# Orthogonal sequences in non-archimedean locally convex spaces 

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#### Abstract

The problem of the existence of (orthogonal) bases and basic sequences in non-archimedean locally convex spaces is studied. To this end we derive a characterization of compactoidity in terms of orthogonal sequences (Theorem 2.2).


## INTRODUCTION

Throughout $K$ denotes a non-archimedean non-trivially valued field which is complete under the metric induced by the valuation $\|: K \rightarrow[0, \infty)$. For fundamentals of locally convex spaces over $K$ we refer to [9], [6].

In this paper all locally convex spaces are over $K$ and assumed to be Hausdorff. A sequence $x_{1}, x_{2}, \ldots$ in a locally convex space $E$ is called $a$ (topological) base of $E$ if each $x \in E$ can be written uniquely as $x=\sum_{n-1}^{\infty} \lambda_{n} x_{n}$ with $\lambda_{n} \in K$. If the coefficient functionals $x \mapsto \lambda_{n}(n \in \mathbb{N})$ are continuous then $x_{1}, x_{2}, \ldots$ is called a Schauder base. As in the real or complex case one proves that every base in a Fréchet space is Schauder. Clearly every locally convex space with a (Schauder) base is (strictly) of countable type i.e. there is a countable set whose $K$-linear hull is dense. Conversely, any infinite-dimensional Banach space of countable type is known to be linearly homeomorphic to $c_{0}$, hence it has a Schauder base ([5], 3.16(ii)).

It is still unknown whether a Frechet space of countable type has a Schauder base. For a partial result, see Theorem 3.5.

A sequence in a locally convex space is called a basic sequence if it is a Schauder base of its closed linear span. This leads to the question as to whether a Fréchet space has - at least - a basic sequence; a partial answer will be given in Corollary 3.1.

In $\S 1$ we compare the notion of orthogonality of a sequence introduced by N. De Grande-De Kimpe in [2] with the concept of basic sequence. In $\S 2$ we characterize compactoidity in terms of orthogonal sequences and in $\S 3$ we apply this to obtain results on existence of basic sequences in certain locally convex spaces and the non-archimedean counterpart of the Bessaga-Pelczynski selection principle (Corollaries 3.2 and 3.3).

## NOTATIONS AND TERMINOLOGY

For a set $X$ in a $K$-vector space we denote by $\llbracket X \rrbracket$ its linear span, and by co $X$ its absolutely convex hull i.e. the smallest module over the ring $\{\lambda \in K:|\lambda| \leq 1\}$ that contains $X$.
$c_{0}$ is the $K$-Banach space of all sequences in $K$ converging to 0 , where for $x=\left(\xi_{1}, \xi_{2}, \ldots\right) \in c_{0}$ we set $\|x\|:=\max \left|\xi_{n}\right| \cdot c_{00}$ is the subspace of $c_{0}$ consisting of all sequences $\left(\xi_{1}, \xi_{2} \ldots\right)$ such that $\xi_{n}=0$ for large $n$. Let $E$ be a Hausdorff locally convex space over $K$. By $E^{*}$ we denote its algebraic dual, by $E^{\prime}$ its topological dual. $E$ is called dual-separating if for each $x \in E, x \neq 0$ there exists an $f \in E^{\prime}$ such that $f(x) \neq 0$. Then the weak topology $\sigma\left(E, E^{\prime}\right)$ is Hausdorff. The closure of a set $X \subset E$ is written $\bar{X}$. Instead of $\overline{\operatorname{co} X}$ we write $\overline{c o} X$.

The completion of $E$ is denoted by $E^{\wedge}$. If $\tau$ is the topology of $E$ we denote the topology on $E^{\wedge}$ again by $\tau$.

## 1. ORTHOGONAL AND BASIC SEQUENCES

Let $p$ be a (non-archimedean) seminorm on a $K$-vector space $E$, let $t \in(0,1]$. Recall that a sequence $x_{1}, x_{2}, \ldots$ in $E$ is called t-orthogonal with respect to $p$ if for each $n \in \mathbb{N}$ and $\lambda_{1}, \lambda_{2}, \ldots, \lambda_{n} \in K$ we have

$$
\begin{equation*}
p\left(\sum_{i=1}^{n} \lambda_{i} x_{i}\right) \geq t \max _{1 \leq i \leq n} p\left(\lambda_{i} x_{i}\right) \tag{*}
\end{equation*}
$$

If $t=1$ then $x_{1}, x_{2}, \ldots$ is called orthogonal with respect to $p$ and (*) can be written as

$$
p\left(\sum_{i=1}^{n} \lambda_{i} x_{i}\right)=\max _{1 \leq i \leq n} p\left(\lambda_{i} x_{i}\right)
$$

Definition 1.1. A sequence $x_{1}, x_{2}, \ldots$ in a locally convex space $E$ is called 'orthogonal' in $E$ if the collection $\mathcal{P}$ of all continuous seminorms $p$ for which $x_{1}, x_{2}, \ldots$ is orthogonal with respect to $p$ forms a base of continuous semi- . norms.

## Remark 1.2.

(i) A sequence is 'orthogonal' in $E$ if and only if it is 'orthogonal' in its (algebraic) linear span. This can be shown by straightforward arguments. (Let $x_{1}, x_{2}, \ldots$ be 'orthogonal' in $D:=\llbracket x_{1}, x_{2}, \ldots \rrbracket$, let $\mathcal{P}$ be a base of continuous seminorms on $D$ for which $x_{1}, x_{2}, \ldots$ are orthogonal. Let $\mathcal{P}^{*}:=\{t: t$ continuous seminorm on $E, t \mid D \in \mathcal{P}\}$. To prove that $\mathcal{P}^{*}$ is a base of continuous seminorms on $E$, let $q$ be a continuous seminorm on $E$. There is a $p \in \mathcal{P}$ such that $q \leq p$ on $D$. Then $p$ extends to a continuous seminorm $\tilde{p}$ on $E$, and $\max (\widetilde{p}, q) \in \mathcal{P}^{*}, q \leq \max (\widetilde{p}, q)$.) It enables us to speak about 'orthogonal' sequences without specifying a subspace.
(ii) A sequence $x_{1}, x_{2}, \ldots$ in $E$ is 'orthogonal' if and only if there exists a collection $\mathcal{P}$ of continuous seminorms generating the topology such that $x_{1}, x_{2}, \ldots$ is orthogonal with respect to each $p \in \mathcal{P}$.
(iii) Let $x_{1}, x_{2}, \ldots \in E$ be an 'orthogonal' sequence, let $x_{n} \neq 0$ for each $n$, let $\mathcal{P}$ be as in Definition 1.1. If $x \in E$ can be expressed as $\sum_{n=1}^{\infty} \lambda_{n} x_{n}$ with $\lambda_{n} \in K$ then $p(x)=\max _{n} p\left(\lambda_{n} x_{n}\right)$ for each $p \in \mathcal{P}$. It follows that the $\lambda_{n}$ are unique; in particular the $x_{1}, x_{2}, \ldots$ are linearly independent. Also, if $x_{1}, x_{2}, \ldots$ is a topological base it is automatically a Schauder base.

Lemma 1.3. Let $x_{1}, x_{2}, \ldots$ be a linearly independent sequence in a locally convex space $E$, let $D:=\llbracket x_{1}, x_{2}, \ldots \rrbracket$. For each $n \in \mathbb{N}$, let $f_{n} \in D^{*}$ be given by $f_{n}\left(x_{m}\right)=$ $\delta_{n m}(m \in \mathbb{N})$. Then $x=\sum_{n} f_{n}(x) x_{n}$ for each $x \in D$, and $x_{1}, x_{2}, \ldots$ is 'orthogonal' if and only if the maps $x \mapsto f_{n}(x) x_{n}(x \in D)$ are equicontinuous.

Proof. Let $x_{1}, x_{2}, \ldots$ be 'orthogonal', let $\mathcal{P}$ be as in Definition 1.1. For each $n \in \mathbb{N}$, put $\delta_{n}(x)=f_{n}(x) x_{n}(x \in D)$. For each $p \in \mathcal{P}$ we have $p=\max _{n} p \circ \delta_{n}$ and the equicontinuity of $\left\{\delta_{n}: n \in \mathbb{N}\right\}$ follows. Conversely, assume $\left\{\delta_{n}: n \in \mathbb{N}\right\}$ is equicontinuous. For each $p \in \mathcal{P}$ put

$$
p^{*}(x)=\max _{n} p\left(f_{n}(x) x_{n}\right) \quad(x \in D)
$$

Then $p \leq p^{*}$ on $D$ and $x_{1}, x_{2}, \ldots$ is orthogonal with respect to $p^{*}$. By equicontinuity $p^{*}$ is continuous and the set $\left\{p^{*}: p \in \mathcal{P}\right\}$ is a base of continuous seminorms on $D$.

Proposition 1.4. Each 'orthogonal' sequence of non-zero vectors is a basic sequence.

Proof. Let $x_{1}, x_{2}, \ldots$ be 'orthogonal' in a locally convex space $E$. To prove the statement we may assume that $E$ is complete. Let $D, f_{n}, \delta_{n}$ be as in Lemma 1.3. Each $f_{n}$ extends uniquely to an $\bar{f}_{n} \in(\bar{D})^{\prime}$; put $\bar{\delta}_{n}(x)=\bar{f}_{n}(x) x_{n}(n \in \mathbb{N}, x \in \bar{D})$. By Remark 1.2 (iii) it suffices to prove that $x=\sum_{n=1}^{\infty} \bar{\delta}_{n}(x)$ for each $x \in \bar{D}$. By Lemma 1.3 the set $\left\{\delta_{n}: n \in \mathbb{N}\right\}$ is equicontinuous, hence so is $\left\{\bar{\delta}_{n}: n \in \mathbb{N}\right\}$. Since $\lim _{n \rightarrow \infty} \delta_{n}(x)=0$ for all $x \in D$ we have $\lim _{n \rightarrow \infty} \bar{\delta}_{n}(x)=0$ for all $x \in \bar{D}$. By completeness and equicontinuity the formula $T x=\sum_{n=1}^{\infty} \bar{\delta}_{n}(x)$ defines a continuous linear map $T: \bar{D} \rightarrow \bar{D}$. But $T$ is the identity on $D$, hence on $\bar{D}$.

Corollary 1.5. Let $x_{1}, x_{2}, \ldots$ be an 'orthogonal' sequence in a locally convex space $E$, let $x_{n} \neq 0$ for each $n$, let $E=\llbracket x_{1}, x_{2}, \ldots \rrbracket$. Then $x_{1}, x_{2}, \ldots$ is a Schauder base of $E$.

Remark 1.6. The converse of Proposition 1.4 does not hold in general. In fact, let $\alpha \in K, 0<|\alpha|<1$ and let $E:=c_{00}$. Set $x_{n}:=\left(1, \alpha, \alpha^{2}, \ldots, \alpha^{n-1}, 0,0, \ldots\right)$ $(n \in \mathbb{N})$.

It is easily seen that $x_{1}, x_{2}, \ldots$ is a Schauder base of $c_{00}$ but $t$-orthogonal (with respect to the norm) for no $t \in(0,1]$ and therefore not 'orthogonal' (see 2.3). However:

Proposition 1.7. In a Fréchet space every basic sequence is orthogonal'; every Schauder base is an 'orthogonal' base.

Proof. We need only to prove the first statement. Let $x_{1}, x_{2}, \ldots$ be a basic sequence, let $D:-\overline{\left[x_{1}, x_{2}, \ldots\right]}$, let $f_{n} \in D^{\prime}$ be such that $f_{n}\left(x_{m}\right)=\delta_{m n}(m, n \in \mathbb{N})$. Then $x=\sum_{n=1}^{\infty} f_{n}(x) x_{n}$ for each $x \in D$, so the maps $x \mapsto f_{n}(x) x_{n}(x \in D)$ are pointwise bounded. But $D$ is Fréchet, hence barrelled and so the above maps are equicontinuous. By Lemma 1.3 the sequence $x_{1}, x_{2}, \ldots$ is 'orthogonal'.

Remark 1.8. The second conclusion of the proposition holds for $\ell^{\infty}$-barrelled spaces, see [4].

## 2. A CHARACTERIZATION OF COMPACTOIDS

Recall that a subset $X$ of a locally convex space $E$ is called a compactoid if for each zero neighbourhood $U$ in $E$ there exists a finite set $F \subset E$ such that $X \subset U+\operatorname{co} F$.

From [7] we quote the following result.
Theorem 2.1. Let $X$ be a bounded set in a normed space $E=(E,\| \|)$ over $K$. Then $X$ is a compactoid if and only if for each $t \in(0,1]$, each $t$-orthogonal sequence in $X$ (with respect to $\|\|$ ) tends to 0 .

Actually, in [7] it was supposed that $E$ is a Banach space, but trivially the result holds for general normed spaces.

In this note we prove the following generalization.
Theorem 2.2. Let $X$ be a bounded set in a locally convex space $E$ over $K$. Then $X$ is a compactoid if and only if each 'orthogonal' sequence in $X$ tends to 0 .

First, we prove that this is, indeed, a generalization of Theorem 2.1.
Proposition 2.3. Let $x_{1}, x_{2}, \ldots$ be a sequence in a normed space $(E,\| \|)$ over $K$. Then $x_{1}, x_{2}, \ldots$ is 'orthogonal' in the sense of Definition 1.1 if and only if, for some $t \in(0,1]$, it is $t$-orthogonal with respect to \| \|.

Proof. Let $x_{1}, x_{2}, \ldots$ be 'orthogonal'. Let $\mathcal{P}$ be as in Definition 1.1. Then there is a $p \in \mathcal{P}$ for which $\|\| \leq p$. By continuity of $p$ we have $p \leq c\| \|$ for some constant $c \geq 1$. Then, for each $n \in \mathbb{N}$ and $\lambda_{1}, \ldots, \lambda_{n} \in K$ we have

$$
\left\|\sum_{i=1}^{n} \lambda_{i} x_{i}\right\| \geq c^{-1} p\left(\sum_{i=1}^{n} \lambda_{i} x_{i}\right)=c^{-1} \max _{1 \leq i \leq n} p\left(\lambda_{i} x_{i}\right) \geq c^{-1} \max _{1 \leq i \leq n}\left\|\lambda_{i} x_{i}\right\|,
$$

showing that $x_{1}, x_{2}, \ldots$ is $c^{-1}$-orthogonal with respect to $\|\|$.
Conversely, suppose that $x_{1}, x_{2}, \ldots$ is $t$-orthogonal with respect to $\|\|$ for some $t \in(0,1]$. To show 'orthogonality' we may suppose $x_{n} \neq 0$ for each $n$. Then the $x_{1}, x_{2}, \ldots$ are linearly independent. For all $n \in \mathbb{N}$ and $\lambda_{1}, \ldots, \lambda_{n} \in K$ we have

$$
\left\|\sum_{i=1}^{n} \lambda_{i} x_{i}\right\| \leq \max _{1 \leq i \leq n}\left\|\lambda_{i} x_{i}\right\| \leq t^{-1}\left\|\sum_{i=1}^{n} \lambda_{i} x_{i}\right\| .
$$

So, for each $x \in D:=\llbracket x_{1}, x_{2}, \ldots \rrbracket, x=\sum_{i=1}^{n} \lambda_{i} x_{i}$, we have

$$
\|x\| \leq \widetilde{p}(x) \leq t^{-1}\|x\|,
$$

where $\tilde{p}(x):=\max _{1 \leq i \leq n}\left\|\lambda_{i} x_{i}\right\|$. We see that $\tilde{p}$ is a norm on $D$ defining the topology and that $x_{1}, x_{2}, \ldots$ is orthogonal with respect to $\widetilde{p}$. Now use Remark 1.2 (i).

For the proof of Theorem 2.2 we need the following easy observations. Let $p$ be a seminorm on a $K$-vector space $E$. Let $\pi_{p}: E \rightarrow E_{p}:=E / \operatorname{Ker} p$ be the quotient map. The formula $\bar{p}\left(\pi_{p}(x)\right)=p(x)$ defincs a norm $\bar{p}$ on $E_{p}$. Propositions 2.4 and 2.5 are well-known.

Proposition 2.4. Let $t \in(0,1]$, let $x_{1}, x_{2}, \ldots$ be a sequence in $E$. Then $x_{1}, x_{2}, \ldots$ is $t$-orthogonal with respect to $p$ if and only if $\pi_{p}\left(x_{1}\right), \pi_{p}\left(x_{2}\right), \ldots$ is $t$-orthogonal with respect to $\bar{p}$.

Proposition 2.5. Let $E$ be a locally convex space over $K$, let $\mathcal{P}$ be a base of continuous seminorms, let $X \subset E$. Then $X$ is a compactoid if and only if $\pi_{p}(X)$ is a compactoid in $E_{p}$ for each $p \in \mathcal{P}$.

Proposition 2.6. Let $x_{1}, x_{2}, \ldots$ be a sequence in a locally convex space $E$ over $K$ and suppose there is a base $\mathcal{P}$ of continuous seminorms and a map $p \mapsto t_{p}$ of $\mathcal{P}$ into $(0,1]$ such that, for each $p \in \mathcal{P}, x_{1}, x_{2}, \ldots$ is $t_{p}$-orthogonal with respect to $p$. Then $x_{1}, x_{2}, \ldots$ is an 'orthogonal' sequence in $E$.

Proof. Let $D:=\left[x_{1}, x_{2}, \ldots \rrbracket\right.$. For each $p \in \mathcal{P}, n \in \mathbb{N}$ and $\lambda_{1}, \ldots, \lambda_{n} \in K$ we have

$$
p\left(\sum_{i=1}^{n} \lambda_{i} x_{i}\right) \geq t_{p} \max _{1 \leq i \leq n} p\left(\lambda_{i} x_{i}\right) .
$$

If $\sum_{i=1}^{n} \lambda_{i} x_{i}=0$ then $p\left(\lambda_{i} x_{i}\right)=0$ for all $i$, so the formula

$$
\tilde{p}\left(\sum_{i=1}^{n} \lambda_{i} x_{i}\right)=\max _{1 \leq i \leq n} p\left(\lambda_{i} x_{i}\right)
$$

defines a seminorm $\tilde{p}$ on $D$ for which $p \leq \widetilde{p} \leq t_{p}^{-1} p$. Then $x_{1}, x_{2}, \ldots$ is orthogonal with respect to $\tilde{p}$, and since $\{\tilde{p}: p \in \mathcal{P}\}$ induces the topology of $D$ the 'orthogonality' of $x_{1}, x_{2}, \ldots$ follows after applying Remark 1.2 (i).

Proof of Theorem 2.2. Suppose $X$ is a compactoid, and let $x_{1}, x_{2}, \ldots$ be an 'orthogonal' sequence in $X$. Let $p$ be a continuous seminorm on $E$ for which $x_{1}, x_{2}, \ldots$ is orthogonal with respect to $p$. It suffices to prove that $p\left(x_{n}\right) \rightarrow 0$. By Proposition 2.5 the set $\pi_{p}(X)$ is a compactoid in $E_{p}$ and by Proposition 2.4, $\pi_{p}\left(x_{1}\right), \pi_{p}\left(x_{2}\right), \ldots$ is orthogonal with respect to $\bar{p}$. By Theorem 2.1 we have $\bar{p}\left(\pi_{p}\left(x_{n}\right)\right) \rightarrow 0$ i.e. $p\left(x_{n}\right) \rightarrow 0$.

Conversely, let $X$ be bounded and let each 'orthogonal' sequence in $X$ tend to 0 . Suppose $X$ is not a compactoid; we derive a contradiction.

By Proposition 2.5 there is a continuous seminorm $p$ on $E$ such that $\pi_{p}(X)$ is not a compactoid in $E_{p}$. By Theorem 2.1 there exists a sequence $x_{1}, x_{2}, \ldots$ in $X$ such that, for some $t_{p} \in(0,1], \pi_{p}\left(x_{1}\right), \pi_{p}\left(x_{2}\right), \ldots$ is $t_{p}$-orthogonal in $E_{p}$ but $\bar{p}\left(\pi_{p}\left(x_{n}\right)\right) \nrightarrow 0$, i.e. $x_{1}, x_{2}, \ldots$ is $t_{p}$-orthogonal with respect to $p$ (Proposition 2.4) and $p\left(x_{n}\right) \nrightarrow 0$. Without loss, assume $p\left(x_{n}\right) \geq \alpha>0$ for all $n$.

Now let $\mathcal{P}$ be the collection of all continuous seminorms on $E$ that are $\geq p$. Then $\mathcal{P}$ is a base of continuous seminorms. Let $q \in \mathcal{P}$. By boundedness of $X$ we have $M:=\sup _{n} q\left(x_{n}\right)<\infty$.

For $n \in \mathbb{N}, \lambda_{1}, \ldots, \lambda_{n} \in K$ we have

$$
\begin{aligned}
& q\left(\sum_{i-1}^{n} \lambda_{i} x_{i}\right) \geq p\left(\sum_{i-1}^{n} \lambda_{i} x_{i}\right) \geq t_{p} \max _{i}\left|\lambda_{i}\right| p\left(x_{i}\right) \geq t_{p} \alpha \max _{i}\left|\lambda_{i}\right| \\
& \geq t_{p} \alpha M^{-1} \max _{i}\left|\lambda_{i}\right| q\left(x_{i}\right) \geq t_{p} \alpha M^{-1} q\left(\sum_{i=1}^{n} \lambda_{i} x_{i}\right)
\end{aligned}
$$

We see that $x_{1}, x_{2}, \ldots$ is $t_{p} \alpha M^{-1}$-orthogonal with respect to $q$.
By Proposition 2.6 the sequence $x_{1}, x_{2}, \ldots$ is 'orthogonal' so by assumption, $x_{n} \rightarrow 0$ conflicting $p\left(x_{n}\right) \geq \alpha$.

Combining Theorem 2.2 and Propositions 1.4 and 1.7 we obtain the following.

Corollary 2.7. A bounded subset $X$ of a Fréchet space is a compactoid if and only if each basic sequence in $X$ tends to 0 .

Remark 2.8. The above corollary cannot be extended to non-complete spaces. In fact, let $x_{1}, x_{2}, \ldots$ be as in Remark 1.6. Clearly $x_{1}, x_{2}, \ldots$ is Cauchy in $c_{00}$ so $X:=\left\{x_{1}, x_{2}, \ldots\right\}$ is a compactoid. But the basic sequence $x_{1}, x_{2}, \ldots$ does not converge to 0 .

## 3. APPLICATIONS

A direct consequence of Theorem 2.2 is the following.

Corollary 3.1. Let E be a locally convex space in which not every bounded set is a compactoid. Then E has an 'orthogonal' basic sequence.

The next two results are non-archimedean translations of the Bessaga-Pelczynski Selection Principle (see [1], p. 42).

Corollary 3.2. Let $(E, \tau)$ be a polar locally convex space. Let $x_{1}, x_{2}, \ldots$ be a sequence in $E$ such that $x_{n} \rightarrow 0$ weakly but $x_{n} \xrightarrow{\tau} 0$. Then $x_{1}, x_{2}, \ldots$ contains an 'orthogonal' basic subsequence.

Proof. By weak convergence the set $\left\{x_{1}, x_{2}, \ldots\right\}$ is $\tau$-bounded ([6], 7.7). If $x_{1}, x_{2}, \ldots$ had no 'orthogonal' subsequence then $\left\{x_{1}, x_{2}, \ldots\right\}$ would be a compactoid by Theorem 2.2 , so $\tau=\sigma\left(E, E^{\prime}\right)$ on $\left\{x_{1}, x_{2}, \ldots\right\}$ ([6], 5.12) whence $x_{n} \xrightarrow{\tau} 0$, a contradiction.

Corollary 3.3. Let $(E, \tau)$ be a metrizable locally convex space. Then the following are equivalent.
$(\alpha)(E, \tau)^{\wedge}$ is dual-separating.
( $\beta$ ) Let $x_{1}, x_{2}, \ldots$ be a bounded sequence for which $x_{n} \rightarrow 0$ weakly but $x_{n} \stackrel{\tau}{\rightarrow} 0$.
Then $x_{1}, x_{2}, \ldots$ contains an 'orthogonal' basic subsequence.
Proof. To prove $(\alpha) \Rightarrow(\beta)$ we may assume that $(E, \tau)$ is complete. Suppose $x_{1}, x_{2}, \ldots$ has no 'orthogonal' subsequence; we derive a contradiction. By boundedness and Theorem 2.2 the set $\left\{x_{1}, x_{2}, \ldots\right\}$ is a compactoid hence so is $A=\overline{\mathrm{co}}\left\{x_{1}, x_{2}, \ldots\right\} . A$ is metrizable, absolutely convex, complete and compactoid. By ( $\alpha$ ), $\sigma\left(E, E^{\prime}\right.$ ) is Hausdorff, so according to [8], 3.2 the topologies $\tau$ and $\sigma\left(E, E^{\prime}\right)$ coincide on $A$ and therefore $x_{n} \xrightarrow{\tau} 0$, a contradiction.

To prove $(\beta) \Rightarrow(\alpha)$, let $a \in(E, \tau)^{\wedge}, a \neq 0$ and suppose $f(a)=0$ for all $f \in\left((E, \tau)^{\wedge}\right)^{\prime}$; we derive a contradiction. By metrizability there exist $x_{1}, x_{2}, \ldots \in E$ with $x_{n} \xrightarrow{\tau} a$. Then $x_{1}, x_{2}, \ldots$ is Cauchy hence $\left\{x_{1}, x_{2}, \ldots\right\}$ is compactoid. As $x_{n} \rightarrow 0$ weakly and $x_{n} \xrightarrow{\tau} 0$ we have by $(\beta)$ that $x_{1}, x_{2}, \ldots$ contains an 'orthogonal' subsequence $y_{1}, y_{2}, \ldots$. From Theorem 2.2 we obtain $y_{n} \xrightarrow{\tau} 0$. But also $y_{n} \xrightarrow{\tau} a$ so $a=0$, a contradiction.

Remark 3.4. (i) A locally convex space $E$ is called an O.P. (Orlicz-Pettis) space if each weakly convergent sequence is convergent. It is shown in [3] that if $K$ is spherically complete or $E$ is of countable type, $E$ is an O.P.-space. Obviously, Corollary 3.2 is of interest only for non-O.P. spaces (such as $\ell^{\infty}$ over a nonspherically complete $K$ ).
(ii) For polar metrizable spaces $(E, \tau)$ condition ( $\alpha$ ) of Corollary 3.3 is sat-
isfied. In such spaces weakly bounded sets are bounded. Hence, in ( $\beta$ ) one may drop the condition that $x_{1}, x_{2}, \ldots$ be bounded.
(iii) If $(E, \tau)$ is a normable space one may also drop boundedness of $x_{1}, x_{2}, \ldots$ in ( $\beta$ ). In fact, if $x_{1}, x_{2}, \ldots$ is unbounded one can select $\lambda_{1}, \lambda_{2}, \ldots \in K,\left|\lambda_{n}\right| \leq 1$ for all $n$, such that $\lambda_{1} x_{1}, \lambda_{2} x_{2}, \ldots$ is bounded and not norm-convergent to 0 .

About the existence of Schauder bases in Fréchet spaces of countable type we have the following partial result.

Theorem 3.5. Let $E$ be a metrizable locally convex space of finite type (i.e. for each continuous seminorm $p$ the space $E / \operatorname{Ker} p$ is finite-dimensional). Then $E$ has an 'orthogonal' Schauder base.

Proof. We may assume $\operatorname{dim} E=\infty$. Let $p_{1} \leq p_{2} \leq \cdots$ be seminorms defining the topology $\tau$. (Observe that $\tau=\sigma\left(E, E^{\prime}\right)$.) There exist linearly independent $e_{1}, \ldots, e_{n_{1}}$ such that $E=\operatorname{Ker} p_{1} \oplus \llbracket e_{1}, \ldots, e_{n_{1}} \rrbracket$. By the same token there exist linearly independent $e_{n_{1}+1}, \ldots, e_{n_{2}}$ such that $\operatorname{Ker} p_{1}=\operatorname{Ker} p_{2} \oplus \llbracket e_{n_{1}+1}, \ldots, e_{n_{2}} \rrbracket$, etc.

For each $k$ the formula

$$
\sum_{i=1}^{n_{k}} \lambda_{i} e_{i} \mapsto \max \left\{p_{k}\left(\lambda_{i} e_{i}\right): 1 \leq i \leq n_{k}\right\}
$$

defines a norm on $\llbracket e_{1}, \ldots, e_{n_{k}} \rrbracket$, equivalent to $p_{k}$. It can by a standard procedure be extended to a seminorm $q_{k}$ on $E$ that is equivalent to $p_{k}$ on $E$. Then $q_{1}, q_{2}, \ldots$ induce $\tau$ and the sequence $e_{1}, e_{2}, \ldots$ is 'orthogonal'. By Proposition 1.4 it is an orthogonal base of $\overline{\llbracket e_{1}, e_{2}, \ldots \rrbracket}$. To see that $\overline{\llbracket e_{1}, e_{2}, \ldots \rrbracket}=E$, let $f \in E^{\prime}$ and $f\left(e_{n}\right)=0$ for all $n$. Then $|f| \leq p_{k}$ for some $k$ so $f=0$ on $\operatorname{Ker} p_{k}+$ $\llbracket e_{1}, \ldots, e_{n_{k}} \rrbracket=E$. Thus $\llbracket e_{1}, e_{2}, \ldots \rrbracket$ is (weakly) dense in $E$.

Remark 3.6. Let $E$ be a Fréchet space of countable type with defining seminorms $p_{1}, p_{2}, \ldots$. The maps $\pi_{n}: E \rightarrow E_{p_{n}}^{\wedge}$ (see the preamble to 2.4 ) yield a homeomorphism of $E$ into $\prod_{n} E_{p_{n}}^{\wedge}$. Each $E_{p_{n}}^{\wedge}$ is either finite-dimensional or linearly homeomorphic to $c_{0}$. Thus $E$ is linearly homeomorphic to a closed subspace of $c_{0}^{\mathbb{N}}$. It is easy to see that $c_{0}^{\mathbb{N}}$ has an 'orthogonal' base. Thus the question 'does every Fréchet space of countable type have an 'orthogonal' base?' is equivalent to 'If a Fréchet space has an 'orthogonal' base then do closed subspaces have also an 'orthogonal' base?'

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