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A characterization for $*$ -isomorphisms in an indefinite inner product space

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Abstract

Let H_1 and H_2 be indefinite inner product spaces. Let $L(H_1)$ and $L(H_2)$ be the sets of all linear operators on H_1 and H_2 , respectively. The following result is proved: If Φ is $[*]$ -isomorphism from $L(H_1)$ onto $L(H_2)$ then there exists $U: H_1 \rightarrow H_2$ such that $\Phi(T) = cUTU^{[*]}$ for all $T \in L(H_1)$ with $UU^{[*]} = cI_2$, $U^{[*]}U = cI_1$ and $c = \pm 1$. Here I_1 and I_2 denote the identity maps on H_1 and H_2 , respectively.

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1. Introduction

Recently, Kulkarni et al. [2] gave an elementary proof of characterizing onto $*$ -isomorphisms of the algebra $BL(H)$ of all bounded linear operators on a Hilbert space H using simple and well-known properties of operators in a Hilbert space. The classical proof of this result utilizes the theory of irreducible representations of C^* -algebras [1, Corollary 2, p. 20]. In this paper we prove a similar characterization theorem for $*$ -isomorphisms in an indefinite inner product space. One of the main results (Corollary 3.5) also demonstrates that there is no qualitative difference in the behaviour of a $*$ -isomorphism of the algebra $BL(H)$, with H being a complex Hilbert space in one instance and an indefinite inner product space in the other. In other words, completeness

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of the norm and positive definiteness of the inner product are not of concern, in the representation of $*$ -isomorphisms. The main results are Theorem 3.4 and Corollary 3.5.

2. Preliminary results

In this section, we prove some preliminary results which will be used in the sequel. Let (\cdot, \cdot) denote the conventional Hilbert space inner product on a Hilbert space H and N be an invertible Hermitian operator on H . An indefinite inner product on H is defined by the equation $[x, y] = \langle x, Ny \rangle$, where $x, y \in H$. Such a matrix N is called a weight. A space with an indefinite inner product is called an indefinite inner product space (IIPS). A vector x is called normalized vector if $[x, x] = \pm 1$. If $[x, y] = 0$ then the vectors x and y are called orthogonal vectors. Let T be an operator from H_1 into H_2 . We define the adjoint $T^{[*]}$ (of the operator T) by $[T(x), y] = [x, T^{[*]}(y)]$ for all $x, y \in H_1$. T is called a projection iff $T = T^2$ and orthogonal projection iff T is a projection and $T = T^{[*]}$. Throughout this paper $\mathcal{R}(T)$ and $\mathcal{N}(T)$ denote the range space and the null space of T , respectively.

Lemma 2.1. *If P is an orthogonal projection then $\mathcal{R}(P)$ and $\mathcal{N}(P)$ are orthogonal complementary subspaces. In this case $[x, x] \neq 0$ for all nonzero $x \in \mathcal{R}(P)$.*

Lemma 2.2. *If $P = P^2$ then $P = P^{[*]}$ iff $\mathcal{R}(P)$ and $\mathcal{N}(P)$ are orthogonal complementary subspaces of H .*

Proof. Sufficiency follows from Lemma 2.1. We now prove the necessity part. Suppose $\mathcal{R}(P)$ and $\mathcal{N}(P)$ are orthogonal subspaces. If $z \in \mathcal{N}(P)$, then $[P^{[*]}x, z] = [x, Pz] = 0$ for all x . Thus $\mathcal{N}(P) \subseteq \mathcal{N}(P^{[*]})$. Similarly, $\mathcal{N}(P^{[*]}) \subseteq \mathcal{N}(P)$. Thus $\mathcal{N}(P^{[*]}) = \mathcal{N}(P)$. Since $I - P$ is an orthogonal projection whenever P is so, it follows that $\mathcal{N}((I - P)^{[*]}) = \mathcal{N}(I - P)$. Equivalently, $\mathcal{R}(P^{[*]}) = \mathcal{R}(P)$. Thus $P = P^{[*]}$.

Lemma 2.3. *Let P, Q be orthogonal projections. Then*

- (i) $\mathcal{R}(P) \subseteq \mathcal{R}(Q) \Leftrightarrow PQ = P = QP$;
- (ii) $\mathcal{R}(P) \perp \mathcal{R}(Q) \Leftrightarrow PQ = \mathbf{0} = QP$.

Definition 2.4. Let H be a indefinite inner product space. For $x, y \in H$, define the operator $T_{x,y}$ on H by $T_{x,y}(u) = [u, y]x, u \in H$ and $P_x = \text{sgn}(x)T_{x,x}$, where

$$\text{sgn}(x) = \begin{cases} 1, & \text{if } [x, x] \geq 0, \\ -1, & \text{otherwise.} \end{cases}$$

Next we list some properties of $T_{x,y}$. Let $x, y, z \in H$.

Lemma 2.5. $T_{x,y}$ is a linear and a rank one operator.

Lemma 2.6. $T_{\alpha x, \beta y} = \alpha \bar{\beta} T_{x,y}$, where α, β are scalars.

Lemma 2.7. $T_{x,y}^{[*]} = T_{y,x}$.

Lemma 2.8. *If $[x, x] = \pm 1$, then P_x is an orthogonal projection.*

Proof. We have for all $u \in H$,

$$P_x(u) = \operatorname{sgn}(x)[u, x]x = \operatorname{sgn}(x)[u, x]P_x(x) = P_x^2(u).$$

It is easy to verify that $\mathcal{R}(P_x)$ and $\mathcal{N}(P_x)$ are orthogonal. Thus by Lemma 2.2, $P_x = P_x^{[*]}$. \square

Lemma 2.9. *If P is an orthogonal projection of rank 1, then there exists $x \in H$ with $[x, x] = \pm 1$ such that $P = P_x$.*

Proof. If P is an orthogonal projection of rank 1, then there exists nonzero $x \in H$ such that $\mathcal{R}(P) = \operatorname{span}(\{x\})$. By Lemma 2.1, $[x, x] \neq 0$. So x can be normalized. Let $u \in H$. Then $P(u) = \alpha x$ where $\alpha = \operatorname{sgn}(x)[P(u), x]$. Thus

$$P(u) = \operatorname{sgn}(x)[P(u), x]x = \operatorname{sgn}(x)[u, P(x)]x = \operatorname{sgn}(x)[u, x]x = P_x(u).$$

Thus $P = P_x$. This completes the proof. \square

Lemma 2.10. *Let $[x, x] = \pm 1$ and $[y, y] = \pm 1$. Then*

$$x \text{ and } y \text{ are orthogonal} \iff P_x P_y = P_y P_x = \mathbf{0}.$$

Proof. Follows from Lemmas 2.3 and 2.8. \square

Lemma 2.11.

- (i) *If $[x, x] = \pm 1$ then $T_{x,z}T_{y,x} = \operatorname{sgn}(x)[y, z]P_x$.*
- (ii) *If $[z, z] = \pm 1$ then $T_{x,z}T_{z,y} = \operatorname{sgn}(z)T_{x,y}$. If in addition $[x, x] = \pm 1$ then $T_{x,z}T_{z,x} = \operatorname{sgn}(x)\operatorname{sgn}(z)P_x$.*

Proof. Let $u \in H$. Then

$$T_{x,z}T_{y,x}(u) = [u, x][y, z]x = [y, z]T_{x,x}(u) = \operatorname{sgn}(x)[y, z]P_x,$$

proving (i). Next, we have

$$\begin{aligned} T_{x,z}T_{z,y}(u) &= [u, y]T_{x,z}(z) \\ &= [u, y][z, z]x \\ &= \operatorname{sgn}(z)[u, y]x \\ &= \operatorname{sgn}(z)T_{x,y}(u). \end{aligned}$$

If in addition, $[x, x] = \pm 1$ then substituting $y = x$ in the above, we get $T_{x,z}T_{z,x} = \operatorname{sgn}(z)T_{x,x} = \operatorname{sgn}(x)\operatorname{sgn}(z)P_x$. \square

Lemma 2.12. *Let T be a linear operator of rank 1. Then there exist nonzero $x, y \in H$ such that $T = T_{x,y}$.*

Proof. Since T is a linear operator of rank 1, then $\mathcal{R}(T) = \operatorname{span}(\{x\})$ for some nonzero x . If $[x, x] = \pm 1$, choose $y = \operatorname{sgn}(x)T^{[*]}(x)$. Then for any u , $T(u) = \operatorname{sgn}(x)[T(u), x]x = [u, y]x = T_{x,y}(u)$. Suppose $[x, x] = 0$. For any u , $T(u) = \alpha x$ for some scalar α . Choose y such that $[u, y] = \alpha$. Then $T(u) = [u, y]x = T_{x,y}(u)$. \square

3. Main results

In this section we define a $[*]$ -isomorphism in an indefinite inner product space and prove the main result (Corollary 3.5) which characterizes all $[*]$ -isomorphisms in an IIPS. Let $L(H)$ denote the space of all linear operators on the indefinite inner product space H .

Definition 3.1. Let H_1, H_2 be indefinite inner product spaces over \mathbb{R} or \mathbb{C} . A linear map Φ between vector spaces $L(H_1)$ and $L(H_2)$ is called an isomorphism if it is one-one and $\Phi(TS) = \Phi(T)\Phi(S)$ for all $T, S \in L(H_1)$. An isomorphism Φ on $L(H_1)$ is called a $[*]$ -isomorphism if $\Phi(T^{[*]}) = (\Phi(T))^{[*]}$ for all $T \in L(H_1)$.

Lemma 3.2. Let Φ be a $[*]$ -isomorphism from $L(H_1)$ onto $L(H_2)$ and $x \in H_1$. If $[x, x] = \pm 1$ then $\Phi(P_x)$ is an orthogonal projection of rank 1.

Proof. Since $[x, x] = \pm 1$, P_x is an orthogonal projection, by Lemma 2.8. It is easy to prove that $\Phi(P_x)$ is an orthogonal projection. By Lemma 2.1, $[x, x] \neq 0$ for all nonzero $x \in \mathcal{R}(\Phi(P_x))$. The rest of the proof is similar to the Euclidean case [2, Step 1]. \square

Lemma 3.3. For each $x \in H_1$ with $[x, x] = \pm 1$, there exists $\tilde{x} \in H_2$ with $[\tilde{x}, \tilde{x}] = \pm 1$ such that $\Phi(P_x) = P_{\tilde{x}}$.

Proof. By Lemma 3.2, $\Phi(P_x)$ is an orthogonal projection of rank 1. By Lemma 2.9, there exists $\tilde{x} \in H_2$ with $[\tilde{x}, \tilde{x}] = \pm 1$ such that $\Phi(P_x) = P_{\tilde{x}}$. \square

Theorem 3.4. Let H_1 and H_2 be indefinite inner product spaces. If Φ is a $[*]$ -isomorphism from $L(H_1)$ onto $L(H_2)$ then there exists a linear operator $U : H_1 \rightarrow H_2$ such that $\Phi(T) = cUTU^{[*]}$ for all rank 1 operators $T \in L(H_1)$, with $UU^{[*]} = cI_2$ and $U^{[*]}U = cI_1$, where $c = \pm 1$. Here I_1, I_2 denote the identity maps on H_1, H_2 , respectively.

Proof. Fix $x_0 \in H_1$ with $[x_0, x_0] = \pm 1$. Then by Lemma 3.3, there exists $\tilde{x}_0 \in H_2$ such that $\Phi(P_{x_0}) = P_{\tilde{x}_0}$ and $[\tilde{x}_0, \tilde{x}_0] = \pm 1$. Define $U : H_1 \rightarrow H_2$ by

$$U(y) = \Phi(T_{y,x_0})(\tilde{x}_0).$$

It is easy to check that U is linear and

$$U(\alpha x_0) = \alpha \operatorname{sgn}(x_0)\tilde{x}_0, \tag{3.1}$$

for every scalar α . Also,

$$\begin{aligned} [U(y), U(z)] &= [\Phi(T_{y,x_0})\tilde{x}_0, \Phi(T_{z,x_0})\tilde{x}_0] \\ &= [(\Phi(T_{z,x_0}))^{[*]}\Phi(T_{y,x_0})\tilde{x}_0, \tilde{x}_0] \\ &= [\Phi(T_{z,x_0}^{[*]})\Phi(T_{y,x_0})\tilde{x}_0, \tilde{x}_0] \\ &= [\Phi(T_{x_0,z}T_{y,x_0})\tilde{x}_0, \tilde{x}_0] \\ &= \operatorname{sgn}(x_0)[y, z][\Phi(P_{x_0})\tilde{x}_0, \tilde{x}_0] \\ &= \operatorname{sgn}(x_0)[y, z][\tilde{x}_0, \tilde{x}_0] \\ &= \operatorname{sgn}(x_0)\operatorname{sgn}(\tilde{x}_0)[y, z]. \end{aligned}$$

Thus $U^{[*]}U = cI_1$ and $UU^{[*]} = cI_2$, where $c = \text{sgn}(x_0)\text{sgn}(\tilde{x}_0)$. It follows that

$$U^{[*]}U(\alpha \text{sgn}(x_0)x_0) = c\alpha \text{sgn}(x_0)x_0.$$

Substituting the expression for $U(\alpha x_0)$ from Eq. (3.1), we obtain

$$U^{[*]}(\alpha x_0) = c\alpha \text{sgn}(x_0)x_0. \tag{3.2}$$

Next we prove $\Phi(T) = cUTU^{[*]}$ for all rank 1 linear operators T on H_1 . First we prove this for $T = T_{x_0,y}$ for $y \in H_1$. Let $u, y \in H_1$. Then

$$\begin{aligned} \Phi(T_{x_0,y})U(u) &= \Phi(T_{x_0,y})\Phi(T_{u,x_0})\tilde{x}_0 \\ &= \Phi(T_{x_0,y}T_{u,x_0})\tilde{x}_0 \\ &= \text{sgn}(x_0)[u, y]\Phi(P_{x_0})(\tilde{x}_0) \\ &= \text{sgn}(x_0)[u, y]\tilde{x}_0. \end{aligned}$$

Now, $T_{x_0,y}(u) = [u, y]x_0$ implies

$$\begin{aligned} U(T_{x_0,y})(u) &= \Phi([u, y]T_{x_0,x_0})\tilde{x}_0 \\ &= [u, y]\text{sgn}(x_0)\Phi(P_{x_0})(\tilde{x}_0) \\ &= \text{sgn}(x_0)[u, y]\tilde{x}_0. \end{aligned}$$

Thus $\Phi(T_{x_0,y})U = UT_{x_0,y}$. So we have

$$\Phi(T_{x_0,y}) = cUT_{x_0,y}U^{[*]}.$$

If T is a rank one linear operator, then by Lemma 2.12, there exist x and y such that $T = T_{x,y}$. Then

$$\begin{aligned} \Phi(T) &= \Phi(T_{x,y}) \\ &= \Phi(\text{sgn}(x_0)T_{x,x_0}T_{x_0,y}) \\ &= \text{sgn}(x_0)\Phi(T_{x_0,x}^{[*]})\Phi(T_{x_0,y}) \\ &= \text{sgn}(x_0)\{\Phi(T_{x_0,x})\}^{[*]}\Phi(T_{x_0,y}) \\ &= c \text{sgn}(x_0)\{UT_{x_0,x}U^{[*]}\}^{[*]}\{UcT_{x_0,y}U^{[*]}\} \\ &= c \text{sgn}(x_0)UT_{x_0,x}^{[*]}T_{x_0,y}U^{[*]} \\ &= c \text{sgn}(x_0)UT_{x,x_0}T_{x_0,y}U^{[*]} \\ &= cUT_{x,y}U^{[*]} \\ &= cUTU^{[*]}. \end{aligned}$$

In the above, the second equation follows from Lemma 2.11. Thus

$$\Phi(T) = cUTU^{[*]}$$

for all rank one operators T . This completes the proof. \square

Corollary 3.5. *Let H_1 and H_2 be indefinite inner product spaces. If Φ is a $[*]$ -isomorphism from $L(H_1)$ onto $L(H_2)$ then there exists a linear operator $U : H_1 \rightarrow H_2$ such that $\Phi(T) = cUTU^{[*]}$ for all $T \in L(H_1)$ with $UU^{[*]} = cI_2$ and $U^{[*]}U = cI_1$, where $c = \pm 1$. Moreover, U is unique up to a scalar multiple of absolute value 1.*

Proof. Let $x_0 \in H_1$ such that $[x_0, x_0] = \pm 1$. It is clear that TT_{v,x_0} is a rank 1 operator for any $v \in H_1$. Then by Theorem 3.4, there exists U such that $UU^{[*]} = cI_2$, $U^{[*]}U = cI_1$ and

$$\Phi(TT_{v,x_0}) = cUTT_{v,x_0}U^{[*]}.$$

Then

$$\begin{aligned} \Phi(T)U(v) &= \Phi(T)\Phi(T_{v,x_0})\tilde{x}_0 \\ &= \Phi(TT_{v,x_0})(\tilde{x}_0) \\ &= cUTT_{v,x_0}U^{[*]}(\tilde{x}_0) \\ &= cUTT_{v,x_0}(c \operatorname{sgn}(x_0)x_0) \\ &= UT(v), \end{aligned}$$

where the fourth equation follows from Eq. (3.2). Thus $\Phi(T) = cUTU^{[*]}$ (for all $T \in L(H_1)$). Uniqueness is similar to the Euclidean case. \square

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