



Contents lists available at ScienceDirect

Differential Geometry and its Applications

www.elsevier.com/locate/difgeo



Rotationally invariant constant mean curvature surfaces in homogeneous 3-manifolds

Francisco Torralbo*

Departamento de Geometría y Topología, Universidad de Granada, 18071 Granada, Spain

ARTICLE INFO

Article history: Received 28 Ianuary 2010 Available online 4 May 2010 Communicated by O. Kowalski

MSC. primary 53C42 secondary 53C30

Keywords: Surfaces Constant mean curvature Homogeneous 3-manifolds Rotationally invariant surface Berger spheres

ABSTRACT

We classify constant mean curvature surfaces invariant by a 1-parameter group of isometries in the Berger spheres and in the special linear group $SI(2,\mathbb{R})$. In particular, all constant mean curvature spheres in those spaces are described explicitly, proving that they are not always embedded. Besides new examples of Delaunay-type surfaces are obtained. Finally the relation between the area and volume of these spheres in the Berger spheres is studied, showing that, in some cases, they are not solution to the isoperimetric problem.

© 2010 Elsevier B.V. All rights reserved.

1. Introduction

In the last years, constant mean curvature surfaces of the homogeneous Riemannian 3-manifolds have been deeply studied. The starting point was the work of Abresch and Rosenberg [2], where they found a holomorphic quadratic differential in any constant mean curvature surface of a homogeneous Riemannian 3-manifold with isometry group of dimension 4. Berger spheres, the Heisenberg group, the special linear group $Sl(2,\mathbb{R})$ and the Riemannian product $\mathbb{S}^2 \times \mathbb{R}$ and $\mathbb{H}^2 \times \mathbb{R}$, where \mathbb{S}^2 and \mathbb{H}^2 are the 2-dimensional sphere and hyperbolic plane, are the most relevant examples of such homogeneous 3-manifolds.

Abresch and Rosenberg [1] proved that a complete constant mean curvature surface in $\mathbb{S}^2 \times \mathbb{R}$ and $\mathbb{H}^2 \times \mathbb{R}$ with vanishing Abresch-Rosenberg differential must be rotationally invariant (that is, invariant under a 1-parameter group of isometries acting trivially on the fiber). Moreover, do Carmo and Fernández [7, Theorem 2.1] showed that, even locally, every constant mean curvature surface in $\mathbb{S}^2 \times \mathbb{R}$ or $\mathbb{H}^2 \times \mathbb{R}$ with vanishing Abresch-Rosenberg differential must be rotationally invariant too. Finally, Espinar and Rosenberg [5] for every homogeneous Riemannian spaces with isometry group of dimension 4, proved that every constant mean curvature surface with vanishing Abresch-Rosenberg differential must be invariant by a 1-parameter group of isometries.

Constant mean curvature surfaces invariant by a 1-parameter group of isometries were studied in the product spaces $\mathbb{S}^2 \times \mathbb{R}$ and $\mathbb{H}^2 \times \mathbb{R}$ by Hsiang and Hsiang [12] and Pedrosa and Ritoré [16]. Also, in the Heisenberg group, the study was made by Tomter [17], Figueroa, Mercuri and Pedrosa [9] and Caddeo, Piu and Ratto [3]. Tomter described explicitly in [17]

^{*} Tel.: +34 958 248 927; fax: +34 958 243 281. E-mail address: ftorralbo@ugr.es. URL: http://www.ugr.es/local/ftorralbo.

the constant mean curvature spheres computing their volume and area in order to give an upper bound for the isoperimetric profile of the Heisenberg group. The authors in [9] studied not only the *rotationally* invariant case, but the surfaces invariant by any closed 1-parameter group of isometries of the Heisenberg group, and organized most of the results that had appeared in the literature. In the special linear group $Sl(2, \mathbb{R})$ the classification was obtained by Gorodski [10] and, very recently, the classification was made in the universal cover of $Sl(2, \mathbb{R})$ by Espinoza [6].

The aim of this paper is to classify the constant mean curvature surfaces invariant by a 1-parameter group of isometries that fix a curve, that is, *rotationally invariant*, in the Berger spheres (Theorem 1). In this classification it turns out that constant mean curvature spheres are not always embedded (see Fig. 2) contradicting the result announced by Abresch and Rosenberg in [2, Theorem 6]. Besides, we obtain some new examples of surfaces similar to the Delaunay constant mean curvature surfaces in \mathbb{R}^3 . Moreover, since we obtain an explicit immersion for the constant mean curvature sphere (see Corollary 1), we analyze the relation between the area and the volume of the constant mean curvature spheres and show that, for some Berger spheres, they are not the best candidates to solve the isoperimetric problem. Finally some Delaunay-type surfaces give rise, in some Berger spheres, to embedded minimal tori which are not the Clifford torus, proving that the Lawson conjecture is not true in some Berger spheres (see Remark 3(2)).

Using the same techniques, and giving a sketch of the proofs, we classify rotationally invariant constant mean curvature surfaces in $SI(2, \mathbb{R})$ (see Theorem 2), and we obtain an explicit description for the constant mean curvature spheres, showing that they are not always embedded (see Fig. 6). Although the classification in $SI(2, \mathbb{R})$ was made by Gorodski in [10], there exist a mistake in [10, Theorem 2.(b)] where he claims that for every H > 0 there exists a sphere with constant mean curvature H, something that is actually false (see Remark 4(1)).

The author wish to thank Ana Hurtado and César Rosales for helpful discussions about the existence of the Lawson counterexample (see Remark 3(2)) and Francisco Urbano for his valuable comments and suggestions.

2. Constant mean curvature surfaces in the homogeneous spaces

Let N be a simply connected homogeneous Riemannian 3-manifold with isometry group of dimension 4. Then there exists a Riemannian submersion $\Pi: N \to M^2(\kappa)$, where $M^2(\kappa)$ is a 2-dimensional simply connected space form of constant curvature κ , with totally geodesic fibers and there exists a unit Killing field ξ on N which is vertical with respect to Π . As N is oriented, we can define a cross product \wedge , such that if $\{e_1, e_2\}$ are linearly independent vectors at a point p, then $\{e_1, e_2, e_1 \wedge e_2\}$ is the orientation at p. If $\bar{\nabla}$ denotes the Riemannian connection on N, the properties of ξ imply (see [4]) that for any vector field V

$$\bar{\nabla}_{V}\xi = \tau(V \wedge \xi),\tag{1}$$

where the constant τ is the bundle curvature. As the isometry group of N has dimension 4, $\kappa - 4\tau^2 \neq 0$. The case $\kappa - 4\tau^2 = 0$ corresponds to \mathbb{S}^3 with its standard metric if $\tau \neq 0$ and to the Euclidean space \mathbb{R}^3 if $\tau = 0$, which have isometry groups of dimension 6.

In our study we are going to deal mainly with the Berger spheres, which correspond to $\kappa > 0$ and $\tau \neq 0$, and with the special linear group $SI(2,\mathbb{R})$, which correspond to $\kappa < 0$ and $\tau \neq 0$. Although the special linear group is not simply connected the projection $\hat{\pi}: \tilde{SI}(2,\mathbb{R}) \to SI(2,\mathbb{R})$ preserves the submersion $\Pi: \tilde{SI}(2,\mathbb{R}) \to M^2(\kappa)$ and so, there exist a fibration $\Pi: SI(2,\mathbb{R}) \to M^2(\kappa)$. The fibration in both cases, i.e., in the Berger and in the special linear group cases, is by circles.

Along the paper $E(\kappa, \tau)$ will denote either a simply connected homogeneous Riemannian 3-manifold with isometry group of dimension 4, where κ is the curvature of the basis, τ the bundle curvature (and therefore $\kappa - 4\tau^2 \neq 0$) or $SI(2, \mathbb{R})$.

Now, let $\Phi: \Sigma \to \mathrm{E}(\kappa, \tau)$ be an immersion of an orientable surface Σ and N a unit normal vector field. We define the function $C: \Sigma \to \mathbb{R}$ by

$$C=\langle N,\xi\rangle,$$

where \langle , \rangle denotes the metric in $E(\kappa, \tau)$, and also the metric of Σ . It is clear that $C^2 \leq 1$.

Suppose now that the immersion Φ has *constant mean curvature*. Consider on Σ the structure of Riemann surface associated to the induced metric and let z=x+iy be a conformal parameter on Σ . Then, the induced metric is written as $e^{2u}|dz|^2$ and we denote by $\partial_z=(\partial_x-i\partial_y)/2$ and $\partial_{\bar{z}}=(\partial_x+i\partial_y)/2$ the usual operators.

For these surfaces, the Abresch–Rosenberg quadratic differential Θ , defined by

$$\Theta(z) = \left(\left\langle \sigma(\partial_z, \partial_z), N \right\rangle - \frac{(\kappa - 4\tau^2)}{2(H + i\tau)} \left\langle \Phi_z, \xi \right\rangle^2 \right) (dz)^2,$$

where σ is the second fundamental form of the immersion, is holomorphic (see [2]). We denote $p(z) = \langle \sigma(\partial_z, \partial_z), N \rangle$ and $A(z) = \langle \Phi_z, \xi \rangle$.

Proposition 1. (See [4,8].) The fundamental data $\{u, C, H, p, A\}$ of a constant mean curvature immersion $\Phi : \Sigma \to E(\kappa, \tau)$ satisfy the following integrability conditions:

$$p_{\bar{z}} = \frac{e^{2u}}{2} (\kappa - 4\tau^2) CA, \qquad A_{\bar{z}} = \frac{e^{2u}}{2} (H + i\tau) C,$$

$$C_z = -(H - i\tau) A - 2e^{-2u} \bar{A} p, \qquad |A|^2 = \frac{e^{2u}}{4} (1 - C^2). \tag{2}$$

Conversely, if $u, C: \Sigma \to \mathbb{R}$ with $-1 \leqslant C \leqslant 1$ and $p, A: \Sigma \to \mathbb{C}$ are functions on a simply connected surface Σ satisfying Eqs. (2), then there exists a unique, up to congruences, immersion $\Phi: \Sigma \to E(\kappa, \tau)$ with constant mean curvature H and whose fundamental data are $\{u, C, H, p, A\}$.

Given a constant mean curvature surface Σ with vanishing Abresch–Rosenberg differential we know that it must be invariant by a 1-parameter group of isometries (see [1,7,5]).

Now we will restrict our attention to constant mean curvature spheres S, which will be treated in a uniform way for all $E(\kappa, \tau)$. The advantage of using this approach is that we will obtain a global formula for the area of the constant mean curvature spheres in terms of κ and τ (see Proposition 2). In this case using (2) and taking into account that $\Theta = 0$ we get

$$C_{z} = \frac{-(H - i\tau)A}{4(H^{2} + \tau^{2})} \left[4(H^{2} + \tau^{2}) + (\kappa - 4\tau^{2})(1 - C^{2}) \right],$$

$$C_{z\bar{z}} = \frac{-e^{2u}}{32(H^{2} + \tau^{2})} C \left[4(H^{2} + \tau^{2}) + (\kappa - 4\tau^{2})(1 - C^{2}) \right]^{2}.$$

Because $[4(H^2 + \tau^2) + (\kappa - 4\tau^2)(1 - C^2)](> 4H^2 + \kappa) > 0$ the only critical points of C appear where A vanish, i.e., taking into account (2) when $C^2(p) = 1$. But the Hessian of C is given by $(H^2 + \tau^2)^2 > 0$ (except for minimal spheres in $\mathbb{S}^2 \times \mathbb{R}$, but in that case the sphere is the slice $\mathbb{S}^2 \times \{t_0\} \subset \mathbb{S}^2 \times \mathbb{R}$) so all critical points are non-degenerate. Hence, C is a Morse function on S and so it has only two critical points P and P which are the absolute maximum and minimum of P. The function P is a harmonic function from (2) with singularities at P and P and without critical points. Now there exist a global conformal parameter P and so it is not difficult to check that the conformal factor of the metric can be written as:

$$e^{2u(x)} = \frac{16(H^2 + \tau^2)\cosh^2 x}{[4(H^2 + \tau^2)\cosh^2 x + (\kappa - 4\tau^2)]^2}, \quad x \in \mathbb{R}.$$

Now, to obtain the area of the constant mean curvature sphere it is sufficient to integrate the above function for $x \in \mathbb{R}$ and $y \in [0, T]$, where T must be 2π since, by the Gauss–Bonnet theorem,

$$4\pi = \int_{S} K \, dA = T \int_{\mathbb{R}} e^{2u(x)} K \, dx = -T \int_{\mathbb{R}} u''(x) \, dx = 2T.$$

Then, the area is given by

Area(S) =
$$\int_{0}^{2\pi} \int_{\mathbb{R}} e^{2u(x)} dx dy = 2\pi \int_{\mathbb{R}} e^{2u(x)} dx$$

and a straightforward computation yields the following lemma.

Proposition 2. The area of a constant mean curvature sphere S in $E(\kappa, \tau)$ is given by:

$$Area(S) = \begin{cases} \frac{8\pi}{4H^2 + \kappa} [1 + \frac{4(H^2 + \tau^2)}{\sqrt{4H^2 + \kappa} \sqrt{4\tau^2 - \kappa}} \arctan(\frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{4H^2 + \kappa}})], \\ \frac{8\pi}{4H^2 + \kappa} [1 + \frac{4(H^2 + \tau^2)}{\sqrt{4H^2 + \kappa} \sqrt{\kappa - 4\tau^2}} \arctan(\frac{\sqrt{\kappa - 4\tau^2}}{\sqrt{4H^2 + \kappa}})], \end{cases}$$

if $\kappa - 4\tau^2$ is negative or positive respectively, where H is the mean curvature of S.

Remark 1. The same formula was already obtained for constant mean curvature spheres in the Heisenberg group with $\kappa=0$ and $\tau=1$ by [17, Proposition 5] and in $M^2(\kappa)\times\mathbb{R}$ by [15] when $\kappa=1$ and by [12] when $\kappa=-1$. It is important to remark that in [17,15] the mean curvature is the trace of the second fundamental form while here the mean curvature is half of it.

3. The Berger spheres

A Berger sphere is a usual 3-sphere $\mathbb{S}^3 = \{(z, w) \in \mathbb{C}^2 : |z|^2 + |w|^2 = 1\}$ endowed with the metric

$$g(X,Y) = \frac{4}{\kappa} \left[\langle X, Y \rangle + \left(\frac{4\tau^2}{\kappa} - 1 \right) \langle X, V \rangle \langle Y, V \rangle \right]$$

where \langle , \rangle stands for the usual metric on the sphere, $V_{(z,w)}=(iz,iw)$, for each $(z,w)\in\mathbb{S}^3$ and κ , τ are real numbers with $\kappa>0$ and $\tau\neq 0$. For now on we will denote the Berger sphere (\mathbb{S}^3,g) as $\mathbb{S}^3_b(\kappa,\tau)$, which is a model for a homogeneous space $\mathrm{E}(\kappa,\tau)$ when $\kappa>0$ and $\tau\neq 0$. In this case the vertical Killing field is given by $\xi=\frac{\kappa}{4\tau}V$. We note that $\mathbb{S}^3_b(4,1)$ is the round sphere.

The group of isometries of $\mathbb{S}^3(\kappa, \tau)$ is U(2). The next proposition classifies, up to conjugation, the 1-parameter groups of U(2) into two types.

Proposition 3. A 1-parameter subgroup of U(2), up to conjugation and reparametrization, must be one of the following types:

(i) Rot =
$$\left\{ \begin{pmatrix} 1 & 0 \\ 0 & e^{it} \end{pmatrix} : t \in \mathbb{R} \right\}$$
,

(ii)
$$\left\{ \begin{pmatrix} e^{i\alpha t} & 0 \\ 0 & e^{it} \end{pmatrix} : t \in \mathbb{R} \right\}$$
, with $\alpha \in \mathbb{R} \setminus \{0\}$.

Proof. All 1-parametric subgroups of U(2) are generated, via the exponential map, by an element of the Lie algebra

$$\mathfrak{u}(2) = \left\{ \begin{pmatrix} ia & xe^{iy} \\ -xe^{-iy} & ib \end{pmatrix} \colon a,b,x,y \in \mathbb{R} \right\}.$$

We are going to reduce the possible 1-parametric groups by conjugation. It is clear that given $A \in \mathfrak{u}(2)$ and $D \in U(2)$ then A and $D.A.D^{-1}$ are conjugated. So if $A = \begin{pmatrix} ia & \chi e^{iy} \\ -\chi e^{-iy} & ib \end{pmatrix}$, then taking $D = \begin{pmatrix} 1 & 0 \\ 0 & e^{iy} \end{pmatrix}$ it follows that

$$\begin{pmatrix} 1 & 0 \\ 0 & e^{iy} \end{pmatrix} \begin{pmatrix} ia & xe^{iy} \\ -xe^{-iy} & ib \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & e^{-iy} \end{pmatrix} = \begin{pmatrix} ia & x \\ -x & ib \end{pmatrix}.$$

Hence we may suppose that, up to conjugation, y=0, i.e., $A=\left(\begin{smallmatrix}ia&x\\-x&ia\end{smallmatrix}\right)$. First, if a=b, taking $D=\frac{1}{\sqrt{2}}\left(\begin{smallmatrix}i&-1\\1&-i\end{smallmatrix}\right)$ we have

$$\frac{1}{\sqrt{2}} \begin{pmatrix} i & -1 \\ 1 & -i \end{pmatrix} \begin{pmatrix} ia & x \\ -x & ia \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} -i & 1 \\ -1 & i \end{pmatrix} = \begin{pmatrix} i(a-x) & 0 \\ 0 & i(a+x) \end{pmatrix}.$$

On the other hand if $a \neq b$ then taking $D = \begin{pmatrix} -\lambda & i\mu \\ -i\mu & \lambda \end{pmatrix}$ where $\lambda, \mu \in \mathbb{R}$ such that $\lambda^2 + \mu^2 = 1$ and $\lambda \mu (a - b) = x(\lambda^2 - \mu^2)$, we have

$$\begin{pmatrix} -\lambda & i\mu \\ -i\mu & \lambda \end{pmatrix} \begin{pmatrix} ia & x \\ -x & ib \end{pmatrix} \begin{pmatrix} -\lambda & i\mu \\ -i\mu & \lambda \end{pmatrix} = \begin{pmatrix} i(a\lambda^2 + b\mu^2 + 2x\lambda\mu) & 0 \\ 0 & i(a\mu^2 + b\lambda^2 - 2x\lambda\mu) \end{pmatrix}.$$

So we may always assume that, up to conjugation, every 1-parameter subgroup of U(2) is generated by $\binom{i\alpha \ 0}{0 \ i\beta}$ with $\alpha, \beta \in \mathbb{R}$. We note that we can interchange α and β by conjugation. Via the exponential map this group becomes in $t \mapsto \binom{e^{it\alpha} \ 0}{0 \ e^{it\beta}}$.

Finally if $\alpha = \beta = 0$ then we get the trivial group, if $\beta \neq 0$ we can reparametrize $t \mapsto t/\beta$ obtaining (i) if $\alpha = 0$ and (ii) if $\alpha \neq 0$. Both groups (i) and (ii) are not conjugated because their determinants do not coincide. \Box

Among the two types of groups described in the previous lemma the only 1-parameter group of isometries of U(2) which fixes a curve is Rot. It fixes the set $\ell = \{(z,0) \in \mathbb{S}^3\}$ which is a great circle that we shall call in the sequel the *axis* of rotation. The other type of group (i) is, for $\alpha = 1$, the translation along the fiber and, for $\alpha \neq 1$, the composition of a rotation and translation along the fiber.

In the Berger sphere $\mathbb{S}^3_b(\kappa,\tau)$, we will denote by $E^1_{(z,w)}=(-\bar{w},\bar{z})$ and $E^2_{(z,w)}=(-i\bar{w},i\bar{z})$. Then $\{E^1,E^2,V\}$ is an orthogonal basis of $T\mathbb{S}^3_b(\kappa,\tau)$ which satisfies $|E^1|^2=|E^2|^2=4/\kappa$ and $|V|^2=16\tau^2/\kappa^2$. The connection ∇ associated to g is given by

$$\begin{split} \nabla_{E_1} E_1 &= 0, & \nabla_{E_1} E_2 = -V, & \nabla_{E_1} V &= \frac{4\tau^2}{\kappa} E_2, \\ \nabla_{E_2} E_1 &= V, & \nabla_{E_2} E_2 &= 0, & \nabla_{E_2} V &= -\frac{4\tau^2}{\kappa} E_1, \\ \nabla_V E_1 &= \left(\frac{4\tau^2}{\kappa} - 2\right) E_2, & \nabla_V E_2 &= -\left(\frac{4\tau^2}{\kappa} - 2\right) E_1, & \nabla_V V &= 0. \end{split}$$

Let $\Phi: \Sigma \to \mathbb{S}^3_b(\kappa, \tau)$ be an immersion of an oriented constant mean curvature surface Σ invariant by Rot. Then we can identify $\mathbb{S}^3_b(\kappa, \tau)/\mathrm{Rot}$ with \mathbb{S}^2_+ (a closed semisphere) and so Σ is $\pi^{-1}(\gamma)$ for some smooth curve $\gamma \subseteq \mathbb{S}^2_+$. It is sufficient to consider that γ is in the closed upper half sphere and it is parametrized by arc length in \mathbb{S}^2 , i.e., $\gamma(s) = (\cos x(s)e^{iy(s)}, \sin x(s))$, with $\cos x(s) \ge 0$ and $x'(s)^2 + y'(s)^2 \cos^2 x(s) = 1$ for all $s \in I$. Then we can write down the immersion as $\Phi(s,t) = (\cos x(s)e^{iy(s)}, \sin x(s)e^{it})$. A unit normal vector along Φ is given by

$$N = C \left\{ -\tau \operatorname{Re} \left[\left(\frac{\tan \alpha}{\cos x} + i \tan x \right) e^{i(t+y)} \right] E_{\phi}^{1} - \tau \operatorname{Im} \left[\left(\frac{\tan \alpha}{\cos x} + i \tan x \right) e^{i(t+y)} \right] E_{\phi}^{2} + \frac{\kappa}{4\tau} V_{\phi} \right\}$$

where α is an auxiliary function defined by $\cos \alpha(s) = x'(s)$, and

$$C(s) = \frac{\cos x(s)\cos \alpha(s)}{\sqrt{\cos^2 \alpha(s)[\cos^2 x(s) + \frac{4\tau^2}{\kappa}\sin^2 x(s)] + \frac{4\tau^2}{\kappa}\sin^2 \alpha(s)}}.$$

Now by a straightforward computation we obtain the mean curvature H of Σ with respect to the normal N defined above:

$$\frac{2\cos^3\alpha\cos^3x}{\tau C^3}H = \left(\cos^2x + \frac{4\tau^2}{\kappa}\sin^2x\right)\alpha' + \frac{\sin\alpha}{\tan x}\left[\left(1 - \frac{4\tau^2}{\kappa}\right)\cos^2x\cos^2\alpha + \frac{4\tau^2}{\kappa}(1 - \tan^2x)\right].$$

Then we get the following result:

Lemma 1. The generating curve $\gamma(s) = (\cos x(s)e^{iy(s)}, \sin x(s))$ of a surface Σ of \mathbb{S}_b^3 invariant by the group Rot satisfies the following system of ordinary differential equations:

$$\begin{cases} x' = \cos \alpha, \\ y' = \frac{\sin \alpha}{\cos x}, \\ \alpha' = \frac{1}{(\cos^2 x + \frac{4\tau^2}{\kappa} \sin^2 x)} \left\{ \frac{2\cos^3 \alpha \cos^3 x}{\tau C^3} H - \frac{\sin \alpha}{\tan x} \left[\left(1 - \frac{4\tau^2}{\kappa} \right) \cos^2 x \cos^2 \alpha + \frac{4\tau^2}{\kappa} (1 - \tan^2 x) \right] \right\}$$

$$e \ H \ is \ the \ mean \ curvature \ of \ \Sigma \ with \ respect \ to \ the \ normal \ defined \ before. \ Moreover, \ if \ H \ is \ constant \ then \ the \ function:$$

$$\tau C \sin x \tan \alpha - H \sin^2 x$$

$$(4)$$

where H is the mean curvature of Σ with respect to the normal defined before. Moreover, if H is constant then the function:

$$\tau C \sin x \tan \alpha - H \sin^2 x \tag{4}$$

is a constant E that we will call the energy of the solution.

Remark 2. From the uniqueness of the solutions of (3) for a given initial conditions one can show that if (x, y, α) is a solution then:

- (i) We can translate the solution by the y-axis, i.e., $(x, y + y_0, \alpha)$ is a solution for any $y_0 \in \mathbb{R}$.
- (ii) Reflection of a solution curve across a line $y = y_0$ is a solution curve with opposite sign of H, that is, $(x, 2y_0 y, -\alpha)$ is a solution for -H.
- (iii) Reversal of parameter for a solution is a solution with opposite sign of H, that is, $(x(2s_0 s), y(2s_0 s), \alpha(2s_0 s) + \pi)$ is a solution for -H.
- (iv) If (x, y, α) is defined for $s \in]s_0 \varepsilon, s_0 + \varepsilon[$ with $x'(s_0) = 0$ then the solution can be continued by reflection across

So thanks to the above properties we can always consider a solution (x, y, α) with positive mean curvature and initial condition $(x_0, 0, \alpha_0)$ at s = 0.

Lemma 2. Let $(x(s), y(s), \alpha(s))$ be a solution of (3) with energy E. Then the energy E satisfies

$$-H - \frac{1}{2}\sqrt{4H^2 + \kappa} \leqslant 2E \leqslant -H + \frac{1}{2}\sqrt{4H^2 + \kappa} \tag{5}$$

and $x(s) \in [x_1, x_2]$ where $x_j = \arcsin \sqrt{t_j}$, j = 1, 2,

$$t_1 = \frac{\kappa - 8HE - \sqrt{\kappa^2 - 16\kappa E(H+E)}}{2(4H^2 + \kappa)}, \qquad t_2 = \frac{\kappa - 8HE + \sqrt{\kappa^2 - 16\kappa E(H+E)}}{2(4H^2 + \kappa)}.$$

Also $x'(s) = \cos \alpha(s) = 0$ if, and only if, x(s) is exactly x_1 or x_2 .

Proof. First from (4) we obtain

$$\sin \alpha = \frac{1}{\rho} \left(E + H \sin^2 x \right) \sqrt{1 + \frac{4\tau^2}{\kappa} \tan^2 x}, \qquad \cos \alpha = \frac{1}{\rho} \tau \sin x \sqrt{1 - \frac{4}{\kappa} \frac{(E + H \sin^2 x)^2}{\sin^2 x \cos^2 x}}$$
 (6)

where $\rho = \sqrt{\tau^2 \sin^2 x + (1 - \frac{4\tau^2}{\kappa})(E + H \sin^2 x)^2}$. Then $\frac{4}{k}(E + H \sin^2 x)^2 - \sin^2 x \cos^2 x \leqslant 0$, that is, $p(\sin^2 x) \leqslant 0$, where p is

$$p(t) = \left(1 + \frac{4H^2}{\kappa}\right)t^2 - \left(1 - \frac{8H}{\kappa}E\right)t + \frac{4}{\kappa}E^2.$$

As p(t) must be non-positive the vertex of this parabola must be non-positive too, that is,

$$\left(1 - \frac{8}{\kappa}E\right)^2 - \frac{16}{\kappa}E^2\left(1 + \frac{4H^2}{\kappa}\right) \geqslant 0$$
(7)

and $\sin^2 x(s) \in [t_1, t_2]$ where t_1 and t_2 are the roots of p. Finally, as $\cos x(s) \ge 0$ because we choose the curve γ on the upper half semisphere, it must be $x(s) \in [0, \pi/2]$ so $x(s) \in [x_1, x_2]$ where $x_j = \arcsin \sqrt{t_j}$, j = 1, 2. \square

Now we describe the complete solutions of (3) in terms of H and E.

Theorem 1. Let Σ be a complete, connected, rotationally invariant surface with constant mean curvature H and energy E in $\mathbb{S}^3_h(\kappa,\tau)$. Then Σ must be of one of the following types:

- (i) If E=0 then Σ is a 2-sphere (possibly immersed, see Corollary 1). Moreover, if H=0 too then Σ is the great 2-sphere $\{(z, w) \in \mathbb{S}^3 \colon \operatorname{Im}(\underline{z}) = 0\}$ which is always embedded.
- (ii) If $4E = -2H \pm \sqrt{4H^2 + \kappa}$ then Σ is a Hopf torus of radius $r_H^2 = \frac{1}{2} \pm \frac{H}{\sqrt{4H^2 + \kappa}}$, that is, $T_H = \{(z, w) \in \mathbb{S}^3 \colon |z|^2 = r_H^2, |w|^2 = r_H^2 + \frac{H}{\sqrt{4H^2 + \kappa}}$ $1-r_H^2$ }. (iii) If E>0 or E<-H (and different from the case (ii)) then Σ is an unduloid-type surface (see Fig. 3).
- (iv) If -H < E < 0 then Σ is a nodoid-type surface (see Fig. 4).
- (v) If E = -H then Σ is generated by a union of curves meeting at the north pole (see Fig. 5).

Surfaces of type (iii)-(v) are compact if and only if

$$T(H, E) = 2 \int_{x_1}^{x_2} \frac{(E + H \sin^2 x) \sqrt{1 + \frac{4\tau^2}{\kappa} \tan^2 x}}{\tau \sqrt{\sin^2 x \cos^2 x - \frac{4}{\kappa} (E + H \sin^2 x)^2}} \, dx$$
 (8)

is a rational multiple of π (see Lemma 2 for the definition of x_i , j=1,2). Moreover, surfaces of type (iii) are compact and embedded if and only if $T = 2\pi/k$ with $k \in \mathbb{Z}$.

Remark 3.

- 1. In the round sphere case this study was made by Hsiang [11, Theorem 3]. However he did not distinguish, in terms of the energy, between the nodoid and unduloid case. The sub-Riemannian case, which we can think as fixing $\kappa = 4$ and taking $\tau \to \infty$, was study by Hurtado and Rosales [13, Theorem 6.4].
- 2. As T(H, E) is a non-constant continuous function over a non-empty subset of \mathbb{R}^2 (see (5) for the restrictions of E). there exist values of H and E such that T(H, E) is a rational multiple of π and so the corresponding surfaces of type (iii)-(v) are compact.

Among all these compact examples, the minimal ones only appear in (iii) and, from (5), for $0 < E^2 \le \kappa/16$. For $\kappa = 4$ and $\tau = 0.4$, Fig. 1 shows that there exists a value of E such that $T(0, E) = 2\pi$, that is, the corresponding surface is embedded and compact so it is an embedded minimal torus which is not a Clifford torus. This surface is a counterexample to the Lawson's conjecture in the Berger sphere $\mathbb{S}_h^3(4,0.4)$.

The author thinks that there exists a value $\tau_0 \approx 0.57$ such that for $\tau \leqslant \tau_0$ there are always examples of compact embedded minimal tori (unduloid-type surface) whereas for $\tau > \tau_0$ there are not. These surfaces would be counterexamples to the Lawson's conjecture in the Berger spheres with $\kappa=4$ and $\tau\leqslant\tau_0$.

Proof. First we obtain several useful formulae. Substituting (6) in the third equation of (3) we get

$$\alpha'(s) = \frac{\tau^2 \tan x(s) q(\sin^2 x(s))}{\cos x(s) \sqrt{\cos^2 x(s) + \frac{4\tau^2}{\kappa} \sin^2 x(s)} [\tau^2 \sin^2 x(s) + (1 - \frac{4\tau^2}{\kappa})(E + H \sin^2 x(s))^2]^{3/2}}$$
(9)

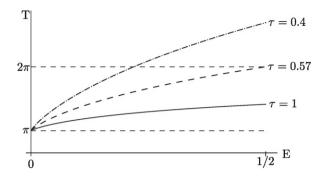


Fig. 1. The period T(0, E) (see (8)) of a minimal unduloid-type surface in terms of the energy E for three different values of τ and fixing $\kappa = 4$. We have only depicted the period for $0 < E \le 1/2$.

where q(t) is the polynomial given by

$$q(t) = \frac{H}{\kappa^2} (\kappa - 4\tau^2) (4H^2 + \kappa) t^3 + \frac{1}{\kappa} (\kappa - 4\tau^2) \left(\frac{12EH^2}{\kappa} - (E + 2H) \right) t^2 + \left(\frac{12HE^2(\kappa - 4\tau^2)}{\kappa^2} + 2E + H \right) t + \frac{4E^3(\kappa - 4\tau^2)}{\kappa^2} - E.$$
(10)

(i) Firstly if H=0 then by (6) we get that $\sin\alpha=0$, i.e., $x(s)=s+x_0$ and y(s)=0. Hence the surface Σ is the great 2-sphere $\{(z,w)\in\mathbb{S}_b^3(\kappa,\tau)\colon \operatorname{Im}(z)=0\}$. Secondly if H>0 then, by Lemma 2, $\sin^2x(s)\in[0,\kappa/(4H^2+\kappa)]$, i.e., $\tan^2x(s)\in[0,\kappa/4H^2]$ and we may suppose that $\tan x(s)\in[0,\sqrt{\kappa}/2H]$. By (6) $\cos\alpha>0$ in that interval so we can express y as a function of x. Taking into account (3) and (6) an easy computation shows that

$$y'(x) = \frac{H}{\tau} \tan x \frac{\sqrt{1 + \frac{4\tau^2}{\kappa} \tan^2 x}}{\sqrt{1 - \frac{4H^2}{\kappa} \tan^2 x}}, \quad x \in \left] 0, \arctan \frac{\sqrt{\kappa}}{2H} \right[.$$

We can integrate the above equation by the change of variable given by

$$u = \sqrt{1 - \frac{4H^2}{\kappa} \tan^2 x} / \sqrt{1 + \frac{4\tau^2}{\kappa} \tan^2 x}.$$

Finally we get

$$y(x) = \begin{cases} -\arctan(\frac{\tau}{H}\lambda(x)) + \frac{H}{\tau} \frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{4H^2 + \kappa}} \arctan(\frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{4H^2 + \kappa}}\lambda(x)) & \text{if } \kappa - 4\tau^2 < 0, \\ -\arctan(\frac{\tau}{H}\lambda(x)) - \frac{H}{\tau} \frac{\sqrt{\kappa - 4\tau^2}}{\sqrt{4H^2 + \kappa}} \arctan(\frac{\sqrt{\kappa - 4\tau^2}}{\sqrt{4H^2 + \kappa}}\lambda(x)) & \text{if } \kappa - 4\tau^2 > 0, \end{cases}$$

$$(11)$$

where $\lambda(x) = \sqrt{1 - \frac{4H^2}{\kappa} \tan^2 x} / \sqrt{1 + \frac{4\tau^2}{\kappa} \tan^2 x}$. We note that $y(\arctan(\sqrt{\kappa}/2H)) = 0$ where meets orthogonally the axis ℓ and y is a strictly increasing function of x, for x in]0, $\arctan(\sqrt{\kappa}/2H)[$. Then y reach its minimum at x = 0. The function y only give us half of a sphere, but we can obtain the other half by reflecting the solution along the line x = 0. Then its easy to see that the sphere is embedded if, and only if, $y(0) > -\pi$. In other case the sphere is immersed (see Fig. 2).

to see that the sphere is embedded if, and only if, $y(0) > -\pi$. In other case the sphere is immersed (see Fig. 2). (ii) If $E = \frac{1}{4}(-2H \pm \sqrt{4H^2 + \kappa})$ the previous lemma says that $t_1 = t_2$ and so x(s) must be the constant $x_1 = x_2 = \arcsin \sqrt{\frac{1}{2}(1 \mp \frac{2H}{\sqrt{4H^2 + \kappa}})}$. We can integrate completely the solution to obtain that $\Phi(s, t) = (re^{is/r}, \sqrt{1 - r^2}e^{it})$ where

$$r = \sqrt{\frac{1}{2} \pm \frac{H}{\sqrt{4H^2 + \kappa}}}$$

i.e., Σ is a Clifford torus.

[(iii), case E > 0] We suppose now that the equality in (7) does not hold and that E > 0. We consider the maximal solution of (3) with initial condition $(x_1, 0, \pi/2)$ (we will later see that this is not a restriction) and we may suppose, by the maximality condition, that there exist s_2 such that $\alpha(s_2) = \pi/2$.

We analyze the sign of α' using (9). It is sufficient to study the sign of the polynomial q in (10) between t_1 and t_2 (see Lemma 2). A straightforward computation shows that q is strictly increasing and that $q(t_1)q(t_2) \leq 0$. Then there exists a

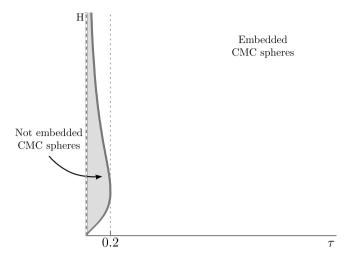


Fig. 2. Non-embedded region of CMC spheres (we fix $\kappa = 4$).

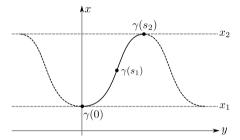


Fig. 3. Curve $\gamma(s)$ for E > 0.

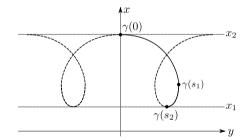


Fig. 4. Curve $\gamma(s)$ for E < 0 and $E \neq -H$.

unique s_1 such that $\alpha'(s_1) = 0$. So α is a strictly increasing function in $]0, s_1[$, strictly decreasing in $]s_1, s_2[$ and s_1 is an absolute maximum. Now, as $\sin \alpha > 0$ we can express x as a function of y, then from (3)

$$\frac{\mathrm{d}x}{\mathrm{d}y} = \cos x \cot \alpha > 0 \tag{12}$$

so x(y) is a strictly increasing function and, because $\cos\alpha(s_2)=0$, it must be $x(y(s_2))=x_2$. In particular the solution x takes all values in the interval $[x_1,x_2]$ so, by the uniqueness of the solution, every maximal solution with initial condition $(x_0,0,\alpha_0)$ with x_0 necessarily in $[x_1,x_2]$ must be a reparametrization of this one. Finally, taking into account the above formula, the third equation of (3) and (6), we get

$$\frac{d^2x}{dy^2} = \frac{-\tau^2 \sin x \cos x}{(\cos^2 x + \frac{4\tau^2}{\kappa} \sin^2 x)^2 (E + H \sin^2 x)^3} \left[\cos^2 x \, q(\sin^2 x) + \left(\cos^2 x + \frac{4\tau^2}{\kappa} \sin^2 x \right) (E + H \sin^2 x) \left(\cos^2 x \sin^2 x - \frac{4}{\kappa} (E + H \sin^2 x)^2 \right) \right]. \tag{13}$$

It is straightforward to check that d^2x/dy^2 has only one zero at y_1 in $]0, y(s_2)[$ and that x is convex in $]0, y_1[$ and concave in $]y_1, y_2[$. By successive reflections across the vertical lines on which x(y) reaches its critical points, we get the full solution which is similar to an Euclidean unduloid (see Fig. 3).

The period of this unduloid is given by

$$T = 2y(s_2) = 2\int_{x_1}^{x_2} y'(x) dx = 2\int_{x_1}^{x_2} \frac{(E + H\sin^2 x)\sqrt{1 + \frac{4\tau^2}{\kappa}\tan^2 x}}{\tau\sqrt{\sin^2 x \cos^2 x - \frac{4}{\kappa}(E + H\sin^2 x)^2}} dx.$$
 (14)

Hence if (14) is a rational multiple of π then the surface is compact. Moreover, the surface is embedded if and only if $T = 2\pi/k$ for $k \in \mathbb{N}$.

[(iii), case E < -H] In this case $\sin \alpha < 0$ so we can express x as a function of y and a similar reasoning as in the previous case is sufficient to check that the surface must be an unduloid (see Fig. 3).

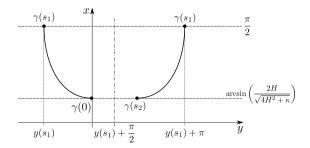


Fig. 5. Graphic of the curve $\gamma(s) = (x(s), y(s))$ for E = -H.

(iv) If -H < E < 0 we consider the maximal solution with initial condition $(x_2, 0, \pi/2)$. We note that in this case $\sin \alpha$ may change its sign: $\sin \alpha < 0$ if $\sin^2 x \in [t_1, -E/H[$ and $\sin \alpha > 0$ if $\sin^2 x \in]-E/H, t_2]$. By (9) $\alpha' > 0$ so α is strictly increasing. Let $0 < s_1 < s_2$ such that $\alpha(s_1) = \pi$ and $\alpha(s_2) = 3\pi/2$ (and so $\alpha(s_2) = x_1$). Then $\alpha \in]\pi/2, \pi[$ on $\alpha \in]0, s_1[$ and $\alpha \in]\pi/3\pi/2[$ on $\alpha \in]s_1, s_2[$. Now we can express the solution $\alpha \in]0, s_2[$ as two graphs of the function $\alpha(s_2)$ meeting at the line $\alpha \in]\pi/3\pi/2[$ in the line $\alpha \in]\pi/3\pi/2[$ meeting at the line $\alpha \in]\pi/3\pi/2[$ meeting into account $\alpha(s_2) \in]\pi/3[$ meeting at the line $\alpha \in]\pi/3[$ meeting $\alpha(s_2) \in]\pi/3[$ meeting at the line $\alpha(s_2) \in]\pi/3[$ meeting $\alpha(s_2) \in]\pi/3[$ meeting at the line $\alpha(s_2) \in]\pi/3[$ meeting $\alpha(s_2) \in]\pi/3[$ meeting at the line $\alpha(s_2) \in]\pi/3[$ meeting $\alpha(s_2) \in]\pi/3[$ meeting at the line $\alpha(s_2) \in]\pi/3[$ meeting $\alpha(s_2) \in]\pi/3[$ meeting at the line $\alpha(s_2) \in]\pi/3[$ meeting $\alpha(s_2) \in]\pi/3[$ meeting at the line $\alpha(s_2) \in]\pi/3[$ meeting $\alpha(s_2) \in]\pi/3[$ meeting

(v) Finally we study the case $E=-H\neq 0$. Now $\sin x\in [2H/\sqrt{4H^2+\kappa},1]$ so the curve may approach to the north pole p_N of the 2-sphere. We consider the maximal solution with initial condition $(\arcsin(2H/\sqrt{4H^2+\kappa}),0,3\pi/2)$ and define $s_1>0$ the first number such that $\alpha(s_1)=2\pi$, that is, the first time the curve γ meets the north pole. We can express x as a function of y on every connected component of $\gamma\setminus\{p_N\}$ because $\sin\alpha<0$ away of p_N . Using (9) we get that $\alpha'>0$ and so $\alpha\in[3\pi/2,2\pi]$. Then, taking into account (12) and (13), we obtain that x(y) is strictly decreasing and convex in $|y(s_1),0|$.

We continue the generating curve to obtain another branch of the graph of the function x(y) meeting the north pole. We observe now that

$$\hat{x}(s) = x(2s_1 - s), \quad \hat{y}(s) = 2y(s_1) + \pi - y(2s_1 - s), \quad \hat{\alpha}(s) = 3\pi - \alpha(2s_1 - s), \quad s \in [s_1, 2s_1]$$

is a solution of (3) with energy E = -H. That is, the other branch of the solution is just the reflexion of x(y) with respect the line $y = y(s_1) + \pi/2$. By successive reflexions across the critical points of x, we obtain the full solution (see Fig. 5).

If $y(s_1) = -\pi/2$ then the reflexion line corresponding to the critical point coincide with the reflexion line of the branch and so the solution will be embedded. Using the next expression for $y(s_1)$ we have check numerically that for τ small and for suitable H we get $y(s_1) = -\pi/2$ and so the solution is an embedded torus,

$$y(s_1) = \int_{\frac{2H}{\sqrt{AH^2 + \kappa}}}^{\pi/2} y'(x) dx = \int_{\frac{2H}{\sqrt{AH^2 + \kappa}}}^{\pi/2} \frac{(E + H \sin^2 x) \sqrt{1 + \frac{4\tau^2}{\kappa} \tan^2 x}}{\tau \sqrt{\sin^2 x \cos^2 x - \frac{4}{\kappa} (E + H \sin^2 x)^2}} dx.$$

Moreover, γ is close (and so Σ is compact) if, and only if, $y(s_1)$ is a rational multiple of 2π . \square

Corollary 1. Let $\Phi:]-a, a[\times]-\pi, \pi[\to \mathbb{S}^3_b(\kappa, \tau)$ be the immersion given by:

$$\Phi(x,t) = \begin{cases} (\cos(x+a)e^{iy(x+a)}, \sin(x+a)e^{it}), & \text{if } x < 0, \\ (\cos(a-x)e^{-iy(a-x)}, \sin(a-x)e^{it}), & \text{if } x \ge 0 \end{cases}$$

where $a = \arctan(\sqrt{\kappa}/2H)$ and y is the function defined in (11). Then Φ defines an immersion of a sphere with constant mean curvature H. Moreover Φ is an embedding if and only if $y(0) > -\pi$ (see Fig. 2).

4. The special linear group $Sl(2, \mathbb{R})$

We are going to study the constant mean curvature surfaces invariant by a 1-parameter group of isometries in Sl(2, \mathbb{R}), that is, in the group of real matrices of order 2 with determinant 1. It is more convenient to give another description of this group as Sl(2, \mathbb{R}) = { $(z, w) \in \mathbb{C}$: $|z|^2 - |w|^2 = 1$ }. It is easy to check that the transformation

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \frac{1}{2} \big((a+d) + i(b-c), (b+c) + i(a-d) \big), \quad ad-bc = 1$$

is a diffeomorphism.

We endow $Sl(2, \mathbb{R})$ with the metric g given by

$$g(E^{i}, E^{j}) = \delta_{ij} \frac{4}{-\kappa}, \quad g(V, V) = \frac{16\tau^{2}}{\kappa^{2}}, \quad g(V, E^{j}) = 0, \quad i, j = 1, 2,$$

where κ and τ are real numbers such that $\kappa < 0$ and $\tau \neq 0$ and $\{E^1, E^2, V\}$ is a global reference on $T \operatorname{Sl}(2, \mathbb{R})$ defined by

$$E_{(z,w)}^1 = (\bar{w}, \bar{z}), \qquad E_{(z,w)}^2 = (i\bar{w}, i\bar{z}), \qquad V_{(z,w)} = (iz, iw).$$

Then $(Sl(2,\mathbb{R}),g)$ is a model for a homogeneous space $E(\kappa,\tau)$ with $\kappa<0$. $Sl(2,\mathbb{R})$ is a fibration over $\mathbb{H}^2(\kappa)$ with fibers generated by the unit killing field $\xi=-\frac{\kappa}{4\tau}V$. We can identify the isometry group of $Sl(2,\mathbb{R})$ with $U_1(2)$.

The connection associate to g is given by

$$\nabla_{E_1} E_1 = 0, \qquad \nabla_{E_1} E_2 = V, \qquad \nabla_{E_1} V = \frac{4\tau^2}{\kappa} E_2,
\nabla_{E_2} E_1 = -V, \qquad \nabla_{E_2} E_2 = 0, \qquad \nabla_{E_2} V = -\frac{4\tau^2}{\kappa} E_1,
\nabla_V E_1 = \left(\frac{4\tau^2}{\kappa} - 2\right) E_2, \qquad \nabla_V E_2 = -\left(\frac{4\tau^2}{\kappa} - 2\right) E_1, \qquad \nabla_V V = 0.$$

As in the Berger sphere case we concentrate our attention in the 1-parameter groups of isometries witch fixed a curve, that we call the axis. We define

$$Rot = \left\{ \begin{pmatrix} 1 & 0 \\ 0 & e^{it} \end{pmatrix} : t \in \mathbb{R} \right\}.$$

Then Rot fix the curve $\ell = \{(z,0) \in \mathrm{Sl}(2,\mathbb{R})\}$ which is a circle and we can identify $\mathrm{Sl}(2,\mathbb{R})/\mathrm{Rot}$ with $O = \{(z,a) \in \mathbb{C} \times \mathbb{R}: |z|^2 - a^2 = 1, \ a \leqslant 0\}$ or $O = \{(z,a) \in \mathbb{C} \times \mathbb{R}: |z|^2 - a^2 = 1, \ a \geqslant 0\}$.

Let $\Phi: \Sigma \to Sl(2,\mathbb{R})$ be an immersion of an oriented constant mean curvature surface Σ invariant by Rot. Then $\Sigma = \pi^{-1}(\gamma)$ for some smooth curve $\gamma \subset 0$, where $\pi: Sl(2,\mathbb{R}) \to 0$ is the projection.

Let $\gamma(s) = (\cosh x(s)e^{iy(s)}, \sinh x(s))$. We may suppose that

$$x'(s)^2 + y'(s)^2 \cosh^2 x(s) = 1$$

and we will call α the function such that $x'(s) = \cos \alpha(s)$.

Then we can write down the immersion $\Phi(s,t) = (\cosh x(s)e^{iy(s)}, \sinh x(s)e^{it})$. A unit normal vector along Φ is given by

$$N = C \left\{ -\tau \operatorname{Re} \left[\left(\frac{\tan \alpha}{\cosh x} - i \tanh x \right) e^{i(t+y)} \right] E_{\Phi}^{1} - \tau \operatorname{Im} \left[\left(\frac{\tan \alpha}{\cosh x} - i \tanh x \right) e^{i(t+y)} \right] E_{\Phi}^{2} - \frac{\kappa}{4\tau} V_{\Phi} \right\}$$

where

$$C(s) = \frac{\cos \alpha(s) \cosh x(s)}{\sqrt{\cos^2 \alpha(s) [\cosh^2 x(s) - \frac{4\tau^2}{\kappa} \sinh^2 x(s)] - \frac{4\tau^2}{\kappa} \sin^2 \alpha(s)}}$$

Now by a straightforward computation we get the mean curvature H of Σ with respect to the normal defined above:

$$\frac{2\cos^{3}\alpha\cosh^{3}x}{\tau C^{3}}H = \left(\cosh^{2}x - \frac{4\tau^{2}}{\kappa}\sinh^{2}x\right)\alpha' + \frac{\sin\alpha}{\tanh x}\left[\left(1 - \frac{4\tau^{2}}{\kappa}\right)\cos^{2}\alpha\cosh^{2}x + \frac{4\tau^{2}}{\kappa}(2\cos^{2}\alpha - 1)(1 + \tanh^{2}x)\right].$$

Hence we obtain the following result:

Lemma 3. The generating curve $\gamma(s) = (\cosh x(s)e^{iy(s)}, \sinh x(s))$ of a surface Σ invariant by the group Rot satisfies the following system of ordinary differential equations:

$$\begin{cases} x' = \cos \alpha, \\ y' = \frac{\sin \alpha}{\cosh x}, \\ \alpha' = \frac{1}{(\cosh^2 x - \frac{4\tau^2}{\kappa} \sinh^2 x)} \left\{ \frac{2\cos^3 \alpha \cosh^3 x}{\tau C^3} H - \frac{\sin \alpha}{\tanh x} \left[\left(1 - \frac{4\tau^2}{\kappa} \right) \cos^2 \alpha \cosh^2 x + \frac{4\tau^2}{\kappa} (2\cos^2 \alpha - 1)(1 + \tanh^2 x) \right] \right\}$$

$$(15)$$

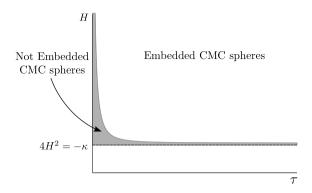


Fig. 6. Non-embedded region of CMC spheres in $Sl(2, \mathbb{R})$.

where H is the mean curvature of Σ with respect to the normal defined before. Moreover, if H is constant then the function

$$\tau C \sinh x \tan \alpha - H \sinh^2 x \tag{16}$$

is a constant E that we will call the energy of the solution.

Remark 2 is also true for this system and so we can always consider a solution (x, y, α) with positive mean curvature vector and initial condition $(x_0, 0, \alpha_0)$.

Lemma 4. Let $(x(s), y(s), \alpha(s))$ be a solution of (15) with energy E. Then:

(i) If $4H^2 + \kappa > 0$ then it must be $4E \le 2H - \sqrt{4H^2 + \kappa}$. Also $\sinh^2 x(s) \in [t_1, t_2]$ where

$$t_1 = \frac{-8HE - \kappa - \sqrt{16\kappa E(H-E) + \kappa^2}}{2(4H^2 + \kappa)}, \qquad t_2 = \frac{-8HE - \kappa + \sqrt{16\kappa E(H-E) + \kappa^2}}{2(4H^2 + \kappa)}.$$

Moreover, $x'(s) = \cos \alpha(s) = 0$ if and only if $\sinh^2 x(s)$ is exactly t_1 or t_2 .

- (ii) If $4H^2 + \kappa < 0$ then $\sinh^2 x(s) \in [t_1, +\infty[$. Moreover, $x'(s) = \cos \alpha(s) = 0$ if and only if $\sinh^2 x(s) = t_1$. (iii) If $4H^2 + \kappa = 0$ then E < H/2 and $\sinh^2 x(s) \in [E^2/H(H-2E), +\infty[$. Moreover, $x'(s) = \cos \alpha(s) = 0$ if and only if $\sinh^2 x(s) = \cos \alpha(s) = 0$. $E^2/H(H-2E)$.

Proof. Using (16) we get that

$$\sin \alpha = \frac{1}{\mu} \left(E + H \sinh^2 x \right) \sqrt{1 - \frac{4\tau^2}{\kappa} \tanh^2 x}, \qquad \cos \alpha = \frac{1}{\mu} \tau \sinh x \sqrt{1 + \frac{4}{\kappa} \frac{(E + H \sinh^2 x)^2}{\cosh^2 x \sinh^2 x}}$$
(17)

where $\mu^2 = \tau^2 \sinh^2 x + (E + H \sinh^2 x)^2 [1 - \frac{4\tau^2}{\kappa} (\tanh^2 x - \frac{1}{\cosh^2 x})].$

From the above formula for $\cos \alpha$ we deduce that $p(\sinh^2 x) \ge 0$, where

$$p(t) = \left(1 + \frac{4H^2}{\kappa}\right)t^2 + \left(1 + \frac{8HE}{\kappa}\right)t + \frac{4E^2}{\kappa}.$$

The result follows from the study of the sign of this polynomial for $t \ge 0$. \Box

Now we describe the complete solutions of (15) in terms of the mean curvature H and the energy E.

Theorem 2. Let Σ be a complete, connected, rotationally invariant surface with constant mean curvature H and energy E in Sl(2, R). Then Σ must be one of the following types:

- 1. If $4H^2 + \kappa > 0$ then:

 - (a) If E=0 then Σ is a 2-sphere. It is not always embedded (see Fig. 6). (b) If $4E=2H-\sqrt{4H^2+\kappa}$ then Σ is a Hopf torus of radius $r_H^2=\frac{1}{2}+\frac{H}{\sqrt{4H^2+\kappa}}$, i.e., $\hat{T}_H=\{(z,w)\in\mathbb{S}^3\colon |z|^2=r_H^2,\ |w|^2=1\}$
 - (c) If E > 0 then Σ is an unduloid-type surface.
 - (d) If E < 0 then Σ is a nodoid-type surface which is always immersed.

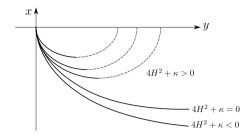
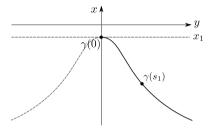


Fig. 7. Different solutions for E = 0 depending on the sign of $4H^2 + \kappa$.



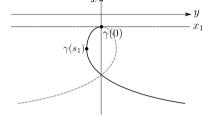


Fig. 8. Curve $\gamma(s)$ for $4H^2 + \kappa \leq 0$ and E > 0.

Fig. 9. Curve $\gamma(s)$ for $4H^2 + \kappa \leq 0$ and E < 0.

Moreover surfaces of type 1(c) and 1(d) are compact if and only if

$$T(H, E) = 2 \int_{x_1}^{x_2} \frac{(E + H \sinh^2 x) \sqrt{1 - \frac{4\tau^2}{\kappa} \tanh^2 x}}{\tau \sqrt{\sinh^2 x \cosh^2 x + \frac{4}{\kappa} (E + H \sinh^2 x)^2}} \, dx$$

is a rational multiple of π , where $x_j = \arcsin \sqrt{t_j}$, j = 1, 2 (see Lemma 4(i)). Moreover, surfaces of type 1(c) are compact and embedded if and only if $T = 2\pi/k$ with $k \in \mathbb{Z}$.

2. If $4H^2 + \kappa \le 0$ then Σ is immersed and non-compact. Moreover the curve γ which generates Σ is of the type of Fig. 7 when E = 0, Fig. 8 when E > 0 and Fig. 9 when E < 0.

Remark 4.

- 1. This theorem was first stated by Gorodski in [10] for $\kappa = -4$ and $\tau = 1$. However he did not take into account that for $4H^2 + \kappa \le 0$ there are not constant mean curvature spheres (otherwise, by the Daniel correspondence, see [4], we were able to construct constant mean curvature spheres with $4H^2 1 \le 0$ in $\mathbb{H}^2 \times \mathbb{R}$ which is a contradiction by [14, Corollary 5.2]).
- 2. All the examples described in the above theorem can be lifted to the universal cover. Because the fiber in the universal cover is a line, not a circle, all the constant mean curvature spheres are embedded there. Moreover for $E \ge 0$ the surfaces are embedded too by the same reason. This classification has been obtained, very recently, by Espinoza [6].

Proof. Firstly we are going to analyze $4H^2 + \kappa > 0$ because it is quite similar to the Berger sphere case. In this case, taking into account the previous lemma and that $H \ge 0$, x(s) moves between two values $x_1 = -\arcsin \sqrt{t_2}$ and $x_2 = -\arcsin \sqrt{t_1}$. If E = 0 then $x_2 = 0$ and so the curve γ may intersect the axis of rotation ℓ . As $\cos \alpha > 0$ for $x(s) \in]x_1 = -\arctan(\sqrt{-\kappa}/2H)$, 0[, we can express y as a function of x. Now using (17) we get that

$$y'(x) = \frac{H \tanh x \sqrt{1 - \frac{4\tau^2}{\kappa} \tanh^2 x}}{\tau \sqrt{1 + \frac{4H^2}{\kappa} \tanh^2 x}}.$$

And we can integrate explicitly this equation to obtain that

$$y(x) = \arctan\left(\frac{\tau}{H}\rho(x)\right) - \frac{H}{\tau} \frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{4H^2 + \kappa}} \arctan\left(\frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{4H^2 + \kappa}}\rho(x)\right)$$
(18)

where $\rho(x) = \sqrt{1 + \frac{4H^2}{\kappa} \tanh^2 x} / \sqrt{1 - \frac{4\tau^2}{\kappa} \tanh^2 x}$. We note that $y(x_1) = 0$ where it meets orthogonally the axis ℓ and y(x) is strictly increasing and strictly convex. The function y(x) only describe half of the sphere, but we can obtain the whole

sphere by reflection the solution along the line x = 0. It is easy to see that the sphere is embedded if, and only if, $y(0) > -\pi$ (see Fig. 6).

Now if E > 0 then $\sin \alpha > 0$ by (17) and so we can express x as a function of y. A similar reasoning as in the Berger sphere case for E > 0 is sufficient to check that the surface must be an unduloid (see Fig. 3) or a Hopf tori if $4E = 2H - \sqrt{4H^2 + \kappa}$. Finally if E < 0 then $\sin \alpha$ may change its sign. As in the Berger sphere case for -H < E < 0 we can express the curve (x(s), y(s)) as two graphs of the function x(y). Hence it is straightforward to check that the situation is the same as in Fig. 4 and the surface must be a nodoid-type one. In both cases the surface is compact if and only if

$$T(H, E) = 2 \int_{x_1}^{x_2} y'(x) dx = 2 \int_{x_1}^{x_2} \frac{(E + H \sinh^2 x) \sqrt{1 - \frac{4\tau^2}{\kappa} \tanh^2 x}}{\tau \sqrt{\sinh^2 x \cosh^2 x + \frac{4}{\kappa} (E + H \sinh^2 x)^2}} dx$$
 (19)

is a rational multiple of π , where $x_j = \operatorname{arcsinh} \sqrt{t_j}$, j = 1, 2 (see Lemma 4).

On the other hand the situation for $4H^2 + \kappa \le 0$ is different from the above and it does not have a counterpart in the Berger sphere case. We firstly observe, by the previous lemma, that in this case x(s) does not have to move between two real values. It is only bounded above by a constant that depends on H and only vanishes when E = 0 so the solution intersects the axis of rotation only in this case. Moreover, as $x'(s) = \cos \alpha(s)$ can only vanish once, the solution cannot be periodic. We are going to distinguish between E = 0, E > 0 and E < 0 and we define for all the cases $x_1 = -\arcsin h \sqrt{t_1}$ when $4H^2 + \kappa < 0$ and $x_1 = -\arcsin h (|E|/\sqrt{H(H-2E)})$ when $4H^2 + \kappa = 0$. Because we choose E > 0 it must be E > 0 for any E > 0 so we

$$\frac{\mathrm{d}y}{\mathrm{d}x} = \frac{H \sinh x \sqrt{1 - \frac{4\tau^2}{\kappa} \tanh^2 x}}{\sqrt{1 + \frac{4H^2}{\kappa} \tanh^2 x}} < 0$$

can express y as a function of x. Then

so the function y is strictly decreasing. Moreover $d^2y/dx^2 > 0$ so the function y is strictly convex. In Fig. 7 we can see the two situations for E = 0.

In the second case, that is for E>0, we consider the maximal solution with initial conditions $(x_1,0,\pi/2)$. Then there exists $s_1>0$ such that $\alpha'(s_1)=0$, α' is positive for $s< s_1$ and negative for $s>s_1$. Hence, using that $\cos\alpha<0$ and $\sin\alpha>0$ by (17), we get that $\alpha(s)\in]\pi/2,\pi[$. We can express x in terms of y because $\sin\alpha>0$ by (17) and

$$\frac{\mathrm{d}x}{\mathrm{d}y} = \cot\alpha \cosh x < 0 \tag{20}$$

so x is a strictly decreasing function of y (see Fig. 8).

Finally when E < 0 we consider the maximal solution with initial condition $(x_1, 0, 3\pi/2)$. In this case $\sin \alpha$ could vanish so we cannot express x as a function of y. As α' is always negative, let $s_1 > 0$ such that $\alpha(s_1) = \pi$. Then $\alpha \in]\pi, 3\pi/2[$ on $s \in]0, s_1[$ and $\alpha \in]\pi/2, \pi[$ on $s > s_1$ because $\cos \alpha(s)$ does not vanish anymore. Now we can express the solution γ as two graphs of the function x(y) meeting at the line $y = y(s_1)$. First using (20) x(y) is strictly increasing on $]y(s_1), +\infty[$. Therefore the solution must be similar to Fig. 9. \square

Corollary 2. Let $4H^2 + \kappa > 0$ and Φ : $]-a, a[\times]-\pi, \pi[\to Sl(2, \mathbb{R})]$ be the immersion given by:

$$\Phi(x,t) = \begin{cases} (\cosh(x+a)e^{iy(x+a)}, \sinh(x+a)e^{it}), & \text{if } x < 0\\ (\cosh(a-x)e^{-iy(a-x)}, \sinh(a-x)e^{it}), & \text{if } x \geqslant 0 \end{cases}$$

where $a = \operatorname{arctanh}(\sqrt{-\kappa}/2H)$ and y is the function defined in (18). Then Φ defines an immersion of a sphere with constant mean curvature H. Moreover Φ is an embedding if and only if $y(0) > -\pi$ (see Fig. 6).

5. The isoperimetric problem in the Berger spheres

In [18] the authors studied the stability of constant mean curvature surfaces in the Berger spheres. They proved that for $1/3 \le 4\tau^2/\kappa < 1$ the solution to the isoperimetric problem are the rotationally constant mean curvature H spheres, \mathcal{S}_H . Besides they showed that there exist unstable constant mean curvature spheres for τ close to zero. Moreover, for $4\tau^2/\kappa < 1/3$ there exist stable constant mean curvature spheres and tori. The aim of this section is to study the relation between the area and the volume of the rotationally constant mean curvature spheres in order to understand the isoperimetric problem for $4\tau^2/\kappa < 1/3$.

We have given in Corollary 1 a parametrization of the constant mean curvature sphere S_H . Then, using that parametrization, we define the interior domain of S_H as

$$\Omega_H = \{(z, w) \in \mathbb{S}^3 : -y(\arccos|z|) < \arg(z) < y(\arccos|z|)\}.$$

Hence one of the volumes determined by S_H is $vol(\Omega_H)$ (note that this does not have to be the smaller one).

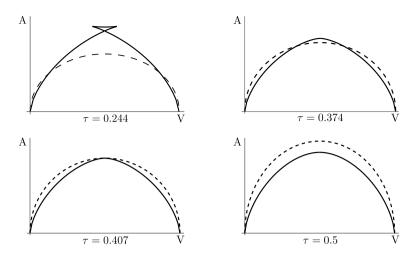


Fig. 10. Graphics of the area of the CMC spheres (solid line) and CMC tori (dashed line) in terms of the volume for different Berger spheres ($\kappa = 4$).

Lemma 5. The volume of Ω_H is given by:

$$\operatorname{vol}(\Omega_{H}) = \begin{cases} \frac{16\pi\tau}{\kappa^{2}} (2\arctan(\frac{\tau}{H}) - \frac{\kappa H}{\tau(4H^{2} + \kappa)} + \mu \arctan(\frac{\sqrt{4\tau^{2} - \kappa}}{\sqrt{4H^{2} + \kappa}})), \\ \frac{16\pi\tau}{\kappa^{2}} (2\arctan(\frac{\tau}{H}) - \frac{\kappa H}{\tau(4H^{2} + \kappa)} + \mu \arctan(\frac{\sqrt{\kappa - 4\tau^{2}}}{\sqrt{4H^{2} + \kappa}})), \end{cases}$$

if $\kappa - 4\tau^2$ is negative or positive respectively and where

$$\mu = \frac{2H}{\tau} \frac{(\kappa - 4\tau^2)(2H^2 + \kappa) - 2\tau^2(4H^2 + \kappa)}{\sqrt{|4\tau^2 - \kappa|}(4H^2 + \kappa)^{3/2}}.$$

Proof. Firstly it is easy to see that, because the symmetry of the sphere, we can restrict ourselves to the domain $\Omega_H^+ = \{(z,w) \in \mathbb{S}^3 \colon \arg(z) < y(\arccos|z|)\}$ so $\operatorname{vol}(\Omega_H) = 2\operatorname{vol}(\Omega_H^+)$. Secondly the volume form ω_b of $\mathbb{S}^3(\kappa,\tau)$ and ω of \mathbb{S}^3 are related by $\omega_b = \frac{16\tau}{\kappa^2}\omega$. Hence it is sufficient to calculate the volume of Ω_H^+ with respect to the standard metric on the sphere.

We are going to apply the co-area formula using the function $f(z, w) = \arccos|z|$ which assigns to the point (z, w) the distance to the curve $\ell = \{(z, 0) \in \mathbb{S}^3\}$, which is the axis of rotation of the group Rot. Then

$$vol(\Omega_H) = 2 \int_{\Omega_H^+} \omega_b = \frac{32\tau}{\kappa^2} \int_{\Omega_H^+} \omega = \frac{32\tau}{\kappa^2} \int_{\mathbb{R}} \left(\int_{\Gamma_t \cap \Omega_H^+} \omega_t \right) dt$$

where ω_t is the restriction of the form ω to $\Gamma_t = f^{-1}(\{t\})$ and we have taken into account that $|\nabla f| = 1$. Now we can parametrize $\Gamma_t \cap \Omega_H^+$ as $\varphi : [0, y(t)] \times [0, 2\pi] \to \Gamma_t \cap \Omega_H^+$, $\varphi(u, \theta) = (\cos t e^{iu}, \sin t e^{i\theta})$. We note that $\Gamma_t \cap \Omega_H^+ = \emptyset$ for $t > \arctan(\sqrt{\kappa}/2H)$ or t < 0. Hence the above integral can be rewritten as

$$\operatorname{vol}(\Omega_{H}) = \frac{32\tau}{\kappa^{2}} \int_{0}^{\arctan(\sqrt{\kappa}/2H)} \left(\int_{[0,y(t)]\times[0,2\pi]} \varphi^{*}(\omega_{t}) \right) dt$$
$$= \frac{16\pi \tau}{\kappa^{2}} 4 \int_{0}^{\arctan(\sqrt{\kappa}/2H)} \sin t \cos t y(t) dt$$

Finally a long but straightforward computation yields the above integral and the result. \Box

Now we are able to draw the area of S_H in terms of its volume. We are going to compare it with the tori T_H (see Fig. 10) because for τ close to zero there are stable constant mean curvature spheres and tori (see [18]) so both surfaces are candidates so solve the isoperimetric problem. The area and the smallest volume enclosed by T_H are given by:

$$\operatorname{Area}(\mathcal{T}_H) = \frac{4\tau}{\kappa} \frac{4\pi^2}{\sqrt{4H^2 + \kappa}}, \quad \operatorname{Volume\ enclosed\ by\ } \mathcal{T}_H = \frac{16\pi^2\tau}{\kappa^2} \bigg(1 - \frac{2H}{\sqrt{4H^2 + \kappa}} \bigg).$$

We can fix, without lost of generality, $\kappa=4$. Fig. 10 shows the four different situations that appears in the Berger spheres: for $\tau=0.5$ the spheres are the best candidates to solve the isoperimetric problem, for $\tau=0.407$ the minimal Clifford torus has the same area and volume that the minimal sphere so both are candidates to solve the isoperimetric problem. For $\tau=0.374$ and $\tau=0.244$ (in the last case there are unstable spheres and non-congruent spheres enclosing the same volume) it appears an open interval centered at $\pi^2 16\tau/\kappa^2$ such that the tori T_H are the candidates to solve the isoperimetric problem.

Acknowledgements

The author is partially supported by a MCyT-Feder research project MTM2007-61775 and a Junta Andalucía Grant P06-FOM-01642.

References

- [1] U. Abresch, H. Rosenberg, A Hopf differential for constant mean curvature surfaces in $\mathbb{S}^2 \times \mathbb{R}$ and $\mathbb{H}^2 \times \mathbb{R}$, Acta Math. 193 (2004) 141–174.
- [2] U. Abresch, H. Rosenberg, Generalized Hopf differentials, Mat. Contemp. 28 (2005) 1-28.
- [3] R. Caddeo, P. Piu, A. Ratto, SO(2)-invariant minimal and constant mean curvature surfaces in 3-dimensional homogeneous spaces, Manuscripta Math. 87 (1995) 1–12.
- [4] B. Daniel, Isometric immersions into 3-dimensional homogeneous manifolds, Comment. Math. Helv. 82 (2007) 87-131.
- [5] J.M. Espinar, H. Rosenberg, Complete constant mean curvature surfaces in homogeneous spaces, Comment. Math. Helv., in press.
- [6] C. Espinoza, Rotational and parabolic surfaces in $\tilde{PSL}_2(\mathbb{R}, \tau)$ and applications, preprint, arXiv:0911.2213v1 [math.DG].
- [7] M. do Carmo, I. Fernández, A Hopf theorem for open surfaces in product spaces, Forum Math. 21 (2009) 951-963.
- [8] I. Fernández, P. Mira, A characterization of constant mean curvature surfaces in homogeneous 3-manifolds, Differential Geometry and Its Applications 25 (2007) 281–289.
- [9] C.B. Figueroa, F. Mercuri, R.H.L. Pedrosa, Invariant surfaces of the Heisenberg groups, Ann. Mat. Pura Appl. 177 (1999) 173-194.
- [10] C. Gorodski, Delaunay-type surfaces in the 2×2 real unimodular group, Annali di Matematica 180 (2001) 211–221.
- [11] W.Y. Hsiang, On generalization of theorems of A.D. Alexandrov and C. Delaunay on hypersurfaces of constant mean curvature, Duke Math. J. 49 (3) (1982) 485–496.
- [12] W.Y. Hsiang, W.T. Hsiang, On the uniqueness of isoperimetric solutions and imbedded soap bubbles in non-compact symmetric spaces, Invent. Math. 98 (1) (1989) 39–58.
- [13] A. Hurtado, C. Rosales, Area-stationary surfaces inside the sub-Riemannian three-sphere, Math. Ann. 340 (3) (2008) 675-708.
- [14] B. Nelli, H. Rosenberg, Global properties of constant mean curvature surfaces in $\mathbb{H}^2 \times \mathbb{R}$, Pacific J. Math. 226 (1) (2006) 137–152.
- [15] R. Pedrosa, The isoperimetric problem in spherical cylinders, Ann. Global Anal. Geom. 26 (4) (2004) 333-354.
- [16] R.H.L. Pedrosa, M. Ritoré, Isoperimetric domains in the Riemannian product of a circle with a simply connected space form and applications to free boundary problems, Indiana Univ. Math. J. 48 (4) (1999) 1357–1394.
- [17] P. Tomter, Constant mean curvature surfaces in the Heisenberg group, in: Proc. Sympos. Pure Math., vol. 54, 1993, pp. 485-495.
- [18] F. Torralbo, F. Urbano, Compact stable constant mean curvature surfaces in the Berger spheres, preprint, arXiv:0906.1439v1 [math.DG].