# Torsion-Free Groups Having Finite Automorphism Groups. I 

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## Introduction

If $G$ is an infinite periodic group, then its automorphism group is also infinite (Baer [1]); if $G$, in addition, is abelian, then more detailed information is available on the cardinal number of Aut ( $G$ ) (Boyer [2]; Walker [13]). But in contrast, if $G$ is torsion-free, then Aut $(G)$ may well be a finite group. The simplest example shows this: the infinite cyclic group $C_{\infty}$, which has only one automorphism other than the identity.

The problem we shall discuss in this paper is the following: for what finite groups $A$ is there a torsion-free group $G$ such that Aut $(G)$ is isomorphic to $A$ ? ${ }^{1}$ We remark immediately that under these circumstances $G$ is necessarily abelian. For if Aut $(G)$ is finite, then so is its subgroup consisting of the inner automorphisms, which is isomorphic to the factor group of $G$ over its center $Z(G)$. But by a celebrated theorem of Schur, ${ }^{2}$ if the center of a group is of finite index, then its derived group $G^{\prime}$ is finite. And in our case, since $G$ is torsion-free, this means that $G^{\prime}=1$ or that $G$ is abelian.

We do not concern ourselves with the apparently hopeless task of finding all the torsion-free abelian groups whose automorphism group is a given finite group. It may suffice here to state that if a finite group $A$ occurs at all, then it will become clear from the examples we shall construct in Part II that even among countable torsion-free abelian groups $G$ of finite rank there are always uncountably many nonisomorphic ones having the given $A$ as their automorphism group.

In fact, much more is known even in the simplest case when $A=C_{2}$ is cyclic of order 2. Preliminary results by de Groot [7], Hulanicki [10], Fuchs [5], and Saşiada [11] showed successively that for every cardinal

[^0]number $r$ less than $2^{\mathrm{K}_{0}} 2^{2^{\mathrm{x}_{0}}}, 2^{2^{2^{M_{n}}}}$ there are torsion-free abelian groups $G$ of rank $r$ with $\mid$ Aut $(G) \mid-2$, and in 1959 Fuchs [6] stated that there is no restriction whatever on the cardinal number $r$ of the rank of such a group. True, a flaw in Fuchs' argument was revealed by Corner [3], but he at least was able to save the result for all ranks $r$ smaller than the hypothetical first "strongly inaccessible" cardinal number.

Our interest in the present problem arises from the paper by de Vries and de Miranda [12] who investigated what groups of small order (not exceeding 8) occur as the automorphism groups of other groups. Of course, every torsionfree abelian group $G$ has the "inversion" automorphism $\mu=-1: g_{\mu}=-g$ for all $g \in G$, so that the order of Aut $(G)$, if finite, must be even. ${ }^{3}$ De Vries and de Miranda show that of the ten groups of order 2, 4, 6, or 8 seven do occur as automorphism groups of torsion-free groups, and three do not. The latter are the cyclic group $C_{8}$ and the dihedral groups $D_{3}$ and $D_{4}$. Note that among their examples there is a single nonabelian automorphism group, the quaternion group $Q_{8}$.

The first part of this paper is devoted to a search for conditions that are necessary for a finite (or for that matter, periodic) group $A$ to occur as the automorphism group of a torsion-free group $G$. The subsequent second part will deal with the sufficiency of these conditions, that is, with the task of constructing torsion-free groups having a prescribed finite automorphism group.

## I

We may perhaps anticipate our final result in the form of a
Marn Theorem. If a finite group $A$ is the automorphism group of a torsionfree group $G$, then $A$ is a subgroup of a direct product of a finite number of groups of the following six types ${ }^{4}$ :
cyclic groups $C_{2}, C_{4}, C_{6}$ of order $2,4,6 ;$
the quaternion group $Q_{8}$ of order 8 ;
the dicyclic group $D C_{12}$ of order 12;
the binary tetrahedval group $B T_{24}$ of order 24.

[^1]The last three groups can be given conveniently by generators and defining relations:

$$
\begin{aligned}
Q_{8} & =\left\{\alpha, \beta \| \alpha^{2}=\beta^{2}=(\alpha \beta)^{2}\right\} ; \\
D C_{12} & =\left\{\alpha, \beta \| \alpha^{3}=\beta^{2}=(\alpha \beta)^{2}\right\} ; \\
B T_{24} & =\left\{\alpha, \beta \| \alpha^{3}=\beta^{3}=(\alpha \beta)^{2}\right\} .
\end{aligned}
$$

The theorem indicates that the class of finite automorphism groups of tor-sion-free abelian groups is rather special; it should be contrasted with the remarkable result of Corner [4] that every countable, reduced, torsionfree ring (associative and with unit element) is the endomorphism ring of a torsion-free abclian group.

We begin the proof with a description of the (quite elementary) method by which we derive information on $A$ from the assumption that it is the automorphism group of a torsion-free abelian group $G$. We denote by $Z_{A} A$ the integral group ring of $A$. Its elements $\sum_{i} x_{i} \alpha_{i},\left(x_{i} \in \mathbf{Z}, \alpha_{i} \in A\right)$, induce endomorphisms in $G$ in the obvious way: $g\left(\sum_{i} x_{i} \alpha_{i}\right)=\sum_{i} x_{i}\left(g \alpha_{i}\right)$. We form the twostded ideal $\Gamma$ of those $\gamma \in \mathbf{Z} A$ for which this is the zero endomorphism: $g \gamma=0$ for all $g \in G$. The residue class ring $\mathbf{Z} A / \Gamma$ can now be embedded in End $(G)$, the endomorphism ring of $G$. We set $Z A / \Gamma=R(G)$ and call it the automorphism ring of $G$, that is, the subring of End $(G)$ generated by Aut ( $G$ ).

Now the units of the ring End $(G)$ are precisely the automorphisms, and they are contained, as monomials 1. $\alpha$, in $R(G)$. Following G. Higman [9] we call them trivial units of $R(G)$. But suppose that we can deduce from intrinsic properties of the finite group $A$ (without specific information on the way the elements of $A$ act on those of $G$ ) that no matter what the group $G$ is, the ring $R(G)$ must contain other, nontrivial units. Then our assumption that $A$ is the automorphism group of a suitable $G$ is false and $A$ cannot occur. And if such a nontrivial unit is of infinite order, then $A$ cannot even be a subgroup of a finite (or periodic) automorphism group. To illustrate our method we take the case of the dihedral group $D_{4}$ of order 8 .

$$
D_{4}=\left\{\alpha, \beta \| \alpha^{4}=\beta^{2}=(\alpha \beta)^{2}=1\right\} .
$$

Herc the clement $\eta=1+\alpha(1+\beta)$ of $R(G)$ turns out to be a unit, because with $\eta^{\prime}=1-\alpha(1+\beta)$ we have $\eta \eta^{\prime}=\eta^{\prime} \eta=1$. It is easy to show that $\eta$ cannot be one of the 8 trivial units of $R(G) .{ }^{5}$

[^2]The ring End $(G)$ (and hence $R(G)$ ) has the following two properties of which we shall make repeated use.

P1. End $(G)$ is itself torsion-free, that is, integers are not divisors of zero. If for an element $\epsilon \in$ End $(g), \epsilon \neq 0$, we have $n \epsilon=0$, i.e., $g(n \epsilon)=0$ for all $g \in G$, then $(n g) \epsilon=0$, but $\epsilon \neq 0$, hence $n g=0$ and, as $G$ is torsion-free, $n=0$.

P2. End $(G)$ contains no nilpotent elements, other than 0 . If for an element $\epsilon \in$ End $(G), \epsilon \neq 0$, we have $\epsilon^{k}=0$ with $k \geqslant 2$, then $\left(\epsilon^{k-1}\right)^{2}=0$. So we may assume that $\epsilon^{2}=0$. But then $\eta=1+\epsilon$ is a unit of $R(G)$ with $\eta^{\prime}=1-\epsilon$ as its two-sided inverse. This unit $\eta$ is nontrivial, because it is of infinite order:

$$
(1+\epsilon)^{n}=1+n \epsilon \neq 1 \quad \text { for } \quad n \neq 0
$$

The fact that every torsion-free abelian group $G$ has the inversion automorphism $\mu: g \mu=-g$ for all $g \in G$, gives us trivially:
$\mathrm{N}_{\mathbf{0}}$. The center of A contains an element of order 2.
The next condition imposes a severe restriction on the orders of the elements of $A$.
$\mathrm{N}_{1}$. All the elements of $A$ have orders dividing 12. Hence $A$ is of exponent $2,4,6$, or 12 .

Proof. (i) Let $\alpha \in A$ be an element of odd prime power order $k=p^{l}$. We shall show that $k=3$. For if $k>3$, we form the element ${ }^{6}$ of $R(G)$ :

$$
\eta=1-\alpha+\alpha^{2}-+\cdots+\alpha^{k-3},
$$

which we can write unambiguously as

$$
\eta:=\frac{1+\alpha^{1-2}}{1+\alpha} .
$$

This $\eta$ is a unit of $R(G)$. To see this we remark that its inverse $\eta^{\prime}$, if it exists, has to be

$$
\eta^{\prime}=\frac{1+\alpha}{1+\alpha^{k-2}}=\alpha^{2} \frac{1+\alpha}{1+\alpha^{2}}
$$

and all we have to do is to write this fraction as a polynomial in $\alpha$. Using the relation $\alpha^{k}=1$ we find explicitly:

[^3]for $k \equiv 1(\bmod 4)$
$$
\eta^{\prime}=\alpha^{2} \frac{1+\alpha^{k+1}}{1+\alpha^{2}}=\alpha^{2}-\alpha^{4}+\cdots+\alpha^{k+1}
$$
for $k \equiv 3(\bmod 4)$
$$
\eta^{\prime}=\alpha^{2} \frac{\alpha^{k}+\alpha}{1+\alpha^{2}}=\alpha^{3}-\alpha^{5}+-\cdots+\alpha^{l}
$$

We now show that $\eta$ is a unit of infinite order, hence nontrivial. Let $g(x)$ be the minimal polynomial for which $g(\alpha)$ annihilates $G$. Then $g(x)$ divides

$$
x^{p^{l}}-1=\left(x^{p^{l-1}}-1\right) \Phi_{p^{2}}(x)
$$

where the second factor is the cyclotomic polynomial. But $g(x)$ does not divide $x^{p^{l-1}}-1$, because $\alpha$ is of order $p^{l}$, and since $\Phi_{p^{2}(x)}$ is irreducible, $\Phi_{p \imath}(x)$ must divide $g(x)$. Thus we can map $\alpha$ to a primitive $k$ th root of unity $\omega$ and extend this mapping to a homomorphism of the (commutative) subring of $R(G)$ generated by $\alpha$ into the complex numbers. Then the image of $\eta$ is $\left(1+\omega^{-2}\right) /(1+\omega)$. If $\eta$ were of finite order, then its image would also be, so that the complex number $\left(1+\omega^{-2}\right) /(1+\omega)$ would have absolute value 1 . But this implies that $\omega^{-2}=\omega$ or $\bar{\omega}$, and we have a contradiction to our assumption that $k>3$.
(ii) Let $\alpha \in A$ be an element whose order is a power of 2 , say $2^{l}$. We shall show that $l \leqslant 2$. For if $l>2$, we may assume that $l=3$, replacing $\alpha$, if necessary, by $\alpha^{2-3}$. We now examine the element ${ }^{7}$

$$
\eta=1+\left(1-\alpha^{4}\right)\left(1+\alpha\left(1-\alpha^{2}\right)\right)
$$

A short calculation, which we omit, will show that

$$
\eta^{\prime}=1+\left(1-\alpha^{4}\right)\left(1-\alpha\left(1-\alpha^{2}\right)\right)
$$

is a two-sided inverse of $\eta$. Hence $\eta$ is a unit of $R(G)$ and is nontrivial, because the mapping $\alpha \rightarrow \omega=(1+i) / \sqrt{ } 2$ gives $\eta \rightarrow 3+2 \sqrt{ } 2$, a fundamental unit of $\mathbf{Q}(\omega)$, and shows that $\eta$ is of infinite order.

The next condition is vacuous when $A$ does not contain elements of order 12.
$\mathrm{N}_{2}$. A contains an element of order 2 that is not the sixth power of any element of order 12 .

[^4]Proof. If every element of $A$ of order 2 is the sixth power of an element of order 12, then so is, in particular, the inversion automorphism $\mu=-1$. But if $\alpha^{6}=-1$, then

$$
\left.\eta=\frac{1+\alpha^{5}}{1+\alpha^{-}}=1 \quad \alpha \right\rvert\, \alpha^{2} \quad \alpha^{3} \quad \alpha^{4}=1-\alpha\left(1+\alpha^{2}\right)(1-\alpha)
$$

turns out to be a unit whose inverse $\eta^{\prime}$ can be written in the form.

$$
\eta^{\prime}=\frac{1+\alpha}{1+\alpha^{5}}=1-\alpha^{2}-\alpha^{3}-\alpha^{4}-\alpha^{5}=1-\alpha^{2}\left(1+\alpha^{2}\right)(1+\alpha)
$$

and again $\eta$ can be shown in the same way as before to be of infinite order. ${ }^{8}$
$\mathrm{N}_{3}$. All elements of $A$ of order 2 are contained in the center $Z(A)$.
Proof. Let $\alpha, \beta \in A, \alpha^{2}=1$. Consider the elements of $R(G)$

$$
\epsilon_{1}=(1+\alpha) \beta(1-\alpha) \quad \text { and } \quad \epsilon_{2}=(1-\alpha) \beta(1+\alpha) .
$$

Here $\epsilon_{1}^{2}=\epsilon_{2}^{2}=0$, hence $\epsilon_{1}=\epsilon_{2}=0$, by $P_{2}$. But then

$$
\epsilon_{1}-\epsilon_{2}=2(\alpha \beta-\beta \alpha)=0
$$

and so $\alpha \beta=\beta \alpha$, by $P_{1}$. Therefore $\alpha \in Z(A)$, as required.
In deriving the next two conditions we shall make repeated use of an important lemma on divisors of zero in $R(G)$.

Lemma. Let $f(t)$ and $g(t)$ be coprime polynomials with integer coefficients, and

$$
n=a(t) f(t)+b(t) g(t)
$$

a representation of their greatest common divisor, where $n$ and the coefficients of $a(t)$ and $b(t)$ are integers. Suppose that $f(\alpha) g(\alpha)=0$ for some $\alpha \in A$. We define the subgroups $H$ and $K$ of $G$ by

$$
H=\{y \in G \| y f(\alpha)=0\}, \quad K=\{z \in G \| z g(\alpha)=0\} .
$$

Then $H \cap K=0, n G \leqslant H \oplus K, H$ and $K$ are characteristic in $G$, and End $(H)$ and End $(K)$ contain no nilpotent elements other than 0.

[^5]Proof. For every element $x \in G$ we have

$$
n x=x \cdot a(\alpha) f(\alpha)+x \cdot b(\alpha) g(\alpha)
$$

Here the first term lies in $K$, the second in $H$, and so $n G \leqslant H+K$.
If an element $x \in G$ is annihilated by both $f(\alpha)$ and $g(\alpha)$, then also by $n: n x=0$, and so $x=0$. Hence $H \cap K=0$.

To show that $H$ is characteristic in $G$, we take an arbitrary element $\beta \in A$. Then $(g(\alpha) \beta f(\alpha))^{2}-0$ and so $g(\alpha) \beta f(\alpha)=0$, by $\mathrm{P}_{2}$. For every element $y \in H$ we have

$$
n y=y(a(\alpha) f(\alpha)+b(\alpha) g(\alpha))=y \cdot b(\alpha) g(\alpha)
$$

Hence $n y(\beta f(\alpha))=0$ or $y(\beta f(\alpha))=0$. This shows that $y \beta$ also lies in $H$, as required. Similarly, $K$ is characteristic in $G$.

Finally, for every $x \in G$ we have $n x=y+z, y \in H, z \in K$, and so

$$
\begin{aligned}
n x \cdot b(\alpha) g(\alpha) & =y \cdot b(\alpha) g(\alpha)+z \cdot b(\alpha) g(\alpha) \\
& =y \cdot b(\alpha) g(\alpha) \\
& =y \cdot b(\alpha) g(\alpha)+y \cdot a(\alpha) f(\alpha) \\
& =n y
\end{aligned}
$$

In this way every endomorphism $\epsilon$ of $H$ gives rise to an endomorphism $b(\alpha) g(\alpha) \epsilon$ of $n G$ and hence of $G$, because $G$ is torsion-free. But if $\epsilon$ were nonzero and nilpotent on $H$, then $b(\alpha) g(\alpha) \epsilon$ would also be nilpotent on $G$ and nonzero, because $b(\alpha) g(\alpha)$ annihilates $K$ and acts as multiplication by $n$ on $H$. This is a contradiction to $\mathrm{P}_{2}$; therefore End $(H)$, and similarly End $(K)$, contains no nonzero nilpotent elements.
$\mathrm{N}_{4}$. The Sylow 3-subgroups of $A$ are (elementary) abelian.
Proof. If this were not the case, then two noncommuting elements of a Sylow 3-subgroup would generate a subgroup of $A$ of order 27 and exponent 3.
(i) We show, first of all, that if $\alpha$ and $\beta$ are two elements of a Sylow 3-subgroup and

$$
1+\alpha+\alpha^{2}=1+\beta+\beta^{2}=1+(\alpha \beta)+(\alpha \beta)^{2}=0
$$

in $R(G)$, then $\alpha=\beta$. Indeed,
$(\alpha \beta)^{2}=(\alpha \beta)^{-1}=\beta^{-1} \alpha^{-1}=\beta^{2} \alpha^{2}=-(1+\beta) \cdot-(1+\alpha)=1+\alpha+\beta+\beta \alpha ;$ on the other hand,

$$
(\alpha \beta)^{2}=-(1+\alpha \beta)
$$

So

$$
1+\alpha+\beta+\beta \alpha=-1-\alpha \beta
$$

or

$$
\alpha \beta+\beta \alpha=-(1+\alpha)-(1+\beta)=\alpha^{2}+\beta^{2}
$$

Hence

$$
\alpha^{2}+\beta^{2}-\alpha \beta-\beta \alpha=(\alpha-\beta)^{2}=0
$$

and so $\alpha=\beta$, by $\mathbf{P}_{2}$.
(ii) We now assume that $\alpha$ and $\beta$ are non-commuting elements of a Sylow 3 -subgroup. We write $\gamma=[\alpha, \beta]$ for their commutator and apply the preceding lemma to
$f(t)=1+t+t^{2}, \quad g(t)=1-t, \quad n=3, \quad a(t)=1, \quad b(t)=2+t$.
Here $f(\gamma) g(\gamma)=0$ in $R(G)$, hence $3 G \leqslant H \oplus K$, where $\gamma$ is the identity on $K$, so that by assumption $G \neq K$. We shall show that $\gamma$ is the identity on $H$ and so derive a contradiction.

In accordance with the lemma, let $H_{1}$ and $K_{1}$ be the characteristic subgroups of $H$ such that $3 H \leqslant H_{1} \oplus K_{1}$ and $H_{1}\left(1+\alpha+\alpha^{2}\right)=0$, $K_{1}(1-\alpha)=0$. Since $\alpha=1$ on $K_{1}$, we also have $\gamma=1$ on $K_{1} \leqslant H$, hence $K_{1}=0$. So $3 H \leqslant H_{1}$, but

$$
3 H\left(1+\alpha+\alpha^{2}\right)=0
$$

implies that

$$
H\left(1+\alpha+\alpha^{2}\right)=0 .
$$

We now proceed in the same way with $\beta$ and $\alpha \beta$ and find that on $H$ :

$$
1+\alpha+\alpha^{2}=1+\beta+\beta^{2}=1+(\alpha \beta)+(\alpha \beta)^{2}=0
$$

Hence $\alpha=\beta$ on $H$, by the lemma and what was proved under (i). But then $\gamma=1$ on $H$. Together with $H \cap K=0$ this shows that $H=0$ or $3 G \leqslant K$. But $3 G(1-\gamma)=0$ implies that $g(1-\gamma)=0$. Hence $G=K$ and we have reached a contradiction to the assumption that $\alpha$ and $\beta$ do not commute.
$N_{5}$. Let a be an arbitrary element of $A$ of order 2 . Then $G$ has a characteristic subgroup $H_{\alpha}$ with the following properties: if $\varphi_{\alpha}$ denotes the restriction homomorphism of $A$ into Aut $\left(H_{\alpha}\right)$, then

1. $\alpha \varphi_{\alpha}$ is the inversion automorphism on $H_{\alpha}$, and
2. the order of $A \varphi_{\alpha}$ divides 24.

Proof. (i) We begin by applying the lemma to

$$
f(t)=1+t, \quad g(t)=1-t, \quad n=2, \quad a(t)=b(t)=1 .
$$

Here $f(\alpha) g(\alpha)=0,2 G \leqslant H_{1} \oplus K_{1}, H_{1} \neq 0$, where $\alpha$ acts as the inversion automorphism on $H_{1}$ and as the identity on $K_{1}$. Let $\varphi_{1}$ be restriction homomorphism of $A$ into Aut $\left(H_{1}\right)$, i.e., $\lambda \varphi_{1}=\lambda_{1 H_{1}}$ for all $\lambda \in A$.

The conditions $\mathrm{N}_{1}$ and $\mathrm{N}_{4}$ are clearly inherited by homomorphic images of $A$, but $\mathrm{N}_{2}$ and $N_{3}$ need not be. We shall now show that in the present circumstances it is still true that every element of $A \varphi_{1}$ of order 2 lies in the center of Aut $\left(H_{1}\right)$. If this were not so, then we could find a $\beta \in A \varphi_{1}, \beta^{2}=1$, and a $\gamma \in \operatorname{Aut}\left(H_{1}\right)$ such that $[\beta, \gamma] \neq 1$. Now consider the elements

$$
\epsilon_{1}=(1+\beta) \gamma(1-\beta) \quad \text { and } \quad \epsilon_{2}=(1-\beta) \gamma(1+\beta)
$$

of the endomorphism ring End $\left(H_{1}\right)$. Both are nilpotent, $\epsilon_{1}^{2}=\epsilon_{2}^{2}=0$. But if both $\epsilon_{1}$ and $\epsilon_{2}$ were zero, then $\epsilon_{1}-\epsilon_{2}=2(\beta \gamma-\gamma \beta)=0$, so that $\beta$ and $\gamma$ would commute after all. Hence End $\left(H_{1}\right)$ would contain at least onc nonzero nilpotent element. This is a contradiction to the lemma and shows that $\beta$ lies in the center of $\operatorname{Aut}\left(H_{1}\right)$.
(ii) Now if $A \varphi_{1}$ contains, apart from $\alpha \varphi_{1}$, another element of order 2, say $\beta$ (in the center as we have just seen), then we can again apply the lemma with respect to $\beta$ and "split" $H_{1}: 2 H_{1} \leqslant H_{2} \oplus K_{2}$, where $\beta$ is the inversion automorphism on $H_{2}$ and the identity on $K_{2}$. Here $H_{2}$ and $K_{2}$ are characteristic in $H_{1}$, consequently in $G$. Continuing in this manner we arrive after a finite number of steps at a characteristic subgroup $H$ of $G$ such that the restriction $\alpha \varphi$ of $\alpha$ to $H$ is the only element of order 2 in the image $A \varphi$ of $A$ in Aut ( $H$ ).

Now a finite 2 -group having a single element of order 2 in its center is cyclic or a generalized quaternion group. Bearing $\mathrm{N}_{1}$ in mind, we can say at this stage that the Sylow 2 -subgroups of $A \varphi$ are cyclic of order 2 or 4, or quaternion groups. Hence $A \varphi$ is of order $2^{r} 3^{s}, r \leqslant 3$.
(iii) If $s=0$ or 1 , we set $H_{\alpha}=H, \varphi_{\alpha}=\varphi$ and have satisfied the conditions of $\mathrm{N}_{5}$. But if $s>1$, we proceed again to apply the lemma with respect to an element $\delta \in A \varphi$ of order 3 , this time with
$f(t)-1+t+t^{2}, \quad g(t)=1-t, \quad n=3, \quad a(t)=1, \quad b(t)=2+t$.
Then $3 H \leqslant H^{\prime} \oplus K^{\prime}, H^{\prime} \neq 0$, where $1+\delta+\delta^{2}=0$ on $H^{\prime}$ and $\delta$ is the identity on $K^{\prime}$. If the restriction of $A \varphi$ to $H^{\prime}$ contains a further element $\zeta$ of order 3 for which $H^{\prime}\left(1+\zeta+\zeta^{2}\right) \neq 0$, we continue the process. Eventually we reach a subgroup $H_{\alpha}$ that is characteristic in $H^{\prime}$, hence in $H$ and in $G$, such that for any two distinct elements $\beta$, $\gamma$ of a Sylow 3-subgroup of $A \varphi_{\alpha}=A_{\mid H_{\alpha}}$ we have $1+\beta+\beta^{2}=1+\gamma+\gamma^{2}=0$ on $H_{\alpha}$. But then the proof of $\mathrm{N}_{4}{ }^{\alpha}$, (i) shows that $\beta$ and $\gamma$ are inverses of each other, so that the order of a Sylow 3-subgroup of $A \varphi_{\alpha}$ is 3 and the order of $A \varphi_{\alpha}$ divides 24, as required.

We are now ready for the proof of the Main Theorem.

Proof. (i) Suppose that the subgroup of $A$ consisting of the elements of order 2 (and the unit element) is of order $2^{k}$ and that the Sylow 3 -subgroups of $A$ are of order $3^{t}$. By a repeated application of the process described in the proof of $\mathrm{N}_{5}$ we arrive at the relationship of the form

$$
n G \leqslant H_{1} \oplus H_{2} \oplus \cdots \oplus H_{m},
$$

where each $H_{i}$ is characteristic in $G$ and the (even) order of $A \varphi_{i}=A_{\mid H_{i}}$ divides 24. Here $n$ divides $2^{k 3} 3^{l}$ and $m$ is less than or equal to $2^{k+l}$.

We now define a map $\varphi$ of $A$ into the direct product of the groups $A \varphi_{i}$ :

$$
\alpha \varphi=\left(\alpha \varphi_{1}, \alpha \varphi_{2}, \cdots, \alpha \varphi_{m}\right) \quad \text { for all } \alpha \in A .
$$

This is clearly a homomorphism. But if $\alpha \in \operatorname{Ker} \varphi$, then $\alpha \varphi_{i}=\alpha_{\mid H_{i}}=1$. Hence $\alpha$ induces the identity automorphism on $H_{1} \oplus H_{2} \oplus \cdots \oplus H_{m}$, hence on $n G$, and as $G$ is torsion-free, also on $G$.

Hence $\operatorname{Ker} \varphi=1$ and we can embed $A$ in the direct product:

$$
A \leqslant A \varphi_{1} \otimes A \varphi_{2} \otimes \cdots \otimes A \varphi_{m}
$$

(ii) A straightforward inspection of the groups of order dividing 24 that satisfy the relevant conditions $\mathrm{N}_{0}, \mathrm{~N}_{1}, \mathrm{~N}_{3}$, and $\mathrm{N}_{4}$ shows that each $A \varphi_{i}$ is of one of the following types:

$$
\text { the six groups } C_{2}, C_{4}, C_{6}, Q_{8}, D C_{12}, B T_{24}
$$

mentioned in the statement of the theorem,
or the two groups $C_{12}$ and $D C_{24}=\left\{\alpha, \beta \| \alpha^{12}=1, \beta^{2}=\alpha^{6}, \beta^{-1} \alpha \beta=\alpha^{-1}\right\}$. The last two cannot occur as automorphism groups, because they have elements of order 12 and a single element of order 2, and hence violate the the condition $\mathrm{N}_{2}$. But they can occur as subgroups of automorphism groups, e.g., $C_{12} \leqslant C_{4} \otimes C_{6}$, and our final step is to eliminate these two groups if they occur among the $A \varphi_{i}$ and to replace them by other homomorphic images of $A$.
(iii) Suppose that $A$ contains an element $\alpha$ of order 12. Then the group ring $\mathbf{Z} A$ contains a nontrivial unit $\eta$ of infinite order (see Higman [9]). How can this $\eta$, a polynomial in $\alpha$, become a trivial unit in $R(G)$ ? Since the group rings of the cyclic groups $C_{4}$ and $C_{6}$ have no nontrivial units, it is easy to see that this can only happen if the kernel $\Gamma$ of the homomorphism from $\mathbf{Z} A$ to $R(G)$ contains $\left(1-\alpha^{6}\right)\left(1+\alpha^{2}\right)$.

But with $\left(1-\alpha^{6}\right)\left(1+\alpha^{2}\right)=0$ on $R(G)$ we can again apply the lemma to $f(t)=1-t^{6}, \quad g(t)=1+t^{2}, \quad n=2, \quad a(t)=1, \quad b(t)=1-t^{2}+t^{4}$,

$$
f(\alpha) g(\alpha)=0
$$

and find that $2 G \leqslant H \oplus K$, where $\alpha_{\mid H}^{6}=1, \alpha_{\mid K}^{2}=-1$. If the
image of $A$ in Aut $(H)$ or Aut $(K)$ still contains an element of order 12, we can continue the procedure until all the elements of order 12 are eliminated from the groups $A \varphi_{i}$.

This concludes the proof of the Main Theorem. We may perhaps illustrate the last step of the proof on the example of the group of order 48

$$
A\left\{\alpha, \beta \| \alpha^{12}=1, \beta^{4}=1, \beta^{-1} \alpha \beta=\alpha^{-1}\right\}
$$

If we use as kernels of restriction homomorphisms the subgroups $K_{1}=\{\alpha\}$ and $K_{2}=\left\{\alpha^{6} \beta^{2}\right\}$, then $A \varphi_{1} \cong C_{4}$ and $A \varphi_{2} \cong D C_{24}, A \leqslant C_{4} \otimes D C_{24}$. But with the more judicious choice of $K_{3}=\left\{\alpha^{3}\right\}$ and $K_{4}=\left\{\alpha^{4}, \alpha^{2} \beta^{2}\right\}$, we have $A \varphi_{3} \cong Q_{8}$ and $A \varphi_{4} \cong D C_{12}$, hence $A \leqslant Q_{8} \otimes D C_{12}$. It will become apparent, in Part II, that $A$ is, in fact, an automorphism group of a torsionfree group $G$.

In conclusion, we mention that there is a somewhat shorter but more sophisticated approach to the Main Theorem, starting from the group algebra $\mathbf{Q} A$ over the rationals rather than the group ring. This will be the subject of a separate paper [9a].

## Acknowledgment

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[^0]:    ${ }^{1}$ To save circumlocution we shall occasionally say in this case that $A$ "occurs" as an automorphism group.
    ${ }^{2}$ For a short proof sce [8].

[^1]:    ${ }^{3}$ And it is well known that groups of odd prime order cannot be automorphism groups.
    ${ }^{4}$ It will be shown in Part II that these six groups and all finite direct products of them do, in fact, occur as automorphism groups. Note that each of these groups has a single element of order 2 and is therefore indecomposable qua automorphism group.

[^2]:    ${ }^{5}$ It may be useful to compare our method with that of de Vries and de Miranda. Apart from the obvious fact that the inversion automorphism must be given by $\alpha^{2}=-1$ we have not used any knowledge about the action of the elements of $D_{4}$ on those of $G$. A slight mistake in their paper concerning $D_{4}$ is corrected in Math. Rev. 22, 1365/6, \#8061.

    Alternatively, in their notation, as soon as the statement $\varphi R=P, \varphi P=R$ is reached, a contradiction arises to their assumption that $\varphi \delta \neq \delta \varphi$.

[^3]:    ${ }^{6}$ When $k$ is a prime number and $\alpha$ a primitive $k$ th root of unity, then $\eta$ is a unit of the cyclotomic field $\mathbf{Q}(x)$.

[^4]:    ${ }^{7}$ The choice of this element $\eta$ is motivated by the construction of a nontrivial unit in the integral group ring of a cyclic group of order 8. For details see Higman [97.

[^5]:    ${ }^{8}$ It will appear later, in Part Il, that unlike $\alpha^{6}+1=0$, the condition $\alpha^{12}-1=0$ does not lead to a contradiction so that $A$ may contain elements of order 12. Our restrictions on $R(G)$ and $A$ arc less stringent than those of Higman [9] on a finite group with only trivial units in its integral group ring. In fact, in the homomorphism $Z A \rightarrow R(G)$ a nontrivial unit of the integral group ring may become trivial. See also p. 296.

