

Available online at [www.sciencedirect.com](http://www.sciencedirect.com)

Theoretical Computer Science 369 (2006) 211–229

---



---

Theoretical  
Computer Science

---



---

[www.elsevier.com/locate/tcs](http://www.elsevier.com/locate/tcs)

# The complexity of membership problems for circuits over sets of integers<sup>☆</sup>

Stephen Travers

*Theoretische Informatik, Julius-Maximilians-Universität Würzburg, Am Hubland, D-97074 Würzburg, Germany*

Received 7 December 2005; received in revised form 28 July 2006; accepted 17 August 2006

Communicated by Osamu Watanabe

---

## Abstract

We investigate the complexity of membership problems for  $\{\cup, \cap, \bar{\phantom{x}}, +, \times\}$ -circuits computing sets of integers. These problems are a natural modification of the membership problems for circuits computing sets of natural numbers studied by McKenzie and Wagner [The complexity of membership problems for circuits over sets of natural numbers, Lecture Notes in Computer Science, Vol. 2607, 2003, pp. 571–582]. We show that there are several membership problems for which the complexity in the case of integers differs significantly from the case of the natural numbers: testing membership in the subset of integers produced at the output of a  $\{\cup, +, \times\}$ -circuit is NEXPTIME-complete, whereas it is PSPACE-complete for the natural numbers. As another result, evaluating  $\{\bar{\phantom{x}}, +\}$ -circuits is shown to be P-complete for the integers and PSPACE-complete for the natural numbers. The latter result extends McKenzie and Wagner's work in nontrivial ways. Furthermore, evaluating  $\{\times\}$ -circuits is shown to be  $NL \wedge \oplus L$ -complete, and several other cases are resolved.

© 2006 Elsevier B.V. All rights reserved.

*Keywords:* Computational complexity; Completeness; Combinational circuits; Arithmetic circuits

---

## 1. Introduction

In complexity theory, combinational circuits play an important role. There is a variety of different kinds of circuits, and those over the boolean semiring certainly belong to the best investigated. Circuits over more general algebraic structures can be considered as well. A circuit over a universe  $U$  with operations  $o_1, \dots, o_r$  defined on the elements of  $U$  describes a way to compute an element  $a \in U$ .

In this paper, we study circuits over the power set of the integers. We allow two different kinds of gates: gates computing the set operations  $\cup$ ,  $\cap$ , and  $\bar{\phantom{x}}$  (complementation with respect to  $\mathbb{Z}$ ) as well as arithmetical gates  $+$  and  $\times$ , which compute addition and multiplication in a set-theoretic sense. The main question is the following:

Given an integer  $b$  and a  $\{\cup, \cap, \bar{\phantom{x}}, +, \times\}$ -circuit  $C$  with integer inputs, does  $b$  belong to the set computed by  $C$ ?

This is the membership problem  $MC_{\mathbb{Z}}(\cup, \cap, \bar{\phantom{x}}, +, \times)$  and  $MF_{\mathbb{Z}}(\cup, \cap, \bar{\phantom{x}}, +, \times)$  is the same problem restricted to formulas. The notion for other restricted versions of these problems, for example  $MC_{\mathbb{Z}}(\bar{\phantom{x}}, +)$ , is self-explanatory. The complexity of such membership problems varies considerably depending on the kinds of gates allowed in the circuit.

---

<sup>☆</sup> A preliminary version of this paper was presented at the 29th International Symposium on Mathematical Foundations of Computer Science held in Prague, Czech Republic, in August 2004.

*E-mail address:* [travers@informatik.uni-wuerzburg.de](mailto:travers@informatik.uni-wuerzburg.de).

In 2003, McKenzie and Wagner investigated the complexity of analogously defined membership problems for circuits over sets of *natural numbers*, and presented their results at STACS 2003 [7]. Their research was partly motivated by earlier work of Stockmeyer and Meyer, who introduced *Integer Expressions* as early as in 1973. In our terminology, Integer Expressions are formulas over sets of natural numbers that use the operations  $\cup$ ,  $+$ , and  $\times$ .

We denote membership problems for circuits and formulas over sets of natural numbers with  $MC_{\mathbb{N}}(\dots)$  and  $MF_{\mathbb{N}}(\dots)$ .

Changing the universe to the power set of the integers instead of the power set of the natural numbers, we investigate the complexity of these modified membership problems. As will be seen, for many of these new problems the complexity coincides with the corresponding problem in the  $\mathbb{N}$ -case. Interestingly, there also are several membership problems for which the complexity in the two cases differs significantly. We highlight here some of the differences.

Membership problem	$\mathbb{N}$ -case ( $X = \mathbb{N}$ )	$\mathbb{Z}$ -case ( $X = \mathbb{Z}$ )
$MC_X(\cup, +, \times)$	PSPACE-complete	NEXPTIME-complete
$MC_X(\times)$	NL-complete	$NL \wedge \oplus L$ -complete
$MC_X(\bar{\phantom{x}}, +)$	PSPACE-complete	P-complete
$MF_X(\bar{\phantom{x}}, +)$	PSPACE-complete	L-complete

It turns out that it is not always possible to reduce the  $\mathbb{N}$ -case to the  $\mathbb{Z}$ -case, e.g. it holds that  $MF_{\mathbb{N}}(\bar{\phantom{x}}, +)$  does not reduce to  $MF_{\mathbb{Z}}(\bar{\phantom{x}}, +)$  and  $MC_{\mathbb{N}}(\bar{\phantom{x}}, +)$  reduces to  $MC_{\mathbb{Z}}(\bar{\phantom{x}}, +)$  if and only if  $P = PSPACE$ .

This paper is organized as follows: Section 2 gives the basic definitions and preliminaries. Section 3 is a digression to membership problems for circuits over sets of natural numbers. Since the problems  $MF_{\mathbb{N}}(\bar{\phantom{x}}, +)$  and  $MC_{\mathbb{N}}(\bar{\phantom{x}}, +)$  were omitted in [7], we provide a proof of their PSPACE-completeness. As an intermediate step, we introduce the problem *Quantified Sum of Subset* and prove that it is PSPACE-complete. In Section 4, we analyze differences in complexity between membership problems in the  $\mathbb{N}$ -case and the  $\mathbb{Z}$ -case. Section 5 then presents several membership problems with the same complexity in both  $\mathbb{N}$ - and  $\mathbb{Z}$ -case. Finally, we conclude with several open problems.

A summary of our results can be found in Table 1 at the end of the paper.

## 2. Preliminaries

We fix the alphabet  $\Sigma = \{0, 1\}$ .  $\Sigma^*$  is the set of words, and  $|w|$  is the length of a word  $w \in \Sigma^*$ . We denote with L, NL, P, NP, coNP, PSPACE and NEXPTIME the standard complexity classes whose definitions can be found in any textbook on computational complexity (cf. [8], for example).

Furthermore, we need the function class #L and the complexity classes  $\oplus L$  and  $C=L$ . For a nondeterministic logarithmic space machine  $M$ , define  $acc_M(x)$  as the number of accepting paths of  $M$  on input  $x$ . The class #L consists of precisely these functions. A set  $A$  is in  $\oplus L$  if there exists  $f \in \#L$  such that  $x \in A \Leftrightarrow f(x) \equiv 1 \pmod{2}$  for every  $x \in \Sigma^*$ . A set  $A$  is in  $C=L$  if there exist  $f, g \in \#L$  such that  $x \in A \Leftrightarrow f(x) = g(x)$  for every  $x \in \Sigma^*$ . See [1] for a survey on these counting classes. For complexity classes  $\mathcal{K}$  and  $\mathcal{M}$  we define  $\mathcal{K} \wedge \mathcal{M} =_{\text{def}} \{A \cap B : A \in \mathcal{K}, B \in \mathcal{M}\}$ . For sets  $A$  and  $B$  we say that  $A$  is many-one logspace reducible to  $B$  and write  $A \leq_m^{\text{log}} B$  if there exists a logarithmic space computable function  $f$  such that  $x \in A \Leftrightarrow f(x) \in B$  for every  $x \in \Sigma^*$ .

$\mathbb{N}$  denotes the set of the natural numbers including 0,  $\mathbb{Z}$  denotes the set of the integers. We denote the absolute value of an integer  $z$  with  $\text{abs}(z)$ . For any integer  $b$ , let  $\text{sgn}(b) =_{\text{def}} 0$ , if  $b < 0$  and  $\text{sgn}(b) =_{\text{def}} 1$ , otherwise. Like natural numbers, integers are represented in binary but have an additional sign; 0 does not have a sign. Moreover, we will sometimes use a more general  $k$ -ary representation ( $k \geq 2$ ) for integers: for  $z > 0$  there exist numbers  $m \geq 0$  and  $a_0, a_1, \dots, a_m \in \{0, \dots, k - 1\}$  such that  $z = \sum_{i=0}^m a_i \cdot k^i$ . The  $k$ -ary representation of  $z$  is  $a_m a_{m-1} \dots a_1 a_0$ . This representation is—except for leading zeros—unique. Conversely, for  $b_0, \dots, b_m \in \{0, \dots, k - 1\}$   $(b_m b_{m-1} \dots b_0)_k$  denotes that integer, whose  $k$ -ary representation is  $b_m b_{m-1} \dots b_0$ . For  $z < 0$ , the  $k$ -ary representation is defined analogously with an additional sign.

We extend the arithmetical operations  $+$  and  $\cdot$  to subsets of  $\mathbb{Z}$ : for  $M, N \subseteq \mathbb{Z}$  we define the sum of  $M$  and  $N$  as  $M + N =_{\text{def}} \{m + n : m \in M \text{ and } n \in N\}$ . For  $M, N \subseteq \mathbb{Z}$ , we define the product of  $M$  and  $N$  as  $M \times N =_{\text{def}} \{m \cdot n : m \in M \text{ and } n \in N\}$ .

If the complement of a set  $M$  is finite, we call  $M$  *co-finite*.

A circuit  $C = (G, E, g_C)$  is a finite, directed, acyclic graph  $(G, E)$ , where  $G$  is the set of nodes and  $E$  is the set of edges. The graph can contain multi-edges and does not necessarily have to be connected. As we consider circuits, we will call nodes *gates* from now on.  $C$  contains a specified gate  $g_C$ , the *output gate*. Gates with indegree 0 are called the *input gates*. Let  $\mathcal{O} \subseteq \{\cup, \cap, \bar{\phantom{x}}, +, \times\}$ . An  $\mathcal{O}$ -circuit  $C = (G, E, g_C, \alpha)$  is a circuit  $(C, E, g_C)$ , whose gates have indegree 0, 1, or 2 and are labelled by the function  $\alpha : G \rightarrow \mathcal{O} \cup \mathbb{Z}$  in the following way: every input gate is labelled with an integer, every gate with indegree 1 is labelled with  $\bar{\phantom{x}}$ , and every gate with indegree 2 with  $\cup, \cap, +$ , or  $\times$ . For each of its gates  $g$ ,  $C$  computes a set  $I(G) \subseteq \mathbb{Z}$ , inductively defined as follows:

- If  $g$  is an input gate with  $\alpha(g) = a$  then  $I(g) =_{\text{def}} \{a\}$ .
- If  $g$  is a  $+$ -gate with predecessors  $g_1, g_2$  then  $I(g) =_{\text{def}} \{a + b : a \in I(g_1) \wedge b \in I(g_2)\}$ .
- If  $g$  is a  $\times$ -gate with predecessors  $g_1, g_2$  then  $I(g) =_{\text{def}} \{a \cdot b : a \in I(g_1) \wedge b \in I(g_2)\}$ .
- If  $g$  is a  $\cup$ -gate with predecessors  $g_1, g_2$  then  $I(g) =_{\text{def}} I(g_1) \cup I(g_2)$ .
- If  $g$  is a  $\cap$ -gate with predecessors  $g_1, g_2$  then  $I(g) =_{\text{def}} I(g_1) \cap I(g_2)$ .
- If  $g$  is a  $\bar{\phantom{x}}$ -gate with predecessor  $g_1$  then  $I(g) =_{\text{def}} \mathbb{Z} \setminus I(g_1)$ .

The set computed by  $C$  is  $I(C) =_{\text{def}} I(g_C)$ . If a gate  $g \in G$  computes a singleton  $\{a\}$ , we will sometimes write  $I(g) = a$  for simplicity. An  $\mathcal{O}$ -formula is an  $\mathcal{O}$ -circuit where all gates have maximal outdegree 1.

For  $\mathcal{O} \subseteq \{\cup, \cap, \bar{\phantom{x}}, +, \times\}$  we define *membership problems* for  $\mathcal{O}$ -circuits and  $\mathcal{O}$ -formulae over sets of integers by

$$\text{MC}_{\mathbb{Z}}(\mathcal{O}) =_{\text{def}} \{(C, b) : C \text{ is an } \mathcal{O}\text{-circuit, } b \in \mathbb{Z} \text{ and } b \in I(C)\}$$

and

$$\text{MF}_{\mathbb{Z}}(\mathcal{O}) =_{\text{def}} \{(C, b) : C \text{ is an } \mathcal{O}\text{-formula, } b \in \mathbb{Z} \text{ and } b \in I(C)\}$$

For simplicity, we write  $\text{MC}_{\mathbb{Z}}(o_1, \dots, o_r)$  instead of  $\text{MC}_{\mathbb{Z}}(\{o_1, \dots, o_r\})$  and  $\text{MF}_{\mathbb{Z}}(o_1, \dots, o_r)$  instead of  $\text{MF}_{\mathbb{Z}}(\{o_1, \dots, o_r\})$ .

We denote membership problems for circuits over sets of natural numbers (see [7] for details) by  $\text{MC}_{\mathbb{N}}(\mathcal{O})$  and  $\text{MF}_{\mathbb{N}}(\mathcal{O})$ , respectively. These problems are defined analogously. The only differences are that we solely allow input gates with nonnegative labels and that  $\bar{\phantom{x}}$ -gates compute the complement with respect to  $\mathbb{N}$ . To avoid confusion, we denote the set computed by a circuit  $C$  over sets of natural numbers by  $I_{\mathbb{N}}(C)$ . We assume any appropriate circuit and formula encoding, where gates are sorted topologically and neighborhoods are readily available. All completeness results are in terms of many-one logspace reducibility.

**Example 1.** By defining the circuits  $Z =_{\text{def}} \overline{\{0\}} \cup \{0\}$  and  $\text{ODD} =_{\text{def}} (\{2\} \times Z) + \{1\}$ , we obtain  $I(Z) = \mathbb{Z}$  and  $I(\text{ODD}) = \{z \in \mathbb{Z} : z \text{ odd}\}$ .

**Example 2.** Consider the circuit  $\mathbb{Z}\text{-POWER2} =_{\text{def}} \overline{(\text{ODD} \cap \overline{\{-1\} \cup \{1\}}) \times Z}$ . It then holds that  $I(\mathbb{Z}\text{-POWER2}) = \{2^k : k \geq 0\} \cup \{-2^k : k \geq 0\}$ .

**Example 3.** The circuit  $\mathbb{Z}\text{-PRIMES} =_{\text{def}} C \cap \overline{C \times C}$ , where  $C$  is a subcircuit defined as  $\overline{\{-1\} \cup \{0\} \cup \{1\}}$ , computes the set  $\{p : p \text{ is prime}\} \cup \{-p : p \text{ is prime}\}$ .

### 3. Digression: $\text{MF}_{\mathbb{N}}(\bar{\phantom{x}}, +)$ is PSPACE-complete

Before we start our analysis of membership problems over sets of integers, we draw our attention to circuits over sets of natural numbers. In this section we extend work by McKenzie and Wagner [7] by proving the problem  $\text{MC}_{\mathbb{N}}(\bar{\phantom{x}}, +)$  to be PSPACE-complete.

As will be seen later, this result is of importance to us since the case  $\{\bar{\phantom{x}}, +\}$  is one where the complexity of the  $\mathbb{N}$ - and  $\mathbb{Z}$ -membership problems diverges the most.

As an auxiliary tool, we define a new PSPACE-complete problem by introducing alternation into the well-known NP-complete *sum of subset* problem: The problem *quantified sum of subset* is defined as

$$\text{QSOS} =_{\text{def}} \left\{ (a_1, \dots, a_n, b) : a_1, \dots, a_n, b \in \mathbb{N}, n \equiv 1 \pmod{2} \text{ and} \right. \\ \left. \underbrace{\exists c_1 \in \{0,1\} \forall c_2 \in \{0,1\} \dots \exists c_n \in \{0,1\}}_{\text{strict alternation}} \left( \sum_{i=1}^n c_i a_i = b \right) \right\}.$$

It is known that the problem of evaluating quantified boolean formulae (QBF) is PSPACE-complete [11]. QBF is defined as

$$\text{QBF} =_{\text{def}} \left\{ H : H \text{ is a boolean formula in 3-CNF with variables } x_1, \dots, x_n, \right. \\ \left. n \equiv 1 \pmod{2} \text{ and } \underbrace{\exists x_1 \forall x_2 \dots \exists x_n}_{\text{strict alternation}} (H(x_1, \dots, x_n) = 1) \right\}.$$

In the following, we will use the notion of a *vector*. A vector  $v$  is a natural number and thus, for every  $k \geq 2$ , has a  $k$ -ary representation  $a_1 a_2 \dots a_n$ . Recall that we denote this by  $v = (a_1 a_2 \dots a_n)_k$ , where  $0 \leq a_1, \dots, a_n < k$ . When we talk about vectors, we stress that the focus lies on the representation of the number and not on its value.

**Lemma 4.** *It holds that  $\text{QBF} \leq_m^{\log} \text{QSOS}$ .*

**Proof.** We define a logspace computable function  $f$  such that

$$H \in \text{QBF} \iff f(H) \in \text{QSOS}.$$

Let  $H = \bigwedge_{i=1}^m (z_{i1} \vee z_{i2} \vee z_{i3})$  with  $z_{ij} \in \{x_1, \dots, x_n, \neg x_1, \dots, \neg x_n\}$  be a boolean formula.

The function  $f$  is defined as follows:

$$f(H) =_{\text{def}} (v_1, \dots, v_n, 0, v'_1, 0, v'_2, 0, \dots, v'_n, 0, c_1, 0, \dots, c_m, 0, d_1, 0, \dots, d_m, b),$$

where  $b, c_1, \dots, c_m, d_1, \dots, d_m, v_1, \dots, v_n, v'_1, \dots, v'_n$  are vectors such that

$$v_i =_{\text{def}} \left( \underbrace{k_1 k_2 \dots k_m}_m \underbrace{0 \dots 0 1 0 \dots 0}_n^{(i)} \right)_{10}, \text{ where } k_j \text{ is the number of} \\ \text{occurrences of literal } x_i \text{ in the } j\text{th clause of } H,$$

$$v'_i =_{\text{def}} \left( \underbrace{k'_1 k'_2 \dots k'_m}_m \underbrace{0 \dots 0 1 0 \dots 0}_n^{(i)} \right)_{10}, \text{ where } k'_j \text{ is the number of} \\ \text{occurrences of literal } \neg x_i \text{ in the } j\text{th clause of } H,$$

$$c_i =_{\text{def}} \left( \underbrace{0 \dots 0 1 0 \dots 0}_m \underbrace{0 \dots 0 0 \dots 0}_n^{(i)} \right)_{10} \text{ (balancing vectors),}$$

$$d_i =_{\text{def}} \left( \underbrace{0 \dots 0 2 0 \dots 0}_m \underbrace{0 \dots 0 0 \dots 0}_n^{(i)} \right)_{10} \text{ (balancing vectors),}$$

$$b =_{\text{def}} \left( \underbrace{4 \dots 4}_{m} \underbrace{1 \dots 1}_{n} \dots 1 \right)_{10} \quad (\text{target vector}).$$

By defining  $f$  in this way, we achieve that all vectors are appropriately quantified in the QSOS-instance:  $v_1, \dots, v_n$  are quantified in strict alternation and all other vectors are quantified existentially.

In the following, let  $I_{a_1, \dots, a_n}$  be the interpretation which, for  $1 \leq i \leq n$ , assigns truth-value  $a_i \in \{0, 1\}$  to variable  $x_i$  in  $H$ .

The following equivalences now hold:

$$\begin{aligned} H \in \text{QBF} &\Leftrightarrow \exists a_1 \forall a_2 \dots \exists a_n (I_{a_1, \dots, a_n} \text{ satisfies } H) \\ &\Leftrightarrow \exists a_1 \in \{0, 1\} \forall a_2 \in \{0, 1\} \dots \exists a_n \in \{0, 1\} (I_{a_1, \dots, a_n} \text{ satisfies each clause of } H) \\ &\Leftrightarrow \exists e_1 \in \{0, 1\} \forall e_2 \in \{0, 1\} \dots \exists e_n \in \{0, 1\} \exists k_1, \dots, k_m \in \{1, 2, 3\} \\ &\quad \left( \left( \left( \sum_{i=1}^n e_i v_i + (1 - e_i) v'_i \right) \right) = k_1 k_2 \dots k_m \underbrace{1 \dots 1}_n \right) \\ &\Leftrightarrow \exists e_1 \in \{0, 1\} \forall e_2 \in \{0, 1\} \dots \exists e_n \in \{0, 1\} \exists l_1 \in \{0, 1\} \exists l_2 \in \{0, 1\} \dots \exists l_n \in \{0, 1\} \\ &\quad \exists k_1, \dots, k_m \in \{1, 2, 3\} \left( \left( \left( \sum_{i=1}^n e_i v_i \right) + \left( \sum_{i=1}^n l_i v'_i \right) \right) = k_1 \dots k_m \underbrace{1 \dots 1}_n \right) \\ &\Leftrightarrow \exists e_1 \in \{0, 1\} \forall e_2 \in \{0, 1\} \dots \exists e_n \in \{0, 1\} \exists l_1, \dots, l_n \in \{0, 1\} \exists r_1, \dots, r_m, r'_1, \dots, r'_m \in \{0, 1\} \\ &\quad \left( \left( \left( \sum_{i=1}^n e_i v_i + l_i v'_i \right) + \left( \sum_{i=1}^m r_i c_i + r'_i d_i \right) \right) = \underbrace{44 \dots 4}_m \underbrace{1 \dots 1}_n \right) \\ &\Leftrightarrow f(H) \in \text{QSOS}. \end{aligned}$$

Since  $f$  is computable in logarithmic space, our reduction is complete.  $\square$

**Lemma 5.** *It holds that  $\text{QSOS} \leq_m^{\log} \text{MF}_{\mathbb{N}}(\bar{\cdot}, +)$ .*

**Proof.** Let  $(a_1, \dots, a_n, b) \in \mathbb{N}^{n+1}$  for any odd  $n$ . It is now easy to see that  $(a_1, \dots, a_n, b) \in \text{QSOS} \Leftrightarrow (a_1, \dots, a_n, 0, (\sum_{i=1}^n a_i) + 1, b + (\sum_{i=1}^n a_i) + 1) \in \text{QSOS}$ . Hence, without loss of generality, we may assume that  $b \geq \sum_{i=1}^{n-1} a_i$ . For  $i = 1, \dots, n$  we construct formulas  $A_i$ :

$$A_i =_{\text{def}} \begin{cases} \overline{1 + (a_i - 1)} & \text{if } a_i \geq 1, \\ 0 & \text{otherwise.} \end{cases}$$

Note that  $I_{\mathbb{N}}(A_i) = \{0, a_i\}$ . We now construct a  $\{\bar{\cdot}, +\}$ -formula  $F$  as follows:

$$F_n =_{\text{def}} A_n,$$

$$F_{k-1} =_{\text{def}} A_{k-1} + \overline{F_k} \quad \text{for } 2 \leq k \leq n,$$

and

$$F =_{\text{def}} F_1.$$

**Claim.** *We have  $b \in I_{\mathbb{N}}(F) \Leftrightarrow \exists a'_1 \in I_{\mathbb{N}}(A_1) \forall a'_2 \in I_{\mathbb{N}}(A_2) \dots \exists a'_n \in I_{\mathbb{N}}(A_n) ((\sum_{i=1}^n a'_i) = b)$ .*

**Proof of the Claim.** We prove the claim for all  $n = 2k + 1$ ,  $k \in \mathbb{N}$ .

(IB) ( $k = 0$ )  $\Rightarrow n = 1$ : Since  $F = A_1$ , we have  $b \in I(F) \Leftrightarrow \exists a'_1 \in I_{\mathbb{N}}(A_1) (b = a'_1)$ .

(IS)  $(k \rightarrow k+1) \Rightarrow (n \rightarrow n+2)$ : As argued above, we can assume that  $b \geq \sum_{i=1}^{n+1} a_i$ . Consequently,  $F = A_1 + A_2 + \overline{F'}$ , where  $F'$  is a  $\{-, +\}$ -formula for which the induction hypothesis is true. We obtain

$$\begin{aligned}
b \in I_{\mathbb{N}}(F) &\Leftrightarrow \exists a'_1 \in I_{\mathbb{N}}(A_1) \left( b - a'_1 \in I_{\mathbb{N}}(\overline{A_2 + F'}) \right) \\
&\Leftrightarrow \exists a'_1 \in I_{\mathbb{N}}(A_1) \left( b - a'_1 \notin I_{\mathbb{N}}(A_2 + F') \right) \\
&\Leftrightarrow \exists a'_1 \in I_{\mathbb{N}}(A_1) \forall a'_2 \in I_{\mathbb{N}}(A_2) (b - a'_1 - a'_2 \notin I_{\mathbb{N}}(\overline{F'})) \\
&\Leftrightarrow \exists a'_1 \in I_{\mathbb{N}}(A_1) \forall a'_2 \in I_{\mathbb{N}}(A_2) (b - a'_1 - a'_2 \in I_{\mathbb{N}}(F')) \\
&\stackrel{\text{(IH)}}{\Leftrightarrow} \exists a'_1 \in I_{\mathbb{N}}(A_1) \forall a'_2 \in I_{\mathbb{N}}(A_2) \\
&\quad \exists a'_3 \in I_{\mathbb{N}}(A_3) \forall a'_4 \in I_{\mathbb{N}}(A_4) \cdots \exists a'_{n+2} \in I_{\mathbb{N}}(A_{n+2}) \left( \left( \sum_{i=3}^{n+2} a'_i = (b - a'_1 - a'_2) \right) \right) \\
&\Leftrightarrow \exists a'_1 \in I_{\mathbb{N}}(A_1) \forall a'_2 \in I_{\mathbb{N}}(A_2) \cdots \exists a'_{n+2} \in I_{\mathbb{N}}(A_{n+2}) \left( \left( \sum_{i=1}^{n+2} a'_i = b \right) \right),
\end{aligned}$$

which proves the claim. So we conclude that

$$\begin{aligned}
b \in I_{\mathbb{N}}(F) &\Leftrightarrow \exists a'_1 \in I_{\mathbb{N}}(A_1) \forall a'_2 \in I_{\mathbb{N}}(A_2) \cdots \exists a'_n \in I_{\mathbb{N}}(A_n) \left( \left( \sum_{i=1}^n a'_i = b \right) \right) \\
&\Leftrightarrow \exists c_1 \in \{0,1\} \forall c_2 \in \{0,1\} \cdots \exists c_n \in \{0,1\} \left( \left( \sum_{i=1}^n c_i a_i = b \right) \right) \\
&\Leftrightarrow (a_1, \dots, a_n, b) \in \text{QSOS}.
\end{aligned}$$

Obviously, the formula  $F$  can be constructed in logarithmic space. Hence,  $\text{MF}_{\mathbb{N}}(\overline{\phantom{x}}, +)$  is PSPACE-hard.  $\square$

**Theorem 6.** (1)  $\text{MF}_{\mathbb{N}}(\overline{\phantom{x}}, +)$  is PSPACE-complete.

(2)  $\text{MC}_{\mathbb{N}}(\overline{\phantom{x}}, +)$  is PSPACE-complete.

**Proof.** This is a direct consequence of Lemma 5 and  $\text{MC}_{\mathbb{N}}(\cup, \cap, \overline{\phantom{x}}, +) \in \text{PSPACE}$  [7].  $\square$

#### 4. Differences from the case of the natural numbers

We start with some simple observations.

**Lemma 7.** (1) Let  $\mathcal{O} \subseteq \{\cup, \cap, +, \times\}$ . Then it holds that  $\text{MC}_{\mathbb{N}}(\mathcal{O}) \leq_m^{\log} \text{MC}_{\mathbb{Z}}(\mathcal{O})$  and  $\text{MF}_{\mathbb{N}}(\mathcal{O}) \leq_m^{\log} \text{MF}_{\mathbb{Z}}(\mathcal{O})$ .

(2) For  $\mathcal{O} \subseteq \{\cup, \cap, \overline{\phantom{x}}\}$ ,  $\text{MC}_{\mathbb{N}}(\mathcal{O}) \equiv_m^{\log} \text{MC}_{\mathbb{Z}}(\mathcal{O})$  and  $\text{MF}_{\mathbb{N}}(\mathcal{O}) \equiv_m^{\log} \text{MF}_{\mathbb{Z}}(\mathcal{O})$ .

**Proof.** (1) Let  $C$  be an  $\mathcal{O}$ -circuit over the natural numbers. In the absence of  $\overline{\phantom{x}}$ -gates, all gates of  $C$  compute finite sets of nonnegative integers, thus we have  $(C, b) \in \text{MC}_{\mathbb{N}}(\mathcal{O}) \Leftrightarrow (C, b) \in \text{MC}_{\mathbb{Z}}(\mathcal{O})$  for  $b \in \mathbb{N}$ . obviously, the same holds for formulas.

(2) Let  $C$  be an  $\mathcal{O}$ -circuit with integer inputs  $a_1, \dots, a_k$ , and  $b \in \mathbb{Z}$ . Let  $\sigma$  be any logspace-computable bijective mapping from  $\mathbb{Z}$  to  $\mathbb{N}$ . We now construct a circuit  $C'$  by replacing  $C$ 's inputs with  $\sigma(a_1), \dots, \sigma(a_k)$ . It now holds that  $(C, b) \in \text{MC}_{\mathbb{Z}}(\mathcal{O}) \Leftrightarrow (C', \sigma(b)) \in \text{MC}_{\mathbb{N}}(\mathcal{O})$ , because  $C$  and  $C'$  do not contain any arithmetic gates. Since this construction preserves the circuit structure, the same holds for formulas. The other reduction is obvious.  $\square$

Hence, we can omit  $\text{MC}_{\mathbb{Z}}(\mathcal{O})$  for  $\mathcal{O} \subseteq \{\cup, \cap, \overline{\phantom{x}}\}$  from our study, as in these cases the complexity coincides with the complexity of  $\text{MC}_{\mathbb{N}}(\mathcal{O})$ , the corresponding problem over the natural numbers. Furthermore, we can take lower bounds for membership problems for circuits without complementation from [7].

The well-known *graph accessibility problem* (GAP) for directed graphs, defined as  $\{(G, s, t) : G \text{ is a directed graph with nodes } s \text{ and } t \text{ and there is a path from } s \text{ to } t\}$  is NL-complete [10].

**Theorem 8.** *The problem  $\text{MC}_{\mathbb{Z}}(\times)$  is  $\text{NL} \wedge \oplus\text{L}$ -complete.*

**Proof. Inclusion:** Observe that  $\text{MC}_{\mathbb{Z}}(\times) = A \cap B$ , where  $A =_{\text{def}} \{(C, b) : C \text{ is a } \{\times\}\text{-circuit, } b \in \mathbb{Z} \text{ and } \text{abs}(b) = \text{abs}(I(C))\}$  and  $B =_{\text{def}} \{(C, b) : C \text{ is a } \{\times\}\text{-circuit, } b \in \mathbb{Z} \text{ and } \text{sgn}(b) = \text{sgn}(I(C))\}$ . Since  $A$  easily reduces to  $\text{MC}_{\mathbb{N}}(\times)$  which is in  $\text{NL}$  [7],  $A$  is in  $\text{NL}$ . It remains to show that  $B$  is in  $\oplus\text{L}$ . We construct a nondeterministic logarithmic space machine  $M$  working on input  $(C, b)$  as follows:

For every path in  $C$  from the output gate to an input gate,  $M$  produces a computation path.  $M$  accepts precisely on those paths, where the input gate of the corresponding path in  $C$  is labelled with a negative integer. If  $b \geq 0$ ,  $M$  produces an additional accepting path. It now holds that  $\text{acc}_M((C, b)) \equiv 1 \pmod{2} \Leftrightarrow (C, b) \in B$ . Hence, it follows that  $B \in \oplus\text{L}$  and we conclude  $\text{MC}_{\mathbb{Z}}(\times) \in \text{NL} \wedge \oplus\text{L}$ .

**Hardness:** Let  $A \in \text{NL} \wedge \oplus\text{L}$ , thus there exist sets  $B \in \text{NL}$  and  $C \in \oplus\text{L}$  such that  $A = B \cap C$ . Let  $x \in \Sigma^*$ .  $\text{GAP}$  is  $\text{NL}$ -complete and so is  $\overline{\text{GAP}}$  due to [6,12]. Consequently, we can reduce in logarithmic space  $B$  to  $\overline{\text{GAP}}$  via  $f$ . Let  $(G, s, t) = f(x)$ . It is easy to construct in logarithmic space a  $\{\times\}$ -circuit  $C_1$  such that  $(G, s, t) \in \overline{\text{GAP}} \Rightarrow 1 \in I(C_1)$  and  $(G, s, t) \notin \overline{\text{GAP}} \Rightarrow 0 \in I(C_1)$  (see [7, Theorem 8.5]). We obtain  $x \in B \Leftrightarrow (G, s, t) \in \overline{\text{GAP}} \Leftrightarrow (C_1, 1) \in \text{MC}_{\mathbb{Z}}(\times)$ .

Due to  $C \in \oplus\text{L}$ , there exists a nondeterministic logspace machine  $M$  such that  $x \in C \Leftrightarrow \text{acc}_M(x) \equiv 1 \pmod{2}$ . Let  $C_x$  be the transition graph of  $M$  on input  $x$ . We transform  $C_x$  into a  $\{\times\}$ -circuit  $C_2$ , where accepting and rejecting nodes in  $C_x$  become inputs with labels  $-1$  and  $1$ , respectively, and the node representing the starting configuration of  $M$  becomes the output gate of  $C_2$ . Observe that  $C_x$  and  $C_2$  can be constructed in logarithmic space. We obtain  $x \in C \Rightarrow I(C_2) = -1$  and  $x \notin C \Rightarrow I(C_2) = 1$ .

So we can construct in logarithmic space the circuit  $C_3 =_{\text{def}} C_1 \times C_2$ . It now holds that  $x \in A \Leftrightarrow (x \in B \wedge x \in C) \Leftrightarrow (I(C_1) = 1 \wedge I(C_2) = -1) \Leftrightarrow (C_3, -1) \in \text{MC}_{\mathbb{Z}}(\times)$ , which yields the desired reduction.  $\square$

**Remark 9.** Under the assumption  $\oplus\text{L} \not\subseteq \text{NL}$ ,  $\text{MC}_{\mathbb{Z}}(\times)$  is thus harder than  $\text{MC}_{\mathbb{N}}(\times)$ . That is in a way surprising, as at first glance it does not seem more complex to multiply integers than natural numbers. We can in fact spot the difficulty evaluating  $\{\times\}$ -circuits over the integers: if we forbid  $-1$  as a label for the input gates of a  $\{\times\}$ -circuit, the membership problem for these altered circuits is again  $\text{NL}$ -complete. This is due to the fact that  $-1$  is the only negative number that can be multiplied by itself many times without its absolute value becoming large.

Similarly, we obtain:

**Theorem 10.** (1) *The problem  $\text{MC}_{\mathbb{Z}}(\cap, \times)$  is hard for  $\text{C=L} \wedge \oplus\text{L}$ .*

(2) *The problem  $\text{MC}_{\mathbb{Z}}(\cap, \times)$  is in  $\text{P}$ .*

In contrast to the  $\mathbb{N}$ -case, we do not have a completeness result for  $\text{MC}_{\mathbb{Z}}(+, \times)$ . The following lemma states that  $\text{MC}_{\mathbb{Z}}(+, \times)$  is more related to  $\text{MC}_{\mathbb{N}}(\cap, +, \times)$ , for which no completeness result is known either [7].

**Lemma 11.** *It holds that  $\text{MC}_{\mathbb{N}}(\cap, +, \times) \leq_m^{\log} \text{MC}_{\mathbb{Z}}(+, \times)$ .*

**Proof.** In [7], McKenzie and Wagner showed that the *equivalence problem*  $\text{EQ}_{\mathbb{N}}(+, \times)$ , defined as  $\{(C_1, C_2) : C_1 \text{ and } C_2 \text{ are } \{+, \times\}\text{-circuits over sets of natural numbers and } I_{\mathbb{N}}(C_1) = I_{\mathbb{N}}(C_2)\}$  is many-one logspace equivalent to  $\text{MC}_{\mathbb{N}}(\cap, +, \times)$ . Let  $C_1, C_2$  be  $\{+, \times\}$ -circuits over sets of natural numbers. We construct the  $\{+, \times\}$ -circuit  $C =_{\text{def}} C_1 + (C_2 \times (-1))$  and obtain  $(C_1, C_2) \in \text{EQ}_{\mathbb{N}}(+, \times) \Leftrightarrow I(C_1) = I(C_2) \Leftrightarrow I(C_1) - I(C_2) = 0 \Leftrightarrow I(C) = 0 \Leftrightarrow (C, 0) \in \text{MC}_{\mathbb{Z}}(+, \times)$ .  $\square$

#### 4.1. NEXPTIME-hard membership problems

It is known that  $\text{MC}_{\mathbb{N}}(\cup, +, \times)$  is  $\text{PSPACE}$ -complete [13,14]. In this section we will show that the corresponding problem over the integers is complete for  $\text{NEXPTIME}$ . Intuitively, the difficulty when evaluating  $\{\cup, +, \times\}$ -circuits with integer labels is, that—unlike in the  $\mathbb{N}$ -case—we have to deal with very large (up to exponential in length) numbers: our target number has polynomial length, but adding a very small and a very large integer can result in a



number with a small absolute value. In the  $\mathbb{N}$ -case, numbers can only become smaller when multiplied by 0. It is not difficult to see that due to this fact it suffices to compute numbers in the length of the target number when evaluating such a circuit.

The more difficult part of proving that  $MC_{\mathbb{Z}}(\cup, +, \times)$  is NEXPTIME-complete is the hardness-part. This is done by a generic reduction in Lemma 15. Definition 13 as well as Lemma 14 provide some technical details for the proof of the former.

**Remark 12.** According to the nature of generic reductions, the proof of Lemma 15 is somewhat lengthy. So we give an outline of the proof first:

To prove that  $MC_{\mathbb{Z}}(\cup, +, \times)$  is NEXPTIME-hard, we consider a nondeterministic exponential time Turing machine  $M$  deciding a language  $L$ . For an input  $x$  we show how to construct in logarithmic space a  $\{\cup, +, \times\}$ -circuit  $C$  such that  $x \in L \Leftrightarrow (C, 0) \in MC_{\mathbb{Z}}(\cup, +, \times)$ . Similar to Cook’s famous proof of the NP-completeness of SAT, there exists a (now exponentially long) boolean formula  $F_x$  such that  $F_x$  is satisfiable if and only if there exists an accepting computation path of  $M$  on input  $x$ . Due to its exponential length, we can neither construct  $F_x$  in logarithmic space nor test its satisfiability directly.

Nevertheless, the key is to realize that we can assume  $F_x$  to be a formula that has a highly regular structure. In fact, one part of our proof can be seen as a reduction from (a restricted) *Succinct-SAT* to *Succinct-SOS* (see [2] for a survey on succinct problems).

Furthermore, we can even assume that each variable in  $F_x$  only occurs in a constant number of clauses only depending on the machine  $M$ . Moreover, these occurrences follow a strict pattern. Quite similar to the proof of Lemma 4, we construct for each boolean variable  $\varphi_i$  in  $F_x$  vectors  $v_i, v'_i$ . These vectors describe occurrences of positive and negative literals of  $\varphi_i$  in clauses of  $F_x$  such that there is a subset of vectors whose sum has a certain value  $b$  if and only if  $F_x$  is satisfiable. Recall that  $F_x$  contains an exponential number of variables and clauses, thus we have to create exponentially many different vectors and each vector has exponential length. Due to the high regularity of  $F_x$ , the vectors we have to construct have a very regular structure as well. For this reason, we can construct a polynomial size circuit which creates the vectors corresponding to the variables in  $F_x$ . Roughly speaking, we then construct a circuit which computes all sums of valid subsets and adds  $-b$  to that set. Then we ask whether 0 is an element of the resulting set.

Care is needed to ensure that only correct subsets—those corresponding to a satisfying assignment of truth-values to the variables in  $F_x$ —have the target subset sum  $b$ . In order to obtain the same subset sum for all satisfying assignments, we need to construct circuits computing appropriate balancing vectors.

For the proofs of Lemmas 14 and 15, we need sets of special vectors. Recall that when we say a digit at position  $j$  of vector  $v$  has value  $i$ , we mean that the  $j$ th digit (starting from the left) of the  $k$ -ary representation of vector  $v$  has value  $i$ .

**Definition 13.** For  $k > 2$  and  $n \geq 1$  we define sets  $A_{k,n}$  of vectors of length  $n$  or less, where at precisely one position there is a digit from  $\{1, \dots, k - 1\}$ , and all other positions are 0:

$$A_{k,n} =_{\text{def}} \{a \cdot k^l : 0 \leq l < n, 1 \leq a < k\}.$$

Hence, the  $k$ -ary representation of a vector  $v = a \cdot k^l \in A_{k,n}$  is  $\underbrace{00 \dots 0a 00 \dots 0}_n$ .

**Lemma 14.** (1) For  $k \geq 2$  and  $n \geq 1$ , let  $s = (a_1 a_2 \dots a_n)_k$  with  $0 \leq a_1, \dots, a_n < k$  be the sum of  $(n - 1)$  (not necessarily distinct) vectors from  $A_{k,n}$ . Then there exists  $i \leq n$  such that  $a_i = 0$ .

(2) For  $k \geq 2$  and  $n \geq 1$ , let  $s = (a_1 a_2 \dots a_n)_k$  be the sum of the (not necessarily distinct) vectors  $v_1, \dots, v_n \in A_{k,n}$  such that  $1 \leq a_1, \dots, a_n < k$ . Then there are no three vectors among the addends which have a value unequal to 0 at the same position.

**Proof.** Let  $k \geq 2$  and  $n \geq 0$ . For any natural number  $s$ , we define  $w_{k,n}(s)$  to be the number of nonzero digits among the  $n$  lowest order digits in the  $k$ -ary expansion of  $s$ .



(1) Clearly, for any  $s \geq 0, l \geq 0$  and  $0 \leq a < k$ , it holds that

$$w_{k,n}(s + (a \cdot k^l)) \leq w_{k,n}(s) + 1.$$

It follows by induction that a sum  $s$  of  $m \geq 0$  numbers from  $A_{k,n}$  satisfies  $w_{k,n}(s) \leq m$ . This implies the first statement by setting  $m = n - 1$ .

(2) To see that the second statement holds, let  $s$  be a sum of  $n > 0$  numbers from  $A_{k,n}$  such that  $w_{k,n}(s) = n$ . Note that for any  $l \geq 0$  and  $1 \leq a, b, c < k$ , the number  $(a + b + c) \cdot k^l$  can be expressed as  $d \cdot k^{l+1} + e \cdot k^l$  with  $0 \leq d, e < k$ . Hence, if three of the numbers summing to  $s$  have the form  $a \cdot k^l$  for the same  $l$ , then  $s$  can be expressed as a sum of  $n - 1$  numbers from  $A_{k,n}$ . Hence,  $w_{n,k}(s) \leq n - 1$  by our previous argument, which contradicts  $w_{k,n}(s) = n$ .  $\square$

**Lemma 15.** *The problem  $MC_{\mathbb{Z}}(\cup, +, \times)$  is NEXPTIME-hard.*

**Proof.** Let  $L \in \text{NEXPTIME}$  and  $M$  be a nondeterministic Turing machine which decides  $L$  and has time bound  $2^{p(|x|)}$  for a suitable polynomial  $p$ . For an input  $x \in \Sigma^*$  we show how to construct in logarithmic space a  $\{\cup, +, \times\}$ -circuit  $C_{\text{SOS}}$  such that

$$x \in L \Leftrightarrow (C_{\text{SOS}}, 0) \in MC_{\mathbb{Z}}(\cup, +, \times).$$

Without loss of generality, we assume that  $M$  is normalized in the following way:

- $M$  starts its work on input  $x$  in the initial state  $s_0$ . The machine head is on cell 0 of the working tape; this cell contains the first symbol of the input  $x$ .
- On input  $x$ ,  $M$  performs precisely  $2^{p(|x|)}$  steps.  $M$  never moves the machine head to a cell left from cell 0.
- In each step,  $M$  branches and continues its work on precisely two computation paths.
- If  $M$  accepts  $x$ , it is in the sole accepting state  $s_1$  and the computation halts with the machine head on cell 0. The rest of the tape is empty.

Let  $x = x_1 \dots x_n \in \Sigma^*$  and  $N =_{\text{def}} p(|x|)$ . A configuration of  $M$  on input  $x$  at a certain time consists of the contents of the working tape and the number of the state the machine is currently in. We use this number to mark the position of the machine head on the working tape. Hence, we can encode a configuration of  $M$  on input  $x$  in a string with length  $2^N + 1$ .

For instance, the string  $c_0 =_{\text{def}} x_1 x_2 \dots x_n \square^{2^N - n} \square$  represents the initial configuration of  $M$  on input  $x = x_1, \dots, x_n$ . Each computation path  $z$  of  $M$  on input  $x$  can be thought of as a  $(2^N + 1) \cdot (2^N + 1)$  computation table  $T(M, x, z)$ . In this table, the  $(i, j)$ th entry represents the  $j$ th symbol of the configuration  $M$  is in after  $i$  steps (on path  $p$ ). We call a computation table *accepting* if the last row of the computation table is the accepting configuration  $\square_1 \square^{2^N - 1} \square$ . Hence, an accepting computation table of  $M$  on input  $x$  corresponds to an accepting computation path of  $M$  on input  $x$ . Fig. 1 depicts a computation table.

We will see that we can use the concept of a computation table to describe the structure of a highly regular formula  $F_x$  in conjunctive normal form (CNF) for which the following holds:

$$\begin{aligned} x \in L &\Leftrightarrow \text{on input } x, M \text{ develops an accepting path } z \\ &\Leftrightarrow \text{there is an accepting computation table } T(M, x, z) \\ &\Leftrightarrow F_x \text{ is satisfiable.} \end{aligned}$$

For  $i = 0, \dots, 2^N, j = 0, \dots, 2^N$ , boolean variables  $x_{i,j,1} \dots x_{i,j,r}$  encode the  $j$ th symbol of the  $i$ th configuration.  $F_x$  is a formula in these variables. We will use  $\bar{x}_{i,j}$  as an abbreviation for a vector of variables, i.e.  $\bar{x}_{i,j}$  stands for variables  $x_{i,j,1} \dots x_{i,j,r}$ .

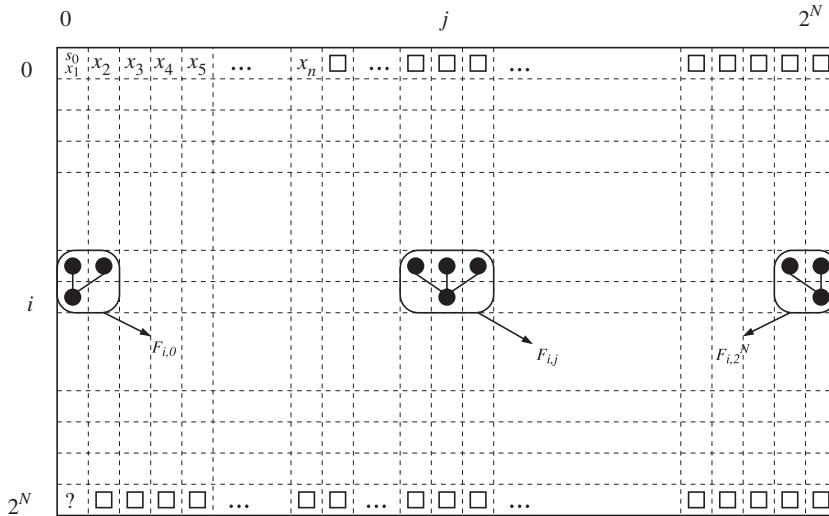


Fig. 1. Computation table  $T(M, x, z)$ .

On the structure of  $F_x$ :

For  $i = 1, \dots, 2^N - 1, j = 1, \dots, 2^N - 1$  the following holds:

- The symbol encoded by  $\bar{x}_{i,j}$  only depends on the program of  $M$  and the symbols encoded by  $\bar{x}_{i-1,j-1}, \bar{x}_{i-1,j}$  and  $\bar{x}_{i-1,j+1}$ .

For a symbol at position  $(i, j)$ , this is realized by a formula  $H$  in CNF such that

$$\underbrace{H(\bar{x}_{i,j}, \bar{x}_{i-1,j-1}, \bar{x}_{i-1,j}, \bar{x}_{i-1,j+1})}_{=:F_{i,j}} \equiv \bigwedge_{l=1}^R \underbrace{(x_{i,j,1}^{\alpha_{l,1}} \vee x_{i,j,2}^{\alpha_{l,2}} \vee \dots \vee x_{i-1,j+1,r}^{\alpha_{l,r}})}_{=:H_{i,j,l}}.$$

- It holds that  $F_{i,j} =_{\text{def}} H(\bar{x}_{i,j}, \bar{x}_{i-1,j-1}, \bar{x}_{i-1,j}, \bar{x}_{i-1,j+1}) = 1 \Leftrightarrow$  (if  $M$  is in configuration  $c$  after  $i - 1$  steps and  $c$  contains the symbols encoded by  $\bar{x}_{i-1,j-1}, \bar{x}_{i-1,j}$  and  $\bar{x}_{i-1,j+1}$  on positions  $j - 1, j, j + 1$ , then there is a configuration  $c'$  which is an immediate successor to configuration  $c$  and contains the symbol encoded by  $\bar{x}_{i,j}$  on position  $j$ ).

Observe that  $\alpha_{l,k}$  as well as  $r$  and  $R$  do not depend on  $i, j$  or the input  $x$ , but only on the program of  $M$ . In the following, we assume that  $R$  is a power of 2 and that  $r \geq 2$  is a divisor of  $R$ .

Consequently, for  $i, j \in \{1, \dots, 2^N - 1\}$ , formulas  $F_{i,j}$  describe valid transitions in a computation table of  $M$  on input  $x$ . We need special formulas for the borders of a computation table (see Fig. 1): the symbol encoded at the first position in each row only depends on two other symbols. The same holds for the symbol encoded at the last position in each row. Nevertheless, these formulas have a similarly regular structure. The formulas for the first row have to ensure that their variables encode the initial configuration. Since we are only interested in accepting computation tables, the formulas for the last row have to ensure that their variables encode the accepting configuration. Observe that for the first row, only the formulas for positions  $1, 2, \dots, |x|$  depend on  $x$ , and that the formulas in the last row do not depend on  $x$  at all. Let  $F_{0,0}, \dots, F_{0,2^N}$  be the formulas for the first row of the computation table, and let  $F_{2^N,0}, \dots, F_{2^N,2^N}$  be the formulas for the last row.

Without loss of generality, we assume that all special formulas are in CNF and consist of  $R$  clauses with  $4r$  boolean variables each.

We now have

$$F_x \equiv \bigwedge_{i,j \in \{0, \dots, 2^N\}} F_{i,j}$$

and

$$F_x \text{ is satisfiable} \Leftrightarrow x \in L.$$

Hence, we can assume that

$$\begin{aligned}
 F_x = & F_{0,0} \wedge F_{0,1} \wedge \dots \wedge F_{0,2^N-1} \wedge F_{0,2^N} \\
 & \wedge F_{1,0} \wedge F_{1,1} \wedge \dots \wedge F_{1,2^N-1} \wedge F_{1,2^N} \\
 & \vdots \\
 & \wedge F_{2^N,0} \wedge F_{2^N,1} \wedge \dots \wedge F_{2^N,2^N-1} \wedge F_{2^N,2^N}.
 \end{aligned}$$

We now take a closer look at the structure of formulas  $F_{i,j}$ :

For  $i \in \{1, \dots, 2^N - 2\}$  and  $j \in \{2, \dots, 2^N - 2\}$  literals  $x_{i,j,k}$  and  $\neg x_{i,j,k}$  occur in formulas  $H_{i,j,1}, \dots, H_{i,j,R}, H_{i+1,j-1,1}, \dots, H_{i+1,j-1,R}, H_{i+1,j,1}, \dots, H_{i+1,j,R}$  and  $H_{i+1,j+1,1}, \dots, H_{i+1,j+1,R}$  and nowhere else. Observe that, in which of the clauses of a formula  $F_{i,j}$  a literal  $x_{i,j,k}$  occurs, only depends on  $k$ .

Hence, there exist  $\beta_{k1}, \dots, \beta_{kR} \in \{0, 1\}$  for  $k \in \{1, \dots, r\}$  such that for all  $i \in \{1, \dots, 2^N - 2\}, j \in \{2, \dots, 2^N - 2\}$  and all  $l \in \{1, \dots, R\}$  it holds that

$$\beta_{kl} = 1 \iff \text{Literal } x_{i,j,k} \text{ occurs in the } l\text{th clause of } F_{i,j}.$$

In the same way, there exist  $\delta_{k1}, \dots, \delta_{k(3R)} \in \{0, 1\}$  for  $k \in \{1, \dots, r\}$ , such that for all  $i \in \{1, \dots, 2^N - 2\}, j \in \{2, \dots, 2^N - 2\}, l \in \{1, \dots, R\}$  and all  $m \in \{0, 1, 2\}$  it holds that

$$\delta_{k(l+m \cdot R)} = 1 \iff \text{Literal } x_{i,j,k} \text{ occurs in the } l\text{th clause of } F_{i+1,j-1+m}.$$

Analogously, we define  $\beta'_{k1}, \dots, \beta'_{kR} \in \{0, 1\}$  and  $\delta'_{k1}, \dots, \delta'_{k(3R)} \in \{0, 1\}$  for the occurrences of literals  $\neg x_{i,j,k}$ .

Quite similar to the proof of Lemma 4, we construct vectors  $v_i, v'_i$  for each boolean variable  $\varphi_i$  in  $F_x$ . These vectors describe occurrences of positive and negative literals of  $\varphi_i$  in clauses of  $F_x$  such that there is a subset of vectors whose sum has a certain value  $b$  if and only if  $F_x$  is satisfiable. Recall that  $F_x$  contains an exponential number of variables and clauses, thus we have to create exponentially many different vectors and each vector has exponential length. Due to the high regularity of  $F_x$ , the vectors we have to construct have a very regular structure as well.

We now show how to construct vectors for the variables in formulas  $F_{i,j}$  with  $i \in \{1, \dots, 2^N - 2\}$  and  $j \in \{2, \dots, 2^N - 2\}$ :

For a literal  $x_{i,j,k}$  we construct a vector  $v_{i,j,k}$ . Let  $r' =_{\text{def}} 4r + 2$ .

Then,  $v_{i,j,k}$  has the  $r'$ -ary representation

$$\underbrace{\underbrace{0 \dots 0}_{l_1} \beta_{k1} \dots \beta_{kR} \underbrace{0 \dots 0}_{l_2} \delta_{k1} \dots \delta_{k3R} \underbrace{0 \dots 0}_{l_3} \underbrace{0 \dots 0}_{l_4} \underbrace{(r'-1) \dots (r'-1)}_{\frac{R}{r}} \underbrace{0 \dots 0}_{l_5}}_{\text{for variables}}$$

where

$$\begin{aligned}
 l_1 &=_{\text{def}} R \cdot i \cdot (2^N + 1) + R \cdot j, \\
 l_2 &=_{\text{def}} R \cdot (2^N - 1), \\
 l_3 &=_{\text{def}} R \cdot (2^N - j - 1) + R \cdot (2^N - i - 1)(2^N + 1), \\
 l_4 &=_{\text{def}} R \cdot i \cdot (2^N + 1) + R \cdot j + (k - 1) \cdot \frac{R}{r}
 \end{aligned}$$

and

$$l_5 =_{\text{def}} (r - k) \cdot \frac{R}{r} + R \cdot (2^N - j) + R \cdot (2^N - i)(2^N + 1).$$

The first  $l_1 + R + l_2 + 3R + l_3$  digits mark the clauses in  $F$  in which literal  $x_{i,j,k}$  occurs. The remaining  $l_4 + (R/r) + l_5$  digits encode the indices of the literal: the position of the  $(r - 1)$ -block in the variable part of the vector encodes  $i, j$  and  $k$ . The vectors are constructed in such a way that  $(r - 1)$ -blocks of distinct vectors do not overlap.

Observe that  $R + l_2 + 3R + l_3 + l_4 + \underbrace{\frac{R}{r}}_{l_6} = R \cdot (2^{2N} + 2^{N+1} + 1) + k \cdot \frac{R}{r}$ .

Obviously, we can ignore the  $l_1$  preceding zeros when constructing the vectors. Our construction ensures that the first  $l_6$  digits of the vectors do not depend on  $i$  or  $j$  but only on  $k$ . Let  $M_k$  denote these  $l_6$  most significant digits. Thus,  $l_5$ —the number of zeroes to the right of  $M_k$ —encodes  $i$  and  $j$ .

Notice that  $M_k$  only contains a constant number of digits unequal to 0. Furthermore, the first  $l_6$  digits do not depend on  $i, j$  as stated above. Hence, it is easy to construct circuits  $C'_1, \dots, C'_r$  in logarithmic space such that  $I(C'_k) = (M_k)_{r'}$  holds for  $k \in \{1, \dots, r\}$ .

It remains to annex zeros in order to complete the variable part. In logarithmic space, it is possible to construct  $\{\cup, +, \times\}$ -circuits  $C_1, \dots, C_r$  such that the following holds for  $k \in \{1, \dots, r\}$ :

$$I(C_k) = I(C'_k) \times r'^{(r-k) \cdot R/r} \times (r'^R)^{2 \cdot (2^N + 1)} \times \{(r'^R)^l : l \in D\},$$

where

$$D =_{\text{def}} \{a + b \cdot (2^N + 1) : a \in \{2, \dots, 2^N - 2\} \text{ and } b \in \{0, \dots, 2^N - 3\}\}.$$

To see how to construct the circuit taking care of the rightmost factor in  $I(C_k)$ , it is useful to observe that, given a  $\{\cup, +\}$ -circuit  $E_1$  and a  $\{+, \times\}$ -circuit  $E_2$  computing  $\{a\}$ , it is easy to construct in logarithmic space a  $\{\cup, +, \times\}$ -circuit computing the set  $\{a^i : i \in I(E_1)\}$ .

Consequently, each circuit  $C_k$  computes the set of SOS-vectors for literals  $x_{i,j,k}$  with  $i \in \{1, \dots, 2^N - 2\}$  and  $j \in \{2, \dots, 2^N - 2\}$ . The definition of  $D$  ensures that no vectors for variables in formulas at the borders of the computation table are created.

Analogously, we can construct circuits  $C_1^- \dots C_r^-$  which compute the vectors  $v'_{i,j,k}$  for the occurrences of literals  $\neg x_{i,j,k}$ . The only difference is that we use  $\beta'_{ki}$  and  $\delta'_{ki}$  instead of  $\beta_{ki}$  and  $\delta_{ki}$  for  $M'_k$ .

Hence, the  $\{\cup, +, \times\}$ -circuit  $C'_{\text{vectors}} =_{\text{def}} \bigcup_{i=1}^{r'} C_i \cup C_i^-$  can be constructed in logarithmic space. Similarly, we can construct circuits which compute vectors for the rest of the variables in  $F$ : the structure of the formulas for the first  $n$  symbols in the computation table and thus also that of the corresponding vectors depend on the input  $x$ . For each digit of  $x$ , it is easy to construct a circuit which computes the corresponding vectors. The structure of the remaining formulas is independent from the input and highly regular, thus we can create these vectors by using a similar construction as presented above.

Let  $C_{\text{vectors}}$  be the  $\{\cup, +, \times\}$ -circuit which computes the set of all vectors for variables in  $F$ . Since there are two vectors for each variable,  $I(C_{\text{vectors}})$  computes precisely  $2 \cdot r \cdot (2^N + 1)^2$  vectors. Let  $V =_{\text{def}} r \cdot (2^N + 1)^2$ , hence  $V = 2^{2N + \log r} + 2^{N+1 + \log r} + 2^{\log r}$ . Let  $v \in I(C_{\text{vectors}})$ . The  $r'$ -ary representation of  $v$  has (possibly with leading zeros) length  $2 \cdot V \cdot R/r$ . The first  $V \cdot R/r$  digits form the clause part and the remaining  $V \cdot R/r$  digits form the variable part.

Observe that every two vectors which describe the positive and negative occurrences of literals of the same variable have identical variable parts. This means that the  $(r' - 1)$ -blocks in such two vectors are located at the same positions, because  $l_5$  only depends on  $i, j, k$ .

As can be seen in Fig. 2, we now construct in logarithmic space a  $\{\cup, +, \times\}$ -circuit  $C_{\text{sums}}$  which computes all possible sums of vectors from  $I(C_{\text{vectors}})$  which have precisely  $V$  addends.

Let  $v_1, \dots, v_V$  and  $v'_1, \dots, v'_V$  be vectors such that, for  $i = 1, \dots, V$ , vector  $v_i$  describes the positive occurrences and vector  $v'_i$  describes the negative occurrences of the  $i$ th variable in  $F$ .

**Claim.** Let  $v \in I(C_{\text{sums}})$  with  $|v| \geq V \cdot R/r$  and  $W =_{\text{def}} |v| - V \cdot R/r$ . Then it holds:

$$v = \left( a_1 \dots a_W \underbrace{(r' - 1)(r' - 1) \dots (r' - 1)}_{V \cdot \frac{R}{r}} \right)_{r'} \Leftrightarrow \exists_{c_1, \dots, c_V \in \{0, 1\}} \sum_{i=1}^V (c_i v_i + (1 - c_i) v'_i) = v.$$

**Proof of the claim.** The implication “ $\Leftarrow$ ” is a direct consequence of the construction of the vectors and thus evident. We obtain the implication “ $\Rightarrow$ ” from Lemma 14 and the following argument: if the last  $V \cdot R/r$  digits of the  $r'$ -ary

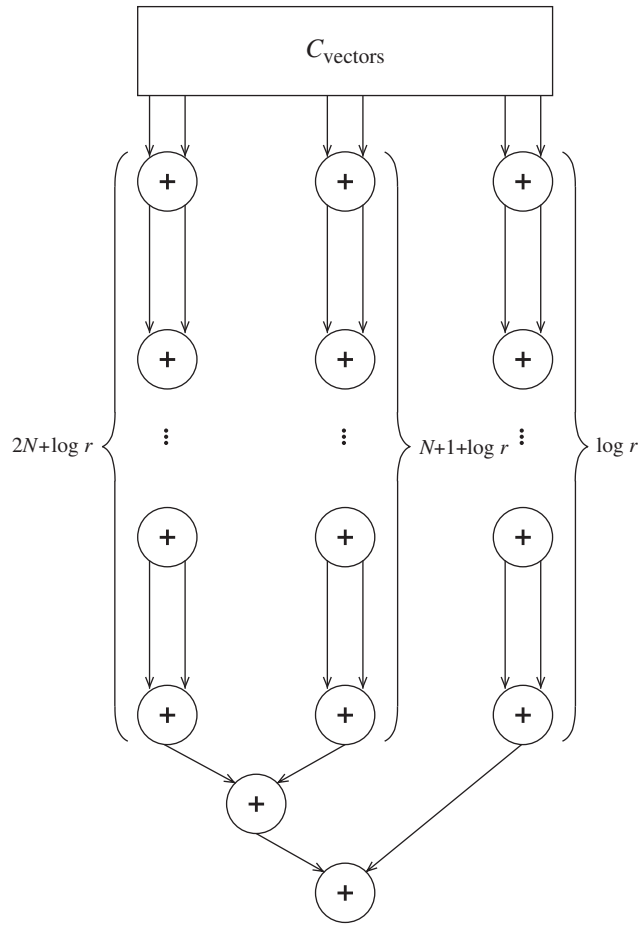


Fig. 2. Circuit  $C_{sums}$ .

representation of  $v$  are all equal to  $(r' - 1)$ , there cannot have been carries when  $v$  was computed. Suppose to the contrary that there was a carry. Let then  $j$  be the rightmost position in the right part of  $v$ , for which more than one vector with value  $(r' - 1)$  on position  $j$  in the right part were added. Therefore, at least  $(r' + 1)$  of such vectors must have been added to obtain the value  $(r' - 1)$  on position  $j$  of  $v$ . By Lemma 14.2, the right part of  $v$  must contain at least one digit which is 0. This is a contradiction.  $\square$

We now know that all vectors in  $I(C_{sums})$ , whose right parts only consist of digits  $(r' - 1)$ , were created by a valid choice of vectors from  $I(C_{vectors})$ . A valid choice means that for each of the two vectors which belong to the same variable, precisely one was used in the sum. In other words, in the corresponding assignment of truth-values to the variables in  $F$ , each variable was assigned exactly one truth-value.

Since each clause of  $F_x$  contains exactly  $4r$  variables, any assignment of truth-values to variables in  $F$  can make at most  $4r$  literals true in each clause.

Hence, all vectors in  $I(C_{sums})$ , which were created by a valid choice of vectors from  $I(C_{vectors})$ , have the  $r'$ -ary representation

$$a_1 a_2 \dots a_{(V, \frac{R}{r})} \underbrace{(r' - 1)(r' - 1) \dots (r' - 1)}_{V, \frac{R}{r}}$$

with  $0 \leq a_1, a_2, \dots, a_{(V, R/r)} \leq 4r = r' - 2$ . From now on, we will call such vectors *valid vectors*.

By defining  $K =_{\text{def}} V \cdot R/r$ , we obtain

- $x \in L \Leftrightarrow F_x$  is satisfiable
- $\Leftrightarrow$  there exists an assignment of variables in  $F_x$ , which makes at least one literal true in each clause
- $\Leftrightarrow$  there exists a valid vector  $v=(a_1 \dots a_K(r'-1) \dots (r'-1))_{r'}$  with  $1 \leq a_1, \dots, a_K \leq 4r$  in  $I(C_{\text{sums}})$ .

We now construct a circuit  $C_A$  which computes a set of balancing vectors such that the following holds:

$$\left( \begin{array}{l} \text{There exist } 1 \leq a_1, \dots, a_K \leq 4r = r' - 2 \text{ such that} \\ \left( a_1 a_2 \dots a_K \underbrace{(r' - 1)(r' - 1) \dots (r' - 1)}_K \right)_{r'} \in I(C_{\text{sums}}) \\ \Leftrightarrow \left( \underbrace{(r' - 1)(r' - 1) \dots (r' - 1)}_{K+K} \right)_{r'} \in I(C_{\text{sums}}) + I(C_A). \end{array} \right)$$

In logarithmic space we can construct a  $\{\cup, +, \times\}$ -circuit  $C'_A$  with  $I(C'_A) = \{1, 2, \dots, r'-2\} \times \{(r')^{K+i} : 0 \leq i < K\}$ . We use this circuit to construct a circuit  $C_A$  which computes all possible sums with precisely  $K$  addends from  $I(C'_A)$ . This can be done by a circuit which is very similar to that in Fig. 2.

**Claim.** For all  $z \in I(C_A)$  with  $z = (a_1 \dots a_K \underbrace{0 \dots 0}_K)_{r'}$ , it holds that

$$\exists_{i \in \{1, \dots, K\}} a_i = (r' - 1) \Rightarrow \exists_{i \in \{1, \dots, K\}} a_i = (0). \tag{*}$$

**Proof of the Claim.** Let  $z = (a_1 \dots a_{j-1}(r' - 1)a_{j+1} \dots a_K \underbrace{0 \dots 0}_K)_{r'}$  with  $0 < a_1, \dots, a_K \leq r' - 1$ .

Let  $v_1, \dots, v_K \in I(C'_A)$  be vectors with  $\sum_{i=1}^K v_i = z$ .

The vectors in  $I(C'_A)$  have the  $r'$ -ary representation  $(\overbrace{0 \dots 0}^K a \overbrace{0 \dots 0}^K)_{r'}$  with  $1 \leq a \leq r' - 2$ . Since we assumed that the leftmost  $K$  digits from  $z$  are unequal to 0,  $K$  vectors must have been used to fill up these positions by Lemma 14.1. By this lemma we also know that the following holds for the addends: for each of the leftmost  $K$  digits from  $z$ , there are at most two addends which have a value unequal to 0 at the appropriate position. Hence, there can be no carries greater than 1.

We now consider the three different possibilities how digit  $(r' - 1)$  on position  $j$  of  $z$  was created:

- (1) There are vectors  $u, v \in \{v_1, \dots, v_K\}$  such that  $u$  has digit  $s$  on position  $j$  and  $v$  has digit  $t$  on position  $j$  with  $1 \leq s, t \leq r' - 2$  and  $s + t = r' - 1$ .
- (2) There are vectors  $u, v \in \{v_1, \dots, v_K\}$  such that  $u$  has digit  $s$  on position  $j$  and  $v$  has digit  $t$  on position  $j$  with  $1 \leq s, t \leq r' - 2$ ,  $s + t = r' - 2$  and there is a carry from the right.
- (3) There are vectors  $u, v \in \{v_1, \dots, v_K\}$  such that  $u$  has digit  $s$  on position  $j - 1$  and  $v$  has digit  $t$  on position  $j - 1$  with  $1 \leq s, t \geq r' - 1$ . Hence, a carry occurs. Furthermore, there is a vector  $w \in \{v_1, \dots, v_K\}$  which has digit  $(r' - 2)$  on position  $j$ .

In cases 1 and 2  $u' =_{\text{def}} ((\sum_{i=1}^K v_i) - u)$  is a vector, whose leftmost  $K$  digits are unequal to 0. Nevertheless,  $u'$  is the sum of  $K - 1$  vectors from  $I(C'_A)$ . This is a contradiction to Lemma 14.1. Similarly, in case 3 it also holds that the leftmost  $K$  digits of  $u' =_{\text{def}} ((\sum_{i=1}^K v_i) - w)$  are unequal to 0. Again, this contradicts Lemma 14.1. Hence, there has to be one digit with value 0 among the first  $K$  digits of  $z$ . This proves our claim.  $\square$

This property of the balancing vectors ensures that every balancing vector which contains a digit with value  $(r' - 1)$  in the left part of its  $r'$ -ary representation does also contain a digit with value 0. We will see that, consequently, such a vector cannot balance all digits of a vector which has a digit with value 0 among the first  $K$  digits.

For a valid vector  $v \in I(C_{\text{sums}}) = (a_1 \dots a_K (r'-1)(r'-1) \dots (r'-1))_{r'}$  with  $1 \leq a_1, \dots, a_K \leq r' - 2$  observe that there exists a balancing vector  $a \in I(C_A)$  such that  $v + a = \underbrace{((r' - 1)(r' - 1) \dots (r' - 1))}_{2K}$ .

Conversely, let  $w = (w_1 \dots w_{2K})_{r'} \in I(C_{\text{sums}}) + I(C_A)$  with  $w_i = \underbrace{(r' - 1)}_{2K}$  for all  $i \leq 2K$ . Hence,  $w = v + b$  with  $b = (b_1 \dots b_K 00 \dots 0)_{r'} \in I(C_A)$  and  $v = (a_1 \dots a_K (r' - 1)(r' - 1) \dots (r' - 1))_{r'}$  is a valid vector in  $I(C_{\text{sums}})$ , because the balancing vector  $b$  has no influence on the rightmost  $K$  digits of  $w$ . Hence,  $0 \leq a_1, \dots, a_K \leq r' - 2$ . Let us assume that a carry occurred while adding  $v$  and  $b$ . Then there exists a greatest  $l \leq K$ , such that  $a_l + b_l > (r' - 1)$ . Since this is the rightmost carry, we have  $w_l \neq (r' - 1)$ , which is a contradiction. Consequently, it holds that  $a_i + b_i = (r' - 1)$  for all  $1 \leq i \leq K$ . Assume that there exists  $i \leq K$  with  $a_i = 0$ . Then  $b_i = (r' - 1)$ , and due to  $(\star)$  there exists  $1 \leq j \leq K$  with  $b_j = 0$ . Since  $a_j \leq r' - 2$  and  $w_j = (r' - 1)$ , this is a contradiction.

Since all circuits constructed so far can be constructed in logarithmic space, this also holds for the  $\{\cup, +, \times\}$ -circuit  $C_{\text{SOS}}$  which is defined by

$$C_{\text{SOS}} =_{\text{def}} (C_{\text{sums}} + C_A) + \left( \{-1\} \times \left\{ \left( \underbrace{(r'-1)(r'-1) \dots (r'-1)}_{2K} \right)_{r'} \right\} \right).$$

Altogether, we have

- $x \in L \Leftrightarrow$  on input  $x$ ,  $M$  develops an accepting path  $z$
- $\Leftrightarrow$  there exists an accepting computation table  $T(M, x, z)$
- $\Leftrightarrow F_x$  is satisfiable
- $\Leftrightarrow$  there exists a valid vector  $v = (a_1 \dots a_K (r' - 1) \dots (r' - 1))$   
with  $1 \leq a_1, \dots, a_K \leq 4r = r' - 2$  in  $I(C_{\text{sums}})$
- $\Leftrightarrow \left( \underbrace{(r' - 1)(r' - 1) \dots (r' - 1)}_{2K} \right)_{r'} \in I(C_{\text{sums}}) + I(C_A)$
- $\Leftrightarrow 0 \in I(C_{\text{SOS}})$

This completes the proof.  $\square$

**Theorem 16.** *The problems  $\text{MC}_{\mathbb{Z}}(\cup, +, \times)$  and  $\text{MC}_{\mathbb{Z}}(\cup, \cap, +, \times)$  are complete for NEXPTIME.*

**Proof.** Hardness follows directly from Lemma 15. Let  $C$  be a  $\{\cup, \cap, +, \times\}$ -circuit, and let  $b \in \mathbb{Z}$ . In exponential time, we can unfold  $C$  into a (possibly exponentially larger) formula  $F$ . Let  $p$  be a polynomial such that  $|F| \leq 2^{p(|C|)}$ . Observe that for any integer  $i$  computed in a gate of  $F$ , it holds that  $-2^{p(|C|)} \leq |i| \leq 2^{p(|C|)}$ . Hence, we can guess nondeterministically an integer with length  $\leq 2^{p(|C|)}$  for every gate of  $F$  and then check whether these integers really prove that  $b \in I(F) = I(C)$ .  $\square$

We do not have any decidable upper bound for the unrestricted versions of the membership-problems. As the next example shows, there is evidence suggesting that  $\text{MF}_{\mathbb{Z}}(\cup, \cap, -, +, \times)$ —and thus also  $\text{MC}_{\mathbb{Z}}(\cup, \cap, -, +, \times)$ —might be undecidable:

**Example 17.** A prime  $p$  is called a *Fermat-Prime* if there exists  $k > 0$  such that  $p = 2^{2^k} + 1$ . The only known Fermat-Primes are  $2^{2^k} + 1$  for  $k = 0, 1, 2, 3, 4$ . It is currently known that  $2^{2^k} + 1$  is composite for  $5 \leq k \leq 32$  [3]. Moreover, it is conjectured that the number of Fermat-Primes is finite with  $2^{2^4} + 1 = 65537$  being the largest Fermat-Prime (see, for example, [5]). There is a simple  $\{\cup, \cap, -, +, \times\}$ -formula FERMAT having the property that  $0 \in I(F)$  if and only if there is no Fermat-Prime greater than  $2^{2^4} + 1$ .<sup>1</sup> Hence, a decision procedure for  $\text{MF}_{\mathbb{Z}}(\cup, \cap, -, +, \times)$  could test whether there exists a sixth Fermat-Prime. This would be surprising.

<sup>1</sup> In an earlier version, we used a weak variant of Goldbach’s Conjecture as evidence. Holger Petersen (Stuttgart) observed [9] that this can be improved to the statement about Fermat-Primes.



We construct the circuit FERMAT out of several subcircuits: recall circuits  $\mathbb{Z}$ ,  $\mathbb{Z}$ -PRIMES and  $\mathbb{Z}$ -POWER2 from Section 2. We now define the circuits  $\mathbb{N}$ -POWER8  $\stackrel{\text{def}}{=} \mathbb{Z}$ -POWER2  $\cap ((\{7\} \times \mathbb{Z}) + \{1\})$  and  $\mathbb{N}$ -POWER2  $\stackrel{\text{def}}{=} \{1, 2, 4\} \times \mathbb{N}$ -POWER8. Observe that  $I(\mathbb{N}$ -POWER8)  $= \{8^k : k \geq 0\}$  and thus  $I(\mathbb{N}$ -POWER2)  $= \{2^k : k \geq 0\}$ . The circuit  $\mathbb{Z}$ -PRIMES'  $\stackrel{\text{def}}{=} \mathbb{Z}$ -PRIMES  $\cap \overline{\{3\} \cup \{5\} \cup \{17\} \cup \{257\} \cup \{65537\}}$  computes the set of positive and negative primes minus the set of known Fermat–Primes. All circuits constructed so far can easily be unfolded into formulas.

Finally, we define FERMAT as

$$\overline{(\mathbb{Z}\text{-PRIMES}' \cap (\mathbb{N}\text{-POWER2} + \{1\})) \times \{0\}}$$

and obtain

$$\text{There is no Fermat–Prime greater than } 2^{2^4} + 1 \Leftrightarrow 0 \in I(\text{FERMAT}).$$

The implication “ $\Rightarrow$ ” follows directly from the construction. To see “ $\Leftarrow$ ”, note that the following is known from the literature: for  $k \geq 0$ , if  $2^k + 1$  is prime, then there exists  $i \geq 0$  such that  $k = 2^i$ . This can easily be seen: assume that  $2^k + 1$  is prime and  $k$  contains an odd factor  $b$ , that means  $2^k + 1 = (2^a)^b + 1$ . Then  $2^a + 1$  is a factor of  $2^k + 1$  because we can write  $2^k + 1$  as  $(2^a + 1) \cdot (2^{a(b-1)} - 2^{a(b-2)} + 2^{a(b-3)} - \dots + 1)$ . Hence,  $2^k + 1$  cannot be prime, which leads to a contradiction.

As an immediate consequence of Lemma 15, we obtain:

**Corollary 18.** *The problem  $\text{MC}_{\mathbb{Z}}(\cup, \cap, \bar{\phantom{x}}, +, \times)$  is NEXPTIME-hard.*

#### 4.2. Complementation as the only set operation

In this section, we analyze membership problems for circuits with addition, whose only set operation is complementation. We will see that sets computed by such circuits have a very simple structure.

**Lemma 19.** *Let  $C = (G, E, g_C, \alpha)$  be a  $\{\bar{\phantom{x}}, +\}$ -circuit. For every  $g \in G$ , there exists an  $a_g \in \mathbb{Z}$  such that  $I(g) \in \{\{a_g\}, \mathbb{Z} \setminus \{a_g\}, \mathbb{Z}, \emptyset\}$ .*

**Proof.** Observe that for  $\{\{a\}, \mathbb{Z} \setminus \{a\}, \mathbb{Z}, \emptyset\}$ , the complement of each member still is in the set. For  $a, b, c, d \in \mathbb{Z}$  we have  $\{a\} + \{b\} = \{a + b\}$ ,  $(\mathbb{Z} \setminus \{a\}) + \{b\} = \mathbb{Z} \setminus \{a + b\}$ ,  $(\mathbb{Z} \setminus \{a\}) + (\mathbb{Z} \setminus \{b\}) = \mathbb{Z} + (\mathbb{Z} \setminus \{c\}) = \mathbb{Z} + \{d\} = \mathbb{Z}$ . Adding  $\emptyset$  always results in  $\emptyset$ .  $\square$

We say that  $I(g)$  is of type I, II, III or IV if  $I(g) = \{a\}$ ,  $\mathbb{Z} \setminus \{a\}$ ,  $\mathbb{Z}$ ,  $\emptyset$ , respectively.

**Theorem 20.** (1) *The problem  $\text{MC}_{\mathbb{Z}}(\bar{\phantom{x}}, +)$  is P-complete.*

(2) *The problem  $\text{MF}_{\mathbb{Z}}(\bar{\phantom{x}}, +)$  is in L.*

**Proof.** (1) *Inclusion:* Let  $C = (G, E, g_C, \alpha)$  be a  $\{\bar{\phantom{x}}, +\}$ -circuit and  $b \in \mathbb{Z}$ . Note that, by using the above calculation rule, we can determine in polynomial time what the type of  $I(g_C)$  is. The following polynomial time algorithm works on input  $(C, b)$  and decides  $\text{MC}_{\mathbb{Z}}(\bar{\phantom{x}}, +)$ . If  $I(g_C)$  is of type III or IV we accept or reject, accordingly. Otherwise, we eliminate all  $\bar{\phantom{x}}$ -gates from  $C$  and obtain a  $\{+\}$ -circuit  $C'$ . Since  $\text{MC}_{\mathbb{Z}}(+)$   $\in C=L \subseteq P$  (Theorem 21), we can decide  $(C', b) \in \text{MC}_{\mathbb{Z}}(+)$  in polynomial time. If  $I(g_C)$  is of type I, we accept if and only if  $(C', b) \in \text{MC}_{\mathbb{Z}}(+)$ . If  $I(g_C)$  is of type II, we accept if and only if  $(C', b) \notin \text{MC}_{\mathbb{Z}}(+)$ .

*Hardness:* We show that the P-complete *monotone boolean circuit value problem* [4] can be reduced to  $\text{MC}_{\mathbb{Z}}(\bar{\phantom{x}}, +)$ . To do so, we transform a monotone boolean circuit  $C$  into a  $\{\bar{\phantom{x}}, +\}$ -circuit  $C'$  of basically the same structure. Every input gate in  $C$  with boolean value 0 is replaced by a sub-circuit computing  $\mathbb{Z}$ , e.g.  $\bar{0} + \bar{0}$ , every input gate in  $C$  with boolean value 1 is replaced by a sub-circuit computing  $\emptyset$ , e.g.  $\overline{\bar{0} + \bar{0}}$ . Every  $\vee$ -gate in  $C$  is replaced by a  $+$ -gate and every  $\wedge$ -gate in  $C$  with predecessors  $g_1, g_2$  is replaced by a sub-circuit  $\overline{\bar{g}_1 + \bar{g}_2}$ . For every gate  $g$  in  $C'$  we now have either  $I(g) = \mathbb{Z}$  or  $\emptyset$ . Observe that for every  $+$ -gate  $g$  in  $C'$  with predecessors  $g_1, g_2$  it now holds that  $I(g) = \emptyset \Leftrightarrow (I(g_1) = \emptyset \vee I(g_2) = \emptyset)$

and  $I(g) = \mathbb{Z} \Leftrightarrow (I(g_1) = \mathbb{Z} \wedge I(g_2) = \mathbb{Z})$ . We obtain  $(C \text{ evaluates to } 0) \Leftrightarrow I(C') = \mathbb{Z} \Leftrightarrow 0 \in I(C') \Leftrightarrow (C', 0) \in \text{MC}_{\mathbb{Z}}(\neg, +)$ .

(2) For a  $\{\neg, +\}$ -formula  $F$ , determining the type of  $I(F)$  can be done in logarithmic space, and  $\text{MC}_{\mathbb{Z}}(+)$  is in  $L$ , thus  $\text{MF}_{\mathbb{Z}}(\neg, +)$  is in  $L$ .  $\square$

Contrary to these results, we already know that  $\{\neg, +\}$ -circuits over sets of natural numbers can compute very complex sets (recall Section 3).

### 5. Similarities between $\mathbb{Z}$ -case and $\mathbb{N}$ -case

In this section, we present several results where the complexity in the two cases coincides.

**Theorem 21.** *The problem  $\text{MC}_{\mathbb{Z}}(+)$  is complete for  $\text{C=L}$ .*

**Proof.** By Lemma 7, it is sufficient to prove that  $\text{MC}_{\mathbb{Z}}(+)$  is in  $\text{C=L}$ . Let  $C = (G, E, g_C, \alpha)$  be a  $\{+\}$ -circuit and  $b \in \mathbb{Z}$ . Defining  $C' =_{\text{def}} C + (-b)$ , we obtain  $b \in I(C) \Leftrightarrow 0 \in I(C')$ . We then further modify  $C'$ :

- Add a new input gate  $g_-$  with label  $-1$ .
- Add a new input gate  $g_+$  with label  $1$ .
- Replace each input gate  $g \in G$  with  $\alpha(g) < 0$  with a  $\{+\}$ -circuit which computes  $\alpha(g)$  solely from the input gate  $g_-$ .
- Replace each input gate  $g \in G$  with  $\alpha(g) \geq 0$  with a  $\{+\}$ -circuit which computes  $\alpha(g)$  solely from the input gate  $g_+$ .

Let  $C''$  be the circuit resulting from the above modifications. It clearly holds that  $I(C) - b = I(C') = I(C'')$ . We define  $f_-(C'')$  as the number of paths in  $C''$  from  $g_-$  to the output gate of  $C''$  and  $f_+(C'')$  as the number of paths in  $C''$  from  $g_+$  to the output gate of  $C''$ . It is easy to see that  $f_-$  and  $f_+$  are  $\#\text{L}$ -functions. We now have  $(C, b) \in \text{MC}_{\mathbb{Z}}(+)$   $\Leftrightarrow I(C) = b \Leftrightarrow I(C'') = 0 \Leftrightarrow f_-(C'') = f_+(C'')$ . Consequently,  $\text{MC}_{\mathbb{Z}}(+)$  is in  $\text{C=L}$ .  $\square$

In contrast to  $\{\times\}$ -circuits, evaluating  $\{+\}$ -circuits is not harder in the  $\mathbb{Z}$ -case than it is in the  $\mathbb{N}$ -case.

**Theorem 22.** *The problems  $\text{MF}_{\mathbb{Z}}(\cup, \cap, \neg, +)$  and  $\text{MC}_{\mathbb{Z}}(\cup, \cap, \neg, +)$  are complete for PSPACE.*

**Proof.** The inclusion can be shown by a simple modification of the proofs for the corresponding problems in the  $\mathbb{N}$ -case (cf. [7]).

For PSPACE-hardness, observe that the PSPACE-complete problem QSOS can be reduced to  $\text{MF}_{\mathbb{Z}}(\cup, \cap, \neg, +)$  by adapting the proof of Lemma 5: for  $i = 1, \dots, n$ , define formulas  $A_i$  as  $0 \cup a_i$ . The rest of the proof is analogous.  $\square$

**Theorem 23.** *The problems  $\text{MF}_{\mathbb{Z}}(\cup, \cap, \neg, \times)$  and  $\text{MC}_{\mathbb{Z}}(\cup, \cap, \neg, \times)$  are complete for PSPACE.*

**Proof.** With respect to the inclusion, the same as above holds. We here sketch a reduction of the PSPACE-complete problem  $\text{MF}_{\mathbb{N}}(\cup, \cap, \neg, \times)$  [7] to  $\text{MF}_{\mathbb{Z}}(\cup, \cap, \neg, \times)$ . Let  $F$  be a  $\{\cup, \cap, \neg, \times\}$ -formula over sets of natural numbers. A  $\{\cup, \cap, \neg, \times\}$ -formula  $F'$  is obtained by replacing every input gate  $g$  of  $F$  with a formula  $(\alpha(g) \cup (\alpha(g) \times \{-1\}))$ . Arguing by induction on the number of gates in  $F$ , we obtain  $I(F') = I_{\mathbb{N}}(F) \cup (I_{\mathbb{N}}(F) \times \{-1\})$ . Therefore, it now holds for all  $b \in \mathbb{N}$  that  $b \in I_{\mathbb{N}}(F) \Leftrightarrow b \in I(F')$ . This yields the desired reduction.  $\square$

For the complexity of the remaining membership problems in our analysis, we also have the same upper and lower bounds as McKenzie and Wagner have for the corresponding problems in the  $\mathbb{N}$ -case. The proofs for the  $\mathbb{Z}$ -case bounds only require straightforward modifications applied to those in the  $\mathbb{N}$ -case (see [7]). The results for these membership problems are also included in Table 1, but lack a reference to a theorem or lemma in this paper.

### 6. Conclusion and open problems

Table 1 summarizes our results. Several open problems are apparent from it. The central open problem is finding a decidable upper bound for the complexity of the unrestricted versions of the membership problems, namely

Table 1  
Membership problems for circuits over subsets of  $\mathbb{Z}$

$\mathcal{O}$	$MC_{\mathbb{Z}}(\mathcal{O})$ lower bound	$MC_{\mathbb{Z}}(\mathcal{O})$ upper bound	Th. L.	$MF_{\mathbb{Z}}(\mathcal{O})$ lower bound	$MF_{\mathbb{Z}}(\mathcal{O})$ upper bound	Th. L.
$\cup, \cap, -, +, \times$	NEXPTIME	?	T18	PSPACE	?	
$\cup, \cap, +, \times$	NEXPTIME	NEXPTIME	T16	NP	NP	
$\cup, +, \times$	NEXPTIME	NEXPTIME	T16	NP	NP	
$\cap, +, \times$	P	coNP		L	DLOGCFL	
$\cap, +, \times$	P	coNP	L11	L	DLOGCFL	
$\cup, \cap, -, +$	PSPACE	PSPACE	T22	PSPACE	PSPACE	T22
$\cup, \cap, +$	PSPACE	PSPACE		NP	NP	
$\cup, +$	NP	NP		NP	NP	
$-, +$	P	P	T20	L	L	T20
$\cap, +$	$C=L$	$C=L$		L	L	
$\cap, +$	$C=L$	$C=L$	T21	L	L	
$\cup, \cap, -, \times$	PSPACE	PSPACE	T23	PSPACE	PSPACE	T23
$\cup, \cap, \times$	PSPACE	PSPACE		NP	NP	
$\cup, \times$	NP	NP		NP	NP	
$\cap, \times$	$C=L \wedge \oplus L$	P	T10	L	L	
$\cap, \times$	$NL \wedge \oplus L$	$NL \wedge \oplus L$	T8	L	L	
$\cup, \cap, -$	P	P	L7	L	L	L7
$\cup, \cap$	P	P	L7	L	L	L7
$\cup$	NL	NL	L7	L	L	L7
$\cap$	NL	NL	L7	L	L	L7

$MC_{\mathbb{Z}}(\cup, \cap, -, +, \times)$  and  $MF_{\mathbb{Z}}(\cup, \cap, -, +, \times)$ , or proving them to be undecidable. As the corresponding problems in the  $\mathbb{N}$ -case share that fate, we are very interested in how the complexities of the problems  $MC_{\mathbb{Z}}(\cup, \cap, -, +, \times)$  and  $MC_{\mathbb{N}}(\cup, \cap, -, +, \times)$  compare.

Related to this, there is a further open question: is there a circuit over sets of integers computing precisely the set  $\mathbb{N}$ ? If such a circuit exists, it would immediately follow that  $MC_{\mathbb{N}}(\cup, \cap, -, +, \times) \leq_m^{\log} MC_{\mathbb{Z}}(\cup, \cap, -, +, \times)$ . Holger Petersen (private communication) was the first to observe that there exists a circuit  $C$  having the property that  $I(C) \cap \mathbb{N}$  is infinite while  $I(C) \cap -\mathbb{N}$  is finite (see Section 4.1).

Furthermore, if one could show  $MC_{\mathbb{Z}}(+, \times) \in P$ , then it would follow that the problems  $MC_{\mathbb{Z}}(+, \times)$ ,  $MC_{\mathbb{Z}}(\cap, +, \times)$ , and  $MC_{\mathbb{N}}(\cap, +, \times)$  are all P-complete (cf. [7]).

## Acknowledgments

The author is grateful to Klaus W. Wagner, Christian Glaßer, Daniel Meister, Bernhard Schwarz (Würzburg) and Holger Petersen (Stuttgart) for very useful discussions and important hints. Furthermore, the author would like to thank the anonymous referees for their helpful comments.

## References

- [1] E. Allender, Making computation count: arithmetic circuits in the nineties in the complexity theory column, SIGACT NEWS 28 (4) (1997) 2–15.
- [2] J.L. Balcázar, A. Lozano, J. Torán, The complexity of algorithmic problems in succinct instances, Computer Science, Plenum Press, New York, 1992.
- [3] R.E. Crandall, E.W. Mayer, J.S. Papadopoulos, The twenty-fourth fermat number is composite, Math. Comput. 72 (2003) 1555–1572.
- [4] L.M. Goldschlager, The monotone and planar circuit value problems are logspace complete for P, SIGACT NEWS 9 (1977) 25–29.
- [5] G.H. Hardy, E.M. Wright, An Introduction to the Theory of Numbers, Oxford University Press, Oxford, 1979.
- [6] N. Immerman, Nondeterministic space is closed under complementation, SIAM J. Comput. 17 (1988) 935–938.
- [7] P. McKenzie, K.W. Wagner, The complexity of membership problems for circuits over sets of natural numbers, Lecture Notes in Computer Science, Vol. 2607, 2003, pp. 571–582.
- [8] C.H. Papadimitriou, Computational Complexity, Addison-Wesley, Reading, MA, 1994.
- [9] H. Petersen, Bemerkungen zu ganzzahligen Ausdrücken, private communication, 2004.

- [10] W.J. Savitch, Maze recognizing automata and nondeterministic tape complexity, *J. Comput. System Sci.* 7 (1973) 389–403.
- [11] L.J. Stockmeyer, A.R. Meyer, Word problems requiring exponential time, in: *Proc. Fifth ACM Symp. on the Theory of Computing*, 1973, pp. 1–9.
- [12] R. Szelepcsényi, The method of forced enumeration for nondeterministic automata, *Artificial Intelligence* 26 (1984) 279–284.
- [13] K.W. Wagner, The complexity of problems concerning graphs with regularities, in: *Proc. 11th Mathematical Foundations of Computer Science, Lecture Notes in Computer Science*, Vol. 176, 1984, pp. 544–552.
- [14] K. Yang, Integer circuit evaluation is PSPACE-complete, in: *Proc. 15th Conference on Computational Complexity*, 2002, pp. 204–211.