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# James' conjecture for Hecke algebras of exceptional type, I

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## Abstract

In this paper, and a second part to follow, we complete the programme (initiated more than 15 years ago) of determining the decomposition numbers and verifying James' conjecture for Iwahori–Hecke algebras of exceptional type. The new ingredients which allow us to achieve this aim are:

- the fact, recently proved by the first author, that all Hecke algebras of finite type are cellular in the sense of Graham–Lehrer, and
- the explicit determination of  $W$ -graphs for the irreducible (generic) representations of Hecke algebras of type  $E_7$  and  $E_8$  by Howlett and Yin.

Thus, we can reduce the problem of computing decomposition numbers to a manageable size where standard techniques, e.g., Parker's MeatAxe and its variations, can be applied. In this part, we describe the theoretical foundations for this procedure.

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## 1. Introduction

Let  $k$  be a field and  $q$  a non-zero element of  $k$ . Let  $H_n(k, q)$  be the Iwahori–Hecke algebra of type  $A_{n-1}$  with parameter  $q$ ; this is a certain deformation of the group algebra of the symmetric group  $\mathfrak{S}_n$ . In order to study the representation theory of  $H_n(k, q)$ , Dipper and James [5] developed a  $q$ -version of the classical theory of Specht modules for  $\mathfrak{S}_n$ . In this framework, one obtains a natural parametrization of  $\text{Irr}(H_n(k, q))$  (the set of irreducible representations, up to isomorphism) in terms of  $e$ -regular partitions, where the parameter  $e$  is defined by

$$e = \min\{i \geq 2 \mid 1 + q + q^2 + \cdots + q^{i-1} = 0\}.$$

(We set  $e = \infty$  if no such  $i$  exists.) If  $k$  has characteristic 0, then we also know how to determine the dimensions of the irreducible representations, thanks to the Lascoux–Leclerc–Thibon conjecture [30] and its proof by Ariki [1]. However, the analogous problem for  $k$  of positive characteristic is completely open.

Assume now that  $e < \infty$  and  $\text{char}(k) = \ell > 0$ . Based on empirical evidence for  $n = 2, 3, \dots, 10$ , James [28] made the remarkable conjecture that if  $e\ell > n$ , then  $\text{Irr}(H_n(k, q))$  only depends on  $e$ . More precisely, James predicts that  $\text{Irr}(H_n(k, q))$  could be obtained from the  $\mathbb{C}$ -algebra  $H_n(\mathbb{C}, \sqrt[e]{1})$  by a process of  $\ell$ -modular reduction. Shortly afterwards, the first-named author [8] formulated a version of James' conjecture for Iwahori–Hecke algebras associated to finite Weyl groups in general, and proved that it holds in the so-called “defect 1 case.” (In type  $A_{n-1}$ , this corresponds to the case where  $e$  divides exactly one of the numbers  $2, 3, \dots, n$ .) The article [8] also contains an argument which shows that the irreducible representations of any Iwahori–Hecke algebra over a field of characteristic  $\ell > 0$  can always be obtained by  $\ell$ -modular reduction from an algebra in characteristic 0, as long as  $\ell$  is large enough. Thus, James' conjecture and its generalizations are really about finding the correct bound for  $\ell$ .

By ad hoc computational methods, the general version of James' conjecture has been shown to hold for Iwahori–Hecke algebras of type  $F_4$  and  $E_6$ ; see [9,18]. These methods, however, turned out to be completely inadequate to deal with algebras of larger rank; in particular, types  $E_7$  and  $E_8$  remained far out of reach.

Using the Kazhdan–Lusztig theory of cells [32] and the Graham–Lehrer concept of abstract “cell data” [23], it was recently shown in [16] that a suitable theory of “Specht modules” exists for Iwahori–Hecke algebras associated to finite Weyl groups in general. First of all, this has the theoretical implication that we can now formulate a general version of James' conjecture which is, perhaps, more natural than the one in [8]. Furthermore, this has the practical implication of leading to an algorithm for verifying the general version of James' conjecture, in which the main issue is the determination of the invariant bilinear form (and its rank) on a “cell representation.”

In order to make this work, a number of problems have to be resolved. To begin with, we need explicit models for those “cell representations.” For  $W$  of exceptional type, we will see that such models are given by the  $W$ -graph representations which were recently obtained by Howlett and Yin [26,40] and which are readily accessible through Michel's development version [35] of the computer algebra system CHEVIE [17]. Then the determination of the invariant bilinear form essentially amounts to solving a system of linear equations. This works fine for dimensions of up to around 2500, but some more refined methods are necessary for dealing with the large representations (of dimension up to 7168) in type  $E_8$ . The discussion of these finer computational methods is beyond the scope of the present article and can be found in [19].

Still, with all these new tools at hand, the computations required to determine the Gram matrices of the invariant bilinear forms for large representations in type  $E_8$  takes *several months* of CPU time on modern computers. Note, however, that once these matrices have been computed, it is relatively easy to verify that they indeed define invariant bilinear forms and to compute their ranks for various specialisations. It is planned to create a data base which makes these data generally available.

This paper is organised as follows. In Section 2, we recall the construction of “cell data” à la Graham–Lehrer in Iwahori–Hecke algebras associated to finite Weyl groups. We also discuss the example of type  $G_2$ , which provides a first illustration for the phenomenon expressed in James’ conjecture. In Section 3, we formulate the general version of James’ conjecture using the new approach based on cell representations. The equivalent formulation in Corollary 3.6 provides the conceptual basis for the algorithm for verifying James’ conjecture. In Section 4, we discuss the main computational issues in this algorithm and show how they can be solved—at least in principle. In particular, in Section 4.2, we prove a general result which allows us to verify that the Howlett–Yin  $W$ -graph representations do provide suitable models for the “cell representations.” This fact raises a general question about  $W$ -graph representations which is formulated as Conjecture 4.5.

**2. Cellular bases and cell representations**

Let  $W$  be an irreducible finite Weyl group with generating set  $S$ . Let  $R \subseteq \mathbb{C}$  be a subring and  $A = R[v, v^{-1}]$  the ring of Laurent polynomials in an indeterminate  $v$ . Let  $\mathcal{H}$  be the corresponding 1-parameter Iwahori–Hecke algebra over  $A$ . As an  $A$ -module,  $\mathcal{H}$  is free with basis  $\{T_w \mid w \in W\}$ ; the multiplication is given by

$$T_s T_w = \begin{cases} T_{sw} & \text{if } l(sw) = l(w) + 1, \\ uT_{sw} + (u - 1)T_w & \text{if } l(sw) = l(w) - 1, \end{cases}$$

where  $u = v^2$ ,  $s \in S$  and  $w \in W$ . Here,  $l(w)$  denotes the length of  $w \in W$ . For the general theory of Iwahori–Hecke algebras, we refer to [20]. These algebras, and their specialisations, play an important role in the representation theory of finite reductive groups; see, for example, [32, Chapter 0], [14].

In order to specify a *cell datum* for  $\mathcal{H}$  in the sense of Graham and Lehrer [23, Definition 1.1], we must specify a quadruple  $(\Lambda, M, C, *)$  satisfying the following conditions.

(C1)  $\Lambda$  is a partially ordered set,  $\{M(\lambda) \mid \lambda \in \Lambda\}$  is a collection of finite sets and

$$C : \prod_{\lambda \in \Lambda} M(\lambda) \times M(\lambda) \rightarrow \mathcal{H}$$

is an injective map whose image is an  $A$ -basis of  $\mathcal{H}$ ;

(C2) If  $\lambda \in \Lambda$  and  $\mathfrak{s}, \mathfrak{t} \in M(\lambda)$ , write  $C(\mathfrak{s}, \mathfrak{t}) = C_{\mathfrak{s}, \mathfrak{t}}^\lambda \in \mathcal{H}$ . Then  $*$ :  $\mathcal{H} \rightarrow \mathcal{H}$  is an  $A$ -linear anti-involution such that  $(C_{\mathfrak{s}, \mathfrak{t}}^\lambda)^* = C_{\mathfrak{t}, \mathfrak{s}}^\lambda$ .

(C3) If  $\lambda \in \Lambda$  and  $\mathfrak{s}, \mathfrak{t} \in M(\lambda)$ , then for any element  $h \in \mathcal{H}$  we have

$$hC_{\mathfrak{s}, \mathfrak{t}}^\lambda \equiv \sum_{\mathfrak{s}' \in M(\lambda)} r_h(\mathfrak{s}', \mathfrak{s}) C_{\mathfrak{s}', \mathfrak{t}}^\lambda \pmod{\mathcal{H}(< \lambda)},$$

where  $r_h(s', s) \in A$  is independent of  $t$  and where  $\mathcal{H}(< \lambda)$  is the  $A$ -submodule of  $\mathcal{H}$  generated by  $\{C_{s'', t''}^\mu \mid \mu < \lambda; s'', t'' \in M(\mu)\}$ .

For this purpose, we first need to recall some basic facts about the representations of  $W$  and  $\mathcal{H}_K = K \otimes_A \mathcal{H}$ , where  $K$  is the field of fractions of  $A$ .

It is known that  $\mathbb{Q}$  is a splitting field for  $W$ ; see, for example, [20, 6.3.8]. We will write

$$\text{Irr}(W) = \{E^\lambda \mid \lambda \in \Lambda\}, \quad d_\lambda = \dim E^\lambda,$$

for the set of irreducible representations of  $W$  (up to equivalence), where  $\Lambda$  is some finite indexing set. Now, the algebra  $\mathcal{H}_K$  is known to be split semisimple; see [20, 9.3.5]. Furthermore, by Tits' Deformation Theorem, the irreducible representations of  $\mathcal{H}_K$  (up to isomorphism) are in bijection with the irreducible representations of  $W$ ; see [20, 8.1.7]. Thus, we can write

$$\text{Irr}(\mathcal{H}_K) = \{E_v^\lambda \mid \lambda \in \Lambda\}.$$

The correspondence  $E^\lambda \leftrightarrow E_v^\lambda$  is uniquely determined by the following condition:

$$\text{trace}(w, E^\lambda) = \text{trace}(T_w, E_v^\lambda)|_{v=1} \quad \text{for all } w \in W;$$

note that  $\text{trace}(T_w, E_v^\lambda) \in A$  for all  $w \in W$ .

The algebra  $\mathcal{H}$  is symmetric with respect to the trace form  $\tau : \mathcal{H} \rightarrow A$  defined by  $\tau(T_1) = 1$  and  $\tau(T_w) = 0$  for  $1 \neq w \in W$ . Hence we have the following orthogonality relations for the irreducible representations of  $\mathcal{H}_K$ :

$$\sum_{w \in W} u^{-l(w)} \text{trace}(T_w, E_v^\lambda) \text{trace}(T_{w^{-1}}, E_v^\mu) = \begin{cases} d_\lambda \mathbf{c}_\lambda & \text{if } \lambda = \mu, \\ 0 & \text{if } \lambda \neq \mu, \end{cases}$$

where  $0 \neq \mathbf{c}_\lambda \in \mathbb{Z}[u, u^{-1}]$ ; see [20, 8.1.7 and 9.3.6]. Following Lusztig, we write

$$\mathbf{c}_\lambda = f_\lambda u^{-\mathbf{a}_\lambda} + \text{combination of strictly higher powers of } u,$$

where  $\mathbf{a}_\lambda, f_\lambda$  are integers such that  $\mathbf{a}_\lambda \geq 0$  and  $f_\lambda > 0$ ; see [20, 9.4.7]. These integers are explicitly known for all types of  $W$ ; see Lusztig [31, Chapter 4] or [32, Chapter 22].

**Remark 2.1.** Since we are in the equal parameter case, the Laurent polynomials  $\mathbf{c}_\lambda$  have the following properties: Each  $\mathbf{c}_\lambda$  divides the Poincaré polynomial  $P_W = \sum_{w \in W} u^{l(w)}$  in  $\mathbb{Q}[u, u^{-1}]$ ; furthermore, we have

$$\mathbf{c}_\lambda = f_\lambda u^{-\mathbf{a}_\lambda} \tilde{\mathbf{c}}_\lambda \quad \text{where } \tilde{\mathbf{c}}_\lambda \in \mathbb{Z}[u] \text{ is monic and divides } P_W.$$

(For these facts, see [20, 9.3.6] and the references there.) It is well known (see, for example, [3, §9.4]) that

$$P_W = \prod_{1 \leq i \leq |S|} \frac{u^{d_i} - 1}{u - 1}$$

where  $d_1, \dots, d_{|S|}$  are the so-called *degrees* of  $W$ ; we have  $|W| = d_1 \cdots d_{|S|}$ . By [3, §10.2], the degrees for the various types of  $W$  are given as follows:

| Type       | degrees $d_i$               | Type  | degrees $d_i$                  |
|------------|-----------------------------|-------|--------------------------------|
| $A_{n-1}$  | $2, 3, 4, \dots, n$         | $G_2$ | $2, 6$                         |
| $B_n, C_n$ | $2, 4, 6, \dots, 2n$        | $F_4$ | $2, 6, 8, 12$                  |
| $D_n$      | $2, 4, 6, \dots, 2(n-1), n$ | $E_6$ | $2, 5, 6, 8, 9, 12$            |
|            |                             | $E_7$ | $2, 6, 8, 10, 12, 14, 18$      |
|            |                             | $E_8$ | $2, 8, 12, 14, 18, 20, 24, 30$ |

We are now ready to define a “cell datum” of  $\mathcal{H}$ . The required quadruple  $(\Lambda, M, C, *)$  is given as follows. Let  $\Lambda$  be an indexing set for the irreducible representations of  $W$ , as above. For  $\lambda \in \Lambda$ , we set  $M(\lambda) = \{1, \dots, d_\lambda\}$ . Using the  $\mathbf{a}$ -invariants, we define a partial order  $\preceq$  on  $\Lambda$  by

$$\lambda \preceq \mu \stackrel{\text{def}}{\iff} \lambda = \mu \text{ or } \mathbf{a}_\lambda > \mathbf{a}_\mu.$$

Thus,  $\Lambda$  is ordered according to *decreasing*  $\mathbf{a}$ -value. Next, we define an  $A$ -linear anti-involution  $*$ :  $\mathcal{H} \rightarrow \mathcal{H}$  by  $T_w^* = T_{w^{-1}}$  for all  $w \in W$ . Thus,  $T_w^* = T_w^b$  in the notation of [32, 3.4].

The trickiest part is, of course, the definition of the basis elements  $C_{\mathfrak{s}, \mathfrak{t}}^\lambda$  for  $\mathfrak{s}, \mathfrak{t} \in M(\lambda)$ . Let  $\{c_w \mid w \in W\}$  be the Kazdan–Lusztig basis of  $\mathcal{H}$ , as constructed in [32, Theorem 5.2]. Given  $x, y \in W$ , we write  $c_x c_y = \sum_{z \in W} h_{x,y,z} c_z$  where  $h_{x,y,z} \in A$ . Following Lusztig [32, 13.6], we use the structure constants  $h_{x,y,z}$  to define a function  $\mathbf{a}: W \rightarrow \mathbb{Z}_{\geq 0}$  by

$$\mathbf{a}(z) := \min\{i \geq 0 \mid v^i h_{x,y,z} \in \mathbb{Z}[v] \text{ for all } x, y \in W\} \text{ for all } z \in W.$$

As in [32], we usually work with the elements  $c_w^\dagger$  obtained by applying the unique  $A$ -algebra involution  $\mathcal{H} \rightarrow \mathcal{H}, h \mapsto h^\dagger$  such that  $T_s^\dagger = -T_s^{-1}$  for any  $s \in S$ ; see [32, 3.5]. We can now state:

**Theorem 2.2.** (See Geck [16, Theorem 3.1].) *Assume that the subring  $R \subseteq \mathbb{C}$  is chosen such that all bad primes for  $W$  are invertible in  $R$ . Then there is a cell datum  $(\Lambda, M, C, *)$  for  $\mathcal{H}$  where  $\Lambda, M, *$  are as specified above and, for each  $\lambda \in \Lambda$  and  $\mathfrak{s}, \mathfrak{t} \in M(\lambda)$ , the element  $C_{\mathfrak{s}, \mathfrak{t}}^\lambda$  is a  $\mathbb{Z}$ -linear combination of basis elements  $c_w^\dagger$  where  $\mathbf{a}(w) = \mathbf{a}_\lambda$ .*

Here, a prime number  $p$  is called *bad* for  $W$  if  $p$  divides  $f_\lambda$  for some  $\lambda \in \Lambda$ . Otherwise,  $p$  is called *good*. This corresponds to the familiar definition of “bad” primes; see Lusztig [31, Chapter 4]. The conditions for being good for the various types of  $W$  are as follows:

$$\begin{aligned} A_n &: \text{no condition,} \\ B_n, C_n, D_n &: p \neq 2, \\ G_2, F_4, E_6, E_7 &: p \neq 2, 3, \\ E_8 &: p \neq 2, 3, 5. \end{aligned}$$

For the rest of this paper, we shall now make the definite choice where the ring  $R$  consists of all fractions  $a/b \in \mathbb{Q}$  such that  $a \in \mathbb{Z}$  and  $0 \neq b \in \mathbb{Z}$  is divisible by bad primes only.

**Remark 2.3.** For future reference, we remark that, if  $h \in \mathcal{H}$  is a  $\mathbb{Z}[v, v^{-1}]$ -linear combination of basis elements  $\{T_w \mid w \in W\}$ , then we also have

$$r_h(\mathfrak{s}', \mathfrak{s}) \in \mathbb{Z}[v, v^{-1}] \quad \text{for all } \lambda \in \Lambda \text{ and } \mathfrak{s}, \mathfrak{s}' \in M(\lambda);$$

see the explicit formula for  $r_h(\mathfrak{s}', \mathfrak{s})$  in Step 3 of the proof of [16, Theorem 3.1].

Following Graham and Lehrer [23], we can perform the following constructions. Given  $\lambda \in \Lambda$ , let  $W^\lambda$  be a free  $A$ -module with basis  $\{C_\mathfrak{s} \mid \mathfrak{s} \in M(\lambda)\}$ . Then  $W^\lambda$  is a left  $\mathcal{H}$ -module, where the action is given by

$$h.C_\mathfrak{s} = \sum_{\mathfrak{s}' \in M(\lambda)} r_h(\mathfrak{s}', \mathfrak{s}) C_{\mathfrak{s}'}$$

Furthermore, we can define a symmetric bilinear form  $\phi^\lambda : W^\lambda \times W^\lambda \rightarrow A$  by

$$\phi^\lambda(C_\mathfrak{s}, C_\mathfrak{t}) = r_h(\mathfrak{s}, \mathfrak{t}) \quad \text{where } \mathfrak{s}, \mathfrak{t} \in M(\lambda) \text{ and } h = C_{\mathfrak{s}, \mathfrak{t}}^\lambda.$$

We have  $\phi^\lambda(T_w.C_\mathfrak{s}, C_\mathfrak{t}) = \phi^\lambda(C_\mathfrak{s}, T_{w^{-1}}.C_\mathfrak{t})$  for all  $\mathfrak{s}, \mathfrak{t} \in M(\lambda)$  and  $w \in W$ ; see [23, Proposition 2.4].

The modules  $\{W^\lambda \mid \lambda \in \Lambda\}$  are called the *cell representations*, or *cell modules*, of  $\mathcal{H}$ . Extending scalars from  $A$  to  $K$ , we obtain modules  $W_K^\lambda = K \otimes_A W^\lambda$  for  $\mathcal{H}_K$ . By the discussion in [16, Example 4.4], we have

$$\text{Irr}(\mathcal{H}_K) = \{W_K^\lambda \mid \lambda \in \Lambda\} \quad \text{and} \quad W_K^\lambda \cong E_v^\lambda \quad \text{for all } \lambda \in \Lambda.$$

Now let  $\theta : A \rightarrow k$  be a ring homomorphism into a field  $k$ ; note that the characteristic of  $k$  will be either 0 or a prime  $p$  which is not bad for  $W$ . By extension of scalars, we obtain a  $k$ -algebra  $\mathcal{H}_k(W, \xi) = k \otimes_A \mathcal{H}$  where  $\xi := \theta(u) \in k$ . Explicitly,  $\mathcal{H}_k(W, \xi)$  has a basis  $\{T_w \mid w \in W\}$  and the multiplication is given by

$$T_s T_w = \begin{cases} T_{sw} & \text{if } l(sw) = l(w) + 1, \\ \xi T_{sw} + (\xi - 1)T_w & \text{if } l(sw) = l(w) - 1, \end{cases}$$

where  $s \in S$  and  $w \in W$ . The algebra  $\mathcal{H}_k(W, \xi)$  is called a *specialisation* of  $\mathcal{H}$ . Let  $\text{Irr}(\mathcal{H}_k(W, \xi))$  be the set of irreducible representations of  $H_k(W, \xi)$ , up to isomorphism.

Now, we also obtain cell modules  $W_\xi^\lambda = k \otimes_A W^\lambda$  ( $\lambda \in \Lambda$ ) for  $\mathcal{H}_k(W, \xi)$ , which may no longer be irreducible. Denoting by  $\phi_\xi^\lambda$  the induced bilinear form on  $W_\xi^\lambda$ , we set

$$L_\xi^\lambda = W_\xi^\lambda / \text{rad}(\phi_\xi^\lambda).$$

Then, by the general theory of cellular algebras in [23, §3], each  $L_\xi^\lambda$  is either  $\{0\}$  or an absolutely simple  $\mathcal{H}_k(W, \xi)$ -module, and we have

$$\text{Irr}(\mathcal{H}_k(W, \xi)) = \{L_\xi^\mu \mid \mu \in \Lambda_\xi^\circ\} \quad \text{where } \Lambda_\xi^\circ := \{\lambda \in \Lambda \mid L_\xi^\lambda \neq 0\}.$$

In particular, this shows that the algebra  $\mathcal{H}_k(W, \xi)$  is split. Furthermore, denoting by  $(W_\xi^\lambda : L_\xi^\mu)$  the multiplicity of  $L_\xi^\mu$  as a composition factor of  $W_\xi^\lambda$ , we have

$$\begin{cases} (W_\xi^\mu : L_\xi^\mu) = 1 & \text{for any } \mu \in \Lambda_\xi^\circ, \\ (W_\xi^\lambda : L_\xi^\mu) = 0 & \text{unless } \lambda = \mu \text{ or } \mathbf{a}_\mu < \mathbf{a}_\lambda. \end{cases} \quad (\Delta)$$

Thus, the theory of cellular algebras provides a general method for constructing the irreducible representations of the specialised algebra  $\mathcal{H}_k(W, \xi)$ .

**Proposition 2.4.** *Assume that  $P_W(\xi) \neq 0$ . Then  $\mathcal{H}_k(W, \xi)$  is semisimple,  $\Lambda = \Lambda_\xi^\circ$  and  $W_\xi^\lambda = L_\xi^\lambda$  for all  $\lambda \in \Lambda$ .*

**Proof.** Recall from Remark 2.1 that, for each  $\lambda \in \Lambda$ , we have  $\mathbf{c}_\lambda = f_\lambda u^{-\mathbf{a}_\lambda} \tilde{\mathbf{c}}_\lambda$  where  $\tilde{\mathbf{c}}_\lambda \in \mathbb{Z}[u]$  is monic and divides  $P_W$ . Hence, since the characteristic of  $k$  is either 0 or a good prime for  $W$ , our assumption  $P_W(\xi) \neq 0$  implies that we also have  $\theta(\mathbf{c}_\lambda) \neq 0$  for all  $\lambda \in \Lambda$ . A general semisimplicity criterion for symmetric algebras (see [20, 7.4.7]) then shows that  $\mathcal{H}_k(W, \xi)$  is semisimple, a result first proved by Gyoja and Uno [25]. The remaining statements concerning the cell representations are contained in [23, 3.8].  $\square$

**Corollary 2.5.** *Let  $\lambda \in \Lambda$  and  $G^\lambda$  be the Gram matrix of the invariant bilinear form  $\phi^\lambda$  with respect to the standard basis of  $W^\lambda$ . Then  $0 \neq \det(G^\lambda) \in \mathbb{Z}[v, v^{-1}]$ . Furthermore, let  $0 \neq q \in \mathbb{Z}[v, v^{-1}]$  be irreducible such that  $q$  divides  $\det(G^\lambda)$ . Then either  $\pm q$  is a bad prime number or  $q$  divides  $P_W$ .*

**Proof.** First note that, by Remark 2.3, all entries of  $G^\lambda$  lie in  $\mathbb{Z}[v, v^{-1}]$ . Furthermore, by Proposition 2.4, we have  $\det(G^\lambda) \neq 0$ . Now consider the prime ideal  $(q)$  and let  $F$  be the field of fractions of  $A/(q)$ . Then we have a specialisation  $\alpha : A \rightarrow F$ . Let  $\mathcal{H}_F(W, \alpha(u))$  be the specialised algebra. Let  $G_F^\lambda$  be the matrix obtained by applying  $\alpha$  to all coefficients of  $G^\lambda$ . Then  $G_F^\lambda$  is the Gram matrix of the induced bilinear form  $\phi_F^\lambda$  on the specialised cell module  $W_F^\lambda$ . If  $q$  divides  $\det(G^\lambda)$ , then  $\det(G_F^\lambda) = 0$  and so  $\mathcal{H}_F(W, \alpha(u))$  will not be semisimple; see [23, 3.8]. By the general semisimplicity criterion in [20, 7.4.7], we deduce that  $\alpha(\mathbf{c}_\mu) = 0$  for some  $\mu \in \Lambda$ . Now there are two cases.

If  $q \in \mathbb{Z}$ , then this implies that  $q$  must divide  $f_\mu$  and so  $\pm q$  is a bad prime.

If  $q$  is an irreducible non-constant polynomial, then  $q$  must divide  $\mathbf{c}_\mu$ . By Remark 2.1,  $\mathbf{c}_\mu$  divides  $P_W$ . Hence, we deduce that  $q$  divides  $P_W$ .  $\square$

**Example 2.6.** Let  $W$  be of type  $A_{n-1}$ . Then  $W$  can be identified with the symmetric group  $\mathfrak{S}_n$  and  $\Lambda$  consists of all partitions  $\lambda \vdash n$ . A special feature of this case is that  $f_\lambda = 1$  for all  $\lambda \in \Lambda$ . By [16, Example 4.2], the linear combinations in Theorem 2.2 will only have one non-zero term, with coefficient 1, i.e., the Kazhdan–Lusztig basis itself is a cellular basis. More precisely, for  $\lambda \in \Lambda$ , let  $w_\lambda$  be the longest element in the corresponding Young subgroup  $\mathfrak{S}_\lambda$  of  $W = \mathfrak{S}_n$ . Now, by [29, §5], the Kazhdan–Lusztig left and right cells of  $W$  are given by the Robinson–Schensted correspondence. This explicit description shows that, if  $\mathcal{C}_\lambda$  denotes the left cell containing  $w_\lambda$ , we have

$$\mathcal{C}_\lambda = \{d(\mathfrak{s})w_\lambda \mid \mathfrak{s} \in M(\lambda)\}$$

where the elements  $d(\mathfrak{s})$  ( $\mathfrak{s} \in M(\lambda)$ ) are certain distinguished left coset representatives of  $\mathfrak{S}_\lambda$  in  $W = \mathfrak{S}_n$ . Furthermore, given  $\mathfrak{s}, \mathfrak{t} \in M(\lambda)$ , there is a unique  $w_\lambda(\mathfrak{s}, \mathfrak{t}) \in W$  such that  $w_\lambda(\mathfrak{s}, \mathfrak{t})$  lies in the same right cell as  $d(\mathfrak{s})w_\lambda$  and in the same left cell as  $w_\lambda d(\mathfrak{t})^{-1}$ . (See also [15, Remark 3.9, Corollary 5.6] for further details.) With this notation, [16, Example 4.2] shows that

$$C_{\mathfrak{s}, \mathfrak{t}}^\lambda = c_{w_\lambda(\mathfrak{s}, \mathfrak{t})}^\dagger \quad \text{for all } \lambda \vdash n \text{ and } \mathfrak{s}, \mathfrak{t} \in M(\lambda).$$

McDonough and Pallikaros [34] showed that the cell modules  $W^\lambda$  are naturally isomorphic to the Dipper–James Specht modules. The invariant bilinear form on  $W^\lambda$  is given by

$$\phi^\lambda(C_{\mathfrak{s}}, C_{\mathfrak{t}}) = h_{w_\lambda d(\mathfrak{s})^{-1}, d(\mathfrak{t})w_\lambda, w_\lambda} \quad \text{for all } \mathfrak{s}, \mathfrak{t} \in M(\lambda).$$

For connections of these bilinear forms with the topology of Springer fibres, see Fung [7].

Thus, for general  $\mathcal{H}$ , the cell modules  $W^\lambda$  arising from Theorem 2.2 can indeed be regarded as analogues of the Dipper–James Specht modules in type  $A_{n-1}$ .

**Example 2.7.** Let  $W$  be the Weyl group of type  $G_2$  where  $S = \{s_1, s_2\}$  and  $(s_1 s_2)^6 = 1$ . We have  $\text{Irr}(W) = \{\mathbf{1}, \varepsilon_1, \varepsilon_2, \varepsilon, r, r'\}$  where  $\mathbf{1}$  is the unit representation,  $\varepsilon$  is the sign representation,  $\varepsilon_1, \varepsilon_2$  have dimension one,  $r$  is the reflection representation and  $r'$  is another representation of dimension two. The invariants  $\mathbf{a}_\lambda$  and  $f_\lambda$  are given by

$$\begin{aligned} \mathbf{a}_1 &= 0, & \mathbf{a}_{\varepsilon_1} &= \mathbf{a}_{\varepsilon_2} = \mathbf{a}_r = \mathbf{a}_{r'} = 1, & \mathbf{a}_\varepsilon &= 6; \\ f_1 &= f_\varepsilon = 1, & f_{\varepsilon_1} &= f_{\varepsilon_2} = 3, & f_r &= 6, & f_{r'} &= 2. \end{aligned}$$

Hence, the bad primes are 2 and 3. A cellular basis as in Theorem 2.2 is given as follows:

$$\begin{aligned} C_{1,1}^{\mathbf{1}} &= c_1^\dagger, & C_{1,1}^\varepsilon &= c_{w_0}^\dagger, \\ C_{1,1}^{\varepsilon_1} &= c_{s_2}^\dagger - c_{s_2 s_1 s_2}^\dagger + c_{s_2 s_1 s_2 s_1 s_2}^\dagger, & C_{1,1}^{\varepsilon_2} &= c_{s_1}^\dagger - c_{s_1 s_2 s_1}^\dagger + c_{s_1 s_2 s_1 s_2 s_1}^\dagger, \\ C_{1,1}^r &= 3c_{s_1}^\dagger + 6c_{s_1 s_2 s_1}^\dagger + 3c_{s_1 s_2 s_1 s_2 s_1}^\dagger, & C_{1,1}^{r'} &= c_{s_1}^\dagger - c_{s_1 s_2 s_1 s_2 s_1}^\dagger, \\ C_{1,2}^r &= -3c_{s_1 s_2}^\dagger - 3c_{s_1 s_2 s_1 s_2}^\dagger, & C_{1,2}^{r'} &= -c_{s_1 s_2}^\dagger + c_{s_1 s_2 s_1 s_2}^\dagger, \\ C_{2,1}^r &= -3c_{s_2 s_1}^\dagger - 3c_{s_2 s_1 s_2 s_1}^\dagger, & C_{2,1}^{r'} &= -c_{s_2 s_1}^\dagger + c_{s_2 s_1 s_2 s_1}^\dagger, \\ C_{2,2}^r &= c_{s_2}^\dagger + 2c_{s_2 s_1 s_2}^\dagger + c_{s_2 s_1 s_2 s_1 s_2}^\dagger, & C_{2,2}^{r'} &= c_{s_2}^\dagger - c_{s_2 s_1 s_2 s_1 s_2}^\dagger. \end{aligned}$$

To find these expressions, we perform computations similar to those in [16, Example 4.3] (where type  $B_2$  was considered). Once this is done, one can then also check directly that the above elements form a cellular basis. The Gram matrices of the invariant bilinear forms on the cell representations  $W^\lambda$  are given by

$$\begin{aligned} G^{\mathbf{1}} &= [1], & G^\varepsilon &= [v^{-6} P_W], & G^{\varepsilon_1} &= G^{\varepsilon_2} = [3(v + v^{-1})], \\ G^r &= \begin{bmatrix} 18(v + v^{-1}) & -18 \\ -18 & 6(v + v^{-1}) \end{bmatrix}, & G^{r'} &= \begin{bmatrix} 2(v + v^{-1}) & -2 \\ -2 & 2(v + v^{-1}) \end{bmatrix}, \end{aligned}$$

where  $P_W = (v^{12} - 1)(v^4 - 1)/(v^2 - 1)^2$  is the Poincaré polynomial of  $W$ .



Now let  $\theta : A \rightarrow k$  be a specialisation; note that the characteristic of  $k$  will be either 0 or a prime  $\neq 2, 3$ . Let  $e \geq 2$  be minimal such that  $1 + \xi + \xi^2 + \dots + \xi^{e-1} = 0$ . Thus, either  $\xi = 1$  and  $e$  is the characteristic of  $k$ , or  $e$  is the multiplicative order of  $\xi$  in  $k^\times$ . We see that the above Gram matrices remain non-singular after specialisation unless  $\xi \neq 1$  and  $e \in \{2, 3, 6\}$ . Thus, we obtain non-trivial decomposition numbers only for  $e \in \{2, 3, 6\}$ . In these cases, the sets  $\Lambda_\xi^\circ$  and the dimensions of  $L_\xi^\mu$  for  $\mu \in \Lambda_\xi^\circ$  are given as follows.

| $e = 2$             |                  |                  | $e = 3$             |                  |                  | $e = 6$             |                  |                  |
|---------------------|------------------|------------------|---------------------|------------------|------------------|---------------------|------------------|------------------|
| $\Lambda_\xi^\circ$ | $\mathbf{a}_\mu$ | $\dim L_\xi^\mu$ | $\Lambda_\xi^\circ$ | $\mathbf{a}_\mu$ | $\dim L_\xi^\mu$ | $\Lambda_\xi^\circ$ | $\mathbf{a}_\mu$ | $\dim L_\xi^\mu$ |
| $\mathbf{1}$        | $0$              | $1$              | $\mathbf{1}$        | $0$              | $1$              | $\mathbf{1}$        | $0$              | $1$              |
| $r$                 | $1$              | $2$              | $\varepsilon_1$     | $1$              | $1$              | $\varepsilon_1$     | $1$              | $1$              |
| $r'$                | $1$              | $2$              | $\varepsilon_2$     | $1$              | $1$              | $\varepsilon_2$     | $1$              | $1$              |
|                     |                  |                  | $r$                 | $1$              | $2$              | $r$                 | $1$              | $1$              |
|                     |                  |                  | $r'$                | $1$              | $1$              | $r'$                | $1$              | $2$              |

In particular, we notice that the classification of the irreducible representations and their dimensions only depend on  $e$ , but not on the particular value of  $\xi$  or the characteristic of  $k$ . Thus, we have verified in a particular example the general phenomenon which is expressed in James’ conjecture.

**Remark 2.8.** The decomposition matrix  $D_\xi$  can also be interpreted in the framework of Brauer’s modular representation theory of associative algebras; see [6, §I.1.17]. Indeed, let us assume that  $k$  is the field of fractions of the image of  $\theta$ . By [20, Exercise 7.8], there exists a discrete valuation ring  $\mathcal{O} \subseteq K$  with maximal ideal  $\mathfrak{p}$  such that  $A \subseteq \mathcal{O}$  and  $\mathfrak{p} \cap A = \ker(\theta)$ . Let  $k_\mathfrak{p} \supseteq k$  be the residue field of  $\mathcal{O}$ . Since  $\mathcal{H}_k(W, \xi)$  is split, the scalar extension from  $k$  to  $k_\mathfrak{p}$  induces a bijection  $\text{Irr}(\mathcal{H}_k(W, \xi)) \xrightarrow{\sim} \text{Irr}(\mathcal{H}_{k_\mathfrak{p}}(W, \xi))$ . Identifying  $\text{Irr}(\mathcal{H}_k(W, \xi))$  and  $\text{Irr}(\mathcal{H}_{k_\mathfrak{p}}(W, \xi))$  via this isomorphism, we obtain a well-defined decomposition map

$$d_\xi : R_0(\mathcal{H}_K) \rightarrow R_0(\mathcal{H}_k(W, \xi))$$

where  $R_0(\mathcal{H}_K)$  and  $R_0(\mathcal{H}_k(W, \xi))$  denote the Grothendieck groups of finite-dimensional representations of  $\mathcal{H}_K$  and  $\mathcal{H}_k(W, \xi)$ , respectively. Since each cell representation  $W^\lambda$  is defined over  $A$  and  $W_K^\lambda \cong E_v^\lambda$ , we conclude that

$$d_\xi([E_v^\lambda]) = \sum_{\mu \in \Lambda_\xi^\circ} (W_\xi^\lambda : L_\xi^\mu) [L_\xi^\mu] \quad \text{for all } \lambda \in \Lambda,$$

where  $[E_v^\lambda], [L_\xi^\mu]$  denote the classes of  $E_v^\lambda, L_\xi^\mu$  in the respective Grothendieck groups. (Note that, by [4, Ex. 6.16], we do not need to pass to the completion of  $\mathcal{O}$ , as is usually done in Brauer’s modular representation theory.)

**Definition 2.9.** The Brauer graph of  $\mathcal{H}$  with respect to  $\theta : A \rightarrow k$  is the graph with vertices labelled by the elements of  $\Lambda$  and edges given as follows. Let  $\lambda \neq \lambda'$  in  $\Lambda$ . Then the vertices labelled by  $\lambda$  and  $\lambda'$  are joined by an edge if there exists some  $\mu \in \Lambda_\xi^\circ$  such that  $(W_\xi^\lambda : L^\mu) \neq 0$

and  $(W_{\xi}^{\lambda'} : L^{\mu}) \neq 0$ . The connected components of this graph define a partition of  $\Lambda$  which are called the  $\xi$ -blocks of  $\Lambda$  (or of  $\text{Irr}(\mathcal{H}_K)$  or of  $\text{Irr}(W)$ ).

Let  $\Lambda = \Lambda_1 \amalg \Lambda_2 \amalg \cdots \amalg \Lambda_r$  be the partition of  $\Lambda$  into  $\xi$ -blocks. Then we also have

$$\Lambda_{\xi}^{\circ} = \Lambda_{\xi,1}^{\circ} \amalg \Lambda_{\xi,2}^{\circ} \amalg \cdots \amalg \Lambda_{\xi,r}^{\circ} \quad \text{where } \Lambda_{\xi,i}^{\circ} := \Lambda_i \cap \Lambda_{\xi}^{\circ}.$$

If we order the elements of  $\Lambda$  and of  $\Lambda_{\xi}^{\circ}$  accordingly, we obtain a block diagonal shape for  $D_{\xi}$ :

$$D_{\xi} = \begin{pmatrix} D_{\xi,1} & 0 & \cdots & 0 \\ 0 & D_{\xi,2} & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ 0 & \cdots & 0 & D_{\xi,r} \end{pmatrix},$$

where  $D_{\xi,i}$  has rows and columns labelled by the elements of  $\Lambda_i$  and  $\Lambda_{\xi,i}^{\circ}$ , respectively. Thus, in order to describe the set  $\Lambda_{\xi}^{\circ}$  and the matrix  $D_{\xi}$ , we can proceed block by block. Note that, by Remark 2.8, the blocks of  $\mathcal{H}$  as defined above really correspond to blocks in the sense of Brauer’s modular representation theory.

### 3. The general version of James’ conjecture

We keep the general setting of the previous section. Let  $\mathcal{H}$  be an Iwahori–Hecke algebra associated with a finite Weyl group  $W$ , defined over the ring  $A = R[v, v^{-1}]$  where  $R \subseteq \mathbb{Q}$  is fixed as in the remarks just after Theorem 2.2. Then we have a cellular basis  $\{C_{\mathfrak{s},\mathfrak{t}}^{\lambda}\}$  and cell representations  $\{W^{\lambda} \mid \lambda \in \Lambda\}$  for  $\mathcal{H}$ .

Now let  $\theta : A \rightarrow k$  be a ring homomorphism into a field  $k$ . Note that the characteristic of  $k$  will be either 0 or a prime  $p$  which is not bad for  $W$ . We obtain a corresponding specialised algebra  $\mathcal{H}_k(W, \xi)$  where  $\xi = \theta(u) \in k^{\times}$ . Recall that

$$\text{Irr}(\mathcal{H}_k(W, \xi)) = \{L_{\xi}^{\mu} \mid \mu \in \Lambda_{\xi}^{\circ}\}.$$

As in Remark 2.8, we have a decomposition map  $d_{\xi} : R_0(\mathcal{H}_K) \rightarrow R_0(\mathcal{H}_k(W, \xi))$  such that

$$d_{\xi}([E_v^{\lambda}]) = \sum_{\mu \in \Lambda_{\xi}^{\circ}} (W_{\xi}^{\lambda} : L_{\xi}^{\mu}) [L_{\xi}^{\mu}] \quad \text{for all } \lambda \in \Lambda.$$

Following Dipper and James [5], we set

$$e = \min\{i \geq 2 \mid 1 + \xi + \xi^2 + \cdots + \xi^{i-1} = 0\}.$$

(We set  $e = \infty$  if no such  $i$  exists.) We assume from now on that  $\text{char}(k) = \ell > 0$  and  $e < \infty$ . Let  $\zeta_e = \sqrt[e]{1} \in \mathbb{C}$  and consider the Iwahori–Hecke algebra  $\mathcal{H}_{\mathbb{C}}(W, \zeta_e)$  arising from the specialisation

$$\theta_e : A \rightarrow \mathbb{C}, \quad v \mapsto \zeta_{2e} = \sqrt[2e]{1}.$$

We can apply the previous discussion to the algebra  $\mathcal{H}_{\mathbb{C}}(W, \zeta_e)$  as well. Thus, we have

$$\text{Irr}(\mathcal{H}_{\mathbb{C}}(W, \zeta_e)) = \{L_{\zeta_e}^{\mu} \mid \mu \in \Lambda_{\zeta_e}^{\circ}\}.$$

Furthermore, there is a decomposition map  $d_{\zeta_e} : R_0(\mathcal{H}_K) \rightarrow R_0(\mathcal{H}_{\mathbb{C}}(W, \zeta_e))$  such that

$$d_{\zeta_e}([E_v^{\lambda}]) = \sum_{\mu \in \Lambda_{\zeta_e}^{\circ}} (W_{\zeta_e}^{\lambda} : L_{\zeta_e}^{\mu}) [L_{\zeta_e}^{\mu}] \quad \text{for all } \lambda \in \Lambda.$$

We will want to compare the representations of  $\mathcal{H}_k(W, \xi)$  and  $\mathcal{H}_{\mathbb{C}}(W, \zeta_e)$ . For this purpose, the following remark will be relevant.

**Remark 3.1.** For any  $d \geq 1$ , we denote by  $\Phi_d \in \mathbb{Z}[u]$  the  $d$ th cyclotomic polynomial. Note that we have

$$\Phi_d(v^2) = \begin{cases} \Phi_{2d}(v) & \text{if } d \text{ is even,} \\ \Phi_d(v)\Phi_d(-v) & \text{if } d \text{ is odd.} \end{cases}$$

Now, in view of the definition of  $e$ , it is clear that  $\Phi_e(\xi) = 0$ . Furthermore, note that  $\theta(v)^2 = \xi$ . Hence, choosing a square root of  $\xi$  in  $k^{\times}$  appropriately, we can assume that  $\Phi_{2e}(\theta(v)) = 0$ . (If  $\text{char}(k) \neq 2$ , we also have  $\Phi_e(\theta(v)) \neq 0$ .) Consequently, there exists a ring homomorphism  $R[\zeta_{2e}] \rightarrow k, r \mapsto \bar{r}$ , such that  $\theta(a) = \theta_e(a)$  for all  $a \in A$ . Let  $\mathcal{O} \subseteq \mathbb{Q}(\zeta_{2e})$  be the localisation of  $R[\zeta_{2e}]$  in the prime ideal  $\mathfrak{q} = \{r \in R[\zeta_{2e}] \mid \bar{r} = 0\}$ . Then  $\mathcal{O}$  is a discrete valuation ring whose residue field can be identified with a subfield of  $k$ . By “ $\mathfrak{q}$ -modular reduction” (see [6, §I.1.17]), we obtain a well-defined decomposition map

$$d_{\xi}^e : R_0(\mathcal{H}_{\mathbb{Q}(\zeta_{2e})}(W, \zeta_e)) \rightarrow R_0(\mathcal{H}_k(W, \xi)).$$

Note that the scalar extension from  $\mathbb{Q}(\zeta_{2e})$  to  $\mathbb{C}$  defines a bijection

$$\text{Irr}(\mathcal{H}_{\mathbb{Q}(\zeta_{2e})}(W, \zeta_e)) \xrightarrow{\sim} \text{Irr}(\mathcal{H}_{\mathbb{C}}(W, \zeta_e)).$$

Via this bijection, we can identify  $R_0(\mathcal{H}_{\mathbb{Q}(\zeta_{2e})}(W, \zeta_e))$  and  $R_0(\mathcal{H}_{\mathbb{C}}(W, \zeta_e))$ , and regard  $d_{\xi}^e$  as a map from  $R_0(\mathcal{H}_{\mathbb{C}}(W, \zeta_e))$  to  $R_0(\mathcal{H}_k(W, \xi))$ . Let us write

$$d_{\xi}^e([L_{\zeta_e}^{\nu}]) = \sum_{\mu \in \Lambda_{\zeta_e}^{\circ}} a_{\nu\mu} [L_{\xi}^{\mu}] \quad \text{for any } \nu \in \Lambda_{\zeta_e}^{\circ},$$

where  $a_{\nu\mu} \in \mathbb{Z}_{\geq 0}$ . Following James [28], the matrix  $A_{\xi}^e := (a_{\nu\mu})$  is called the *adjustment matrix* associated to the specialisation  $\theta$ . By a general factorisation result for decomposition maps, we have  $d_{\xi}^e = d_{\xi}^e \circ d_{\zeta_e}^e$  or, in other words,

$$(W_{\xi}^{\lambda} : L_{\xi}^{\mu}) = \sum_{\nu \in \Lambda_{\zeta_e}^{\circ}} a_{\nu\mu} (W_{\zeta_e}^{\lambda} : L_{\zeta_e}^{\nu}) \quad \text{for all } \lambda \in \Lambda \text{ and } \mu \in \Lambda_{\xi}^{\circ}.$$

This result first appeared in [8, Theorem 5.3]; see also [21, Proposition 2.5], [11, Proposition 2.6] for analogous statements in more general situations.

**Lemma 3.2.** *In the above setting, the following hold.*

- (a) Given  $\mu \in \Lambda_\xi^\circ$  and  $\nu \in \Lambda_{\zeta_e}^\circ$ , we have  $a_{\nu\mu} = 0$  unless  $\nu = \mu$  or  $\mathbf{a}_\mu < \mathbf{a}_\nu$ .
- (b) We have  $\Lambda_\xi^\circ \subseteq \Lambda_{\zeta_e}^\circ$  and  $a_{\mu\mu} = 1$  for all  $\mu \in \Lambda_\xi^\circ$ . In particular, we have  $\Lambda_\xi^\circ = \Lambda_{\zeta_e}^\circ$  if these two sets have the same cardinality.
- (c) We have  $\dim L_\xi^\mu \leq \dim L_{\zeta_e}^\mu$  for all  $\mu \in \Lambda_\xi^\circ$ .

**Proof.** Let  $\lambda \in \Lambda$ ,  $\mu \in \Lambda_\xi^\circ$  and  $\nu \in \Lambda_{\zeta_e}^\circ$ . Recall the relations  $(\Delta)$  from Section 2: if  $(W_\xi^\lambda : L_\xi^\mu) \neq 0$ , then  $\mathbf{a}_\mu \leq \mathbf{a}_\lambda$  with equality only for  $\lambda = \mu$ ; furthermore,  $(W_\xi^\mu : L_\xi^\mu) = 1$ . A similar statement holds for the decomposition numbers  $(W_{\zeta_e}^\lambda : L_{\zeta_e}^\mu)$ .

(a) Assume that  $a_{\nu\mu} \neq 0$ . Then, since  $(W_{\zeta_e}^\nu : L_{\zeta_e}^\mu) = 1$ , we have

$$(W_\xi^\nu : L_\xi^\mu) = \sum_{\nu' \in \Lambda_{\zeta_e}^\circ} a_{\nu'\mu} (W_{\zeta_e}^\nu : L_{\zeta_e}^{\nu'}) > 0$$

and so the relations  $(\Delta)$  imply that  $\nu = \mu$  or  $\mathbf{a}_\mu < \mathbf{a}_\nu$ .

(b) We have  $1 = (W_\xi^\mu : L_\xi^\mu) = \sum_{\nu' \in \Lambda_{\zeta_e}^\circ} a_{\nu'\mu} (W_{\zeta_e}^\mu : L_{\zeta_e}^{\nu'})$ . So there exists some  $\nu' \in \Lambda_{\zeta_e}^\circ$  such that  $a_{\nu'\mu} \neq 0$  and  $(W_{\zeta_e}^\mu : L_{\zeta_e}^{\nu'}) \neq 0$ . Consequently, using (a) and the relations  $(\Delta)$ , we have  $\mathbf{a}_\mu \leq \mathbf{a}_{\nu'}$  and so  $\mathbf{a}_\mu = \mathbf{a}_{\nu'}$ . Thus, we must have  $\mu = \nu' \in \Lambda_{\zeta_e}^\circ$  and  $a_{\mu\mu} \neq 0$ . Since  $(W_\xi^\mu : L_\xi^\mu) = 1$ , we then also conclude that  $a_{\mu\mu} = 1$ .

(c) Since  $\dim L_{\zeta_e}^\mu = \sum_{\nu \in \Lambda_{\zeta_e}^\circ} a_{\mu\nu} \dim L_\xi^\nu \geq a_{\mu\mu} \dim L_\xi^\mu$ , this follows from (b).  $\square$

The observation that  $\Lambda_\xi^\circ$  equals  $\Lambda_{\zeta_e}^\circ$  once we know that these two sets have the same cardinality was first made by Jacon [27, Theorem 3.3] (in a slightly different context).

**Theorem 3.3.** (See Geck and Rouquier [21, 5.4], [13, 3.2].) *Assume that  $e\ell$  does not divide any degree of  $W$ . Then  $|\text{Irr}(\mathcal{H}_k(W, \xi))| = |\text{Irr}(\mathcal{H}_{\mathbb{C}}(W, \zeta_e))|$ .*

Actually, using some explicit computations for  $W$  of exceptional type and the results of Ariki and Mathas [2] for  $W$  of classical type, one can show that the above conclusion holds under the single assumption that  $\ell$  is a good prime; see [13]. However, we do not need this stronger result here.

**Remark 3.4.** The significance of the assumption on  $\ell$  in Theorem 3.3 is as follows. One easily checks that if  $f \geq 2$  is such that  $\Phi_f(\xi) = 0$  then  $f = e\ell^i$  for some  $i \geq 0$  (see, for example, [13, 3.1]). Hence, assuming that  $e\ell$  does not divide any degree of  $W$ , we have the following implication for any  $f \geq 2$ :

$$\Phi_f(\xi) = 0 \quad \text{and} \quad \Phi_f \text{ divides } P_W \quad \Rightarrow \quad f = e.$$

**Conjecture 3.5** (General version of James’ conjecture). *Recall our standing assumption that  $e < \infty$  and  $\text{char}(k) = \ell > 0$  where  $\ell$  is a good prime for  $W$ . Assume also that  $e\ell$  does not divide any degree of  $W$ . Then the decomposition matrix  $D_\xi$  only depends on  $e$ . More precisely, the adjustment matrix  $A_\xi^e$  is the identity matrix or, in other words:*

$$(W_\xi^\lambda : L_\xi^\mu) = (W_{\zeta_e}^\lambda : L_{\zeta_e}^\mu) \quad \text{for all } \lambda \in \Lambda \text{ and } \mu \in \Lambda_\xi^\circ = \Lambda_{\zeta_e}^\circ. \tag{J}$$

(Note that we do know that  $\Lambda_\xi^\circ = \Lambda_{\zeta_e}^\circ$  by Theorem 3.3 and Lemma 3.2.)

Using the factorisation in Remark 3.1 and Lemma 3.2, the above conjecture can be reformulated as follows.

**Corollary 3.6** (Alternative version of James’ conjecture). *Condition (J) in Conjecture 3.5 holds if and only if  $\dim \text{rad}(\phi_\xi^\lambda) = \dim \text{rad}(\phi_{\zeta_e}^\lambda)$  for all  $\lambda \in \Lambda$ .*

Thus, in order to verify James’ conjecture, it is sufficient to determine the ranks of the Gram matrices of the bilinear forms  $\phi^\lambda$  for various specialisations. Recall from Section 2 that the entries of these Gram matrices are certain structure constants of  $\mathcal{H}$  with respect to its cellular basis, and these can be expressed in terms of the structure constants of the Kazhdan–Lusztig basis of  $\mathcal{H}$ . These in turn can be computed in principle (using recursive formulae), but note that this is only feasible for algebras of small rank. In Section 4 and [19], we will see how this problem can be solved effectively.

**Proposition 3.7.** (See also [8, Proposition 5.5] and [11, 2.7].) *There exists a bound  $N$ , depending only on  $W$ , such that condition (J) in Conjecture 3.5 holds for all  $\ell > N$ .*

**Proof.** We introduce the following notation. Given any matrix  $M$  with entries in  $A$ , we denote by  $M_\xi$  the matrix obtained by applying  $\theta$  to all entries of  $M$ . Similarly, we define  $M_{\zeta_e}$  via the map  $\theta_e$ ; the entries of  $M_{\zeta_e}$  will lie in  $R[\zeta_{2e}]$ . Finally, if  $N$  is a matrix with entries in  $R[\zeta_{2e}]$ , we denote by  $\bar{N}$  the matrix obtained by applying the map  $\alpha \mapsto \bar{\alpha}$  to all entries of  $N$  (see Remark 3.1). With this notation, we have  $M_\xi = \bar{M}_{\zeta_e}$  for any matrix  $M$  with entries in  $A$ .

Now fix  $e \geq 2$  and  $\lambda \in \Lambda$ . Let  $G^\lambda$  be the Gram matrix of  $\phi^\lambda$ ; this is a matrix with entries in  $\mathbb{Z}[v, v^{-1}]$ . With the above notation, we have  $G_\xi^\lambda = \bar{G}_{\zeta_e}^\lambda$ . This already implies that  $\text{rank}(G_\xi^\lambda) \leq r := \text{rank}(G_{\zeta_e}^\lambda)$ . We can find an  $r \times r$ -submatrix  $G$  of  $G^\lambda$  such that  $\det(G_{\zeta_e}) \neq 0$ . Now  $\det(G_{\zeta_e})$  is an algebraic integer in the ring  $\mathbb{Z}[\zeta_{2e}]$ ; its norm will be a non-zero rational integer. If  $\ell$  does not divide that integer, we have

$$\det(G_\xi) = \det(\bar{G}_{\zeta_e}) = \overline{\det(G_{\zeta_e})} \neq 0.$$

So  $r = \text{rank}(G_\xi^\lambda) = \text{rank}(G_{\zeta_e}^\lambda)$  for  $\ell$  “large enough.” Hence, since  $\Lambda$  is a finite set, there is global bound  $N$  such that  $\text{rank}(G_\xi^\lambda) = \text{rank}(G_{\zeta_e}^\lambda)$  for all  $\lambda \in \Lambda$  and all  $\ell > N$ . Hence, by Corollary 3.6, the conclusion of James’ conjecture holds for all  $\ell > N$ ,  $\square$

Note that the above proof actually provides a method for finding  $N$ , assuming that the Gram matrices  $G^\lambda$  are explicitly known.

Recall from Section 2 the definition of the Brauer graph of  $\mathcal{H}$  with respect to  $\theta : A \rightarrow k$ ; its connected components are called  $\xi$ -blocks. Similarly, we define the Brauer graph of  $\mathcal{H}$  with respect to  $\theta_e : A \rightarrow \mathbb{C}$ . Its connected components are called  $\zeta_e$ -blocks.

**Definition 3.8.** Given  $\lambda \in \Lambda$ , we set

$$\delta_\lambda := \max\{i \geq 0 \mid \Phi_e^i \text{ divides } \mathbf{c}_\lambda \text{ in } \mathbb{Q}[u]\}.$$

This number is called the  $\Phi_e$ -defect of  $\lambda$  (or of  $E^\lambda$ ).

**Proposition 3.9.** (See Geck [8, 7.4 and 7.6].) Assume that  $e\ell$  does not divide any degree of  $W$ . Then the following hold.

- (a) The  $\xi$ -blocks of  $\mathcal{H}$  coincide with the  $\zeta_e$ -blocks of  $\mathcal{H}$ .
- (b) If  $E^\lambda$  and  $E^\mu$  belong to the same  $\xi$ -block, then  $\delta_\lambda = \delta_\mu$ .

The above result shows that all irreducible representations in a given  $\xi$ -block of  $\mathcal{H}$  have the same  $\Phi_e$ -defect, which will be called the  $\Phi_e$ -defect of the block. Note that the only known proof of Proposition 3.9(b) relies on an interpretation of  $D_{\zeta_e}$  in the modular representation theory of a finite group of Lie type with Weyl group  $W$ , and on known results on heights of characters in blocks of finite groups with abelian defect groups.

We can now state the main result of this article and its sequel [19].

**Theorem 3.10.** Recall our standing assumption that  $e < \infty$  and  $\text{char}(k) = \ell > 0$  where  $\ell$  is a good prime for  $W$ . Assume now that  $W$  is of exceptional type and that  $e\ell$  does not divide any degree of  $W$ . Then James’s conjecture holds for  $\mathcal{H}$ . More precisely, let  $\Lambda_1$  be a  $\xi$ -block of  $\Lambda$ . By Proposition 3.9,  $\Lambda_1$  has a well-defined  $\Phi_e$ -defect,  $\delta$  say.

- (a) If  $\delta = 0$ , then  $\Lambda_1 = \{\lambda\}$  is a singleton set; we have  $W_\xi^\lambda = L_\xi^\lambda$  and  $W_{\zeta_e}^\lambda = L_{\zeta_e}^\lambda$ .
- (b) If  $\delta = 1$ , then the following hold:
  - (i) We have  $\mathbf{a}_\lambda \neq \mathbf{a}_{\lambda'}$  for any  $\lambda \neq \lambda'$  in  $\Lambda_1$ . Thus, we have a unique labelling  $\Lambda_1 = \{\lambda_1, \lambda_2, \dots, \lambda_n\}$  such that  $\mathbf{a}_{\lambda_1} < \mathbf{a}_{\lambda_2} < \dots < \mathbf{a}_{\lambda_n}$ .
  - (ii) With the labelling in (i), we have  $\Lambda_{1,\xi}^\circ = \{\lambda_1, \dots, \lambda_{n-1}\}$  and

$$(W_\xi^{\lambda_i} : L_\xi^{\lambda_j}) = (W_{\zeta_e}^{\lambda_i} : L_{\zeta_e}^{\lambda_j}) = \begin{cases} 1 & \text{if } i = j \text{ or } i = j + 1, \\ 0 & \text{otherwise.} \end{cases}$$

- (c) If  $\delta \geq 2$ , then  $\Lambda_{1,\xi}^\circ$  and  $\dim L_\xi^\mu$  for  $\mu \in \Lambda_{1,\xi}^\circ$  are given by Tables 1 and 2.

**Remark 3.11.** The  $\zeta_e$ -blocks (together with their defect) of Iwahori–Hecke algebras of exceptional type are explicitly described in [20, Appendix F]. We have verified all the statements of Theorem 3.10 using an actual implementation of the algorithms presented in Section 4, and their refinements in [19]. Some of these statements are known to hold by theoretical arguments. More precisely:

- The statement in (a) follows from a general result about blocks of defect 0 in symmetric algebras; see [20, 7.5.11].
- The statement about  $D_{\zeta_e,1}$  in (b) is proved, using general arguments, by a combination of [8, §10], [12, §4], [22, 4.4]. In [8, §10] it is also shown that these statements apply to  $D_\xi$ , if  $\ell$  does not divide the order of  $W$ .

Note also that, once James’ conjecture is established (in the form of Corollary 3.6), the complete decomposition matrices can be easily determined: it is sufficient to compute them for one specialisation  $\theta : A \rightarrow k$  where  $\text{char}(k) = \ell$  is a good prime and  $e\ell$  does not divide any degree of  $W$ . For the types  $F_4, E_6, E_7$ , these matrices were known before and can be found in [9,10,18,36]; for type  $E_8$ , see [19].

Table 1  
The sets  $\Lambda_{\zeta_e}^\circ$  for type  $F_4, E_6, E_7$ .

---

|                         |                         |                         |                         |                         |                    |
|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|--------------------|
| $F_4, e = 2$            | $F_4, e = 2$            | $F_4, e = 3$            | $F_4, e = 3$            | $F_4, e = 4$            | $F_4, e = 6$       |
| 1 <sub>1</sub> 0 1      | 4 <sub>2</sub> 1 4      | 1 <sub>1</sub> 0 1      | 4 <sub>2</sub> 1 4      | 1 <sub>1</sub> 0 1      | 1 <sub>0</sub> 0 1 |
| 2 <sub>1</sub> 1 2      |                         | 2 <sub>1</sub> 1 1      | 8 <sub>1</sub> 3 4      | 4 <sub>2</sub> 1 4      | 2 <sub>1</sub> 1 2 |
| 2 <sub>3</sub> 1 2      |                         | 2 <sub>3</sub> 1 1      | 8 <sub>3</sub> 3 4      | 9 <sub>1</sub> 2 4      | 2 <sub>3</sub> 1 2 |
| 9 <sub>1</sub> 2 5      |                         | 4 <sub>1</sub> 4 1      | 16 <sub>1</sub> 4 4     | 6 <sub>1</sub> 4 1      | 8 <sub>1</sub> 3 5 |
|                         |                         |                         |                         | 12 <sub>1</sub> 4 4     | 8 <sub>3</sub> 3 5 |
|                         |                         |                         |                         |                         |                    |
| $E_6, e = 2$            | $E_6, e = 3$            | $E_6, e = 4$            | $E_6, e = 6$            |                         |                    |
| 1 <sub>p</sub> 0 1      | 1 <sub>p</sub> 0 1      | 1 <sub>p</sub> 0 1      | 1 <sub>p</sub> 0 1      |                         |                    |
| 6 <sub>p</sub> 1 6      | 6 <sub>p</sub> 1 5      | 6 <sub>p</sub> 1 6      | 6 <sub>p</sub> 1 6      |                         |                    |
| 20 <sub>p</sub> 2 14    | 20 <sub>p</sub> 2 14    | 15 <sub>p</sub> 3 15    | 20 <sub>p</sub> 2 13    |                         |                    |
| 15 <sub>q</sub> 3 14    | 15 <sub>p</sub> 3 10    | 15 <sub>q</sub> 3 8     | 15 <sub>q</sub> 3 14    |                         |                    |
| 30 <sub>p</sub> 3 10    | 15 <sub>q</sub> 3 1     | 81 <sub>p</sub> 6 60    | 30 <sub>p</sub> 3 11    |                         |                    |
| 60 <sub>p</sub> 5 46    | 30 <sub>p</sub> 3 25    | 10 <sub>s</sub> 7 1     | 60 <sub>p</sub> 5 32    |                         |                    |
|                         | 64 <sub>p</sub> 4 10    | 80 <sub>s</sub> 7 6     | 24 <sub>p</sub> 6 11    |                         |                    |
|                         | 60 <sub>p</sub> 5 5     | 90 <sub>s</sub> 7 15    | 60 <sub>s</sub> 7 14    |                         |                    |
|                         | 60 <sub>s</sub> 7 14    |                         | 80 <sub>s</sub> 7 13    |                         |                    |
|                         | 80 <sub>s</sub> 7 25    |                         | 60' <sub>p</sub> 11 1   |                         |                    |
|                         |                         |                         | 30' <sub>p</sub> 15 6   |                         |                    |
|                         |                         |                         |                         |                         |                    |
| $E_7, e = 2$            | $E_7, e = 4$            | $E_7, e = 4$            | $E_7, e = 3$            | $E_7, e = 6$            |                    |
| 1 <sub>a</sub> 0 1      | 1 <sub>a</sub> 0 1      | 27 <sub>a</sub> 2 27    | 1 <sub>a</sub> 0 1      | 1 <sub>a</sub> 0 1      |                    |
| 7' <sub>a</sub> 1 6     | 56' <sub>a</sub> 3 56   | 21 <sub>a</sub> 3 21    | 21 <sub>a</sub> 3 21    | 7' <sub>a</sub> 1 7     |                    |
| 27 <sub>a</sub> 2 14    | 105 <sub>b</sub> 6 48   | 35 <sub>b</sub> 3 8     | 35 <sub>b</sub> 3 34    | 21' <sub>b</sub> 3 13   |                    |
| 35 <sub>b</sub> 3 14    | 210 <sub>a</sub> 6 154  | 216' <sub>a</sub> 8 168 | 120 <sub>a</sub> 4 98   | 21 <sub>a</sub> 3 21    |                    |
| 105' <sub>a</sub> 4 78  | 189 <sub>a</sub> 8 35   | 210 <sub>b</sub> 10 7   | 105 <sub>b</sub> 6 7    | 35 <sub>b</sub> 3 27    |                    |
| 189' <sub>b</sub> 5 56  | 405 <sub>a</sub> 8 147  | 105 <sub>c</sub> 12 84  | 168 <sub>a</sub> 6 35   | 15' <sub>a</sub> 4 14   |                    |
| 315' <sub>a</sub> 7 126 | 70 <sub>a</sub> 16 21   | 378 <sub>a</sub> 14 105 | 210 <sub>a</sub> 6 91   | 105' <sub>a</sub> 4 77  |                    |
|                         | 315 <sub>a</sub> 16 120 |                         | 280 <sub>b</sub> 7 14   | 105 <sub>b</sub> 6 43   |                    |
|                         |                         |                         | 210 <sub>b</sub> 10 49  | 168 <sub>a</sub> 6 43   |                    |
|                         |                         |                         | 420 <sub>a</sub> 10 196 | 210 <sub>a</sub> 6 92   |                    |
| $E_7, e = 2$            | $E_7, e = 4$            | $E_7, e = 4$            |                         |                         |                    |
| 56' <sub>a</sub> 3 56   | $E_7, e = 4$            | 21' <sub>b</sub> 3 21   |                         |                         |                    |
| 120 <sub>a</sub> 4 64   | 7' <sub>a</sub> 1 -7    | 120 <sub>a</sub> 4 120  |                         |                         |                    |
| 280 <sub>b</sub> 7 216  | 15' <sub>a</sub> 4 8    | 189' <sub>b</sub> 5 48  |                         |                         |                    |
|                         | 105' <sub>a</sub> 4 105 | 35' <sub>a</sub> 7 35   |                         |                         |                    |
|                         | 189' <sub>c</sub> 7 84  | 70' <sub>a</sub> 7 1    |                         |                         |                    |
|                         | 280 <sub>b</sub> 7 168  | 315' <sub>a</sub> 7 147 | $E_7, e = 3$            |                         |                    |
|                         | 378' <sub>a</sub> 9 21  | 336 <sub>a</sub> 13 154 | 7' <sub>a</sub> 1 7     |                         |                    |
|                         | 210' <sub>b</sub> 13 27 | 405' <sub>a</sub> 15 56 | 21' <sub>b</sub> 3 14   | 84 <sub>a</sub> 10 14   |                    |
|                         |                         |                         | 56' <sub>a</sub> 3 49   | 210 <sub>b</sub> 10 27  |                    |
|                         |                         |                         | 15' <sub>a</sub> 4 1    | 420 <sub>a</sub> 10 92  |                    |
|                         |                         |                         | 105' <sub>a</sub> 4 35  | 210' <sub>b</sub> 13 1  |                    |
|                         |                         |                         | 70' <sub>a</sub> 7 21   | 420' <sub>a</sub> 13 77 |                    |
|                         |                         |                         | 280' <sub>a</sub> 7 196 | 280 <sub>a</sub> 16 21  |                    |
|                         |                         |                         | 336' <sub>a</sub> 10 91 | 315 <sub>a</sub> 16 7   |                    |
|                         |                         |                         | 512 <sub>a</sub> 11 98  |                         |                    |
|                         |                         |                         | 84' <sub>a</sub> 13 34  |                         |                    |

---

Each table corresponds to a block of defect  $\geq 2$ . The first column specifies the set  $\Lambda_{\zeta_e}^\circ$ , the second column contains  $\mathbf{a}_\mu$  and the third column contains  $\dim L_{\zeta_e}^\mu$  for  $\mu \in \Lambda_{\zeta_e}^\circ$ .

Table 2  
The sets  $\Lambda_{ce}^{\circ}$  for type  $E_8$ .

| $E_8, e = 2$  | $E_8, e = 4$   | $E_8, e = 3$  | $E_8, e = 6$   | $E_8, e = 5$   | $E_8, e = 8$   |
|---|--|---|--|--|--|
| $1_x$ 0 1<br>$8_z$ 1 8<br>$35_x$ 2 27<br>$84_x$ 3 48<br>$50_x$ 4 42<br>$210_x$ 4 402<br>$560_z$ 5 246<br>$700_x$ 6 126<br>$1400_z$ 7 792<br>$1050_x$ 8 651<br>$1400_x$ 8 378<br>$4200_x$ 12 1863  | $8_z$ 1 8<br>$560_z$ 5 560<br>$1344_x$ 7 784<br>$840_z$ 10 56<br>$1400_z$ 10 832<br>$4536_z$ 13 2360<br>$4200'_z$ 21 1008<br>$2240'_x$ 28 1400                   | $1_x$ 0 1<br>$35_x$ 2 35<br>$28_x$ 3 28<br>$84_x$ 3 48<br>$50_x$ 4 1<br>$210_x$ 4 147<br>$300_x$ 6 70<br>$700_x$ 6 518<br>$1344_x$ 7 497<br>$175_x$ 8 28<br>$350_x$ 8 322   | $1_x$ 0 1<br>$8_z$ 1 8<br>$35_x$ 2 35<br>$28_x$ 3 28<br>$84_x$ 3 40<br>$50_x$ 4 41<br>$210_x$ 4 210<br>$560_z$ 5 279<br>$300_x$ 6 225<br>$700_x$ 6 86<br>$56_z$ 7 56<br>$448_z$ 7 85<br>$1400_z$ 7 489<br>$175_x$ 8 85<br>$350_x$ 8 266<br>$1050_x$ 8 660<br>$1400_x$ 8 259<br>$840_z$ 10 259<br>$840_x$ 12 41<br>$4200_x$ 12 1906<br>$2100_x$ 13 1036<br>$2400_z$ 15 266<br>$4200_z$ 15 279<br>$5600_z$ 15 489<br>$420_y$ 16 1<br>$1680_y$ 16 56<br>$4200_y$ 16 660<br>$4480_y$ 16 8<br>$4536_y$ 16 225<br>$5670_y$ 16 28<br>$3150_y$ 16 372<br>$4200'_x$ 24 35<br>$1400'_x$ 32 210 | $1_x$ 0 1<br>$28_x$ 3 28<br>$84_x$ 3 83<br>$567_x$ 6 539<br>$1344_x$ 7 722<br>$972_x$ 10 166<br>$2268_x$ 10 1729<br>$4096_z$ 11 1078<br>$168_y$ 16 1<br>$1134_y$ 16 28<br>$2688_y$ 16 722<br>$4536_y$ 16 1729<br>$4096'_z$ 26 539<br>$972'_x$ 30 83  | $1_x$ 0 1<br>$35_x$ 2 34<br>$160_z$ 4 160<br>$567_x$ 6 373<br>$175_x$ 8 174<br>$1400_x$ 8 992<br>$1575_x$ 8 1042<br>$525_x$ 12 152<br>$2835_x$ 14 1668<br>$6075_x$ 14 3516<br>$2016_w$ 16 174<br>$5600_w$ 16 1042<br>$7168_w$ 16 992<br>$2835'_x$ 22 1<br>$6075'_x$ 22 373<br>$1400'_x$ 32 34<br>$1575'_x$ 32 160  |
| $E_8, e = 2$<br>$112_z$ 3 112<br>$160_z$ 4 160<br>$400_z$ 6 288<br>$1344_x$ 7 1184<br>$2240_x$ 10 1056<br>$3360_z$ 12 2016  | $E_8, e = 4$<br>$28_x$ 3 28<br>$160_z$ 4 160<br>$300_x$ 6 300<br>$972_x$ 10 512<br>$840_x$ 12 28<br>$700_x$ 13 512<br>$1344_w$ 16 160<br>$840'_x$ 24 300         | $E_8, e = 3$<br>$8_z$ 1 8<br>$112_z$ 3 104<br>$160_z$ 4 56<br>$560_z$ 5 384<br>$400_z$ 6 8<br>$448_z$ 7 56<br>$1400_z$ 7 848<br>$840_z$ 10 448<br>$1400_z$ 10 104<br>$4096_x$ 11 1896<br>$4200_z$ 15 384<br>$5600_z$ 15 1896<br>$7168_w$ 16 848 | $E_8, e = 6$<br>$112_z$ 3 112<br>$160_z$ 4 160<br>$400_z$ 6 288<br>$1344_x$ 7 1072<br>$2240_x$ 10 768<br>$3360_z$ 12 2128<br>$3200_x$ 15 2128<br>$1344_w$ 16 288<br>$7168_w$ 16 1072<br>$3360'_z$ 24 160<br>$2240_x$ 28 112  | $E_8, e = 5$<br>$1_x$ 0 1<br>$28_x$ 3 28<br>$84_x$ 3 83<br>$567_x$ 6 539<br>$1344_x$ 7 722<br>$972_x$ 10 166<br>$2268_x$ 10 1729<br>$4096_z$ 11 1078<br>$168_y$ 16 1<br>$1134_y$ 16 28<br>$2688_y$ 16 722<br>$4536_y$ 16 1729<br>$4096'_z$ 26 539<br>$972'_x$ 30 83  | $E_8, e = 8$<br>$1_x$ 0 1<br>$35_x$ 2 35<br>$28_x$ 3 28<br>$84_x$ 3 48<br>$50_x$ 4 1<br>$210_x$ 4 147<br>$300_x$ 6 70<br>$700_x$ 6 518<br>$1344_x$ 7 497<br>$175_x$ 8 28<br>$350_x$ 8 322  |
| $E_8, e = 4$<br>$1_x$ 0 1<br>$35_x$ 2 34<br>$112_z$ 3 77<br>$50_x$ 4 16<br>$210_x$ 4 176<br>$567_x$ 6 280<br>$400_z$ 6 96<br>$175_x$ 8 1<br>$350_x$ 8 70<br>$1050_x$ 8 336<br>$1575_x$ 8 946<br>$525_x$ 12 168<br>$3360_z$ 12 1654<br>$2800_z$ 13 1302<br>$2835_x$ 14 34<br>$6075_x$ 14 280<br>$3150_y$ 16 77<br>$4480_y$ 16 176<br>$5670_y$ 16 946 | $E_8, e = 4$<br>$56_z$ 7 56<br>$1008_z$ 7 1008<br>$1400_z$ 7 1400<br>$3240_z$ 9 832<br>$2240_x$ 10 8<br>$4200_z$ 15 2360<br>$3200'_x$ 21 784<br>$4536'_z$ 23 560 | $E_8, e = 3$<br>$8_z$ 1 8<br>$112_z$ 3 104<br>$160_z$ 4 56<br>$560_z$ 5 384<br>$400_z$ 6 8<br>$448_z$ 7 56<br>$1400_z$ 7 848<br>$840_z$ 10 448<br>$1400_z$ 10 104<br>$4096_x$ 11 1896<br>$4200_z$ 15 384<br>$5600_z$ 15 1896<br>$7168_w$ 16 848 | $E_8, e = 6$<br>$112_z$ 3 112<br>$160_z$ 4 160<br>$400_z$ 6 288<br>$1344_x$ 7 1072<br>$2240_x$ 10 768<br>$3360_z$ 12 2128<br>$3200_x$ 15 2128<br>$1344_w$ 16 288<br>$7168_w$ 16 1072<br>$3360'_z$ 24 160<br>$2240_x$ 28 112  | $E_8, e = 10$<br>$1_x$ 0 1<br>$8_z$ 1 8<br>$28_x$ 3 28<br>$84_x$ 3 75<br>$567_x$ 6 531<br>$448_z$ 7 372<br>$1008_z$ 7 449<br>$1400_z$ 7 786<br>$972_x$ 10 897<br>$2268_x$ 10 502<br>$4536_z$ 13 2406<br>$1400_y$ 16 449<br>$3150_y$ 16 372<br>$4200_y$ 16 897<br>$4480_y$ 16 786<br>$4536'_z$ 23 75<br>$2268'_z$ 30 531<br>$448'_z$ 37 1 | $E_8, e = 12$<br>$1_x$ 0 1<br>$35_x$ 2 35<br>$112_z$ 3 76<br>$50_x$ 4 50<br>$210_x$ 4 99<br>$400_z$ 6 349<br>$1050_x$ 8 651<br>$1400_x$ 8 974<br>$525_x$ 12 449<br>$3360_z$ 12 1386<br>$2800_z$ 13 1202<br>$1400_y$ 16 99<br>$2688_y$ 16 651<br>$4536_y$ 16 974<br>$2100_y$ 20 449<br>$3360'_z$ 24 349<br>$2800'_z$ 25 76<br>$1050'_x$ 32 50<br>$1400'_x$ 32 1<br>$210'_x$ 52 35 |



#### 4. Constructing the invariant bilinear form

We have seen in Proposition 3.7 that James' conjecture can be verified once we have constructed the Gram matrices of the invariant bilinear forms on the cell modules  $W^\lambda$ . If  $\mathcal{H}$  is not too large, we could actually do this by explicitly working out a cellular basis as in [16, Example 4.3] (type  $B_2$ ) or Example 2.7 (type  $G_2$ ). Using computers, it would also be possible to carry out similar computations in type  $F_4$  and, perhaps, type  $E_6$ . However, this becomes totally unfeasible for type  $E_7$  or  $E_8$ , where we do have to explore alternative routes. The purpose of this section is to show how this can be done. Eventually, we will have to rely on computer calculations, but our aim is to develop a conceptual reduction of our problem where, at the end, standard programs like Parker's MeatAxe [38] and its variations can be applied. (See also Ringe's package [39] which comes with extensive documentation and a variety of additions to Parker's original programs.)

We keep the general setting of the previous section. Recall that  $\mathcal{H}$  is defined over the ring  $A = R[v, v^{-1}]$  where  $R \subseteq \mathbb{Q}$  consists of all fractions  $a/b \in \mathbb{Q}$  such that  $a \in \mathbb{Z}$  and  $0 \neq b \in \mathbb{Z}$  is divisible by bad primes only. Let  $K$  be the field of fractions of  $A$ . If  $M$  is any  $A$ -module, we denote  $M_K := K \otimes_A M$ .

Let  $e \geq 2$  and  $\theta: A \rightarrow k$  a ring homomorphism into a field  $k$ ; let  $\xi = \theta(u) \in k$ . As before, if  $M$  is any  $A$ -module, we denote  $M_\xi := k \otimes_A M$  where  $k$  is regarded as an  $A$ -module via  $\theta$ . We say that  $\theta$  is *e-regular* if  $\text{char}(k) = \ell > 0$  is a good prime and  $e\ell$  does not divide any degree of  $W$ . (These are precisely the conditions appearing in James' conjecture.) We will address the following three major issues which are sufficient for verifying that James' conjecture holds for a given algebra  $\mathcal{H}$ :

**Problem 4.1.** Let  $e \geq 2$  be an integer which divides some degree of  $W$ .

- For any  $\lambda \in \Lambda$ , construct an explicit model for  $W^\lambda$ , that is, an  $\mathcal{H}$ -module  $V^\lambda$  which is free of finite rank over  $A$  such that  $V_K^\lambda \cong W_K^\lambda$ . Determine  $\Lambda_\xi^\circ$  and the decomposition matrix  $D_\xi$  for at least one  $e$ -regular specialisation  $\theta: A \rightarrow k$ .
- Show that, for each  $\lambda \in \Lambda_{\xi_e}^\circ$ , the model  $V^\lambda$  in (a) has the property that  $V_\xi^\lambda \cong W_\xi^\lambda$  for any  $e$ -regular specialisation  $\theta: A \rightarrow k$ .
- For any  $\lambda \in \Lambda_{\xi_e}^\circ$ , determine the Gram matrix  $Q^\lambda$  of an invariant bilinear form on  $V^\lambda$  and show that  $\text{rank}(Q_\xi^\lambda) = \text{rank}(Q_{\xi_e}^\lambda)$  for any  $e$ -regular specialisation  $\theta: A \rightarrow k$ .

Finally, compute  $\text{rank}(Q_{\xi_e}^\lambda)$  and find the *finite* set of prime numbers  $\mathcal{P}_e$  such that

$$\text{rank}(Q_\xi^\lambda) = \text{rank}(Q_{\xi_e}^\lambda) \quad \text{if } \ell \notin \mathcal{P}_e.$$

##### 4.1. Solving Problem 4.1(a)

Natural candidates for models for the cell representations of  $\mathcal{H}$  are the representations afforded by  $W$ -graphs. In fact, Gyoja [24] has shown that every irreducible representation of  $\mathcal{H}_K$  is afforded by a  $W$ -graph. We recall:

**Definition 4.2.** (See Kazhdan–Lusztig [29].) A  $W$ -graph for  $\mathcal{H}$  consists of the following data:

- a set  $X$  together with a map  $I$  which assigns to each  $x \in X$  a set  $I(x) \subseteq S$ ;
- a collection of elements  $\mu_{x,y} \in \mathbb{Z}$ , where  $x, y \in X$ ,  $x \neq y$ .

These data are subject to the following requirements. Let  $V$  be a free  $A$ -module with a basis  $\{e_y \mid y \in X\}$ . For each  $s \in S$ , define an  $A$ -linear map  $\sigma_s : V \rightarrow V$  by

$$\begin{aligned} \sigma_s(e_y) &= v^2 e_y + \sum_{\substack{x \in X \\ s \in I(x)}} v \mu_{x,y} e_x \quad \text{if } s \notin I(y), \\ \sigma_s(e_y) &= -e_y \quad \text{if } s \in I(y). \end{aligned}$$

Then we require that the assignment  $T_s \mapsto \sigma_s$  defines a representation of  $\mathcal{H}$ .

Thus, in a representation afforded by a  $W$ -graph, each generator  $T_s$  ( $s \in S$ ) of  $\mathcal{H}$  is represented by a matrix of a particularly simple form. Recently, Howlett and Yin [26], [40] explicitly constructed  $W$ -graphs for all irreducible representations for Iwahori–Hecke algebras of type  $E_7, E_8$ . In combination with earlier results of Naruse [37] on types  $F_4$  and  $E_6$ , we now have  $W$ -graphs for all irreducible representations of algebras of exceptional type. These  $W$ -graphs are electronically accessible through Michel’s development version [35] of the computer algebra system CHEVIE [17]. Thus, we do have a collection of explicitly given  $\mathcal{H}$ -modules

$$\{V^\lambda \mid \lambda \in \Lambda\}$$

such that each  $V^\lambda$  is free of finite rank over  $A$  and  $V_K^\lambda \cong E_v^\lambda \cong W_K^\lambda$ .

Now let  $\theta : A \rightarrow k$  be an  $e$ -regular specialisation. Using the CHOP function in Ringe’s version [39] of the MeatAxe, we can decompose each  $V_\xi^\lambda$  into its irreducible constituents. Thus, we obtain:

- $\text{Irr}(\mathcal{H}_k(W, \xi)) = \{M_1, \dots, M_r\}$  and
- the decomposition numbers  $(V_\xi^\lambda : M_i)$  for  $\lambda \in \Lambda$  and  $1 \leq i \leq r$ .

Note that, by Remark 2.8, we have  $(W_\xi^\lambda : M_i) = (V_\xi^\lambda : M_i)$  for all  $\lambda \in \Lambda$  and  $1 \leq i \leq r$ . The relations  $(\Delta)$  in Section 2 immediately imply the following “identification result”:

**Lemma 4.3.** *Let  $i \in \{1, \dots, r\}$ . Then the unique  $\mu \in \Lambda_\xi^\circ$  such that  $M_i = L_\xi^\mu$  is determined by the conditions that  $(W_\xi^\mu : M_i) = 1$  and*

$$\mathbf{a}_\mu \leq \mathbf{a}_\lambda \quad \text{for all } \lambda \in \Lambda \text{ such that } (W_\xi^\lambda : M_i) \neq 0.$$

By Theorem 3.3 and Lemma 3.2, we have  $\Lambda_\xi^\circ = \Lambda_{\zeta_e}^\circ$ . Thus, we are able to determine the sets  $\Lambda_{\zeta_e}^\circ$  for any  $e \geq 2$ . This already yields the information contained in the first columns in Table 1 and 2.

#### 4.2. Solving Problem 4.1(b)

Let us fix  $e \geq 2$  and an element  $\lambda \in \Lambda_{\zeta_e}^\circ$ . As discussed above, we have an  $\mathcal{H}$ -module  $V^\lambda$  such that  $W_K^\lambda \cong V_K^\lambda$ . Now let  $\theta : A \rightarrow k$  be any  $e$ -regular specialisation. In general, without any further knowledge about  $V^\lambda$ , we cannot expect that we also have  $W_\xi^\lambda \cong V_\xi^\lambda$ . The following result gives a precise condition for when this is the case.

**Proposition 4.4.** *Assume that there exists some  $e$ -regular specialisation  $\theta_0 : A \rightarrow k_0$  such that  $V_{\xi_0}^\lambda$  (where  $\xi_0 = \theta_0(u)$ ) has a unique maximal submodule  $U^\lambda$ , and we have  $V_{\xi_0}^\lambda / U^\lambda \cong L_{\xi_0}^\lambda$ . Then  $V_{\zeta_e}^\lambda \cong W_{\zeta_e}^\lambda$  and  $V_\xi^\lambda \cong W_\xi^\lambda$  for any  $e$ -regular specialisation  $\theta : A \rightarrow k$ .*

**Proof.** The module  $W^\lambda$  has a standard basis  $\{C_s \mid s \in M(\lambda)\}$ ; let  $\rho^\lambda : \mathcal{H} \rightarrow M_{d_\lambda}(A)$  be the corresponding matrix representation. The module  $V^\lambda$  also has a standard basis, arising from the underlying  $W$ -graph; let  $\sigma^\lambda : \mathcal{H} \rightarrow M_{d_\lambda}(A)$  be the corresponding matrix representation. Since  $V_K^\lambda \cong W_K^\lambda$ , there exists an invertible matrix  $P^\lambda \in M_{d_\lambda}(K)$  such that

$$\rho^\lambda(T_w)P^\lambda = P^\lambda\sigma^\lambda(T_w) \quad \text{for all } w \in W.$$

Multiplying  $P^\lambda$  by a suitable scalar, we may assume without loss of generality that

- all entries of  $P^\lambda$  lie in  $\mathbb{Z}[v]$  and
- the greatest common divisor of all non-zero entries of  $P^\lambda$  is 1.

(Here we use the fact that  $R$  was chosen to be contained in  $\mathbb{Q}$ .) These conditions uniquely determine  $P^\lambda$  up to a sign. Let  $\delta := \det(P^\lambda) \neq 0$ . We need to obtain some more precise information about the irreducible factors of  $\delta$ . Let us write

$$\delta = mf_1f_2 \cdots f_r \quad \text{where } 0 \neq m \in \mathbb{Z} \text{ and } f_1, \dots, f_r \in \mathbb{Z}[v] \setminus \mathbb{Z} \text{ are irreducible.}$$

First we claim that  $m$  is divisible by bad primes only. Indeed, let  $p$  be a prime number which is good for  $W$ . Then  $p$  generates a prime ideal in  $R$ ; let  $F = \mathbb{F}_p(v)$ . We obtain a specialisation  $\alpha : A \rightarrow F$  by reducing the coefficients of polynomials in  $A$  modulo  $p$ . We have a corresponding specialised algebra  $\mathcal{H}_F(W, u)$ . Since  $\alpha(P_W) \neq 0$ , we conclude that  $\mathcal{H}_F(W, u)$  is semisimple and the specialised cell modules  $W_F^\lambda$  are all irreducible; see Proposition 2.4. Now note that not all entries of  $P^\lambda$  are divisible by  $p$ . Hence, reducing the entries of  $P^\lambda$  modulo  $p$ , we obtain a non-zero matrix defining a non-trivial module homomorphism  $V_F^\lambda \rightarrow W_F^\lambda$ . Since  $W_F^\lambda$  is irreducible and  $\dim W_F^\lambda = \dim V_F^\lambda$ , this homomorphism must be an isomorphism. Consequently,  $P^\lambda$  is invertible modulo  $p$  and so  $p$  cannot divide  $m$ .

A similar argument shows that each  $f_i$  divides  $P_W(v^2)$ . Indeed, assume that  $f \in \mathbb{Z}[v]$  is a non-constant irreducible polynomial which does not divide  $P_W(v^2)$ . Then we have a canonical ring homomorphism  $\beta : A \rightarrow F$  where  $F = \mathbb{Q}[v]/(f)$ . Again, the corresponding specialised algebra  $\mathcal{H}_F(W, \theta(u))$  is semisimple since  $\beta(P_W) \neq 0$ . Arguing as above, we conclude that  $f$  does not divide  $\det(P^\lambda)$ . Thus, each  $f_i$  must divide  $P_W(v^2)$ .

Now consider the specialisation  $\theta_e : A \rightarrow \mathbb{C}$  which sends  $v$  to  $\zeta_{2e}$ . We can actually regard this as a map with image in  $\mathbb{Q}(\zeta_{2e})$  and work with  $\mathbb{Q}(\zeta_{2e})$  instead of  $\mathbb{C}$  as base field. Thus,  $W_{\zeta_e}^\lambda$  and  $V_{\zeta_e}^\lambda$  can be regarded as  $\mathbb{Q}(\zeta_{2e})$ -vectorspaces and modules for the specialised algebra  $\mathcal{H}_{\mathbb{Q}(\zeta_{2e})}(W, \zeta_e)$ . Let  $\mathcal{O}$  be a discrete valuation ring as in Remark 3.1 with respect to the specialisation  $\theta_0$ ; we have a corresponding decomposition map

$$d_{\xi_0}^e : R_0(\mathcal{H}_{\mathbb{Q}(\zeta_{2e})}(W, \zeta_e)) \rightarrow R_0(\mathcal{H}_{k_0}(W, \xi_0)).$$

Once again, since the greatest common divisor of all its entries is 1, the matrix  $P^\lambda$  induces a non-trivial module homomorphism  $V_{\zeta_e}^\lambda \rightarrow W_{\zeta_e}^\lambda$ . We claim that this also is an isomorphism. To prove

this, let  $M \subseteq V_{\zeta_e}^\lambda$  be the kernel of the map  $V_{\zeta_e}^\lambda \rightarrow W_{\zeta_e}^\lambda$ ; then  $M$  is a proper submodule of  $V_{\zeta_e}^\lambda$ . By a standard result (see [4, 23.7]), there exists a proper submodule  $N \subseteq V_{\xi_0}^\lambda$  such that

$$d_{\xi_0}^e([M]) = [N] \quad \text{and} \quad d_{\xi_0}^e([V_{\zeta_e}^\lambda/M]) = [V_{\xi_0}^\lambda/N].$$

If  $L_{\zeta_e}^\lambda$  were a composition factor of  $M$ , then  $L_{\xi_0}^\lambda$  would be a composition factor of  $N$  by Lemma 3.2(b). But then, by our assumption on  $V_{\xi_0}^\lambda$  and since  $N \subseteq U$ , the simple module  $L_{\xi_0}^\lambda$  would appear at least twice as a composition factor of  $V_{\xi_0}^\lambda$ , which is absurd. So we conclude that  $L_{\zeta_e}^\lambda$  is not a composition factor of  $M$ . Hence,  $L_{\zeta_e}^\lambda$  will be a composition factor of the image of the map  $V_{\zeta_e}^\lambda \rightarrow W_{\zeta_e}^\lambda$ . But, by [23, Proposition 3.2],  $L_{\zeta_e}^\lambda$  is a simple quotient of  $W_{\zeta_e}^\lambda$ , the kernel of the canonical map  $W_{\zeta_e}^\lambda \rightarrow L_{\zeta_e}^\lambda$  is the unique maximal submodule of  $W_{\zeta_e}^\lambda$ , and  $L_{\zeta_e}^\lambda$  is not a composition factor of that kernel. So we conclude that the map  $V_{\zeta_e}^\lambda \rightarrow W_{\zeta_e}^\lambda$  is surjective and, hence, an isomorphism. It follows that  $\delta$  is not divisible by  $\Phi_{2e}(v)$ . If  $e$  is odd, we can also consider the specialisation  $\theta'_e: A \rightarrow \mathbb{C}$  sending  $v$  to  $\zeta_e^{(e+1)/2}$  (the other square root of  $\zeta_e$ , which is a root of  $\Phi_e(v)$ ). Then a similar argument shows that  $\delta$  is not divisible by  $\Phi_e(v)$ . Thus, we have reached the following conclusions:

- $m$  is divisible by bad primes only;
- each  $f_i$  divides  $P_W(v^2)$ ;
- each  $f_i$  is coprime to  $\Phi_e(v^2)$ .

We can now complete the proof as follows. Let  $\theta: A \rightarrow k$  be any  $e$ -regular specialisation. Assume that  $\theta(\delta) = 0$ . Since the characteristic of  $k$  is a good prime, we must have  $\theta(f_i) = 0$  for some  $i \in \{1, \dots, r\}$ . Since each  $f_i$  divides  $P_W(v^2)$ , there exists some  $d \geq 2$  such that  $\Phi_d(v^2)$  divides  $P_W(v^2)$  and  $f_i$  divides  $\Phi_d(v^2)$ . By Remark 3.4, we conclude that  $d = e$ . Thus, we see that  $f_i$  divides  $\Phi_e(v^2)$ , a contradiction. Hence, our assumption was wrong and so we do have  $\theta(\delta) \neq 0$ . Thus, we have shown that  $P^\lambda$  induces an isomorphism  $V_\xi^\lambda \xrightarrow{\sim} W_\xi^\lambda$ .  $\square$

Let  $\theta_0: A \rightarrow k_0$  be a specialisation as in Proposition 4.4. Using the MKSUB function in Ringe’s version [39] of the MeatAXe (see also [33]), we can determine the complete submodule lattice of  $V_{\xi_0}^\lambda$ . Using the CHOP function and Lemma 4.3 as discussed in the previous subsection, we can identify the various irreducible constituents of  $V_{\xi_0}^\lambda$  and check that the assumption of Proposition 4.4 is satisfied. Thus, Problem 4.1(b) is solved.

It might actually be true that  $W^\lambda$  and  $V^\lambda$  are isomorphic as  $\mathcal{H}$ -modules, but we have not been able to prove this. We would like to state this as a conjecture:

**Conjecture 4.5.** *Assume that, for each  $\lambda \in \Lambda$ , we are given a  $W$ -graph affording an  $\mathcal{H}$ -module  $V^\lambda$  such that  $V_K^\lambda \cong E_v^\lambda$ . Then the cellular basis in Theorem 2.2 can be chosen such that  $W^\lambda \cong V^\lambda$  for all  $\lambda \in \Lambda$ .*

### 4.3. Solving Problem 4.1(c)

Let  $\lambda \in \lambda_{\zeta_e}^\circ$  and  $G^\lambda$  be the Gram matrix of the invariant bilinear form  $\phi^\lambda$  with respect to the standard basis of  $W^\lambda$ . Instead of  $W^\lambda$ , we now consider the module  $V^\lambda$  and assume that the

hypotheses of Proposition 4.4 are satisfied. Thus, we have  $V_{\zeta_e}^\lambda \cong W_{\zeta_e}^\lambda$  and  $V_\xi^\lambda \cong W_\xi^\lambda$  for any  $e$ -regular specialisation  $\theta : A \rightarrow k$ .

Let  $\sigma^\lambda : \mathcal{H} \rightarrow M_{d_\lambda}(A)$  be the matrix representation afforded by  $V^\lambda$  with respect to the standard basis arising from the underlying  $W$ -graph. Our task now is to find some non-zero matrix  $Q^\lambda \in M_{d_\lambda}(A)$  such that

$$Q^\lambda \cdot \sigma^\lambda(T_s) = \sigma^\lambda(T_s)^{\text{tr}} \cdot Q^\lambda \quad \text{for all } s \in S. \tag{*}$$

Note that (\*) implies that  $Q^\lambda \cdot \sigma^\lambda(T_{w^{-1}}) = \sigma^\lambda(T_w)^{\text{tr}} \cdot Q^\lambda$  for all  $w \in W$ . So any solution to (\*) is the Gram matrix of an invariant bilinear form on  $V^\lambda$ . Multiplying  $Q^\lambda$  by a suitable scalar, we may assume without loss of generality that

- all entries of  $Q^\lambda$  lie in  $\mathbb{Z}[v]$  and
- the greatest common divisor of all non-zero entries of  $Q^\lambda$  is 1.

Note that, by Schur’s lemma, any two matrices satisfying (\*) are scalar multiples of each other. Hence, the above conditions uniquely determine  $Q^\lambda$  up to a sign.

**Lemma 4.6.** *Assume that  $Q^\lambda$  is a solution to (\*) satisfying the above conditions. Then*

$$\text{rank}(Q_{\zeta_e}) = \text{rank}(G_{\zeta_e}^\lambda) \quad \text{and} \quad \text{rank}(Q_\xi) = \text{rank}(G_\xi^\lambda)$$

for any  $e$ -regular specialisation  $\theta : A \rightarrow k$ .

**Proof.** We are assuming that  $\lambda \in \Lambda_{\zeta_e}^\circ = \Lambda_\xi^\circ$ , so we have  $G_{\zeta_e}^\lambda \neq 0$  and  $G_\xi^\lambda \neq 0$ .

Now let  $P^\lambda$  be as in the proof of Proposition 4.4 and set  $\tilde{Q}^\lambda := (P^\lambda)^{\text{tr}} G^\lambda P^\lambda$ . Then  $\tilde{Q}^\lambda$  is a solution to (\*) and so there exists some  $0 \neq \alpha \in K$  such that  $\tilde{Q}^\lambda = \alpha Q^\lambda$ . Since all three matrices  $G^\lambda$ ,  $Q^\lambda$  and  $\tilde{Q}^\lambda$  have all their entries in  $\mathbb{Z}[v, v^{-1}]$  and since the greatest common divisor of the entries of  $Q^\lambda$  is 1, we can conclude that  $\alpha \in \mathbb{Z}[v, v^{-1}]$ .

Now, in the proof of Proposition 4.4, we have actually seen that  $P_{\zeta_e}^\lambda$  and  $P_\xi^\lambda$  are invertible. Since we also have  $G_{\zeta_e}^\lambda \neq 0$  and  $G_\xi^\lambda \neq 0$ , it follows that

$$\text{rank}(\tilde{Q}_{\zeta_e}) = \text{rank}(G_{\zeta_e}^\lambda) > 0 \quad \text{and} \quad \text{rank}(\tilde{Q}_\xi) = \text{rank}(G_\xi^\lambda) > 0.$$

But then it also follows that  $\theta_e(\alpha) \neq 0$  and  $\theta(\alpha) \neq 0$ . Hence, we have  $\text{rank}(\tilde{Q}_{\zeta_e}) = \text{rank}(Q_{\zeta_e}^\lambda)$  and  $\text{rank}(\tilde{Q}_\xi) = \text{rank}(Q_\xi^\lambda)$ , and this yields the desired statement.  $\square$

It remains to show how a solution to (\*) can actually be computed. Note that (\*) constitutes a system of  $|S|d_\lambda^2$  linear equations for the  $d_\lambda^2$  entries of  $Q^\lambda$ . If  $d_\lambda$  is not too large, this can be solved directly. However, in type  $E_8$ , we have  $d_\lambda = 7168$  for some  $\lambda$ , and our system of linear equations simply becomes too large. In such cases, different techniques are required which are based on the following result:

**Theorem 4.7.** (See Benson–Curtis [20, §6.3].) *Each  $E^\mu \in \text{Irr}(W)$  is of parabolic type, that is, there exists a subset  $I \subseteq S$  such that the restriction of  $E^\mu$  to the parabolic subgroup  $W_I \subseteq W$  contains the trivial representation of  $W_I$  just once. A similar statement holds when “trivial representation” is replaced by “sign representation.”*

Now the main idea is as follows: Since the bijection  $\text{Irr}(W) \leftrightarrow \text{Irr}(\mathcal{H}_K)$  arising from Tits' Deformation Theorem is compatible with restriction to parabolic subgroups and subalgebras (see [20, 9.1.9]), the above result means that there exists a subset  $I \subseteq S$  such that

$$\dim_K \left( \bigcap_{s \in I} \ker(\sigma_K^\lambda(T_s + T_1)) \right) = 1;$$

let  $e_1 \in K^{d_\lambda}$  be a vector spanning this one-dimensional space. Similarly,

$$\dim_K \left( \bigcap_{s \in I} \ker(\sigma_K^\lambda(T_s + T_1)^{\text{tr}}) \right) = 1;$$

let  $v_1 \in K^{d_\lambda}$  be a vector spanning this one-dimensional space. Now, since  $\sigma_K^\lambda$  is an irreducible representation of  $\mathcal{H}_K$ , there exist  $w_2, \dots, w_{d_\lambda} \in W$  such that the vectors

$$e_1, \quad e_2 := \sigma^\lambda(T_{w_2})e_1, \quad e_3 := \sigma^\lambda(T_{w_3})e_1, \quad \dots, \quad e_{d_\lambda} := \sigma^\lambda(T_{w_{d_\lambda}})e_1,$$

form a basis of  $K^{d_\lambda}$ . Then the vectors

$$v_1, \quad v_2 := \sigma^\lambda(T_{w_2}^{-1})^{\text{tr}}v_1, \quad v_3 := \sigma^\lambda(T_{w_3}^{-1})^{\text{tr}}v_1, \quad \dots, \quad v_{d_\lambda} := \sigma^\lambda(T_{w_{d_\lambda}}^{-1})^{\text{tr}}v_1,$$

will also form a basis of  $K^{d_\lambda}$ . Hence, there exists a unique invertible matrix  $\tilde{Q}^\lambda \in M_{d_\lambda}(K)$  such that  $v_i = \tilde{Q}^\lambda e_i$  for  $1 \leq i \leq d_\lambda$ . Then  $\tilde{Q}^\lambda \cdot \sigma^\lambda(T_w) \cdot (\tilde{Q}^\lambda)^{-1} = \sigma^\lambda(T_{w^{-1}})^{\text{tr}}$  for all  $w \in W$  and so  $\tilde{Q}^\lambda$  is a solution to (\*). Multiplying by a suitable scalar, we obtain  $Q^\lambda$ .

The above technique is known as the “standard base” algorithm; see the ZSB function of Ringe’s MeatAxe [39] and its description. In practice, we did not apply it to  $\sigma^\lambda$  itself but to various specialisations into finite fields such that the specialised algebra remains semisimple. For each such specialisation, we use the ZSB function to find the Gram matrix of an invariant bilinear form. Using interpolation and modular techniques (Chinese Remainder), one can recover  $Q^\lambda$  from these specialisations.

Having computed  $Q^\lambda$ , we substitute  $v \mapsto \sqrt[2e]{1}$  and determine the rank of the specialised matrix. Arguing as in the proof of Proposition 3.7, we find the *finite* set of prime numbers  $\mathcal{P}_e$  such that  $\text{rank}(Q_\xi^\lambda) = \text{rank}(Q_{\zeta_e}^\lambda)$  if  $\ell \notin \mathcal{P}_e$ . See [19] for further details.

**Remark 4.8.** Assume we are in the above setting, where  $I \subseteq S$  is a subset such that the restriction of  $E^\lambda$  to  $W_I$  contains the sign representation exactly once. Then, by the formulas in Definition 4.2, the vector  $e_1$  can be taken to be contained in the standard basis of  $K^{d_\lambda}$ . Since  $v_1 = \tilde{Q}^\lambda e_1$ , we conclude that  $v_1$  is a column of the matrix  $\tilde{Q}^\lambda$ . In other words, using Theorem 4.7, one column of the matrix  $\tilde{Q}^\lambda$  can be computed by simply determining the intersection of the kernels of the maps  $\sigma_K^\lambda(T_s + T_1)^{\text{tr}}$  where  $s$  runs over the generators in  $I$ .

**Example 4.9.** In general, the matrix  $Q^\lambda$  is far from being sparse. We just give one example. Let  $W$  be of type  $E_6$  with Dynkin diagram

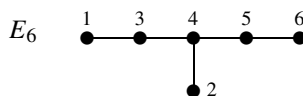
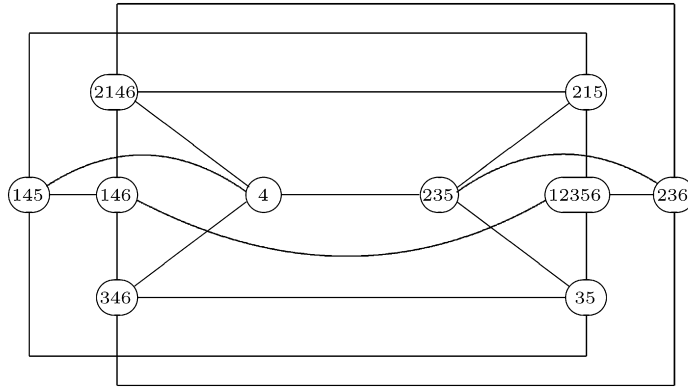


Table 3  
 W-graph and invariant bilinear form for the representation  $10_s$  in type  $E_6$ .



$$Q^{10_s} = \begin{bmatrix} v^6+3v^4+3v^2+1 & 2v^4+2v^2 & 2v^4+2v^2 & -v^5-2v^3-v & 2v^4+2v^2 \\ 2v^4+2v^2 & v^6+3v^4+3v^2+1 & 2v^4+2v^2 & -v^5-2v^3-v & 2v^4+2v^2 \\ 2v^4+2v^2 & 2v^4+2v^2 & v^6+3v^4+3v^2+1 & -v^5-2v^3-v & 2v^4+2v^2 \\ -v^5-2v^3-v & -v^5-2v^3-v & -v^5-2v^3-v & v^6+2v^4+2v^2+1 & -v^5-2v^3-v \\ 2v^4+2v^2 & 2v^4+2v^2 & 2v^4+2v^2 & -v^5-2v^3-v & v^6+3v^4+3v^2+1 \\ -v^5-2v^3-v & -v^5-2v^3-v & -v^5-2v^3-v & v^4+v^2 & -2v^3 \\ 2v^4+2v^2 & 2v^4+2v^2 & 2v^4+2v^2 & -2v^3 & 2v^4+2v^2 \\ -v^5-2v^3-v & -v^5-2v^3-v & -2v^3 & v^4+v^2 & -v^5-2v^3-v \\ -v^5-2v^3-v & -2v^3 & -v^5-2v^3-v & v^4+v^2 & -v^5-2v^3-v \\ -2v^3 & -v^5-2v^3-v & -v^5-2v^3-v & v^4+v^2 & -v^5-2v^3-v \\ -v^5-2v^3-v & 2v^4+2v^2 & -v^5-2v^3-v & -v^5-2v^3-v & -2v^3 \\ -v^5-2v^3-v & 2v^4+2v^2 & -v^5-2v^3-v & -2v^3 & -v^5-2v^3-v \\ -v^5-2v^3-v & 2v^4+2v^2 & -2v^3 & -v^5-2v^3-v & -v^5-2v^3-v \\ v^4+v^2 & -2v^3 & v^4+v^2 & v^4+v^2 & v^4+v^2 \\ -2v^3 & 2v^4+2v^2 & -v^5-2v^3-v & -v^5-2v^3-v & -v^5-2v^3-v \\ v^6+2v^4+2v^2+1 & -v^5-2v^3-v & v^4+v^2 & v^4+v^2 & v^4+v^2 \\ -v^5-2v^3-v & v^6+3v^4+3v^2+1 & -v^5-2v^3-v & -v^5-2v^3-v & -v^5-2v^3-v \\ v^4+v^2 & -v^5-2v^3-v & v^6+2v^4+2v^2+1 & v^4+v^2 & v^4+v^2 \\ v^4+v^2 & -v^5-2v^3-v & v^4+v^2 & v^6+2v^4+2v^2+1 & v^4+v^2 \\ v^4+v^2 & -v^5-2v^3-v & v^4+v^2 & v^4+v^2 & v^6+2v^4+2v^2+1 \end{bmatrix}$$

Consider the unique 10-dimensional irreducible representation, which is denoted  $10_s$  in [20, Table C.4]. By Naruse [37], a W-graph is given by Table 3. (The numbers inside a circle specify the subset  $I(x)$ ; all  $\mu_{x,y}$  are 0 or 1; we have an edge between  $x$  and  $y$  if and only if  $\mu_{x,y} = 1$ .) From this graph, we find that the basis vector with  $I(x) = \{1, 2, 3, 5, 6\}$  spans the one-dimensional intersection of kernels considered above (in accordance with [20, Table C.4]). This basis vector labels the last row and column in the matrix of  $Q^{10_s}$  in Table 3.

In this case, the determination of the bound required by James' conjecture is very easy. By Table 1, we have  $10_s \in \Lambda_{\zeta_4}^\circ$ . If we specialise  $v \mapsto \zeta_8$ , we notice that  $Q_{\zeta_4}^{10_s}$  has rank 1; all rows become equal to

$$[-2 + 2\zeta_4, -2 + 2\zeta_4, -2 + 2\zeta_4, -2\zeta_8^3, -2 + 2\zeta_4, -2\zeta_8^3, -2 + 2\zeta_4, -2\zeta_8^3, -2\zeta_8^3, -2\zeta_8^3].$$

We see that, if we specialise further into a field of characteristic  $\ell > 0$ , we will still obtain a matrix of rank 1 unless  $\ell = 2$ .

**Remark 4.10.** We have been able to systematically compute the matrices  $Q^\lambda$  (with coefficients in  $A$ ) for all  $\lambda$  such that  $d_\lambda \leq 2500$ . For those  $\lambda$  in type  $E_8$  where this was not feasible (at least not with the computer power available to us), we nevertheless managed to compute directly the specialised matrices  $Q_{\zeta_e}^\lambda$  for all relevant values of  $e$ . Note that this is sufficient to find the finite set of prime numbers  $\mathcal{P}_e$  as above. (See [19] for details.) There is an on-going project to complete the determination of all “generic” matrices  $Q^\lambda$  and to create a data base for making them generally available.

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