$$

To prove the reverse inclusion, let  $T \in \text{face}_{K_{mn}}(P_x \otimes B)$ . Then  $\text{im } T \subset \mathbb{C}x \otimes \text{im } B$ . We will prove there exists  $A \in \text{face}_{K_n} B$  such that  $T = P_x \otimes A$ , which will complete the proof of the lemma.

Since  $\text{im } T \subset \mathbb{C}x \otimes \text{im } B$ , for each  $y \in \mathbb{C}^n$  there exists a unique  $w \in \text{im } B$  such that  $T(x \otimes y) = x \otimes w$ . Define  $A \in M_n$  by  $x \otimes Ay = T(x \otimes y)$  for  $y \in \mathbb{C}^n$ , and observe that  $\text{im } A \subset \text{im } B$ .

For  $z \in \mathbb{C}^m$ , if  $z = x$  or  $z \perp x$  we have  $T(z \otimes y) = (P_x \otimes A)(z \otimes y)$ . It follows that  $T = P_x \otimes A$ . Since  $T$  is a density matrix, it follows that  $A$  also is a density matrix. Since  $\text{im } A \subset \text{im } B$ , then  $A \in \text{face}_{K_n} B$ . Thus  $T \in P_x \otimes \text{face}_{K_n} B$ .  $\square$

In Theorem 11, the faces of the separable state space are expressed in terms of other (smaller) separable state spaces. In some circumstances, these are actually state spaces of the full matrix algebras, as we now show. (This generalizes [2, Theorem 4].)

**Theorem 13.** *Let  $T = \sum_{\gamma=1}^p A_\gamma \otimes B_\gamma$  be a density matrix in  $M_m \otimes M_n$ . Assume that  $A_1, \dots, A_p$  are rank one density matrices, and that  $B_1, \dots, B_p$  are positive matrices with independent images. Then there are unit vectors  $x_1, \dots, x_q$  in  $\mathbb{C}^m$ , with  $P_{x_1}, \dots, P_{x_q}$  distinct, and independent density matrices  $C_1, \dots, C_q$  in  $M_n$ , such that  $T$  admits the convex decomposition*

$$T = \sum_{\nu=1}^q \lambda_\nu P_{x_\nu} \otimes C_\nu. \tag{37}$$

This decomposition is unique, and the face of  $S$  generated by each  $P_{x_\nu} \otimes C_\nu$  is also a face of  $K_{mn}$ , so that

$$\text{face}_S T = \text{co} \bigoplus_{\nu=1}^q \text{face}_{K_{mn}}(P_{x_\nu} \otimes C_\nu) = \text{co} \bigoplus_{\nu=1}^q (P_{x_\nu} \otimes \text{face}_{K_n} C_\nu). \tag{38}$$

**Proof.** By assumption, each  $A_\gamma$  is a positive scalar multiple of a projection  $P_{x_\gamma}$ , where  $x_\gamma$  is a unit vector in  $\mathbb{C}^m$ . Absorbing this scalar into  $B_\gamma$ , we write the given decomposition in the form

$$T = \sum_{\gamma=1}^p P_{x_\gamma} \otimes \tilde{B}_\gamma.$$

Now we collect together terms where the first factors  $P_{x_\gamma}$  coincide. In precise terms, we define an equivalence relation on the indices  $\{1, \dots, p\}$  by  $\gamma \sim \kappa$  if  $\mathbb{C}x_\gamma = \mathbb{C}x_\kappa$ , or equivalently if  $P_{x_\gamma} = P_{x_\kappa}$ . Let  $J$  be the set of equivalence classes, and for each equivalence class  $\nu \in J$  choose a representative  $\gamma \in \nu$  and define  $\tilde{x}_\nu = x_\gamma$ . Then

$$T = \sum_{\nu \in J} P_{\tilde{x}_\nu} \otimes C'_\nu,$$

where  $C'_\nu = \sum_{\gamma \in \nu} \tilde{B}_\gamma$ . Define  $q = |J|$ ; numbering the members of  $J$  in sequence gives a decomposition of the form specified in the theorem.

Since the images of the  $P_{\tilde{x}_\nu}$  are disjoint, the final statement of the theorem follows from Theorem 11 and Lemma 12.  $\square$

### 8. Decompositions into pure product states

If  $T$  is  $B$ -independent, Theorem 6 provides a canonical way to decompose  $T$ . Then with the notation of Theorem 6, we can decompose each  $A_\gamma$  and  $B_\gamma$  further via the spectral theorem into linear combinations of rank one projections, and this gives a representation of  $T$  as a convex combination of pure product states. The next result describes when this decomposition into pure product states is unique, generalizing the uniqueness result in [2, Corollary 5].

**Theorem 14.** *If  $T \in M_m \otimes M_n$  is a  $B$ -independent density matrix, then there is a unique decomposition of  $T$  as a convex combination of pure product states iff in the canonical decomposition (22) of Theorem 6, each  $A_\gamma$  and each  $B_\gamma$  has rank one. Thus the decomposition of  $T$  into pure product states is unique iff  $T$  can be written as a convex combination*

$$T = \sum_{\gamma=1}^p \lambda_\gamma P_{x_\gamma} \otimes P_{y_\gamma}$$

with unit vectors  $y_1, \dots, y_p$  that are linearly independent, and unit vectors  $x_1, \dots, x_p$  such that  $P_{x_1}, \dots, P_{x_p}$  are distinct.

**Proof.** Suppose that  $T$  is  $B$ -independent and admits a unique decomposition as a convex combination of pure product states. Let  $T = \sum_\gamma A_\gamma \otimes B_\gamma$  be the canonical decomposition of  $T$  given in Theorem 6. If any  $A_\beta$  does not have rank one, then there are infinitely many ways to write  $A_\beta$  as a convex combination of pure states, which when combined with any decomposition into rank one projections for the other  $A_\gamma$  and each  $B_\gamma$  gives infinitely many decompositions of  $T$  into pure product states. The same argument applies if any  $B_\gamma$  does not have rank one. Hence if  $T$  admits a unique convex decomposition into pure product states, each  $A_\gamma$  and  $B_\gamma$  must have rank one.

Conversely, assume that  $T$  can be written as a convex combination

$$T = \sum_\gamma \lambda_\gamma P_{x_\gamma} \otimes P_{y_\gamma}$$

with  $\{P_{x_\gamma}\}$  distinct and with  $\{y_\gamma\}$  independent. This decomposition satisfies the hypotheses of Theorem 13, and thus  $\text{face}_5(T)$  will be the direct convex sum of the singleton faces  $\{P_{x_\gamma} \otimes P_{y_\gamma}\}$ . Now suppose that we are given any other convex decomposition into pure product states

$$T = \sum_\nu t_\nu P_{z_\nu} \otimes P_{w_\nu},$$

where we are not making any assumption about independence of  $\{P_{W_v}\}$  or distinctness of  $\{P_{Z_v}\}$ . Then each  $P_{Z_v} \otimes P_{W_v}$  is in  $\text{face}_S T$  and is an extreme point of the separable state space  $S$ . By the definition of a direct convex sum, we conclude that each  $P_{Z_v} \otimes P_{W_v}$  must coincide with some  $P_{x_\gamma} \otimes P_{y_\gamma}$ . Thus the convex decomposition of  $T$  into pure product states is unique.  $\square$

**Remark.** One might suspect that for the uniqueness conclusion in Theorem 14, it would suffice for the joint eigenspaces of the  $(\tilde{T})_{ij}$  to be one dimensional, but this is not correct, as can be seen by considering  $A \otimes P_y$  where  $\text{rank } A > 1$ .

### 9. The marginal rank condition

In this section we specialize previous results to an important class of separable states.

**Definition.** A density matrix  $T \in M_m \otimes M_n$  satisfies the *marginal rank condition* if  $\text{rank } T = \max(\text{rank } T_A, \text{rank } T_B)$ , which reduces to  $\text{rank } T = \text{rank } T_B$  if  $m \leq n$ , which we will assume in the sequel.

We will see that such matrices, if separable, are  $B$ -independent. The following lemma for states on  $M_m \otimes M_n$  appeared for  $m = 2$  in [14], and for general  $m, n$  in [11, Lemma 6, and proof of Theorem 1]. An alternate shorter proof for general  $m, n$  can be found in [17, Lemma 13]. It shows that separable density matrices satisfying the marginal rank condition are the same as those that admit a representation (1) with each  $A_\gamma$  and  $B_\gamma$  of rank one, and with  $B_1, \dots, B_p$  independent.

**Lemma 15.** *Let  $T$  be separable. Then  $T$  admits a decomposition  $T = \sum_{i=1}^p \lambda_i P_{x_i \otimes y_i}$  with  $y_1, \dots, y_p$  independent iff  $\text{rank } T = \text{rank } T_B$ .*

We now show that Theorem 6 gives a practical way to check whether a particular matrix satisfying the marginal rank condition is separable. Theorem 6 then also provides a way to find an explicit representation of  $T$  as a convex combination of tensor products of positive matrices. (For testing separability, the PPT test also suffices, cf. [11].)

**Theorem 16.** *Let  $T \in M_m \otimes M_n$  with  $\text{rank } T = \text{rank } T_B$ . Define  $\tilde{T}$  as in (4). Then  $T$  is separable iff the matrices  $(\tilde{T})_{ij}$  are normal and commute.*

**Proof.** Assume  $T$  has marginal rank. If  $T$  is separable then by Lemma 15,  $T$  is  $B$ -independent, and hence by Theorem 6, the matrices  $(\tilde{T})_{ij}$  are normal and commute. Conversely, if these matrices are normal and commute, then by Theorem 6  $T$  is  $B$ -independent, and hence separable.  $\square$

**Corollary 17.** *If  $T$  is separable of marginal rank, then  $T$  admits a unique decomposition*

$$T = \sum_{\gamma=1}^p P_{x_\gamma} \otimes B_\gamma,$$

with  $B_1, \dots, B_p$  positive matrices with independent images, and  $x_1, \dots, x_p$  unit vectors with  $P_{x_1}, \dots, P_{x_p}$  distinct.

**Proof.** By Theorem 6, there is a unique decomposition

$$T = \sum_{\gamma} A_\gamma \otimes B_\gamma,$$

where each  $A_\gamma$  is positive with trace 1,  $A_1, \dots, A_p$  are distinct, and  $B_1, \dots, B_p$  are positive and have independent images. By the marginal rank condition,

$$\text{rank } T = \sum_{\gamma} \text{rank } A_\gamma \text{rank } B_\gamma = \text{rank } T_B = \sum_{\gamma} \text{rank } B_\gamma$$



which implies that  $\text{rank } A_\gamma = 1$  for all  $\gamma$ . Thus there are unit vectors  $x_\gamma$  such that  $A_\gamma = P_{x_\gamma}$ . Distinctness of  $A_1, \dots, A_p$  implies that  $P_{x_1}, \dots, P_{x_p}$  distinct.  $\square$

**Remark.** Uniqueness of the decomposition in Corollary 17 was first proved in [2, Section IV]. Corollary 17 provides an alternate proof, and Theorem 6 provides an explicit way to find that decomposition.

## 10. Summary

We have defined a class of separable states ( $B$ -independent states) which generalizes the separable states whose rank equals their marginal rank. We have described an intrinsic way to check membership in this class, and for such states we have given a procedure leading to a unique canonical separable decomposition into product states.

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