Weak solutions to the barotropic Navier–Stokes system with
slip boundary conditions in time dependent domains

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ABSTRACT

We consider the compressible (barotropic) Navier–Stokes system on time dependent domains, supplemented with slip boundary conditions. Our approach is based on penalization of the boundary behavior, viscosity, and the pressure in the weak formulation. Global-in-time weak solutions are obtained.

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1. Introduction

Problems involving the motion of solid objects in fluids occur frequently in various applications of continuum fluid dynamics, where the boundary conditions on the interfaces play a crucial role. Besides the commonly used no-slip condition, where the velocity of the fluid coincides with that of the adjacent solid body, various slip-like conditions have been proposed to handle the situations in which the no-slip scenario fails to produce a correct description of the fluid boundary behavior, see Bulíček, Málek and Rajagopal [1], Priezjev and Troian [17] and the references therein. For viscous fluids, Navier proposed the boundary conditions in the form

\[ (S \mathbf{n})_{\text{tan}} + \kappa (\mathbf{u} - \mathbf{V})_{\text{tan}} |_{r_{\tau}} = 0, \quad \kappa \geq 0, \]

where \( S \) is the viscous stress tensor, \( \kappa \) represents a “friction” coefficient, \( \mathbf{u} \) and \( \mathbf{V} \) denote the fluid and solid body velocities, respectively, and \( r_{\tau} \) is the position of the interface at a time \( \tau \), with the outer

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normal vector $n$. If $\kappa = 0$, we obtain the complete slip while the asymptotic limit $\kappa \to \infty$ gives rise to the standard no-slip boundary conditions.

Besides their applications in “thin” domains occurring in nanotechnologies (see Qian, Wang and Sheng [18]), the slip boundary conditions are particularly relevant for dense viscous gases (see Coron [2]), described by means of the standard Navier–Stokes system:

$$\frac{\partial t}{\partial t} \rho + \text{div} (\rho u) = 0, \quad (1.2)$$

$$\frac{\partial t}{\partial t} (\rho u) + \text{div} (\rho u \otimes u) + \nabla p(\rho) = \text{div} S(\nabla u) + \rho f, \quad (1.3)$$

where $\rho$ is the density, $p = p(\rho)$ the (barotropic) pressure, $f$ a given external force, and $S$ is determined by the standard Newton rheological law

$$S(\nabla u) = \mu \left( \nabla u + \nabla^T u - \frac{2}{3} \text{div} u I \right) + \eta \text{div} u I, \quad \mu > 0, \quad \eta \geq 0. \quad (1.4)$$

The boundary of the domain $\Omega_t$ occupied by the fluid is described by means of a given velocity field $V(t, x)$, where $t \geq 0$ and $x \in \mathbb{R}^3$. More specifically, assuming $V$ is regular, we solve the associated system of differential equations

$$\frac{d}{dt} X(t, x) = V(t, X(t, x)), \quad t > 0, \quad X(0, x) = x, \quad (1.5)$$

and set

$$\Omega_t = X(\tau, \Omega_0), \quad \text{where} \ \Omega_0 \subset \mathbb{R}^3 \text{is a given domain},$$

$$\Gamma_t = \partial \Omega_t, \quad \text{and} \quad Q_t = \{ (t, x) \mid t \in (0, \tau), \ x \in \Omega_t \}.$$

In addition to (1.1), we assume that the boundary $\Gamma_t$ is impermeable, meaning

$$\left. (u - V) \cdot n \right|_{\Gamma_t} = 0 \quad \text{for any} \ \tau \geq 0. \quad (1.6)$$

Finally, the problem (1.1)–(1.6) is supplemented by the initial conditions

$$\rho(0, \cdot) = \rho_0, \quad (\rho u)(0, \cdot) = (\rho u)_0 \quad \text{in} \ \Omega_0. \quad (1.7)$$

Our main goal is to show existence of global-in-time weak solutions to problem (1.1)–(1.7) for any finite energy initial data. The existence theory for the barotropic Navier–Stokes system on fixed spatial domains in the framework of weak solutions was developed in the seminal work by Lions [12], and later extended in [9] to a class of physically relevant pressure-density state equations. The investigation of incompressible fluids in time dependent domains started with a seminal paper of Ladyzhenskaja [11], see also [13–15] for more recent results in this direction.

Compressible fluid flows in time dependent domains, supplemented with the no-slip boundary conditions, were examined in [7] by means of Brinkman’s penalization method. However, applying a penalization method to the slip boundary conditions is more delicate. Unlike for no-slip, where the fluid velocity coincides with the field $V$ outside $\Omega_t$, it is only its normal component $u \cdot n$ that can be controlled in the case of slip. In particular, given the rather poor a priori bounds available in the class of weak solutions, we lose control over the boundary behavior of the normal stress $S_n$ involved in Navier’s condition (1.1).

A rather obvious penalty approach to slip conditions for stationary incompressible fluids was proposed by Stokes and Carrey [20]. In the present setting, the variational (weak) formulation of the momentum equation is supplemented by a singular forcing term
penalizing the normal component of the velocity on the boundary of the fluid domain. In the time dependent geometries, the penalization can be applied in the interior of a fixed reference domain, however, the resulting limit system consists of two fluids separated by impermeable boundary and coupled through the tangential components of normal stresses. In such a way, an extra term is produced acting on the fluid by its “complementary” part outside $\Omega_\tau$. In order to eliminate these extra stresses, we use the following three level penalization scheme:

1. In addition to (1.8), we introduce a variable shear viscosity coefficient $\mu = \mu_\omega$, where $\mu_\omega$ remains strictly positive in the fluid domain $Q_T$ but vanishes in the solid domain $Q_T^c$ as $\omega \to 0$.
2. Similarly to the existence theory developed in [9], we introduce the artificial pressure

$$p_\delta(\varrho) = p(\varrho) + \delta \varrho^\beta, \quad \beta \geq 2, \delta > 0,$$

in the momentum equation (1.3).
3. Keeping $\varepsilon, \delta, \omega > 0$ fixed, we solve the modified problem in a (bounded) reference domain $B \subset \mathbb{R}^3$ chosen in such a way that $\overline{\Omega_\tau} \subset B$ for any $\tau \geq 0$.

To this end, we adapt the existence theory for the compressible Navier–Stokes system with variable viscosity coefficients developed in [6].
4. We take the initial density $\varrho_0$ vanishing outside $\Omega_0$, and letting $\varepsilon \to 0$ for fixed $\delta, \omega > 0$ we obtain a “two-fluid” system, where the density vanishes in the solid part ($(0, T) \times B \setminus Q_T$ of the reference domain.
5. Letting the viscosity vanish in the solid part, we perform the limit $\omega \to 0$, where the extra stresses disappear in the limit system. The desired conclusion results from the final limit process $\delta \to 0$.

The paper is organized as follows. In Section 2, we introduce all necessary preliminary material including a weak formulation of the problem and state the main result. Section 3 is devoted to the penalized problem and to uniform bounds and existence of solutions at the starting level of approximations. In Section 4, the singular limits for $\varepsilon \to 0, \omega \to 0, \text{and } \delta \to 0$ are performed successively. Section 5 discusses possible extensions and applications of the method.

2. Preliminaries, weak formulation, main result

In the weak formulation, it is convenient that the equation of continuity (1.2) holds in the whole physical space $\mathbb{R}^3$ provided the density $\varrho$ was extended to be zero outside the fluid domain, specifically

$$\frac{1}{\varepsilon} \int_0^T \int_{\Omega_\tau} (\mathbf{u} - \mathbf{v}) \cdot \mathbf{n} \varphi \cdot \mathbf{n} \, dS \, dt, \quad \varepsilon > 0 \text{ small}, \quad (1.8)$$

for any $\tau \in [0, T]$ and any test function $\varphi \in C^\infty_c([0, T] \times \mathbb{R}^3)$. Moreover, Eq. (1.2) is also satisfied in the sense of renormalized solutions introduced by DiPerna and Lions [3]:

$$\int_{\Omega_\tau} (\varrho \varphi(\tau, \cdot) - \varrho_0 \varphi(0, \cdot)) \, dx = \int_0^\tau \int_{\Omega_\tau} (\varrho \partial_t \varphi + \varrho \mathbf{u} \cdot \nabla_x \varphi) \, dx \, dt \quad (2.1)$$
3. Penalization

Assume that the pressure \( p \) through \( (2.1) \)–\( (2.5) \)

Theorem 2.1. Let the initial data satisfy

for any \( \tau \in [0, T] \), any \( \varphi \in C_c^\infty([0, T] \times \mathbb{R}^3) \), and any \( b \in C([-1, \infty), b(0) = 0, b'(r) = 0 \) for large \( r \). Of course, we suppose that \( \varphi \geq 0 \) a.a. in \((0, T) \times \mathbb{R}^3 \).

Similarly, the momentum equation \((1.3)\) is replaced by a family of integral identities

for any \( \tau \in [0, T] \) and any test function \( \varphi \in C_c^\infty([0, T] \times \mathbb{R}^3; \mathbb{R}^3) \) satisfying

Finally, the impermeability condition \((1.6)\) is satisfied in the sense of traces, specifically,

At this stage, we are ready to state the main result of the present paper:

Theorem 2.1. Let \( \Omega_0 \subset \mathbb{R}^d \) be a bounded domain of class \( C^{2+s} \), and let \( V \in C([-1, \infty), C_c^\infty(\mathbb{R}^3; \mathbb{R}^3)) \) be given. Assume that the pressure \( p \in C([-1, \infty) \cap C^1(0, \infty) \) satisfies

Let the initial data satisfy

Then the problem \((1.1)\)–\((1.8)\) admits a weak solution on any time interval \((0, T)\) in the sense specified through \((2.1)\)–\((2.5)\).

The rest of the paper is devoted to the proof of Theorem 2.1.

3. Penalization

For the sake of simplicity, we restrict ourselves to the case \( \kappa = 0, \eta = 0, \) and \( f = 0 \). As we shall see, the main ideas of the proof presented below require only straightforward modifications to accommodate the general case.
3.1. Penalized problem – weak formulation

Choosing $R > 0$ such that

$$ V_{[0,T] \times \{ |x| > R \}} = 0, \quad \overline{\Omega}_0 \subset \{ |x| < R \} $$

we take the reference domain $B = \{ |x| < 2R \}$.

Next, the shear viscosity coefficient $\mu = \mu_\omega(t,x)$ is taken such that

$$ \mu_\omega \in \mathcal{C}^\infty_c([0,T] \times \mathbb{R}^3), \quad 0 < \mu_\omega \leq \mu_\omega(t,x) \leq \mu \text{ in } [0,T] \times B,$$

$$ \mu_\omega(\tau, \cdot)|_{\Omega_\tau} = \mu \text{ for any } \tau \in [0,T]. $$

Finally, we define modified initial data so that

$$ \varrho_0 = \varrho_{0,\delta}, \quad \varrho_{0,\delta} \geq 0, \quad \varrho_{0,\delta} \neq 0, \quad \varrho_{0,\delta}|_{R^3 \setminus \Omega_0} = 0, \quad \int_B (\varrho_{0,\delta}^\gamma + \delta \varrho_{0,\delta}^\beta) \, dx \leq c, $$

$$ (\varrho_0 u)_0 = (\varrho u)_{0,\delta}, \quad (\varrho_0 u)_{0,\delta} = 0 \text{ a.a. on the set } \{ \varrho_{0,\delta} = 0 \}, \quad \int_{\Omega_0} \frac{1}{\varrho_{0,\delta}} |(\varrho u)_{0,\delta}|^2 \, dx \leq c. $$

The weak formulation of the penalized problem reads as follows:

$$ \int_B \varrho \varphi(\tau, \cdot) \, dx - \int_B \varrho_0 \varphi(0, \cdot) \, dx = \int_0^\tau \int_B (\varrho \partial_t \varphi + \varrho u \cdot \nabla_x \varphi) \, dx \, dt $$

for any $\tau \in [0,T]$ and any test function $\varphi \in \mathcal{C}^\infty_c([0,T] \times \mathbb{R}^3)$;

$$ \int_B \varrho u \cdot \varphi(\tau, \cdot) \, dx - \int_B (\varrho_0 u) \cdot \varphi(0, \cdot) \, dx $$

$$ = \int_0^\tau \int_B \left( \varrho u \cdot \partial_t \varphi + \varrho [u \otimes u] : \nabla_x \varphi + p(\varrho) \div_x \varphi + \delta \varrho^\beta \div_x \varphi \right. $$

$$ - \mu_\omega \left( \nabla_x u + \nabla_x^T u - \frac{2}{3} \div_x u I \right) : \nabla_x \varphi \right) \, dx \, dt + \frac{1}{\varepsilon} \int_0^\tau \int_{\Gamma_i} \left( (\mathbf{V} - u) \cdot \mathbf{n} \varphi \cdot \mathbf{n} \right) \, dS_x \, dt $$

for any $\tau \in [0,T]$ and any test function $\varphi \in \mathcal{C}^\infty_c([0,T] \times B; \mathbb{R}^3)$, where $u \in L^2(0,T; W^{1,2}_0(B; \mathbb{R}^3))$, meaning $u$ satisfies the no-slip boundary condition

$$ u|_{\partial B} = 0 \text{ in the sense of traces.} $$

Here, $\varepsilon$, $\delta$, and $\omega$ are positive parameters. The choice of the no-slip boundary condition (3.7) is not essential.
The existence of global-in-time solutions to the penalized problem can be shown by means of the method developed in [6] to handle the nonconstant viscosity coefficients. Indeed, for $\varepsilon > 0$ fixed, the extra penalty term in (3.6) can be treated as a “compact” perturbation. In addition, solutions can be constructed satisfying the energy inequality

$$
\int_B \left( \frac{1}{2} \rho |\mathbf{u}|^2 + P(\rho) + \frac{\delta}{\beta - 1} \rho^\beta \right)(\tau, \cdot) \, dx + \frac{1}{2} \int_0^\tau \int_B \mu_\omega \left| \nabla_x \mathbf{u} + \nabla_x^t \mathbf{u} - \frac{2}{3} \text{div}_x \mathbf{u} \right|^2 \, dx \, dt
$$

$$
+ \frac{1}{\varepsilon} \int_0^\tau \int_{\Gamma_t} |(\mathbf{u} - \mathbf{V}) \cdot \mathbf{n}| \, dS_x \, dt
$$

$$
\leq \int_B \left( \frac{1}{2} \rho_0(0, \delta) |\mathbf{u}(0, \delta)|^2 + P(\rho_0, \delta) + \frac{\delta}{\beta - 1} \rho_0^\beta \right) \, dx,
$$

where

$$
P(\rho) = \rho \int_1^\rho \frac{p(z)}{z^2} \, dz.
$$

Note that the quantity on the right-hand side of (3.8) representing the total energy of the system is finite because of (3.3), (3.4).

In addition, since $\beta \geq 2$, the density is square integrable and we may use the regularization technique of DiPerna and Lions [3] to deduce the renormalized version of (3.5), namely

$$
\int_B b(\rho) \varphi \varphi(\tau, \cdot) \, dx - \int_B b(\rho_0) \varphi(0, \cdot) \, dx
$$

$$
= \int_0^\tau \int_B (b(\rho) \partial_t \varphi + b(\rho) \mathbf{u} \cdot \nabla_x \varphi + (b(\rho) - b'(\rho) \rho) \text{div}_x \mathbf{u} \varphi) \, dx \, dt
$$

(3.9)

for any $\varphi$ and $b$ as in (2.2).

3.2. Modified energy inequality and uniform bounds

Since the vector field $\mathbf{V}$ vanishes on the boundary $\partial B$, it may be used as a test function in (3.6). Combining the resulting expression with the energy inequality (3.8), we obtain

$$
\int_B \left( \frac{1}{2} \rho |\mathbf{u}|^2 + P(\rho) + \frac{\delta}{\beta - 1} \rho^\beta \right)(\tau, \cdot) \, dx + \frac{1}{2} \int_0^\tau \int_B \mu_\omega \left| \nabla_x \mathbf{u} + \nabla_x^t \mathbf{u} - \frac{2}{3} \text{div}_x \mathbf{u} \right|^2 \, dx \, dt
$$

$$
+ \frac{1}{\varepsilon} \int_0^\tau \int_{\Gamma_t} |(\mathbf{u} - \mathbf{V}) \cdot \mathbf{n}|^2 \, dS_x \, dt
$$

$$
\leq \int_B \left( \frac{1}{2} \rho_0(0, \delta) |\mathbf{u}(0, \delta)|^2 + P(\rho_0, \delta) + \frac{\delta}{\beta - 1} \rho_0^\beta \right) \, dx + \int_B ((\rho \mathbf{u} \cdot \mathbf{V})(\tau, \cdot) - (\rho \mathbf{u}(0, \delta) \cdot \mathbf{V}(0, \cdot))) \, dx
$$
\[ + \int_0^T \int_B \left( \mu_\sigma \left( \nabla_x u + \nabla^t_x u - \frac{2}{3} \text{div}_x u u \right) : \nabla_x V - \rho u \cdot \partial_t V - \rho u \otimes u : \nabla_x V \right. \]
\[ - p(\rho) \text{div}_x V - \frac{\delta}{\beta - 1} \rho^\beta \text{div}_x V \left. \right) \, dx \, dt. \] (3.10)

Since the vector field \( V \) is regular and since
\[ p(\rho) \leq c \left( 1 + P(\rho) \right) \text{ for all } \rho \geq 0, \]
relation (3.10) gives rise to the following bounds independent of the parameters \( \varepsilon, \delta, \) and \( \omega \):

\[ \text{ess sup}_{t \in (0, T)} \left\| \sqrt{\rho} u(t, \cdot) \right\|_{L^2(B; \mathbb{R}^3)} \leq c, \] (3.11)

\[ \text{ess sup}_{t \in (0, T)} \int_B P(\rho)(t, \cdot) \, dx \leq c \text{ yielding } \text{ess sup}_{t \in (0, T)} \left\| \rho(t, \cdot) \right\|_{L^\gamma(B)} \leq c, \] (3.12)

\[ \text{ess sup}_{t \in (0, T)} \delta \left\| \rho(t, \cdot) \right\|_{L^\beta(B)}^\beta \leq c, \] (3.13)

\[ \int_0^T \int_B \mu_\sigma \left| \nabla_x u + \nabla^t_x u - \frac{2}{3} \text{div}_x u u \right|^2 \, dx \, dt \leq c, \] (3.14)

and

\[ \int_0^T \int_{\Gamma_t} \left| (u - V) \cdot n \right|^2 \, dS \, dt \leq \varepsilon c. \] (3.15)

Finally, we note that the total mass is conserved, meaning
\[ \int_B \rho(\tau, \cdot) \, dx = \int_B \rho_{0, \delta} \, dx = \int_{\Omega_0} \rho_{0, \delta} \, dx \leq c \text{ for any } \tau \in [0, T]. \] (3.16)

Thus, relations (3.11), (3.14), (3.16), combined with the generalized version of Korn’s inequality (see [8, Theorem 10.17]), imply that
\[ \int_0^T \left\| u(t, \cdot) \right\|_{W^{1,2}(B; \mathbb{R}^3)}^2 \leq c(\omega). \] (3.17)

### 3.3. Pressure estimates

Since the surfaces \( \Gamma_t \) are determined \textit{a priori}, we can use the technique based on the so-called Bogovskii operator to deduce the uniform bounds
\[ \int_K \left( p(\rho) \rho^\nu + \delta \rho^\beta \rho^\nu \right) \, dx \, dt \leq c(K) \text{ for a certain } \nu > 0 \] (3.18)
for any compact $\mathcal{K} \subset [0, T] \times \bar{B}$ such that

$$\mathcal{K} \cap \left( \bigcup_{\tau \in [0, T]} \{\tau\} \times \Gamma_{\tau} \right) = \emptyset,$$

see [10] for details.

Note that due to the fact that the boundaries $\Gamma_{\tau}$ change with time, uniform estimates like (3.18) on the whole space–time cylinder $(0, T) \times B$ seem to be a delicate matter. On the other hand, the mere equi-integrability of the pressure could be shown by the method based on special test functions used in [7].

4. Singular limits

In this section, we perform successively the singular limits $\varepsilon \to 0$, $\omega \to 0$, and $\delta \to 0$.

4.1. Penalization limit

Keeping the parameters $\delta$, $\omega$ fixed, our goal is to let $\varepsilon \to 0$ in (3.5), (3.6). Let $\{\varrho_\varepsilon, u_\varepsilon\}$ be the corresponding weak solution of the perturbed problem constructed in the previous section. To begin, the estimates (3.12), (3.17), combined with the equation of continuity (3.5), imply that

$$\varrho_\varepsilon \to \varrho \quad \text{in } C_{\text{weak}}([0, T]; L^\gamma(B)),$$

and

$$u_\varepsilon \to u \quad \text{weakly in } L^2(0, T; W^{1,2}_0(B, \mathbb{R}^3))$$

at least for suitable subsequences, where, as a direct consequence of (3.15),

$$(u - V) \cdot n(\tau, \cdot)|_{\Gamma_{\tau}} = 0 \quad \text{for a.a. } \tau \in [0, T]. \quad (4.1)$$

Consequently, in accordance with (3.11), (3.12) and the compact embedding $L^\gamma(B) \hookrightarrow W^{-1,2}(B)$, we obtain

$$\varrho_\varepsilon u_\varepsilon \to \varrho u \quad \text{weakly-(*) in } L^\infty(0, T; L^{2\gamma/(\gamma+1)}(B; \mathbb{R}^3)), \quad (4.2)$$

and, thanks to the embedding $W^{1,2}_0(B) \hookrightarrow L^6(B)$,

$$\varrho_\varepsilon u_\varepsilon \otimes u_\varepsilon \to \varrho \bar{u} \otimes \bar{u} \quad \text{weakly in } L^2(0, T; L^{6\gamma/(4\gamma+3)}(B; \mathbb{R}^3)),$$

where we have used the bar to denote a weak limit of a composed function.

Finally, it follows from the momentum equation (3.6) that

$$\varrho_\varepsilon u_\varepsilon \to \varrho u \quad \text{in } C_{\text{weak}}([T_1, T_2]; L^{2\gamma/(\gamma+1)}(0; \mathbb{R}^3))$$

for any space–time cylinder

$$(T_1, T_2) \times O \subset [0, T] \times B, \quad [T_1, T_2] \times \bar{O} \cap \bigcup_{\tau \in [0, T]} \{\tau\} \times \Gamma_{\tau} = \emptyset.$$
Seeing that $L^{2\gamma/(\gamma+1)}(B) \hookrightarrow W^{-1,2}(B)$ we conclude, exactly as in (4.2), that

$$\bar{\rho} u \otimes u = \rho u \otimes u \quad \text{a.a. in } (0,T) \times B.$$ 

Passing to the limit in (3.5) we obtain

$$\int_B \rho \varphi(\tau, \cdot) \, dx - \int_B \rho_0 \varphi(0, \cdot) \, dx = \int_0^\tau \int_B (\rho \partial_t \varphi + \rho u \cdot \nabla \varphi) \, dx \, dt$$

(4.3)

for any $\tau \in [0,T]$ and any test function $\varphi \in C_c^\infty([0,T] \times \mathbb{R}^3)$.

The limit in the momentum equation (3.6) is more delicate. Since we have at hand only the local estimates (3.18) on the pressure, we have to restrict ourselves to the class of test functions

$$\varphi \in C^1([0,T]; W_0^{1,\infty}(B; \mathbb{R}^3)), \quad \text{supp}[\text{div}_x \varphi(\tau, \cdot)] \cap \Gamma_\tau = \emptyset,$$

$$\varphi \cdot n|_{\Gamma_\tau} = 0 \quad \text{for all } \tau \in [0,T].$$

(4.4)

Passing to the limit in (3.6), we obtain

$$\int_B \rho u \cdot \varphi(\tau, \cdot) \, dx - \int_B (\rho u_0 \cdot \varphi(0, \cdot)) \, dx$$

$$= \int_0^\tau \int_B \left( \rho u \cdot \partial_t \varphi + \rho [u \otimes u] : \nabla_x \varphi + \bar{p}(\rho) \text{div}_x \varphi + \delta \bar{Q} \text{div}_x \varphi 

- \mu \omega \left( \text{div}_x u + \text{div}_x (u u^T) - \frac{2}{3} \text{div}_x u \right) : \nabla_x \varphi \right) \, dx \, dt$$

(4.5)

for any test function $\varphi$ as in (4.4). Note that the requirement of smoothness of $\varphi$ postulated in (3.6) can be easily relaxed by means of a density argument.

Finally, we show pointwise (a.a.) convergence of the sequence $\{\rho_\varepsilon\}_{\varepsilon > 0}$. To this end, we adopt the method developed in [6] to accommodate the variable viscosity coefficient $\mu \omega$. The crucial observation is the effective viscous pressure identity that can be established exactly as in [6]:

$$\bar{p}_\delta(\rho) \bar{T}_k(\rho) - \bar{p}_\delta(\rho) \bar{T}_k(\rho) = \frac{4}{3} \mu \omega \left( \bar{T}_k(\rho) \text{div}_x \bar{u} - \bar{T}_k(\rho) \text{div}_x \bar{u} \right)$$

(4.6)

where we have denoted

$$p_\varepsilon(\rho) = p(\rho) + \delta \rho^\theta, \quad T_k(\rho) = \min\{\rho, k\}.$$ 

Similarly to the pressure estimates (3.18), identity (4.6) holds only on compact sets $\mathcal{K} \subset [0,T] \times B$ satisfying

$$\mathcal{K} \cap \left( \bigcup_{\tau \in [0,T]} \{\tau\} \times \Gamma_\tau \right) = \emptyset.$$ 

We recall that this step leans on the satisfaction of the renormalized equation (3.9) for both $\rho_\varepsilon$ and the limit $\rho$ that can be shown by the regularization procedure of DiPerna and Lions [3].
Following [6], we introduce the oscillations defect measure

\[ \text{osc}_{q}[\varrho_\varepsilon \to \varrho](\mathcal{K}) = \sup_{k \geq 0} \left( \limsup_{\varepsilon \to 0} \int_{\mathcal{K}} |T_k(\varrho_\varepsilon) - T_k(\varrho)|^q \, dx \, dt \right), \]

and use (4.6) to conclude that

\[ \text{osc}_{r+1}[\varrho_\varepsilon \to \varrho](\mathcal{K}) \leq c(\omega) < \infty, \tag{4.7} \]

where the constant \( c \) is independent of \( \mathcal{K} \). Thus

\[ \text{osc}_{r+1}[\varrho_\varepsilon \to \varrho]([0, T] \times \Omega) \leq c(\omega), \tag{4.8} \]

which implies, by virtue of the procedure developed in [5], the desired conclusion

\[ \varrho_\varepsilon \to \varrho \quad \text{a.a. in } (0, T) \times \Omega. \tag{4.9} \]

In accordance with (4.9), the momentum equation (4.5) reads

\[ \int_{\Omega} \varrho u \cdot \varphi(\tau, \cdot) \, dx - \int_{\Omega} (\varrho u)_{0, \delta} \cdot \varphi(0, \cdot) \, dx \]

\[ = \int_{0}^{\tau} \int_{\Omega} \left( \varrho \varphi_t + \varrho (u \otimes u) : \nabla_x \varphi + p(\varrho) \div \varphi + \delta \varrho^\beta \div \varphi \right) \, dx \, dt \]

\[ - \mu \omega \left( \nabla_x u + \nabla_x^I u - \frac{2}{3} \div_x u I \right) : \nabla_x \varphi \] \tag{4.10}

for any test function \( \varphi \) as in (4.4). In addition, as already observed, the limit solution \( \{ \varrho, u \} \) satisfies also the renormalized equation (3.9).

### 4.1.1. Fundamental lemma

Our next goal is to use the specific choice of the initial data \( \varrho_{0, \delta} \) to get rid of the density-dependent terms in (4.10) supported by the “solid” part \( (0, T) \times \Omega \). To this end, we show the following result, rather obvious for regular solutions but a bit more delicate in the weak framework, that may be of independent interest.

**Lemma 4.1.** Let \( \varrho \in L^\infty(0, T; L^2(\Omega)) \), \( \varrho \geq 0 \), \( u \in L^2(0, T; W^{1,2}_0(\Omega; \mathbb{R}^3)) \) be a weak solution of the equation of continuity, specifically,

\[ \int_{\Omega} (\varrho(\tau, \cdot) \varphi(\tau, \cdot) - \varrho_0 \varphi(0, \cdot)) \, dx = \int_{0}^{T} \int_{\Omega} (\varrho \varphi_t + \varrho u \cdot \nabla_x \varphi) \, dx \, dt \tag{4.11} \]

for any \( \tau \in [0, T] \) and any test function \( \varphi \in C^1_c([0, T] \times \mathbb{R}^3) \).

In addition, assume that

\[ (u - V)(\tau, \cdot) \cdot n|_{\Gamma^\tau} = 0 \quad \text{for a.a. } \tau \in (0, T), \tag{4.12} \]
and that
\[ \rho_0 \in L^2(\mathbb{R}^3), \quad \rho_0 \geq 0, \quad \rho_0|\partial\Omega_0 = 0. \]

Then
\[ \rho(\tau, \cdot)|_{\Omega_\tau} = 0 \quad \text{for any } \tau \in [0, T]. \]

Proof. We use the level set approach to describe the interface \( \Gamma_\tau \), see Osher and Fedkiw [16]. To this end, we introduce a function \( d = d(t, x) \) defined as the unique solution of the transport equation
\[ \partial_t d + \nabla x d(t, x) \cdot \mathbf{V} = 0, \quad t > 0, \ x \in \mathbb{R}^3, \]
with the initial data
\[ d(0, x) = d_0(x) \in C^\infty(\mathbb{R}^3), \quad d_0(x) = \begin{cases} > 0 & \text{for } x \in B \setminus \Omega_0, \\ < 0 & \text{for } x \in \Omega_0 \cup (\mathbb{R}^3 \setminus \overline{B}), \end{cases} \quad \nabla x d_0 \neq 0 \quad \text{on } \Gamma_0. \]

Note that the interface \( \Gamma_\tau \) can be identified with a component of the level set \( \{d(\tau, \cdot) > 0\} \), while the sets \( B \setminus \Omega_\tau \) correspond to \( \{d(\tau, \cdot) < 0\} \). Finally,
\[ \nabla x d(\tau, x) = \lambda(\tau, x) \mathbf{n}(x) \quad \text{for any } x \in \Gamma_\tau, \]
\[ \lambda(\tau, x) \geq 0 \quad \text{for } \tau \in [0, T]. \] (4.13)

For a given \( \xi > 0 \), we take
\[ \varphi = \left[ \min\left\{ \frac{1}{\xi} d; 1 \right\} \right]^+ \]
as a (Lipschitz) test function in the variational formulation (4.11) to obtain
\[ \int_{B \setminus \Omega_\tau} \rho \varphi(\tau, \cdot) \, dx = \frac{1}{\xi} \int_0^\tau \int_{\{0 \leq d(t, x) < \xi\}} (\rho \partial_t d + \rho \mathbf{u} \cdot \nabla x d) \, dx \, dt. \] (4.14)

Now, we have
\[ \rho \partial_t d + \rho \mathbf{u} \cdot \nabla x d = \rho (\partial_t d + \mathbf{u} \cdot \nabla x d) = \rho (\mathbf{V} - \mathbf{u}) \cdot \nabla x d \]
where, by virtue of hypothesis (4.12) and relation (4.13),
\[ (\mathbf{V} - \mathbf{u}) \cdot \nabla x d \in W_{0, \Omega_t}^{1,2}(B \setminus \Omega_t) \quad \text{for a.a. } t \in (0, \tau). \] (4.15)

Introducing
\[ \delta(t, x) = \text{dist}_\mathbb{R}^3[x, \partial(B \setminus \Omega_t)] \quad \text{for } t \in [0, \tau], \ x \in B \setminus \Omega_t, \]
we deduce from (4.15) and Hardy’s inequality that
\[
\frac{1}{\delta}(V - u) \cdot \nabla_x d \in L^2([0, \tau] \times B \setminus Q_\tau).
\]

Finally, since \(V\) is regular, we have
\[
\delta(t, x) \leq c \quad \text{whenever } 0 \leq d(t, x) < \xi;
\]
whence, letting \(\xi \to 0\) in (4.14), we obtain the desired conclusion
\[
\int_{B \setminus \Omega_\tau} \rho(\tau, \cdot) \, dx = 0,
\]
where we have used the fact that \(\rho \in L^\infty(0, T; L^2(B))\).

Thus, by virtue of Lemma 4.1, the momentum equation (4.10) reduces to
\[
\int_\Omega \rho u \cdot \varphi(\tau, \cdot) \, dx - \int_\Omega (\rho u)_{0, \delta} \cdot \varphi(0, \cdot) \, dx
\]
\[
= \int_0^\tau \int_\Omega \left( \rho u \cdot \Delta_x \varphi + \rho [u \otimes u] : \nabla_x \varphi + p(\rho) \div_x \varphi + \delta \rho^\beta \div_x \varphi 
\right.
\]
\[
- \mu \left( \nabla_x u + \nabla_x^2 u - \frac{2}{3} \div_x u I \right) : \nabla_x \varphi \right) \, dx \, dt
\]
\[
- \int_0^\tau \int_{B \setminus \Omega_\tau} \mu(\omega) \left( \nabla_x u + \nabla_x^2 u - \frac{2}{3} \div_x u I \right) : \nabla_x \varphi \, dx \, dt
\]
for any test function \(\varphi\) as in (4.4). We remark that it was exactly this step when we needed the extra pressure term \(\delta \rho^\beta\) ensuring the density \(\rho\) to be square integrable.

4.2. Vanishing viscosity limit

In order to get rid of the last integral in (4.16), we take the viscosity coefficient
\[
\mu(\omega) = \begin{cases} 
\mu = \text{const} > 0 & \text{in } Q_T, \\
\mu(\omega) \to 0 & \text{a.a. in } ((0, T) \times B) \setminus Q_T.
\end{cases}
\]

Denoting \(\{\rho_\omega, u_\omega\}\) the corresponding solution constructed in the previous section, we may use (3.14) to deduce that
\[
\int_0^T \int_\Omega \left( \nabla_x u_\omega + \nabla_x^2 u_\omega - \frac{2}{3} \div_x u_\omega I \right)^2 \, dx \, dt < c,
\]
while
\[
\int_0^T \int_{B \setminus \Omega_t} \mu_\omega \left| \nabla_x u_\omega + \nabla_t^\omega u_\omega - \frac{2}{3} \text{div}_x u_\omega \| \right|^2 \, dx \, dt \leq c,
\]
where the latter estimates yield
\[
\int_0^T \int_{B \setminus \Omega_t} \sqrt{\mu_\omega} \sqrt{\mu_\omega} \left( \nabla_x u_\omega + \nabla_t^\omega u_\omega - \frac{2}{3} \text{div}_x u_\omega \| \right) : \nabla_x \varphi \, dx \, dt \to 0 \quad \text{as } \omega \to 0
\]
for any fixed \( \varphi \).

On the other hand, as we know from Lemma 4.1 that the density \( \rho_\omega \) is supported by the “fluid” region \( Q_T \), we can still use (3.11), (4.17), together with Korn’s inequality to obtain
\[
\int_0^T \int_{\Omega_t} \left| \nabla_x u_\omega \right|^2 \, dx \, dt \leq c.
\]

Repeating step by step the arguments of the preceding section, we let \( \omega \to 0 \) to obtain the momentum equation in the form
\[
\int_0^T \int_{\Omega_t} (\rho u \cdot \partial_t \varphi + \rho [u \otimes u] : \nabla_x \varphi + p(\rho) \text{div}_x \varphi + \delta \rho^{\beta} \text{div}_x \varphi - \mathcal{S}(\nabla_x u) : \nabla_x \varphi) \, dx \, dt
\]
for any test function \( \varphi \) as in (4.4). Note that compactness of the density is now necessary only in the “fluid” part \( Q_T \) so a possible loss of regularity of \( u_\omega \) outside \( Q_T \) is irrelevant.

### 4.3. Vanishing artificial pressure

The final step is standard, we let \( \delta \to 0 \) in (4.18) to get rid of the artificial pressure term \( \delta \rho^{\beta} \) and to adjust the initial conditions, see [5, Chapter 6]. However, the momentum equation identity (4.18) holds only for the class of functions specified in (4.4). The last step of the proof of Theorem 2.1 is therefore to show that the class of admissible test functions can be extended by density arguments. This will be shown in the following part.

#### 4.3.1. Extending the class of test functions

Consider a test function \( \varphi \in C_0^\infty ([0, T] \times R^3; R^3) \) such that
\[
\varphi(\tau, \cdot) \cdot n|_{\Gamma_\tau} = 0 \quad \text{for any } \tau.
\]

Our goal is to show the existence of an approximating sequence of functions \( \varphi_n \) belonging to the class specified in (4.4) and such that
Combining (4.20) with Lebesgue dominated convergence theorem we may infer that \( \varphi \) belongs to the class of admissible test functions for (2.3).

In other words, we have to find a suitable solenoidal extension of the tangent vector field \( \varphi|_{\Gamma_T} \) inside \( \Omega_T \). Since \( \Gamma_T \) is regular, there is an open neighborhood \( U_T \) of \( \Gamma_T \) such that each point \( x \in U_T \) admits a single closest point \( b_{\tau}(x) \in \Gamma_T \). We set

\[
h(\tau, x) = \varphi(\tau, b_{\tau}(x)) \quad \text{for all } x \in U_T.
\]

Finally, we define

\[
w(\tau, x) = h(\tau, x) + g(\tau, x),
\]

where

\[
g(\tau, x) = 0 \quad \text{whenever } x \in \Gamma_T,
\]

and, taking the local coordinate system at \( x \) so that \( e_3 \) coincides with \( x - b_{\tau}(x) \), we set

\[
g(\tau, x) = [0, 0, g^3(\tau, x)], \quad \partial_{x_3} g^3(\tau, x) = -\partial_{x_1} h_1(\tau, x) - \partial_{x_2} h_2(\tau, x).
\]

We check that

\[
div_x w(\tau, \cdot) = 0 \quad \text{in } U_T, \quad w(\tau, \cdot)|_{\Gamma_T} = \varphi(\tau, \cdot)|_{\Gamma_T}.
\]

Furthermore, extending \( w(\tau, \cdot) \) inside \( \Omega_T \), we may use smoothness of \( \varphi \) and \( \Gamma_T \) to conclude that

\[
w \in W^{1, \infty}(Q_T).
\]

As a matter of fact, a (smooth) extension of \( \varphi \), solenoidal in the whole domain \( Q_T \), was constructed by Shifrin [19, Theorem 4].

Writing

\[
\varphi = (\varphi - w) + w,
\]

we check that the field \( w \) belongs to the class (4.4), while

\[
(\varphi - w)(\tau, \cdot)|_{\partial \Omega_T} = 0 \quad \text{for any } \tau \geq 0.
\]

Thus, finally, it is a matter of routine to construct a sequence \( a_n \) such that

\[
a_n \in C^\infty_c([0, T] \times B; \mathbb{R}^3), \quad \text{supp}[a_n(\tau, \cdot)] \subset \Omega_T \quad \text{for any } \tau \in [0, T],
\]

in particular \( a_n \) belongs to the class (4.4), and

\[
\|a_n\|_{W^{1, \infty}((0, T) \times B; \mathbb{R}^3)} \leq c, \quad a_n \to (\varphi - w), \quad \partial_t a_n \to \partial_t (\varphi - w), \quad \text{and} \quad \nabla_x a_n \to \nabla_x (\varphi - w) \quad \text{a.a. in } Q_T.
\]
Clearly, the sequence 
\[ \varphi_n = a_n + w \]
complies with (4.20).

We have completed the proof of Theorem 2.1.

5. Discussion

The assumption on monotonicity of the pressure is not necessary, the same result can be obtained for a non-monotone pressure adopting the method developed in [4].

As already pointed out, the technicalities of Section 4.3.1 could be avoided by means of the construction of special test functions used in [7]. However, it would be interesting to show that the pressure is bounded in some \( L^q(Q_T) \), with \( q > 1 \), meaning that the estimate (3.18) holds in \( Q_T \).

As pointed out in the introduction, the general Navier slip conditions (1.1) are obtained introducing another boundary integral in the weak formulation, namely
\[
\int_0^T \int_{\Gamma_t} \kappa (u - V) \cdot \varphi \, dS_x \, dt.
\]

Taking \( \kappa = \kappa(x) \) as a singular parameter, we can deduce results for mixed type no-slip – (partial) slip boundary conditions prescribed on various components of \( \Gamma_t \).

Last but not least, the method can be extended to unbounded (exterior) domains with prescribed boundary conditions “at infinity”.

References

