

# Equivalence of the Gelfand–Shilov Spaces

Jaeyoung Chung

*Department of Mathematics, Kunsan National University, Kunsan 573-360, Korea*

Soon-Yeong Chung

Metadata, citation and similar papers at [core.ac.uk](http://core.ac.uk)

and

Dohan Kim

*Department of Mathematics, Seoul National University, Seoul 151-742, Korea*

*Submitted by H. M. Srivastava*

Received April 26, 1995

We prove that there is a one to one correspondence between the Gelfand–Shilov space  $W_M^\Omega$  of type  $W$  and the space  $S_{M_p}^{N_p}$  of generalized type  $S$ . As an application we prove the equality  $W_M \cap W^\Omega = W_M^\Omega$ , which is a generalization of the equality  $S_r \cap S^s = S_r^s$  found by I. M. Gelfand and G. E. Shilov (“Generalized Functions, II, III,” Academic Press, New York/London, 1967). © 1996 Academic Press, Inc.

## INTRODUCTION

The purpose of this paper is to investigate the relations between the Gelfand–Shilov spaces of generalized type  $S$  and of type  $W$  which were introduced by I. M. Gelfand and G. E. Shilov in [GS]. They used these spaces to investigate the uniqueness of the solutions of the Cauchy problems of partial differential equations. Although the Gelfand–Shilov spaces of generalized type  $S$  are defined by means of the positive sequences  $M_p$  and  $N_p$  and the spaces of type  $W$  are defined by means of the weight functions  $M(x)$  and  $\Omega(y)$ , we show that the class of the spaces of type  $W$  is exactly the same as a class of the spaces of generalized type  $S$ .

In Section 1 we state definitions of the Gelfand-Shilov spaces of generalized type  $S$  and type  $W$ , some relations between sequences and their associated functions, and basic concepts of Young conjugates and their properties. In Section 2 we prove that the spaces  $W_M, W^\Omega,$  and  $W_m^\Omega$  of type  $W$  are equal to some spaces  $S_{M_p}, S^{N_p},$  and  $S_{M_p}^{N_p}$  of generalized type  $S$ , respectively, under the natural condition  $M \subset \Omega$  and vice versa. In Section 3 as an application of the above result we show the equality

$$W_M \cap W^\Omega = W_M^\Omega \tag{W}$$

under the natural condition  $M \subset \Omega$  (see Section 1 for definition). For this we first show the equality

$$S_{M_p} \cap S^{N_p} = S_{M_p}^{N_p}. \tag{S}$$

The above two equalities are generalizations of the equality

$$S_r \cap S^s = S_r^s \tag{S0}$$

which was suggested by Gelfand and Shilov in [GS] and proved affirmatively by Kashpirovsky in [Ka] in 1979.

An equality of type (S) was also proved in Pathak [P] under the condition (P). But, this result cannot be applied to prove the equality (W) as the defining sequence  $N_p$  of  $\Omega^*(y)$  satisfies (M.1)\* (see Lemma 2.5) and (M.1)\* implies the reverse inequality (P)\* of (P). See Section 3 for definitions of the conditions (P) and (P)\* and for an example. So we replace (P) by a natural condition

$$M_p N_p \supset p!$$

which is always satisfied if  $M(x) \subset \Omega(y)$ , and we modify the proof in [P] in order to make use of the condition  $M_p N_p \supset p!$  instead of (P). Finally we also prove the triviality of the spaces  $S_{M_p} \cap S^{N_p}$  and  $S_{M_p}^{N_p}$  under the condition  $M_p N_p \subset p!^s, 0 < s < 1,$  which will complete the generalization of the equality (S0).

### 1. PRELIMINARIES

Let  $M_p, p = 0, 1, 2, \dots,$  be a sequence of positive numbers. We impose the conditions denoted (M.1), (M.2), and (M.2)' which denote logarithmic convexity, stability under ultradifferential operators, and stability under differential operators. We assume that  $M_0 = 1$  for simplicity and refer to [K, p. 26] for details. We also use the multi-index notations  $|\alpha| = \alpha_1 + \dots + \alpha_n, \alpha! = \alpha_1! \dots \alpha_n!,$  and  $\partial^\alpha = (\partial/\partial x_1)^{\alpha_1} \dots (\partial/\partial x_n)^{\alpha_n}$  for  $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{N}_0^n.$

DEFINITION 1.1. For each sequence  $M_p$  of positive numbers we define the associated function  $M(\rho)$  on  $[0, \infty)$  by

$$M(\rho) = \sup_p \log \frac{\rho^p}{M_p}. \quad (1.1)$$

DEFINITION 1.2. Let  $M_p$  and  $N_p$  be sequences of positive numbers satisfying (M.1). Then we write  $M_p \subset N_p$  ( $M_p < N_p$ , respectively) if there are constants  $L$  and  $C$  (for any  $L > 0$  there is a constant  $C > 0$ , respectively) such that  $M_p \leq CL^p N_p$ ,  $p = 0, 1, 2, \dots$ .  $M_p$  and  $N_p$  are equivalent if  $M_p \subset N_p$  and  $M_p \supset N_p$  hold.

For example,  $M_p = p!^s$  and  $N_p = p^{ps}$  are equivalent if  $s > 0$ , by Stirling's formula.

DEFINITION 1.3. Let  $M(x)$  and  $N(x)$  be real functions. Then we write  $M(x) \subset N(x)$  ( $M(x) < N(x)$ , respectively) if there are constants  $L$  and  $C$  (for every  $L > 0$  there is a constant  $C > 0$ , respectively) such that  $M(x) \leq N(Lx) + C$ .  $M(x)$  and  $N(x)$  are equivalent if  $M(x) \subset N(x)$  and  $M(x) \supset N(x)$ .

DEFINITION 1.4. If  $M(\rho)$  is an increasing convex function in  $\log \rho$  and increases more rapidly than  $\log \rho^p$  for any  $p$  as  $\rho$  tends to infinity, we define its defining sequence by

$$M_p = \sup_{\rho > 0} \frac{\rho^p}{\exp M(\rho)}, \quad p = 0, 1, 2, \dots$$

DEFINITION 1.5. Let  $M: [0, \infty) \rightarrow [0, \infty)$  be a convex and increasing function with  $M(0) = 0$  and  $\lim_{x \rightarrow \infty} x/M(x) = 0$ . Then we define its Young conjugate  $M^*$  by

$$M^*(y) = \sup_x (xy - M(x)).$$

We now refer to [GS, Vol. II, Chap. IV] for the definitions of the Gelfand–Shilov spaces of type S and to [GS, Vol. II, Appendix 1, Chap. IV] for the Gelfand–Shilov spaces of generalized type S.

Let  $M(x)$  and  $\Omega(y)$  be differentiable functions on  $[0, \infty)$  satisfying the condition

(K)  $M(0) = \Omega(0) = M'(0) = \Omega'(0) = 0$  and their derivatives continuous, increasing, and tending to infinity.

We refer to [GS, Vol. II, Appendix 2, Chap. IV] for the Gelfand–Shilov spaces of type  $W$ .

**DEFINITION 1.6.** A space  $\tilde{\Phi}$  of Fourier transforms  $\hat{\varphi}(\xi) = \int_{\mathbb{R}^n} \varphi(x)e^{-ix \cdot \xi} dx$  of the functions  $\varphi \in \Phi$  is called the *Fourier-dual* of  $\Phi$ .

## 2. EQUIVALENCE OF THE GELFAND–SHILOV SPACES

In this section we prove the equivalence of the Gelfand–Shilov spaces of type  $W$  and the spaces of generalized type  $S$ .

Let  $M_p, p = 0, 1, 2, \dots$ , be a sequence of positive numbers. We impose the following conditions on  $M_p$ ;

(M.1)' (Strong Logarithmic Convexity)  $m_p = M_p/M_{p-1}$  is increasing and tending to infinity as  $p \rightarrow \infty$ .

(M.1)\* (Duality)  $p!/M_p$  satisfies (M.1).

**PROPOSITION 2.1** [K, p. 49]. *If  $M_p$  satisfies (M.1), then  $M_p$  is the defining sequence of the associated function of itself, that is,*

$$M_p = \sup_{\rho \geq 0} \frac{\rho^p}{\exp M(\rho)},$$

where  $M(\rho)$  is the associated function of  $M_p$ .

We can easily obtain the following Lemma 2.2 from the Lemma 2.3 in [CCK, p. 370].

**LEMMA 2.2.** *Let  $M : [0, \infty) \rightarrow [0, \infty)$  be the function satisfying the condition (K). Then  $M(x)$  is equivalent to the associated function of the defining sequence of itself, i.e.,*

$$M(x) \cong \sup_p \log \frac{x^p}{M_p},$$

where  $M_p$  is the defining sequence of  $M(x)$ .

**LEMMA 2.3** [CCK]. *Let  $M(\rho)$  be a function satisfying the condition (K). Then the defining sequence  $M_p^*$  of the Young conjugate  $M^*(\rho)$  of  $M(\rho)$  is equivalent to  $p!/M_p$ , where  $M_p$  is the defining sequence of  $M(\rho)$ . In fact,  $M_p^* = (p/e)^p/M_p$ .*

*Conversely, if  $M_p$  satisfies (M.1)' and (M.1)\*, then the associated function  $M^\#(\rho)$  of  $p!/M_p$  and the Young conjugate  $M^*(\rho)$  of the associated function  $M(\rho)$  of  $M_p$  are equivalent.*

**LEMMA 2.4.** *Let  $M_p$  be a sequence of positive numbers satisfying the conditions (M.1)' and (M.1)\*. Then the associated function  $M(\rho)$  of  $M_p$  is equivalent to a function  $M_0(\rho)$  which satisfies the condition (K).*

Conversely, let  $M(\rho)$  be a function satisfying the condition (K). Then the defining sequence  $M_p$  of  $M(\rho)$  satisfies the conditions (M.1) and (M.1)\* up to equivalence.

*Proof.* We may assume that  $m_p = M_p/M_{p-1}$  and  $p/m_p$  are strictly increasing. Let  $w(t)$  be the line segments connecting  $(m_p, p/m_p)$  and  $(m_{p+1}, (p + 1)/m_{p+1})$ ,  $p = 1, 2, \dots$ , where  $(m_p, p/m_p) = (0, 0)$  for  $p = 0$  and let  $M_0(\rho) = \int_0^\rho w(t) dt$ . Then the function  $M_0(\rho)$  satisfies the condition (K). We claim that the associated function  $M(\rho)$  of  $M_p$  is equivalent to  $M_0(\rho)$ . Denote by  $m(\lambda)$  the number of  $m_p \leq \lambda$ . We obtain the following inequalities for  $m_p \leq \lambda < m_{p+1}$

$$p/m_p \leq w(\lambda) < (p + 1)/m_{p+1} \tag{2.1}$$

$$p/m_{p+1} \leq m(\lambda)/\lambda < p/m_p. \tag{2.2}$$

Combining (2.1) and (2.2) we have

$$\frac{1}{2}w(\lambda) \leq m(\lambda)/\lambda \leq w(\lambda) \tag{2.3}$$

for all  $\lambda > 0$ .

Integrating (2.3) and applying the formula  $M(\rho) = \int_0^\rho m(\lambda)/\lambda d\lambda$  in [K, p. 50] and the convexity of  $M_0$ , we conclude that  $M_0(\frac{1}{2}\lambda) \leq M(\lambda) \leq M_0(\lambda)$  for all  $\lambda \geq 0$ .

To prove the converse, let  $M(\rho)$  be a function satisfying the condition (K). Then the defining sequence  $M_p$  of  $M(\rho)$  satisfies (M.1)'. Since the Young conjugate  $M^*(\rho)$  of  $M(\rho)$  also satisfies the condition (K) the defining sequence  $(p/e)^p/M_p$  of  $M^*(\rho)$  also satisfies (M.1)'. We complete the proof by Stirling's formula.

LEMMA 2.5 [GS, p. 245]. *Let  $M_p$  and  $N_p$  satisfy (M.1) and (M.1)\*. Then*

$$\widetilde{S}_{M_p} = S^{M_p} \quad \text{and} \quad \widetilde{S}^{N_p} S_{N_p}.$$

DEFINITION 2.6. We call  $S_{M_p}^{N_p}$  a space of type  $S_0$  if the sequences  $M_p$  and  $N_p$  satisfying the condition (M.1)', (M.1)\*, and  $M_p N_p \supset p!$ . Also, we call  $W_M^\Omega$  a space of type  $W_0$  if the functions  $M$  and  $\Omega$  satisfy the condition (K) and the relation  $M \subset \Omega$ .

Remark 2.7. (i) Let  $M_p = p!^r$ ,  $N_p = p!^s$ ,  $0 < r, s < 1$ ,  $r + s \geq 1$ . Then  $S_{M_p}^{N_p}$  is a space of type  $S_0$ .

(ii) Let  $M(x) = e^x - x - 1$ ,  $\Omega(y) = ye^y - y$ . Then  $M(x)$  and  $\Omega(y)$  satisfy (K) and  $M(x) \subset \Omega(y)$  and,  $W_M^\Omega$  is a space of type  $W_0$ . In fact,  $M(x) \supset \Omega(y)$  also holds and  $W_\Omega^M$  is a space of type  $W_0$ .

(iii) Let  $M_p$  and  $N_p$  be the defining sequences of the above functions  $M(x)$  and  $\Omega^*(y)$ , respectively. Then  $S_{M_p}^{N_p}$  is a space of type  $S_0$ . Also, let  $M_p$  and  $N_p$  be the defining sequences of the above functions  $M(x)$  and  $\Omega(y)$ . Then  $S_{M_p}^{p!/N_p}$  is a space of type  $S_0$  by Lemma 2.3 and Lemma 2.4.

We now prove the main theorem in this section.

**THEOREM 2.8.** *There is a one to one correspondence between the spaces of type  $S_0$  and type  $W_0$ . In other words, for any given space  $S_{M_p}^{N_p}$  of type  $S_0$ , there is  $W_M^\Omega$  of type  $W_0$  such that  $S_{M_p}^{N_p} = W_M^\Omega$  and vice versa.*

*Proof.* For any given spaces  $S_{M_p}^{N_p}$  of type  $S_0$ , we claim that  $S_{M_p}^{N_p} = W_M^{N^*}$  where  $M$  is the associated function of  $M_p$  and  $N^*$  is the Young conjugate of the associated function  $N$  of  $N_p$ . Let  $\varphi \in S_{M_p}^{N_p}$ . Then for every  $\alpha, \beta \in \mathbb{N}_0^n$  we obtain

$$|\xi^\alpha \partial^\beta \varphi(\xi)| \leq CA^{|\alpha|} B^{|\beta|} M_{|\alpha|} N_{|\beta|} \tag{2.4}$$

for some  $A, B > 0$ . Since  $N_p$  satisfies (M.1)\* or  $p!/N_p$  satisfies (M.1)', it is easy to see that  $N_p < p!$ . Hence the function  $\varphi(\xi)$  can be continued analytically into the complex domain as an entire analytic function. Applying the Taylor expansion and the inequality (2.4) we have

$$\begin{aligned} |\xi^\alpha \varphi(\xi + i\eta)| &\leq \sum_{\gamma \in \mathbb{N}_0^n} \frac{|\xi^\alpha \partial^\gamma \varphi(\xi)|}{\gamma!} |\eta|^{|\gamma|} \\ &\leq C \sum_{\gamma \in \mathbb{N}_0^n} A^{|\alpha|} M_{|\alpha|} N_{|\gamma|} |B\eta|^{|\gamma|} / \gamma! \\ &\leq 2^n CA^{|\alpha|} M_{|\alpha|} \exp N^\#(2B|\eta|). \end{aligned} \tag{2.5}$$

Dividing  $|\xi|^{|\alpha|}$  in both sides of the inequality (2.5) and taking infimum for  $|\alpha|$  in the right hand side of (2.5), we have

$$|\varphi(\xi + i\eta)| \leq 2^n C \exp[-M(|\xi|/A) + N^\#(2B|\eta|)].$$

Note that we may use  $|\xi|^{|\alpha|} \partial^\beta \varphi(\xi)$  instead of  $|\xi^\alpha \partial^\beta \varphi(\xi)|$  in (2.4). Also Lemma 2.3 implies  $N^\#(2B|\eta|) \leq N^*(B'|\eta|)$  for some  $B' > 0$ . Thus, we have

$$|\varphi(\xi + i\eta)| \leq C_1 \exp[-M(|\xi|/A) + N^*(B'|\eta|)]. \tag{2.6}$$

It follows that  $S_{M_p}^{N_p} \subset W_M^{N^*}$  where  $M$  is the associated function of  $M_p$ ,  $N^\#$  is the associated function of  $p!/N_p$ , and  $N^*$  is the Young conjugate of the associated function  $N$  of  $N_p$ .

By Lemma 2.4,  $W_M^{N^*}$  is a space of type  $W$  and also the fact  $M_p N_p \supset p!$  implies  $M \subset N^\# \cong N^*$ . Hence  $W_M^{N^*}$  is a space of type  $W_0$ . Conversely, let  $\varphi \in W_M^{N^*}$ . Then the inequality (2.6) is satisfied. Hence by the Cauchy integral formula together with the inequality (2.6) we have

$$\begin{aligned} |\partial^\beta \varphi(x)| &= \left| \frac{\beta!}{(2\pi i)^n} \int_{|\zeta_j - x_j| = R} \frac{\varphi(\zeta) d\zeta_1 \dots d\zeta_n}{(\zeta_1 - x_1)^{\beta_1+1} \dots (\zeta_n - x_n)^{\beta_n+1}} \right| \\ &\leq C \frac{\beta!}{R^{|\beta|}} \sup_{|\zeta_j - x_j| \leq R} \exp[-M(a|\xi|) + N^*(b|\eta|)] \\ &\leq C \frac{\beta!}{R^{|\beta|}} \exp N^*(b'R) \sup_{|\zeta_j - x_j| \leq R} \exp[-M(a|\xi|)] \\ &= C \frac{\beta!}{R^{|\beta|}} \exp N^*(b'R) \exp[-M(a|\xi^0|)], \end{aligned} \quad (2.7)$$

where the quantity  $M(a|\xi|)$  attains its minimum at  $\xi = \xi^0$ . In fact, we can write  $\xi^0 = x + \theta R$  where  $\theta = (\theta_1, \dots, \theta_n)$ ,  $\theta_i = 0$  or  $\pm 1$ ,  $i = 1, 2, \dots, n$ . By the convexity of  $M$  and the relation  $M \subset N^*$ , we have

$$\begin{aligned} \exp[-M(a|\xi^0|)] &= \exp[-M(a|x + \theta R|)] \\ &\leq \exp[-M(a(|x| - |\theta R|))] \\ &\leq \exp\left[-M\left(\frac{a}{2}|x|\right)\right] \exp M(a_1 R) \\ &\leq \exp\left[-M\left(\frac{a}{2}|x|\right)\right] \exp N^*(a_2 R). \end{aligned}$$

Hence the inequality (2.7) is reduced to

$$\begin{aligned} |\partial^\beta \varphi(x)| &\leq C\beta! \frac{\exp[2N^*(a_2 + b')R]}{R^{|\beta|}} \exp\left[-M\left(\frac{a}{2}|x|\right)\right] \\ &\leq C\beta! \frac{\exp N^*[2(a_2 + b')R]}{R^{|\beta|}} \exp\left[-M\left(\frac{a}{2}|x|\right)\right]. \end{aligned} \quad (2.8)$$

Multiplying  $|x^\alpha|$  in both sides of (2.8), taking the supremum for  $|x|$ , and taking the infimum for  $R$  in the right hand side of (2.8) we have

$$|x^\alpha \partial^\beta \varphi(x)| \leq C_1 \beta! \inf_R \frac{\exp N^*(cR)}{R^{|\beta|}} \sup_x \frac{|x|^{|\alpha|}}{\exp M((a/2)|x|)}$$

$$\begin{aligned} &\leq C_1(2/a)^{|\alpha|} M_{|\alpha|} \beta! \left( \sup_R \frac{R^{|\beta|}}{\exp N^*(cR)} \right)^{-1} \\ &\leq C_1(c/a)^{|\alpha|} c^{|\beta|} M_{|\alpha|} \beta! \left( \frac{(|\beta|/e)^{|\beta|}}{N_{|\beta|}} \right)^{-1} \\ &\leq C_1 A^{|\alpha|} B^{|\beta|} M_{|\alpha|} N_{|\beta|}, \end{aligned}$$

where  $A = 2/a, B = ce$ .

It follows that  $W_M^{N^*} \subset S_{M_p}^{N_p}$ . Now, for a given space  $W_M^\Omega$  of type  $W_0$  let  $M_p$  and  $N_p$  be the defining sequences of  $M$  and  $\Omega^*$ . Then  $M_p$  and  $N_p$  satisfy (M.1)' and (M.1)\* by Lemma 2.4. Furthermore, the relation  $M \subset \Omega$  implies  $M_p \supset p!/N_p$  or  $M_p N_p \supset p!$ . Thus  $S_{M_p}^{N_p}$  is a space of type  $S_0$ . But by the first part of the proof, the space  $S_{M_p}^{N_p}$  is equal to  $W_{M_1}^{N_1^*}$  where  $M_1$  is the associated function of  $M_p$  and  $N_1^*$  is the Young conjugate of the associated function  $N_1$  of  $N_p$ . Also  $W_{M_1}^{N_1^*}$  is equal to  $W_M^\Omega$  since  $M_1$  and  $M$  are equivalent and  $N_1$  and  $\Omega^*$  are equivalent and so are  $N_1^*$  and  $(\Omega^*)^* = \Omega$ . Therefore we have  $W_M^\Omega = S_{M_p}^{N_p}$  which completes the proof. ■

Using the similar method as in Theorem 2.8 and Lemma 2.5 we obtain the following theorem.

**THEOREM 2.9.** *Let  $W_M$  and  $W^\Omega$  be spaces of type  $W$ . Then there exist spaces  $S_{M_p}$  and  $S^{N_p}$  of generalized type  $S$  such that  $W_M = S_{M_p}$  and  $W^\Omega = S^{N_p}$ . In this case, the sequences  $M_p$  and  $N_p$  satisfy the conditions (M.1)' and (M.1)\*. Conversely, if  $M_p$  and  $N_p$  satisfy the conditions (M.1)' and (M.1)\*, then the spaces  $S_{M_p}$  and  $S^{N_p}$  are equal to some spaces  $W_M$  and  $W^\Omega$  of type  $W$ , respectively.*

### 3. EQUALITY FOR THE SPACES OF GENERALIZED TYPE S AND TYPE W

Applying the results of the above section we prove, in this section, the equality (W) under the non-triviality condition  $M(x) \subset \Omega(y)$ . For this equality we first prove the equality (S) under the conditions which are satisfied by the defining sequences  $M_p$  and  $N_p$  of  $M(x)$  and  $\Omega^*(y)$ , respectively, where  $M(x) \subset \Omega(y)$ .

First we state Pathak's result on the equality (S).

**THEOREM 3.1 [P].** *Suppose that there exists a positive constant  $C$  such that*

$$N_{p+q} \geq C \binom{p+q}{p} N_p N_q, \quad p, q = 0, 1, \dots \tag{P}$$



and that (M.2) holds for  $N_p$  and (M.1) and (M.2) hold for  $M_p$ . Then the equality (S) holds.

But, we cannot apply this result to prove the equality (W) as the defining sequence  $N_p$  of  $\Omega^*(y)$  satisfies (M.1)\* (see Lemma 2.5) and (M.1)\* implies the following reverse inequality

$$N_{p+q} \leq C \binom{p+q}{p} N_p N_q, \quad p, q = 0, 1, \dots \quad (\text{P})^*$$

for some constant  $C$ .

For example, the condition (P) is not satisfied by  $N_p = p!^s$ ,  $0 < s < 1$ , which is the defining sequence of some  $\Omega^*(y)$  where  $\Omega(y)$  satisfies the condition (K). So we replace (P) by a natural condition

$$M_p N_p \supset p!$$

which is always satisfied if  $M(x) \subset \Omega(y)$ , and we modify the proof in [P] in order to make use of the condition  $M_p N_p \supset p!$  instead of (P).

Let  $M_p$ ,  $p = 0, 1, 2, \dots$ , be a sequence of positive numbers. We impose one of the following conditions on  $M_p$ :

(M.0) (Nontriviality)  $M_p \supset p!$ ;

(M.0') (Triviality)  $M_p \subset p!^s$ ,  $0 < s < 1$ .

We first prove the equality  $S_{M_p} \cap S^{N_p} = S_{M_p}^{N_p}$  for the case  $M_p N_p \supset p!$ , which is a generalization of the equality  $S_r \cap S^s = S_r^s$  for the case  $r + s \geq 1$ .

Making use of integration by parts, the Leibniz formula, and the Schwarz inequality we can obtain the following:

LEMMA 3.2. *If  $M_p$  and  $N_p$  satisfy the condition (M.2)', then the supremum norm  $\|\cdot\|_\infty$  and the  $L^2$ -norm  $\|\cdot\|_2$  are equivalent for the spaces of type S.*

THEOREM 3.3. *If  $M_p$  and  $N_p$  satisfy the conditions (M.1) and (M.2), and if  $M_p N_p$  satisfies (M.0), then the equality*

$$S_{M_p} \cap S^{N_p} = S_{M_p}^{N_p}$$

holds.

*Proof.* Using integration by parts, the Leibniz formula, and the Schwarz inequality as in [Ka] we obtain

$$\begin{aligned}
 \|x^\alpha \partial^\beta \varphi(x)\|_2^2 &= \int_{\mathbb{R}^n} [x^{2\alpha} \partial^\beta \varphi(x)] \partial^\beta \varphi(x) dx \\
 &\leq \sum_{\substack{k \leq 2\alpha \\ k \leq \beta}} \binom{\beta}{k} \binom{2\alpha}{k} k! \|\partial^{2\beta-k} \varphi(x)\|_2 \|x^{2\alpha-k} \varphi(x)\|_2 \\
 &\leq C^2 \sum_k \binom{\beta}{k} \binom{2\alpha}{k} k! A^{2(|\alpha|+|\beta|-|k|)} M_{|2\alpha|-|k|} N_{|2\beta|-|k|} \\
 &\leq C^2 A^{2(|\alpha|+|\beta|)} M_{|2\alpha|} N_{|2\beta|} \sum_k \binom{\beta}{k} \binom{2\alpha}{k} k! (M_{|k|} N_{|k|})^{-1} \\
 &\leq C^2 (2AH)^{2(|\alpha|+|\beta|)} M_{|\alpha|}^2 N_{|\beta|}^2.
 \end{aligned} \tag{3.1}$$

This implies that  $\varphi(x)$  belongs to  $S_{M_p}^{N_p}$  in view of Lemma 3.2.

The reverse inclusion is obvious, which completes the proof.

*Remark 3.4.* Let  $S_{M_p}^{N_p}$  be a space of type  $S_0$ . Then  $M_p$  and  $N_p$  satisfy (M.1) and (M.1)\*. Since (M.1)\* implies (P)\* and (P)\* implies (M.2), the equality (S) holds by Theorem 3.3.

**THEOREM 3.5.** *Let  $W_M^\Omega$  be a space of type  $W_0$ . Then the equality*

$$W_M \cap W^\Omega = W_M^\Omega$$

*holds.*

*Proof.* For given spaces  $W_M$ ,  $W^\Omega$ , and  $W_M^\Omega$  of type  $W_0$  there exist  $S_{M_p}$ ,  $S^{N_p}$ , and  $S_{M_p}^{N_p}$  of type  $S_0$  such that

$$W_M = S_{M_p}, \quad W^\Omega = S^{N_p}, \quad \text{and} \quad W_M^\Omega = S_{M_p}^{N_p}$$

by Theorem 2.8 and Theorem 2.9.

Since  $S_{M_p}^{N_p}$  is a space of type  $S_0$ , the equality  $S_{M_p} \cap S^{N_p} = S_{M_p}^{N_p}$  holds by Remark 3.4. Consequently, we have the equality  $W_M \cap W^\Omega = W_M^\Omega$ .

We now prove the triviality of the spaces  $S_{M_p}^{N_p}$  and  $S_{M_p} \cap S^{N_p}$  under the condition  $M_p N_p \subset p!^s$ ,  $0 < s < 1$ , which generalizes the equality  $\mathfrak{F}_r \cap S^s = S_r^s$  or the other case  $r + s < 1$ , which will complete the generalization of the quality (S0).

**THEOREM 3.6.** *If  $M_p$  and  $N_p$  satisfy the condition (M.2)' and  $M_p N_p$  satisfies (M.0)', then both the spaces  $S_{M_p}^{N_p}$  and  $S_{M_p} \cap S^{N_p}$  are trivial.*

*Proof.* If  $\varphi(x)$  belongs to  $S_{M_p}^{N_p}$  or  $S_{M_p} \cap S^{N_p}$ , then by the condition (M.0)',  $\varphi(x)$  is continued analytically into the complex plane as an entire analytic function. For  $\varphi(x) \in S_{M_p}^{N_p}$  we have  $\|x^\alpha \partial^\alpha \varphi(x)\|_\infty \leq CA^{|\alpha|} |\alpha|!^s$ ,  $0 < s < 1$ . Also, applying integration by parts, the Leibniz formula, and the Schwarz inequality we have for  $\varphi \in S_{M_p} \cap S^{N_p}$

$$\begin{aligned} \|x^\alpha \partial^\alpha \varphi(x)\|_\infty &\leq CA^{|\alpha|} \left( \sum_{\substack{k \leq \alpha \\ k \leq (1, \dots, 1)}} \|x^{\alpha-k+1} \partial^{\alpha-k+1} \varphi(x)\|_2 \right. \\ &\quad \left. + \sum_{\substack{k \leq \alpha \\ k \leq (1, \dots, 1)}} \|x^{\alpha-k} \partial^{\alpha-k+1} \varphi(x)\|_2 \right) \end{aligned}$$

for some constants  $C$  and  $A$ .

Replacing  $\alpha, \beta$  by  $\alpha - k + 1$  in (3.1), we have

$$\begin{aligned} \|x^{\alpha-k+1} \partial^{\alpha-k+1} \varphi(x)\|_2^2 &\leq C^2 (2A)^{4|\alpha|} \sum_{j \leq \alpha+1} j! M_{|2\alpha+2-j|} N_{|2\alpha+2-j|} \\ &\leq C^2 (2A)^{4|\alpha|} \sum_{j \leq \alpha+1} |j|!^{1-s} (|j|! |2\alpha+2-j|!)^s \\ &\leq C_1^2 A_1^{2|\alpha|} |\alpha|!^{1+s}. \end{aligned}$$

Similarly, we have  $\|x^{\alpha-k} \partial^{\alpha-k+1} \varphi(x)\|_2^2 \leq C_2^2 A_2^{2|\alpha|} |\alpha|!^{1+s}$ . Therefore, in view of Lemma 3.2 we obtain that

$$\|x^\alpha \partial^\alpha \varphi(x)\|_\infty \leq C_3 A_3^{|\alpha|} |\alpha|!^{(1+s)/2} \leq C_3 A_4^{|\alpha|} |\alpha|!^{(1+s)/2}$$

for  $\varphi(x) \in S_{M_p} \cap S^{N_p}$ .

Now it is easy to show that if an entire analytic function  $\varphi(\zeta)$  on  $\mathbb{C}^n$  satisfies the inequality

$$\|\xi^\alpha \partial^\alpha \varphi(\xi)\|_\infty \leq CA^{|\alpha|} |\alpha|!^s, \quad 0 < s < 1, \tag{3.2}$$

then  $\varphi(\zeta)$  degenerates to a constant function.

In fact, by the Taylor expansion we obtain

$$\partial^\beta \varphi(0) = \sum_{\alpha} \partial^{\alpha+\beta}(\xi) (-\xi)^\alpha / \alpha!.$$

By letting  $|\xi| \rightarrow \infty$ , (3.2) implies that  $\partial^\beta \varphi(\mathbf{0}) = \mathbf{0}$  for  $\beta \neq \mathbf{0}$ , hence  $\varphi$  is constant. Therefore the spaces  $S_{M_p}^{N_p}$  and  $S_{M_p} \cap S^{N_p}$  are trivial.

## ACKNOWLEDGMENTS

This research is partially supported by Ministry of Education and GARC. Also, we thank the referees for helpful suggestions, especially for the proof of Theorem 3.6.

## REFERENCES

- [BMT] R. W. Braun, R. Meise, and B. A. Taylor, Ultradifferentiable functions and Fourier analysis, *Resultate Math.* **17** (1990), 206–237.
- [CCK1] J. Chung, S.-Y. Chung, and D. Kim, Une caractérisation de l'espace de Schwartz, *C. R. Acad. Sci. Paris Sér. I Math.* **316** (1993), 23–25.
- [CCK2] J. Chung, S.-Y. Chung, and D. Kim, Characterizations of the Gelfand–Shilov spaces via Fourier transforms, *Proc. Amer. Math. Soc.*, in press.
- [CK] S.-Y. Chung and D. Kim, Representation of quasianalytic ultradistributions, *Ark. Mat.* **31** (1993), 51–60.
- [CKK] S.-Y. Chung, D. Kim, and S. K. Kim, Equivalence of the spaces of ultradifferentiable functions and its application to the Whitney extension theorem, *Rend. Mat. Appl. (7)* **12** (1992), 365–380.
- [GS] I. M. Gelfand and G. E. Shilov, “Generalized Functions, II, III,” Academic Press, New York/London, 1967.
- [H] L. Hörmander, “The Analysis of Linear Partial Differential Operator, I,” Springer-Verlag, Berlin/New York, 1983.
- [Ka] A. I. Kashpirovsky, Equality of the spaces  $S_\alpha^\beta$  and  $S_\alpha \cap S^\beta$ , *Functional Anal. Appl.* **14** (1978), 60.
- [K] H. Komatsu, Ultradistributions, I, *J. Fac. Sci. Univ. Tokyo Sect. IA* **20** (1973), 25–105.
- [P] R. S. Pathak, Tempered ultradistributions as the boundary values of analytic functions, *Trans. Amer. Math. Soc.* **286** (1984), 537–556.
- [T] F. Trèves, “Linear Partial Differential Equations with Constant Coefficients,” Gordon & Breach, New York, 1966.