



# Attractors for a deconvolution model of turbulence

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## ABSTRACT

We consider a deconvolution model for 3D periodic flows. We show the existence of a global attractor for the model.

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## 1. Introduction

This note is concerned with the deconvolution model of order  $N$  introduced in [6] (model (2.7)) for 3D periodic flows. This model takes inspiration from the class of the so called  $\alpha$ -models (see [2,4] and references therein) and also from the class of ADM models (see in [7]). We are interested in the question of the existence of a global attractor for this model.

The question of attractors has already been considered for the alpha model (see [1]) corresponding to the case  $N = 0$ . We prove in this work the existence of an attractor for each  $N$  (see Theorem 3.1).

In order to make this work self-contained, we describe carefully how the deconvolution model is constructed. Next, we recall basic notions for the attractors, notions that can be found in the book of Temam (see [8]). Finally we prove the existence of the attractor. The question of its dimension is under examination.

## 2. The deconvolution model

### 2.1. Function spaces

For  $s \in \mathbb{R}$ , let us define the space function

$$\mathbf{H}_s = \left\{ \mathbf{w} = \sum_{\mathbf{k}} \widehat{\mathbf{w}} e^{i\mathbf{k}\cdot\mathbf{x}}, \nabla \cdot \mathbf{w} = 0, \widehat{\mathbf{w}}(\mathbf{0}) = \mathbf{0}, \sum_{\mathbf{k}} |\mathbf{k}|^{2s} |\widehat{\mathbf{w}}(\mathbf{k}, t)|^2 < \infty \right\}. \quad (2.1)$$

We define the  $\mathbf{H}_s$  norms by

$$\|\mathbf{w}\|_s^2 = \sum_{\mathbf{k}} |\mathbf{k}|^{2s} |\widehat{\mathbf{w}}(\mathbf{k}, t)|^2, \quad (2.2)$$

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where of course  $\|\mathbf{w}\|_0^2 = \|\mathbf{w}\|^2$ . It can be shown that when  $s$  is an integer,  $\|\mathbf{w}\|_s^2 = \|\nabla^s \mathbf{w}\|^2$  (see [3]).

We denote by  $P_L$  the Helmholtz–Leray orthogonal projection of  $(L^2)^3$  onto  $\mathbf{H}_0$  and by  $A$  the Stokes operator defined by  $A = -P_L \Delta$  on  $D(A) = \mathbf{H}_0 \cap (H^2)^3$ . We note that in the space-periodic case,  $A\mathbf{w} = -\Delta \mathbf{w}$  for all  $\mathbf{w} \in D(A)$ .

The operator  $A^{-1}$  is a self-adjoint positive definite compact operator from  $\mathbf{H}_s$  onto  $\mathbf{H}_s$ , for  $s = 1$  and  $s = 2$  (see [5]). We denote as  $\lambda_1$  the smallest eigenvalue of  $A$ .

We introduce the trilinear form  $b$ , defined by

$$b(\mathbf{u}, \mathbf{v}, \mathbf{w}) = \sum_{i,j} \int_{\Omega} u_i \partial_i v_j w_j dx. \tag{2.3}$$

wherever the integrals make sense. Note that  $b(\mathbf{u}, \mathbf{w}, \mathbf{w}) = 0$  when  $\nabla \cdot \mathbf{u} = 0$ .

### 2.2. The filter and the deconvolution process

Let  $\mathbf{w} \in \mathbf{H}_0$  and  $\bar{\mathbf{w}} \in \mathbf{H}_1$  be the unique solution to the following Stokes problem with periodic boundary conditions:

$$-\delta^2 \Delta \bar{\mathbf{w}} + \bar{\mathbf{w}} + \nabla r = \mathbf{w} \quad \text{in } \mathbb{R}^3, \quad \nabla \cdot \bar{\mathbf{w}} = 0, \quad \int_{\Omega} \bar{\mathbf{w}} = \mathbf{0}. \tag{2.4}$$

We denote the filtering operation by  $G$ , so  $\bar{\mathbf{w}} = G\mathbf{w}$ . Writing  $\mathbf{w}(\mathbf{x}, t) = \sum_{\mathbf{k}} \hat{\mathbf{w}}(\mathbf{k}, t) e^{-i\mathbf{k} \cdot \mathbf{x}}$ , it is easily seen that  $\nabla r = 0$  and  $\bar{\mathbf{w}}(\mathbf{x}, t) = \sum_{\mathbf{k}} \frac{\hat{\mathbf{w}}(\mathbf{k}, t)}{1 + \delta^2 |\mathbf{k}|^2} e^{-i\mathbf{k} \cdot \mathbf{x}}$ . Then writing  $\bar{\mathbf{w}} = G(\mathbf{w})$ , we see that in the corresponding spaces of the type  $\mathbf{H}_s$ , the transfer function of  $G$ , denoted by  $\hat{G}$ , is the function  $\hat{G}(\mathbf{k}) = \frac{1}{1 + \delta^2 |\mathbf{k}|^2}$ , and we also can write on the  $\mathbf{H}_s$  type spaces

$$-\delta^2 \Delta \bar{\mathbf{w}} + \bar{\mathbf{w}} = \mathbf{w} \quad \text{in } \mathbb{R}^3, \quad \nabla \cdot \bar{\mathbf{w}} = 0, \quad \int_{\Omega} \bar{\mathbf{w}} = \mathbf{0}. \tag{2.5}$$

The procedure of deconvolution by the Van Cittert approximation is described in [6]. This yields the operator  $D_N \mathbf{w} = \sum_{n=0}^N (I - G)^n \mathbf{w}$ .

**Definition 2.1.** The truncation operator  $H_N : \mathbf{H}_s \rightarrow \mathbf{H}_s$  is defined by  $H_N \mathbf{w} := D_N \bar{\mathbf{w}} = (D_N \circ G)\mathbf{w}$ . ■

Note that for any  $s \geq 0$  we have the following properties (see [6]):

$$\|H_N \mathbf{w}\|_s \leq \|\mathbf{w}\|_s, \quad \|H_N \mathbf{w}\|_{s+2} \leq C(\delta, N) \|\mathbf{w}\|_s. \tag{2.6}$$

### 2.3. The model

Let  $\mathbf{u}_0 \in \mathbf{H}_0, f \in \mathbf{H}_{-1}$ . For  $\delta > 0$ , let the averaging be defined by (2.4). The problem that we consider is the following: for a fixed  $T > 0$ , find  $(\mathbf{w}, q)$  where

$$\begin{cases} \mathbf{w} \in L^2([0, T], \mathbf{H}_1) \cap L^\infty([0, T], \mathbf{H}_0), & \partial_t \mathbf{w} \in L^2([0, T], \mathbf{H}_{-1}) \\ q \in L^2([0, T], L^2_{\text{per},0}), \\ \partial_t \mathbf{w} + (H_N(\mathbf{w}) \cdot \nabla) \mathbf{w} - \nu \Delta \mathbf{w} + \nabla q = H_N(\mathbf{f}) & \text{in } \mathcal{D}'([0, T] \times \mathbb{R}^3), \\ \mathbf{w}(\mathbf{x}, 0) = H_N(\mathbf{u}_0) = \mathbf{w}_0. \end{cases} \tag{2.7}$$

where  $L^2_{\text{per},0}$  denotes the scalar fields in  $L^2_{\text{loc}}(\mathbb{R}^3)$ ,  $2\pi$ -periodic with zero mean value. We prove in [6] the following result.

**Theorem 2.1.** Problem (2.7) admits a unique solution  $(\mathbf{w}, q)$ ,  $\mathbf{w} \in L^\infty([0, T], \mathbf{H}_1) \cap L^2([0, T], \mathbf{H}_2)$ , and the following energy equality holds:

$$\frac{1}{2} \|\mathbf{w}(t)\|^2 + \nu \int_0^t \int_{\Omega} |\nabla \mathbf{w}|^2 dx dt' = \frac{1}{2} \|H_N(\mathbf{u}_0)\|^2 + \int_0^t \int_{\Omega} H_N(\mathbf{f}) \cdot \mathbf{w} dx dt'. \quad \blacksquare \tag{2.8}$$

### 3. Main result

#### 3.1. Recalling basic notions for attractors

We denote by  $\mathbf{w}(t, \cdot) = S(t)(\mathbf{w}_0)$  the (unique) solution of system (2.7) at time  $t$ . We recall the definitions of a global attractor and an absorbing set (see in [8]).

**Definition 3.1.** We say that  $\mathcal{A} \subset \mathbf{H}_0$  is a global attractor for the dynamical system (2.7) if and only if

(P1)  $\mathcal{A}$  is compact in the space  $\mathbf{H}_0$ ,

(P2)  $\forall t \in \mathbb{R}, S(t)(\mathcal{A}) \subset \mathcal{A}$ ,

(P3) for every bounded subset  $B \subset \mathbf{H}_0$ ,  $\rho(S(t)(B), \mathcal{A})$  goes to zero when  $t$  goes to infinity, where  $\rho(S(t)(B), \mathcal{A}) = \sup_{v \in B} \inf_{u \in \mathcal{A}} \|u - v\|$ . ■

**Definition 3.2.** 1. A set  $A \subset \mathbf{H}_0$  is an absorbing set if and only if for every bounded subset  $B \subset \mathbf{H}_0$  there exists  $t_1 > 0$  such that for all  $t \geq t_1$  one has  $S(t)(B) \subset A$ .

2. We say that the semi-group  $S(t)$  is uniformly compact if and only if for every bounded subset  $B \subset \mathbf{H}_0$  there exists  $t_2 = t_2(B)$  such that  $\overline{\bigcup_{t \geq t_2} S(t)(B)}$  is compact.

3. We denote by  $\omega(A)$  the set  $\omega(A) = \bigcap_{s \geq 0} \overline{\bigcup_{t \geq s} S(t)(A)}$ . ■

**Proposition 3.1.** Assume that there exists an absorbing bounded set  $A$  and that the semi-group  $S(t)$  is uniformly compact; then  $\mathcal{A} = \omega(A)$  is the global attractor for the dynamical system defined by  $S(t)$ .

See the proof in [8].

#### 3.2. Existence of a global attractor

We are now in a position to state and prove the main result of this note.

**Theorem 3.1.** The system (2.7) has a global attractor. ■

**Proof.** Thanks to Proposition 3.1, it remains to prove that system (2.7) has an absorbing set and that  $S(t)$  is uniformly compact, in the sense of Definition 3.2. Both results are derived from basic estimates that we detail in the following.

**Absorbing set in  $\mathbf{H}_0$ :** We take the inner product of the first equation of system (2.7) with  $\mathbf{w}$  to obtain

$$\frac{1}{2} \frac{d}{dt} \|\mathbf{w}\|^2 + b(H_N(\mathbf{w}), \mathbf{w}, \mathbf{w}) + \nu \|\mathbf{w}\|_1^2 = (H_N(\mathbf{f}), \mathbf{w}). \quad (3.1)$$

Observing that  $b(H_N(\mathbf{w}), \mathbf{w}, \mathbf{w}) = 0$  due to  $\nabla \cdot H_N(\mathbf{w}) = 0$ , applying the Young inequality and the Poincaré inequality  $\|\mathbf{w}\| \leq \lambda_1^{-\frac{1}{2}} \|\mathbf{w}\|_1$ , and using (2.6), we are left with

$$\frac{d}{dt} \|\mathbf{w}\|^2 + \nu \lambda_1 \|\mathbf{w}\|^2 \leq \frac{1}{\nu \lambda_1} \|\mathbf{f}\|^2. \quad (3.2)$$

So, noting  $\rho_0 = \frac{1}{\nu \lambda_1} \|\mathbf{f}\|^2$  and applying the Gronwall lemma, we obtain

$$\|\mathbf{w}\|^2 \leq \|\mathbf{w}_0\|^2 e^{-\nu \lambda_1 t} + \rho_0^2 (1 - e^{-\nu \lambda_1 t}). \quad (3.3)$$

Considering  $\mathbf{w}_0$  included in a ball  $B(0, R)$  and choosing  $\rho'_0 > \rho_0$ , the previous inequality implies that, for  $t > T_0$ ,

$$\|\mathbf{w}(t)\|^2 < \rho_0'^2, \quad \text{with } T_0 = \frac{1}{\nu \lambda_1} \ln \frac{R^2}{\rho_0'^2 - \rho_0^2}. \quad (3.4)$$

Since each bounded set of  $\mathbf{H}_0$  is included in a ball  $B(0, R)$ , one deduces that  $B(0, \rho'_0)$  is an absorbing set in  $\mathbf{H}_0$ .

Moreover, as an alternative to (3.2) we may obtain

$$\frac{d}{dt} \|\mathbf{w}\|^2 + \nu \|\mathbf{w}\|_1^2 \leq \frac{1}{\nu \lambda_1} \|\mathbf{f}\|^2. \quad (3.5)$$

Integrating between  $t$  and  $t + r$ , we observe that, for  $\mathbf{u}_0 \in B(0, R)$ ,  $\rho'_0 > \rho_0$  and  $t > T_0$  (with  $T_0 = \frac{1}{\nu \lambda_1} \ln \frac{R^2}{\rho_0'^2 - \rho_0^2}$ ),

$$\int_t^{t+r} \|\mathbf{w}(s)\|_1^2 ds \leq \frac{r}{\nu^2 \lambda_1} \|\mathbf{f}\|^2 + \frac{\rho_0'^2}{\nu}. \quad (3.6)$$

**Absorbing set in  $\mathbf{H}_1$ :** We take now the inner product of the first equation of system (2.7) with  $A\mathbf{w}$  to obtain

$$\frac{1}{2} \frac{d}{dt} \|\mathbf{w}\|_1^2 + b(H_N(\mathbf{w}), \mathbf{w}, A\mathbf{w}) + \nu \|A\mathbf{w}\|^2 = (H_N(\mathbf{f}), A\mathbf{w}), \tag{3.7}$$

leading to

$$\frac{1}{2} \frac{d}{dt} \|\mathbf{w}\|_1^2 + \nu \|A\mathbf{w}\|^2 \leq \frac{1}{\nu} \|H_N(\mathbf{f})\|^2 + \frac{\nu}{4} \|A\mathbf{w}\|^2 + |b(H_N(\mathbf{w}), \mathbf{w}, A\mathbf{w})|, \tag{3.8}$$

The trilinear form  $b$  satisfies the following inequality (see [6]):

$$|b(\mathbf{u}, \mathbf{v}, \mathbf{w})| \leq c' \|\mathbf{u}\|^{1/4} \|\mathbf{u}\|_1^{3/4} \|\mathbf{v}\|_1^{1/4} \|A\mathbf{v}\|^{3/4} \|\mathbf{w}\|. \tag{3.9}$$

Therefore, one has

$$|b(H_N(\mathbf{w}), \mathbf{w}, A\mathbf{w})| \leq c' \|H_N(\mathbf{w})\|^{1/4} \|H_N(\mathbf{w})\|_1^{3/4} \|\mathbf{w}\|_1^{1/4} \|A\mathbf{w}\|^{7/4}. \tag{3.10}$$

Using (2.6) we have  $\|H_N(\mathbf{w})\|_1 \leq \|H_N(\mathbf{w})\|_2 \leq C(\delta, N) \|\mathbf{w}\|$  and using (2.6),

$$|b(H_N(\mathbf{w}), \mathbf{w}, A\mathbf{w})| \leq C'(\delta, N) \|\mathbf{w}\| \|\mathbf{w}\|_1^{1/4} \|A\mathbf{w}\|^{7/4}. \tag{3.11}$$

By the Young inequality we obtain

$$|b(H_N(\mathbf{w}), \mathbf{w}, A\mathbf{w})| \leq \frac{\nu}{4} \|A\mathbf{w}\|^2 + \frac{C_1(\delta, N)}{2} \|\mathbf{w}\|^8 \|\mathbf{w}\|_1^2, \tag{3.12}$$

and thus

$$\frac{d}{dt} \|\mathbf{w}\|_1^2 + \nu \|A\mathbf{w}\|^2 \leq \frac{2}{\nu} \|H_N(\mathbf{f})\|^2 + C_1(\delta, N) \|\mathbf{w}\|^8 \|\mathbf{w}\|_1^2 \tag{3.13}$$

We now use a Gronwall type proposition (see the proof in [8]):  $\square$

**Proposition 3.2.** Assume that  $y, g$  and  $h$  are positive, locally integrable functions on  $]t_0, +\infty[$ , and that for  $t \geq t_0$ ,

$$\frac{dy}{dt} \leq gy + h, \quad \int_t^{t+r} y(s)ds \leq k_1, \quad \int_t^{t+r} g(s)ds \leq k_2, \quad \int_t^{t+r} h(s)ds \leq k_3,$$

where  $r, k_1, k_2, k_3$  are four positive constants; then

$$y(t+r) \leq \left(\frac{k_1}{r} + k_3\right) e^{k_2}, \quad \forall t \geq t_0. \quad \blacksquare$$

We can now finish the proof. Thanks to (3.4) and (3.6), using this lemma with  $y = \|\mathbf{w}\|_1^2, g = C_1(\delta, N) \|\mathbf{w}\|^8$  and  $h = \frac{2}{\nu} \|H_N(\mathbf{f})\|^2$ , we obtain

$$\|\mathbf{w}(t)\|_1^2 \leq \left(\frac{k_1}{r} + k_3\right) e^{k_2}, \quad \forall t \geq T_0 + r, \tag{3.14}$$

with  $k_1 = \frac{r}{\nu^2 \lambda_1} \|\mathbf{f}\|^2 + \frac{1}{\nu} \rho_0'^2, k_2 = C_1(\delta, N) \rho_0'^8, k_3 = \frac{2r}{\nu} \|\mathbf{f}\|^2$ .

Thus, after a time  $T_1 = T_1(\|\mathbf{w}_0\|, \|\mathbf{f}\|, \nu)$ ,  $\mathbf{w}$  is included in a ball of radius  $R = R(\|\mathbf{f}\|, \nu, \delta, N)$ . One deduces that there exists an absorbing set in  $\mathbf{H}_1$ .

Let  $B$  be a bounded set in  $\mathbf{H}_1$ . Estimate (3.14) implies that  $\bigcup_{t \geq T_0+r} S(t)B$  is a bounded set in  $\mathbf{H}_1$  which is compactly imbedded in  $\mathbf{H}_0$ , so  $S(t)$  is uniformly compact. Estimate (3.14) also implies the existence of an absorbing bounded set since  $k_1, k_2$  and  $k_3$  are independent of  $\mathbf{w}_0$ . Thanks to (3.1), this achieves the proof of the theorem.  $\blacksquare$

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