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### TOUGH GRAPHS AND HAMILTONIAN CIRCUITS

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Abstract. The toughness of a graph G is defined as the largest real number t such that deletion of any s points from G results in a graph which is either connected or else has at most s/t components. Clearly, every hamiltonian graph is 1-tough. Conversely, we conjecture that for some  $t_0$ , every  $t_0$ -tough graph is hamiltonian. Since a square of a k-connected graph is always k-tough, a proof of this conjecture with  $t_0 = 2$  would imply Fleischner's theorem (the square of a block is hamiltonian). We construct an infinite family of (3/2)-tough nonhamiltonian graphs.

### 0. Introduction

In this paper, we introduce a new invariant for graphs. It measures in a simple way how tightly various pieces of a graph hold together; therefore we call it toughness. Our central point is to indicate the importance of toughness for the existence of hamiltonian circuits. Every hamiltonian graph is necessarily 1-tough. On the other hand, we conjecture that every graph that is more than  $\frac{3}{2}$ -tough is necessarily hamiltonian. This conjecture, if true, would strengthen recent results of Fleischner concerning hamiltonian properties of squares of blocks.

I am indebted to Professor Jack Edmonds and Professor C. St. J.A. Nash-Williams for stimulating discussions and constant encouragement during my work on this paper.

We follow Harary's notation and terminology [11] with minor modifications. First of all, by a subgraph we always mean a spanning subgraph. Accordingly,  $G \subset H$  means that G is a spanning subgraph of H. As in [11], p(G) denotes the number of points, k(G) the number of com-

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ponents,  $\kappa(G)$  the point-connectivity,  $\lambda(G)$  the line-connectivity and  $\beta_0(G)$  the point-independence number of a graph G. By a point-cutset (resp. line-cutset) in G we mean a set S of points (resp. a set X of lines) of G whose removal results in a disconnected graph, i.e., for which k(G-S) > 1 (resp. k(G-X) > 1).

## 1. Toughness

Let G be a graph and t a real number such that the implication  $k(G-S) > 1 \Rightarrow |S| \ge t \cdot k(G-S)$  holds for each set S of points of G. Then G will be said to be t-tough. Obviously, a t-tough graph is s-tough for all s < t. If G is not complete, then there is a largest t such that G is t-tough; this t will be called the toughness of G and denoted by t(G). On the other hand, a complete graph contains no point-cutset and so it is t-tough for every t. Accordingly, we set  $t(K_n) = +\infty$  for every n. Adopting the convention min  $\emptyset = +\infty$ , we can write

$$(1) t(G) = \min |S|/k(G-S),$$

where S ranges over all point-cutsets of G.

Using the obvious implication  $G \subset H \Rightarrow k(G) \ge k(H)$  and the definition of toughness we arrive at:

Proposition 1.1.  $G \subseteq H \Rightarrow t(G) \leq t(H)$ .

T toughness is a nondecreasing invariant whose values range from zero infinity. A graph G is disconnected if and only if t(G) = 0; G is complete if and only if  $t(G) = +\infty$ .

For every point-cutset S of G, we have  $|S| \ge \kappa(G)$  and  $k(G - S) \le \beta_0(G)$ . Using (1), we readily obtain:

Froposition 1.2.  $t \ge \kappa/\beta_0$ .

If G is not complete (i.e.,  $\kappa \leq p(G) - 2$ ), then G has at least one point-cutset. Substituting the smallest point-cutset S of G into the right-hand side of (1), we derive:

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**Proposition 1.3.** If G is not complete, then  $t \leq \frac{1}{2}\kappa$ .

Similarly, taking S to be the complement of a largest independent set of points of G, we deduce:

**Proposition 1.4.** If G is not complete, then  $t \leq (p - \beta_0)/\beta_0$ .

If  $G = K_{m,n}$  with  $m \le n$ , then obviously  $\kappa(G) = m$ ,  $\beta_0(G) = n$  and p(G) = m + n. Combining Propositions 1.2 and 1.4, we obtain:

Proposition 1.5.  $m \le n \Rightarrow t(K_{m,n}) = m/n$ .

Hence the equality in Propositions 1.2, 1.4 can be attained. In order to show that the equality in Proposition 1.3 can be attained as well, we shall prove:

Theorem 1.6. 
$$t(K_m \times K_n) = \frac{1}{2}(m+n) - 1$$
  $(m, n \ge 2)$ .

**Proof.** Let S be a point-cutset of  $G = K_m \times K_n$  minimizing |S|/k(G-S); let us set k = k(G-S). Then S is necessarily minimal with respect to the property k(G-S) = k. The point-set of G will be written as  $V \times W$  with |V| = m, |W| = n. From the minimality of S, we easily conclude that the point-set of the j<sup>th</sup> component of G-S is  $V_i \times W_j$  with  $V_j \subset V$  and  $W_j \subset W$ . Moreover,  $V_i \cap V_j = \emptyset$  and  $W_i \cap W_j = \emptyset$  whenever  $i \neq j$ . Thus, we have

(2) 
$$|S| = mn - \sum_{i=1}^{k} m_i n_i$$

where  $m_i = |V_i|$  and  $n_i = |W_i|$  for each i = 1, 2, ..., k. The right-hand side of (2) is minimized by  $m_1 = m_2 = ... = m_{k-1} = 1$ ,  $m_k = m - k + 1$  and  $n_1 = n_2 = ... = n_{k-1} = 1$ ,  $n_k = n - k + 1$ . Hence

$$|S| \ge n(n - (k - 1) - (m - k + 1)(n - k + 1)$$
  
=  $(k - 1)(m + n - k)$ ,

and so

$$t(G) = |S|/k(G-S) \ge (k-1)(m+n-k)/k \ge \frac{1}{2}(m+n-2).$$

The opposite inequality follows from Proposition 1.3 as G is regular of degree m + n - 2.

Propositions 1.2 and 1.3 indicate a relationship between toughness and connectivity. Another indication of this relationship is given by:

Theorem 1.7.  $t(G^2) \ge \kappa(G)$ .

**Proof.** Let G be a graph with connectivity  $\kappa$  and let S be a point-cutset in  $G^2$ . Let  $V_1, V_2, ..., V_m$  be the point-sets of components of  $G^2 - S$ . For each point  $u \in S$  and each i = 1, 2, ..., m, we set  $u \in S_i$  if and only if there is a point  $v \in V_i$  adjacent to u in G. Obviously, each  $S_i$  is a point-cutset of G (it separates  $V_i$  from the rest of G). Hence

(3) 
$$|S_i| \ge \kappa$$
 for each  $i = 1, 2, ..., m$ .

Moreover, each  $u \in S$  belongs to at most one  $S_i$ . Otherwise there would be points  $v_i \in V_i$  and  $v_j \in V_j$  with  $i \neq j$  such that u is adjacent in G to both  $v_i$  and  $v_j$ . Consequently, the points  $v_i$  and  $v_j$  would be adjacent in  $G^2$ , contradicting the fact that they belong to distinct components of  $G^2 - S$ . Thus we have

$$(4) i \neq j \Rightarrow S_i \cap S_j = \emptyset.$$

Combining (3) and (4) we have

$$|S| \ge \sum_{i=1}^{m} |S_i| \ge \kappa m = \kappa k(G^2 - S).$$

Since S was an arbitrary set with  $k(G^2 - S) > 1$ ,  $G^2$  is  $\kappa$ -tough, which is the desired result.

Corollary 1.8. If m is a positive integer and  $n = 2^m$ , then  $t(G^n) \ge \frac{1}{2}n\kappa(G)$ .

**Proof.** We shall proceed by induction on m. The case m = 1 is equivalent to Theorem 1.7. Next, if  $t(G^n) = +\infty$ , then  $t(G^{2n}) = +\infty$ . If  $t(G^n) < +\infty$ ,

then by Theorem 1.7 and Proposition 1.3 we have

$$t(G^{2n}) \geqslant \kappa(G^n) \geqslant 2t(G^n)$$
,

which is the induction step from m to m + 1.

Let us note that the inequality  $t(G^n) \ge \frac{1}{2}n\kappa(G)$  does not hold in general. The graph G in Fig. 1 is 1-connected but its cube  $G^3 = K_4 + \overline{K}_3$  is not  $\frac{3}{2}$ -tough. Actually,  $\beta_0(G^3) = 3$ ; using Proposition 1.4, we conclude that  $t(G^3) \le \frac{4}{3}$ .

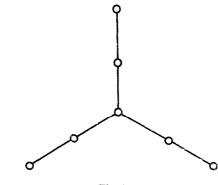


Fig. 1.

### 2. Toughness and hamiltonian graphs

It is easy to see that every cycle is 1-tough. This observation and Proposition 1.1 imply

# **Proposition 2.1.** Every hamiltonian graph is 1-tough.

Unfortunately, the converse of Proposition 2.1 holds for graphs with at most six points only. The nonhamiltonian graph H in Fig. 2 is 1-tough. Let us note that H is a square of the graph G in Fig. 1; as  $\kappa(G) = 1$ . Theorem 1.6 yields  $t(H) \ge 1$ . Nevertheless, the graphs which are not 1-tough do play a special role among nonhamiltonian graphs. Let us say that a graph G is degree-majorized by a graph H if there is a one-to-one correspondence f between the points of G and those of H such that, for

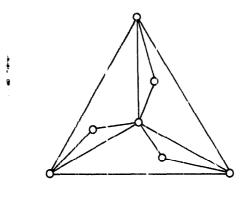


Fig. 2.

each point u of G, the degree of u in G does not exceed the degree of f(u) in H. Recently, I proved that every nonhamiltonian graph is degree-majorized by a graph which is not 1-tough [5] (in fact, by  $(\overline{K}_m \cup K_{p-2m}) + K_m$  with a suitable  $m < \frac{1}{2}p$ ). This is a strengthening of previous results due to Dirac [7], Pósa [14] and Bondy [1].

Now let us return to our Proposition 2.1. Even though its converse does not hold, one may wonder what additional conditions placed upon a 1-tough graph G would imply the existence of a hamiltonian cycle in G. As in our next conjecture, such conditions may have the flavour of Ramsey's theorem.

Conjecture 2.2. If G is 1-tough, then either G is hamiltonian or its complement  $\overline{G}$  contains the graph F in Fig. 3.

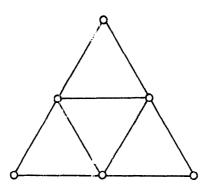


Fig. 3.

If this conjecture is true, then it is best possible in the sense that a replacement of F by any other graph F' results in a conjecture which is either weaker or false. To show this, it is sufficient to observe that the complement  $\overline{H}$  of the nonhamiltonian 1-tough graph H in Fig. 2 consists of the graph F with an added isolated point.

As every 1-tough graph is 2-connected (see Proposition 1.3), our Proposition 2.1 is a strengthening of the obvious implication.

(5) G is hamiltonian 
$$\Rightarrow \kappa(G) \geqslant 2$$
.

Even a weakened converse of (5), i.e. the implication

$$\kappa(G) \geqslant \kappa_0 \Rightarrow G$$
 is hamiltonian,

does not hold. Indeed, the complete bipartite graphs  $K_{mn}$  with m < n are m-connected but not 1-tough (and therefore not hamiltonian) — see Proposition 1.5. However, it may well be that such a weakened converse of Proposition 2.1 holds.

Conjecture 2.3. There exists  $t_0$  such that every  $t_0$ -tough graph is hamiltonian.

It was conjectured independently by Nash-Williams [12] and Plummer [11, p. 69] that the square of every block (i.e., 2-connected graph) is hamiltonian. This has been proved only recently by Fleischner [9].

Theorem 1.7 implies that the square of every block is 2-tough. Thus a proof of Conjecture 2.3 with  $t_0 = 2$  would yield a strengthening of Fleischner's theorem. Actually, to strengthen Fleischner's theorem, it would suffice to prove the slightly weaker conjecture stated below. To formulate this one, we need the notion of a neighborhood-connected graph. This is a graph G such that the neighborhood of each point of G induces a connected subgraph of G. It is easy to see that the square of every graph is neighborhood-connected.

Conjecture 2.4. Every 2-tough neighborhood-connected graph is hamiltonian.

In Section 5, we shall construct  $\frac{3}{2}$ -tought and multonian graphs. The strongest form of Conjecture 2.3 for which I to know any counter-example is the following:

Conjecture 2.5. Every t-tough graph with  $z > \frac{3}{2}$  is hamiltonian.

This conjecture is certainly valid for planar graphs. Indeed, every t-tough graph with  $t > \frac{3}{2}$  is 4-connected (Proposition 1.3) and by Tutte's theorem [16], every 4-connected planar graph is hamiltonian. By the theorem of Watkins and Mesner [17], every t-tough graph with t > 1 is 3-cyclable (that is, every three points lie on a common cycle).

Recently, it has been proved that every graph with  $\kappa \ge \beta_0$  is hamiltonian [6]. Propositions 2.1 and 1.2 show how to relate this theorem to our concept of toughness. By Proposition 1.2, all graphs satisfy either  $\kappa/\beta_0 \le t < 1$  or  $\kappa/\beta_0 < 1 \le t$  or  $1 \le \kappa/\beta_0 \le t$ . By Proposition 2.1, graphs of the first kind are nonhamiltonian and, by the result of [6], graphs of the third kind are hamiltonian.

There may also be a relation between toughness and the concept of pancyclic graphs (i.e., graphs containing cycles of every length l,  $3 \le l \le p$ ) introduced and studied in [2]. Actually, one can make

Conjecture 2.5. There exists  $t_0$  such that every  $t_0$ -tough graph is pancyclic.

# 3. Toughness and k-factors

Conjecture 3.1. Let G be a graph with p vertices and let k be a positive integer such that G is k-tough and kp is even. Then G has a k-factor.

It follows from Tutte's matching theorem [15] that Conjecture 3.1 is valid with k = 1.

If Conjecture 2.5 is true, then every graph that is more than  $\frac{3}{2}$ -tough has a 2-factor. Actually, I even do not know any counterexample to the following:

Conjecture 3.2. Every  $\frac{3}{2}$ -tough graph has a 2-factor.

If this conjecture is true, then it is certainly the best possible as the following set of examples shows.

**Theorem 3.3.** Given any  $t < \frac{3}{2}$ , there is a t-tough graph having no 2-factor.

**Proof.** Let  $t < \frac{3}{2}$  be given. Then there is a positive integer n such that 3n/(2n+1) > t. Take pairwise disjoint sets  $S = \{s_1, s_2, ..., s_n\}$ ,  $T = \{t_1, t_2, ..., t_{2n+1}\}$ ,  $R = \{r_1, r_2, ..., r_{2n+1}\}$ , join each  $s_i$  to all the other points and each  $r_i$  to every other  $r_j$  as well as to the point  $t_i$  with the same subscript i. Call the resulting graph H. (If n = 1, we obtain the graph H in Fig. 2.)

Let W be a point-cutset in H which minimizes |W|/k(H-W). Let k = k(H-W) and  $m = |W \cap R|$ . Obviously, W is a minimal set whose removal from H results in a graph with k components. As W is a cutset, we have  $S \subseteq W$  and  $m \ge 1$ . From the minimality of W we then easily conclude that  $T \cap W = \emptyset$  and  $m \le 2n$ . Then we have |W| = n + m and k(H-W) = m+1. Hence

$$t(H) = \frac{|W|}{k(H - W)} = \min_{1 \le m \le 2n} \frac{n + m}{m + 1} = \frac{3n}{2n + 1} > t.$$

It is straightforward to see that H has no 2-factor. Indeed, let us assume the contrary, i.e., let  $F \subset H$  be regular of degree 2. Let us denote by X the set of lines of F having at least one endpoint in T. Since T is independent, we have |X| = 2|T|. On the other hand, there are at most 2|S| lines in X having one endpoint in S and at most |R| lines in X having one endpoint in R. Thus

$$4n + 2 = 2|T| = |X| \le 2|S| + |R| = 4n + 1$$

which is a contradiction.

# 4. Line-toughness

Looking at our definition of toughness from a merely formal point of view, one could wonder why we did not define a line-toughness  $t^*(G)$  of G by

$$t^*(G) = \min\{|X|/k(G-X)\},\$$

where X ranges over all the line-cutsets of G. The answer is given by the following theorem; line-toughness is exactly one half of line-connectivity.

Theorem 4.1.  $t^* = \frac{1}{2}\lambda$ .

**Proof.** Let G be a graph with line-connectivity  $\lambda$ . Then there is a line-cutset  $X_0$  of G with  $|X_0| = \lambda$  and we have

$$t^*(G) \le |X_0|/k(G - X_0) \le \frac{1}{2}\lambda.$$

On the other hand, let X be a line-cutset of G minimizing |X|/k(G-X). Let the components of G-X be  $H_1, H_2, ..., H_k$ . For each i=1,2,...,k, let us denote by  $X_j$  the set of lines in X having an endpoint in  $H_i$ . Obviously, each  $X_i$  is a line-cutset of G and so we have  $|X_i| \ge \lambda$  for each i=1,2,...,k.

Moreover, X is a minimal line-cutset of G whose removal results in a graph with k components. Hence no line in X has both endpoints in the same  $H_i$  and so we have

$$2|X| = \sum_{i=1}^{k} |X_i| \ge \lambda k$$

or

$$t^*(G) = |X|/k \ge \frac{1}{2}\lambda.$$

## 5. Toughness of inflations

Let G be an arbitrary graph. By the *inflation*  $G^*$  of G we mean the graph whose points are all ordered pairs (u, x), where x is a line of G and u is an endpoint of x; two points of  $G^*$  are adjacent if they differ in exactly one coordinate.

Theorem 5.1. Let G be an arbitrary graph without isolated points and  $G^*$  its inflation. If  $G \neq K_2$ , then  $t(G^*) = \frac{1}{2}\lambda(G)$  and  $\kappa(G^*) = \lambda(G^*) = \lambda(G)$ .

**Proof.** Let S be a point-cutset of  $G^*$  minimizing  $|S|/k(G^*-S)$ ; set  $k = k(G^*-S)$ . Obviously, S is a minimal set whose removal from  $G^*$ 

yields a graph with at least k components. From this we easily conclude that for each line x of G, S contains at most one point (u, x) of  $G^*$ . Denoting by X the set of all the lines x of G with  $(u, x) \in S$  for some u, we then have |X| = |S|. If two points (u, x), (v, y) of  $G^*$  belong to distinct components of  $G^* - S$ , then necessarily  $u \neq v$  and u, v belong to distinct components of G - X. Hence  $k(G - X) \geqslant k(G^* - S)$  and Theorem 4.1 implies

(6) 
$$t(G^*) = |S|/k(G^* - S) \ge |X|/k(G - X) \ge t^*(G) = \frac{1}{2}\lambda(G).$$

Next, if  $G \neq K_2$ , then  $G^*$  is not complete and so, by Proposition 1.3,  $t(G^*) \leq \frac{1}{2}\kappa(G^*)$ . By Whitney's inequality [18],  $\kappa(C^*) \leq \lambda(G^*)$ . Moreover, there is a natural one-to-one mapping f from the line-set of G into the line-set of  $G^*$ . If X is a cutset of G then f(X) is a cutset of  $G^*$ . Hence  $\lambda(G^*) \leq \lambda(G)$  and we have

(7) 
$$t(G^*) \leq \frac{1}{2}\kappa(G^*) \leq \frac{1}{2}\lambda(G^*) \leq \frac{1}{2}\lambda(G).$$

Combining (6) and (7), we obtain the desired result.

It is quite easy to see that a hamiltonian circuit in  $G^*$  induces a closed spanning trail in G and vice versa. Hence we have:

**Proposition 5.2.**  $G^*$  is hamiltonian if and only if G has an eulerian spanning subgraph.

This proposition and Theorem 5.1 yield:

Corollary 5.3. Let G be a cubic nonhamiltonian graph with  $\lambda(G) = 3$ . Then its inflation  $G^*$  is a cubic nonhamiltonian graph with  $t(G^*) = \frac{3}{2}$  and  $\lambda(G^*) = 3$ .

Indeed, the inflation of a regular graph of degree n is a regular graph of degree n. Moreover, an eulerian spanning subgraph of a cubic graph is necessarily a hamiltonian cycle.

In particular, denoting by  $G_0$  the Petersen graph and setting  $G_{k+1} = G_k^*$  we obtain an infinite family  $G_1$ ,  $G_2$ ,... of cubic nonhamiltonian  $\frac{3}{2}$ -tough graphs. The Petersen graph  $G_0$  is not  $\frac{3}{2}$ -tough; one can show that  $t(G_0) = \frac{4}{3}$ . In the next section, we will prove that the number of points of any  $\frac{3}{2}$ -tough cubic graph G with  $G \neq K_4$  is divisible by six.

### 6. Toughness of regular graphs

Let G be a regular graph of degree n with p points, where p > n + 1 (so that G is not complete). Then  $\kappa(G) \le n$  and by Proposition 1.3,  $t(G) \le \frac{1}{2}n$ . One may ask for which choice of n and p the equality  $t(G) = \frac{1}{2}n$  can be attained. If n is even, then every p works. Indeed, it is easy to see that the graph  $C_p^{n/2}$  is  $\frac{1}{2}n$ -tough. Now, let n be odd and greater than one; then the situation is different.

We already have two methods for constructing  $\frac{1}{2}n$ -tough regular graphs of degree n. Firstly, if p = rs with r + s - 2 = n, then the graph  $K_r \times K_s$  with p points is regular of degree n and  $\frac{1}{2}n$ -tough (see Theorem 1.6). Secondly, if p = nk for an even integer  $k \ge n + 1$ , then there is a regular graph H of degree n with k points and  $\lambda(H) = n$  (the existence of H follows from [8] or [4]). Its inflation  $H^*$  has p points, is regular of degree n and  $\frac{1}{2}n$ -tough (see Theorem 5.1).

However, it seems likely that for p sufficiently large and not divisible by n there is no graph G with p points which is regular of degree n and  $\frac{1}{2}n$ -tough. We will prove this for n=3 and leave the cases  $n \ge 5$  open.

Let us call a coloring of *G* balanced if all of its color classes have the same size; otherwise the coloring is unbalanced.

Theorem 6.1. No cubic  $\frac{3}{2}$ -tough graph admits an unbalanced 3-coloring.

**Proof.** Let G be a cubic  $\frac{3}{2}$ -tough graph and let the point-set of G be partitioned into color classes R, S, T with

$$(8) |R| \leq |S| \leq |T|.$$

Let |R| be as small as possible. Then each  $u \in R$  is adjacent to some  $v \in S$  (otherwise  $R^* = R - \{u\}$ ,  $S^* = S \cup \{u\}$  and  $T^* = T$  would be color classes with  $|R^*| < |R|$ ) and similarly, each  $u \in R$  is adjacent to some  $v \in T$ . Hence there is a partition  $R = R_S \cup R_T$  such that each  $u \in R_S$  is adjacent to exactly one point in S and each  $u \in R_T$  is adjacent to exactly one point in T. Obviously, the subgraph of G induced by  $S \cup R_S$  has exactly |S| components. Thus,

$$k(G-(T\cup R_T))=|\mathcal{S}|,$$

and similarly

$$k(G-(S\cup R_S))=|T|.$$

We have  $|S| \ge 2$  (otherwise (8) implies  $|R \cup S| \le 2$ , which is impossible since each point in T is adjacent to three points in  $R \cup S$ ) and by (8) also  $|T| \ge 2$ . Since G is  $\frac{3}{2}$ -tough, we have

$$|T \cup R_T| \geqslant \frac{3}{2}|S|$$

and

$$|S \cup R_S| \ge \frac{3}{2} |T|.$$

Adding these two inequalities we obtain  $|R| + |S| + |T| \ge \frac{3}{2}(|S| + |T|)$  or  $|R| \ge \frac{1}{2}(|S| + |T|)$  which together with (8) implies |R| = |S| = |T|.

Corollary 6.2. A necessary and sufficient condition for the existence of a cubic  $\frac{3}{2}$ -tough graph with p points is that either p = 4 or p is divisible by six.

Indeed,  $K_4$  and  $K_2 \times K_3$  are  $\frac{3}{2}$ -tough and we can construct cubic  $\frac{3}{2}$ -tough graphs with 6k points (k > 1) by inflations as described above. On the other hand, let G be a cubic  $\frac{3}{2}$ -tough graph with more than four points. Obviously, the number p of points of G must be even. By Brooks' theorem [3], G admits a 3-coloring. By Theorem 5.4, this 3-coloring must be balanced and therefore p divisible by 3.

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