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Elicitors and soil management to induce resistance against fungal plant diseases

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ABSTRACT

Air-borne foliar diseases as well as soil-borne diseases can cause substantial losses in agricultural production systems. One of the strategies to overcome production losses caused by plant diseases is the targeted use of disease defence mechanisms that are inherent to plants. In this paper, the potential to enhance the plant's health status either by inducing resistance through optimized soil management techniques or by foliar application of inducers of resistance is explored on the basis of a literature review and results from laboratory and field experiments. In our studies, the focus was on recent research about the use of $DL-\beta$ -aminobutyric acid (BABA) and an aqueous extract of *Pencillium chrysogenum* (Pen) as elicitors. We conclude that BABA as well as Pen can contribute to disease control strategies. The use of soil fertility management techniques to reduce diseases was explored in recent research about the impact of shortand long-term management practices on soil suppressiveness to air-borne and soil-borne diseases, with the aim to elucidate the influence of soil properties and to quantify the relative importance of site-specific vs cultivation-mediated soil properties. The results indicate that site-specific factors, which cannot be influenced by agronomic practices have a greater impact than cultivation-specific effects within the same site. Nevertheless, short- and long-term management strategies were shown to have the potential for influencing soil suppressiveness to certain diseases such as *Rhizoctonia solani*.

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1. Introduction

In agriculture, infection of crops by pathogens like fungi, bacteria and viruses can cause high yield losses. To prevent damage from diseases, strategies have been developed that include the use of high-quality propagation material, sanitation measures (e.g., removal of overwintering sources of inoculum or infected volunteer plants), avoidance techniques, crop rotation, soil management, plant nutrition, and resistant varieties [1]. In addition, pesticides or antagonists are widely applied. However, especially in organic agriculture, for whose products the demand has increased highly in the last decades [2], it is imperative to substitute the use of plant protection chemicals such as copper and sulphur by improved biological methods [3].

One of the strategies to overcome production problems caused by plant diseases is the targeted use of disease defence mechanisms that are inherent to plants [1,4,5]. Besides preformed barriers and constitutively expressed antimicrobials, plants possess inducible defence mechanisms that are activated upon contact with pathogenic or non-pathogenic micro-organisms, extracts of micro-organisms or chemicals, thus providing protection against a broad spectrum of pathogens. Chemicals known to induce disease resistance in some plants include salicylic acid (SA) [6], isonicotinic acid (INA) [7], jasmonic acid [8], acibenzolar-S-methyl (BTH) (commercially known as Bion[®]) [9,10], probenazole [11], and DL- β -aminobutyric acid (BABA) [12].

Furthermore, it has been shown that plants can recognize general structures associated with micro-organisms, so-called elicitors or PAMPs (Pathogen Associated Molecular Patterns) [13], such as flagellin [14] and harpin from bacteria [15,16], chitin [17], ergosterol [18] and several cell-wall glucans [19,20] from fungi and lamarins from algae [21]. After bonding with a specific receptor of the plant, elicitors trigger a signalling cascade, eventually resulting in biochemical and mechanical defence mechanisms such as production of phytoalexins [22], translation of specific proteins with putative antimicrobial activities [23,24] and mechanical strengthening of the cell walls [25-27]. It has been shown that depending on the stimulus, specific signal transduction pathways involving one or several of these key regulators are activated, leading to resistance against specific sets of pathogens. Besides these well-defined, pure molecules, various crude extracts from micro-organism or plants activating plant defence mechanisms have been described, including an extract from the giant knotweed (Reynoutria sachaliensis) (sold under the commercial name Milsana) [3,28,29], or an aqueous extract from the ascomycete Penicillium chrysogenum (Pen) studied by Thürig et al. [30,31].

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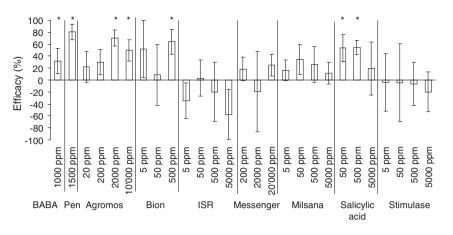


Fig. 1. Efficacy of elicitors against *P. viticola* in grapevine (cv. Chasselas) under controlled conditions. Asterisks indicate a statistically significant difference between treatment and the untreated control of the corresponding experimental set (Tukey's range test, *p* = 0.05).

Extracts or chemical compounds inducing resistance are often referred to as 'plant activators', 'inducers' or, if derived from microorganisms, 'elicitors'. Classical inducers do not have a direct impact on pathogens, which clearly distinguishes them from fungicides [32]. Inducers to be used in commercial agriculture have to be available in sufficient quantities, be of constant quality and be effective under field conditions. Moreover, to be acceptable in organic agriculture the compounds have to occur in nature and must not derive from genetically modified organisms [33,34].

In this paper, based on a literature review, on previously published research, and on some so far unpublished experiments, we explore the potential of enhancing the plant's health status either by inducing resistance via optimized soil management techniques or by foliar application of inducers of resistance.

2. Evaluation of elicitors and inducers of resistance

2.1. Plasmopara viticola in grapevine

Most studies on induced resistance have been performed on annual plants; much less is known about the effect of inducers on woody perennial plants under field conditions. Over the last decade, several substances or complex commercial products have been reported that are active against important plant diseases such as Plasmopara viticola in grapevine. Yet, so far, BABA and Pen appeared the only compounds with a proven efficacy against P. viticola in the field. In our experiments we have evaluated, under controlled conditions, several compounds including Agromos, BABA, Bion, ISR2000, Messenger, Milsana, Pen, salicylic acid and Stimulase against P. viticola. Next, the compounds with proven activity were further evaluated under field conditions. Methods used for the evaluation are described in detail in [30]. In brief, seedlings of the grapevine cultivar Chasselas (kindly provided by Syngenta AG, Stein, Switzerland) were grown in the greenhouse until 3-4 fully expanded leaves had been formed. Then, the test substances were applied in an atomatized-spray cabinet where the plants were incubated at 100% relative humidity (RH) for 5 days before being inoculated with two drops (10 µl) per leaf of a sporangia suspension (50,000 sporangia per ml). Subsequently, the plants were incubated for 24 h at 100% RH, followed by 6 days at 60-80% RH before being returned to 100% RH, 12 h prior to measuring lesion diameters. Selected substances were tested in 2003 on the grapevine cvs. Riesling × Sylvaner and Chasselas (both on rootstock 5BB) in a field experiment in the institute's experimental vineyard in Frick, Switzerland (47°31'N, 8°01'E, at 376 m a.s.l.). The experiment was of the complete randomized block design with 12 treatments, 4 replications (6 plants per plot). The test products were

applied taking into account weather conditions, plant growth, and the risk of infection by *P. viticola* over 5–10 day intervals, as determined by the model Vitimeteo [35]. The substances were applied using air-assisted spraying equipment, which ensured coverage of lower and upper sides of the leaves and efficiently prevented drift. Disease incidence and severity were assessed 3–5 times per season, depending on disease progress. Efficacy was calculated according to [36] as $100 \times (1 - a \times b^{-1})$ where *a* = lesion diameter on the treated leaves and *b* = lesion diameter on the control leaves. Data were analysed by ANOVA followed by a Tukey test at α = 0.05 for multiple comparisons.

Our experiments showed that under controlled conditions, Agromos, BABA, Bion, Pen, and salicylic acid reduced disease incidence significantly at least at one of the evaluated application dosages, whereas Stimulase, ISR2000, Messenger, and Milsana showed no significant activity at any of the tested concentrations (Fig. 1). Under field conditions, BABA and PEN significantly reduced disease incidence, while Bion and Stimulase were not effective (Table 1). These results suggest that it is difficult to control *P. viticola* by means of inducers of resistance. For further details about the interpretation of the variables assessed see [30].

2.2. Bremia lactucae in lettuce

Besides *P. viticola*, also other oomycete pathogens such as *Bremia lactucae* in lettuce and *Phytophthora infestans* in tomato are notoriously difficult to control and may cause substantial losses in organic vegetable production systems. Breeding for varietal resistance is very costly and novel varieties (especially those of lettuce) are introduced at high rates. However, the varietal resistance is often overcome within a very short period of time due to highly adaptive pathogen populations. Thus, induced resistance might be a promising alternative to both conventional fungicides and to breeding of resistant cultivars.

Table 1

Efficacy of elicitors against *P. viticola* in grapevine cv. Riesling × Sylvaner under field conditions in 2003.

Elicitor	Incidence (%)	Efficacy incidence (%)	Severity (%)	Efficacy severity (%)
No elicitor	42.1 c ^a		6.0 c ^a	
BABA	21.1 b	50.0	2.3 bc	62.0
Bion	22.8 bc	45.8	3.7 c	39.2
Pen	1.2 a	97.0	0.1 a	98.9
Stimulase	27.4 bc	35.1	3.2 c	46.9

^a Statistical significance. Means in the same column, followed by the same letter are not statistically different (p < 0.05).

In a recent study, Cohen et al. [37] evaluated the efficacy of DL-β-aminobutyric acid (BABA) in controlling downy mildew (B. lactucae) in lettuce with a focus on disease control under field conditions. DL-3-amino-*n*-butanoic acid (DL-β-aminobutyric acid, BABA) is a non-protein amino acid that has shown to induce resistance against about 50 plant pathogens in a large number of annual and perennial crops [38,39]. Oomycetes suppressed in their respective host tissues by BABA include Aphanomyces euteiches in pea [40], Peronospora tabacina in tobacco [41], Peronospora parasitica in Arabidopsis [42] and cauliflower [43], P. infestans in tomato and potato [38], Phytophthora capsici in pepper (Capsicum sp.) [44], P. *viticola* in vinegrape [45,46], *Plasmopara halstedii* in sunflower [47], Sclerospora graminicola in sorghum [48] and Pseudoperonospora cubensis in cucumber [49]. Ascomycetes/Fungi Imperfecti controlled by BABA are Fusarium oxysporum f. sp. solani in tomato [38], Botrytis cinerea and Plectosphaerella cucumerina in Arabidopsis [50,51], Monosporascus cannonballus in melon [38], Alternaria alternata in apple [52], Alternania brassicicola in Arabidopsis [50] and Penicillium digitatum in grapefruit [53].

Our studies have demonstrated that BABA was effective in controlling downy mildew in lettuce [37]. In potted plants, a foliar spray with 250 mg BABA per litre, or a soil drench with 1.25 mg BABA per pot was sufficient to reduce the disease by \geq 90%. The Systemic Acquired Resistance (SAR)-inducing compound sodium salicylate (NaSA) and its functional analogue benzodiothiazol-Smethyl ester (BTH) (Bion) were ineffective compared with BABA. In other pathosystems, these SAR compounds operate via the salicylic acid (SA) pathway by inducing pathogenesis related (PR-) proteins [54-56]. Their failure to protect lettuce against downy mildew might suggest that BABA may operate via a different, SAindependent pathway. Indeed, BABA was shown to protect tobacco against P. tabacina via an SA-independent pathway [41]. In Arabidopsis, SA-dependent as well as SA-independent pathways have been reported [57,58]. Our results corroborate with those of Pajot et al. [59], who tested BABA against B. lactucae in 7-days-old lettuce plants, showing that a $10 \,\mathrm{mM}$ (1000 mg per litre) foliar spray reduced the disease index by 98%. No experiments, however, were conducted with lower concentrations, and neither was BABA tested by soil application.

Furthermore, a major finding of our study [37] was that BABA was efficient in controlling downy mildew in lettuce not only under controlled conditions in growth chambers but also under field conditions. Foliar sprays with 201 and 1039 mg per litre resulted in 50 and 90% control of the disease, respectively. This may encourage the introduction of BABA to agriculture as a SAR compound against lettuce downy mildew. Due to the fact that BABA occurs naturally in tomato plants (Y. Cohen, unpublished data) it might also be considered for registration in organic farming. Only a limited number of studies were conducted with BABA in the field. Our own studies showed efficacy against downy mildew in grapevine [60], late blight in potato and tomato [38], rust in sunflower [61] and moldy core in apple [52]. Shailasree et al. [48] showed that soaking the seeds of pearl millet in 50 mM BABA for 6 h induced durable resistance against downy mildew.

Still, the mode of action of BABA is not fully understood. BABA did not affect spore germination *in vitro* of *B. lactucae*, or germination and appressoria formation *in planta* [37]. Post infection applications of BABA were highly effective in inhibiting the disease, indicating that penetration of the pathogen into the host was not affected. Our finding that BABA was effective even when applied after inoculation suggests no adverse effect on establishment of the pathogen in the host tissue but rather on tissue colonization by the fungus. In other pathosystems, BABA was shown to potentiate in the host enhanced callose and/or lignin depositions [42], reactive oxygen species accumulation [62], increased peroxidase activity [63], enhanced synthesis of PR-proteins or their transcripts and

elevated levels of transcripts of jasmonic acid or abscicic acid related transcripts [39,50,57]. PR-protein analysis by Pajot et al. [59] showed that BABA induced a weak accumulation of acidic PR-2 (β -1,3-glucanase) at 3–7 days after treatment, but not PR-1, PR-5 or PR-9. β -1,3-glucanase activity increased with time in BABA-treated plants.

In conclusion, BABA was shown to effectively control downy mildew development in lettuce in growth chambers and in the field. It was also effective when applied to the foliage or the root system. It exhibited pre (protective) and post infection (curative) efficacy and provided durable resistance against the disease in the field.

2.3. Various diseases in crop plants

Another example of an inducer of resistance that has recently been studied is Pen, an aqueous extract of the mycelium of the ascomycete *P. chrysogenum* [30,31]. The mycelium of this fungus is obtained as a by-product from penicillin production and is thus relatively cheap and available in sufficient quantities, both prerequisites for a potential use in practice. The objectives of our study were (1) to examine the effect of Pen on several plant × pathogen interactions under greenhouse and field conditions with a special focus on the systems grapevine – *P. viticola* and tomato – *P. infestans*, (2) to assess the quality of the raw material for the production of the aqueous extract, and (3) to evaluate potential side-effects of Pen.

It was demonstrated that Pen protects many crop plant species against several pathogens under greenhouse and field conditions [30]. Pen-mediated resistance was effective under field conditions against powdery (Uncinula necator) and downy mildew (P. viticola) in grapevine, against scab (Venturia inaequalis) in apple, downy mildew (Peronospora destructor) in onion, and late blight (P. infestans) in tomato under greenhouse conditions. Furthermore, Pen was even effective under very high disease pressure, as described for P. viticola in 1997, U. necator in 1998 and P. destructor in 2000. Efficacy of Pen in grapevine and apple in the field was comparable with the effect of standard fungicides such as copper and sulphur. Furthermore, if compared with other well-known inducers such as BABA and Bion, efficacy of Pen was equal or superior in most plant-pathogen systems. The only exception was cucumber, where Bion performed much better against the two tested pathogens Colletotrichum lagenarium and P. cubensis.

The replacement of copper by other, more environmental friendly products has been a major research focus in organic agriculture in the last few years [64]. However, no real alternative products that conform to the guidelines of organic agriculture have been found yet. Pesticides to be applied in organic agriculture have to fulfil several criteria [65-67]. One criterion is the way of production. Only natural products or products identical to natural products may be used. Furthermore, natural products may not be obtained from genetically modified organisms. The Pen extract complies with the guidelines, in contrast to inducers such as Bion (containing the synthetic active compound BTH) and Messenger® (containing the bacterial protein harpin obtained from genetically modified bacteria). In addition, the raw material for the production of the extract is relatively cheap and available in large quantities of constant quality, prerequisites for its application in practice. However, phytotoxic side-effects have been observed related to the Pen extract.

In conclusion, we have shown that Pen, the aqueous extract from the mycelium of *P. chrysogenum*, induces resistance against a broad range of pathogens in several crop plants under both greenhouse and field conditions. Particularly its effect against downy mildews in grapevine and onion is promising. However, potential phytotoxic side-effects are undesirable. Yet, our data (results not shown) suggest that phytotoxicity can be reduced by appropriate techniques, which have still to be improved. In conclusion, inducers of resistance may enhance the inherent plant defence status under field conditions and thus reduce the dependence on foliar-applied fungicides. The control of oomycete pathogens is notoriously difficult. However, BABA as well as Pen has the potential to become commercially available alternatives with proven efficacy.

3. Optimized soil fertility management strategies

3.1. Introduction

Agricultural practices not only have an obvious impact on crops by affecting soil parameters such as erosion stability, nutrient availability and water holding capacity, they also affect soil organisms and their activities [68-70]. An active and abundant soil flora and fauna improves soil fertility and soil quality parameters [71]. Soil (micro-)organisms have been shown to be a key factor in the suppression of soil-borne diseases [72-76]. Mechanisms involved in the suppression of soil-borne diseases by soil micro-organisms have been studied extensively and include competition for nutrients and space, antibiosis, hyperparasitism and the induction of plant disease resistance [77-79]. Some studies have demonstrated that soil micro-organisms may also reduce disease development of air-borne, foliar diseases [80]. Here, beneficial micro-organisms and plant pathogens are physically separated, and induced systemic resistance (ISR) has been identified as the main underlying mechanism [81]. The occurrence of ISR against air-borne diseases has been demonstrated mainly under controlled conditions, while little is known about the occurrence and relevance of this phenomenon under field conditions [82].

Several studies suggest that soil type is a key determinant for soil microbial activity and community structure [83]. Yet, organic material amendments (e.g., manure, compost, plant residues) to the soil have also been shown to affect soil microbial populations and soil suppressiveness by promoting beneficial micro-organisms native to the soils and/or by introducing new beneficial micro-organisms [70,84–88]. Furthermore, long-term experiments have shown that organic farming systems using regular organic material amendments have a higher soil microbial biomass activity and diversity compared with conventional farming systems using inorganic fertilizers only [89]. Fertilizer inputs to soils are an important means to improve plant production in agricultural systems. While most conventional or integrated farming systems are based on regular inorganic N, P and K fertilizer inputs (water soluble and immediately and easily available to the crop from the soil solution), fertilizers used in organic farming systems are based on organic inputs (e.g., green and animal manures, compost) the nutrients of which only become available to the crop after unlocking them from the solid phase through weathering and mineralization of the resulting organic matter. Mineralization of organic matter by soil micro-organisms is crucial for nutrient delivery to the crops in organic agriculture. Rapid mineralization requires an active and abundant soil flora and fauna, and their activity in turn depends on soil temperature, soil moisture and the chemical composition of the fertilizer input.

3.2. Impact of management strategies on soil parameters

Fließbach et al. [90] and Tamm et al. [91] evaluated the long- and short-term effects of organic fertilizer inputs on physical, chemical and biological soil properties as part of the long-term DOK trial in Therwil, Switzerland, from short-term fertilizer input experiments with lettuce in Bonn, Germany, and with onions in Yorkshire (UK) (Table 2). The analyses of the DOK trial confirmed that long-term soil management strategies changed soil properties, depending on amount and quality of fertilizer inputs. The trials at Bonn (BON), Tadcaster (TAD) and Stocksbridge (STC) comprised one single fertilizer application per treatment followed by the growing of one crop (Table 2). Soil samples taken after the amendment of organic or inorganic fertilizers and after growing onions (trial sites STC and TAD) or lettuce (trial site BON) (AFI) differed from the corresponding before-fertilizer input (BFI) soil samples in terms of biological and chemical parameters. At the trial site BON, the AFI soil samples had lower microbial biomass and microbial activities than the BFI samples, whereas at the trial sites TAD and STC, many biological parameters were higher in the AFI than in the BFI soil samples. The type of amendment had only a small effect on soil parameters. Differences were mainly found between soils fertilized with farmyard manure (FYM) and soils fertilized with inorganic (MIN) fertilizers at the BON site. This finding was in accordance with the results from the DOK trial, where the application of FYM as opposed to inorganic fertilizer was identified as a key determinant for the differences in some soil parameters [92].

3.3. Impact of soil management strategies on suppressiveness

Soils from the DOK long-term trial and from the three shortterm fertilizer input trials were also evaluated for differences in suppressiveness to soil- and air-borne diseases using the bioassay systems basil (Ocimum basilicum) - Rhizoctonia solani, cress (Lepidium sativum) - Pythium ultimum, Arabidopsis thaliana -Hyaloperonospora parasitica and tomato (Solanum lycopersicum) -P. infestans [91]. We found that soil type is a key determinant for suppressiveness to diseases. Soil from the STC site showed the highest level of suppressiveness to all tested diseases, a result that was confirmed by soil samples taken in the subsequent year and evaluated in two bioassays [91,93]. The causal mechanisms for the high suppressiveness of the STC soil were not identified. However, earlier studies comparing the suppressiveness of soils to P. ultimum also detected levels of suppressiveness in sandy soils that were higher than in clay soils, but the underlying mechanisms were not determined [72].

Furthermore, we have shown that site-specific suppressiveness can be modulated by long-term soil management, and, to a lesser extent, by short-term fertilizer inputs [91]. For instance, within the DOK trial, A. thaliana plants growing on the least suppressive soil (CONMIN) showed around 30% more disease incidence than plants growing on the most suppressive soil (BIODYN). Furthermore, there was a significant correlation between suppressiveness and soil microbial biomass (Fig. 2; unpublished data). Similarly, weight reductions of basil caused by R. solani varied between 30% and 46% among the long-term treatments. So far only few studies have shown that soil amendments not only affect suppressiveness to soil-borne diseases, but also the resistance of host plants to air-borne diseases. For instance, Vallad et al. [94] showed that composted paper-mill residues amended to field soils reduced airborne diseases caused by Pseudomonas syringae pv. tomato in A. thaliana and tomato.

As for soil parameters, short-term fertilizer input treatments had little effect on the suppressiveness of soils to the three pathogens included in the study [91]. Exceptions were (1) a significant reduction of disease caused by *H. parasitica* in *A. thaliana* grown in soils amended with composted FYM when compared with chicken manure in soil samples from the TAD site, and (2) a significant weight reduction in *O. basilicum* infected with *R. solani* in soils amended with soils amended with soils amended with inorganic fertilizer in soil samples from BON.

In conclusion, site-specific factors, which cannot be influenced by agronomic practices, were found to have a greater impact than cultivation-specific effects within the same site. Nevertheless, short-term, but in particular long-term management strategies

Table 2

Details of the four fertilizer experiments used for evaluating suppressiveness to soil-borne and air-borne diseases in 2004 [91].

	DOK trial Therwil	BON Wiesengut	TAD Tadcaster	STC Stocksbridge
Co-ordinates	47°30′N; 7°33′E	50°47′N; 7°17′E	53°53′N; 1°16′W	53°28′N; 1°36′W
Soil type	Haplic luvisol	Fluvisol	Calcic cambisol	Gleysol
Sand (%)	8.1	50.2	47.8	86.0
Silt (%)	77.8	38.1	30.3	5.9
Clay (%)	14.1	11.6	22.0	8.1
рН	5.63	6.70	7.24	7.01
Soil organic carbon (mg g ⁻¹)	14.0	11.0	29.9	17.0
Sampling date BFI ^a	_	19.04.2004	04.05.2004	
Sampling date AFI ^b	16.03.2004/5.04.2005	05.07.2004	14.10.2004	
Treatments	No fertilizer	FYM ^c	Composted FYM	
	Inorganic fertilizer 2	Composted FYM	Chicken manure	
	Bio-dynamic 1&2	Inorganic fertilizer	Composted FYM and chicken manure	
	Bio-organic 1&2		•	
	Integrated 1&2			
Intensities	1: 0.7 LSU ^d	85 kg N ha ⁻¹		
	2: 1.4 LSU	170 kg N ha ⁻¹	170 kg N ha ⁻¹	
Number of samples	8/20	8+24	4+12	
Number of replications	1/4	4	4	

^a BFI = before fertilizer input.

^c FYM = farmvard manure.

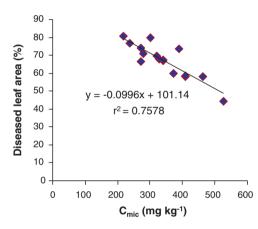


Fig. 2. The relationship between soil microbial biomass (Cmic) and disease severity (%) of *Hyaloperonospora parasitica* on *Arabidopsis thaliana* (unpublished data). Soils were from the DOK (Therwil, Switzerland) long-term farming system comparison and included manure-based organic and conventional farming systems at the normal fertility input level of 1.4 livestock units per ha.

have been shown to have the potential to influence suppressiveness of soils to certain diseases.

4. Conclusions

In this paper we explored the potential to enhance the plant's health status either by inducing resistance via optimized soil management techniques or by foliar application of inducers of resistance. The foliar application of inducers of resistance may enhance the inherent plant defence status under field conditions and thus reduce the dependence on foliar fungicide sprays against several key pathogens, although the control of oomycete pathogens is notoriously difficult. However, BABA as well as Pen has the potential to become commercially available alternatives with proven efficacy. The systematic use of soil fertility management techniques to reduce diseases is an intriguing concept in theory, but is not yet widely used in practice, partly because of lack of understanding the underlying principles. We demonstrated that site-specific factors, which cannot be influenced by agronomic practices, have a greater impact than cultivation-specific effects within the same site. Nevertheless, short-term, but particularly long-term management

strategies have been shown to have the potential to influence the suppressiveness of soils to certain diseases. Within limits, a better understanding of the processes will help to adapt management practices in order to reduce crop losses due to noxious organisms.

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^b AFI = after fertilizer input.

^d LSU: livestock units $ha^{-1} yr^{-1}$.

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