# The Commutant Modulo $C_{p}$ of Co-prime Powers of Operators on a Hilbert Space 

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Let $H$ be a separable infinite-dimensional complex Hilbert space and let $A, B \in$ $B(H)$, where $B(H)$ is the algebra of operators on $H$ into itself. Let $\delta_{A, B}: B(H) \rightarrow$ $B(H)$ denote the generalized derivation $\delta_{A B}(X)=A X-X B$. This note considers the relationship between the commutant of an operator and the commutant of coprime powers of the operator. Let $m, n$ be some co-prime natural numbers and let $\mathscr{C}_{p}$ denote the Schatten $p$-class, $1 \leq p<\infty$. We prove (i) If $\delta_{A^{m} B^{m}}(X)=0$ for some $X \in B(H)$ and if either of $A$ and $B^{*}$ is injective, then a necessary and sufficient condition for $\delta_{A B}(X)=0$ is that $A^{r} X B^{n-r}-A^{n-r} X B^{r}=0$ for (any) two consecutive values of $r, 1 \leq r<n$. (ii) If $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{C}_{p}$ for some $X \in B(H)$, and if $m=2$ or 3, then either $\delta_{A B}^{n}(X)$ or $\delta_{A B}^{n+3}(X) \in \mathscr{C}_{p}$; for general $m$ and $n$, if $A$ and $B^{*}$ are normal or subnormal, then there exists a natural number $t$ such that $\delta_{A B}(X) \in \mathscr{C}_{2^{t p}}$. (iii) If $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{C}_{p}$ for some $X \in B(H)$, and if either $A$ is semi-Fredholm with ind $A \leq 0$ or $1-A^{*} A \in \mathscr{C}_{p}$, then $\delta_{A B}(X) \in \mathscr{C}_{p}$. © 2001 Academic Press

## 1. INTRODUCTION

Let $H$ be a separable infinite-dimensional complex Hilbert space and let $B(H)$ denote the algebra of operators ( $=$ bounded linear transformations) on $H$. Let $A, B \in B(H)$ and let $\delta_{A B}: B(H) \rightarrow B(H)$ denote the generalized derivation $\delta_{A B}(X)=A X-X B$. Then $X$ is in the commutant of $A$ and $B$ if and only if $\delta_{A B}(X)=0$. Let $m, n$ be (relatively) co-prime natural numbers, denoted $(m, n)=1$, with $1<m<n$, and suppose that $\delta_{A^{m} B^{m}}(X)=0=\delta_{A^{n} B^{n}}(X)$ for some $X \in B(H)$. Then $\delta_{A^{t m+n} B^{t m+n}}(X)=0$ for all $t=1,2, \ldots$ and either $m+n$ or $2 m+n$ is an odd natural number.

Suppose, for definiteness, that $m+n$ is odd. Then

$$
\begin{aligned}
\delta_{A B}^{m+n}(X)= & \delta_{A B}\left(\delta_{A B}^{m+n-1}(X)\right)=\sum_{r=0}^{m+n}(-1)^{r}\binom{m+n}{r} A^{m+n-r} X B^{r} \\
= & \left(A^{m+n} X-X B^{m+n}\right)-\sum_{r=1}^{(m+n-1) / 2}\binom{m+n}{r} \\
& \times\left\{A^{m+n-r} X B^{r}-A^{r} X B^{m+n-r}\right\},
\end{aligned}
$$

and the simplest way for $\delta_{A B}^{m+n}(X)$ to be equal to 0 is that

$$
A^{m+n-r} X B^{r}-A^{r} X B^{m+n-r}=0
$$

for all $1 \leq r \leq \frac{m+n-1}{2}$. Assuming now that $\delta_{A B}^{m+n}(X)=0$, and that $A$ and $B$ are normal, it follows from [8, Lemma 1] that $\delta_{A B}(X)=0$, i.e., $X$ is in the commutant of $A$ and $B$.

Relationships between the commutant of an operator $A$ and the commutant of the powers of the operator have been investigated by a number of authors, among them Al-Moajil [1], Embry [3], and Kittaneh [6]. Al-Moajil [1] has shown that if $A$ is a normal operator such that $\delta_{A^{2}}(X)(=$ $\left.A^{2} X-X A^{2}\right)=0=\delta_{A^{3}}(X)$ for some $X \in B(H)$, then $\delta_{A}(X)=0$. This result was extended to subnormal operators $A$ and $B^{*}$ for which $\delta_{A^{2} B^{2}}(X)=$ $0=\delta_{A^{3} B^{3}}(X)$ for some $X \in B(H)$ by Kittaneh [6], who also considered commutants modulo $\mathscr{C}_{p}\left(=\mathscr{C}_{p}(H)\right)$, the Schatten $p$-class, of $A^{2}$ and $A^{3}$. This note considers the relationship between the commutant (including commutant modulo $\mathscr{C}_{p}$ ) of an operator and the commutant of co-prime powers of the operator. Thus, let $m, n$ be co-prime natural numbers. It is proved that (i) If $\delta_{A^{m} B^{m}}(X)=0$ for some $X \in B(H)$ and if either of $A$ and $B^{*}$ is injective, then a necessary and sufficient condition for $\delta_{A B}(X)=0$ is that $A^{r} X B^{n-r}-A^{n-r} X B^{r}=0$ for (any) two consecutive values of $r, 1 \leq r<n$. (ii) If $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{C}_{p}$ for some $X \in B(H)$ and if $m=2$ or 3 , then either $\delta_{A B}^{n}(X)$ or $\delta_{A B}^{n+3}(X) \in \mathscr{C}_{p}$; for general $(m, n)=1$, if $A$ and $B^{*}$ are normal or subnormal, then there exists a natural number $t$ such that $\delta_{A B}(X) \in \mathscr{C}_{2^{m}}$. We prove also that if $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{C}_{p}$ for some $X \in B(H)$, and if either $A$ is semi-Fredholm with ind $A \leq 0$ or $1-A^{*} A \in \mathscr{C}_{p}$, then $\delta_{A B}(X) \in \mathscr{C}_{p}$.

In the following we shall denote the set of natural numbers by $\mathcal{N}$. The spectrum and the point spectrum of an operator $A$ will be denoted by $\sigma(A)$ and $\sigma_{\mathrm{p}}(A)$, respectively. Most of the other notation that we employ in the following is standard and is usually explained at the first instance of occurrence.

## 2. RESULTS

We assume in the following that $m, n$ are co-prime natural numbers with $1<m<n$. Although it will not always be required (as, for example, in Theorem 1), we assume in the following that our Hilbert space $H$ is separable. We shall denote the ideal of compact operators by $\mathscr{K}(=\mathscr{K}(H))$; thus when we discuss the ideals $\mathscr{C}_{p}$ it will be assumed that $1 \leq p<\infty$. Recall that a Banach space operator $T$ has dense range if and only if $T^{*}$ is injective, and that if $T^{*}$ has dense range then $T$ is injective [9, pp. 94-96].
Let $A, B \in B(H)$ and suppose that $\delta_{A^{m} B^{m}}(X)=0=\delta_{A^{n} B^{n}}(X)$ for some $X \in B(H)$. Then $\delta_{A^{t m} B^{m m}}(X)=0$ for all $t \in \mathcal{N}$. Also, since $(m, n)=1$, there exist integers $p$ and $q$, with $p q<0$, such that $p m+q n=1$. Suppose for definiteness that $p<0$; then

$$
\begin{aligned}
\delta_{A^{n} B^{n}}(X) & =0 \Longrightarrow A^{1-m p} X-X B^{1-m p} \\
& =\delta_{A B}(X) B^{-m p}=0=A^{-m p} \delta_{A B}(X),
\end{aligned}
$$

and hence if either of $A$ or $B^{*}$ is injective, then $\delta_{A B}(X)=0$. It is clear that if $\delta_{A B}(X)=0$, then

$$
\begin{equation*}
A^{r} X B^{n-r}-A^{n-r} X B^{r}=0 \tag{1}
\end{equation*}
$$

for all $0 \leq r \leq n$. The following theorem shows that if $\delta_{A^{m} B^{m}}(X)=0$ for some $X \in B(H)$, then a sufficient condition for $\delta_{A B}(X)=0$ is that $B$ has dense range and (1) holds for (any) two consecutive values of $r(1 \leq r<n)$.

Theorem 1. Let $A, B \in B(H)$ and suppose that either $A$ or $B^{*}$ is injective. If $\delta_{A^{m} B^{m}}(X)=0$ for some $X \in B(H)$, then a necessary and sufficient condition for $\delta_{A B}(X)=0$ is that (1) holds for some $r=r_{0}$ and $r=r_{0}+1$, $1 \leq r_{0}<n$.

Proof. Let us, for brevity's sake, set $\delta_{A^{m} B^{m}}(X)=T_{m}$ and $A^{r} X B^{n-r}-$ $A^{n-r} X B^{r}=S_{r}$. If $T_{1}=\delta_{A B}(X)=0$, then (upon letting $n=p m+s$ ) $S_{r}=$ $T_{n}=A^{s} T_{p m}+A^{s} X B^{p m}-X B^{p m+s}=0$ for all $0 \leq r \leq n$. Hence to prove the theorem it will suffice to show that if hypothesis (1) is satisfied for (any) two consecutive values of $r$, then $T_{n}=0$. The hypothesis ( $m, n$ ) = 1 implies that $m$ cannot divide both $r$ and $n-r$ for all $1 \leq r<n$. Also, since $T_{n}=0$ if either $m \mid r$ or $m \mid n-r$, we may assume that $m \nmid r$ and $m \nmid n-r$. Then $r \equiv b_{1}(\bmod m)$ and $n-r \equiv c_{1}(\bmod m)$ for some $b_{1}, c_{1} \in \mathcal{N}$ such that $b_{1}, c_{1}<m$. Suppose now that $B$ has dense range. (The proof for the case in which $A$ is injective is similar.) Then $T_{m}=0$ implies that $S_{r}=S_{b_{1}}=0$, and so $A^{b_{1}} X B^{c_{1}}=A^{c_{1}} X B^{b_{1}}$. We have two possibilities: either $b_{1}=c_{1}$ (i.e., $r \equiv n-r(\bmod m)$ or $b_{1} \neq c_{1}$. We consider these cases separately.

Case $b_{1}=c_{1}$. For a fixed $r\left(=r_{0}\right), 1 \leq r<n$, the hypotheses imply that $S_{r+1}=0$ also. If either $m \mid r+1$ or $m \mid n-r-1$, then $T_{n}=0$ and we are done. If, on the other hand, $m \nmid r+1$ and $m \nmid n-r-1$, then either $r+1 \equiv$ $n-r-1(\bmod m)$ or $r+1 \not \equiv n-r-1(\bmod m)$. Since $r+1 \equiv n-$ $r-1(\bmod m)$ implies that $2(r+1)-2 r=2 \equiv 0(\bmod m), m=2$ and $2 \mid(n-2 r)$. But then $2 \mid n$ and $(m, n)=2$. This contradiction implies that $r+1 \not \equiv n-r-1(\bmod m)$. Arguing as above, it then follows that there exist $b_{2}, c_{2} \in \mathcal{N}, b_{2} \neq c_{2}$, such that $A^{b_{2}} X B^{c_{2}}=A^{c_{2}} X B^{b_{2}}$. This reduces the proof to the case $b_{1} \neq c_{1}$, which we consider next.
Case $b_{1} \neq c_{1}$. We may assume that $b_{1}>c_{1}$. Let $b_{1}-c_{1}=t$ and let $m=c_{1}+d$ for some $d \in \mathcal{N}$. Then

$$
\begin{aligned}
A^{b_{1}} X B^{c_{1}}=A^{c_{1}} X B^{b_{1}} \Longrightarrow A^{b_{1}+d} X B^{c_{1}} & =A^{t} A^{m} X B^{c_{1}}=A^{t} X B^{m+c_{1}} \\
& =A^{c_{1}+d} X B^{b_{1}}=X B^{b_{1}+m}=X B^{t} B^{m+c_{1}} \\
& \Longrightarrow A^{t} X=X B^{t}
\end{aligned}
$$

(since $B$ has dense range). Now if ( $m, t$ ) $=1$, then $T_{t}=0=T_{m}$ implies that $T_{1}=\delta_{A B}(X)$, and hence also $T_{n}=0$. If, on the other hand, $(m, t) \neq 1$, then let $(m, t)=k$. There exist integers $p$ and $q$, with $p q<0$, such that $m p+q t=k$. Assume, for definiteness, that $p<0$; then

$$
A^{t q} X=X B^{t q} \Longrightarrow X B^{k-m p}=A^{k-m p} X=A^{k} X B^{-m p} \Longrightarrow A^{k} X=X B^{k}
$$

Thus, upon letting $t=k t_{1}$ and $m=k m_{1}, k \in \mathcal{N}$, and $\left(m_{1}, t_{1}\right)=1$, we have that

$$
X B^{k t_{1}}=X B^{t}=A^{t} X=A^{k t_{1}} X=A^{t_{1}} X B^{(k-1) t_{1}} \Longrightarrow A^{t_{1}} X=X B^{t_{1}}
$$

and

$$
X B^{k m_{1}}=X B^{m}=A^{m} X=A^{k m_{1}} X=A^{m_{1}} X B^{(k-1) m_{1}} \Longrightarrow A^{m_{1}} X=X B^{m_{1}}
$$

Consequently, $T_{1}$ and, hence, $T_{n}$ equal 0 .
Remarks. (1). Theorem 1 fails in the absence of the hypothesis that ( $m, n$ ) $=1$. To see this, let $\left\{e_{n}\right\}_{-\infty}^{\infty}$ be an orthonormal basis for $H$. Define $A \in B(H)$ by $A e_{2 n}=\frac{1}{2} e_{2 n+1}$ and $A e_{2 n+1}=2 e_{2 n+2}$. Then $A^{2}$ is unitary. Now choose $X \in B(H)$ to be the bilateral shift $X e_{n}=e_{n+1}$; then $A^{r} X A^{4-r}=$ $A^{4-r} X A^{r}$ for all $0 \leq r \leq 4$ but $\delta_{A}(X)=A X-X A \neq 0$.
(2). Let $\sigma_{\mathrm{jp}}(A)$ denote the joint point spectrum of $A$ (i.e., $\sigma_{\mathrm{ip}}(A)=$ $\left\{\lambda \in \sigma_{\mathrm{p}}(A):(A-\lambda) x=0 \Longleftrightarrow(A-\lambda)^{*} x=0\right\}$. A number of classes of operators, for example, those consisting of normal, subnormal, hyponormal, and dominant operators (see [8] for the definition of a dominant operator), have the property that $\sigma_{\mathrm{p}}(A)=\sigma_{\mathrm{jp}}(A)$ for operators $A$ in these classes. If $0 \notin \sigma_{\mathrm{p}}\left(B^{*}\right)$ (or $0 \notin \sigma_{p}(A)$ ) for operators $B^{*}$ (resp., $A$ ) belonging to one
of these classes, then $B$ has dense range (resp., $A$ is injective). If, on the other hand, $0 \in \sigma_{\mathrm{p}}(A)$ and $0 \in \sigma_{\mathrm{p}}\left(B^{*}\right)$, then $A$ and $B^{*}$ have decompositions $A=0 \oplus A_{1}$ and $B^{*}=0 \oplus B_{1}^{*}$ (where the operators $A_{1}$ and $B_{1}^{*}$ are injective). Letting $X$ have the corresponding matrix representation $X=\left[X_{i j}\right]_{i, j=1}^{2}$, it follows that if $T_{m}=0$, then $X=0 \oplus X_{22}$ and $\delta_{A_{1}^{m} B_{1}^{m}}\left(X_{22}\right)=0$. Similarly, if $S_{r}=0$ for two consecutive values of $r(1 \leq r<n)$, then $A_{1}^{r} X_{22} B_{1}^{n-r}-$ $A_{1}^{n-r} X_{22} B_{1}^{r}=0$ for two consecutive values of $r$. Consequently, $\delta_{A_{1} B_{1}}\left(X_{22}\right)$, and so also $T_{1}$, equal 0 . We note here that the hypothesis that $A$ and $B^{*}$ belong to a class for which $\sigma_{\mathrm{p}}()=.\sigma_{\mathrm{jp}}($.$) cannot be replaced by the$ hypothesis that $A$ and $B$ belong to the class (see [1,3.2 Remark(a)] for a counterexample).
(3). The hypothesis that $S_{r}=0$ for two consecutive values of $r$ (or even all $r, 1 \leq r<n$ ) is not sufficient to guarantee $\delta_{A B}(X)=0$. To see this, let $A$ be a pure quasi-normal operator, and let $B=A$ and $X=A^{*}$. Then, since $A A^{*} A=A^{*} A^{2}, A^{r} A^{*} A^{n-r}=A^{n-r} A^{*} A^{r}$ for all integers $n \geq 3$ and $1 \leq r<n$, but $\delta_{A^{m}}\left(A^{*}\right)=A^{m} A^{*}-A^{*} A^{m} \neq 0$ for any $m \in \mathcal{N}$.

## Commutators Modulo $\mathscr{C}_{p}$.

Let $\pi: B(H) \rightarrow B(H) \backslash \mathscr{H}$ denote the Calkin map. If $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{K}$ for all $X \in B(H)$, then $\delta_{A^{m} B^{n}}(X)=0=\delta_{A^{n} B^{n}}(X)$ (see [5, Example 1]), and it follows from Theorem 1 that $\delta_{A B}(X)=0$ for all $X \in B(H)$ whenever either of $A$ or $B^{*}$ is injective. More generally, if $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{K}$ for some $X \in B(H)$, and if either $\pi(A)$ or $\pi\left(B^{*}\right)$ is injective, then $\pi\left(\delta_{A B}(X)\right)=0$, and so $\delta_{A B}(X) \in \mathscr{K}$. The situation is not as straightforward in the case in which $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{C}_{p}$, even in the case in which $m=2$ and $n=3$ (see [6]). Here $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X)$ may belong to $\mathscr{C}_{p}$ without $\delta_{A B}(X)$ belonging to $\mathscr{C}_{p}$, as the following example shows. Let $\left\{e_{j}\right\}_{j=1}^{\infty}$ be an orthonormal basis for $H$. Define the operators $A, B$, and $X$ by

$$
\begin{equation*}
A e_{j}=2 j^{-2 /(3 p)} e_{j}, \quad B e_{j}=(j+1)^{-2 /(3 p)} e_{j}, \quad \text { and } \quad X e_{j}=e_{j+1} . \tag{2}
\end{equation*}
$$

Then $\delta_{A^{2} B^{2}}(X)$ and $\delta_{A^{3} B^{3}} \in \mathscr{C}_{p}$, but $\delta_{A B}(X) \notin \mathscr{C}_{p}$.
Let $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{C}_{p}$ for some $X \in B(H)$. We prove in the following that (i) if $A$ and $B^{*}$ are normal or subnormal operators, then there exists a $t \in \mathcal{N}$ such that $\delta_{A B}(X) \in \mathscr{C}_{2^{t h}}$, and (ii) if either $1-A^{*} A$ or $1-B^{*} B \in \mathscr{C}_{p}$, then $\delta_{A B}(X) \in \mathscr{C}_{p}$. (This generalizes Theorems 3 and 5 of [6] to the case $(m, n)=1$.) But before that we consider the case in which $m=2$ or 3 .

Theorem 2. Let $m=2$ or 3. If $\delta_{A^{m} B^{m}}(X)$ and $\delta_{A^{n} B^{n}}(X) \in \mathscr{C}_{p}$ for some $X \in B(H)$, then either $\delta_{A B}^{n}(X)$ or $\delta_{A B}^{n+3}(X) \in \mathscr{C} p$.

Here $\delta_{A B}^{n}(X)$ denotes $\delta_{A B}\left(\delta_{A B}^{n-1}(X)\right)$. The following lemmas will be required in the proof of the theorem. (Retaining the notation of the proof of Theorem 1, we henceforth let $T_{m}=\delta_{A^{m} B^{m}}(X)$ and $S_{r}=A^{r} X B^{n-r}-$ $A^{n-r} X B^{r}$.)
Lemma 3. If $T_{m} \in \mathscr{C}_{p}$ for some $X \in B(H)$, then $T_{s m} \in \mathscr{C}_{p}$ for all $s \in \mathcal{N}$.
Proof. We have

$$
\begin{aligned}
T_{s m}=A^{s m} X-X B^{s m}= & A^{(s-1) m} T_{m}+A^{(s-1) m} X B^{m}-X B^{s m} \\
= & A^{(s-1) m} T_{m}+A^{(s-2) m} T_{m} B^{m} \\
& +A^{(s-2) m} X B^{2 m}-X B^{s m} \\
& \cdots \\
= & A^{(s-1) m} T_{m}+A^{(s-2) m} T_{m} B^{m} \\
& +\cdots+A^{m} T_{m} B^{(s-2) m}+T_{m} B^{(s-1) m} \\
\in & \mathscr{C}_{p} .
\end{aligned}
$$

Lemma 4. Let $m=2$ or 3 and suppose that $T_{m} \in \mathscr{C}_{p}$ for some $X \in B(H)$.
(i) If $T_{n} \in \mathscr{C}_{p}$, then $S_{r} \in \mathscr{C}_{p}$ for all $0 \leq r \leq n$.
(ii) If $S_{r} \in \mathscr{C}_{p}$ for some $r=r_{0}$ and $r=r_{0}+1,1 \leq r_{0}<n$, then $T_{n} \in \mathscr{C}_{p}$.
Proof. (i) The hypothesis $m=2$ or 3 implies that either $n \equiv$ $1(\bmod m)$ or $n \equiv 2(\bmod m)$ (in which case $m$ is necessarily equal to 3 ). We consider these cases separately.

Case $n \equiv 1(\bmod m)$. In this case either $r \equiv 0(\bmod m)$ and $n-r \equiv$ $1(\bmod m)$ or $r \equiv 1(\bmod m)$ and $n-r \equiv 0(\bmod m)$ or $r \equiv 2(\bmod m)$ and $n-r \equiv 2(\bmod m)$. Notice that

$$
\begin{aligned}
S_{r} & =T_{r} B^{n-r}-T_{n}+A^{n-r} T_{r} \\
& =-A^{r} T_{n-r}+T_{n}-T_{n-r} B^{r} ;
\end{aligned}
$$

hence if either $r \equiv 0(\bmod m)$ or $n-r \equiv 0(\bmod m)$, then $S_{r} \in \mathscr{C}_{p}($ by Lemma 3). If $r \equiv 2(\bmod m)$, then $\left(m=3\right.$ and) $S_{r}=-A^{r} T_{n-r-2} B^{2}+$ $A^{n-r} T_{r-2} B^{2} \in \mathscr{C}_{p}$ (since $n-r \equiv 2(\bmod m)$ ).

Case $n \equiv 2(\bmod m)$. Once again either $r \equiv 0(\bmod m)$ and $n-r \equiv$ $2(\bmod m)$ or $r \equiv 1(\bmod m)$ and $n-r \equiv 1(\bmod m)$ or $r \equiv 2(\bmod m)$ and $n-r \equiv 0(\bmod m)$. The argument above shows that $S_{r} \in \mathscr{C}_{p}$ if either $r \equiv 0(\bmod m)$ or $n-r \equiv 0(\bmod m)$. Let $r \equiv 1(\bmod m)$; then $n-r-1 \equiv$ $0(\bmod m)$ and

$$
S_{r}=A^{r} T_{n-r-1} B+A T_{n-2} B+A T_{n-r-1} B^{r} \in \mathscr{C}_{p} .
$$

(ii) The proof here is similar to that of part (i), except for the case in which $n \equiv 1(\bmod m), r \equiv 2(\bmod m)$ and $n-r \equiv 2(\bmod m)$, where we require the hypothesis that $S_{r_{0}+1} \in \mathscr{C}_{p}$ (along with the hypothesis that $\left.S_{r_{0}} \in \mathscr{C}_{p}\right)$. Recall that $m=3$ in this case, and so $r_{0} \equiv 2(\bmod m)$ implies that $r_{0}+1 \equiv 0(\bmod m)$ and $n-r_{0}-1 \equiv 1(\bmod m)$. Applying Lemma 3 to

$$
T_{n}=A^{n-r_{0}-1} T_{r_{0}+1}-S_{r_{0}+1}+T_{r_{0}+1} B^{n-r_{0}-1},
$$

$T_{n} \in \mathscr{C}_{p}$ follows.
Proof of Theorem 2. Assume initially that $n=2 t+1$ for some $t \in \mathcal{N}$. Then

$$
\begin{aligned}
\delta_{A B}^{n}(X) & =\sum_{r=0}^{n}(-1)^{r}\binom{n}{r} A^{n-r} X B^{r} \\
& =T_{n}-\sum_{r=1}^{t}\binom{n}{r} S_{n-r},
\end{aligned}
$$

where each $S_{n-r} \in \mathscr{C}_{p}$ (by Lemma 4). Hence $\delta_{A B}^{n}(X) \in \mathscr{C}_{p}$ in this case. Now if $n$ is even, then ( $m=3$ and) $n+m$ is odd with $(m, n+m)=1$. Since

$$
\delta_{A B}^{n+m}(X)=T_{n+m}-\sum_{r=1}^{(n+m-1) / 2}\binom{n+m}{r}\left\{A^{n+m-r} X B^{r}-A^{r} X B^{n+m-r}\right\},
$$

and since

$$
A^{n+m-r} X B^{r}-A^{r} X B^{n+m-r}=A^{m} S_{n-r}+A^{r} T_{m} B^{n-r} \in \mathscr{C}_{p},
$$

$\delta_{A B}^{n+m}(X) \in \mathscr{C}_{p}$. This completes the proof.
Remark 4. For general co-prime $m, n \in \mathcal{N}, T_{m}$ and $T_{n} \in \mathscr{C}_{p}$ implies that $T_{m+n} \in \mathscr{C}_{p}$. Let $n_{0}=n$ if $n$ is odd and $n_{0}=m+n$ if $n$ is even. Then ( $m, n_{0}$ ) $=1$ and

$$
\pi\left(\delta_{A B}^{n_{0}}(X)-T_{n_{0}}\right)=\pi\left(\sum_{r=1}^{t}(-1)\binom{n_{0}}{r}\left\{A^{n_{0}-r} X B^{r}-A^{r} X B^{n_{0}-r}\right\}\right)=0
$$

where $t=\left[n_{0} / 2\right]$ denotes the largest integer less than $n_{0} / 2$. This implies the existence of a compact operator $K$ such that

$$
\delta_{A B}^{n_{0}}(X)+K \in \mathscr{C}_{p} .
$$

Assume now that $A$ and $B^{*}$ are normal (or subnormal) operators. Applying [8, Lemma 1] (resp., [8, Corollary 1]), it then follows that $\pi\left(\delta_{A B}(X)\right)\left(=\pi\left(\delta_{A^{*} B^{*}}(X)\right)=0\right.$. In particular, $\delta_{A B}(X)$ is a compact operator. Does $\delta_{A B}(X) \in \mathscr{C}_{p}$ ? The answer to this question is negative, as follows from a consideration of the operators $A, B$, and $X$ of (2).

We prove next the first of our promised results.

Theorem 5. If $A, B^{*}$ are normal or subnormal operators, and if $T_{m}$ and $T_{n} \in \mathscr{C}_{p}$ for some $X \in B(H)$, then there exists a $t \in \mathcal{N}$ such that $\delta_{A B}(X) \in$ $\mathscr{C}_{2^{m p}}$.

We note here that the choice of $t$ depends upon the number $s$ such that $n \equiv s(\bmod m)$. If $s=1$, then $t=1$ and $\delta_{A B}(X) \in \mathscr{C}_{2^{n}}$. The proof of the theorem (is an extension of the argument used by Kittaneh to prove [6, Theorem 3] and) depends on the following result of Weiss [10, p. 114].

Lemma 6. If $N$ and $X \in B(H), N$ is normal, and $\mathscr{F}$ is any two-sided ideal of $B(H)$, then $N X \in \mathscr{F}(X N \in \mathscr{F})$ implies $N^{*} X \in \mathscr{F}\left(\right.$ resp., $\left.X N^{*} \in \mathscr{F}\right)$.

Proof of Theorem 5. If $A$ and $B^{*}$ are subnormal, then let $\widetilde{A}$ and $\widetilde{B}^{*}$ denote their minimal normal extensions on $\mathscr{H} \supset H$, say. Let $\widetilde{X}=X \oplus 0$ on $H \oplus(\mathscr{H} \ominus H)$. Then $\delta_{\widetilde{A^{m}} \widetilde{B^{n}}}(\widetilde{X})$ and $\delta_{\widetilde{A^{n}} \widetilde{B^{n}}}(\widetilde{X}) \in \mathscr{C}_{p}(\mathscr{H})$. Consequently it will suffice to consider the case of normal $A$ and $B$.

Let $n \equiv s(\bmod m)$. Then there exists a $t \in \mathcal{N}$ such that $t<m, s t \equiv$ $1(\bmod m)$ and $(m, t n)=1$. By Lemma $4, T_{t n} \in \mathscr{C}_{p}$ and (since $t n \equiv$ $1(\bmod m)) T_{t n-1} \in \mathscr{C}_{p}$. Hence

$$
A^{t n-1} T_{1}=T_{t n}-T_{t n-1} B \in \mathscr{C}_{p}
$$

and

$$
T_{1} B^{t n-1}=T_{t n}-A T_{t n-1} \in \mathscr{C}_{p}
$$

The operator $A$ being normal, Lemma 6 applied to $A A^{t n-2} T_{1} \in \mathscr{C}_{p}$ implies that $A^{*} A^{t n-2} T_{1} \in \mathscr{C}_{p}$. This implies that $T_{1}^{*} A^{* t n-2} A^{t n-2} T_{1} \in \mathscr{C}_{p}$, and hence that $A^{t n-2} T_{1} \in \mathscr{C}_{2 p}$. Repeating this argument another $t n-3$ times, it now follows that $A T_{1} \in \mathscr{C}_{2^{n-2}}$. A similar argument applied to $T_{1} B^{t n-1} \in \mathscr{C}_{p}$ implies that $T_{B} \in \mathscr{C}_{2^{m-2} p}$. Consider now

$$
T_{1} T_{1}^{*} T_{1}=T_{1}\left(X^{*} A^{*}-B^{*} X^{*}\right) T_{1}=T_{1} X^{*}\left(A^{*} T_{1}\right)-\left(T_{1} B^{*}\right) X^{*} T_{1} .
$$

Since $A T_{1}$ and $T_{1} B \in \mathscr{C}_{2^{m-2}} p, A^{*} T_{1}$ and $T_{1} B^{*} \in \mathscr{C}_{2^{m-2}} p$. Hence $\left(T_{1}^{*} T_{1}\right)^{2} \in$ $\mathscr{C}_{2^{m-2} p}$, and so $T_{1}^{*} T_{1} \in \mathscr{C}_{2^{m-1}} p$. This implies that $T_{1} \in \mathscr{C}_{2^{m}}$, and the proof is complete.

Remark 5. If $m=2$ in Theorem 5, then $n \equiv 1(\bmod m)$, and it follows that $\delta_{A B}(X) \in \mathscr{C}_{2^{n}}$; if $m=3$, then $\delta_{A B}(X) \in \mathscr{C}_{2^{n} p}$ in the case in which $n \equiv 1(\bmod m)$ and $\delta_{A B}(X) \in \mathscr{C}_{2^{2 n} p}$ in the case in which $n \equiv 2(\bmod m)$. The values $2^{n} p$ (or $2^{2 n} p$ ) are not the best possible; this follows from the example of operators $A, B$, and $X$ defined in (2).

As seen in the proof of Theorem 5, if $T_{m}$ and $T_{n} \in \mathscr{C}_{p}$ for some $X \in$ $B(H)$, then there exists a $t \in \mathcal{N}$ such that $A^{t n-1} T_{1}$ and $T_{1} B^{t n-1} \in \mathscr{C}_{p}$. This implies that if either $A$ is left-invertible or $B$ is right-invertible, then $T_{1} \in$ $\mathscr{C}_{p}$. Recall that the operator $A$ is said to be left-Fredholm (right-Fredholm) if $A$ is left-invertible (resp., right-invertible) in the Calkin algebra $B(H) \backslash \mathscr{K}$. Let $A$ be a semi-Fredholm operator (i.e., $A$ is either left- or right-Fredhom) with Fredholm index, ind $A, \leq 0$. Then there exists a finite rank operator $F$ such that $A+F$ is injective with ind $(A+F)=\operatorname{ind} A$ (see [2; p. 366, Proposition 3.21]). Set $A+F=C$; then $C$ is bounded below and hence is left-invertible. Suppose further that $T_{m}$ and $T_{n} \in \mathscr{C}_{p}$ for some operator $X$. Then $\delta_{C^{m} B^{n}}(X)$ and $\delta_{C^{n} B^{n}}(X) \in \mathscr{C}_{p}$, and hence there exists a $t \in \mathcal{N}$ such that $C^{t n-1} \delta_{C B}(X) \in \mathscr{C}_{p}$. The operator $C$ being left-invertible, $\delta_{C B}(X) \in \mathscr{C}_{p}$. Since $T_{1}=\delta_{C B}(X)-F X$, we conclude that $T_{1} \in \mathscr{C}_{p}$.

Corollary 7. If $T_{m}$ and $T_{n} \in \mathscr{C}_{p}$ for some $X \in B(H)$, and $A($ or $B)$ is semi-Fredholm with ind $A \leq 0$ (resp., ind $B \geq 0$ ), then $T_{1} \in \mathscr{C}_{p}$.

Proof. $\quad B^{*}$ is semi-Fredholm with ind $B^{*} \leq 0$. Since $\delta_{A B}(X) B^{\text {tn-1 }} \in \mathscr{C}_{p}$, the proof follows as above.

Remark 6. If ind $A=0$ in Corollary 7, then the operator $C$ is invertible. Corollary 7 is proved in [6, Theorem 6] for the case in which $A=B, p=2$, $m=2$, and $n=3$.

Let $A \in B(H)$ be such that $1-A^{*} A \in \mathscr{C}_{p}$. Then $\pi(A)$ is an isometry $V$, and there exists an operator $K \in \mathscr{C}_{p}$ such that $A=V+K$ (see [7, p. 70]; see also [4, Theorem (6.2)]). Here we may take the isometry $V$ to be a unitary in the case where $\sigma(A)$ does not contain the open unit disc.

Theorem 8. Let $A, B \in B(H)$ be such that either $1-A^{*} A$ or $1-B^{*} B \in$ $\mathscr{C}_{p}$. If $T_{m}$ and $T_{n} \in \mathscr{C}_{p}$ for some $X \in B(H)$, then $T_{1} \in \mathscr{C}_{p}$.

Proof. We consider the case in which $1-A^{*} A \in \mathscr{C}_{p}$; the other case is similarly dealt with. Letting $A=V+K$, where $V$ is an isometry and $K \in$ $\mathscr{C}_{p}$, it follows from the hypotheses that both $\delta_{V^{m} B^{m}}$ and $\delta_{V^{n} B^{n}} \in \mathscr{C}_{p}$. Arguing as in the proof of Theorem 5, it follows that there exists a $t \in \mathcal{N}$ such that $V^{t n-1} \delta_{V B}(X) \in \mathscr{C}_{p}$. Since $\delta_{V B}(X)=V^{* t n-1} V^{t n-1} \delta_{V B}(X), \delta_{V B}(X) \in$ $\mathscr{C}_{p}$. Hence, since $\delta_{A B}(X)=(V+K) X-X B=(V X-X B)+K X$ and $K X \in \mathscr{C}_{p}, T_{1}=\delta_{A B}(X) \in \mathscr{C}_{p}$.

## The Elementary Operator $X \rightarrow A X B-X$

Given $A$ and $B \in B(H)$, the elementary operator $\Delta_{A B}: B(H) \rightarrow B(H)$ is defined by $\Delta_{A B}(X)=A X B-X$. We close this note with a remark about the analogues of Theorems 1 and 5 for the operator $\Delta_{A B}(X)$. It turns out
that these analogues are trivial, and the results correspondingly uninteresting. It is easily seen that if $\Delta_{A^{m} B^{m}}(X)=0$ for some $X \in B(H)$, then $\Delta_{A^{s m} B^{s m}}(X)=0$ for all $s \in \mathcal{N}$. Also, if $\Delta_{A^{m} B^{m}}(X)=0=\Delta_{A^{n} B^{n}}(X)$ for some $X \in B(H)$, then $\Delta_{A B}(X)=0$ and $A^{n-r} X B^{n-r}-A^{r} X B^{r}=0$ for all $0 \leq r \leq n$ (without any additional hypotheses on $A$ and $B$ ). Conversely, if $\Delta_{A^{m} B^{m}}(X)=0$ for some $X \in B(H)$ and if $A^{n-r} X B^{n-r}-A^{r} X B^{r}=0$ holds for (any) two consecutive values of $r, 1 \leq r<n$, then $\Delta_{A B}(X)=0$ (once again, no additional hypotheses on $A$ and $B$ ). This is seen as follows.

Choose an $r, 1 \leq r<n$, and suppose that $A^{n-r} X B^{n-r}-A^{r} X B^{r}=0=$ $A^{n-r-1} X B^{n-r-1}-A^{r+1} X B^{r+1}=0$. Then $A^{r+2} X B^{r+2}=A^{r} X B^{r}$. Let $r \equiv$ $s(\bmod m)$; then there exists a $t \in \mathcal{N}$ such that $t r(\equiv t s) \equiv 1(\bmod m)$, $A^{t r+2} X B^{t r+2}=A^{t r} X B^{t r}$, and so $A^{3} X B^{3}=A X B$. Now if $m \equiv 0(\bmod 3)$ or $m \equiv 2(\bmod 3)$, then $X=A^{m} X B^{m}=A X B$. If, on the other hand, $m \equiv 1(\bmod 3)$, then $X=A\left(A^{m-1} X B^{m-1}\right) B=A^{2} X B^{2}$. This implies that if $m$ is odd, then $X=A\left(A^{m-1} X B^{m-1}\right) B=A X B$, and we are left with the case $m$ is even to consider. Now if $m$ is even, then $(m, n)=1$ implies $(2, n)=1, n \equiv 1(\bmod 2)$, and either $r \equiv 0(\bmod 2)$ and $n-r \equiv$ $1(\bmod 2)$ or $r \equiv 1(\bmod 2)$ and $n-r \equiv 0(\bmod 2)$. In either case, $A^{2} X B^{2}=X$ and $A^{n-r} X B^{n-r}=A^{r} X B^{r}$ together imply that $A X B-X=0$.
Suppose now that $\Delta_{A^{m} B^{m}}(X)$ and $\Delta_{A^{n} B^{n}}(X) \in \mathscr{C}_{p}$ for some $X \in B(H)$. Then there exists a $t \in \mathcal{N}$ such that $t n \equiv 1(\bmod m)$ and both $\Delta_{A^{m-1} B^{m-1}}(X)$ and $\Delta_{A^{m} B^{m}} \in \mathscr{C}_{p}$ (see the proof of Theorem 5). Hence

$$
\Delta_{A B}(X)=\Delta_{A^{m} B^{m}}(X)-A\left(\Delta_{A^{m-1} B^{m n-1}}(X)\right) B \in \mathscr{C}_{p}
$$

(with no additional hypotheses on $A$ and $B$ ).

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