# ON THE COMPLEXITY OF EDGE LABELINGS FOR TREES* 

Y. PERL<br>Department of Mathematics ant! Computer Science, Bar-Ilan University, Ramat-Gan, Isras!

## S. ZAKS

Department of Computer Science, Technion, Haifa, Israel

Communicated by R. Karp
Received February 1979
Revised February 1980


#### Abstract

Given a tree $T$ with $n$ edges and a set $W$ of $n$ weights, we deal with labelings of the edges of $T$ with weights from $W$, optimizing certain objective functions. For somz of these functions the optimization problem is shown to be $N P$-complete (e.g., finding a lateling with minimal diameter), and for others we find polynomial-time algorithms (e.g., finding a lateling with minimal average distance).


## 1. Introduction

### 1.1. Definitions

Let $T(V, E)$ be a tree with vertices $V=\{1,2, \ldots, n+1\}$ and edges $E=$ $\left\{e_{1}, e_{2}, \ldots, e_{n}\right\}$. A tree is considered to be unrooted, uniless otherwise stated. A vertex of degree one is a leaf, and an edge incident with a leaf is a terminal edge. $P_{n}$ denotes a path with $n$ vertices. $W=\left\{w_{1}, w_{2}, \ldots, w_{n}\right\}$ is a set of weights such that $0 \leqslant w_{\text {min }}=w_{1} \leqslant w_{2} \leqslant \cdots \leqslant w_{n}=w_{\text {max }}$. A labeling of the edges of $T$ with weights from $W$ is a bijection $f: E \rightarrow W$. In this paper we consid\&r labelings that optimize certain objective functions.

Let $p(i, j)$ denote the (unique) path connecting vertices $i$ and $j$ in $T$. Given a labeling $f$ of $T$, the distance $d_{f}(i, j)$ between $i$ and $j$ is

$$
d_{f}(i, j)=\sum_{e \in p(i, j)} f(e) .
$$

The diameter $D_{f}(T)$ of the tree $T$, labeled with $f$, is

$$
\mathscr{D}_{f}(T)=\max _{i<j}\left\{d_{f}(i, j)\right\}
$$

[^0]Note. We omit the references to $f$ and $T$ whenever possible; i.e., we use the notations $d(i, j)$ and $\mathscr{D}$ instead of $d_{f}(i, j)$ and $\mathscr{D}_{f}(T)$, respectively, and similarly for the functions defined in the sequel.

A center of a labeled tree is a vertex $i$ for which $\max _{j \neq i}\{d(i, j)\}$ is minimal, and this; value is called the radius $\mathscr{R}$ of the tree; i.e.,

$$
\mathscr{R}=\min _{i}\left\{\max _{j}\{d(i, j)\}\right\}
$$

The terms diameter, radius and center are similarly defined for labeled undirected graphs. In a rooted tree the center is located at the root.

The maximal weight and minimal weight on $p(i, j)$ are

$$
\max (i, j)=\max _{ש \in p(i, j)}\{f(e)\} \quad \text { and } \quad \min (i, j)=\min _{e \in p(i, j)}\{f(e)\},
$$

respectively.
The number of edges in $p(i, j)$ is $|p(i, j)|$, and

$$
\operatorname{avr}(i, j)=\frac{d(i, j)}{|p(i, j)|}
$$

is the average weight on $p(i, j)$.

### 1.2. The objective functions

The quantities which we optimize, for a given tree $T$, over all possible labelings $f$, are the following:
(1) $\mathscr{D}$,
(2) $\mathscr{R}$,
(3) $\operatorname{SUMDIS}(T)==\sum_{i<i} d(i, j)$,
(4) $\operatorname{SUMMAX}(7)=\sum_{i<j} \max (i, j)$,
(5) $\operatorname{SUMMIN}(T)=\sum_{i<j} \min (i, j)$, and
(6) $\operatorname{SUMAVR}(T)=\sum_{i<j} \operatorname{avr}(i, j)$.

The functions (3)-(6) are average measurements, since the summations are over all pairs of vertices.

### 1.3. Motivation

This work is applicable in the design of communication networks. Given communication lines of different properties, such as communication cost, capacity, vulnerability and reliability, we want to assign these communication lines to the direci connections ('edges') of a given communication network (of a tree structure), such that certain objective cost functions will be optimized.

For example, the function SUMDIS is measuring the average communication cost of the network (see [4] and [8]). The diameter is measuring the maximal
communication cost in the network, and the radius is measuring the maximal communication cost from a directory optimally located in the network. Let $c(e)$ and $v(e)$ be the capacity and vulnerability of a communication line assigned to the edge $e$, respectively. Define the capacity and vulnerability between the vertices $i$ and $j$ as

$$
c(i, j)=\min _{e \in p(i, j)}\{c(e)\} \quad \text { and } \quad v(i, j)=\max _{e \in p(i, j)}\{v(e)\}
$$

respectively. Then the functions SUMMIN and SUMMAX measure the average capacity and vulnerability of the network, respectively.
1.4. In Section 2 we present several NP-complete problems, concerning optimizing the radius and the diameter of a tree and of a binary weighted ( 0,1 weights) general graph. Polynomial-time algorithms for special cases of minimizing the radius of a tree are shown in Section 3. In Section 4 we present polynomial-time algorithms for optimizing average measurement functions of the tree, such as SUMDIS and SUMMAX. Open problems are found in Section 5.

## 2. Radius and diameter: NP-complete results

2.1. In this section the following problems aie shown to be NP-complete (see [6]):

Problem 1. Given a tree $T$ with $n$ edges, a set $W$ of $n$ non-negative weights, and an integer $k>0$, to determine whether there exists a labeling $f$ with diameter $\leqslant k$.

Problem 2. Like Problem 1, for radius $\leqslant k$.

Note. (1) This result holds also for rooted trees.
(2) Problems 1 and 2 remain NP-complete when the weights are integral and bounded by a polynomial in $n$.

Problem 3. Like Problem 1, for radius $\geqslant k$.
Note. (1) Maximizing the diameter of a tree is trivial.
(2) Problems 1, 2 and 3 are NF-complete also for the corresponding vertex labeling problems, e.g.,

Problem 1'. Given a tree $T$ with $n+1$ vertices, a set $W$ of $n+1$ non-negative weights and an integer $k>0$, to determine whether there exists a labeling of the vertices of $T$ with the weights of $W$ such that the sum of the weights of the vertices along any path in the tree is not greater than $k$.

Problem 4. Given a conneced graph $G$ with $m$ edges and a set of 0,1 weights $W,|W|=m$, to determine whether there exists a labeling with radius $\leqslant 1$.

Problem 5. Given a connecred graph $G$ with $n+1$ vertices and a set of 0,1 weights $W,|W|=n$, to determine whether $G$ contains a spanning tree which can be labeled such that its diameter $\leqslant 2$.
2.2. The reductions are from the Partition problem and the Maximum Terminal Spanning Tree problem, both known to be NP-complete (see [6] and [2]):

Partition. Given positive integers $a_{i}, i=1,2, \ldots, n$, to determine whether there exists a set $I \subseteq\{1,2, \ldots, n\}$ such that

$$
\sum_{i \in I} a_{i}=\sum_{i \in I I} a_{i} .
$$

Maximum Terminal Spanning Tree. Given a graph $G$ and an integer $k>0$, to cetermine whether $\boldsymbol{G}$ has a spanning tree with at least $k$ terminals (=leaves).

### 2.3. Prgof for Problem 1

We show that Partition is reduced to Problem 1. Given $a_{i}, i=1,2, \ldots, n$, (an instance of Partition), we define the following instance of Problem 1:


We prove that there exists a solution to the Partition problem iff there exists a labeling of $T$ with diameter $\leqslant k$.

Suppose there exists a solution to the Partition problem, namely $\sum_{i \in I} a_{i}=\sum_{i \notin I} a_{i}$ for some $I \subseteq\{1,2, \ldots, n\}$. The following labeling has a diameter $k$ : label $A B$ with $\sum_{i} a_{i}$, spread the $a_{i}$ 's for $i \in I$ and $i \notin I$ on $B C$ and $B D$, respectively, and label the rest of the edges with 0 's.

Suppose there exists a labeling with diameter $\leqslant k$. One can find such a labeling in which $\sum a_{i}$ labels the edge $A B$ (if in the given labeling $A B$ is labeled with $a_{t}$, for some $t$, and $\sum a_{i}$ labels another edge $e$, then by interchanging $\sum a_{i}$ and $a_{t}$ the diameter is not increased). This means that there exists a labeling such that the $a_{i}$ 's on $B C$ and on $B D$ sum up to $\frac{1}{2} \sum a_{i}$ each, hence we have a solution to the given Partition instance.

### 2.4. Proof for Problem 2

We prove that Partition is reduced to Problem 2. Given $a_{i}$ (as above), we define the following instance of Problem 2:

$$
T=P_{2 n+1}, \quad W=\{a_{1}, a_{2}, \ldots, a_{n}, \underbrace{0,0, \ldots, 0}_{n 0 \cdot s}\} \quad \text { and } k=\frac{1}{2} \sum a_{i}
$$

The rest follows immediately.

Note. The same reduction holds in the case when this path is rooted in its center, which proves that Problem 2 is NP-complete also for rooted trees.

Note. As was pointed out by Johnson [5], Problem 1 and Problem 2 remain NP-complete ('in the strong sense' [2]) when the weights are integral and bounded by a polynomial in $n$. This is shown by similar reductions from the 3-Partinion problem (see [2]) of $3 m$ integers to corresponding instances of Problem 1 and Problem 2, where the labeled tree consists of $m$ paths of three edges each, all emanating from a common vertex (which is the root in the rooted tree case of Problem 2).

### 2.5. Proof for Problem 3

We prove that Partition is reduced to Problem 3. Given $a_{i}$ (as above), we define the following instance of Problem 3:

$$
\begin{aligned}
& T=P_{n+2} \\
& W=\left\{a_{1}, a_{2}, \ldots, a_{n}, x\right\} \quad \text { where } x \geqslant \max _{i}\left\{a_{i}\right\}
\end{aligned}
$$

and

$$
k=x+\frac{1}{2} \sum a_{i}
$$

If there exists a solution to Partition, then the labeling shown in Fig. 1 has a radius $\mathscr{R}=k$.


Fig. 1.

If there exists a labeling with radius $\mathscr{B} \geqslant x+\frac{1}{2} \sum a_{i}$, then let $D$ be a center and DB a radius (see Fig. 2). Then

$$
d(D, B) \geqslant x+\frac{1}{2} \sum a_{i}, \text { and hence } d(A, D) \leqslant \frac{1}{2} \sum a_{i} \text {. }
$$

Fig. 2.
Also $d(D, B) \leqslant d(A, C)$, otherwise $D$ is not a center. Therefore

$$
x+\frac{1}{2} \sum a_{i} \leqslant d(D, B) \leqslant d(A, C) \leqslant y+d(A, D) \leqslant y+\frac{1}{2} \sum a_{i}
$$

hence $x \leqslant y$. But $y \leqslant x$, hence $y=x$, and

$$
d(A, D)=d(B, C)=\frac{1}{2} \sum a_{i}
$$

which solves the Partition problem.

### 2.6. Note

Problem 1, Problem 2 and Problem 3 are also NP-complete for vertex labeling. The reductions are from the corresponding edge labeling problems. Adding a weight 0 to the given weights, a solution for the vertex labeling problem induces a solution for the corresponding edge labeling problem. This is done by regarding the vertex labeled 0 as a root of the tree and using a label of any other vertex to label the edge directed to this vertex.

### 2.7. Proof for Problem 4

We prove that Maximum Terminal Spanning Tree is reduced to Problem 4. Given a graph $G$ with $n+1$ vertices and $k>0$ (an instance of Maximum Terminal Spanning Tree), we define the following instance of Problem 4: $G$ is the same given graph and $W$ contains $n-k 0$ 's and all the rest 1 's. We prove that $G$ has a spanning tree with at least $k$ leaves iff $G$ has a labeling with radius $\mathscr{R} \leqslant 1$.

Suppose $G$ has a spanning tree with at least $k$ leaves. This yields a labeling of $G$ with radius $\mathscr{R} \leqslant 1$, by labeling the internal edges (of the tree) with 0 's, and thus every internal vertex (of the tree) is a center for the graph $G$ with radius $\mathscr{R} \leqslant 1$.

Suppose there exists a labeling of $G$ with radius $\mathscr{R} \leqslant 1$. Let $A$ be a center of the labeled graph, and apply a shortest-path algorithm from $A$ to get a 'shortest-path spanning tree' with radius $\mathscr{R} \leq 1$. The tree is labeled with at least $k 1$ 's. In this tree there exists at most one edge labeled 1 on each path from $A$ to any leaf, since the radius is $\leqslant 1$. Therefore the number of leaves in the spanning tree is at least as the number of 1 's in the tree, i.e. $\geqslant k$.

### 2.8. Proof for Problem 5

The reduction is similar to that of Problem 4.

## 3. Radius: polynomial results

3.1. In the previous section we proved that minimizing the diameter or the radius in a tree are NP-complete problems. Here we present polynomial-time algorithms for certain instances of these problems.
3.2. Problem 6. Given a tree $T$ and a set of 0,1 weights $W$, to find a labeling with minimal radius.

The following algorithm solves this problem in $0(n)$ running-time:

## Algorithm A

1. while (number of leaves in $T$ ) $\leqslant$ (number of 1 's in $W$ )
do begin
label all the terminal edges with 1 ;
delete the terminal edges from $T$;
delete the used 1's from $W$;
end;
2. Put all the remaining 1's on terminal edges;
label all other edges with 0 's.

Proof. Left to the reader.

Note. Algorithm $\mathbf{A}$ is applicable also for rooted trees.

### 3.3. Problem 7. Like Problem 6, for a set of $a, b$ weights, $a<b$.

The following algorithm solves this problem in $\mathrm{O}(n \log n)$ running-time in the case when $T$ is a rooted tree. An $\mathrm{O}\left(r^{2} \log n\right)$ algorithm for unrooted trees is obtained by applying this algorithm from every vertex in the tree.

```
Algorithm B
1. Label all edges of \(T\) with \(a\) 's;
        insert all terminal edges into a 'candidate list';
    2. while W}\mathrm{ contains at least one b
        do begin
            find an edge ( }x,y\mathrm{ ) in the 'candidate list', which lies on a path of minimal
                length from the root to a leaf;
            label (x,y) with b;
            delete this b from W
            delete (x,y) from the 'candidate list';
```

```
if \(x\) has no sons labeled with \(a\)
    then begin
            find the unique \(w\) such that \((w, x) \in T\);
            insert ( \(w, x\) ) into the 'candidate list';
            end;
end;
```

Proof. Let $T$ be the tree labeled by the algorithm while $T^{\prime}$ is an optimally labeled tree minimizing the radius and satisfying the condition that if a (directed) edge $(x, y)$ is labeled with $\dot{b}$, then all edges in the subtree $T_{y}^{\prime}$ rooted at $y$ are labeled with $b$ (all the $b$-weigits are pushed towards the leaves). Note that $T$ satisfies this condition by the algorithm.

First we show that there exists such an optimal labeled tree $T^{\prime}$. Let $T^{\prime \prime}$ be an oprimal labeled tree not satisfying this property. Denote by $d, d^{\prime}$ and $d^{\prime \prime}$ distances in $T, T^{\prime}$ and $T^{\prime \prime}$, respectively (note that all these three trees differ only by their labelings). There exists an edge ( $x, y$ ) labeled $b$ in $T^{\prime \prime}$ while some edge ( $w, z$ ) in $T_{y}$ is labeled with $a$. Exchanging the weights of the edges $(x, y)$ and $(w, z)$ yields a labeling where $d^{\prime \prime}(r, u)$ is decreased by $b-a$ for every $u \in T_{y}-T_{z}$ and is not changed for any other vertex in the tree. Repeating this process yields a labeled tree $T^{\prime}$, satisfying the desired property, without increasing the radius, and therefore $T^{\prime \prime}$ is also optimal.

Assume now that the tree $T$ labeled by the algorithm is not optimal, i.e., there exists a vertex $x \in T$ such that $d(r, x)>\mathscr{R}$, where $R$ is the radius of $T^{\prime}$. Then the path from $r$ to $x$ contains an edge labeles $\overline{\text { inth }} b$ in $T$ and labeled with $a$ in $T^{\prime}$. Let $(y, z)$ be the first such edge from the root $r$. On the other hand there exists an edge ( $u, v$ ) labeled with $b$ in $T^{\prime}$ and labeled with $a$ in $T$. By the property of $T^{\prime}$ all the edges in the subtree $T_{v}$ are labeled with $b$. Let $w$ be a vertex in $T_{v}$ with maximal distance $d^{\prime}(r, w) . d^{\prime}(r, w) \leqslant \mathscr{R}$ since $\mathscr{R}$ is the radius of $T^{\prime}$ (see Fig. 3).


Fig. 3.

Note that the edge $(u, v)$ is labeled with $a$ in $T$ and with $b$ n $T^{\prime}$, and thus

$$
d(r, w)+(b-a) \leqslant d^{\prime}(r, w) \leqslant R .
$$

Hence

$$
d(r, w) \leqslant R-(b-a)
$$

By our assumption $d(r, x)>R$. Thus before the algorithm labeled the edge $(y, z)$ with $b$ we had

$$
d(r, x)+(b-a)>R \quad \text { or } \quad d(r, x)>R-(b-a)
$$

and therefore

$$
d(r, w)<d(r, x)
$$

Hence the algorithm should have labeled the edge $(u, v)$ with $b$ before labeling the edge ( $y, z$ ), a contradiction.

Therefore the labeling of $T$ is optimal.

### 3.4. Problem 8. Like Problem 7, for a maximal radius.

In a rooted tree this is done by finding a longest path from the root, labeing its edges with as much $b$ 's as possible, and labeling the rest of the edges arbitrarily. In an unrooted tree this is done by finding a longest path, labeling it with $\lceil x / 2\rceil$ $b$ 's on one end and $\lfloor x / 2\rfloor b$ 's on the other (where $x$ is the number of $b$ 's), and labeling the rest of the edges arbitrarily. Both algorithms are of $\mathrm{O}(n)$ running-time.
3.5. Problem 9. Given a tree $T$ and a set of 0,1 weights $W$, to find a labeling with minimal diameter.

This is done in $\mathrm{O}(n)$ running-time algorithm, identical to that of Problem 6.

## 4. Average measurements: polynomial results

4.1. In this section we present polynomial-time algorithms for optimization problems concerning the functions SUMDIS, SUMAVR, SUMMIN and SUMMAX.
4.2. Problem 10. Given $T$ and $W$, to find a labeling that maximizes or minimizes

$$
\operatorname{SUMDIS}(T)=\sum_{i<j} d(i, j) .
$$

Following Hu [4] we observe that instead of summing the $d(i, j)$ 's we can count the number of occurrences of each edge in the summation. Suppose that an edge $e$ partitions the tree $T$ into two subtrees with $k$ and $n+1-k$ vertices, respectively.

Then $e$ is counted $k(n+1-k)$ times in $\operatorname{SUMDIS}(T)$. We call this value $c(e)=$ $k(n+1-k)$ the conntection of $e$. Now

$$
\operatorname{SUMDIS}(T)=\sum_{e} c(e) \cdot f(e)
$$

and the next theorem follows:

Theorem. Given the connections of the edges of the tree $T ; c\left(e_{1}\right) \leqslant c\left(e_{2}\right) \leqslant \cdots \leqslant c\left(e_{n}\right)$, the labeling of $e_{i}$ with $w_{i}\left(w_{n+1-i}\right)$, for $i=1,2, \ldots, n$, maximizes (minimizes) the function SUMDIS(T).

The eptimal labeling is unique up to permuting edges with equal connections. Assuming that all weights are distinct, the number $N$ of optimal labelings satisfies

$$
2^{|n / 2|} \leqslant N \leqslant n!.
$$

The bounds are achieved for the graphs $P_{n+1}$ and $K_{1, n}$.
The connections can be calculated in $\mathrm{O}(n)$ time by regarding $T$ as rooted and traversing it in end-order (see [7]). Therefore, and because of the sorting step involved, the algorithm for optimal labeling (for either maximizing or minimizing SUMDIS(T)) has $\mathbf{O}(n \log n)$ running-time.

### 4.3. Problem 11. Given $T$ and $W$, to find a labeling that maximizes or minimizes

$$
\operatorname{SUMAVR}(T)=\sum_{i<j} \frac{d(i, j)}{|p(i, j)|}
$$

the solution is essentially similar to the solution of Problem 10. The only change is that the connections $c(e)$ are replaced by the average connection $\tilde{c}(e)$ defined by

$$
\tilde{c}(e)=\sum_{j} \frac{c_{j}(e)}{j},
$$

where $c_{j}(e)$ is the nurnber of paths of length $j$ through the edge $e$.
Calculating the average connections is done by a breadth-first search (see [1]) from every edge $e$, getting the number of paths of length $i$ on each side of $e$ (for every $i$, and then 'merging' the information from both sides of $e$ by convolution double in $O(n \log n)$ time (see [1]). Therefore the algorithm in this case is of $\mathbf{O}\left(n^{2} \log r\right)$ time.

### 4.4. Problem 12. Given $T$ and $W$, to find a labeling that minimizes

$$
\operatorname{SUMMAX}(T)=\sum_{i<j} \max (i, j) .
$$

The problem of maximizing SUMMAX $(T)$ is still open. Similarly, our algorithm for solving Problem 12 solves also the problem of maximizing the function $\operatorname{SUMMIN}(T)$, while the problem of minimizing $\operatorname{SUMMIN}(T)$ is still open.

Conjecture. The problem of maximizing (minimizing) SUMMAX(T) $\operatorname{SUMMIN}(T)$ ) is NP-hard.

The algorithm to solve Problem 12 is based on the following theorem:
Theorem. Given $T$ and $W$ as above, and assuming $w_{i}<w_{i+1}$ for every $i$, we have:
(1) In any labeling that minimizes $\operatorname{SUMMAX}(T)$ the largest weight $w_{n}$ labels a terminal edge.
(2) For any terminal edge there exists an optimal labeling in which $w_{n}$ labels this edge.
(3) $\min _{f}\left\{\operatorname{SUMMAX}_{f}(T)\right\}=\sum_{i} i \cdot w_{i}$ (which means that this optimel value is independent on the tree $T$ ).

Note. The result holds also for the case $0 \leqslant w_{1} \leqslant \cdots \leqslant w_{n}$, and the modification of the proof is left to the reader.

Proof. We prove the theorem by induction on $n$. The theorem holds for $n=1,2$ and 3. Assume it holds for a tree with less than $n$ edges. Let $T$ be a tree with $n$ edges. Assume $f$ is a labeling that minimizes $\operatorname{SUMMAX}(T)$, and that $w_{n}$ labels a non-terminal edge $e$, thus partitioning $T$ into two subtrees $T_{1}$ and $T_{2}$ containing $k$ and $n+1-k$ vertices, respectively, for some $2 \leqslant k \leqslant n-1$. Wlog we assume that $w_{n-1}$ labels an edge in $T_{1}$. Applying the inductive hypothesis to $T_{1}$ we know that there exists an optimal labeling for $T_{1}$ in which a specified terminal edge is labeled with $w_{n-1}$. We choose this edge to be such that by removing it with its corresponding leaf we won't disconnect $T$ (see Fig. 4). Note that all the paths from vertices in $T_{1}$, containing $w_{n}$, are not effected by this new labeling of $T_{1}$ (since on them $w_{n}$ is maximal), and, as for $T_{1}$, the value of SUMMAX is not changed by the inductive hypothesis. In the current labeling the contribution of $w_{n}$ and $w_{n-1}$ to $\operatorname{SUMMAX}(T)$ is

$$
\begin{equation*}
k(n+1-k) w_{n}+(k-1) w_{n-1} . \tag{1}
\end{equation*}
$$

By interchanging $w_{n-1}$ and $w_{n}$ we get a labeling $f^{\prime}$ in which their contribution is

$$
\begin{equation*}
n w_{n}+(k-1)(n+1-k) w_{n-1} . \tag{2}
\end{equation*}
$$

But subtracting (1) from (2) gives

$$
(2)-(1)=(k-1)(n-k)\left(w_{r i-1}-w_{n}\right)<0
$$

because $1<k<n$ and $w_{n-1}<w_{n}$, a contradiction.


Fig. 4.

We know that in an optimal labeling of $T w_{n}$ labis a terminal edge. It is clear that the contribution of $w_{n}$ to $\operatorname{SUMMAX}(T)$ is

$$
\begin{equation*}
n w_{n} . \tag{3}
\end{equation*}
$$

It is also clear that we can label any terminal edge with $w_{n}$, and, after erasing it together with the corresponding leaf, we apply the inductive hypothesis to the remaining tree, getting optimal labeling with a value of

$$
\sum_{i=1}^{n-1} i w_{i}
$$

hence fici $T$ we get the optimal value of $\sum_{i=1}^{n} i w_{i}$.
Following the above proof, it is clea: how to get art $\mathrm{O}(n)$ labeling algorithm that minimizes SUMMAX $\left(T^{\top}\right)$.
4.5. A.s for the number of optimai labelings, it can be shown that, when all the weights are distinct, the number $N$ of such labelings satisfies

$$
2^{n-1} \leqslant N \leqslant n!,
$$

when the bounds are achieved for the graphs $P_{n+1}$ and $K_{1, n}$.

### 4.6. Problem 13. Given $T$ and $W$, to find a labeling that maximizes SUMMAX(T).

We stated our conjecture (above) that this problem is NP-hard. As a matter of fact, we don't even know how to solve this problem in the case when the tree $T$ is a path $\left(P_{n+1}\right)$. Note that the 'natural' approach of labeling the edge with maximal connection with the largest weight $w_{n}$ is not optimal; for example, consider the case when $T=P_{6}$ and $W=\{1,2,3,8,9\}$.
4.7. We consider now the case when $T$ is a path and $W$ contains a bounded number of distinct weights. We present an exact description of the solution when $W$ contains
only two kinds of weights, and give an optimal labeling algorithm for the case when $W$ contains a bounded number of distinct weights.

### 4.8. A path, 2 weights

Here $T=P_{n+1}$, and $W$ contains $k$ weights $b$ and $n-k$ weights $a, a<b$. The structure of the solution is characterized in the following theorem:

Theorem. Let $T$ and $W$ be as above, and denote a labeling $f$ as a sequence

$$
\begin{equation*}
a^{x_{c}} b a^{x_{1}} b \cdots b a^{x_{t-1}} b a^{x_{t}} \tag{4}
\end{equation*}
$$

where $x_{i} \geqslant 0$ and $\Sigma x_{i}=n-k$. If the labeling $f$ is optin $n a l$, then

$$
\left|x_{i}-x_{j}\right| \leqslant 1 \quad \text { for every } i \text { and } j .
$$

Proof. For a labeling function $f$ as described in (4), let $N_{f}(a)\left(N_{f}(b)\right)$ denote the number of paths $p(i, j)$ in which the largest labei is a $(b)$. It is clear that by minimizing $N_{f}(a)$ over all possible labelings $f$ we will also maximize $\operatorname{SUMMAX}(T)$ over all possible labelings $f$, since $a<b$ and

$$
N_{f}(a)+N_{f}(b)=\binom{n+1}{2}
$$

It is clear that

$$
\begin{equation*}
N_{f}(a)=\binom{x_{0}+1}{2}+\binom{x_{1}+1}{2}+\cdots+\binom{x_{t}+1}{2} . \tag{5}
\end{equation*}
$$

Assume that $f$ is an optimal labeling and that the theorem doesn't hold. Wlog we may assume that

$$
\begin{equation*}
x_{0}>x_{1}+1 \tag{6}
\end{equation*}
$$

We define a labeling $f^{\prime}$ as follows:

$$
\begin{equation*}
f^{\prime}: \quad a^{x_{0}-1} b a^{x_{1}+1} b a^{x_{2}} b \cdots b a^{x_{t-1}} b a^{x_{t}} . \tag{7}
\end{equation*}
$$

By (5) we have

$$
\begin{equation*}
N_{f}(a)=\binom{x_{0}}{2}+\binom{x_{1}+2}{2}+\cdots+\binom{x_{t}+1}{2} . \tag{8}
\end{equation*}
$$

Using (5), (8) and (6) it can be shown that

$$
N_{f^{\prime}}(a)<N_{f}(a),
$$

hence $\operatorname{SUMMAX}_{f^{\prime}}(T)>\operatorname{SUMMAX}_{f}(T)$, a contradiction.
Using this theorem it is clear how one should 'spread' the $a$ 's among the $b$ 's in order to get a labeling that maximizes the function SUMMAX.

### 4.9. A path, $k$ weights

Here $T=P_{n+1}$ and $W$ contains $m_{i}$ copies of $w_{i}$ for $i=1,2, \ldots, k$, where $m_{1}+m_{2}+$ $\cdots+m_{k}=n$ and $w_{1}>w_{2}>\cdots>w_{k} \geqslant 0$. The following dynamic programming algorithm, proposed by Megiddo [9], solves the problem in time $O\left(n^{2 k+1}\right)$. Let $s:=\left(s_{1}, s_{2}, \ldots, s_{k}\right)$ and $p=\left(p_{1}, p_{2}, \ldots, p_{k}\right)$ be integral vectors where $0 \leqslant s_{i} \leqslant m_{i}, 0 \leqslant$ $p_{i} \leqslant n$ for $i=1,2, \ldots, k$. Consider the following problem: Given a path of length $m=\sum s_{i}$ (denote its vertices by $0,1, \ldots, m$ ), label the edges with $s_{i}$ copies of $w_{i}$ ( $i=1,2, \ldots, k$ ), maximizing SUMMAX, where $p_{i}$ is the lowest occurrence of $w_{i}$ (if $s_{i}=0$, then we assume $p_{i}=0$ ). (There may be no feasible solution.) Let $\phi(s, p)$ be this maximal value (if not feasible let $\phi(s, p)=0$ ). For a feasible solution ( $s, p$ ) let $\#(s, p)$ denote the contribution of the vertex 0 to SUMMAX. This contribution, $\sum_{j=1}^{m} \max (0, j)$, depends only on $s$ and $p$ (and not on the particular labeling), and can be computed in $O(m)$ time. Now, $\phi(s, p)$ can be computed recursively, as follows: Given a feasible ( $s, p$ ), there is a unique $t, 1 \leqslant t \leqslant k$, such that $s_{t}>0$ and $p_{t}=1$. Then

$$
\begin{aligned}
& \phi(s, p)=\psi(s, p) \\
& \quad+\max _{i=i<m-1} \phi\left(s_{1}, \ldots, s_{t-1}, s_{t}-1, s_{t+1}, \ldots, s_{k}, p_{1}, \ldots, p_{t-1}, i, p_{t+1}, \ldots, p_{k}\right)
\end{aligned}
$$

Thus, the function $\phi(s, p)$ is evaluated at no more than $n^{2 k}$ points, and each evaluation does not require more than $O(n)$ time, hence the algorithm runs in time $O\left(n^{3 k+1}\right)$. This bound is a non-trivial one, since a complete enumeration requires $\mathbf{O}\left(k^{12}\right)$ time.

## 5. Open problems

The first two open problems are related to Problem 5:
Problem A. Given a connected graph $G$ with $m$ edges and a set $W$ of 0,1 weights, $|W|=m$, to determine whether there exists a labeling with diameter $\leqslant 2$.

This problem seems to be NP-complete. However, it is not clear that it can be reduced from the Maximum Terminal Spanning Tree problem; new ideas are needed. Furthermore, we conjecture that the following problem is also NPcomplete:

Probilem B. Given a connected graph $G$ with $m$ edges and a set $W$ of 0,1 weights, $|W|=m$, to determine whether there exists a labeling with diameter $\leqslant 1$.

We have the following observations about this problem. Suppose the graph $G$ is labeled with the given weights such that its diameter $\mathscr{D} \leqslant 1$. It is clear that $\mathscr{D}=0$
iff $G$ contains a spanning tree with all edges labeled 0 . Thus the interesting case is when $\mathscr{D}=1$. In this case we may assume that the edges labeled with 0 generate a forest in $G$, since if they generate any cycle we can replace one 0 -edge with a 1 -edge without increasing the diameter (putting this 0 -edge in another arbitrary place not closing a cycle of 0 's $)$. Let $T_{i}=\left(V_{i}, E_{i}\right), i=1,2, \ldots, j$ be the trees in this forest. A graph $G^{\prime}=\left(V^{\prime}, E^{\prime}\right)$ is constructed from $G$ by contracting (see [3]) all the vertices of each tree $T_{i}, i=1,2, \ldots, j$, into one vertex $u_{i}$. It can be shown that $G$ is labeled with a diameter $\mathscr{D}=1$ iff $G^{\prime}$ is a complete graph. This observation leads us to the above conjecture.

It can also be observed that this conjecture is equivalent to the following contractability problem:

Problem C. Given a graph $G$ and an integer $t>0$, to determine whether one can obtain the complete graph $K_{t}$ from $G$ by a series of edge contractions, i.e., a series in which each step replaces two adjacent vertices $u$ and $v$ by a single vertex $w$ adjacent to exactly those vertices that were previously adjacent to at least one of $u$ and $v$.

The next two problems are related to the conjecture of Section 4 that the problem of maximizing $\operatorname{SUMMAX}(T)$ is NP-hard.

Problem D (see 4.4 and 4.6). Given a tree $T=P_{n+1}$ and $W$, to find a labeling that maximizes $\operatorname{SUMMAX}(T)$.

Problem E. Given a tree $T$ and a set $W$ of $a, b$ weights, to find a labeling which maximizes SUMMAX $(T)$ (this is an interesting special case of the open problem of maximizing SUMMAX $(T)$ for general $T$ and $W$ ).

## Acknowledgment

We would like to thank C. L. Liu for the inspiring conversations we had while working on this paper, and the referees for their suggestions.

## References

[1] A.V. Aho, J.E. Hopcroft and J.D. Ullman, The Design and Ar.alysis of Computer Algorithms (Addison-Wesley, Reading, MA, 1974).
[2] M.R. Garey and D.S. Johnson, Computers and Intractabiity: A Guide to the Theory of NPCompleteness (Freeman, San Francisco, 1979).
[3] F. Harary, Graph Theory (Addison-Wesley, Reading, MA, 1969).
[4] T. C. Hu, Optimal communication spanning trees, SIAM J. Comput. 3 (3) (1974) 188-195.
[5] D.S. Johnson, Private communication.
[6] R M. Karp, Reducibility among combinatorial problems, ini: R.E. Miller and J.W. Thatcher, Eds., Compliexity of Computer Computations (Plenum Press, New York, 1972) 85-104.
[7] D.E. Knuth, The Art of Computer Programming, Vol. 1: Fur damental Algorithms (Addison-Wesley, Readilig, MA, 1968).
[8; J.K. Lenstra, A.H.G. Rinnooy Kan and D.S. Johnson, The complexity of the network design probiein, Mathematisch Centrum, Amsterdam (1976).
[9] N. Megiddo, Private communication.


[^0]:    * This work was done while bcin authors were at the Department of Computer Science, University of Illinois at Urbana-Champaign, Urbana, li 61801, and was supported in part by the National Science Foundation under grant NSF MCS 77-22830.

