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**Synthesis of iron sulfide and iron oxide nanocrystal thin films for  
green energy applications**

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117602, Singapore***Abstract**

Solar radiation and hydrogen generation via splitting water molecules have been recognized as sustainable and clean energy sources having great industrial potentials. In this regard, thin film materials for photovoltaic and photoanodes applications have been widely developed in the last decade. For thin film photovoltaic applications, taking material availability, extraction/processing cost, energy conversion efficiency, and eco-friendliness into account, FeS<sub>2</sub> (pyrite) has been predicted to hold the leading position among the most plausible candidates such as Cu<sub>2</sub>S, Cu<sub>2</sub>O, CuO, etc. On the other hand, feasible water splitting on nanostructured surface and/or hybrid nanostructures has been observed in the last few years. In terms of processing cost, feasibility in scale-up for mass production, material engineering for efficiency, etc., physical vapor depositions (PVDs), e.g., magnetron-sputtering deposition, have great advantages. Here, we present our recent studies on synthesis of iron sulfide and oxide layered and nanostructured films by combining PVD and thermal vapor sulfurization/oxidation techniques.

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**1. Introduction**

Iron-VI compounds, such as iron sulfide and iron oxide, are important functional materials and have attracted considerable experimental and theoretical interests in the past decades. The unique physical properties of the

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nonmagnetic semiconductor  $\text{FeS}_2$  (pyrite) have made it a potential photovoltaic (PV) absorber with high-efficiency and low-cost [1]. The magnetic half-metal  $\text{Fe}_3\text{S}_4$  (greigite) and  $\text{Fe}_3\text{O}_4$  (magnetite) have the equivalent formula and the inverse cubic spinel structure, and their nanostructures have proved extremely important in ultrahigh-density magnetic storages [2], spintronic devices [3], and catalysts for protometabolism [4]. Feasible water splitting on the surface of composite catalyst/ $\alpha\text{-Fe}_2\text{O}_3$  photoanodes and crystalline  $\text{Fe}_3\text{O}_4$  surface to generate hydrogen, which has been recognized as another potential clean energy source beside solar radiation, have also been observed in the last few years. In PV applications, the  $\text{FeS}_2$  thin films are generally synthesized either by crystal growth, e.g., molecular beam epitaxy (MBE), metal-organic chemical vapor deposition (MOCVD), magnetron-sputtering, etc., or by sulfurizing Fe and/or Fe-content compound thin films. In contrast, the synthesis of  $\text{Fe}_3\text{O}_4$  and  $\text{Fe}_3\text{S}_4$  for water splitting or other electrochemical applications is dominant by chemical bath depositions (CBDs). In the case of sulfurizing,  $\text{Fe}_3\text{S}_4$  is a natural intermediate on the polysulfide pathway to  $\text{FeS}_2$ .  $\text{Fe}_3\text{S}_4$  is also feasibly converted into  $\text{Fe}_3\text{O}_4$  due to their equivalent formula and the inverse cubic spinel structure. In this paper we report on the conversion of  $\text{Fe}_3\text{S}_4$  to both  $\text{FeS}_2$  and  $\text{Fe}_3\text{O}_4$  by using a horizontal tube-furnace thermal treatment system. For these studies, we have employed x-ray diffraction (XRD), back-scattering Raman spectroscopy, and scanning-electron microscopy (SEM).

## 2. Experiments

### 2.1. Magnetron sputtering deposition of $\text{Fe}_3\text{S}_4$ thin films

The nanocrystal  $\text{Fe}_3\text{S}_4$  thin films with various thicknesses were deposited on Si and glass substrates at room temperature using a dc-magnetron sputtering technology. During the sputtering deposition, pure argon ( $> 99.999\%$ ) was used as the working gas; the gas flow rate and the work pressure were set at 10 SCCM and  $4 \times 10^{-3}$  Torr, respectively. Before sputtering, the chamber was pumped down to  $\sim 2 \times 10^{-6}$  Torr with the help of a liquid-nitrogen cooling system to minimize the undesired contaminations. A commercial (Super Conductor Materials, Inc.) 3-inch conductive  $\text{Fe}_{0.95}\text{S}_{1.05}$  (99.99%) target was used as the source material. The dc-power applied on the target was  $\sim 100$  W, which led to a growth rate of about 0.2-nm/s under certain sputtering conditions. Before loading into the sputtering deposition chamber, the Si substrates were etched in a buffered hydrofluoride solution followed by cleaning in deionized water to remove the native oxide skin layer. The glass substrates were cleaned by sinking in a mixture of  $\text{H}_2\text{O}_2$  and  $\text{H}_2\text{SO}_4$  (1:3) heated at  $120^\circ\text{C}$  for 20 minutes.

### 2.2. Sulfurizing and oxidation of $\text{Fe}_3\text{S}_4$ thin films

Post-growth thermal treatment (i.e., sulfurizing and oxidation) of the  $\text{Fe}_3\text{S}_4$  thin films were carried out in a tube-furnace system, which is schematically shown in Fig. 1. The detailed experiment procedures can be found in our earlier publications [5, 6]. It should be noted here that there was no oxygen source intentionally supplied during the oxidation process [see Fig. 1(b)]. The phenomenon of thermal oxidation without supplying intentional oxygen has also been observed in our recent experiments for rapid thermal annealing of InN [7]. The sulfurization process was carried out at  $400^\circ\text{C}$  for 2 hours while the oxidation was carried out at  $350\text{--}550^\circ\text{C}$  for 2 hours.

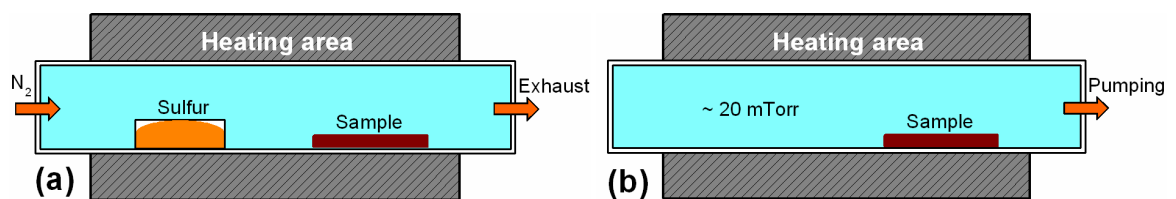


Fig. 1. Schematic diagrams of the tube-furnace system used for the sulfurizing (a) and oxidation (b) of  $\text{Fe}_3\text{S}_4$  to generate  $\text{FeS}_2$  and  $\text{Fe}_3\text{O}_4$ , respectively.

Before and after the sulfurization/oxidation, the thin film samples were characterized by employing a general-area-detector XRD system (GADDS, Bruker-D8), which has the great advantage of being highly sensitive to crystal phase structures. Raman scattering was carried out at room temperature using a 488-nm laser as the excitation source in a backscattering configuration. The surface morphology evolutions were recorded by using a field-emission SEM (JEOL JSM-6700).

### 3. Results and discussion

#### 3.1. Deposition of $Fe_3S_4$ thin films

Figure 2(a) shows the XRD curves measured from the sputter-target and a 1.3- $\mu\text{m}$  thin film deposited on Si using the target. The patterns, shown together with the XRD curves in Fig. 2(a), are the best matched ones that were automatically searched out from the JCPDS database. The XRD curves and their matched patterns reveal that the target material is basically  $Fe_{0.95}S_{1.05}$  while the film deposited from the target atop the substrate under the chosen sputtering deposition conditions is  $Fe_3S_4$ . It has to be noted that the XRD curves collected from the films with thicknesses smaller than 100 nm do not exhibit any diffraction features most likely due to the undeveloped crystallizations at room temperature [8]. The increased S-to-Fe ratio in the film (1.33) as compared to that in the target material (1.11) implies that the sputter yield of S is larger than that of Fe, most likely due to the light atom of S and the magnetic property of Fe. An increase of sulfur incorporation in the target material led to target powdering during the magnetron-sputtering manifested itself by sparking and flashing of the arcing. It is also found that an increase in the film thickness will give rise to film cracking. In this regard, the films used in the post-growth thermal annealing/processing are generally thinner than 1.3  $\mu\text{m}$ . Figure 2(b) shows the top-viewed SEM image recorded from the 1.3- $\mu\text{m}$  thick  $Fe_3S_4$  film. It is clearly seen that rice-shape-like clusters, consisting of nanoscale crystals, were formed in the film.

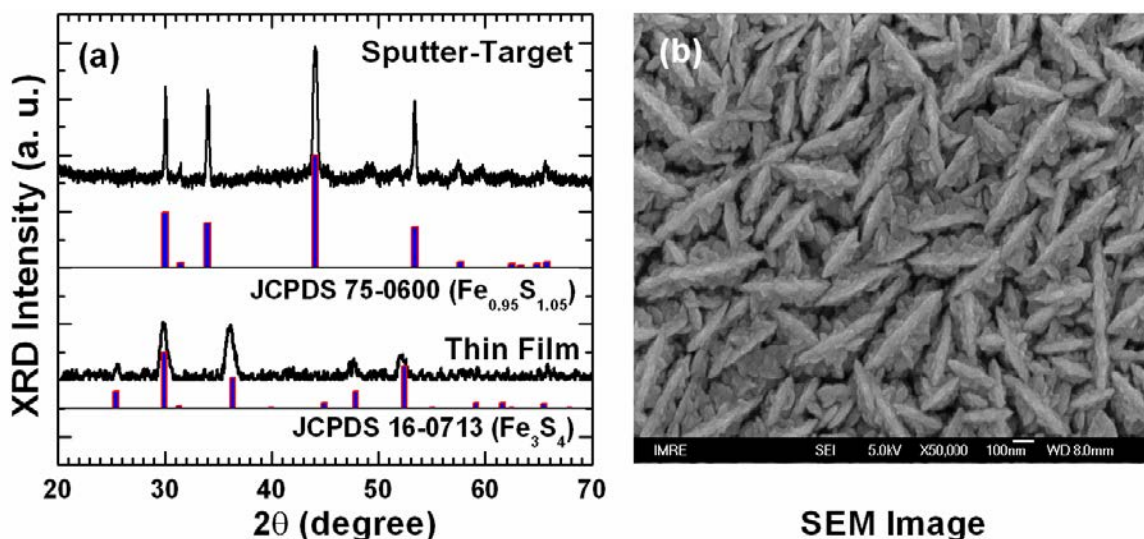


Fig. 2. (a) XRD curves collected from the sputter-target and the thin film deposited from the target onto a silicon substrate using the chosen sputtering deposition parameters, the patterns are the best matches found out from the JCPDF database; (b) top-viewed SEM image recorded from the as-deposited  $Fe_3S_4$  film with the nominal thickness of 1.3  $\mu\text{m}$ , showing the rice-shape-like clusters consisted of nanoscale crystals.

### 3.2. Sulfurization of $Fe_3S_4$ thin films

Figures 3(a) and 3(b) present the XRD curves collected from the 100-nm and 500-nm thick  $Fe_3S_4$  thin films, respectively, after their sulfurization. Likewise, the patterns shown together with the XRD curves are the best matches from the JCPDS database. It is clearly seen that both the *thin* and the *thick* films are completely converted into pyrite  $FeS_2$  in a pure crystal-phase structure. Figures 3(c) and 3(d), which were recorded from the 500-nm thick film before and after sulfurization, show that the rice-shape-like structures have developed into a layered film with smooth surface and an increased packing density. We have mentioned above that the XRD curve of the as-deposited 100-nm thick  $Fe_3S_4$  film does not exhibit any diffraction features. In comparison, the sharp and strength diffraction peaks in Fig. 3(a) reveal that the crystal quality of the thin film was greatly improved during the post-growth thermal sulfurization via rearrangement of atoms (i.e., recrystallization) at elevated temperatures [9]. As a consequence, the surface of thin film is smoothened and the packing density is enhanced as compared to the as-deposited  $Fe_3S_4$  sample.

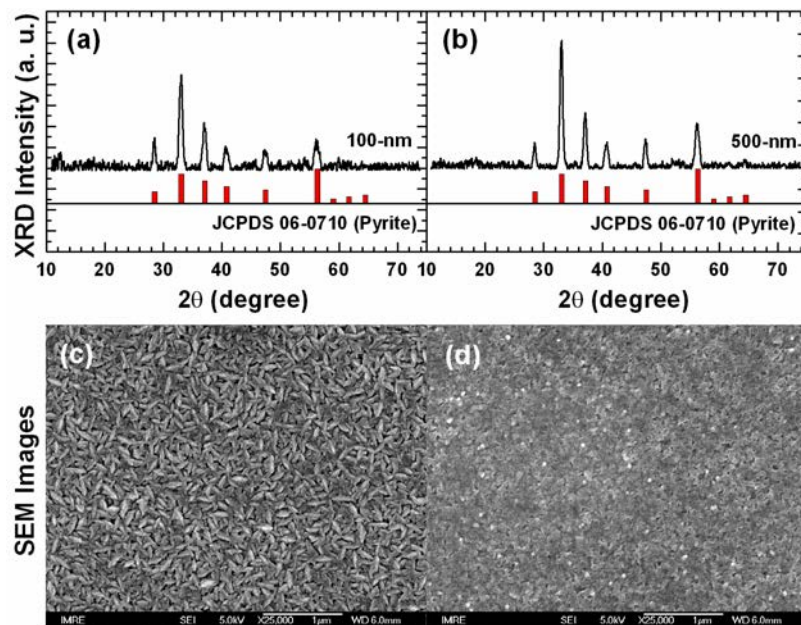


Fig. 3. XRD spectra collected from sulfurized  $Fe_3S_4$  films with the thicknesses of (a) 100-nm and (b) 500-nm, the patterns are the best matches found out from the JCPDF data base; (c) and (d) are the SEM images recorded from the 500-nm sample before and after sulfurizing.

### 3.3. Oxidation of $Fe_3S_4$ thin films

Oxidation was carried out for a 1.3  $\mu m$  thick  $Fe_3S_4$  film at 350, 450, and 550  $^{\circ}C$ . Figures 4(a) and 4(b) show the XRD and Raman scattering spectral evolutions as a function of oxidation temperatures. The features indicated by asterisks are from  $Fe_3S_4$ . For the XRD curves, based on the best pattern matching using the JCPDS database, we found that the  $Fe_3S_4$  nanocrystal film completely converted into  $Fe_3O_4$  through  $Fe_7S_8$ . The evolution in diffraction peak intensities of both  $Fe_7S_8$  and  $Fe_3O_4$ , when the oxidation temperature is increased, reveals that the reaction of  $Fe_3S_4$  with oxygen at elevated temperatures to generate  $Fe_7S_8$  and  $Fe_3O_4$  occurred simultaneously, especially at lower oxidation temperature. When the oxidation temperature is further increased, the reaction of  $Fe_7S_8$  with oxygen generates  $Fe_3O_4$ . No intermediate crystal-phase structure other than  $Fe_7S_8$  was observed on the pathway from  $Fe_3S_4$  to  $Fe_3O_4$ . The increase in the diffraction peak intensities of  $Fe_3O_4$  also indicates the improvement in crystal quality at

higher oxidation temperatures. This result is also consistent with the Raman scattering spectral evolutions in Fig. 4(b), where the Raman features, typically  $T_{2g}$  (2),  $T_{2g}$  (3), and  $A_{1g}$  of  $Fe_3O_4$  [10], monotonically increase in intensities with the increase in oxidation temperatures.

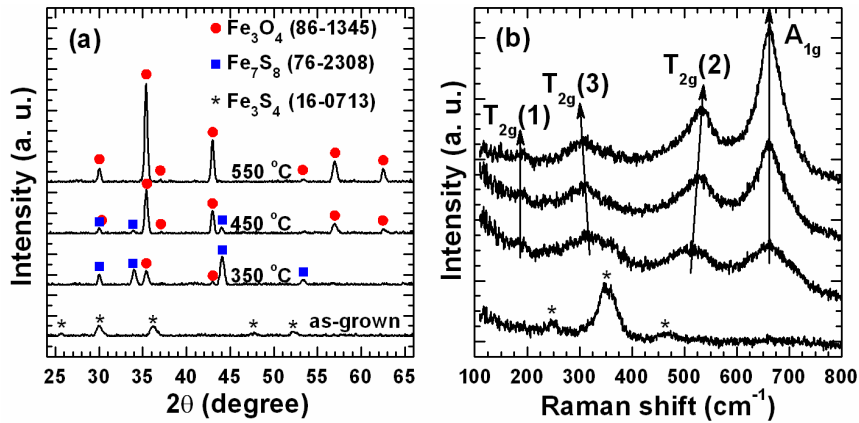


Fig. 4. XRD (a) and Raman scattering (b) spectral evolutions of  $Fe_3S_4$  thin film (1.3- $\mu m$  in thickness) upon post-growth thermal oxidation at 350, 450, and 550 °C. The Raman spectra were collected at room temperature using a 488-nm laser as the excitation source.

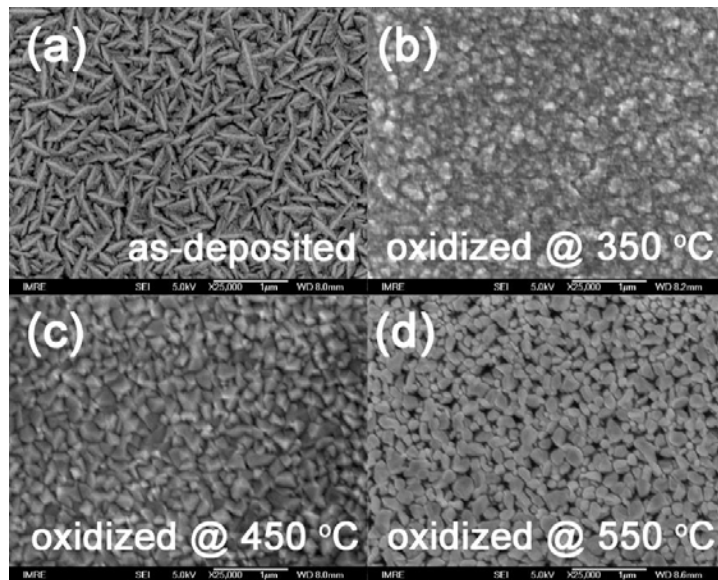


Fig. 5. SEM images recorded from a 1.3- $\mu m$  thick nanocrystal  $Fe_3S_4$  film (a) as-deposited, (b) oxidized at 350 °C, (c) oxidized at 450 °C, and (d) oxidized at 550 °C.

Presented in Fig. 5 are the morphology changes of the  $Fe_3S_4$  thin film as a function of thermal oxidation temperatures increasing from 350, to 450 and 550 °C. It is seen that the thermal oxidation at lower temperature, i.e., 350 °C [Fig. 5(b)], somehow dissociated and totally modified the rice-shape-like clusters. The structural

morphologies of the low-temperature oxidized sample seem more like crystal grains of  $\sim 100$  nm and fine particles of  $\sim 10$ - $30$  nm in diameters. When the oxidation temperature is increased to  $450$  °C, the crystal grains are much clearer and the grain sizes are increased [Fig. 5(c)]. Crystal facets can now be clearly observed. These facets correspond to adjacent  $\{111\}$  atomic planes of a cubic crystal [11]. The fine particles, as those observed in Fig. 5(a) and 5(b), have disappeared from Fig. 5(c). However, one can see in Fig. 5(c) that the grain sizes are not uniform and some small grains are distributed in between bigger ones. An additional increase in the oxidation temperature to  $550$  °C further increased the grain sizes [see Fig. 5(d)]. Meanwhile, those small grains that distributed in between bigger ones are now replaced by nanoscale pores. In terms of the XRD and Raman scattering results shown above in Fig. 4, the morphologies observed in Figs. 5(b), 5(c), and 5(d) are mainly from  $\text{Fe}_3\text{O}_4$  grains. Based on the SEM observations, we may draw a conclusion that grains movement and coalescence of  $\text{Fe}_3\text{O}_4$  occurred during the thermal oxidation, which led to the improvement in crystal quality, supporting the XRD and Raman scattering results.

#### 4. Conclusion

In conclusion,  $\text{Fe}_3\text{S}_4$  nanocrystal thin films have been deposited on Si and glass substrates by magnetron-sputtering at room temperature from a  $\text{Fe}_{0.95}\text{S}_{1.05}$  target material. In a tube-furnace system with sulfur supplied in a crucible placed in the up-stream of the nitrogen carrier gas, the  $\text{Fe}_3\text{S}_4$  thin film can be converted into pure  $\text{FeS}_2$  without any other crystal-phase incorporation. In the same post-growth heat treatment system, without intentionally supplying any other source, the  $\text{Fe}_3\text{S}_4$  thin film can be oxidized into  $\text{Fe}_3\text{O}_4$ .  $\text{Fe}_7\text{S}_8$  is the dominant mediate crystal-phase on the pathway from  $\text{Fe}_3\text{S}_4$  to  $\text{Fe}_3\text{O}_4$  at relatively lower oxidation temperatures. At higher temperatures,  $\text{Fe}_3\text{S}_4$  can be directly converted into  $\text{Fe}_3\text{O}_4$ . The obtained single phase nanocrystal  $\text{FeS}_2$  thin film has great potential in photovoltaic application while both the  $\text{Fe}_3\text{S}_4$  and  $\text{Fe}_3\text{O}_4$  nanocrystal thin films might have important consequence in water-splitting for hydrogen generations.

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