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# IMMERSIONS AND EMBEDDINGS OF DOLD MANIFOLDS

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### INTRODUCTION

THE MAIN RESULT of this paper is a non-immersion and non-embedding theorem for Dold manifolds P(m, n) (see §1) in Euclidean space. P(m, 0) and P(0, n) are real and complex projective spaces of real dimensions m and 2n, respectively, so that the set  $\{P(m, n)\}$  contains the usual test spaces for immersion and embedding.

Let  $\varphi(n)$  = the number of integers s with  $0 < s \le n$  and  $s \equiv 0, 1, 2$  or 4 mod 8;

 $\bar{\sigma}(m, n)$  = the largest integer s for which  $2^{s-1} \binom{m+n+s}{s}$  is not divisible by  $2^{\varphi(n)}$ ;

$$\sigma^*(m, n) = \begin{cases} \max\left(\bar{\sigma}(m, n), 2\left[\frac{n}{2}\right]\right) & \text{if } m > 0.\\ 2\left[\frac{n}{2}\right] & \text{if } m = 0, \end{cases}$$

where  $\begin{bmatrix} n \\ 2 \end{bmatrix}$  denotes the integral part of  $\frac{n}{2}$ . Then our result can be stated

THEOREM 2.12. (i) P(m, n) cannot be immersed in  $R^{m-2n+\sigma^*(m,n)-1}$ , (ii) P(m, n) cannot be embedded in  $R^{m+2n+\sigma^*(m,n)}$ .

Note that  $\sigma^*(m, O) = \overline{\sigma}(m, O) = \sigma(m)$ , where  $\sigma$  is defined in [2], and so (2.12) can be viewed as an extension of Atiyah's Theorem (5.1) of [2]. Indeed, we prove (2.12) using the methods of [2], i.e. *K*-theory.

The arrangement of the paper is as follows. In §1 we recall the basic properties of P(m, n) and determine its stable tangent bundle in terms of two canonical bundles. In §2 the rings KU(P(m, n)) and KO(P(m, n)) are partially determined. The Grothendieck operators  $\gamma^i$  are computed and applied to give (2.12). In conclusion §3 collects together some remarks about the implications of characteristic classes for immersing and embedding P(m, n) in Euclidean space.

Our notation is basically derived from [2], [4] and [10]. Minor changes are explicitly given as needed.

<sup>†</sup> The material of this paper represents a portion of a thesis submitted to the University of California, Berkeley, in partial fulfillment of the requirements for the degree of Doctor of Philosophy.

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### **§1. DOLD MANIFOLDS**

## Cohomology

Let  $S^m \subset R^{m+1}$  be the usual *m*-sphere and  $CP_n$  the usual complex projective *n*-space. Then P(m, n) is the Dold manifold of dimension m + 2n obtained from  $S^m \times CP_n$  by identifying (x, z) with  $(-x, \overline{z})$ , where  $(x, z) \in S^m \times CP_n$ . P(m, 0) and P(0, n) are readily seen to be  $RP_m$  and  $CP_n$ , respectively.

The canonical map  $\Phi: S^m \times CP_n \to P(m, n)$  defines a two-fold covering. The map  $p: P(m, n) \to RP_m$  induced by the projection  $S^m \times CP_n \to S^m$  defines an analytic fibration with fibre  $CP_n$  and structure group  $Z_2$  (conjugation is the non-trivial element of  $Z_2$ ). If  $m' \leq m$  and  $n' \leq n$ , then there is an obvious inclusion  $i': P(m', n') \to P(m, n)$ , which, for the cases (m', n') = (m, 0) and (m', n') = (0, n), we denote by *i* and *j*, respectively.

In [4] P(m, n) is given a cell decomposition with a k-cell  $(C_i, D_j)$  for every pair (i, j),  $i, j \ge 0$ , for which  $i + 2j = k \le m + 2n$ . Moreover,  $\Phi$ , p and i' are cellular maps when  $S^m \times CP_n$  is given an appropriate cell decomposition [4]. The boundary operator satisfies

(1.1) 
$$\hat{c}(C_i, D_j) = (1 + (-1)^{i+j})(C_{i-1}, D_j), i > 0,$$
$$\hat{c}(C_0, D_j) = 0.$$

Let  $c^i d^j$  denote the cochain which assigns 1 to  $(C_i, D_j)$  and 0 to all other (i + 2j)-cells. Then  $c^i d^j$  defines an (i + 2j)-dimensional mod 2 cohomology class which is natural with respect to the inclusion *i*'. In particular, *c* and *d* define 1-dimensional and 2-dimensional classes, respectively.

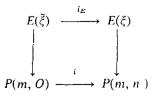
Dold's determination of the ring structure of  $H^*(P(m, n); Z_2)$  [4] can be described:

(1.2) 
$$H^*(P(m, n); Z_2) \cong \left(\frac{Z_2[c]}{c^{m+1}}\right) \otimes \left(\frac{Z_2[d]}{d^{n+1}}\right).$$

Moreover, (1.1) determines the additive structure of  $H^*(P(m, n); Z)$ . We note only that  $H^2(P(m, n); Z) \cong Z_2$  if  $m \ge 2$ , with the generator reducing mod 2 to  $c^2$ .

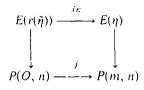
### The Tangent Bundle

The tilde notation "~" will be used throughout for objects (bundles, classes) defined for  $RP_m$  or  $CP_n$ . Define a line bundle  $\xi$  over P(m, n) whose total space  $E(\xi)$  is  $S^m \times CP_n \times R$ mod the identification  $(x, z, t) \sim (-x, \overline{z}, -t)$ . For  $n = 0, \xi$  is just the canonical line bundle  $\overline{\xi}$  over  $P(m, O) = RP_m$  [10] and so we obtain a bundle map  $(i, i_E)$ 

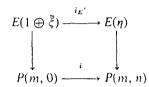


implying that  $i^*\xi = \tilde{\xi}$ . Since  $w_1(\tilde{\xi}) = c$  [10], we have by (1.2),  $w_1(\xi) = c$ .

Let c and r denote the usual operations of complexification and decomplexification. Represent  $CP_n$  as the unit sphere  $S^{2n+1} \subset C^{n+1}$  mod the identification  $u \sim \lambda u$ ,  $u \in S^{2n+1}$ ,  $\lambda \in C$  with  $|\lambda| = 1$ . Define a real 2-plane bundle  $\eta$  over P(m, n) whose total space  $E(\eta)$  is  $S^m \times S^{2n+1} \times C$  mod the identifications  $(x, u, w) \sim (x, \lambda u, \lambda w) \sim (-x, \overline{\lambda u}, \overline{\lambda w}) \sim (-x, \overline{u}, \overline{w})$ ,  $\lambda$  as above. For m = 0,  $\eta$  is just the canonical complex line bundle  $\overline{\eta}$  over  $P(0, n) = CP_n$ considered as a real bundle (denoted  $r(\overline{\eta})$ ); thus we obtain a bundle map  $(j, j_E)$ 



implying  $j^*\eta = r(\tilde{\eta})$ . From [10],  $w_2(r(\tilde{y})) = \tilde{d} = j^*w_2(\eta)$ . The map  $S^m \times S^{2n+1} \times R^2 \to S^m \times S^{2n+1} \times C$  given by  $(x, u; t_1, t_2) \to (x, u; t_1 + it_2)$  induces a bundle map  $(i, i'_E)$ 



whence  $i^*\eta = 1 \oplus \tilde{\xi}$ . So  $w_1(\eta) = c$  by (1.2). The equivalences  $i^*\eta = 1 \oplus \tilde{\xi}$  and  $j^*\eta = r(\tilde{\eta})$  together with (1.2) imply that  $w_2(\eta) = d$  and so  $w(\eta) = 1 + c + d$ .

For any line bundle  $\beta$ ,  $\beta \otimes \beta = 1$ ; in particular,  $\zeta \otimes \zeta = 1$ . Moreover, the map  $S^m \times S^{2n+1} \times (R \otimes C) \to S^m \times S^{2n+1} \times C$  given by  $(x, u; t \otimes w) \to (x, u; itw)$  induces a bundle equivalence  $(i', g_E)$ 

and so  $\xi \otimes \eta = \eta$ .

The above remarks are summarized in the following:

**PROPOSITION** (1.4). There exist a 1-plane bundle  $\xi$  and a 2-plane bundle  $\eta$  over P(m, n) such that

- (i)  $w(\xi) = 1 + c, w(\eta) = 1 + c + d;$
- (ii)  $i^*\xi = \tilde{\xi}, j^*\eta = r(\tilde{\eta}), i^*\eta = 1 \oplus \tilde{\xi};$
- (iii)  $\xi \otimes \xi = 1, \xi \otimes \eta = \eta.$

Our main objective in this section is the following generalization of Theorems 2 and 27 of [10]. Let  $\tau$  denote the tangent bundle of P(m, n).

Theorem (1.5).  $\tau \oplus \xi \oplus 2 = (m+1)\xi \oplus (n+1)\eta$ .

*Proof.* Write  $\zeta = (m+1)\zeta \oplus (n+1)\eta$  and  $X = S^m \times S^{2n+1} \times R^{m+1} \times C^{n+1}$ . Then  $E(\zeta)$  is the set of all  $(x, u, y, v) \in X$  mod the identifications  $(x, u, y, v) \sim (x, \lambda u, y, \lambda v) \sim (-x, \overline{\lambda u}, -y, \overline{\lambda v}) \sim (-x, \overline{u}, -y, \overline{v})$ , where  $\lambda \in C$  with  $|\lambda| = 1$ . Let  $\langle \rangle$  and () denote the real and complex inner products of  $R^{m+1}$  and  $C^{n+1}$ , respectively. Then  $E(\tau)$  is the subset of  $E(\zeta)$  of all (x, u, y, v) satisfying  $\langle x, y \rangle = 0$  and (u, v) = 0. Since  $E(\tau) \subset E(\zeta)$ , we have  $\tau \oplus v^3 = \zeta$ , where  $v^3$  (the orthogonal complement of  $\tau$  in  $\zeta$ ) has total space  $E(v^3)$  given by  $\{(x, u, \alpha x, \beta u) \in X : \alpha \in R, \beta \in C\}$  mod the identifications above. Now  $E(2 \oplus \zeta)$  is given by  $S^m \times CP_n \times R^3$  mod the identifications  $(x, u; t_1, t_2, t_3) \sim (x, \lambda u; t_1, t_2, t_3) \sim (-x, \overline{\lambda u}; t_1, t_2, -t_3)$  and so the map  $S^m \times S^{2n+1} \times R^3 \to E(v^3)$  given by  $(x, u; t_1, t_2, t_3) \to (x, u; t_1x, (t_2 + it_3)u)$  induces a bundle equivalence  $(t', h_E)$ 

$$E(2 \oplus \xi) \xrightarrow{h_{\mathcal{E}}} E(v^{3})$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$P(m, n) = P(m, n)$$

Thus  $v^3 = 2 \oplus \zeta$ .

2. KU(P(m, n)) AND KO(P(m, n))

#### Additive and Multiplicative Structures

KU(P(m, n)) and KO(P(m, n)) are completely determined in [14]. Here we compute only the summands containing the tangent bundle of P(m, n); they will be invariant under the operators  $\gamma^{i}$ .

We make use of the following known results for KU(X) and KO(X), where  $X = RP_m$ ,  $CP_n$ . Recall that  $\xi$  denotes the canonical real line bundle over  $RP_m$  and  $\eta$  the canonical complex line bundle over  $CP_n$ . Let  $c(\alpha)$  be denoted  $\alpha_c$  for any real bundle  $\alpha$ . Write  $\tilde{x} = \xi - 1$ ,  $\tilde{x}_1 = c(\tilde{x})$ ,  $\tilde{y}_1 = \eta - 1_c$  and  $\tilde{y} = r(\tilde{y}_1)$ . Set  $g = \left[\frac{m}{2}\right]$ ,  $h = \left[\frac{n}{2}\right]$  and  $f = \varphi(m)$ , (see the introduction for the definition of  $\varphi$ ). Let  $Z_k$  denote the cyclic group of order k and  $Z^k$  the free abelian group of rank k.

THEOREM (2.1).  $\tilde{K}O(RP_m) = Z_{2I}$ , generated by  $\tilde{x}$  with the multiplicative relation  $\tilde{x}_1^2 = -2\tilde{x}$ .

THEOREM (2.2).  $\tilde{K}U(RP_m) = Z_{2^m}$ , generated by  $\tilde{x}_1$  with the multiplicative relation  $\tilde{x}^2 = -2\tilde{x}_1$ .

THEOREM (2.3).  $KO(CP_n)$  is the truncated polynomial ring (over Z) with one generator  $\tilde{y}$  satisfying the relations

(i) if n = 2t, then  $\tilde{y}^{t+1} = 0$ ,

- (ii) if n = 4t + 1, then  $2\tilde{y}^{2t+1} = 0$  and  $\tilde{y}^{2t+2} = 0$ ,
- (iii) if n = 4t + 3, then  $\tilde{y}^{2t+2} = 0$ .

THEOREM (2.4).  $KU(CP_n) = \frac{Z[\tilde{y}_1]}{\tilde{y}_1^{n+1}}$ .

(2.1), (2.2) and (2.4) are proven in [1]; (2.3) is proven in [11], with the use of the following lemma.

LEMMA (2.5)  $c(\tilde{y}^s) = (\tilde{y}_1^2 - \tilde{y}_1^3 + ... + (-1)^n \tilde{y}_1^n)^s$ .

As a consequence of (2.5) we have

LEMMA (2.6). 1,  $\tilde{y}_1, \tilde{y}_1^3, ..., \tilde{y}_1^{2k-1}, \tilde{w}_1, \tilde{w}_1^2, ..., \tilde{w}_1^h$  forms an additive basis for  $KU(CP_n)$ , where  $\tilde{w}_1 = \tilde{y}_1 + \tilde{y}_1^*$ , \* denotes conjugation and k = n - h.

**Proof.** By (2.4) 1,  $\tilde{y}_1, \tilde{y}_1^2, ..., \tilde{y}_1^n$  forms an additive basis for  $KU(CP_n)$  and so we need only check that the (additive) homomorphism f defined by  $f(\tilde{y}_1^{2i+1}) = \tilde{y}_1^{2i+1}$  and  $f(\tilde{y}_1^{2i}) = \tilde{w}_1^i$ is a (group) automorphism of  $KU(CP_n)$ . This fact, however, is an immediate consequence of (2.5) which shows that the matrix defined by f (with respect to the basis 1,  $\tilde{y}_1, \tilde{y}_1^2, ..., \tilde{y}_1^n$ ) is triangular with every diagonal entry equal to 1.

Write  $x = \xi - 1$ ,  $x_1 = c(x)$ ,  $z = \eta - 2$ , y = z - x,  $z_1 = c(z)$  and  $y_1 = c(y)$ .

THEOREM (2.7).  $\tilde{K}U(P(m, n))$  contains a summand isomorphic to  $G_U = Z_{2g} + Z^h$  generated by  $x_1, y_1, y_1^2, \dots, y_1^h$  with the relation  $2^g x_1 = 0$ . The multiplicative structure of  $G_U$  is given by  $x_1^2 = -2x_1$  and  $x_1y_1 = 0$ .

*Proof.* Since the composition  $p \circ i : P(m, 0) \to P(m, n) \to RP_m$  is the identity. (2.2) implies that  $p^!\tilde{x}_1 = x_1$  is a generator of order  $2^g$ . From (1.4) we have  $j^!y_1 = cr(\tilde{y}_1) = \tilde{y}_1 + \tilde{y}_1^* = \tilde{w}_1$  and so  $j^!y_1^i = \tilde{w}_1^i$ . Since  $\tilde{w}_1, ..., \tilde{w}_1^h$  is a subset of a basis for  $\tilde{K}U(CP_n)$  by (2,6), then we see that  $y_1, ..., y_1^h$  generate a summand isomorphic to  $Z^h$ . We use (1.4) to determine the ring structure:  $x_1^2 = (\xi_c - 1_c)^2 = \xi_c \otimes \xi_c - 2\xi_c + 1_c = -2(\xi_c - 1_c) = -2x_1$  and  $x_1y_1 = (\xi_c - 1_c)(\eta_c - 2_c) - x_1^2 = \xi_c \otimes \eta_c - \eta_c - 2(\xi_c - 1_c) + 2x_1 = -2x_1 + 2x_1 = 0$ .

THEOREM (2.8).  $\tilde{K}O(P(m, n))$  contains a summand isomorphic to  $G_0 = Z_{2I} + Z^h$  generated by x, y,  $y^2$ , ...,  $y^h$  with the relation  $2^f x = 0$ . The multiplicative structure of  $G_0$  is given by  $x^2 = -2x$  and xy = 0.

*Proof.* (2.1) implies that  $p^{!}\tilde{x} = x$  is a generator of order  $2^{f}$ . Moreover, since  $c(y) = y_{1}$ , we have that  $y, y^{2}, ..., y^{h}$  generate a summand isomorphic to  $Z^{h}$ . The multiplicative structure is determined as in (2.7), again using (1.4).

Using the Chern character it can be shown [14] that  $y^{h+1}$  (and  $y_1^{h+1}$  also) vanishes. However, as it is not needed for (2.12) we omit the proof.

# $\gamma_t$ -structure of $G_o$

Let  $M^n$  be a compact *n*-dimensional differentiable  $(C^{\infty})$  manifold. Set  $v_0 = -\tau_0(M^n) = n - \tau(M^n)$  in  $\tilde{K}O(M^n)$ , where  $\tau(M^n)$  denotes the tangent bundle of  $M^n$ . Let  $\lambda^i$ ,  $\gamma^i$  and g. dim (i.e. geometrical dimension) be as defined in [2]. Let  $\subseteq$  and  $\subset$  denote  $(C^{\infty})$  immersion and embedding, respectively, and  $\notin$  and  $\notin$  their negations. Then the main (general) results of [2] can be stated

(2.9) (i) If 
$$M^n \subseteq R^{n+k}$$
, then  $\gamma^i(v_0) = 0$  for  $i > k$ ,

(ii) If  $M^n \subset R^{n+k}$ , then  $\gamma^i(v_0) = 0$  for  $i \ge k$ .

Now let  $M^{m+2n} = P(m, n)$  and recall that for P(m, n) we have  $\tau \oplus \xi \oplus 2 = (m+1)\xi \oplus (n+1)\eta$  so that in  $\tilde{K}O(P(m, n))$  we have

$$v_0 = -\tau_0 = -mx - (n+1)z = -(m+n+1)x - (n+1)y$$

where  $\tau = \tau(P(m, n))$ . Since  $\gamma_t$  is a homomorphism, we have

$$\gamma_t(v_0) = \gamma_t(x)^{-(m+n+1)} \gamma_t(y)^{-(n+1)}$$

Clearly the geometrical dimensions of x and y are 1 and 2, respectively, so  $\gamma_t(x) = 1 + xt$  and  $\gamma_t(y) = 1 + yt + \gamma^2(y)t^2$ . To compute  $\gamma^2(y)$  we make use of the following two elementary lemmas.

LEMMA (2.10). If  $\zeta^2$  is any 2-plane bundle over X, then  $\lambda^2(\zeta^2)$  is the determinant bundle. In particular,  $\lambda^2(\zeta^1 \oplus 1) = \zeta^1$  for any line bundle  $\zeta^1$ .

*Proof.* Let  $A : \mathbb{R}^2 \to \mathbb{R}^2$  be a linear transformation which, with respect to a given basis  $e_1, e_2$  is represented by the matrix

$$\begin{pmatrix} \alpha_{11} & \alpha_{12} \\ \alpha_{21} & \alpha_{22} \end{pmatrix}.$$

For the second exterior power of A,  $\Lambda^2 A : \Lambda^2 R^2 \to \Lambda^2 R^2$ , we have

$$\Lambda^2 A(e_1 \wedge e_2) = A(e_1) \wedge A(e_2) = (\alpha_{11}\alpha_{22} - \alpha_{12}\alpha_{21})e_1 \wedge e_2 = (\det A)e_1 \wedge e_2$$

Hence  $\lambda^2 \zeta^2$  is the determinant bundle. The second statement is an immediate consequence of the first.

Let  $v^k$  denote the universal k-plane bundle over the classifying space  $B_{O(k)}$ . There is an inclusion map  $i: B_{O(1)} \to B_{O(2)}$  such that  $i^*v^2 = v^1 \oplus 1$ . Together with Theorem 8 of [10] this equivalence implies that  $i^*: H^1(B_{O(2)}; Z_2) \to H^1(B_{O(1)}; Z_2)$  is a monomorphism.

LEMMA (2.11).  $w_1(\lambda^2(v^2)) = w_1(v^2)$ .

*Proof.* Since  $i^*$  is a monomorphism, it suffices to show that  $i^*w_1(\lambda^2(v^2)) = i^*w_1(v^2)$ . By (2.10) and naturality we have  $i^*w_1(v^2) = w_1(i^*v^2) = w_1(v^1) = w_1(\lambda^2(v^1 \oplus 1)) = w_1(\lambda^2(i^*v^2)) = i^*w_1(\lambda^2(v^2))$ .

Hence for any 2-plane bundle  $\zeta^2 w_1(\lambda^2(\zeta^2)) = w_1(\zeta^2)$ ; moreover,  $\lambda^2(\zeta^2)$  is determined by this formula since it is a line bundle. In particular,  $\lambda^2(\eta) = \zeta$  since  $w_1(\eta) = c = w_1(\zeta)$ .

To simplify our computation, we collect together the following elementary facts:

(1) 
$$\gamma^2 = \lambda^1 + \lambda^2$$
.  
(2)  $\lambda^i(a+b) = \sum_{j=0}^i \lambda^{i-j}(a)\lambda^j(b)$ .  
(3)  $\gamma^i(a+b) = \sum_{j=0}^i \gamma^{i-j}(a)\gamma^j(b)$ .  
(4)  $\gamma^2(-x) = x^2 = -2x$ .  
(5)  $\lambda^2(-2) = 3$ .

(1) follows from inspection of the coefficients of  $t^2$  in the defining equation

\_\_\_\_ . .

$$\gamma_t = \sum \lambda^i t^i = \sum \gamma^i t^i (1-t)^{-i} = \lambda_{(t/(1-t))}.$$

(2) is property (C) in [2: §2], and (3), as noted in [2] is a consequence of (2) and the definition of  $\gamma_t$ . Finally, (4) and (5) may be verified directly from

$$\gamma_t(-x) = (1+xt)^{-1} = 1 - xt + x^2t^2 - \dots = 1 - xt - 2xt^2 - \dots$$
$$\lambda_t(-2) = (1+t)^{-2} = 1 - 2t + 3t^2 - \dots$$

Returning to the computation of  $\gamma^2(y)$  we have

$$\gamma^{2}(y) = \gamma^{2}(z - x) = \gamma^{2}(z) - xz + \gamma^{2}(-x) = \gamma^{2}(z) + 2x - 2x$$
  
=  $\lambda^{2}(z) + \lambda^{1}(z) = \lambda^{2}(\eta - 2) + z = \lambda^{2}(\eta) - 2\eta + \lambda^{2}(-2) + z$   
=  $\xi - 2\eta + 3 + z = x - 2z + z = x - z = -y.$ 

The full application of (2.9) for the non-immersion and non-embedding of P(m, n)in  $R^{m+2n+k}$  requires the identification of the highest power of t having non-zero coefficient  $\gamma^{i}(v_{0})$  in the expansion of  $\gamma_{t}(v_{0})$ . We make use of the relations xy = 0 and  $x^{2} = -2x$ . Substituting we have

$$\gamma_t(v_0) = (1+xt)^{-(m+n+1)}(1+yt-yt^2)^{-(n+1)}$$

and so the coefficient of  $t^i$  is

$$y^{i}(v_{0}) = \binom{m+n+i}{i} x^{i} + \sum_{j=\left[\frac{i+1}{2}\right]}^{i} \alpha_{ij} y^{j} = \pm 2^{i-1} \binom{m+n+i}{i} x + \sum_{j=\left[\frac{i+1}{2}\right]}^{i} \alpha_{ij} y^{j}$$

where the  $\alpha_{ij}$  are non-zero integers (the relation xy = 0 kills all mixed product terms). Writing  $1 + yt - yt^2 = 1 + yt(1 - t)$ , we see that  $\alpha_{hh}y^h$  appears in the coefficient of  $t^{2h}$ . Although  $y^{h+1} = 0$  is shown in [14],  $j'y = \tilde{y}$  and Theorem (2.3) easily imply that  $y^h$  is independent of  $y^{h+r}$ , r > 0. Hence the coefficient of  $t^{2h}$  is non-zero since  $\alpha_{2h,h} \neq 0$ . Using the function  $\sigma^*(m, n)$  defined in the introduction, we obtain

**Theorem** (2.12).

- (i)  $P(m, n) \notin R^{m+2n+\sigma*(m,n)-1}$
- (ii)  $P(m, n) \notin \mathbb{R}^{m+2n+\sigma^*(m,n)}$ .

#### §3. REMARKS

(1) Many known results relating immersions and embeddings to Stiefel-Whitney classes may be applied to P(m, n). In particular, we shall consider

(3.1) (i) If  $M^n \subseteq R^{n+k}$ , then  $\bar{w}_i = 0$  for i > k.

(ii) If  $M^n \subset R^{n+k}$ , then  $\bar{w}_i = 0$  for  $i \ge k$ .

(iii) [5] If  $0 \le k < 2(n-4)$ , then  $M^n \subset R^{2n-k-1}$  if and only if  $\overline{W}_{n-k-1} = 0$ . ( $\overline{W}_i$  denotes the—possibly twisted— $i^{\text{th}}$  dual Stiefel-Whitney class of  $M^n$ .)

(iv) [7] Let  $M^n$  be orientable, n > 4. If n is even, then  $M^n \subseteq R^{2n-2}$  if and only if  $\bar{w}_2 \cdot \bar{w}_{n-2} = 0$ ; if n is odd, then  $M^n \subseteq R^{2n-2}$ .

(v) [8] Let  $M^n$  be orientable. If  $n \equiv 1 \mod 4$ , then  $M^n \subseteq R^{2n-3}$  if and only if  $\bar{w}_2 : \bar{w}_{n-3} \in$ Image  $(Sq^1)$ ; if  $n \equiv 0 \mod 4$  and  $\bar{w}_2 = 0$ , then  $M^n \subseteq R^{2n-4}$  implies  $\bar{w}_4 : \bar{w}_{n-4} = 0$ .

The application of (3.1) to P(m, n) requires some mod 2 arithmetic.

LEMMA (3.2). ([13])  $\binom{b}{a} \equiv 1 \mod 2$  if and only if the non-zero terms in the dyadic expansion of a are a subset of those of b.

LEMMA (3.3).

(i) 
$$\binom{2^s - 1}{t} \equiv 1 \mod 2$$
 for  $1 \leq t \leq 2^s - 1$ .  
(ii)  $If \binom{2m - 1}{m - 1} \equiv 1 \mod 2$ , then  $m = 2^s, s \geq 0$ .  
(iii)  $If \binom{2m - 2}{m - 2} \equiv 1 \mod 2$ , then  $m = 2^s, s \geq 1$ .  
(iv)  $\binom{2m}{m} \equiv 0 \mod 2$  for  $m > 0$ .  
(v)  $\binom{n+t}{t} \equiv 0 \mod 2$  for  $t = 1, ..., n$  if and only if  $n = 2^s - 1, s \geq 1$ .

(3.2) implies (3.3) via the inspection of certain dyadic expansions.

Throughout the remainder of this section  $w(\tau(P(m, n)))$  will be abbreviated w(m, n) or w whenever the meaning is clear. Similarly, for  $w_i$ , etc.

COROLLARY (3.4). w(m, n) = 1 if and only if  $(m, n) = (2^t - 2^s, 2^s - 1), t \ge s \ge 0$ .

*Proof.* For  $(m, n) = (2^t - 2^s, 2^s - 1), t \ge s \ge 0, w(m, n) = (1 + c)^{2^t - 2^s} (1 + c + d)^{2^s} = (1 + c)^{2^t} = 1 + c^{2^t} = 1$ . Conversely, if w(m, n) = 1, then  $(1 + c)^m = (1 + c + d)^{-(n+1)}$  and hence, the coefficient  $\binom{n+t'}{t'}$  of  $d^{t'}$  in the expansion of the right member is 0 mod 2 for all t' = 1, ..., n, whence  $n = 2^s - 1$  by (3.3)(v). But this gives  $(1 + c)^m = (1 + c + d)^{-2^s} = (1 + c)^{-2^s}$  and  $(1 + c)^{m+2^s} = 1$ , from which it follows that  $m + 2^s = 2^t, t \ge s$ .

(3.4) is useful for comparing dual Stiefel-Whitney classes to  $\gamma^i$  operators for nonimmersion, non-embedding results for P(m, n); e.g. if  $(m, n) = (2^t - 2^s, 2^s - 1)$ ,  $\sigma^*(m, n) = \max(\sigma(2^t - 1), 2^s - 2) \ge \max(2^{t-2}, 2^s - 2)$ ,  $t \ge 4$  since  $\sigma(2^t - 1) \ge 2^{t-2}$  if  $t \ge 4$  [2].

COROLLARY (3.5)  $\bar{w}_{m+2n-1} \neq 0$  if and only if  $(m, n) = (2^s, 0)$ .

*Proof.* In one direction this is a well-known result about real projective spaces. Suppose  $\bar{w}_{m+2n-1} \neq 0$ . Then  $\bar{w}_{m+2n-1} = c^{m-1}d^n$ , the only non-zero class of dimension m + 2n - 1. But  $\bar{w} = (1 + c)^{-m}(1 + c + d)^{-(n+1)}$  and the coefficient  $\binom{2m-1}{m-1} \cdot \binom{2n}{n}$  of  $c^{m-1}d^n$  is 0 unless  $m = 2^s$ ,  $s \ge 0$  by (3.3)(ii) and n = 0 by (3.3)(iv). Define sets

$$A_{1} = \{(2^{s}, 0) : s \ge 1\}$$

$$A_{2} = \{(0, 2^{s}) : s \ge 1\}$$

$$A_{3} = \{(2^{s}, 2^{t}) : 0 \le s \le t\}$$

$$A_{4} = \{(2^{s} - 1, 1) : s \ge 1\}$$

$$A_{5} = \left\{(m, 2^{t}) : 2^{t+1} \le m \text{ and } \left(\frac{2m + 2^{t+1} - 1}{m}\right) \equiv 1 \mod 2\right\}$$

$$A = \bigcup_{i=1}^{5} A_{i}$$

COROLLARY (3.6).  $\bar{w}_{m+2n-2} \neq 0$  if and only if  $(m, n) \in A$ . Moreover, if  $\bar{w}_{m+2n-2} \neq 0$  with n > 0, then  $\bar{w}_{m+2n-2} = c^m d^{n-1}$ .

*Proof.* In one direction the first statement can be verified directly, so we concern ourselves only with the converse. Suppose  $\bar{w}_{m+2n-2} \neq 0$ . If n = 0 or m = 0, it is easily seen that  $(m, n) \in A_1$  or  $(m, n) \in A_2$ , respectively, so we may assume m, n > 0. Then  $\bar{w}_{m+2n-2} = c^m d^{n-1}$  because the coefficient of  $c^{m-2}d^n$  is  $x \cdot {\binom{2n}{n}}$  which is 0 mod 2 by (3.3)(iv). Let  $2^t \leq n+1 < 2^{t+1}$ . Then  $\bar{w} = (1+c)^{-(m+2^{t+1})}(1+c+d)^{2^{t+1}-(n+1)}$  with  $2^{t+1} - (n+1) \geq n-1$ . Hence  $2^{t+1} - 2 \leq 2n \leq 2^{t+1}$  and  $n = 2^t - 1$  or  $n = 2^t$ . If  $n = 2^t - 1$ , then  $\bar{w} = (1+c)^{-(m+2^t)}$  and so n = 1. If also m < 2, then m = n = 1 and  $(m, n) \in A_4$ . If  $m \geq 2$  and n = 1, then  $\bar{w} = (1+c)^{-(m+2)}$  and  $\bar{w}_m = \binom{2m+1}{m}c^m = \binom{2p-1}{p-1}c^m$  where m = p-1, thus  $m = p-1 = 2^s - 1$  by (3.3)(ii), i.e.  $(m, n) \in A_4$ . Finally if  $n = 2^t$  and  $m < 2^{t+1}$ , then  $\bar{w} = (1+c)^{-m}(1+c+d)^{2t-1}$  and  $\bar{w}_{m+2n-2} = \binom{2m-1}{m}\binom{2^t-1}{2^t-1}c^m d^{n-1}$  and so by (3.3)(ii)  $m = 2^s$  and  $(m, n) \in A_3$ . If  $n = 2^t$ , and  $2^{t+1} \leq m$ , then  $\bar{w} = (1+c)^{-(m+2^{t+1})}(1+c+d)^{2^{t-1}}$  and so  $(m, n) \in A_5$ . We may now apply (3.1)(iii)-(v) to P(m, n).

we may now apply (3.1)(11)-(1) to P(1)

Theorem (3.7).

(i)  $P(m, n) \subset R^{2(m+2n)-1}$  if and only if  $(m, n) \notin A_1$ ; moreover, if  $(m, n) \in A - A_1$ , this result is best possible.

- (ii) An orientable  $P(m, n) \subseteq R^{2(m+2n)-2}$  if and only if  $(m, n) \notin A_2$ .
- (iii) If (m, n) = (4s + 1, 2t), then  $P(m, n) \subseteq \mathbb{R}^{2(m+2n)-3}$ .

*Proof.* (i) If  $P(m, n) \subset R^{2(m+2n)-1}$ , then  $\bar{w}_{m+2n-1} = 0$  by (3.1)(ii) and so  $(m, n) \notin A_1$ , for otherwise  $\bar{w}_{m+2n-1} = \bar{w}_{m-1} = c^{m-1} \neq 0$ . Conversely, if m > 0,  $(m, n) \notin A_1$  implies that  $\bar{w}_{m+2n-2} = c^m d^{n-1}$  (the only non-zero element in dimension m + 2n - 2) or 0. But if  $T^2$  denotes the torsion subgroup of  $H^2(P(m, n); Z)$  and  $\rho$  denotes reduction mod 2, then  $\rho T^2 = Z_2$  with generator  $c^2$  if  $m \ge 2$ , or  $\rho T^2 = 0$  if m < 2. Hence  $\bar{w}_{m+2n-2}x = 0$  for any  $x \in \rho T^2$  and so by Lemma 8 of [9]  $\overline{W}_{m+2n-1} = 0$ . Now (i) follows from (3.1)(iii).

(ii) If  $(m, n) \in A_2$ , then  $\bar{w}_{2n-2} = d^{n-1}$  by (3.3)(i). Since  $\bar{w}_2 = d$ , then  $\bar{w}_{2n-2} \bar{w}_2 = d^n \neq 0$ , hence  $P(m, n) \notin R^{2(m+2n)-2}$  by (3.1)(iv). Conversely, if *m* is odd and so m + 2n is odd, then  $P(m, n) \subseteq R^{2(m+2n)-2}$  by (3.1)(iv); if *m* is even, then *n* is odd and  $(m, n) \notin A$ , thus  $\bar{w}_{m+2n-2} = 0$  and the result again follows from (3.1)(iv).

(iii) If (m, n) = (4s + 1, 2t)—thus P(m, n) is orientable—then  $Sq^1(c^{m-2}d^n) = c^{m-1}a^n$ thus implying that  $Sq^1: H^{m+2n-2}(P(m, n); Z_2) \rightarrow H^{m+2n-1}(P(m, n); Z_2) \cong Z_2$  is an epimorphism. Hence (iii) is a direct consequence of (3.1)(v).

(2) From (1.5) we may compute the characteristic classes of P(m, n). It is easily verified that the total rational Pontrjagin class of P(m, n) is given by  $p = (1 + d^2)^{n+1}$ ,  $d^2 \in H^4(P(m, n); Q)$ . Hence, applying (6.1) of [2] for  $M^{m+2n} = P(m, n)$ , we have that

$$P(m, n) \notin R^{m+2n+k} \quad \text{where} \quad k = 2\left\lfloor \frac{n}{2} \right\rfloor - 1$$
$$P(m, n) \notin R^{m+2n+k} \quad \text{where} \quad k = 2\left\lfloor \frac{n}{2} \right\rfloor.$$

For m = 0 this result agrees with (2.12) thus verifying a remark of Atiyah [2]. Noting that  $j^*p = \tilde{p}$ , the total rational Pontrjagin class of  $CP_n$ , it follows that the methods of [3] (based on the  $\hat{A}$ -genus) applied directly to P(m, n) are no better than those obtainable from [3] using the composite embedding  $CP_n \to P(m, n) \to R^{m+2n+k}$ .

(3) Since the two-fold covering  $\Phi: S^m \times CP_m \to P(m, n)$  is itself an immersion, it can be used to translate non-immersion theorems for  $CP_n$  into the same for P(m, n). More precisely, if  $f: P(m, n) \to R^{m+2n+k}$  is an immersion, then so is  $f \circ \Phi: S^m \times CP_n \to R^{m+2n+k}$ . By a theorem of M. Hirsch [6], this implies that  $CP_n \subseteq R^{2n+k}$ . Hence the non-immersibility of  $CP_n$  with codimension k implies the same for P(m, n). When m is small with respect to n, this idea together with (3.1)(iv) and some results of [12] should give better results than (2.12)(i). However, as m increases we should expect the reverse.

For example,  $P(1, n) \subseteq R^{4n}$  for  $n = 2^r$  by (3.1)(iv), but  $P(1, n) \notin R^{4n-1}$  (more generally,  $P(m, n) \notin R^{4n+m-2}$ ) because  $CP_n \notin R^{4n-2}$  for this choice of n. Hence this is best possible.

(4) Our concluding remark, extending Theorem (4.1) of [11], solves the immersion problem for  $P(m, 1), m \leq 8$ .

THEOREM (3.8).  $P(m, 1) \subseteq R^{2^{f}}$  where  $f = \varphi(m)$  and  $m \neq 2, 6, P(2, 1) \subseteq R^{5}$  and  $P(6, 1) \subseteq R^{9}$ .

*Proof.* For P(m, n) we have by (1.5) that  $\tau \oplus \xi \oplus 2 = (m+1)\xi \oplus (n+1)\eta$  and so in  $\tilde{K}O(P(m, 1))$ ,  $-T_0 = -(T - (m+2n)) = -(m+2)x = (2^f - (m+2))x$  (here we use the fact that 2y = 0; note for n > 1, this is not true). Hence  $g \cdot \dim(-T_0) \leq (2^f - (m+2)) \cdot g$ .  $\dim(x) = 2^f - (m+2)$ . Thus by Theorem (2.1) of [11]  $P(m, 1) \subseteq R^{2^f}$ .  $T_0 = 0$  for the exceptional cases m = 2, 6, and so the codimension is one. By explicit computation of  $\bar{w}(m, 1)$ , this is shown to be best possible for  $m \leq 8$ .

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