Chemically specific identification of carbon in XPS imaging using Multivariate Auger Feature Imaging (MAFI)

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ABSTRACT

Until now, a difficult prospect in XPS imaging has been the identification of similar chemical states of carbon. With the advent of novel nano-carbons such as nanotubes and graphene, the ability to easily and unambiguously identify materials of varying sp2/sp3 nature in XPS spectra and images is becoming increasingly important. We present herein methods for the identification of such species in XPS images by shifting focus from the traditionally analysed C1s region to the X-ray induced carbon Auger feature. By extracting the D-Parameter from XPS data, we have generated what we refer to as "D-Parameter Images", that clearly identify regions of different carbon hybridisation in an image of a graphite flake mounted on carbon tape, and areas of reduced graphene oxide (GO) in a laser-scribed GO film. This method is then enhanced by multivariate analysis, a technique we call "Multivariate Auger Feature Imaging", where the distinction between varying sp2 carbon content on a surface is improved.

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1. Introduction

Surface analysis by X-ray photoelectron spectroscopy (XPS) is well-suited to the characterisation of carbon-based nanomaterials and films, and is a complementary technique to optical spectroscopy (Raman, IR, UV–Vis), or scanning electron/ion and scanning probe microscopies [1]. There are many allotropes of carbon and one of particular technological interest currently is that of graphene, often produced as graphene oxide through chemical or mechanical exfoliation of graphite[2].

At our multi-user facility, we provide access to state-of-the-art XPS instrumentation to academia, but also perform our own in-house research developing new and existing XPS techniques and methodologies [3–7]. One question that is often asked by our collaborators working with carbon materials is: “can we determine how much oxygen is present in a graphene oxide sample and what the ratio of sp2 to sp3 hybridised carbon is in the sample?”. The former part of the question can be answered through the analysis of the O1s and C1s peak areas, with further peak-fitting of these regions to determine the chemical states present. In the latter case, discerning whether the carbon present in a given sample is sp2 or sp3 hybridised can prove to be quite difficult. While there are differences in C1s spectra between, for example, diamond and graphite (i.e. effectively pure sp3 and sp2 carbon respectively), these differences tend to be quite subtle, and are sometimes convoluted with extra chemical states (such as oxidation) or made difficult to elucidate due to charging effects brought on by the technique itself.

Often ignored in typical XPS analysis are the X-ray-induced Auger features. For carbon this feature is present around 260 eV (kinetic energy, KE), and arises from the relaxation and subsequent ejection of valence electrons from a carbon atom after the initial photo-ionisation by X-rays (denoted C KLL). Fig. 1(a) presents C1s spectra collected from a sample of graphite and of low-density polyethylene (LDPE), while Fig. 1(b) shows the corresponding C KLL spectra. It has previously been shown that the shape of the C KLL feature changes depending on the arrangement of carbon atoms in the surface under analysis [8]. These variations are elucidated through differentiation of the spectra, shown in Fig. 1(c), where the difference in energy between the maximum and minimum of the resultant curves varies considerably when going from

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sp² to sp³ hybridisation. This difference is commonly known as the D-Parameter, and values typically range from 11 to 13 eV for very sp³-like materials to 21–23 eV for very sp²-like materials [9]. The D-Parameter values for the graphite and LDPE measured here were found to be 22.1 eV and 13.5 eV respectively as measured from the AXIS Nova instrument. Table 1 provides the calculated D-Parameter values measured from the same reference samples using all three instruments in this study for comparison. Lascovich et al. presented data collected from a variety of materials that resulted in an apparently linear relationship between the estimated percentage of sp³ carbon in samples and the measured D-Parameter [10]. Thus measurement of the D-Parameter can give analysts insight into how much of each bonding type is present in a carbon-based material in a manner that may be much easier to interpret relative to peak-fitting C1s spectra. Furthermore, since the measurement looks at the width of the Auger feature, and not its position, this technique is independent of sample charging, making the measurement more robust under scrutiny.

Though the spatial resolution of modern XPS instruments is quite modest compared to other similar surface analysis techniques, XPS imaging/mapping can be useful to identify regions of differing chemical structure in a sample surface, with a spatial resolution on the order of 1–10 μm. It is useful here to define what is meant by “imaging” and “mapping”. Parallel XPS imaging collects the intensity of the signal from a specific kinetic energy related to a single elemental species across a two-dimensional field-of-view, typically hundreds of microns, and projects this image onto a 2-dimensional detector such as the delay-line detector (DLD). In parallel XPS imaging, high intensity at a given kinetic energy ideally indicates a higher proportion of that element present in that area. This can be useful for elements that have well-separated and intense photoelectron peaks in XPS spectra, such as carbon and oxygen for example which are separated by ~250 eV. This technique is sensitive to background intensity variations, and thus “background” images also need to be collected and subtracted from the elemental image. Elemental images may then tell the analyst where carbon and oxygen signals come from within the field of view, but not about the details of their chemical state. This information would have to come from further analysis utilising small-area spectroscopy. Chemical state imaging takes a similar approach, however, focuses on a single elemental region in the XPS spectrum with a narrow energy window. Contrast in this technique then comes from differences in chemical state features within a given elemental region. This can be further built-upon by collecting images at regular energy intervals across a spectral region of interest, and then combining them in post-processing (sometimes referred to as ‘spectra from images’). At each pixel a spectrum can be extracted that details the chemical nature of the region of the surface around that pixel. Again, this can be a useful method, however due to the relatively high pass energy typically used in XPS imaging (and thus low spectral resolution) it still relies on features being well-separated and distinguishable from one-another.

XPS elemental/chemical mapping, on the other hand, is simpler to discuss. The X-ray source and analyser are configured to give the smallest analysed area for the instrument, whether by a micro-focussed X-ray spot, or inserting apertures and choosing specific lens modes to reduce the acceptance area of the analyser. The sample position is then iterated in steps across a defined grid of points, with spectra of interest collected at each position and combined in-software to produce an intensity map. This technique has the advantage of not requiring post-processing to extract spectra, although it is likely that the achievable spatial resolution would not be as attractive compared to the parallel imaging technique.

Chemical state imaging of carbon surfaces where the prominent chemical difference is the hybridisation of the carbon species is thus a difficult prospect, since these spectra typically have very subtle differences. Fig. 2 shows an optical microscope image (taken from within our instrument) of a small graphite flake mounted on carbon tape in preparation for XPS analysis. Small area spectroscopy was performed at the regions indicated in the figure (100 × 100 μm², 20 eV pass energy), and showed very similar C1s spectra in both regions. This could suggest that there is some overlap in the analysed regions, or that indeed the flake and the tape have very similar chemical structure at their very surface. In either case, the results are somewhat ambiguous.

Recently, we published work describing a new method for resolving this ambiguity that combines the specificity of the D-Parameter measurement, with the spatial information of Parallel XPS Imaging. We called this technique Multivariate Auger Feature Imaging (MAFI) [6]. From this, XPS images could be extracted that plot the D-Parameter as a function of position, rather than simple

![Fig. 1. LDPE and Graphite XPS spectra from a) the C1s region and b) the X-ray induced C KLL Auger feature. The Auger feature can be differentiated to produce the curves in c) where the difference between LDPE and Graphite is emphasised.](image-url)
peak intensities, thereby giving the analyst an immediate and unambiguous view of the spatial distribution of the sp\(^2\) and sp\(^3\) content on a given sample surface. Here we discuss this method in more detail and provide a further example of its application, not only utilising XPS imaging, but also XPS mapping on a larger scale showing the chemical distribution of sp\(^2\) carbon on a laser-scribed graphene/graphite oxide film.

2. Experimental

Parallel XPS images were collected on an AXIS Nova (Kratos Analytical, Manchester, UK) using monochromatic Al K\(\alpha\) radiation operating at 225 W. Images were collected using Field of View 1 (800 × 800 \(\mu\)m\(^2\)) with the low resolution imaging iris. Pass energy was 80 eV, and the energy interval between images was 2 eV. Data was converted to VAMAS format and processed using a combination of CasaXPS [11] (version 2.3.17) and Octave [12] (version 3.8.2). XPS mapping was performed on K-Alpha and Theta Probe XPS instruments (Thermo Scientific, East Grinstead, UK), using monochromatic Al K\(\alpha\) radiation operating at a maximum power of 36 W, micro-focussed to a spot size of 50–100 \(\mu\)m. The large-area map was collected with a stage step size of 200 \(\mu\)m, and a defined area of 25 × 29 points (approx. 4.75 × 5.64 mm\(^2\)), while the small-area map was collected with a step size of 50 \(\mu\)m and a defined area of 18 × 15 points (approx. 0.91 × 0.74 mm\(^2\)). Spectra were collected at each pixel with pass energy 40 eV and energy step size of 0.5 eV for C 1s spectra, and pass energy 20 eV and energy step size of 0.1 eV for C 1s spectra.

LDPE film of thickness 0.125 mm was purchased from Goodfellow (Cambridge, UK, catalogue number ET311250). Graphite foil of thickness 0.20 mm (99.8% purity) was purchased from Advent Research Materials (Oxford, UK, catalogue number C17915).

Raman spectra were acquired using an Alpha 300R confocal Raman microscope (WITec Instruments, Germany) with 532 nm laser using a 100× aperture. A 100 × 100 \(\mu\)m\(^2\) area was selected for acquiring multispectral Raman images using a 20× objective. Linear backgrounds were subtracted from each spectrum. Multivariate curve resolution (MCR) was applied in Matlab 2014b in PLS_Toolbox 7.9 using non-negativity constraints in both spectral and image dimensions.

3. Chemical state imaging of graphite on carbon tape

3.1. D-Parameter Imaging

We have combined the chemical specificity of the D-Parameter measurement with the spatial details afforded from parallel XPS imaging on our AXIS Nova instrument. We call this method simply “D-Parameter Imaging”. XPS images are collected at regular energy intervals across the C KLL feature (in this case in 2 eV energy steps). This series of XPS images is then exported to the standard VAMAS format for post processing. Code written and executed in Octave then compiles these images, extracts the Auger spectrum at each pixel, processes the spectrum to obtain the D-Parameter, and constructs an image based on this value and its original x/y coordinate position in the 128 × 128 pixel image. Fig. 3 presents the end result for this analysis where the D-Parameter image is presented as a thermal map. The ‘cool’ colours represent regions where the D-Parameter was low, while the ‘hot’ colours represent regions where the D-Parameter was high. Comparing the original optical image with the generated D-Parameter Image, one can see immediately that the graphite flake is clearly distinguished from the carbon tape by a region of high D-Parameter, i.e. an area in the image related to mostly sp\(^2\) carbon in the analysed area of the sample.

The spectra that resulted in the D-Parameter image in Fig. 3 can be extracted from the data as seen in Fig. 4(a). At the centre of the feature the C KLL spectrum is broad, with a width of around 20 eV, as would be expected for graphite and sp\(^2\)-like carbon, while away from the feature the spectrum is much narrower, leading to a lower D-Parameter of around 16 eV indicative of sp\(^3\)-like carbon, as one might expect for the polymeric make-up of carbon tape. The spectra are quite noisy however, an unfortunate consequence of the generally low signal of the parallel XPS imaging technique, and the already low intensity of the X-ray induced carbon Auger feature. The signal-to-noise ratio (SNR) can be improved by “binning-down” the original XPS images, i.e. taking a 128 × 128 pixel image and summing intensities from neighbouring pixels. The data was reduced to 32 × 32 pixel images, and then processed using the same Octave code, with the resulting D-Parameter image presented in Fig. 4(b). The sacrifice in spatial resolution of the image allows gains to be made in SNR for the C KLL spectra.

3.2. Multivariate Auger Feature Imaging (MAFI)

Multivariate analysis can be used to greatly improve data quality when applied to both XPS spectra and images [13–15]. To improve the quality of the C KLL spectra and thus the resulting D-Parameter image without losing spatial resolution, multivariate analysis was used to “de-noise” the original spectra using target factor analysis (TFA, performed in CasaXPS), effectively identifying the strongest factors that influence the shape of the Auger spectra, and discarding the rest of the data (i.e. discarding the noise). Once the complete set of TFA processed spectra are generated, these are used in the original image processing method to extract the D-Parameter at
each pixel and generate the image. We call this “Multivariate Auger Feature Imaging” or MAFI [6], and the result is shown in Fig. 5(a). Upon removal of the noise from the C KLL spectra, the calculations of D-Parameter have much less scatter, and are less prone to error. Consequently, the generated image is sharper since the full 128 × 128 pixel image set can be used. As previously discussed, spectra can be extracted from the image where the improved SNR is clearly evident as shown in Fig. 5(b). Once generated, the image can be processed just like any other spectroscopic or scanned probe image using any tools readily available to the analyst. To demonstrate, we have applied a simple line-scan across the image in Fig. 5(a) as indicated by the dashed line, and plot the resulting change in D-Parameter with distance down the field of view of the image (800 × 800 μm²) as a linescan in Fig. 5(c) as the black line. The change in D-Parameter across the graphite flake then becomes very clear, and even measurements of the dimensions of the flake could be made from such an image. Also presented in this plot is the equivalent linescan from the image in Fig. 4(a), shown as the grey line, where the extreme variability of the calculated D-Parameter due to experimental noise is evident. It is interesting to note here the surprisingly low D-Parameter value across the graphite flake. One should expect that graphite would have a value greater than 20 eV, yet the measured value here is around 17 eV. Recall Fig. 2 where we show small-spot spectra from the tape and the flake.
The C1s peak clearly shows the presence of extra chemical states of carbon, likely associated with carbon-oxygen functionality. This would suggest that the graphite flake is not pristine in chemical functionality.

4. MAFI analysis of laser-scribed graphene oxide films

Over the last decade graphene has received a remarkable amount of research attention, owing to exceptional mechanical and electrical properties offered by the material [216]. Much of this research is focused on methods of graphene production, which can be broadly split into two categories; ‘top-down’ and ‘bottom-up’. The former approach, which encompasses methods such as mechanical cleavage and chemical exfoliation, offers cost-effective production suitable for up-scaling, but is limited in control over the resulting graphene properties. The latter includes chemical vapour deposition and epitaxial growth, providing good control over the number of layers and deposition thickness, but at greater cost [17–20]. While the most pristine forms, i.e. defect and chemical functionality free, of graphene may find ultimate applications in nano-scale electronics and mechanics, graphene with some amount of defective/functionalised regions can be utilised in sensing and optical applications. Graphene/graphite oxide (GO) is commercial available in reasonable quantities, and can be processed to produce reduced graphene oxide (rGO), that while still maintaining a certain level of defects and functionality (typically carboxyl/hydroxyl), restores some of desirable properties of pristine graphene that can be harnessed in applications.

One method for the reduction of GO to rGO is that of the photothermal process via laser reduction described by El-Kady and Kaner [21]. In the present work, graphene oxide (Graphene Supermarket, USA) was diluted to 2 g/L in deionised water and ultrasonicated at room temperature for 1 h in order to ensure a good dispersion of the GO flakes. The GO was then drop-cast (15 mL) onto acetate coated discs (cut to size) and allowed to dry overnight. Once dried, the disc was loaded into a DVD writer and laser-scribed with pre-defined patterns (LightScribe Template Labeler software). The labelling process was repeated 6 times, obtaining well defined and dark-colour patterns for the rGO areas. Fig. 6(a) provides a photograph of one pattern generated by the laser-scribing method; an image of the Newcastle University shield where the dark areas are the laser-scribed GO, and the light areas are the as-deposited GO. The pattern in total is approximately 5 mm x 6 mm (W x H). XPS mapping was utilised to elucidate the elemental variations in carbon and oxygen across the laser-scribed pattern. Fig. 6(b) and (c) shows the resulting quantified atomic percentage XPS maps from the K-Alpha XPS instrument using a 100 μm X-ray spot with a 200 μm step size between pixels. Maps are interpolated up to an equivalent 256 x 256 pixel density using the Avantage software (Thermo Scientific, East Grinstead, UK). By decreasing the X-ray spot size and step size to 50 μm, a smaller area could be mapped on the surface with higher spatial resolution as presented in Fig. 6(d) and (e) for C1s and O1s atomic percentages respectively. The four maps presented alongside the optical image very clearly show the relationship between carbon and oxygen content from the XPS spectra and the light and dark, i.e. as-deposited and laser-scribed, areas on the pattern. Where the laser has irradiated the deposited GO film (dark areas in Fig. 6(a)), there is a marked decrease in oxygen content as indicated by lower intensity of the O1s atomic percentage in the maps. This corresponds to an increase in the C1s atomic percentage as the two quantities are inter-dependent, and only C1s and O1s signals were detected in significant amounts on the GO films (see Supp. Info. Fig. S1).

The change in oxygen content at the surface of the laser-scribed GO compared to the as-deposited GO is unambiguous from the XPS maps. However to suggest that one has generated reduced GO from the deposited film would require evidence suggesting the defective sp3-like features of the GO platelets are reduced under laser irradiation, and that the loss of oxygen relates to an increase in the sp2 carbon content of the surface.

Results from the analysis of the surfaces in confocal Raman microscopy are given in Fig. 7. Fig. 7(a) shows the optical image of the central “cross” feature of the sample. As before, the dark areas are those that were scribed by the laser, and thus are expected to
show an increased \( \text{sp}^2 \) carbon content. A magnified view of a boundary region between as-deposited GO and GO irradiated by individual laser pulses is presented in Fig. 7(b). Using the spectra collected from the area shown in Fig. 7(c) and (d) for as-deposited and laser-scribed respectively, a red-blue Raman intensity map could be generated using a two-component model, where the blue channel is associated with spectra similar to (c), i.e. graphene oxide-like material with intensity ratio \( I_D/I_G = 1.8 \), and the red channel is associated with spectra similar to (d), i.e. graphene-like material with \( I_D/I_G = 0.3 \).

The spectra in Fig. 7(c) and (d) of the patterned GO film indicated a clear difference in ratio of the D and G bands (\( I_D/I_G \)) for pristine and laser-scribed areas, being 1.8 and 0.3, respectively. The ratio of \( I_G \) to \( I_D \) maps extracted from multispectral imaging dataset produces an \( \text{sp}^2 \) carbon map (shown in Fig. 7(b)) with bright pixels in laser-scribed areas having higher graphitic content and darker pixels in pristine areas having decreased \( \text{sp}^2 \) character. This suggest a reduction in defect density on the graphene platelets and thus an increase in overall \( \text{sp}^2 \) character upon laser irradiation, as observed previously for similar work [22,23]. Narrowing of the D-band is observed after laser irradiation. Trusovas et al. attributed a decrease in overall \( \text{sp}^2 \) character upon laser irradiation, as observed previously for similar work [22,23].

Along with the elemental spectra used to generate the maps in Fig. 6, C KLL spectra were collected at each pixel. This allowed for MAFI maps to be generated from the D-Parameter extracted at each pixel in the XPS maps. Prior to D-Parameter analysis, spectra were processed using TFA to discard the noise from the data, providing higher quality spectra for processing. Fig. 8 shows the resulting MAFI map generated from the XPS data, with (a) generated from the 200 \( \mu \)m pixel spacing data and (b) from the 50 \( \mu \)m spacing. Here intensity in the map indicates an increase in the extracted D-Parameter, thus bright pixels are associated with regions of greater \( \text{sp}^2 \) carbon content.

Comparing the maps in Fig. 8 to those in Fig. 6, it can be concluded that the regions of greater D-Parameter and thus higher \( \text{sp}^2 \) content are associated directly with the laser-scribed regions of
5. Strengths and limitations of the MAFI technique

The core strength gained from combining the D-Parameter measurement with XPS imaging is the chemical specificity that results. Strong changes in carbon chemistry within the information volume of the XPS technique are unambiguously distinguished, even when the associated C1s spectra show little to no discernible difference. This results in a much more powerful imaging method when compared to images collected from the C1s region alone. Furthermore, since the D-Parameter measurement is effectively looking at the width of the Auger feature, it is completely independent of charging effects that may result from the XPS analysis. The Auger peak could shift by a number of eV in position, yet the D-Parameter measurement will remain the same. The same cannot be said when imaging using the C1s peak position, and in fact small levels of surface or differential charging will likely lead to erroneous conclusions being drawn, when one chemical state may be identified as different to another not due to their chemistry but due to differential charging between the regions at the surface. Very recently, this has been exploited by Gorham et al. to separate regions of high multi-walled carbon nanotube concentrations in an epoxy matrix [29]. However for surfaces where the different phases are still largely conductive, such as for patterned graphene oxide, the differential charging method unfortunately would not be conclusive.

MAFI is not solely applicable to XPS imaging and mapping. The electron-induced carbon Auger spectrum, such as that observed in Auger electron spectroscopy (AES) and scanning Auger microscopy (SAM) is just as sensitive to changes in carbon bonding as the X-ray induced feature in XPS [30]. Thus MAFI can also be applied in these complementary techniques, where significant gains can be had in spatial resolution, owing to the usage of an electron beam as the probe rather than X-rays.

A drawback to the MAFI technique is the low intensity of the C KLL feature relative to the C1s peak. Coupled with the already low signal that typically arises from XPS imaging/mapping techniques, long acquisition times consequently become necessary. However through the application of multivariate analysis and using the MAFI method, some gains can be made in this regard. Relatively poorer quality spectra can still ultimately result in high quality Multivariate Auger Feature Images, with clear distinction between sp2 and sp3 carbon on a given surface.

6. Conclusion

A method for the distinction between sp2 and sp3 carbon in XPS imaging through the analysis of the D-Parameter rather than the C1s peak position has been presented. From XPS images and maps collected across the X-ray induced C KLL Auger feature, D-Parameter values are extracted at each pixel in a stack of energy-resolved images or maps, and these values then used to generate a clear image of the sp2 and sp3 regions on a sample surface, the “D-
Parameter Image. Further enhancements of this technique have been made through the application of multivariate analysis in the form of Target Factor Analysis of the original spectra to de-noise the data, and improve image quality. The "Multivariate Auger Feature Imaging (MAFI)" technique provides clear identification of regions where the D-Parameter is high or low, and thus rapid and unambiguous identification of carbon in its sp² and sp³ chemical states. Application of this technique to laser-scribed graphene oxides surfaces show clearly that the regions of laser irradiation resulted in a reduction of the graphene oxide platelets, and indicated by an increased D-Parameter in those regions and thus an increased sp² carbon content.

Acknowledgements

The authors would like to thank Dr Simon Woodman and Dr Hugo Hiden of the School of Computing Science, Newcastle University, for their invaluable input towards this work. AJB thanks Neal Fairley for many valuable conversations surrounding this work and XPS imaging using CasaXPS. This research was partially supported by U. S. Army Research Office under contract/grant number W911NF1410092, “Nanomaterials Characterisation Facility: Confocal Raman Microscope/Atomic Force Microscopy – WITec Alpha 300R”. This research was supported by EPSRC grant EP/K022679/1 and the Newcastle University Digital Institute. NEXUS is an EPSRC Mid-Range Facility.

Appendix A. Supplementary data

Supplementary data related to this article can be found at http://dx.doi.org/10.1016/j.carbon.2016.05.073.

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