# The Commutant Modulo $C_p$ of Co-prime Powers of Operators on a Hilbert Space

## B. P. Duggal

Department of Mathematics, Faculty of Science, University of Botswana, P/Bag 0022, Gaborone, Botswana E-mail: duggbp@mopipi.ub.bw

Submitted by Paul S. Muhly

Received May 1, 2000

Let *H* be a separable infinite-dimensional complex Hilbert space and let  $A, B \in B(H)$ , where B(H) is the algebra of operators on *H* into itself. Let  $\delta_{A,B}: B(H) \to B(H)$  denote the generalized derivation  $\delta_{AB}(X) = AX - XB$ . This note considers the relationship between the commutant of an operator and the commutant of coprime powers of the operator. Let m, n be some co-prime natural numbers and let  $\mathscr{C}_p$  denote the Schatten p-class,  $1 \leq p < \infty$ . We prove (i) If  $\delta_{A^mB^m}(X) = 0$  for some  $X \in B(H)$  and if either of *A* and  $B^*$  is injective, then a necessary and sufficient condition for  $\delta_{AB}(X) = 0$  is that  $A^r XB^{n-r} - A^{n-r}XB^r = 0$  for (any) two consecutive values of  $r, 1 \leq r < n$ . (ii) If  $\delta_{A^mB^m}(X)$  and  $\delta_{A^nB^n}(X) \in \mathscr{C}_p$  for some  $X \in B(H)$ , and if m = 2 or 3, then either  $\delta_{AB}^n(X)$  or  $\delta_{AB}^{n+3}(X) \in \mathscr{C}_p$ ; for general *m* and *n*, if *A* and  $B^*$  are normal or subnormal, then there exists a natural number *t* such that  $\delta_{AB}(X) \in \mathscr{C}_{2^m p}$ . (iii) If  $\delta_{A^mB^m}(X)$  and  $\delta_{A^nB^n}(X) \in \mathscr{C}_p$  for some  $X \in B(H)$ , and if either *A* is semi-Fredholm with ind  $A \leq 0$  or  $1 - A^*A \in \mathscr{C}_p$ , then  $\delta_{AB}(X) \in \mathscr{C}_p$ .

#### 1. INTRODUCTION

Let *H* be a separable infinite-dimensional complex Hilbert space and let *B*(*H*) denote the algebra of operators (= bounded linear transformations) on *H*. Let *A*, *B*  $\in$  *B*(*H*) and let  $\delta_{AB}$ : *B*(*H*)  $\rightarrow$  *B*(*H*) denote the generalized derivation  $\delta_{AB}(X) = AX - XB$ . Then *X* is in the commutant of *A* and *B* if and only if  $\delta_{AB}(X) = 0$ . Let *m*, *n* be (relatively) co-prime natural numbers, denoted (*m*, *n*) = 1, with 1 < m < n, and suppose that  $\delta_{A^mB^m}(X) = 0 = \delta_{A^nB^n}(X)$  for some  $X \in B(H)$ . Then  $\delta_{A^{imn+n}B^{imn+n}}(X) = 0$ for all t = 1, 2, ... and either m + n or 2m + n is an odd natural number.



Suppose, for definiteness, that m + n is odd. Then

$$\delta_{AB}^{m+n}(X) = \delta_{AB}(\delta_{AB}^{m+n-1}(X)) = \sum_{r=0}^{m+n} (-1)^r \binom{m+n}{r} A^{m+n-r} X B^r$$
$$= (A^{m+n}X - XB^{m+n}) - \sum_{r=1}^{(m+n-1)/2} \binom{m+n}{r}$$
$$\times \{A^{m+n-r} X B^r - A^r X B^{m+n-r}\},$$

and the simplest way for  $\delta_{AB}^{m+n}(X)$  to be equal to 0 is that

$$A^{m+n-r}XB^r - A^rXB^{m+n-r} = 0$$

for all  $1 \le r \le \frac{m+n-1}{2}$ . Assuming now that  $\delta_{AB}^{m+n}(X) = 0$ , and that A and B are normal, it follows from [8, Lemma 1] that  $\delta_{AB}(X) = 0$ , i.e., X is in the commutant of A and B.

Relationships between the commutant of an operator A and the commutant of the powers of the operator have been investigated by a number of authors, among them Al-Moajil [1], Embry [3], and Kittaneh [6]. Al-Moajil [1] has shown that if A is a normal operator such that  $\delta_{A^2}(X)(=$  $A^{2}X - XA^{2} = 0 = \delta_{A^{3}}(X)$  for some  $X \in B(H)$ , then  $\delta_{A}(X) = 0$ . This result was extended to subnormal operators A and  $B^{*}$  for which  $\delta_{A^{2}B^{2}}(X) =$  $0 = \delta_{A^3 R^3}(X)$  for some  $X \in B(H)$  by Kittaneh [6], who also considered commutants modulo  $\mathscr{C}_p(=\mathscr{C}_p(H))$ , the Schatten *p*-class, of  $A^2$  and  $A^3$ . This note considers the relationship between the commutant (including commutant modulo  $\mathcal{C}_p$ ) of an operator and the commutant of co-prime powers of the operator. Thus, let m, n be co-prime natural numbers. It is proved that (i) If  $\delta_{A^m B^m}(X) = 0$  for some  $X \in B(H)$  and if either of A and  $B^*$  is injective, then a necessary and sufficient condition for  $\delta_{AB}(X) = 0$  is that  $A^r X B^{n-r} - A^{n-r} X B^r = 0$  for (any) two consecutive values of  $r, 1 \le r < n$ . (ii) If  $\delta_{A^m B^m}(X)$  and  $\delta_{A^n B^n}(X) \in \mathscr{C}_p$  for some  $X \in B(H)$  and if m = 2 or 3, then either  $\delta_{AB}^n(X)$  or  $\delta_{AB}^{n+3}(X) \in \mathscr{C}_p$ ; for general (m, n) = 1, if A and  $B^*$  are normal or subnormal, then there exists a natural number t such that  $\delta_{AB}(X) \in \mathcal{C}_{2^m p}$ . We prove also that if  $\delta_{A^m B^m}(X)$ and  $\delta_{A^n B^n}(X) \in \mathcal{C}_p$  for some  $X \in B(H)$ , and if either A is semi-Fredholm with ind  $A \leq 0$  or  $1 - A^*A \in \mathcal{C}_p$ , then  $\delta_{AB}(X) \in \mathcal{C}_p$ .

In the following we shall denote the set of natural numbers by  $\mathcal{N}$ . The spectrum and the point spectrum of an operator A will be denoted by  $\sigma(A)$  and  $\sigma_p(A)$ , respectively. Most of the other notation that we employ in the following is standard and is usually explained at the first instance of occurrence.

#### 2. RESULTS

We assume in the following that m, n are co-prime natural numbers with 1 < m < n. Although it will not always be required (as, for example, in Theorem 1), we assume in the following that our Hilbert space H is separable. We shall denote the ideal of compact operators by  $\mathcal{K} (= \mathcal{K}(H))$ ; thus when we discuss the ideals  $\mathcal{C}_p$  it will be assumed that  $1 \le p < \infty$ . Recall that a Banach space operator T has dense range if and only if  $T^*$  is injective, and that if  $T^*$  has dense range then T is injective [9, pp. 94–96].

Let  $A, B \in B(H)$  and suppose that  $\delta_{A^m B^m}(X) = 0 = \delta_{A^n B^n}(X)$  for some  $X \in B(H)$ . Then  $\delta_{A^{im}B^{im}}(X) = 0$  for all  $t \in \mathcal{N}$ . Also, since (m, n) = 1, there exist integers p and q, with pq < 0, such that pm + qn = 1. Suppose for definiteness that p < 0; then

$$\delta_{A^n B^n}(X) = 0 \Longrightarrow A^{1-mp} X - X B^{1-mp}$$
$$= \delta_{AB}(X) B^{-mp} = 0 = A^{-mp} \delta_{AB}(X),$$

and hence if either of A or  $B^*$  is injective, then  $\delta_{AB}(X) = 0$ . It is clear that if  $\delta_{AB}(X) = 0$ , then

$$A^{r}XB^{n-r} - A^{n-r}XB^{r} = 0 (1)$$

for all  $0 \le r \le n$ . The following theorem shows that if  $\delta_{A^m B^m}(X) = 0$  for some  $X \in B(H)$ , then a sufficient condition for  $\delta_{AB}(X) = 0$  is that B has dense range and (1) holds for (any) two consecutive values of  $r \ (1 \le r < n)$ .

THEOREM 1. Let  $A, B \in B(H)$  and suppose that either A or  $B^*$  is injective. If  $\delta_{A^m B^m}(X) = 0$  for some  $X \in B(H)$ , then a necessary and sufficient condition for  $\delta_{AB}(X) = 0$  is that (1) holds for some  $r = r_0$  and  $r = r_0 + 1$ ,  $1 \le r_0 < n$ .

*Proof.* Let us, for brevity's sake, set  $\delta_{A^m B^m}(X) = T_m$  and  $A^r X B^{n-r} - A^{n-r} X B^r = S_r$ . If  $T_1 = \delta_{AB}(X) = 0$ , then (upon letting n = pm + s)  $S_r = T_n = A^s T_{pm} + A^s X B^{pm} - X B^{pm+s} = 0$  for all  $0 \le r \le n$ . Hence to prove the theorem it will suffice to show that if hypothesis (1) is satisfied for (any) two consecutive values of r, then  $T_n = 0$ . The hypothesis (m, n) = 1 implies that m cannot divide both r and n - r for all  $1 \le r < n$ . Also, since  $T_n = 0$  if either m|r or m|n - r, we may assume that  $m \not|r$  and  $m \not|n - r$ . Then  $r \equiv b_1 \pmod{m}$  and  $n - r \equiv c_1 \pmod{m}$  for some  $b_1, c_1 \in \mathcal{N}$  such that  $b_1, c_1 < m$ . Suppose now that B has dense range. (The proof for the case in which A is injective is similar.) Then  $T_m = 0$  implies that  $S_r = S_{b_1} = 0$ , and so  $A^{b_1}XB^{c_1} = A^{c_1}XB^{b_1}$ . We have two possibilities: either  $b_1 = c_1$  (i.e.,  $r \equiv n - r \pmod{m}$  or  $b_1 \neq c_1$ . We consider these cases separately.

*Case*  $b_1 = c_1$ . For a fixed  $r(=r_0)$ ,  $1 \le r < n$ , the hypotheses imply that  $S_{r+1} = 0$  also. If either m|r+1 or m|n-r-1, then  $T_n = 0$  and we are done. If, on the other hand,  $m \not|r+1$  and  $m \not|n-r-1$ , then either  $r+1 \equiv n-r-1 \pmod{m}$  or  $r+1 \not\equiv n-r-1 \pmod{m}$ . Since  $r+1 \equiv n-r-1 \pmod{m}$  implies that  $2(r+1) - 2r = 2 \equiv 0 \pmod{m}$ , m = 2 and 2|(n-2r). But then 2|n and (m, n) = 2. This contradiction implies that  $r+1 \not\equiv n-r-1 \pmod{m}$ . Arguing as above, it then follows that there exist  $b_2, c_2 \in \mathcal{N}, b_2 \neq c_2$ , such that  $A^{b_2}XB^{c_2} = A^{c_2}XB^{b_2}$ . This reduces the proof to the case  $b_1 \neq c_1$ , which we consider next.

Case  $b_1 \neq c_1$ . We may assume that  $b_1 > c_1$ . Let  $b_1 - c_1 = t$  and let  $m = c_1 + d$  for some  $d \in \mathcal{N}$ . Then

$$A^{b_1}XB^{c_1} = A^{c_1}XB^{b_1} \Longrightarrow A^{b_1+d}XB^{c_1} = A^tA^mXB^{c_1} = A^tXB^{m+c_1}$$
$$= A^{c_1+d}XB^{b_1} = XB^{b_1+m} = XB^tB^{m+c_1}$$
$$\Longrightarrow A^tX = XB^t$$

(since *B* has dense range). Now if (m, t) = 1, then  $T_t = 0 = T_m$  implies that  $T_1 = \delta_{AB}(X)$ , and hence also  $T_n = 0$ . If, on the other hand,  $(m, t) \neq 1$ , then let (m, t) = k. There exist integers *p* and *q*, with pq < 0, such that mp + qt = k. Assume, for definiteness, that p < 0; then

$$A^{tq}X = XB^{tq} \Longrightarrow XB^{k-mp} = A^{k-mp}X = A^kXB^{-mp} \Longrightarrow A^kX = XB^k.$$

Thus, upon letting  $t = kt_1$  and  $m = km_1$ ,  $k \in \mathcal{N}$ , and  $(m_1, t_1) = 1$ , we have that

$$XB^{kt_1} = XB^t = A^t X = A^{kt_1} X = A^{t_1} XB^{(k-1)t_1} \Longrightarrow A^{t_1} X = XB^{t_1}$$

and

$$XB^{km_1} = XB^m = A^m X = A^{km_1} X = A^{m_1} XB^{(k-1)m_1} \Longrightarrow A^{m_1} X = XB^{m_1}.$$

Consequently,  $T_1$  and, hence,  $T_n$  equal 0.

*Remarks.* (1). Theorem 1 fails in the absence of the hypothesis that (m, n) = 1. To see this, let  $\{e_n\}_{-\infty}^{\infty}$  be an orthonormal basis for H. Define  $A \in B(H)$  by  $Ae_{2n} = \frac{1}{2}e_{2n+1}$  and  $Ae_{2n+1} = 2e_{2n+2}$ . Then  $A^2$  is unitary. Now choose  $X \in B(H)$  to be the bilateral shift  $Xe_n = e_{n+1}$ ; then  $A^r X A^{4-r} = A^{4-r} X A^r$  for all  $0 \le r \le 4$  but  $\delta_A(X) = AX - XA \ne 0$ .

(2). Let  $\sigma_{jp}(A)$  denote the joint point spectrum of A (i.e.,  $\sigma_{jp}(A) = \{\lambda \in \sigma_p(A) : (A - \lambda)x = 0 \iff (A - \lambda)^*x = 0\}$ . A number of classes of operators, for example, those consisting of normal, subnormal, hyponormal, and dominant operators (see [8] for the definition of a dominant operator), have the property that  $\sigma_p(A) = \sigma_{jp}(A)$  for operators A in these classes. If  $0 \notin \sigma_p(B^*)$  (or  $0 \notin \sigma_p(A)$ ) for operators  $B^*$  (resp., A) belonging to one

of these classes, then *B* has dense range (resp., *A* is injective). If, on the other hand,  $0 \in \sigma_p(A)$  and  $0 \in \sigma_p(B^*)$ , then *A* and *B*<sup>\*</sup> have decompositions  $A = 0 \oplus A_1$  and  $B^* = 0 \oplus B_1^*$  (where the operators  $A_1$  and  $B_1^*$  are injective). Letting *X* have the corresponding matrix representation  $X = [X_{ij}]_{i, j=1}^2$ , it follows that if  $T_m = 0$ , then  $X = 0 \oplus X_{22}$  and  $\delta_{A_1^m B_1^m}(X_{22}) = 0$ . Similarly, if  $S_r = 0$  for two consecutive values of r ( $1 \le r < n$ ), then  $A_1^r X_{22} B_1^{n-r} - A_1^{n-r} X_{22} B_1^r = 0$  for two consecutive values of r. Consequently,  $\delta_{A_1B_1}(X_{22})$ , and so also  $T_1$ , equal 0. We note here that the hypothesis that *A* and  $B^*$  belong to a class for which  $\sigma_p(.) = \sigma_{jp}(.)$  cannot be replaced by the hypothesis that *A* and *B* belong to the class (see [1, 3.2 Remark(a)] for a counterexample).

(3). The hypothesis that  $S_r = 0$  for two consecutive values of r (or even all  $r, 1 \le r < n$ ) is not sufficient to guarantee  $\delta_{AB}(X) = 0$ . To see this, let A be a pure quasi-normal operator, and let B = A and  $X = A^*$ . Then, since  $AA^*A = A^*A^2$ ,  $A^rA^*A^{n-r} = A^{n-r}A^*A^r$  for all integers  $n \ge 3$  and  $1 \le r < n$ , but  $\delta_{A^m}(A^*) = A^mA^* - A^*A^m \ne 0$  for any  $m \in \mathcal{N}$ .

# Commutators Modulo $\mathcal{C}_p$ .

Let  $\pi: B(H) \to B(H) \setminus \mathcal{H}$  denote the Calkin map. If  $\delta_{A^m B^m}(X)$  and  $\delta_{A^n B^n}(X) \in \mathcal{H}$  for all  $X \in B(H)$ , then  $\delta_{A^m B^m}(X) = 0 = \delta_{A^n B^n}(X)$  (see [5, Example 1]), and it follows from Theorem 1 that  $\delta_{AB}(X) = 0$  for all  $X \in B(H)$  whenever either of A or  $B^*$  is injective. More generally, if  $\delta_{A^m B^m}(X)$  and  $\delta_{A^n B^n}(X) \in \mathcal{H}$  for some  $X \in B(H)$ , and if either  $\pi(A)$  or  $\pi(B^*)$  is injective, then  $\pi(\delta_{AB}(X)) = 0$ , and so  $\delta_{AB}(X) \in \mathcal{H}$ . The situation is not as straightforward in the case in which  $\delta_{A^m B^m}(X)$  and  $\delta_{A^n B^n}(X) \in \mathcal{C}_p$ , even in the case in which m = 2 and n = 3 (see [6]). Here  $\delta_{A^m B^m}(X)$  and  $\delta_{A^n B^n}(X)$  and  $\delta_{A^n B^n}(X)$  belonging to  $\mathcal{C}_p$ , as the following example shows. Let  $\{e_j\}_{j=1}^{\infty}$  be an orthonormal basis for H. Define the operators A, B, and X by

$$Ae_j = 2j^{-2/(3p)}e_j, \quad Be_j = (j+1)^{-2/(3p)}e_j, \quad \text{and} \quad Xe_j = e_{j+1}.$$
 (2)

Then  $\delta_{A^2B^2}(X)$  and  $\delta_{A^3B^3} \in \mathcal{C}_p$ , but  $\delta_{AB}(X) \notin \mathcal{C}_p$ .

Let  $\delta_{A^m B^m}(X)$  and  $\delta_{A^n B^n}(X) \in \mathcal{C}_p$  for some  $X \in B(H)$ . We prove in the following that (i) if A and  $B^*$  are normal or subnormal operators, then there exists a  $t \in \mathcal{N}$  such that  $\delta_{AB}(X) \in \mathcal{C}_{2^m p}$ , and (ii) if either  $1 - A^*A$  or  $1 - B^*B \in \mathcal{C}_p$ , then  $\delta_{AB}(X) \in \mathcal{C}_p$ . (This generalizes Theorems 3 and 5 of [6] to the case (m, n) = 1.) But before that we consider the case in which m = 2 or 3.

THEOREM 2. Let m = 2 or 3. If  $\delta_{A^m B^m}(X)$  and  $\delta_{A^n B^n}(X) \in \mathcal{C}_p$  for some  $X \in B(H)$ , then either  $\delta_{AB}^n(X)$  or  $\delta_{AB}^{n+3}(X) \in \mathcal{C}_p$ .

Here  $\delta_{AB}^n(X)$  denotes  $\delta_{AB}(\delta_{AB}^{n-1}(X))$ . The following lemmas will be required in the proof of the theorem. (Retaining the notation of the proof of Theorem 1, we henceforth let  $T_m = \delta_{A^m B^m}(X)$  and  $S_r = A^r X B^{n-r} - A^{n-r} X B^r$ .)

LEMMA 3. If  $T_m \in \mathcal{C}_p$  for some  $X \in B(H)$ , then  $T_{sm} \in \mathcal{C}_p$  for all  $s \in \mathcal{N}$ . *Proof.* We have

$$\begin{split} T_{sm} &= A^{sm} X - XB^{sm} = A^{(s-1)m} T_m + A^{(s-1)m} XB^m - XB^{sm} \\ &= A^{(s-1)m} T_m + A^{(s-2)m} T_m B^m \\ &+ A^{(s-2)m} XB^{2m} - XB^{sm} \\ & \cdots \\ &= A^{(s-1)m} T_m + A^{(s-2)m} T_m B^m \\ &+ \cdots + A^m T_m B^{(s-2)m} + T_m B^{(s-1)m} \\ &\in \mathscr{C}_p. \end{split}$$

LEMMA 4. Let m = 2 or 3 and suppose that  $T_m \in \mathcal{C}_p$  for some  $X \in B(H)$ .

(i) If  $T_n \in \mathcal{C}_p$ , then  $S_r \in \mathcal{C}_p$  for all  $0 \le r \le n$ .

(ii) If  $S_r \in \mathcal{C}_p$  for some  $r = r_0$  and  $r = r_0 + 1$ ,  $1 \le r_0 < n$ , then  $T_n \in \mathcal{C}_p$ .

*Proof.* (i) The hypothesis m = 2 or 3 implies that either  $n \equiv 1 \pmod{m}$  or  $n \equiv 2 \pmod{m}$  (in which case m is necessarily equal to 3). We consider these cases separately.

*Case*  $n \equiv 1 \pmod{m}$ . In this case either  $r \equiv 0 \pmod{m}$  and  $n - r \equiv 1 \pmod{m}$  or  $r \equiv 1 \pmod{m}$  and  $n - r \equiv 0 \pmod{m}$  or  $r \equiv 2 \pmod{m}$  and  $n - r \equiv 2 \pmod{m}$ . Notice that

$$S_r = T_r B^{n-r} - T_n + A^{n-r} T_r$$
$$= -A^r T_{n-r} + T_n - T_{n-r} B^r$$

hence if either  $r \equiv 0 \pmod{m}$  or  $n - r \equiv 0 \pmod{m}$ , then  $S_r \in \mathcal{C}_p$  (by Lemma 3). If  $r \equiv 2 \pmod{m}$ , then  $(m = 3 \text{ and}) S_r = -A^r T_{n-r-2}B^2 + A^{n-r}T_{r-2}B^2 \in \mathcal{C}_p$  (since  $n - r \equiv 2 \pmod{m}$ ).

*Case*  $n \equiv 2 \pmod{m}$ . Once again either  $r \equiv 0 \pmod{m}$  and  $n - r \equiv 2 \pmod{m}$  or  $r \equiv 1 \pmod{m}$  and  $n - r \equiv 1 \pmod{m}$  or  $r \equiv 2 \pmod{m}$  and  $n - r \equiv 1 \pmod{m}$  or  $r \equiv 2 \pmod{m}$  and  $n - r \equiv 0 \pmod{m}$ . The argument above shows that  $S_r \in \mathcal{C}_p$  if either  $r \equiv 0 \pmod{m}$  or  $n - r \equiv 0 \pmod{m}$ . Let  $r \equiv 1 \pmod{m}$ ; then  $n - r - 1 \equiv 0 \pmod{m}$  and

$$S_r = A^r T_{n-r-1} B + A T_{n-2} B + A T_{n-r-1} B^r \in \mathscr{C}_p.$$

(ii) The proof here is similar to that of part (i), except for the case in which  $n \equiv 1 \pmod{m}$ ,  $r \equiv 2 \pmod{m}$  and  $n - r \equiv 2 \pmod{m}$ , where we require the hypothesis that  $S_{r_0+1} \in \mathcal{C}_p$  (along with the hypothesis that  $S_{r_0} \in \mathcal{C}_p$ ). Recall that m = 3 in this case, and so  $r_0 \equiv 2 \pmod{m}$  implies that  $r_0 + 1 \equiv 0 \pmod{m}$  and  $n - r_0 - 1 \equiv 1 \pmod{m}$ . Applying Lemma 3 to

$$T_n = A^{n-r_0-1}T_{r_0+1} - S_{r_0+1} + T_{r_0+1}B^{n-r_0-1},$$

 $T_n \in \mathcal{C}_p$  follows.

*Proof of Theorem 2.* Assume initially that n = 2t + 1 for some  $t \in N$ . Then

$$\delta^n_{AB}(X) = \sum_{r=0}^n (-1)^r \binom{n}{r} A^{n-r} X B^r$$
$$= T_n - \sum_{r=1}^t \binom{n}{r} S_{n-r},$$

where each  $S_{n-r} \in \mathcal{C}_p$  (by Lemma 4). Hence  $\delta_{AB}^n(X) \in \mathcal{C}_p$  in this case. Now if *n* is even, then (m = 3 and) n + m is odd with (m, n + m) = 1. Since

$$\delta_{AB}^{n+m}(X) = T_{n+m} - \sum_{r=1}^{(n+m-1)/2} \binom{n+m}{r} \{A^{n+m-r}XB^r - A^rXB^{n+m-r}\},\$$

and since

$$A^{n+m-r}XB^r - A^rXB^{n+m-r} = A^mS_{n-r} + A^rT_mB^{n-r} \in \mathscr{C}_p,$$

 $\delta_{AB}^{n+m}(X) \in \mathscr{C}_p$ . This completes the proof.

*Remark* 4. For general co-prime  $m, n \in \mathcal{N}$ ,  $T_m$  and  $T_n \in \mathcal{C}_p$  implies that  $T_{m+n} \in \mathcal{C}_p$ . Let  $n_0 = n$  if n is odd and  $n_0 = m + n$  if n is even. Then  $(m, n_0) = 1$  and

$$\pi(\delta_{AB}^{n_0}(X) - T_{n_0}) = \pi\left(\sum_{r=1}^t (-1) \binom{n_0}{r} \{A^{n_0 - r} X B^r - A^r X B^{n_0 - r}\}\right) = 0,$$

where  $t = [n_0/2]$  denotes the largest integer less than  $n_0/2$ . This implies the existence of a compact operator K such that

$$\delta_{AB}^{n_0}(X) + K \in \mathcal{C}_p.$$

Assume now that A and  $B^*$  are normal (or subnormal) operators. Applying [8, Lemma 1] (resp., [8, Corollary 1]), it then follows that  $\pi(\delta_{AB}(X))(=\pi(\delta_{A^*B^*}(X))=0$ . In particular,  $\delta_{AB}(X)$  is a compact operator. Does  $\delta_{AB}(X) \in \mathcal{C}_p$ ? The answer to this question is negative, as follows from a consideration of the operators A, B, and X of (2).

We prove next the first of our promised results.

THEOREM 5. If A, B<sup>\*</sup> are normal or subnormal operators, and if  $T_m$  and  $T_n \in \mathcal{C}_p$  for some  $X \in B(H)$ , then there exists a  $t \in \mathcal{N}$  such that  $\delta_{AB}(X) \in \mathcal{C}_{2^m p}$ .

We note here that the choice of t depends upon the number s such that  $n \equiv s \pmod{m}$ . If s = 1, then t = 1 and  $\delta_{AB}(X) \in \mathcal{C}_{2^n p}$ . The proof of the theorem (is an extension of the argument used by Kittaneh to prove [6, Theorem 3] and) depends on the following result of Weiss [10, p. 114].

LEMMA 6. If N and  $X \in B(H)$ , N is normal, and  $\mathcal{F}$  is any two-sided ideal of B(H), then  $NX \in \mathcal{F}(XN \in \mathcal{F})$  implies  $N^*X \in \mathcal{F}$  (resp.,  $XN^* \in \mathcal{F}$ ).

Proof of Theorem 5. If A and  $B^*$  are subnormal, then let  $\widetilde{A}$  and  $\widetilde{B}^*$  denote their minimal normal extensions on  $\mathcal{H} \supset H$ , say. Let  $\widetilde{X} = X \oplus 0$  on  $H \oplus (\mathcal{H} \ominus H)$ . Then  $\delta_{\widetilde{A}^m \widetilde{B}^m}(\widetilde{X})$  and  $\delta_{\widetilde{A}^n \widetilde{B}^n}(\widetilde{X}) \in \mathcal{C}_p(\mathcal{H})$ . Consequently it will suffice to consider the case of normal A and B.

Let  $n \equiv s \pmod{m}$ . Then there exists a  $t \in \mathcal{N}$  such that  $t < m, st \equiv 1 \pmod{m}$  and (m, tn) = 1. By Lemma 4,  $T_{tn} \in \mathcal{C}_p$  and (since  $tn \equiv 1 \pmod{m}$ )  $T_{tn-1} \in \mathcal{C}_p$ . Hence

$$A^{tn-1}T_1 = T_{tn} - T_{tn-1}B \in \mathcal{C}_p$$

and

$$T_1 B^{tn-1} = T_{tn} - A T_{tn-1} \in \mathscr{C}_p.$$

The operator A being normal, Lemma 6 applied to  $AA^{tn-2}T_1 \in \mathcal{C}_p$  implies that  $A^*A^{tn-2}T_1 \in \mathcal{C}_p$ . This implies that  $T_1^*A^{*tn-2}A^{tn-2}T_1 \in \mathcal{C}_p$ , and hence that  $A^{tn-2}T_1 \in \mathcal{C}_{2p}$ . Repeating this argument another tn - 3 times, it now follows that  $AT_1 \in \mathcal{C}_{2^{m-2}p}$ . A similar argument applied to  $T_1B^{tn-1} \in \mathcal{C}_p$ implies that  $T_B \in \mathcal{C}_{2^{m-2}p}$ . Consider now

$$T_1 T_1^* T_1 = T_1 (X^* A^* - B^* X^*) T_1 = T_1 X^* (A^* T_1) - (T_1 B^*) X^* T_1$$

Since  $AT_1$  and  $T_1B \in \mathcal{C}_{2^{m-2}p}$ ,  $A^*T_1$  and  $T_1B^* \in \mathcal{C}_{2^{m-2}p}$ . Hence  $(T_1^*T_1)^2 \in \mathcal{C}_{2^{m-2}p}$ , and so  $T_1^*T_1 \in \mathcal{C}_{2^{m-1}p}$ . This implies that  $T_1 \in \mathcal{C}_{2^m}p$ , and the proof is complete.

*Remark* 5. If m = 2 in Theorem 5, then  $n \equiv 1 \pmod{m}$ , and it follows that  $\delta_{AB}(X) \in \mathcal{C}_{2^n p}$ ; if m = 3, then  $\delta_{AB}(X) \in \mathcal{C}_{2^n p}$  in the case in which  $n \equiv 1 \pmod{m}$  and  $\delta_{AB}(X) \in \mathcal{C}_{2^{2n} p}$  in the case in which  $n \equiv 2 \pmod{m}$ . The values  $2^n p$  (or  $2^{2n} p$ ) are not the best possible; this follows from the example of operators A, B, and X defined in (2).

As seen in the proof of Theorem 5, if  $T_m$  and  $T_n \in \mathcal{C}_p$  for some  $X \in B(H)$ , then there exists a  $t \in \mathcal{N}$  such that  $A^{tn-1}T_1$  and  $T_1B^{tn-1} \in \mathcal{C}_p$ . This implies that if either A is left-invertible or B is right-invertible, then  $T_1 \in \mathcal{C}_p$ . Recall that the operator A is said to be left-Fredholm (right-Fredholm) if A is left-invertible (resp., right-invertible) in the Calkin algebra  $B(H) \setminus \mathcal{X}$ . Let A be a semi-Fredholm operator (i.e., A is either left- or right-Fredhom) with Fredholm index, ind  $A, \leq 0$ . Then there exists a finite rank operator F such that A + F is injective with I(A + F) = IIIA (see [2; p. 366, Proposition 3.21]). Set A + F = C; then C is bounded below and hence is left-invertible. Suppose further that  $T_m$  and  $T_n \in \mathcal{C}_p$  for some operator X. Then  $\delta_{C^m B^m}(X)$  and  $\delta_{C^n B^n}(X) \in \mathcal{C}_p$ , and hence there exists a  $t \in \mathcal{N}$  such that  $C^{tn-1}\delta_{CB}(X) \in \mathcal{C}_p$ . The operator C being left-invertible,  $\delta_{CB}(X) \in \mathcal{C}_p$ .

COROLLARY 7. If  $T_m$  and  $T_n \in \mathcal{C}_p$  for some  $X \in B(H)$ , and A (or B) is semi-Fredholm with ind  $A \leq 0$  (resp., ind  $B \geq 0$ ), then  $T_1 \in \mathcal{C}_p$ .

*Proof.*  $B^*$  is semi-Fredholm with ind  $B^* \leq 0$ . Since  $\delta_{AB}(X)B^{tn-1} \in \mathcal{C}_p$ , the proof follows as above.

*Remark 6.* If ind A = 0 in Corollary 7, then the operator C is invertible. Corollary 7 is proved in [6, Theorem 6] for the case in which A = B, p = 2, m = 2, and n = 3.

Let  $A \in B(H)$  be such that  $1 - A^*A \in \mathcal{C}_p$ . Then  $\pi(A)$  is an isometry V, and there exists an operator  $K \in \mathcal{C}_p$  such that A = V + K (see [7, p. 70]; see also [4, Theorem (6.2)]). Here we may take the isometry V to be a unitary in the case where  $\sigma(A)$  does not contain the open unit disc.

THEOREM 8. Let  $A, B \in B(H)$  be such that either  $1 - A^*A$  or  $1 - B^*B \in \mathcal{C}_p$ . If  $T_m$  and  $T_n \in \mathcal{C}_p$  for some  $X \in B(H)$ , then  $T_1 \in \mathcal{C}_p$ .

*Proof.* We consider the case in which  $1 - A^*A \in \mathcal{C}_p$ ; the other case is similarly dealt with. Letting A = V + K, where V is an isometry and  $K \in \mathcal{C}_p$ , it follows from the hypotheses that both  $\delta_{V^mB^m}$  and  $\delta_{V^nB^n} \in \mathcal{C}_p$ . Arguing as in the proof of Theorem 5, it follows that there exists a  $t \in \mathcal{N}$  such that  $V^{tn-1}\delta_{VB}(X) \in \mathcal{C}_p$ . Since  $\delta_{VB}(X) = V^{*tn-1}V^{tn-1}\delta_{VB}(X)$ ,  $\delta_{VB}(X) \in \mathcal{C}_p$ . Hence, since  $\delta_{AB}(X) = (V + K)X - XB = (VX - XB) + KX$  and  $KX \in \mathcal{C}_p$ ,  $T_1 = \delta_{AB}(X) \in \mathcal{C}_p$ .

The Elementary Operator  $X \rightarrow AXB - X$ 

Given A and  $B \in B(H)$ , the elementary operator  $\Delta_{AB}$ :  $B(H) \rightarrow B(H)$  is defined by  $\Delta_{AB}(X) = AXB - X$ . We close this note with a remark about the analogues of Theorems 1 and 5 for the operator  $\Delta_{AB}(X)$ . It turns out

that these analogues are trivial, and the results correspondingly uninteresting. It is easily seen that if  $\Delta_{A^mB^m}(X) = 0$  for some  $X \in B(H)$ , then  $\Delta_{A^{sm}B^{sm}}(X) = 0$  for all  $s \in \mathcal{N}$ . Also, if  $\Delta_{A^mB^m}(X) = 0 = \Delta_{A^nB^n}(X)$  for some  $X \in B(H)$ , then  $\Delta_{AB}(X) = 0$  and  $A^{n-r}XB^{n-r} - A^rXB^r = 0$  for all  $0 \le r \le n$  (without any additional hypotheses on A and B). Conversely, if  $\Delta_{A^mB^m}(X) = 0$  for some  $X \in B(H)$  and if  $A^{n-r}XB^{n-r} - A^rXB^r = 0$  holds for (any) two consecutive values of r,  $1 \le r < n$ , then  $\Delta_{AB}(X) = 0$  (once again, no additional hypotheses on A and B). This is seen as follows.

Choose an  $r, 1 \le r < n$ , and suppose that  $A^{n-r}XB^{n-r} - A^rXB^r = 0 = A^{n-r-1}XB^{n-r-1} - A^{r+1}XB^{r+1} = 0$ . Then  $A^{r+2}XB^{r+2} = A^rXB^r$ . Let  $r \equiv s \pmod{m}$ ; then there exists a  $t \in \mathcal{N}$  such that  $tr(\equiv ts) \equiv 1 \pmod{m}$ ,  $A^{tr+2}XB^{tr+2} = A^{tr}XB^{tr}$ , and so  $A^3XB^3 = AXB$ . Now if  $m \equiv 0 \pmod{3}$  or  $m \equiv 2 \pmod{3}$ , then  $X = A^mXB^m = AXB$ . If, on the other hand,  $m \equiv 1 \pmod{3}$ , then  $X = A(A^{m-1}XB^{m-1})B = A^2XB^2$ . This implies that if m is odd, then  $X = A(A^{m-1}XB^{m-1})B = AXB$ , and we are left with the case m is even to consider. Now if m is even, then (m, n) = 1 implies  $(2, n) = 1, n \equiv 1 \pmod{2}$ , and either  $r \equiv 0 \pmod{2}$ . In either case,  $A^2XB^2 = X$  and  $A^{n-r}XB^{n-r} = A^rXB^r$  together imply that AXB - X = 0.

Suppose now that  $\Delta_{A^m B^m}(X)$  and  $\Delta_{A^n B^n}(X) \in \mathcal{C}_p$  for some  $X \in B(H)$ . Then there exists a  $t \in \mathcal{N}$  such that  $tn \equiv 1 \pmod{m}$  and both  $\Delta_{A^{m-1}B^{m-1}}(X)$  and  $\Delta_{A^m B^m} \in \mathcal{C}_p$  (see the proof of Theorem 5). Hence

$$\Delta_{AB}(X) = \Delta_{A^{tn}B^{tn}}(X) - A(\Delta_{A^{tn-1}B^{tn-1}}(X))B \in \mathscr{C}_p$$

(with no additional hypotheses on A and B).

### ACKNOWLEDGMENT

It is my pleasure to thank Dr. Jesse Deutsch for some very helpful conversations during the preparation of this note.

#### REFERENCES

- A. H. Al-Moajil, The commutants of relatively prime powers in Banach algebras, *Proc. Amer. Math. Soc.* 57 (1976), 243–249.
- 2. J. B. Conway, "A Course in Functional Analysis," Springer-Verlag, New York, 1985.
- 3. M. R. Embry, nth roots of operators, Proc. Amer. Math. Soc. 19 (1968), 63-68.
- 4. P. Fillmore, J. Stampfli, and J. P. Williams, On the essential numerical range, the essential spectrum and a problem of Halmos, *Acta Sci. Math. (Szeged)* **33** (1972), 179–192.
- 5. C. K. Fong and A. R. Sourour, On the operator identity  $\sum A_k XB_k = 0$ , *Canad. J. Math.* **31** (1979), 845–857.
- 6. F. Kittaneh, On the commutants modulo  $\mathscr{C}_p$  of  $A^2$  and  $A^3$ , J. Austral. Math. Soc. Ser. A **41** (1986), 47–50.

- R. Lange and S. Wang, "New Approaches in Spectral Decomposition," Contemporary Mathematics, Vol. 128, Am. Math. Soc., Providence, 1992.
- M. Radjabalipour, An extension of Putnam-Fuglede theorem for hyponormal operators, Math. Z. 194 (1987), 117–120.
- 9. W. Rudin, "Functional Analysis," McGraw-Hill, New York, 1973.
- G. Weiss, The Fuglede commutativity theorem modulo operator ideals, *Proc. Amer. Math. Soc.* 83 (1981), 113–118.