

# Addition of sets via symmetric polynomials — A polynomial method

# H. Godinho, O.R. Gomes

Departamento de Matemática, Universidade de Brasília, Brazil

#### ARTICLE INFO

Article history: Received 26 November 2008 Accepted 10 October 2009 Available online 24 November 2009

#### ABSTRACT

Let  $A_1, \ldots, A_h$  be finite non-empty subsets of a field K and let  $s_k(x_1, \ldots, x_h)$  be the elementary symmetric polynomial of degree k in h indeterminates. Here we present some estimates for the cardinality of the sets of the images of all h-tuples of  $A_1 \times \cdots \times A_h$  by the polynomial  $s_k$ , with and without the restriction that the elements of the h-tuples are pairwise distincts.

© 2009 Elsevier Ltd. All rights reserved.

# 1. Introduction

Let

$$s_k(x_1, \dots, x_h) = \sum_{1 \le i_1 < i_2 < \dots < i_k \le h} x_{i_1} x_{i_2} \cdots x_{i_k}$$
(1)

be the elementary symmetric polynomial of degree k in h indeterminates, and let  $A_1, \ldots, A_h$  be finite non-empty subsets of a field K. Let p = char(K) if char(K) > 0 or  $p = \infty$  if char(K) = 0. Now define

$$\Omega_{s_k}(A_1, \dots, A_h) = \{ s_k(a_1, \dots, a_h) \mid a_1 \in A_1, \dots, a_h \in A_h \}$$
(2)

and

$$\Delta_{s_k}(A_1,\ldots,A_h) = \{s_k(a_1,\ldots,a_h) \mid a_i \in A_i \text{ and } a_i \neq a_i \text{ if } i \neq j\}.$$
(3)

In recent years, the problem of finding lower bounds for the cardinality of these two sets have been studied by Dias da Silva and Godinho [5,6] and Caldeira [4] respectively, applying techniques from multilinear algebra, inspired by the 1994 proof given by Dias da Silva and Hamidoune [7] of the Erdős–Heilbronn conjecture. In 1996 Alon, Nathanson and Ruzsa [2] presented a new proof of this conjecture but using an algebraic technique. An excellent survey on this theory and related topics can

E-mail address: hemar@unb.br (H. Godinho).

<sup>0195-6698/\$ –</sup> see front matter  $\mbox{\sc 0}$  2009 Elsevier Ltd. All rights reserved. doi:10.1016/j.ejc.2009.11.002

be found in [8,9]. Here we extend this algebraic method, giving similar results and generalizations to those presented in [4–6], but in a much simpler setting. Let us start by recalling Alon's *Combinatorial Nullstellensatz* (the proof can be found in [1]).

**Theorem 1.1.** Let *K* be an arbitrary field, and let  $f = f(x_1, ..., x_h) \in K[x_1, ..., x_h]$  be a polynomial of degree  $d = \sum_{i=1}^{h} (k_i - 1)$ , where each  $k_i$  is a non-negative integer, and suppose the coefficient of the monomial  $x_1^{k_1-1} \cdots x_h^{k_h-1}$  in *f* is nonzero. Then, if  $A_1, ..., A_h$  are subsets of *K* with  $|A_i| \ge k_i$ , i = 1, ..., h, then there exist  $a_1 \in A_1, ..., a_h \in A_h$  such that  $f(a_1, ..., a_h) \ne 0$ .

Now let  $h \ge 2, A_1, \ldots, A_h$  be subsets of *K*, and consider the polynomials

$$F(x_1,\ldots,x_h), G(x_1,\ldots,x_h) \in K[x_1,\ldots,x_h].$$

Then define the set

$$\Omega_{FG} = \Omega_{FG}(A_1, \dots, A_h)$$
  
= {F(a\_1, \dots, a\_h) | a\_1 \in A\_1, \dots, a\_h \in A\_h, and G(a\_1, \dots, a\_h) \neq 0}

Let  $|A_i| = k_i$  for i = 1, ..., h, and let  $t \in \mathbb{N}$  be such that

$$t \deg(F) \le \sum_{i=1}^{h} k_i - (h + \deg(G)) < (t+1)\deg(F)$$

We want to prove that, if t < |K| then

$$|\Omega_{\rm FG}| \ge t+1. \tag{4}$$

And for that we will choose, if necessary, subsets  $A_i^*$ 's of the sets  $A_i$ 's with  $|A_i^*| = k_i^*$  such that

$$t \deg(F) = \sum_{i=1}^{h} k_i^* - (h + \deg(G)),$$
(5)

and then prove, since  $\Omega_{FG} \supseteq \Omega_{FG}(A_1^*, \ldots, A_h^*)$ ,

$$|\Omega_{FG}(A_1^*,\ldots,A_h^*)| \ge t+1,$$

which in turn, proves (4).

**Theorem 1.2** (Polynomial Method-coefficient). Take t and  $A_1^*, \ldots, A_h^*$  as described above, and consider the polynomial

$$H(x_1,\ldots,x_h)=(F(x_1,\ldots,x_h))^tG(x_1,\ldots,x_h)$$

of degree  $d = \sum_{i=1}^{h} (k_i^* - 1)$ . Suppose the coefficient of the monomial  $x_1^{k_1^* - 1} \cdots x_h^{k_h^* - 1}$  in  $H(x_1, \dots, x_h)$  is nonzero. Then  $|\Omega_{FG}(A_1^*, \dots, A_h^*)| \ge t + 1$ .

**Proof.** Suppose  $|\Omega_{FG}(A_1^*, ..., A_h^*)| \le t$ . Since by hypothesis t < |K|, we can choose a finite subset  $E \subset K$  such that  $\Omega_{FG} \subset E \in |E| = t$ . Now we define the polynomial

$$H_o(x_1,\ldots,x_h)=G(x_1,\ldots,x_h)\prod_{e\in E}(F(x_1,\ldots,x_h)-e)$$

of degree deg(*G*) + *t* deg(*F*) =  $\sum_{i=1}^{h} k_i^* - h$ . Moreover, if  $(a_1, \ldots, a_h) \in A_1 \times \cdots \times A_h$ , then either  $G(a_1, \ldots, a_h) = 0$  or  $F(a_1, \ldots, a_h) \in \Omega_{FG} \subset E$ . Thus  $H_0(a_1, \ldots, a_h) = 0$ , for all  $(a_1, \ldots, a_h) \in A_1 \times \cdots \times A_h$ . But

$$H_o(x_1, \ldots, x_h) = H(x_1, \ldots, x_h) +$$
 "lower degree terms"

and, by hypothesis, the coefficient of  $x_1^{k_1^*-1} \cdots x_h^{k_h^*-1}$  in  $H(x_1, \dots, x_h)$  is nonzero, which contradicts Theorem 1.1.  $\Box$ 

Now let  $F(x_1, \ldots, x_h) = s_k(x_1, \ldots, x_h)$ ,  $G_1(x_1, \ldots, x_h) = 1$  (the constant polynomial) and  $G_2(x_1, \ldots, x_h) = \delta(x_1, \ldots, x_h)$ , where  $\delta(x_1, \ldots, x_h) = \prod_{i>j} (x_i - x_j)$ , the Vandermonde polynomial. With the notations of Theorem 1.2, we have (see (2) and (3))

 $\Omega_{FG_1} = \Omega_{s_k}$  and  $\Omega_{FG_2} = \Delta_{s_k}$ ,

hence, to find a lower bound for these sets, we need information about the coefficients of the monomial  $x_1^{k_1-1} \cdots x_h^{k_h-1}$  in the polynomials  $(s_k)^t \cdot 1$  and  $(s_k)^t \cdot \delta(x_1, \ldots, x_h)$ .

From now on, assume that  $k, h \in \mathbb{N}$  with  $h \ge 2$  and  $k \le h$  and let  $n = \binom{h}{k}$ . As before, writing  $|A_i| = k_i$  for i = 1, ..., h, we can define the numbers

$$\ell = \left[\frac{\sum_{j=1}^{h} (k_j - 1)}{k}\right] \quad \text{and} \quad t = \left[\frac{\sum_{j=1}^{h} (k_j - j)}{k}\right] \tag{6}$$

and

$$M(s) = \frac{(s+n-1)!}{\left(\left[\frac{s}{n}\right]!\right)^{n-r} \left(\left(\left[\frac{s}{n}\right]+1\right)!\right)^r (n-1)!},$$
(7)

where [x] is the integer part of x, and r = t - [t/n]n, so  $0 \le r < n$ .

The main theorems proved in this paper are

**Theorem 1.3.** *Let*  $p > M(\ell)$ ,  $\ell < |K|$  *and assume*  $1 \le k_j \le \ell + 1$  *for* j = 1, ..., h, *then* 

$$|\Omega_{s_k}| \ge \ell + 1.$$

**Theorem 1.4.** Let p > M(t), t < |K| and assume  $k_i \neq k_j$  for  $i \neq j$  and  $0 < k_i \leq t+h$  for all i = 1, ..., h. Then

 $|\Delta_{s_k}| \ge t+1.$ 

Theorem 1.3, in comparison to the results in [5,6] (especially Theorem 3.1 in [6]), presents a slightly stronger condition for the cardinalities of the sets  $A_j$ , but the condition on the characteristic of K is also stronger. As pointed out in [6], the proof of Theorem 6 in [5] is not correct. An extra constraint was introduced in Theorem 3.1 in [6], to guarantee the correctness of the proof. Theorem 1.4 is related to the Erdős–Heilbronn conjecture proved in [7]. The following corollary generalizes a result obtained by Caldeira in [4].

**Corollary 1.5.** Let A be a finite subset of K, with  $h \le |A| \le t + h$ , p > M(t) and t < |K|, then we have

$$|\Delta_{s_k}(A,\ldots,A)| \ge \left[\frac{h(|A|-h)}{k}\right] + 1.$$
(8)

**Proof.** Let  $A_1, \ldots, A_h$  be subsets of A such that  $|A_i| = k_i = |A| - (i - 1)$ , for  $i \in \{1, \ldots, h\}$  and note that  $1 \le k_i \le t + h$ . Then

$$t = \left[\frac{\sum_{i=1}^{h} k_i - \binom{h+1}{2}}{k}\right] = \left[\frac{\sum_{i=1}^{h} (|A| - (i-1)) - \binom{h+1}{2}}{k}\right]$$
$$= \left[\frac{h|A| - \binom{h}{2} - \binom{h+1}{2}}{k}\right] = \left[\frac{h(|A| - h)}{k}\right].$$

Now, it is easy to see that  $\Delta_{s_k}(A, \ldots, A) \supseteq \Delta_{s_k}(A_1, \ldots, A_h)$ , which gives, by the Theorem 1.4,

$$|\Delta_{s_k}(A,\ldots,A)| \ge \left[\frac{h(|A|-h)}{k}\right] + 1. \quad \Box$$
(9)

#### 2. Combinatorial results

As before, we are assuming  $h, k \in \mathbb{N}$ ,  $h \ge 2$  and  $k \le h$ .

**Definition 2.1.** Let  $\mathbf{c} = (c_1, \ldots, c_h)$  be a vector with non-negative integer coordinates and  $t \in \mathbb{N}$ . A  $k\mathbf{c}$ -matrix of order  $t \times h$  is a (0, 1)-matrix  $(a_{ij})$  such that, for any  $i = 1, \ldots, t$ ,  $\sum_{j=1}^{h} a_{ij} = k$  and, for any  $j = 1, \ldots, h$ ,  $\sum_{i=1}^{t} a_{ij} = c_j$ . Denote by  $\Theta(\mathbf{c}, t)$  the set of all  $k\mathbf{c}$ -matrices of order  $t \times h$ .

**Proposition 2.2.** Given  $\mathbf{c} = (c_1, \ldots, c_h)$  with non-negative integer coordinates and  $t \in \mathbb{N}$ , the set  $\Theta(\mathbf{c}, t)$  is non-empty if, and only if, the vector  $\mathbf{c}$  satisfies:

(i) 
$$\sum_{j=1}^{h} c_j = kt;$$
  
(ii)  $0 \le c_j \le t, \quad \forall j \in \{1, ..., h\}.$ 
(10)

Proof. If it does exist a kc-matrix, then the first condition follows from

$$\sum_{j=1}^{h} c_j = \sum_{j=1}^{h} \left[ \sum_{i=1}^{t} a_{ij} \right] = \sum_{i=1}^{t} \left[ \sum_{j=1}^{h} a_{ij} \right] = \sum_{i=1}^{t} k = kt$$

while the second condition corresponds to the fact that in each column there are at most *t* 1's.

Conversely, if t = 1, the vector **c** has exactly k coordinates equals to 1 and h - k coordinates equals to 0. Thus, the k**c**-matrix wanted coincides with the vector **c**. Let  $t \ge 2$  and suppose the proposition is true for vectors  $\mathbf{c}' = (c'_1, \ldots, c'_h) \in \mathbb{Z}^h$  satisfying the conditions (10) for t' < r. Let  $\mathbf{c} = (c_1, \ldots, c_h) \in \mathbb{Z}^h$  be a vector that satisfies

$$\sum_{j=1}^h c_j = kr \quad \text{and} \quad 0 \le c_j \le r, \quad \forall j \in \{1, \ldots, h\}.$$

From the conditions above it follows that there are at most k coordinates of the vector **c** that are equal to r and it is also important to note that at least k coordinates are positive. Thus take the k largest coordinates of **c**, say  $c_{i_1}, \ldots, c_{i_k}$ , and define, for  $j = 1, 2, \ldots, h$ 

$$c'_{j} = \begin{cases} c_{j} - 1 & \text{if } j \in \{j_{1}, \dots, j_{k}\} \\ c_{j} & \text{else.} \end{cases}$$

Hence the vector  $\mathbf{c}' = (c'_1, \dots, c'_h) \in \mathbb{Z}^h$  and satisfy the conditions (10) for t = r - 1. By the induction hypothesis, it does exist a  $k\mathbf{c}'$ -matrix  $(a_{ij})$  of order  $(r - 1) \times h$ . Consider the matrix  $(b_{ij})$  of order  $r \times h$  such that  $b_{ij} = a_{ij}$  for any  $1 \le i \le r - 1$  and  $1 \le j \le h$  and

$$b_{rj} = \begin{cases} 1 & \text{if } j \in \{j_1, \dots, j_k\} \\ 0 & \text{else.} \end{cases}$$

Now it is simple to see that the matrix  $(b_{ij})$  is a *k***c**-matrix of order  $r \times h$ .<sup>1</sup>

<sup>&</sup>lt;sup>1</sup> The Proof of this proposition can also be done by the direct use of the Ford–Fulkerson or Gale–Ryser's characterization of the (0, 1)-matrices (see [3]).

Let  $\Gamma$  be the set of all (0, 1)-vectors  $(b_1, \ldots, b_h) \in \mathbb{Z}^h$ , such that  $\sum_{i=1}^h b_i = k$ . Then  $|\Gamma| = n = \binom{h}{k}$  and let us write  $\Gamma = \{\beta_1, \ldots, \beta_n\}$ . It is clear that any row vector of a  $k\mathbf{c}$ -matrix is an element of  $\Gamma$ .

From now on, we assume that all the considered vectors **c** satisfy the conditions (10). Let  $t \in \mathbb{N}$ and  $S_t$  be the permutation group of the set  $\{1, \ldots, t\}$ . Now define an action of this group on  $\Theta(\mathbf{c}, t)$ by  $\sigma A = (a_{\sigma(i)j})$ , for  $\sigma \in S_t$  and  $A = (a_{ij}) \in \Theta(\mathbf{c}, t)$ . Let  $X \subset \Theta(\mathbf{c}, t)$  be an orbit under the action of  $S_t$  over  $\Theta(\mathbf{c}, t)$ , and let  $A \in \Theta(\mathbf{c}, t)$  be a representative of X. Also let  $t_i$ , with  $i = 1, 2, \ldots, n$ , be the number ( $t_i$  can be zero) of rows of A that are equal to the vector  $\beta_i \in \Gamma$  (see above). First observe that all  $k\mathbf{c}$ -matrices in the orbit X have the same values for  $t_1, \ldots, t_n$ , and note that

$$\sum_{i=1}^{n} t_i \beta_i = \mathbf{c},\tag{11}$$

and, since A has t rows, we have

$$t_1 + t_2 + \dots + t_n = t.$$
 (12)

This establish an 1–1 correspondence between the set of orbits in  $\Theta(\mathbf{c}, t)$  and the set of all nonnegative integral solutions of the Eq. (12) with the restriction (11). Thus, an upper bound for the number w of orbits is

$$\omega \le \frac{(t+n-1)!}{t!(n-1)!},\tag{13}$$

the number of non-negative solutions of (12). It follows from the definition of the action of  $S_t$  that the rows of any  $k\mathbf{c}$ -matrix in the orbit X are permutations of the rows of A, then the cardinality of X is equal to

$$|X| = \frac{t!}{t_1! \cdots t_n!},$$
(14)

the number of permutations with repetitions of the *t* rows of *A*. Since the orbits are disjoint, we have proved that

# Theorem 2.3.

$$|\Theta(\mathbf{c},t)| = \sum_{\substack{t_1+\cdots+t_n=t\\t_1\beta_1+\cdots+t_n\beta_n=\mathbf{c}}} \frac{t!}{t_1!\cdots t_n!},$$

where the sum runs over all n-tuples  $(t_1, \ldots, t_n)$  of non-negative integers with the restrictions given in (11) and (12).

We want to present an estimate for the number  $|\Theta(\mathbf{c}, t)|$ .

**Lemma 2.4.** Let  $t \ge 0$ ,  $n \ge 1$  and let  $t_1, \ldots, t_n$  be non-negative integers such that  $t_1 + \cdots + t_n = t$ , and write t = nq + r, with  $0 \le r < n$ . Then

$$(q!)^{n-r} \cdot ((q+1)!)^r \le t_1! \cdot t_2! \cdots t_n!.$$
(15)

**Proof** (*Induction on t*). The case  $t \le 1$  is trivial. Let us suppose that  $t'_1 + \cdots + t'_n = t + 1$  and  $t'_1 \le t'_2 \le \cdots \le t'_n$ . Since  $t'_1 + \cdots + t'_{n-1} + (t'_n - 1) = t$ , it follows from the induction hypothesis that

$$(q!)^{n-r} \cdot ((q+1)!)^r \le t_1'! \cdots t_{n-1}'! \cdot (t_n'-1)!$$

Observe that  $t'_n > q$ , otherwise we would have  $t \ge nq \ge nt'_n \ge t'_1 + \cdots + t'_n = t + 1$ . Hence

$$(q!)^{n-r} \cdot ((q+1)!)^{r+1} \le t_1'! \cdots t_{n-1}'! \cdot t_n'!.$$

Since t = qn + r, then either t + 1 = qn + (r + 1) or t + 1 = n(q + 1) (when r = n - 1). In any case, writing t + 1 = q'n + r', one has

$$(q'!)^{n-r'} \cdot ((q'+1)!)^{r'} \le t'_1! \cdots t'_{n-1}! \cdot t'_n!.$$

H. Godinho, O.R. Gomes / European Journal of Combinatorics 31 (2010) 1243-1256

Recalling (14) and using the lemma above, we have

$$|X| = \frac{t!}{t_1! \cdots t_n!} \le \frac{t!}{(q!)^{n-r}((q+1)!)^r}.$$
(16)

Now the estimates (13), (16) and Theorem 2.3 give us

**Proposition 2.5.** Let  $k, h, t \in \mathbb{Z}$  with  $1 \le k \le h$  and  $t \ge 1$ , let  $n = \binom{h}{k}$  and  $\mathbf{c} = (c_1, \ldots, c_h) \in \mathbb{Z}^h$ . Writing  $r = t - \lfloor t/n \rfloor n$ , so  $0 \le r < t$ , we have

$$|\Theta(\mathbf{c},t)| \leq \frac{(t+n-1)!}{\left(\left[\frac{t}{n}\right]!\right)^{n-r} \left(\left(\left[\frac{t}{n}\right]+1\right)!\right)^r (n-1)!}.$$
(17)

2.1. *k*-paths in  $\mathbb{Z}^h$ 

**Definition 2.6.** Let  $\mathbf{a}, \mathbf{b} \in \mathbb{Z}^h$ . A *k*-path in  $\mathbb{Z}^h$  from  $\mathbf{a}$  to  $\mathbf{b}$  is a finite sequence of lattice points  $\mathbf{a} = \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_t = \mathbf{b}$  such that  $\mathbf{v}_j - \mathbf{v}_{j-1} \in \Gamma$  for all  $j = 1, 2, \dots, t$ . Let us denote by  $P_k(\mathbf{a}, \mathbf{b})$  the number of *k*-paths from  $\mathbf{a}$  to  $\mathbf{b}$ .

Obviously

$$P_k(\mathbf{a}, \mathbf{b}) = P_k(\mathbf{0}, \mathbf{b} - \mathbf{a}), \quad \forall \mathbf{a}, \mathbf{b} \in \mathbb{Z}^h.$$
(18)

Note that a necessary condition for the existence of a *k*-path from the origin to the vector  $\mathbf{c} = (c_1, \ldots, c_h) \in \mathbb{Z}^h$  is that  $\mathbf{c}$  has all its coordinates non-negative. In this case, we say the vector  $\mathbf{c}$  is non-negative.

There is an interesting relation between the *k***c**-matrices and the *k*-paths from the origin to **c**. Let **c** be a non-negative vector of  $\mathbb{Z}^h$  and suppose there is a *k*-path,  $0 = \mathbf{v}_0, \mathbf{v}_1 = \mathbf{v}_0 + \beta_{i_1}, \ldots, \mathbf{v}_t = \mathbf{v}_{t-1} + \beta_{i_t} = \mathbf{c}$ , from the origin to **c**. Then  $\mathbf{c} = \beta_{i_1} + \cdots + \beta_{i_t}$ , thus the matrix  $A_{t \times h}$  whose row-vectors are the vectors  $\beta_{i_1}, \beta_{i_2}, \ldots, \beta_{i_t}$  is a *k***c**-matrix. Conversely, for any *k***c**-matrix  $A_{t \times h}$ , if we denote  $\beta_{i_m} = m$ th row of the matrix A, then the sequence  $\mathbf{0} = \mathbf{v}_0, \mathbf{v}_0 + \beta_{i_1} = \mathbf{v}_1, \ldots, \mathbf{v}_{t-1} + \beta_{i_t} = \mathbf{v}_t = \mathbf{c}$  is a *k*-path from the origin to **c**. Thus

$$P_k(\mathbf{0}, \mathbf{c}) = |\Theta(\mathbf{c}, t)|. \tag{19}$$

**Proposition 2.7.** Given  $k, h \in \mathbb{Z}$  with  $1 \le k \le h$  and  $\mathbf{c} = (c_1, \ldots, c_h) \in \mathbb{Z}^h$ , there exist a *k*-path from the origin to  $\mathbf{c}$  if, and only if, there exists  $t \in \mathbb{N}$  such that  $\sum_{j=1}^h c_j = kt$  and  $0 \le c_j \le t$  for all  $j = 1, \ldots, h$ . **Proof.** It is an immediate consequence of (19) and of the Proposition 2.2.  $\Box$ 

If  $\mathbf{0} = \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_t = \mathbf{c}$  is a *k*-path from the origin to  $\mathbf{c}$ , with  $t \ge 1$ , then  $\mathbf{v}_{t-1} = \mathbf{c} - \beta_i$  for some  $i \in \{1, \dots, n\}$ , and there is only one *k*-path from  $\mathbf{c} - \beta_i$  to  $\mathbf{c}$ . Thus

$$P_k(\mathbf{0}, \mathbf{c}) = \sum_{i=1}^n P_k(\mathbf{0}, \mathbf{c} - \beta_i).$$
(20)

**Definition 2.8.** A vector  $\mathbf{c} = (c_1, \ldots, c_h) \in \mathbb{Z}^h$  is said to be ordered if  $0 \le c_1 \le \cdots \le c_h$  and strictly ordered if  $0 \le c_1 < \cdots < c_h$ . The *k*-path  $\mathbf{0} = \mathbf{v}_0, \mathbf{v}_1, \ldots, \mathbf{v}_t = \mathbf{c}$  will be called an increasing path if all the vectors  $\mathbf{v}_j$  are ordered vectors.

Let  $B_k(\mathbf{c}) = B_k(c_1, \ldots, c_h)$  be the number of increasing *k*-paths from the origin to **c**. By definition  $B_k(0, \ldots, 0) = 1$ .

**Proposition 2.9.** For  $k, h \in \mathbb{Z}$  with  $1 \le k \le h$  and  $\mathbf{c} = (c_1, \ldots, c_h) \in \mathbb{Z}^h$ , there exists an increasing *k*-path from the origin to  $\mathbf{c}$  if, and only if, the vector  $\mathbf{c}$  is ordered and there is  $t \in \mathbb{N}$  such that  $\sum_{j=1}^h c_j = kt$  and  $0 \le c_j \le t$  for all  $j = 1, \ldots, h$ .

**Proof.** If  $B_k(\mathbf{c}) > 0$  then Proposition 2.7 gives the conditions stated at the enunciate, and the vector **c** is ordered because all the vectors in an increasing *k*-path are ordered.

Conversely, let  $\mathbf{c} = (c_1, \ldots, c_h)$  be an ordered vector and  $t \in \mathbb{N}$  for which the conditions of the enunciate of the proposition hold. If t = 1, then  $\mathbf{c} \in \Gamma$ , and  $\mathbf{0} = \mathbf{v}_0$ ,  $\mathbf{v}_1 = \mathbf{c}$  is an increasing *k*-path. Now, following the ideas presented in the proof of Proposition 2.2, we could choose the *k* largest coordinates of  $\mathbf{c}$  and subtract 1 of each one of these coordinates, to produce a new vector  $\mathbf{c}'$  satisfying the conditions of the proposition for t' = t - 1. But this  $\mathbf{c}'$  is not necessarily ordered, so we will choose these *k* coordinates in the following way: rewrite

$$\mathbf{c} = (\underbrace{b_1, \ldots, b_1}_{s_1}, \underbrace{b_2, \ldots, b_2}_{s_2}, \ldots, \underbrace{b_r, \ldots, b_r}_{s_r}),$$

where  $h = s_1 + \cdots + s_r$  and  $b_i < b_{i+1}$ . Now suppose  $k = s_r + s_{r-1} + \cdots + s_{r-j} + s$ , with  $0 \le s < s_{r-(j+1)}$ . Now choose the  $s_r + \cdots + s_{r-j}$  final coordinates of **c**, plus the first *s* coordinates of the r - (j + 1)-th block of equal coordinates  $b_{r-(j+1)}$ . This will guarantee that the vector **c**' is also ordered, hence there is an increasing *k*-path from the origin to **c**' (induction hypothesis), and since  $\mathbf{c} - \mathbf{c}' = \beta \in \Gamma$ , there is also an increasing *k*-path from the origin to **c**.  $\Box$ 

Given an ordered vector  $\mathbf{c} \in \mathbb{Z}^h$ , for each  $\beta_i \in \Gamma$ , there exist, at most, one increasing *k*-path from  $\mathbf{c} - \beta_i$  to  $\mathbf{c}$ , and when such a *k*-path does not exist, we have that  $\mathbf{c} - \beta_i$  is not an ordered vector, so, by the Proposition 2.9,  $B_k(\mathbf{c} - \beta_i) = 0$ . Thus, the number  $B_k(\mathbf{c})$  satisfies

$$B_k(\mathbf{c}) = \sum_{i=1}^n B_k(\mathbf{c} - \beta_i), \qquad (21)$$

which, together with the initial condition  $B_k(0, 0, ..., 0) = 1$ , determines completely the number  $B_k(\mathbf{c})$ .

**Definition 2.10.** Let  $\mathbf{a}^* = (0, 1, 2, ..., h - 1)$ . The *k*-path  $\mathbf{a}^* = \mathbf{v}_0, \mathbf{v}_1, ..., \mathbf{v}_t = \mathbf{c}$  from  $\mathbf{a}^*$  to  $\mathbf{c}$  is called strictly increasing if all the vectors  $\mathbf{v}_i$  are strictly ordered.

Let  $\hat{B}_k(\mathbf{c}) = \hat{B}_k(c_1, \ldots, c_h)$  be the number of strictly increasing *k*-paths from  $\mathbf{a}^*$  to  $\mathbf{c}$ . By definition  $\hat{B}_k(0, 1, \ldots, h-1) = 1$ .

**Proposition 2.11.** For  $k, h \in \mathbb{Z}$  with  $1 \le k \le h$  and  $\mathbf{c} = (c_1, \ldots, c_h) \in \mathbb{Z}^h$  there exist a strictly increasing k-path from  $\mathbf{a}^*$  to  $\mathbf{c}$  if, and only if,  $\mathbf{c}$  is a strictly ordered vector and there exist a  $t \in \mathbb{N}$  such that  $\sum_{j=1}^{h} c_j = kt + {h \choose 2}$  and  $j - 1 \le c_j \le t + j - 1$ , for all  $j = 1, \ldots, h$ .

**Proof.** Observe that a vector  $\mathbf{v} = (v_1, \ldots, v_h)$  is strictly ordered if, and only if, the vector  $\mathbf{v}' = \mathbf{v} - \mathbf{a}^*$  is ordered, and we have that  $\mathbf{a}^* = \mathbf{v}_0, \mathbf{v}_1, \ldots, \mathbf{v}_t = \mathbf{c}$  is a strictly increasing *k*-path from  $\mathbf{a}^*$  to  $\mathbf{c}$  if, and only if,  $\mathbf{0} = \mathbf{v}_0 - \mathbf{a}^*, \mathbf{v}_1 - \mathbf{a}^*, \ldots, \mathbf{v}_t - \mathbf{a}^* = \mathbf{c} - \mathbf{a}^*$  is an increasing *k*-path from the origin to  $\mathbf{c} - \mathbf{a}^*$ . Thus,

$$B_k(c_1,\ldots,c_h) = B_k(c_1,c_2-1,\ldots,c_h-(h-1)).$$
 (22)

Now the conclusion of this proof follows from (22) and Proposition 2.9, since  $0+1+2+\cdots+(h-1) = \binom{h}{2}$ .  $\Box$ 

Now (21) and (22) give

Proposition 2.12.

$$\hat{B}_k(\mathbf{c}) = \sum_{i=1}^n \hat{B}_k(\mathbf{c} - \beta_i).$$
(23)

# **3.** The coefficients of $(s_k(\mathbf{x}))^t$

Let  $s_k(x_1, ..., x_h)$  be the *k*th elementary symmetric polynomial described in (1). Since each monomial of  $s_k$  is the product of exactly *k* indeterminates among the *h* possible ones, we have

H. Godinho, O.R. Gomes / European Journal of Combinatorics 31 (2010) 1243-1256

$$s_k(x_1,\ldots,x_h) = \sum_{j=1}^n x_1^{\beta_{j1}} x_2^{\beta_{j2}} \cdots x_h^{\beta_{jh}},$$
(24)

where  $\beta_j = (\beta_{j1}, \ldots, \beta_{jh}) \in \Gamma$ .

**Theorem 3.1.** For all  $t \ge 0$ ,

$$(s_k(x_1,\ldots,x_h))^t = \sum_{\mathbf{c}\in\mathscr{C}(t)} P_k(\mathbf{0},\mathbf{c}) x_1^{c_1} x_2^{c_2} \cdots x_h^{c_h},$$

where  $P_k(\mathbf{0}, \mathbf{c})$  is the number of k-paths from the origin to  $\mathbf{c}$ , and

$$\mathcal{C}(t) = \{ \mathbf{c} = (c_1, \ldots, c_h) \in \mathbb{Z}^h \mid 0 \le c_j \le t \text{ and } c_1 + \cdots + c_h = kt \}.$$

**Proof.** The proof is by induction on *t*. For t = 0, we have  $C(0) = \{0\}$ . Then, both sides of the equality are equal to 1. Assume that the theorem is true for some  $t \ge 1$ . Since each element in C(t + 1) can be written as the sum of one element of C(t) with one element of  $\Gamma$ , we can use the induction hypothesis, Proposition 2.7 and the Eq. (20) to show

$$(s_k(\mathbf{x}))^{t+1} = s_k(\mathbf{x}) \cdot (s_k(\mathbf{x}))^t$$
  
=  $\left(\sum_{j=1}^n x_1^{\beta_{j1}} \cdots x_h^{\beta_{jh}}\right) \left(\sum_{\mathbf{c} \in \mathcal{C}(t)} P_k(\mathbf{0}, \mathbf{c}) x_1^{c_1} x_2^{c_2} \cdots x_h^{c_h}\right)$   
=  $\sum_{\mathbf{c} \in \mathcal{C}(t)} \sum_{j=1}^n P_k(\mathbf{0}, \mathbf{c}) x_1^{c_1+\beta_{j1}} x_2^{c_2+\beta_{j2}} \cdots x_h^{c_h+\beta_{jh}}$   
=  $\sum_{\mathbf{b} \in \mathcal{C}(t+1)} \left(\sum_{j=1}^n P_k(\mathbf{0}, \mathbf{b} - \beta_j)\right) x_1^{b_1} x_2^{b_2} \cdots x_h^{b_h}$   
=  $\sum_{\mathbf{b} \in \mathcal{C}(t+1)} P_k(\mathbf{0}, \mathbf{b}) x_1^{b_1} x_2^{b_2} \cdots x_h^{b_h}$ .  $\Box$ 

# 4. The coefficients of $(s_k(\mathbf{x}))^t \cdot \delta(\mathbf{x})$

It is well known that the Vandermonde polynomial

$$\delta(x_1,\ldots,x_h) = \prod_{1 \le i < j \le h} (x_j - x_i), \tag{25}$$

can also be written as

$$\delta(x_1,\ldots,x_h) = \sum_{\sigma \in S_h} \operatorname{sign}(\sigma) x_1^{\sigma(0)} x_2^{\sigma(1)} \cdots x_h^{\sigma(h-1)},$$
(26)

where  $S_h$  is the permutation group of the integers  $\{0, 1, \ldots, h-1\}$ .

Note that  $(s_k(\mathbf{x}))^t \cdot \delta(\mathbf{x})$  is a homogeneous polynomial of degree

$$\deg((s_k)^t \delta) = t \cdot \deg(s_k) + \deg(\delta) = kt + \binom{h}{2}.$$
(27)

Moreover, since the degree of each indeterminate in  $s_k$  is at most 1 and in  $\delta$  is at most h - 1, the degree in each indeterminate in  $(s_k)^t \delta$  is at most t + h - 1.

Let

$$\mathcal{T}(t) = \left\{ (s_1, \ldots, s_h) \in \mathbb{Z}^h | 0 \le s_1 < \cdots < s_h \le t + h - 1 \text{ and } \sum_{i=1}^h s_i = kt + \binom{h}{2} \right\},\$$

and note that if  $(s_1, \ldots, s_h) \in \mathcal{T}(t)$ , then

$$j-1 \le s_j \le t+j-1, \quad \forall j \in \{1, \dots, h\}.$$
 (28)

**Proposition 4.1.** For each  $(s_1, \ldots, s_h) \in \mathcal{T}(t+1)$ , there exist  $(t_1, \ldots, t_h) \in \mathcal{T}(t)$  and  $\beta = (\beta_1, \ldots, \beta_h) \in \Gamma$  such that  $(s_1, \ldots, s_h) = (t_1 + \beta_1, \ldots, t_h + \beta_h)$ .

**Proof.** Take  $\mathbf{s} = (s_1, \dots, s_h) \in \mathcal{T}(t + 1)$ . It follows from the definition and (28) that

$$0 \le s_i - (i-1) \le t+1$$
, for all  $i \in \{1, ..., h\}$  and  $\sum_{i=1}^h [s_i - (i-1)] = k(t+1)$ .

Thus, there are at least *k* coordinates  $s_i$  such that  $s_i - (i - 1) \ge 1$  and there are at most *k* coordinates  $s_j$  such that  $s_j - (j - 1) = t + 1$ . Because the vector **s** is strictly ordered, if  $s_{i_0} - (i_0 - 1) \ge 1$  then  $s_j - (j - 1) \ge 1$ , for all  $j \ge i_0$ , and if  $s_{j_0} - (j_0 - 1) = t + 1$ , then  $s_j - (j - 1) = t + 1$  for all  $j \ge j_0$ . Let *J* be the subset of all indices *j* such that  $s_j - (j - 1) = t + 1$ . Observe that either  $J = \emptyset$  or |J| = r and  $J = \{h - (r - 1), h - (r - 2), \dots, h\}$ . Hence there are still k - r indices *j* such that  $1 \le s_j - (j - 1) < t + 1$ . Let *m* be the smallest index such that  $s_m - (m - 1) < t + 1$  and define  $I = \{m, m + 1, \dots, m + k - (r + 1)\}$ , hence |I| = k - r (if k = r then take  $I = \emptyset$ ). By definition  $I \cap J = \emptyset$ , so  $|I \cup J| = |I| + |J| = k$ . Now define

$$t_i = \begin{cases} s_i - 1 & \text{if } i \in I \cup J \\ s_i & \text{otherwise.} \end{cases}$$

It follows from the definitions of  $t_i$  and the set I that  $0 \le t_i - (i - 1) \le t$ . Now let  $i, j \in \{1, ..., h\}$  with i < j. We want to prove that  $t_i < t_j$ , so the only case to consider is when  $t_i = s_i$  and  $t_j = s_j - 1$ , that is, when  $i \notin I \cup J$  and  $j \in I \cup J$ . If  $j \in I$  then we have  $t_j = s_j - 1 \ge j - 1$  and since i < m we have  $s_i = (i - 1) < (j - 1)$  for i < j. If  $j \in J$  then  $t_j - (j - 1) = t$ , but  $t_i - (i - 1) = s_i - (i - 1) \le t$ . Hence  $t_i - i \le t_j - j$ , and so  $t_i < t_j$ . Therefore  $\mathbf{t} = (t_1, \ldots, t_h) \in \mathcal{T}(t)$ , and we may write  $\mathbf{s} - \mathbf{t} = \beta \in \Gamma$ .  $\Box$ 

It is important to observe that if one takes  $\mathbf{r} \in \mathcal{T}(t)$  and  $\beta \in \Gamma$ , then  $\mathbf{r} + \beta$  may not be a vector of  $\mathcal{T}(t+1)$ . And this happens when there are equal coordinates in the vector  $\mathbf{r} + \beta$ . Since  $\mathbf{r}$  is a strictly ordered vector and  $\beta$  is a (0, 1)-vector, the vector  $\mathbf{r} + \beta$  can have many pairs of equal coordinates, but one can never find three equal coordinates in this vector.

**Definition 4.2.** A vector  $(x_1, ..., x_h) \in \mathbb{Z}^h$  is said to be *m*-paired if among its coordinates one can find *m* pairs of equal coordinates, but never three indices  $i_0$ ,  $i_1$ ,  $i_2$  such that  $x_{i_0} = x_{i_1} = x_{i_2}$ .

Define an action of  $S_h$  in  $\mathbb{Z}^h$  by, for any  $\sigma \in S_h$ ,  $\sigma(\mathbf{x}) = \sigma(x_1, \ldots, x_h) = (x_{\sigma(1)}, \ldots, x_{\sigma(h)})$ . And let  $H_{\mathbf{x}}$  be the *stabilizer subgroup of*  $\mathbf{x}$  in  $S_h$ , that is,  $\sigma(\mathbf{x}) = \mathbf{x}$  for  $\sigma \in H_{\mathbf{x}}$ .

**Proposition 4.3.** Let  $\mathbf{x} \in \mathbb{Z}^h$  be an m-paired vector. Then  $H_{\mathbf{x}}$  is an abelian subgroup of order  $2^m$ , generated by m transpositions. Furthermore, in  $H_{\mathbf{x}}$ , the number of even permutations is equal to the number of odd permutations.

**Proof.** Since **x** is *m*-paired, there are *m* obvious transpositions  $\tau_1, \ldots, \tau_m$  such that  $\tau_i(\mathbf{x}) = \mathbf{x}$ . Also observe that these *m* pairs are all disjoint, so these permutations commute, that is,  $\tau_i \circ \tau_j = \tau_j \circ \tau_i$ . On the other hand, if  $\sigma \in H_{\mathbf{x}}$  then it must permute only some of these equal pairs of coordinates, hence  $\sigma = \tau_1^{\epsilon_1} \circ \tau_2^{\epsilon_2} \circ \cdots \circ \tau_m^{\epsilon_m}$ , with  $\epsilon_i \in \{0, 1\}$ , and therefore  $|H_{\mathbf{x}}| = 2^m$ .

A permutation  $\sigma \in H_x$  is even if it can be written as a product of an even number of transpositions. And, in  $H_x$ , the number of permutations  $\sigma = \tau_1^{\epsilon_1} \circ \cdots \circ \tau_m^{\epsilon_m}$  that is exactly the product of *i* of these transpositions is equal to  $\binom{m}{i}$ . Since

$$\sum_{i=0}^{m} (-1)^{i} {m \choose i} = (1-1)^{m} = 0,$$

it follows that the number of even permutations in  $H_x$  is equal to the number of odd permutation.  $\Box$ 

For simplicity we indicate the monomial  $x_1^{v_1} \cdots x_h^{v_h}$  by  $\mathbf{x}^{\mathbf{v}}$ . Thus, (24) and (26) can be written as

$$s_k(\mathbf{x}) = \sum_{j=1}^n \mathbf{x}^{\beta_j}$$
(29)

and, with  $\mathbf{a}^* = (0, 1, 2, \dots, h-1)$ ,

$$\delta(\mathbf{x}) = \sum_{\sigma \in S_h} \operatorname{sign}(\sigma) \mathbf{x}^{\sigma(\mathbf{a}^*)}$$
(30)

where  $S_h$  is the group of permutations of the integers  $\{0, \ldots, h-1\}$ .

**Theorem 4.4.** For all  $t \ge 0$ ,

$$(s_k(\mathbf{x}))^t \cdot \delta(\mathbf{x}) = \sum_{\sigma \in S_h} \sum_{\mathbf{c} \in \mathcal{T}(t)} \operatorname{sign}(\sigma) \hat{B}_k(\mathbf{c}) \mathbf{x}^{\sigma(\mathbf{c})}$$

**Proof** (*Induction on t*). For t = 0 it is easy to see that  $\mathcal{T}(0) = \{\mathbf{a}^*\}$  and  $\hat{B}_k(\mathbf{a}^*) = 1$ , and it follows from (30).

Now, by the induction hypothesis,

$$(s_{k}(\mathbf{x}))^{t+1} \cdot \delta(\mathbf{x}) = s_{k}(\mathbf{x}) \cdot (s_{k}(\mathbf{x}))^{t} \cdot \delta(\mathbf{x})$$

$$= \left(\sum_{j=1}^{n} \mathbf{x}^{\beta_{j}}\right) \left(\sum_{\sigma \in S_{h}} \sum_{\mathbf{c} \in \mathcal{T}(t)} \operatorname{sign}(\sigma) \hat{B}_{k}(\mathbf{c}) \mathbf{x}^{\sigma(\mathbf{c})}\right)$$

$$= \sum_{\sigma \in S_{h}} \operatorname{sign}(\sigma) \sum_{\mathbf{c} \in \mathcal{T}(t)} \sum_{j=1}^{n} \hat{B}_{k}(\mathbf{c}) \mathbf{x}^{\sigma(\mathbf{c}) + \beta_{j}}$$

$$= \sum_{\sigma \in S_{h}} \operatorname{sign}(\sigma) \sum_{\mathbf{c} \in \mathcal{T}(t)} \sum_{i=1}^{n} \hat{B}_{k}(\mathbf{c}) \mathbf{x}^{\sigma(\mathbf{c}+\beta_{i})}, \qquad (31)$$

since there is a unique  $i \in \{1, ..., n\}$  such that  $\beta_j = \beta_{\sigma(i)}$  and then we have

$$\sigma(\mathbf{c}) + \beta_j = \sigma(\mathbf{c}) + \beta_{\sigma(i)} = \sigma(\mathbf{c} + \beta_i).$$

Let us define the auxiliary set

$$\mathbb{T}(t) = \left\{ (s_1, \ldots, s_h) \in \mathbb{Z}^h | 0 \le s_1 \le \cdots \le s_h \le t + h - 1 \text{ and } \sum_{i=1}^h s_i = kt + \binom{h}{2} \right\}.$$

Observe that for any  $\mathbf{c} = (c_1, \ldots, c_h) \in \mathcal{T}(t)$ , and for any  $\beta_i \in \Gamma$ , we have  $\mathbf{c} + \beta_i = \mathbf{b} \in \mathbb{T}(t+1)$ . It might be the case that, for some  $\mathbf{b} \in \mathbb{T}(t+1)$  and some  $\beta \in \Gamma$ , one has  $\mathbf{b} - \beta \notin \mathcal{T}(t)$ , but in this case Proposition 2.11 says that  $\hat{B}_k(\mathbf{b} - \beta_j) = 0$ . Hence we may rewrite (31) as

$$(s_k(\mathbf{x}))^{t+1} \cdot \delta(\mathbf{x}) = \sum_{\sigma \in S_h} \operatorname{sign}(\sigma) \sum_{\mathbf{b} \in \mathbb{T}(t+1)} \sum_{j=1}^n \hat{B}_k(\mathbf{b} - \beta_j) \mathbf{x}^{\sigma(\mathbf{b})}.$$
(32)

Since  $\mathcal{T}(t+1) \subset \mathbb{T}(t+1)$ , we may write the RHS of (32) as

$$= \sum_{\sigma \in S_{h}} \operatorname{sign}(\sigma) \left\{ \sum_{\mathbf{b} \in \mathcal{T}(t+1)} \sum_{j=1}^{n} \hat{B}_{k}(\mathbf{b} - \beta_{j}) \mathbf{x}^{\sigma(\mathbf{b})} + \sum_{\mathbf{b} \in \mathbb{T}(t+1) \setminus \mathcal{T}(t+1)} \sum_{j=1}^{n} \hat{B}_{k}(\mathbf{b} - \beta_{j}) \mathbf{x}^{\sigma(\mathbf{b})} \right\}$$
$$= \sum_{\sigma \in S_{h}} \operatorname{sign}(\sigma) \sum_{\mathbf{b} \in \mathcal{T}(t+1)} \left( \sum_{j=1}^{n} \hat{B}_{k}(\mathbf{b} - \beta_{j}) \right) \mathbf{x}^{\sigma(\mathbf{b})}$$
$$+ \sum_{\mathbf{b} \in \mathbb{T}(t+1) \setminus \mathcal{T}(t+1)} \sum_{j=1}^{n} \sum_{\sigma \in S_{h}} \operatorname{sign}(\sigma) \hat{B}_{k}(\mathbf{b} - \beta_{j}) \mathbf{x}^{\sigma(\mathbf{b})}.$$
(33)

Now, by (23) we have that (33) becomes

$$\sum_{\sigma \in S_{h}} \operatorname{sign}(\sigma) \sum_{\mathbf{b} \in \mathcal{T}(t+1)} \hat{B}_{k}(\mathbf{b}) \mathbf{x}^{\sigma(\mathbf{b})} + \sum_{\mathbf{b} \in \mathbb{T}(t+1) \setminus \mathcal{T}(t+1)} \sum_{j=1}^{n} \sum_{\sigma \in S_{h}} \operatorname{sign}(\sigma) \hat{B}_{k}(\mathbf{b} - \beta_{j}) \mathbf{x}^{\sigma(\mathbf{b})}$$

and so, it is enough to show that

$$\sum_{\mathbf{b}\in\mathbb{T}(t+1)\setminus\mathcal{T}(t+1)}\sum_{j=1}^{n}\sum_{\sigma\in\mathcal{S}_{h}}\operatorname{sign}(\sigma)\hat{B}_{k}(\mathbf{b}-\beta_{j})\mathbf{x}^{\sigma(\mathbf{b})}=0.$$
(34)

Take  $\mathbf{b} \in \mathbb{T}(t+1) \setminus \mathcal{T}(t+1)$ , thus  $\mathbf{b} = (b_1, \dots, b_h)$  is not a strictly ordered vector, so it must have equal coordinates. If  $\mathbf{b}$  has at least three equal coordinates, say  $b_u = b_v = b_w$ , with u < v < w, then the vector  $\mathbf{b} - \beta$  cannot be strictly ordered, for we would need to have  $b_u - 1 < b_v - 1 < b_w - 1$ , which is impossible. Hence, Proposition 2.11 guarantees, in this case,  $\hat{B}_k(\mathbf{b} - \beta) = 0$ .

Now suppose **b** is *m*-paired. Let  $\{\sigma_1, \ldots, \sigma_r\} \subset S_h$  be one of the largest sets of permutations such that  $\sigma_i(\mathbf{b}) \neq \sigma_j(\mathbf{b})$  for  $i \neq j$ . Hence we can write  $S_h$  as a disjoint union of sets

$$S_h = \mathcal{H}_1 \cup \cdots \cup \mathcal{H}_r,$$

where  $\mathcal{H}_i = \{\delta \in S_h \mid \delta(\mathbf{b}) = \sigma_i(\mathbf{b})\}$ , for i = 1, ..., r.

Observe that there is an 1–1 correspondence between the set  $\mathcal{H}_i$  and the set  $H_{\sigma_i(\mathbf{b})}$ , the stabilizer of  $\sigma_i(\mathbf{b})$ , given by

$$\delta \in \mathcal{H}_i \longmapsto \delta \circ \sigma_i^{-1} \in H_{\sigma_i(\mathbf{b})} \text{ and } \gamma \in H_{\sigma_i(\mathbf{b})} \longmapsto \gamma \circ \sigma_i \in \mathcal{H}_i.$$

Hence, for every  $\delta \in \mathcal{H}_i$ , there is a  $\gamma \in H_{\sigma_i(\mathbf{b})}$  such that  $\delta = \gamma \circ \sigma_i$ . Then one has

$$\sum_{\sigma \in S_{h}} \operatorname{sign}(\sigma) \hat{B}_{k}(\mathbf{b} - \beta_{j}) \mathbf{x}^{\sigma(\mathbf{b})} = \sum_{u=1}^{r} \sum_{\delta \in H_{u}} \operatorname{sign}(\delta) \hat{B}_{k}(\mathbf{b} - \beta_{j}) \mathbf{x}^{\delta(\mathbf{b})}$$
$$= \sum_{u=1}^{r} \sum_{\gamma \in H_{\sigma_{u}(\mathbf{b})}} \operatorname{sign}(\gamma \circ \sigma_{u}) \hat{B}_{k}(\mathbf{b} - \beta_{j}) \mathbf{x}^{\gamma \circ \sigma_{u}(\mathbf{b})}$$
$$= \sum_{u=1}^{r} \operatorname{sign}(\sigma_{u}) \hat{B}_{k}(\mathbf{b} - \beta_{j}) \mathbf{x}^{\sigma_{u}(\mathbf{b})} \sum_{\gamma \in H_{\sigma_{u}(\mathbf{b})}} \operatorname{sign}(\gamma),$$

since  $\gamma(\sigma_u(\mathbf{b})) = \sigma_u(\mathbf{b})$ . Now we can use Proposition 4.3 to conclude that

$$\sum_{\gamma \in H_{\sigma_{\mathcal{U}}}(\mathbf{b})} \operatorname{sign}(\gamma) = 0,$$

which proves (34).

#### 5. Proofs of the main theorems

We are assuming  $\ell$ , *t* and *M*(*s*) as defined in (6) and (7).

Proof of Theorem 1.3. As mentioned in (5), we may assume

$$\ell = \frac{\sum_{i=1}^{h} (k_i - 1)}{k}.$$
(35)

And according to Theorem 1.2, in order to obtain the result above, it is sufficient to prove that the coefficient of the monomial  $x_1^{k_1-1}x_2^{k_2-1}\cdots x_h^{k_h-1}$  in  $(s_k(\mathbf{x}))^\ell$  is nonzero in *K*. Now it follows from Theorem 3.1 that the coefficient of  $x_1^{k_1-1}\cdots x_h^{k_h-1}$  is  $P_k(0, \mathbf{c})$ , with  $\mathbf{c} = (k_1 - 1, \dots, k_h - 1)$ . By the

hypothesis and (35) we have

$$\sum_{i=1}^{h} (k_i - 1) = k\ell \quad \text{and} \quad 0 \le k_j - 1 \le \ell,$$

hence we can apply Proposition 2.7 to conclude that  $P_k(0, \mathbf{c}) \neq 0$  as a natural number. On the other hand, from (17) and (19) it follows that

$$P_k(0, \mathbf{c}) = |\Theta(\mathbf{c}, \ell)| \le M(\ell) < p$$

by the hypothesis of the theorem. Therefore this coefficient is also nonzero in the field K.  $\Box$ 

### 5.1. Proof of Theorem 1.4

We are assuming p > M(t),  $k_i \neq k_j$  for  $i \neq j$  and  $1 \leq k_i \leq t + h$ , for any i = 1, ..., h (see (6)). Hence we may write

$$1 \le k_1 < k_2 < \dots < k_h \le t + h.$$
(36)

**Lemma 5.1.** Under the conditions above, it always possible to find  $k_1^*, \ldots, k_h^*$  such that  $k_j^* < k_j$ , for  $j = 1, \ldots, h, \ 1 \le k_1^* < k_2^* < \cdots < k_h^*$  and

$$t = \left[\frac{\sum_{j=1}^{h} (k_j - j)}{k}\right] = \frac{\sum_{j=1}^{h} (k_j^* - j)}{k}.$$
(37)

**Proof.** Let  $s_j = k_j - j$ . Then, it follows from (37) that  $0 \le s_1 \le \cdots \le s_h$ . Let us write

$$\sum_{j=1}^h s_j = M = kt + r,$$

 $0 \le r < k$ . The proof will follow from the fact that it is always possible to find  $0 \le s_1^* \le \cdots \le s_h^*$  such that

$$\sum_{j=1}^h s_j^* = M - i,$$

for  $0 \le i \le r$ , for then, with i = r, we can take  $k_j^* = s_j^* + j$ . The case i = 0 is obvious, and for i > 1, it follows by a trivial induction on i.  $\Box$ 

**Proof of Theorem 1.4.** According to Lemma 5.1, taking subsets of the sets *A<sub>j</sub>*'s if necessary, we may assume

$$1 \le k_1 < k_2 < \dots < k_h$$
 and  $\sum_{j=1}^h (k_j - j) = kt$ . (38)

It follows from Theorem 1.2 that it is enough to prove that the coefficient of  $x_1^{k_1-1} \cdots x_h^{k_h-1}$  in the product  $(s_k)^t \delta$  is nonzero in *K*.

Now consider the vector  $\mathbf{c} = (k_1 - 1, \dots, k_h - 1)$ , and observe that  $\mathbf{c}$  is a strictly ordered vector such that, by the hypothesis and (38),

$$j-1 \le k_j - 1 \le t + (j-1)$$
 and  
 $\sum_{j=1}^{h} (k_j - 1) = \sum_{j=1}^{h} (k_j - j) + {h \choose 2} = kt + {h \choose 2}.$ 

In this case we can use Theorem 4.4 and Proposition 2.11 to conclude that the coefficient is, in modulus, the number  $\hat{B}_k(\mathbf{c})$  which is nonzero as a natural number. But since (see (17) and (19))

$$0 < B_k(\mathbf{c}) \le P_k(\mathbf{a}^*, \mathbf{c}) = P_k(\mathbf{0}, \mathbf{c} - \mathbf{a}^*) = |\Theta(\mathbf{c} - \mathbf{a}^*, t)| \le M(t) < p$$

the coefficient is also nonzero in K.  $\Box$ 

#### 6. Some examples

We would like to present some simple examples for which the lower bounds in Theorems 1.3 and 1.4 are reached.

**Example 6.1.** If  $A_1 = \{a_1\}, A_2 = \{a_1, a_2\}, A_3 = \{a_1, a_2, a_3\}, \dots, A_h = \{a_1, a_2, a_3, \dots, a_h\}$ , then the lower bound in the Theorem 1.4 is attained:

$$|\Delta_{s_k}(A_1,\ldots,A_h)|=1=\left\lfloor\frac{\sum\limits_{i=1}^h i-\binom{h+1}{2}}{k}\right\rfloor+1.$$

**Example 6.2.** Let h = 3, k = 2,  $A_1 = \{-a, 0, a\}$ ,  $A_2 = \{-a, 0, a, b\}$  and  $A_3 = \{-b, -a, 0, a, b\}$ . Since

 $s_2(x_1, x_2, x_3) = x_1x_2 + x_1x_3 + x_2x_3$ 

we have

$$|\Delta_{s_2}(A_1, A_2, A_3)| = \left[\frac{1}{2}\left(3+4+5-\frac{3\times 4}{2}\right)\right]+1=4,$$

and taking  $A_1 = A$ 

$$|\Omega_{s_k}(A, A, A)| = \left[\frac{\sum_{j=1}^h k_j - h}{k}\right] + 1 = 4.$$

It would be interesting to find if there is any structure for the sets for which these bounds are attained (the *critical sets*).

### Acknowledgements

We would like to express our gratitude to the referee for his/her careful reading and comments. The authors were partially supported by a grant from CNPq-Brazil.

#### References

- [1] N. Alon, Combinatorial nullstellensatz, Combinatorics, Probability and Computing 8 (1999) 7–29.
- [2] N. Alon, M.B. Nathanson, I.Z. Ruzsa, The polynomial method and restricted sums of congruence classes, Journal of Number Theory 56 (1996) 404–417.
- [3] R.A. Brualdi, Matrices of zeros and ones with fixed row and column sum vectors, Linear Algebra and its Applications 33 (1980) 159–231.
- [4] C. Caldeira, Generalized derivations restricted to Grassmann spaces and additive theory, Linear Algebra and its Applications 401 (2005) 11–27.
- [5] J.A. Dias da Silva, H. Godinho, Generalized derivations and additive theory, Linear Algebra and its Applications 342 (2002) 1–15.
- [6] J.A. Dias da Silva, H. Godinho, Generalized derivations and additive theory II, Linear Algebra and its Applications 420 (2007) 117–123.

- [7] J.A. Dias da Silva, Y.O. Hamidoune, Cyclic spaces for Grassmann derivatives and additive theory, Bulletin of the London Mathematical Society 26 (1994) 140-146.
- [8] W. Gao, A. Geroldinger, Zero-sum problems in finite abelian groups: A survey, Expositiones Mathematicae 24 (2006) 337-369.
- [9] M.B. Nathanson, Additive Number Theory: Inverse Problems and the Geometry of Sumsets, Springer, New York, 1996.