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# Impact of coverage-dependent marginal costs on optimal HPV vaccination strategies





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#### ARTICLE INFO

Article history: Received 5 March 2014 Received in revised form 26 January 2015 Accepted 27 January 2015 Available online 7 February 2015

Keywords: Human papillomavirus vaccination Marginal distribution costs Stochastic agent-based models Male HPV vaccination Optimal vaccine distribution

#### ABSTRACT

The effectiveness of vaccinating males against the human papillomavirus (HPV) remains a controversial subject. Many existing studies conclude that increasing female coverage is more effective than diverting resources into male vaccination. Recently, several empirical studies on HPV immunization have been published, providing evidence of the fact that marginal vaccination costs increase with coverage. In this study, we use a stochastic agent-based modeling framework to revisit the male vaccination debate in light of these new findings. Within this framework, we assess the impact of coverage-dependent marginal costs of ongoing and new vaccination programs, we analyze different resource allocation policies and their effects on overall disease burden. Our results suggest that if the costs associated with vaccinating males are relatively close to those associated with vaccinating females, then coverage-dependent, increasing marginal costs may favor vaccination strategies that entail immunization of both genders. In particular, this study emphasizes the necessity for further empirical research on the nature of coverage-dependent vaccination costs.

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#### Introduction

The sexually transmitted human papillomavirus (HPV) is a significant global public health burden. Almost all cervical cancers, up to 90% of anal cancers, and up to 60% of oropharyngeal cancers are caused by HPV (Crow, 2012). In the USA alone, the incidence of new HPV-related cancer cases in 2009 exceeded 35,000, with more than a third of cases occurring in the male population (Jemal et al., 2013). Although screening has led to a significant decrease in cervical cancer incidence and mortality in developed countries, there has been an increase in other HPV-related cancers for which population screening is not currently performed (Simard et al., 2012; Shiels et al., 2012). The introduction of effective prophylactic vaccines against HPV-16 and HPV-18, the two types responsible for 70% of cervical cancers, as well as most anal and oropharyngeal HPV-related cancers, provides an additional strategy for preventing

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morbidity and mortality. Although both commercially available HPV vaccines were originally approved for use in girls and women only, the quadrivalent vaccine (Gardasil © Merck, Inc., Whitehall Station, NJ) was approved by the US Food and Drug Administration in 2009 for use in boys and men. Despite vaccination of boys being recommended by the Centers for Disease Control and Prevention (2014), vaccine coverage in adolescent males remains low in the United States, where it is currently around 13.9% (Stokley et al., 2014).

Whether allocating further resources to increase low coverage in adolescent males is more effective than vaccinating females alone remains controversial. Existing studies vary in their conclusions, with most finding that targeting females alone is most cost effective: see Elbasha and Dasbach (2010) (see also Elbasha et al., 2007), Taira et al. (2004), Kim et al. (2007), Kim and Goldie (2009), Brisson et al. (2011), Bogaards et al. (2011), Chesson et al. (2011), and Seto et al. (2012) for a review. A common assumption in all of these studies is that the vaccination costs consist of direct costs (i.e., vaccine price) only. However, several recent publications indicate that an increase in coverage might be subject to additional marginal costs of vaccine distribution. In fact, the number of preadolescent and

http://dx.doi.org/10.1016/j.epidem.2015.01.003

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early adolescent girls in the USA who have completed the full vaccine series appears to have plateaued around 37% (Stokley et al., 2014), which is a much lower coverage level than was assumed by previous analyses (e.g., 75% in Kim and Goldie, 2009). In addition, the willingness of parents to have their preadolescents vaccinated may be decreasing, with 44% of US parents opposing vaccination (Darden et al., 2013). Together, these findings suggest that a further increase in female coverage will require costly education and outreach programs to reach the unvaccinated population, resulting in increasing marginal costs in addition to direct vaccine costs. The necessity to study the potential impact of these additional costs on optimal resource allocation has been emphasized previously (Elbasha and Dasbach, 2010; Bogaards et al., 2011), but to our knowledge the issue has not yet been addressed explicitly.

In this study, we develop an agent-based modeling framework to assess the impact of coverage-dependent marginal vaccination costs on optimal resource allocation policies for vaccination against HPV. We do so by considering two different scenarios. First, we assess globally optimal resource allocation in the case of new vaccine programs. In this scenario, which is particularly relevant to countries without an HPV vaccination program, we seek to identify allocation policies that yield a maximum decrease in disease burden. In the second scenario, we optimize the distribution of resources in the case where a positive fraction of the population is already vaccinated.

#### Model description and validation

Various groups have developed mathematical models to optimize vaccination strategies against HPV (Elbasha and Dasbach, 2010; Elbasha et al., 2007; Taira et al., 2004; Kim et al., 2007; Kim and Goldie, 2009; Brisson et al., 2011; Bogaards et al., 2011; Chesson et al., 2011; Seto et al., 2012). Among these models, there are stochastic agent-based models (e.g., Brisson et al., 2011), deterministic compartment models (e.g., Bogaards et al., 2011), and hybrid models (e.g., Kim and Goldie, 2009). Over the past years, it has been emphasized that the heterogeneity of sexual networks plays an important role in disease transmission (see Durrett (2010) for a detailed discussion). In particular, time-ordering (Moody, 2002; Carvalho and Goncalves, 2012), assortativity (Malagón et al., 2013), and concurrency (Goodreau, 2011) of relationships in sexual networks are now recognized as important mechanisms affecting the spread of sexually transmitted infections. Acknowledging the importance of these mechanisms, we develop a stochastic agentbased model (ABM), in which stochastic infection dynamics of SIR/S-type take place on a dynamic random graph model of sexual network evolution. In addition, we develop a compartment model (CM) that provides an analytically tractable simplification of the ABM and yields qualitatively similar results despite its simplicity.

#### Model development

We consider a cohort of sexually active adolescents, containing *N* females and *N* males. Individuals enter the cohort at age 14 and leave when they turn 19 years old. Depending on the magnitude of *N*, the model can describe, for example, the network of students in a single high school, or the entire adolescent population in a given town or geographic region. For simplicity, we consider only heterosexual relationships; the role of bi- and homosexual relationships is addressed in "Discussion" section. As illustrated in Fig. 1A, each of the  $N^2$  possible male-female pairs (edges) in the cohort initiates a relationship at rate  $e_1$  and can engage in sexual activity until dissolution of the relationship, which occurs at rate  $e_0$ . The rates  $e_1$  and  $e_0$  vary by edge, enforcing assortative mixing according to age and sexual activity level. Regarding the latter, we follow Van de Velde et al. (2010) and categorize individuals into four groups,  $L0, \ldots, L3$ , ranging from low (L0) to high (L3) sexual activity. In keeping with studies of teenage sexual networks, we allow for concurrency, i.e. individuals are permitted to have more than one relationship simultaneously (Bearman et al., 2004; Doherty et al., 2007; Rosenberg et al., 1999).

We model the dynamics of genital infections with HPV-16 and HPV-18 only. In fact, HPV-16/18 are the main oncogenic strains and account for roughly 70% of HPV-associated cancers (Crow, 2012). Moreover, they are the only oncogenic strains covered by vaccines currently available to the public. There is currently no compelling evidence for either synergy or competition between vaccine types and non-vaccine types (Chaturvedi et al., 2011; Goldman et al., 2013). Consequently, we assume that transmission, acquisition and clearance of HPV-16/18 are independent of co-infection with other strains. As illustrated in Fig. 1B, susceptible individuals can become infected when engaging in sexual activity with infected individuals. We denote the per-relationship transmission rate from infected females (males) to susceptible males (females) by  $\beta_{fm}$  ( $\beta_{mf}$ ). The total numbers of susceptible and infected females are denoted by  $S_f$  and  $I_f$ , respectively, with analogous notation for males. Most HPV infections are transient (Evander et al., 1995), and we assume that infected individuals clear the virus at rates  $\sigma_f$  and  $\sigma_m$ , respectively. The number of recovered females (males) is denoted by  $R_f(R_m)$ . Since we are interested in different vaccination strategies, we introduce  $v_f(v_m)$ , the fraction of vaccinated females (males). For the purpose of this study, we only consider completed vaccinations (3 doses), and we assume a vaccine efficacy of 100%. A detailed description of the ABM is found in "Agent-based model" section in Appendix A.

#### Large population limit

In addition to the ABM, we introduce a deterministic CM. Due to its simplicity, the CM is analytically tractable, and enables more systematic analyses such as the roadmap to herd immunity in "Local analysis: roadmap to herd immunity" section. To derive the CM, we neglect network heterogeneity and assortativity in the ABM approach and pass to the large population limit  $(N \rightarrow \infty)$ . The resulting deterministic transmission model is described by the following set of ordinary differential equations (see "Deterministic compartment model" section in Appendix B for details):

$$\begin{split} \dot{S}_m &= (1 - \nu_m)\gamma - \beta_{fm}S_mI_f - \gamma S_m, \\ \dot{I}_m &= \beta_{fm}S_mI_f - (\gamma + \sigma)I_m, \\ \dot{R}_m &= \sigma_m I_m - \gamma R_m, \end{split}$$
(1)

where the equations for the female variables are analogous, with the subscripts interchanged. The notation is the same as for the ABM, expect that  $\beta_{fm}$  and  $\beta_{mf}$  are now effective transmission rates, corresponding to the per-relationship transmission rates from the ABM model multiplied by a scaling factor related to effective network connectivity. Note that we have normalized the variables by the total population size, so they represent relative fractions rather than absolute numbers. A brief discussion of basic properties of system (1) and its basic reproductive number  $\mathcal{R}_*$  is found in "Model description" section in Appendix B.

#### Model parametrization

The parametrization of the network part of the ABM was partially based on estimates provided in the work of Van de Velde et al. (2010). To enable a direct parameter comparison between our and Van de Velde's model, we had to rescale our edge formation rate  $e_1$  by a dimensionless scaling factor  $\mathcal{E}$ . In a first step, we



**Fig. 1.** Stochastic model dynamics. (A) The *N* male (black) and *N* female (gray) nodes initiate and dissolve relationships at rates  $e_1$  and  $e_0$ , respectively. The edge rates depend on the age and sexual activity levels of the associated individuals. (B) The disease dynamics on the random graph are of SIR/S-type with immigration and death. Susceptible individuals ( $S_{m/f}$ ) enter the network at age 14, with a probability  $v_{m/f}$  of being vaccinated ( $R_{m/f}$ ). Disease transmission takes place between infected ( $I_{m/f}$ ) and susceptible nodes, and the infection rate  $\zeta_{m/f}$  of a given node depends on the current number of infected partners. Infected individuals clear the virus at rate  $\sigma$ . Upon clearing the virus, individuals enter the compartments of recovered nodes ( $R_{m/f}$ ) with probability  $p_{m/f}$  or re-enter the compartments of susceptible nodes ( $S_{m/f}$ ) with probability  $1 - p_{m/f}$ . Individuals age deterministically and leave the system upon turning 19 years old, so that the total number of individuals in the system is conserved.

parametrized the network part of the ABM. To account for parameter uncertainty (i.e. large prior ranges) we applied a dynamic re-sampling scheme for most network parameters (see "Network parametrization" section in Appendix A for details). More precisely, during simulations we re-sampled these parameters uniformly across their prior ranges every  $\Delta t = 0.025$  years ( $\approx 10$  days). The scaling factor  $\mathcal{E}$  on the other hand was inferred by fitting the degree distribution of the simulated sexual network to the degree distribution of a high school sexual network described in (Bearman et al., 2004), see "Network calibration" section in Appendix A for details. Once the network part of the model was parameterized, we used longitudinal data on prevalence of HPV-16/18 in adolescents (Markowitz et al., 2013) together with vaccine uptake data (Centers for Disease Control and Prevention, 2014) to infer the viral transmission and clearance rates. We tested 1000 prior sets for the disease parameters, from which only 26 sets satisfied our criteria of a good fit. We call these the Posterior Sets, and all ABM results throughout this study are presented in terms of these Posterior Sets, which are listed in Table 8. A detailed description of the ABM parametrization is found in "Simulations" to "Disease dynamics parametrization" sections in Appendix A, and a summary of the ABM parameters and their prior ranges is given in Table 1.

To parametrize the CM, we used the 26 Posterior Sets from the ABM, and rescaled the viral transmission rates by a

network connectivity parameter to account for the differences in network structure between the ABM and the CM. For each Posterior Set, the connectivity parameter was estimated separately by fitting the CM-derived prevalence levels to the empirical data as described above for the ABM. CM results throughout this study are presented in terms of the 26 Posterior Sets (including the network connectivity parameter). Details on the CM parametrization are found "Model parametrization" section in Appendix B.

#### The costs and benefits of vaccination

Conclusions drawn from mathematical vaccination models naturally depend on the underlying choices of cost and benefit measures. Several authors have called for a more detailed analysis of the relationship between these measures and optimal vaccination strategies against HPV (Bogaards et al., 2011; Elbasha and Dasbach, 2010). Here, we follow their call and assess how coveragedependent costs impact optimal vaccination strategies. Since the nature of these cost functions is largely unknown, we work with a general class of functions, subject to a few natural assumptions. More precisely, we assume that the cost of vaccinating a proportion  $v_m$  of males and  $v_f$  of females is a non-negative function  $C(v_m, v_f)$ satisfying the following two conditions:

#### Table 1

Model parameters: summary. Prior ranges are for dynamically sampled and inferred parameters only; Group 1: fixed parameters, Group 2: dynamically re-sampled parameters, Group 3: parameters sampled for each individual separately. Units for rates: per year.

Parameter	Name	Prior range	Value	Group	Туре	Refs.
$\Lambda(a, a')$	Age mixing matrix	_	Table 2	1	Fixed	Kaestle et al. (2002)
ε	e1 scaling	-	1.5	1	Inferred	Bearman et al. (2004)
$\theta(g, a, l)$	Initiation rate	Table 3	-	2	Sampled	Van de Velde et al. (2010)
$\hat{\sigma}(a, l)$	Dissolution rate	Table 4	-	2	Sampled	Van de Velde et al. (2010)
κ	Assortative degree	[65, 140]	-	2	Sampled	Van de Velde et al. (2010)
$\rho(l)$	Activity level fractions	Table 5	-	3	Sampled	Van de Velde et al. (2010)
$\beta_{mf}$	m-f transmission	[1.6, 187]	Posterior Set	1	Inferred	Hernandez et al. (2008), Widdice et al. (2013), Burchell et al. (2011)
$\beta_{fm}$	f-m transmission	[1.6, 187]	Posterior Set	1	Inferred	Hernandez et al. (2008), Widdice et al. (2013), Burchell et al. (2011)
σ	Clearance rate	[0.63, 1.88]	Posterior Set	1	Inferred	Giuliano et al. (2011), Moscicki et al. (2004)
$p_m$	Prob. of immunity	[0.1, 0.85]	-	3	Sampled	Van de Velde et al. (2010)
$p_f$	Prob. of immunity	[0.2, 0.9]	-	3	Sampled	Van de Velde et al. (2010)

(1) 
$$C(v_m, v_f) > 0$$
 for all  $v_m + v_f > 0$ ,  
(2)  $\frac{\partial C}{\partial v_m} \ge c_m > 0$ ,  $\frac{\partial C}{\partial v_f} \ge c_f > 0$ .

Condition (1) says that it always costs something to vaccinate an individual, while (2) accounts for a minimal per-person cost,  $c_{m|f}>0$ , of administering the vaccine. Importantly, these conditions do not specify the local curvature of the cost function, allowing for different scenarios. For example, one would expect to spend less money to raise coverage from 10% to 11% than to raise it from 40% to 41%, since a certain proportion of the population may be difficult to vaccinate (Darden et al., 2013). However, the corresponding increasing marginal costs may not apply at all coverage levels. In fact, economies of scale and network externalities could play a role at higher coverage levels, leading to locally concave cost functions (Bishai et al., 2006). Examples of cost-function level curves in a fixed-resource setting where  $C(v_m, v_f) = K$  for some K > 0, are found in Fig. 2a.

To quantify the benefits of vaccination, we introduce the *benefit* function  $B^{\lambda}(v_m, v_f)$ ,

$$B^{\lambda}(v_m, v_f) \equiv 1 - I_{tot}^{\lambda}(v_m, v_f), \qquad \lambda \in [0, 1],$$
<sup>(2)</sup>

where  $I_{tot}^{\lambda}(v_m, v_f)$  is the burden of infection, defined as

$$I_{tot}^{\lambda}(v_m, v_f) \equiv \lambda I_m(v_m, v_f) + (1 - \lambda)I_f(v_m, v_f), \qquad \lambda \in [0, 1].$$
(3)

The latter is a weighted sum of the fraction of infected males and the fraction of infected females, and  $\lambda$  determines the sex-specific burden of infection. It ranges from  $\lambda = 0$  (focus on female infections only) to  $\lambda = 1$  (focus on male infections only). In fact, the potential costs associated with a male infection are different from the costs associated with a female infection: the probability to develop an HPV-associated disease is both sex- and site-specific. Considering that in the US and Europe roughly one in three HPV-related cancers affect men (Stanley, 2012; Jemal et al., 2013), it seems reasonable to assume that  $\lambda \in (0, 1/2)$ . Since the true value of  $\lambda$  is difficult to ascertain, we present the model results below for both the lower ( $\lambda = 0$ ) and upper ( $\lambda = 1/2$ ) bounds of this interval. As explained in "Estimation of  $\lambda$ " section in Appendix C, we estimate the true value of  $\lambda$  to be of the order of  $\lambda \approx 7 \cdot 10^{-3}$ , and model results obtained for this value are virtually identical to the lower bound  $\lambda = 0$ .

Finally, it is important to emphasize that a complete costeffectiveness analysis is not feasible within our framework as such an analysis would require extending the cohort to all ages, and introducing additional models for cancer progression. Nevertheless, sufficient prevalence levels in adolescent populations are critical for the survival of HPV in the entire population. In this sense, the upper bound  $\lambda = 1/2$ , for which  $I_{tot}^{1/2}(v_m, v_f)$  measures the cohort prevalence of infection, provides valuable information in itself.

#### Results

A key public health challenge with respect to HPV vaccination is the optimal allocation of available resources among the population. Since both males and females can develop symptomatic diseases that require medical intervention (e.g. genital warts and various cancer types), both sexes benefit from immunization. However, the optimal distribution of vaccines among males and females is difficult to establish. The most challenging part is the parametrization of the coverage-dependent costs of vaccination. To our knowledge there is currently no empirical data on these costs in the specific case of HPV. Despite several authors asking for more detailed analyses regarding the impact of marginal costs (Elbasha and Dasbach, 2010; Bogaards et al., 2011), to our knowledge, no previous study has addressed this issue. The goal of our analysis is to assess their potential impact on optimal vaccine distribution in different scenarios: (i) in countries with no HPV vaccine programs in place,



Fig. 2. Benefit under symmetric cost curves. (a) The three curves A-C are fixed-cost curves with differing levels of convexity (for the corresponding ratios of marginal administration to marginal fixed costs, see Fig. 8): linear curve with no marginal costs associated with vaccine administration (A), mildly convex curve with high marginal costs of vaccine administration (B), and more convex curve with low marginal costs of vaccine administration (C). Maximal single-sex coverage is 35% for all three curves. In the region below the dotted line there is an endemic equilibrium ( $\mathcal{R}_* > 1$ ). Vaccination coverage on and above the dotted line confers herd immunity ( $\mathcal{R}_* \leq 1$ ). (b) Moving along the level-sets of the cost functions A–C in (a), the vaccination benefit  $B^{\lambda}(v_m, v_f)$  is shown as a function of  $v_m$  for  $\lambda = 0$ . (c) Same as (b) but with  $\lambda = 1/2$ . In (a) and (b), 25 simulations for each of the 26 Posterior Sets were run for 30 years. Prevalence levels were time-averaged between 15 and 30 years for each run, and the time averages were in turn averaged over the 25 realizations per Posterior Set. For each value of  $v_m$ , the mean, minimum and maximum benefits across the Posterior Set are represented by the symbol and the error bars. respectively.

the central issue is how to allocate resources to set up the most efficient new vaccine program; (ii) in countries with ongoing vaccine programs, the main issue is how to invest newly allocated resources such that herd immunity-conferring coverage levels are approached most efficiently. In "Global analysis: when a mixed protocol is optimal" section we address scenario (i) under the assumption of symmetric cost curves and homogeneous vaccine uptake. Next, we study the impact of asymmetric cost curves in "Impact of asymmetric cost curves" section, and assortative vaccine uptake in "Impact of assortativity in vaccine uptake" section. Finally, scenario(ii) is addressed in "Local analysis: roadmap to herd immunity" section.

#### Global analysis: when a mixed protocol is optimal

In this section, we characterize the globally optimal resource distribution between both sexes. Thereby, the aim is to determine the optimal strategy along fixed cost curves,  $C(v_m, v_f) = K$ , where K > 0 is the amount of allocated resources. This scenario is particularly relevant for low-resource countries with a current lack of HPV vaccine programs.

Based on ABM simulations, we determine the vaccine benefit along three symmetric cost function level sets, see curves A, B, and C in Fig. 2a, and present the results for  $\lambda = 0$  in Fig. 2b, and for  $\lambda = 1/2$ in Fig. 2c. We point out two interesting observations. First, incorporating coverage-dependent marginal costs drastically changes the shape of the benefit curve. For the linear cost curve A, the optimal strategy entails female-only vaccination ( $v_m = 0$ ), and the benefit decreases as resources are shifted toward males ( $v_m > 0$ ). In contrast, for increasing convexity in the cost curve (curves B and C), the benefit increases as  $v_m$  becomes positive. In particular, the maximum benefit is achieved for a mixed vaccination strategy where both genders are vaccinated, and the height of the peak correlates with the convexity of the cost curve. Second, the impact of coverage-dependent costs is, qualitatively speaking, independent of the  $\lambda$  value: the optimal strategy is mixed, whether we focus on female prevalence ( $\lambda = 0$ ) or population prevalence ( $\lambda = 1/2$ ). The only qualitative difference between the two cases is found at the end points ( $v_m = 0$  and  $v_m = 0.35$ ) of the benefit curve: while female-only vaccination is more beneficial in the case  $\lambda = 0$ , the two pure strategies perform almost identically in the case  $\lambda = 1/2$ .

To ascertain whether the above observations were due to particular features of assortativity and heterogeneity in the ABM, we repeated the analysis using the homogeneously mixing CM from "Large population limit" section. Interestingly, as shown in Fig. 3, the benefit curves are qualitatively very similar, underscoring the robustness of the above observations with respect to coveragedependent marginal costs.

Finally, we considered potential limitations due to our assumption of a closed sexual network of 14-18 year old adolescents. In fact, there is data suggesting that up 35-40% of females in this age group have sexual relationships with males who are at least 3 years older (Darroch et al., 1999), raising questions about our choice of network closure. To assess the potential impact of such relationships on our results, we repeated the simulations from Fig. 2 in the presence of additional relationships between females in the network and older males outside the network. In these simulations, external males were assigned a probability of being infected with HPV-16/18, and they could transfer the disease to their female partners inside the network, see "The role of external relationships" section in Appendix E for details. The simulation results (Fig. 9) show that adding external males causes changes in the overall prevalence levels. Since 20-29 years old males have higher prevalence levels of HPV-16/18 than younger males inside the network, see "The role of external relationships" section in Appendix E for estimates, the external relationships increase the disease burden among females considerably. This is reflected by the fact that the average benefit for  $\lambda = 0$  (female-only benefit), Fig. 9a and c, is smaller than for  $\lambda = 1/2$  (population benefit), Fig. 9b and d, respectively. However, despite the impact on female prevalence, a comparison between Figs. 9 and Fig. 2 (no external



**Fig. 3.** Compartment model: benefit under symmetric cost curves. (a) Moving along the cost curves A–C in Fig. 2a, the vaccination benefit  $B^{\lambda}(v_m, v_f)$  is shown as a function of  $v_m$  for  $\lambda = 0$ . (b) Same as (a) but with  $\lambda = 1/2$ . In (a) and (b), the CM steady states for the 26 Posterior Sets were computed. For each value of  $v_m$ , the mean, minimum and maximum benefits across the Posterior Set are represented by the symbol and the error bars, respectively.

relationships) shows that adding external relationships does not change the qualitative nature of the benefit curves. Most importantly, mixed vaccination strategies remain optimal for the nonlinear cost curves B and C.

#### Impact of asymmetric cost curves

The analysis in "Global analysis: when a mixed protocol is optimal" section was based on the gender symmetric cost curves in Fig. 2a. In other words, we assumed identical marginal costs of vaccination for males and females. Despite proved efficacy against infection with HPV-16/18 and the development of lesions in males (Giuliano et al., 2011; Palefsky et al., 2011), vaccine uptake in male adolescents (13.9%) is considerably lower than in female adolescents (37%) (Stokley et al., 2014). One possible reason for the differential uptake may be a general lack of awareness and knowledge about the role of HPV in cancers other than cervical cancer (Blödt et al., 2011). Together, the preference to vaccinate females over males (Liddon et al., 2010), and the low vaccine uptake in male adolescents, suggest that the marginal costs associated with male vaccination may be higher than the marginal costs associated with female vaccination. To assess the potential impact of this gender specific asymmetry on the optimal allocation of resources, we repeated the analysis from "Global analysis: when a mixed protocol is optimal" section with asymmetric cost curves.

First, starting from the mildly convex (but symmetric) cost curve B (see Fig. 2a), we added a 25% and 50% increase in male over female

marginal costs, leading to the asymmetric cost curves B1 and B2, respectively, see inset of Fig. 4a. As illustrated in Fig. 4a for  $\lambda = 0$  and Fig. 4b for  $\lambda = 1/2$ , the asymmetry in the cost curves counterbalances the effect of the increasing marginal costs: in comparison to the symmetric B curve, the global maximum of the benefit function is shifted toward  $v_m = 0$  for curves B1 and B2.

As shown in Fig. 4c and d, the impact of cost asymmetry on the benefit is smaller when starting from the more convex cost curve C from Fig. 2a. Here, increasing the marginal costs for male vaccination by 25% (C1) and 50% (C2), respectively, still shifts the maximum benefit toward  $v_m = 0$ , but the optimal strategy clearly remains of mixed type, consisting of positive male and female coverages.

#### Impact of assortativity in vaccine uptake

In the US, the recommended age of HPV vaccination in preteens is 11-12 years (Centers for Disease Control and Prevention, 2014). Since this is generally before onset of sexual activity, future sexual activity levels are not known at the time of vaccination. For this reason, most modeling studies assume uniform distribution of the vaccine across the population. However, many socioeconomic and sociodemographic factors that are associated with sexual behavior are also associated with vaccine uptake, and hence it is likely that vaccine uptake is associated with future sexual activity levels; see Malagón et al. (2013) and references therein for a detailed discussion of this issue. In this section, we address how differential vaccine uptake across sexual activity levels may affect the role of increasing marginal costs on resource allocation. For this purpose we repeat the analysis from "Global analysis: when a mixed protocol is optimal" section, and analyze two different scenarios of uptake, where vaccine uptake levels are either negatively or positively correlated with sexual activity.

To study the case of a negative correlation of vaccine uptake with sexual activity, allocated vaccines are distributed across the population such that an individual in the lowest activity level (L0) is twice as likely to receive a given vaccine than an individual in the lower intermediate level (L1), 4 times as likely than an individual in the upper intermediate level (L2), and 8 times as likely than an individual in the highest activity level (L3). For each allocated vaccine we then draw an individual at random with the above relative weights, and either set the individuals' status to vaccinated, or repeat the draw in case the individual had already received a vaccine. This process is iterated until all allocated vaccines are distributed. As shown in Fig. 5a for  $\lambda = 0$  and Fig. 5b for  $\lambda = 1/2$ , the benefit curves for this distribution scheme are qualitatively similar to the ones obtained under a uniform distribution of vaccines, see Fig. 2b and c for comparison. However, the average benefit is about two percentage points lower when vaccine uptake correlates with lower sexual activity (Fig. 5a and b). This highlights the dominant role of high activity individuals in the spread of HPV.

Regarding the case of a positive correlation between sexual activity and vaccine uptake, the vaccines are distributed the other way around: an individual in the highest activity level (L3) is twice as likely to receive a vaccine than an individual in the upper intermediate activity level (L2), 4 times as likely than an individual in the lower intermediate level (L1), and 8 times as likely than an individual in the lowest activity level (L0). As seen in Fig. 5c for  $\lambda = 0$  and Fig. 5d for  $\lambda = 1/2$ , this vaccine distribution scenario considerably changes the benefit curves in comparison to the case of uniform distribution across the population, see Fig. 2b ( $\lambda = 0$ ) and Fig. 2c ( $\lambda = 1/2$ ), respectively. For the linear cost curve A, the benefit of vaccination remains highest for single-sex vaccination strategies ( $\nu_m = 0$  and  $\nu_m = 0.35$ ), but for the convex cost curves B and C, the benefit becomes nearly constant and is close to 1, which means that the disease burden has nearly vanished.

#### Local analysis: roadmap to herd immunity

In the previous three sections, we focused on the scenario of new vaccination policies: assuming an initial coverage level of zero ( $v_m = v_f = 0$ ), we determined how to best distribute budgeted resources such that the overall disease burden was minimized. In the current section, we focus on an alternative scenario with initial nonzero coverage levels, ( $v_m, v_f$ )  $\neq$  (0, 0), and we are interested in how to distribute newly allocated resources. In other words, given the current coverage, we seek to determine whether the next dollar should be invested in male or female vaccination to decrease the disease-related burden. This question, raised previously by Elbasha and Dasbach (2010), is complicated by the fact that marginal costs and benefits, and hence the optimal strategy, depend on the current coverage levels.

We present the results of our analysis in the form of a 'roadmap to herd immunity' as shown in Fig. 6. More precisely, for each level of coverage corresponding to a point in the  $(v_m, v_f)$ -plane, we calculate the locally optimal strategy as follows. First, we compute the marginal benefit to marginal cost ratio of vaccinating males,  $r_{\rm m} =$  $\frac{\partial B}{\partial v_m} / \frac{\partial C}{\partial v_m}$ , and the corresponding quantity for females,  $r_f = \frac{\partial B}{\partial v_f} / \frac{\partial C}{\partial v_r}$ . If  $r_m > r_f$  at the given coverage level, then it is marginally more effective to allocate new resources toward the vaccination of males. Conversely, if  $r_m < r_f$  at the current coverage level, then vaccinating more females is marginally more effective. To quantify the uncertainty introduced by the 26 different parameter combinations in the Posterior Set, we proceeded as follows. For each coverage level  $(v_m, v_f)$ , we only retained the parameter sets that were endemic  $(\mathcal{R}_* > 1)$ , and eliminated all others. Next, we determined the optimal strategy for the endemic parameter combinations, added their individual 'votes' and normalized so that the uncertainty is visually represented in color between black (spend new resources on females) and white (spend new resources on males). As illustrated in Fig. 6, the magnitude of  $\lambda$  (rows) and the convexity of the costcurve (columns) have a significant impact on the locally optimal strategy.

#### Discussion

In most countries with HPV vaccine programs, male vaccine coverage is low in comparison with female coverage. Whether allocation of resources to increase male vaccination is more effective than continuing to vaccinate females alone remains a subject of debate among experts. Several groups have addressed this issue using mathematical modeling (Elbasha and Dasbach, 2010; Elbasha et al., 2007; Taira et al., 2004; Kim et al., 2007; Kim and Goldie, 2009; Brisson et al., 2011; Bogaards et al., 2011; Chesson et al., 2011; Seto et al., 2012), but there is currently no consensus on an optimal vaccination strategy. However, recent empirical findings may shed new light onto the ongoing debate about optimal resource allocation. In fact, the coverage level of HPV vaccination among US females has stagnated over the past three years, and there is increasing opposition among parents toward getting their daughters vaccinated (Centers for Disease Control and Prevention, 2014; Darden et al., 2013). These circumstances are likely to result in significant coverage-dependent marginal costs of vaccine administration, because a further increase in coverage will require costly education and outreach programs to reach the unvaccinated population. In light of these findings, we developed an agent-based model of adolescent sexual networks to examine the impact of coverage-dependent marginal costs on optimal vaccination strategies against HPV. Our model framework accounts for several aspects of real-world sexual networks, such as timeordered and concurrent relationships, as well as assortativity by age and sexual activity levels. 26 Posterior Sets (Table 8) for the main



**Fig. 4.** Benefit under asymmetric cost curves. (a) and (b) Cost curve B from Fig. 2a is rendered asymmetric (curves B1 and B2 in insets). Moving along these asymmetric cost curves, the benefit  $B^{\lambda}(v_m, v_f)$  is shown for  $\lambda = 0$  in (a) and for  $\lambda = 1/2$  in (b). (c) and (d) Cost curve C from Fig. 2a is rendered asymmetric (curves C1 and C2 in insets). Moving along these asymmetric cost curves, the benefit  $B^{\lambda}(v_m, v_f)$  is shown for  $\lambda = 0$  in (c) and for  $\lambda = 1/2$  in (d). For details on averaging and meaning of symbols and error bars, see Fig. 2.

disease parameters (gender specific transmission rates and clearance rates) were inferred by fitting the model to US prevalence data before and after introduction of the vaccine, and we accounted for all Posterior Sets when presenting the simulation results. Focusing on the two scenarios of pre-existing and new vaccination programs, our results suggest that if the costs associated with vaccinating males are close to those associated with vaccinating females, then coverage-dependent, increasing marginal costs favor vaccination strategies that entail immunization of both genders. The potential impact of increasing marginal administration costs has been previously noted (Elbasha and Dasbach, 2010; Bogaards et al., 2011), but not formally modeled. To our knowledge, this is the first study to explicitly address the issue of coverage-dependent marginal costs in the context of HPV vaccination.

#### Global analysis

First, we considered the problem of optimal allocation of fixed resources in "Global analysis: when a mixed protocol is optimal" section, assuming gender symmetric cost curves and uniform vaccine distribution. Specifically, we asked when the optimal allocation of resources would involve 'mixed' strategies, that is vaccinating positive fractions of both males and females. We found that the nature of the coverage-dependent marginal costs plays a significant role in determining the optimal allocation of resources. While the optimal strategy entails female-only vaccination for linear cost curves (curve A), an increase in convexity in the cost curves (curves B and C) means a shift of the optimal strategy toward equal distribution of resources among males and females, see Fig. 2.

Next, we relaxed the assumption of gender symmetry in the cost curves in "Impact of asymmetric cost curves" section. Acknowledging that the marginal administration costs may – e.g. due to a general lack of awareness of the role of HPV in diseases other than cervical cancer – be higher for males than females, we assessed optimal resource allocation for asymmetric cost curves. We found the implications of asymmetry to depend on the convexity of the cost curves, see Fig. 4. In the case of mildly convex cost curves (curve B), increasing the degree of asymmetry (curves B1 and B2) pushes the optimal strategy from 'mixed' back to 'female-only' vaccination. In the case of a more convex cost curve (curve C), the optimal strategy remains 'mixed', even when the male marginal costs are 25–50% higher than the female marginal costs (curves C1 and C2).

To conclude the discussion of global resource allocation, we assessed how a possible association between sexual activity and vaccine uptake would impact the optimal strategy in "Impact of assortativity in vaccine uptake" section. Here, we found that a negative correlation between sexual activity and vaccine uptake has very little impact on the optimal strategy, see Fig. 5a and b. This is due to the fact that a large fraction of individuals belongs to the lower sexual activity groups *L*0 and *L*1, and hence uniform uptake across the population is virtually identical to negatively correlated uptake across the activity levels. In contrast, if vaccine uptake is positively correlated with sexual activity, see Fig. 5c and d, then the overall vaccine benefit increases independently of the cost curve, and increasing convexity (curves B and C) results in disease eradication across all combinations of male and female vaccine coverage.



**Fig. 5.** Assortative vaccine uptake (symmetric cost curves). The symmetric cost curve analysis of Fig. 2 is repeated with different types of assortative vaccine uptake. (a) and (b) Vaccine uptake correlates negatively with sexual activity level: at the beginning of the year, vaccines are distributed among the sexual activity levels as follows: *L*0 (low activity) with probability 1/2, *L*1 and *L*2 with probabilities 1/3 and 1/6, respectively, and *L*3 (high activity) with probability 0. The benefit  $B^{\lambda}(v_m, v_f)$  along the three symmetric cost curves A–C (see inset in (c)) is computed for  $\lambda = 0$  (a) and  $\lambda = 1/2$  (b). (c) and (d) Vaccine uptake correlates positively with sexual activity level: at the beginning of the year, vaccines are distributed among the sexual activity level: as follows: *L*3 (high activity) with probability 1/2, *L*2 and *L*1 with probabilities 1/3 and 1/6, respectively, and *L*3 (high activity) with probability 1/2, *L*2 and *L*1 with probabilities 1/3 and 1/6, respectively, and *L*0 (low activity) with probability 0. The benefit  $B^{\lambda}(v_m, v_f)$  along the three symmetric cost curves A–C (see inset in (c)) is computed for  $\lambda = 0$  (c) and  $\lambda = 1/2$  (d).

#### Local analysis

Next, we assessed optimal allocation of new resources in the case of countries with a pre-existing vaccine program, such as the USA. From the results presented in Fig. 6, it is clear that the optimal allocation depends critically on the value of the sex-specific disease burden  $\lambda$  and the nature of the marginal cost curves, and that any future recommendation will require an empirical assessment of these curves. In particular, considering the current coverage in the USA ( $v_m = 13.9\%$ ,  $v_f = 37\%$ ), the optimal strategy changes from "spend new resources on females" in the case of a linear cost curve (curve A) to "spend new resources on males" in the case of convex cost curves (curves B and C).

Our results have implications beyond the USA. First, countries that have not fully implemented an HPV vaccination program should carefully consider the costs and benefits of both-sex vs girlsonly vaccination. In fact, our results suggest that the highest benefit from a vaccine program may be achieved with a both-sex strategy, provided that the there are considerable coverage-dependent marginal costs, and provided that these costs are comparable in magnitude between males and females. Second, a nine-valent vaccine is currently in development, with the potential to prevent up to 90% of cervical cancers (Serrano et al., 2012). Particularly in settings where organized screening is not currently available, the degree of reduction through vaccination could be comparable, or perhaps even better, than screening. Policy makers with limited resources might be faced with the choice of introducing a screening program or a vaccination program, in which case both-sex vaccination may play an important role.

#### Limitations

When interpreting the above results, it is important to be aware of the various limitations that come with the chosen modeling approach.

In a trade-off between realism and model simplicity, we restricted our modeling approach to a closed sexual network of 14-18 years old adolescents, representative of the setting of e.g. a high school or a small town. This choice reduces the model complexity and the number of parameters considerably, but it comes with the limitation that relationships outside the network cannot be accounted for explicitly. Since up to 35-40% of females aged 15-19 have sexual relationships with males who are 3 or more years older (Darroch et al., 1999), we performed additional simulations to assess the sensitivity of our results to the presence of relationships between females in the network with older males outside the network, see "The role of external relationships" section in Appendix E. Since 20-29 years old males have higher prevalence levels of HPV-16/18 than younger males inside the network, the external relationships lead to an overall increase in disease burden inside the network. However, we found that adding external relationships did not change the qualitative nature of the benefit curves: mixed vaccination strategies remained optimal for sufficiently nonlinear cost curves.

Our choice of four different sexual activity levels (L0–L4), together with the parametrization of the corresponding partnership initiation and dissolution rates, is likely to have a significant impact on the network topology and the spread of the virus across the cohort. The average activity distribution of 25% (fraction of



**Fig. 6.** Roadmap to herd immunity. The optimal allocation of new resources is analyzed as a function of current coverage levels  $v_m$  and  $v_f$  across the 26 Posterior Sets. Plain black regions ( $\uparrow$ ) indicate zones of current coverage levels in which allocating additional resources toward females is most effective for all Posterior Sets; plain white regions ( $\rightarrow$ ) indicate zones in which allocating resources toward males is most effective for all Posterior Sets; regions where the 26 Posterior Sets exhibit disagreement with respect to optimal allocation, the color interpolates between black (increase in fremale coverage is optimal) and white (increase in male coverage is optimal) according to the respective number of votes for either strategy; the gray zone in the upper right corner of each panel corresponds to herd immunity conferring coverage levels ( $\mathcal{R}_* < 1$ ). The following combinations of cost curves (see Fig. 2a for reference) and  $\lambda$  values are examined: (a) cost curve A and  $\lambda = 0$ , (c) cost curve C and  $\lambda = 0$ , (d) cost curve C and  $\lambda = 1/2$ .

individuals in activity level L0), 53% (L1), 20% (L2), and 2% (L3), puts a rather large weight on the lower end of the sexual activity spectrum (L0 and L1). Even though the prior ranges used for the sexual activity levels are based on epidemiological data, see "Network parametrization" section in Appendix A for details, it is important to emphasize that different activity weights (e.g. more individuals in the higher activity levels) could yield quantitative changes in our results. This limitation is particularly relevant for the vaccine assortativity analysis of "Impact of assortativity in vaccine uptake" section, which depends strongly on the activity distribution.

Another important determinant of the network connectivity is the degree of concurrency. To calibrate our sexual network model, we used the degree distribution of a real-life high school network as reported by Bearman et al. (2004). Even though Bearman et al. (2004) performed, to our knowledge, the most comprehensive study of an adolescent sexual network to date, their study has several limitations, which in turn may have influenced the results presented in the current study. More precisely, the students in the Bearman network may not have been representative of students from similar schools, and the number of reported relationships was truncated, which may have introduced considerable bias in the inferred degree distribution (Boily et al., 2013).

In our model, sexual mixing is explicitly assortative by gender, age and sexual activity level. However, there are a number of additional factors such as socioeconomic status and race/ethnicity that have been shown to determine sexual mixing patterns (Santelli et al., 2000). Since these attributes are not accounted for the in the current model, we cannot comment on their potential impact on the predicted benefits under increased male vaccination.

Since we focused on prevalence of genital HPV-16/18 infections in the population of 14–18 years old adolescents, our framework is not amenable to a complete cost-effectiveness analysis. Costs incurred by infections with low-risk HPV types causing genital warts, as well as oral and anal HPV infections would also need to be included. Additionally, the incidence of most HPV-related cancers is much smaller in individuals aged 14–18 years than in subpopulations of older individuals, and an age-structured modeling approach would be required to assess the costs due to HPV-related cancers. Nevertheless, sufficient prevalence levels in adolescent populations are critical for the survival of HPV in the entire population, and incidence levels peak – particularly in females – at a young age (Winer et al., 2003; Partridge et al., 2007). Therefore, reduction of the virus among adolescents is the crucial first step toward herd immunity.

Finally, our models only describe heterosexual relationships, and it is a priori not clear how the inclusion of bi- and homosexual individuals would affect our conclusions. Importantly, sexual orientation is usually not defined by the age of vaccine administration, which makes it impossible to target groups by sexual orientation. This issue will be addressed in future work.

In summary, our analysis shows that coverage-dependent marginal costs may, depending on their true magnitude, play a critical role in optimizing the distribution of resources among female and male vaccination programs, both in the USA and elsewhere. In light of our findings, the conclusions of previous cost-benefit analyses of male vaccination that employed linear cost curves may need to be re-evaluated carefully. Most importantly, since there is currently a lack of empirical data on the marginal administration costs of HPV vaccination, more research is needed to quantify the true cost curves and make specific policy recommendations.

#### **Conflict of interest**

MDR, KM, DPH and DJS have no conflicts of interest. ERM has received research funding from Merck, Inc., manufacturer of Gardasil, in the past, and currently serves as a consultant to Merck on issues regarding HPV vaccination. He is currently receiving research support from GSK, Inc., manufacturer of Cervarix, through a contract with Duke University, to evaluate the reproductive outcomes and associated costs resulting from HPV-related cervical neoplasia. Neither Merck or GSK have been involved in any way with the research described in this paper, and the results have not been presented or shared with employees or external consultants of either company.

#### Acknowledgments

The authors are grateful to Rick Durrett and Cathrine Hoyo for stimulating discussions. MDR is thankful to Xiaoyu Jiang for fruitful conversations.

#### Appendix A. Agent-based model

#### Model structure and dynamics

**Network structure.** The model consists of a closed cohort of sexually active adolescents, containing *N* females and *N* males. Individuals enter the cohort at age 14 and leave when they turn 19 years old. Aging of the population is modeled deterministically, and an equal number of individuals are in each age group. The 2*N* individuals (graph nodes) can engage in sexual relationships (graph edges), break up existing relationships, and transmit HPV-16/18 along active network edges. Each node in the network has three characteristics: gender  $g \in \{m, f\}$ , age  $a \in \{1, 2, ..., 5\}$  (where a = 1 stands for age group 14, etc.) and sexual activity level  $l \in \{0, 1, 2, 3\}$  (where l = 0 corresponds to the lowest activity level L0, etc.).

Network dynamics. Similarly to previous studies (Van de Velde et al., 2010; Malagón et al., 2013), we adopt a female-centric perspective to define the network dynamics. Each female initiates new relationships at rate  $e_1(a, l)$ , depending on both her age (a) and activity level (1). Once a female node decides to initiate an edge, a male node is chosen according to the assortative mixing matrix M(a, l; a', l'), whose elements describe the probability that a female of type (a,l) chooses a male of type (a',l'). We allow for concurrent relationships, but enforce a penalty for increasing pernode concurrency as follows: if a female node chooses a male node (according to the mixing matrix M), the node is accepted with probability  $(\#f+1)^{-1}(\#m+1)^{-1}$ , where #f and #m are the number of already active relationships of the female and male node, respectively. Like this, the acceptance probability is 1 for two single nodes, and decreases with an increasing number of already existing relationships. If a relationship is rejected, we repeat the process and pick a new male in agreement with the assortativity matrix M, until a partner is accepted. One key advantage of this simple rejection rule is its independence from additional parameters. Furthermore, without this rule, we were unable to fit the cumulative degree distribution of a real-life sexual network, see "Network calibration" section in Appendix A. Finally, edges are dissolved by the female node at rate  $e_0(a, l)$ .

**Disease dynamics.** Each node is either susceptible (S), infected (I) or recovered (R). Incoming nodes are either susceptible or vaccinated, in which case they fall into the category of recovered nodes (assuming a 100% vaccine efficacy throughout the study).

#### Simulations

otherwise.

The model was simulated for N = 1500 in discrete time, with a time stepping interval of  $\Delta t = 0.025$  years ( $\approx 10$  days). All simulations were performed with the software MATLAB (© 1984–2014, The Mathworks, Inc). At each time step, the network parameters were re-sampled (see below for details), and we proceeded in 5 steps.

- 1. Edge dissolution: each female engaged in at least one relationship dissolves one of her relationships with probability  $\Delta t e_0(a, l)$ .
- 2. Edge formation: each female activates an edge with probability  $\Delta t e_1(a, l)$ , and chooses a male partner according to the mixing matrix *M* and the rejection rule for concurrent relationships (see above).
- 3. Recovery of infected nodes: each infected individual clears its infection with probability  $\Delta t \sigma_f$  or  $\Delta t \sigma_m$ , and either becomes recovered (probability  $p_f$  or  $p_m$ ) or susceptible otherwise.
- 4. Disease transmission: along active edges between susceptible and infected individuals the virus is transmitted with probability  $\Delta t \beta_{mf}$  if the male is infected, and with probability  $\Delta t \beta_{fm}$  if the female is infected.
- 5. Once a year, the individuals in age group *a* = 5 leave the cohort, and the remaining age groups move up to the next age level. The now empty age group *a* = 1 is filled with the incoming group of susceptible and recovered (if vaccinated) individuals.

#### Network parametrization

To parametrize the network dynamics, we relied in part on prior estimates from the work of Van de Velde et al. (2010). Since their network model is slightly different to ours (they do not allow for concurrent relationships), we made the following adjustments.

• In Van de Velde et al. (2010), only single women can initiate a relationship. Therefore, our edge formation rate  $e_1(a, l)$  is related to Van de Velde et al.'s (2010) female relationship initiation rate  $\theta(f, a, l)$  according to

 $N_{total}(f, a, l)e_1(a, l) = N_{single}(f, a, l)\theta(f, a, l),$ 

where  $N_{total}(f, a, l)$  and  $N_{single}(f, a, l)$  are the total number of females and the number of single females in compartment (a, l) at stationarity, respectively. Noticing that in Van de Velde et al. (2010) the expected number of singles in compartment (a, l) is

$$\mathbb{E}N_{single}(a,l) = N_{total}(a,l) \frac{\hat{\sigma}(a,l)}{\hat{\sigma}(a,l) + \theta(f,a,l)},$$

where  $\hat{\sigma}(a, l)$  is Van de Velde et al.'s (2010) edge dissolution rate (we denote their  $\sigma$  by  $\hat{\sigma}$  to avoid confusion with our clearance rate  $\sigma$ ), we find that

$$e_1(a,l) \approx s(a,l)\theta(f,a,l),\tag{4}$$

#### where

$$\mathfrak{s}(a,l) = \frac{\widehat{\sigma}(a,l)}{\widehat{\sigma}(a,l) + \theta(f,a,l)}$$

Because our model does, in contrast to Van de Velde's model, allow for concurrency, and because (4) is only an approximation, we introduced an ad hoc scaling factor  $\mathcal{E}$  and replaced (4) by

$$e_1(a, l) \approx \mathcal{E}s(a, l)\theta(f, a, l),$$
 (5)

Importantly,  $\mathcal{E}$  is the only free parameter in the network dynamics, see below for details on the inference of  $\mathcal{E}$ .

- Our relationship dissolution rate  $e_0(a, l)$  corresponds directly to Van de Velde et al.'s (2010) dissolution rate  $\hat{\sigma}(a, l)$ .
- To characterize the mixing matrix *M*, we defined

 $M(a, l; a', l') = \Gamma(l, l'|a') \Lambda(a, a'),$ 

where  $\Lambda(a, a')$  is the age mixing matrix between females of age a and males of age a', and  $\Gamma(l, l'|a')$  is the activity level mixing matrix between females of activity l and males of activity l', conditioned on having chosen the age group a' for the potential male partner.

- To parametrize the age mixing matrix  $\Lambda(a, a')$ , we used empirical data on age assortativity in sexual relationships from (Kaestle et al., 2002). Since our model cohort is closed, we discarded relationships that are connected to the outside of the 14–18 year bracket, and renormalized the in-cohort mixing probabilities reported in Table 2 of Kaestle et al. (2002).
- For the sexual activity mixing matrix  $\Gamma(l, l'|a')$  we followed the rationale in Van de Velde et al. (2010). After correction of their expression for  $\Gamma$  (see (Walker et al., 2012) for details), we obtained

$$\Gamma(l, l'|a') = \frac{W(l, l')N(m, a', l')\theta(m, a', l')}{\sum_{l'} W(l, l')N(m, a', l')\theta(m, a', l')},$$

where N(m, a', l') is the number of males in group (a', l'),  $\theta(m, a', l')$  is the partnership formation rate of males in group (a', l'), and W(l, l') is the preference matrix, defined in terms of the assortative degree parameter  $\kappa$  as

$$W(l, l') = \begin{cases} \kappa & \text{if } l = l' \\ 1, & \text{if } l \neq l'. \end{cases}$$
(6)

Regarding the numerical parameter values (see Table 1 for an overview), we performed a dynamic re-sampling scheme for most network parameters to account for parameter uncertainty.

We classified the networks parameters into the following groups:

- Group 1. The age mixing matrix Λ(*a*, *a'*) and the scaling factor *E* were kept constant throughout all simulations. Λ(*a*, *a'*) is given in Table 2 and *E* was obtained by inference, see Table 1.
- **Group 2.** The parameters  $\theta(g, a, l)$ ,  $\hat{\sigma}(a, l)$  and  $\kappa$ , which together specify the edge rates  $e_0$  and  $e_1$  as well as the activity matrix  $\Gamma(l, l'|a')$ , were re-sampled dynamically after each time step, using prior ranges obtained from the literature, see Tables 3 and 4 for the ranges of  $\theta$  and  $\hat{\sigma}$ , respectively, and Table 1 for the prior range of  $\kappa$ . For  $\sigma$  and  $\kappa$ , we sampled from uniform distributions between the lower and upper bounds of the reported prior ranges. The sampling for  $\theta$  was more involved. In fact, since sexual activity generally increases between ages 14 and 18, we assumed  $\theta(g, a, l)$  to be a linearly increasing function of age. More precisely, for each update we drew two random numbers  $r_1$  and  $r_2$  uniformly on [0, 1] and [ $r_1$ , 1], respectively, and then determined  $\theta(g, a, l)$

#### Table 2

Age mixing matrix  $\Lambda(a, a')$ . Each entry specifies the probability of a female in age group a to choose a male partner in age group a'. Values derived from Table 2 in Kaestle et al. (2002) after excluding partnerships outside the model age range 14–18 years.

a a'	1	2	3	4	5
1	0.277	0.198	0.197	0.197	0.131
2	0	0.318	0.228	0.227	0.227
3	0	0	0.54	0.23	0.23
4	0	0	0	0.705	0.295
5	0	0	0	0	1

#### Table 3

Relationship initiation rates  $\theta(g, a, l)$ : prior ranges. Lower  $\theta_L$  and upper  $\theta_U$  bounds of prior ranges for  $\theta(f, a, l)$  and  $\theta(m, a, l)$  as reported in Van de Velde et al. (2010). Units for rates: per year.

	$\theta_L(f, l)$	$\theta_U(f, l)$	$\theta_L(m, l)$	$\theta_U(m, l)$
<i>l</i> = 0	0.44	1.05	0.53	1.73
l = 1	0.74	1.78	0.9	2.94
<i>l</i> =2	2.04	4.31	2.47	7.12
<i>l</i> = 3	4.29	8.74	5.21	14.41

#### Table 4

Relationship dissolution rates  $\sigma(f, a, l)$ : prior ranges. Lower  $\sigma_L$  and upper  $\sigma_U$  bounds of prior ranges for  $\sigma(f, a, l)$  as reported in Van de Velde et al. (2010). Units for rates: per year.

	$\hat{\sigma}_L(f, l)$	$\hat{\sigma}_U(f,l)$
<i>l</i> = 0	0.1	0.74
<i>l</i> = 1	0.12	0.88
l=2	0.14	1.04
l=3	0.32	2.4

#### Table 5

Fraction of individuals in different sexual activity groups: prior ranges. Lower  $\rho_L$  and upper  $\rho_U$  bounds of prior ranges for the estimated fraction of individuals in each sexual activity group as reported in Van de Velde et al. (2010).

	$ ho_L(l)$	$ ho_{U}(l)$
<i>l</i> = 0	0.16	0.36
<i>l</i> = 1	0.41	0.67
<i>l</i> =2	0.14	0.27
<i>l</i> = 3	0.01	0.02

in terms of the lower  $\theta_L(g, a, l)$  and upper bounds  $\theta_U(g, l)$  of the prior range as follows:

$$\begin{aligned} \theta(g, a, l) &= \theta_L(g, l) + r_1[\theta_U(g, l) - \theta_L(g, l)] \\ &+ \frac{a - 1}{4}(r_2 - r_1)[\theta_U(g, l) - \theta_L(g, l)]. \end{aligned}$$

• **Group 3.** The distribution of incoming individuals across the sexual activity levels was done probabilistically, and the respective fractions in each level,  $\rho(l)$ , were drawn uniformly from their prior range (see Table 5) and then normalized to sum to unity. The prior ranges in Table 5 were derived in the Supporting Information of Van de Velde et al. (2010) based on data from the Canadian PISCES study (Drolet et al., 2012). In short, the four groups L0-L3 correspond to 0–2, 2–10, 11–39 and 40+ lifetime sex partners, and normal and abnormal Pap cohorts in the PISCES study were used to derive upper and lower bounds for the fraction of females in the respective activity groups. The male prior ranges for the different activity levels coincide with the female prior ranges, but males have higher partnership initiation rates than females in the same activity group, see Table 3.

#### Table 6

Empirical degree distribution of adolescent sexual network. From Fig. 2 in Bearman et al. (2004) we determined the degree distribution (total population) of the cumulative sexual network graph over a period of 18 months in Jefferson high school.

Degree	0	1	2	3	4	5	6	7+
Fraction	0.3113	0.4014	0.1611	0.0601	0.0433	0.0096	0.0024	0



**Fig. 7.** Degree distribution of sexual network. For  $\mathcal{E} = 1.5$ , the network is first run until stationarity, and then the degree distribution of the cumulative network graph over 18 months (50 simulations, solid lines) is compared to the empirical degree distribution (star) as reported in Bearman et al. (2004).

#### Network calibration

The only free parameter in the above network model is the scaling factor  $\mathcal{E}$  appearing in the definition of  $e_1$  in (5). To estimate the value of  $\mathcal{E}$ , we used the cumulative graph of a real-life high school sexual network (Bearman et al., 2004). From Fig. 2 in Bearman et al. (2004), we extracted the degree distribution of the cumulative graph over 18 months, see Table 6. Running our network model to steady-state and then computing the cumulative graph over an 18 months period, we compared the obtained degree distribution to the real-life distribution from (Bearman et al., 2004), and estimated  $\mathcal{E} = 1.5$ , using a least square-fit starting from a prior range  $\mathcal{E} \in [1, 2]$ . The optimal fit is shown in Fig. 7.

#### Disease dynamics parametrization

The disease-related parameters are the transmission rates  $\beta_{mf}$ and  $\beta_{fm}$ , the clearance rates  $\sigma_{m/f}$  and the probabilities  $p_{m/f}$  of developing immunity against re-infection with HPV-16/18 after clearing the virus. Following the group definitions introduced for the network parameters in "Network parametrization" section in Appendix A, the probabilities  $p_{m/f}$  were placed into Group 3 (updated for each incoming cohort), and were assumed to be person-specific, that is they were sampled uniformly at random from the ranges in Table 1 for each incoming individual. The remaining disease parameters  $\beta_{mf}$ ,  $\beta_{fm}$  and  $\sigma_{m/f}$  were used to fit the model-derived prevalence levels to empirically measured prevalence data of infections with HPV-16/18 among adolescents. Based on evidence about gender-specific differences in transmission rates(Hernandez et al., 2008; Widdice et al., 2013), we introduced two independent rates  $\beta_{fm}$  and  $\beta_{mf}$ . Regarding the virus clearance, data suggests similar rates for males and females (Giuliano et al., 2011; Moscicki et al., 2004), and hence we used a single rate  $\sigma = \sigma_m = \sigma_f$ . Of note, gender-related differences in the immune response are captured in the probabilities  $p_{m/f}$ .

#### Table 7

Prevalence intervals for model calibration. All values in %. For female prevalence of HPV-16/18 before (2006) and after (2010) introduction of the vaccine we used 95% confidence intervals from (Markowitz et al., 2013). In absence of reliable pre-vaccine prevalence estimates for males, we followed (Satterwhite et al., 2013; Dunne et al., 2006) and used the same confidence intervals as for females. Vaccine uptake levels ( $v_m$ ,  $v_f$ ) as reported by the CDC (Centers for Disease Control and Prevention, 2014).

-				
Year	$I_f/N$	$v_f$	$I_m/N$	$v_m$
2006	[5.8, 8.7]	0	[5.8, 8.7]	0
2010	[2.5, 5]	32	-	0

Data about the vaccine impact on prevalence levels is still scarce, and, to our knowledge, good estimates are only available for females, see (Markowitz et al., 2013). The prevalence levels reported in (Markowitz et al., 2013) together with the corresponding vaccine uptake levels from the CDC (Centers for Disease Control and Prevention, 2014) are summarized in Table 7. Even though (Markowitz et al., 2013) only reports on female prevalence levels, we are not aware of any evidence that there is a significant difference between pre-vaccine male and female prevalence levels, see also (Satterwhite et al., 2013). In particular, using the same calibration intervals for males and females is compatible with the very broad pre-vaccine estimates of 1.3-72.9% (males) and 14%-90% (females) in Dunne et al. (2006). To infer posterior values for the transmission and clearance rates, we sampled 1000 parameter triples ( $\beta_{fm}$ ,  $\beta_{mf}$ ,  $\sigma$ ) uniformly across prior ranges (see Table 1), ran 50 realizations of the process for each parameter triple over 30 years, time-averaged each realization between years 15 and 30, and finally averaged the 50 time-averages to obtain a representative prevalence level for each parameter triple. We only retained parameter sets that met the following criteria of a good fit. 1) Both male  $(I_m/N)$  and female  $(I_f/N)$  pre-vaccine prevalence levels (year 2006) were within the 95% confidence interval reported in Markowitz et al. (2013). 2) Post-vaccine female prevalence levels (year 2010) were within the 95% confidence interval reported in Markowitz et al. (2013). According to this procedure, we retained a Posterior Set of 26 parameters triples ( $\beta_{fm}$ ,  $\beta_{mf}$ ,  $\sigma$ ) among the 1000 tested sets, see Table 8.

#### Appendix B. Deterministic compartment model

#### Model description

To derive the deterministic CM, we neglect the heterogeneous network structure, i.e. we consider the continuous-time jump Markov process  $(S_m, S_f, I_m, I_f, R_m, R_f)_t$  on the complete bipartite graph. The transition rates for the male population then given by

$$S_m \to S_m + 1 \quad @ rate \ \gamma(1 - \nu_m)N, 
 S_m \to S_m - 1 \quad @ rate \ \gamma S_m + \frac{\beta_{fm}}{N} S_m I_f 
 I_m \to I_m + 1 \quad @ rate \ \frac{\beta_{fm}}{N} S_m I_f 
 I_m \to I_m - 1 \quad @ rate \ (\gamma + \sigma_m) I_m 
 R_m \to R_m + 1 \quad @ rate \ \sigma_m I_m 
 R_m \to R_m - 1 \quad @ rate \ \gamma R_m.$$
 (7)

## Table 8 Posterior set. The 26 posterior parameter sets retained after calibration of the model to prevalence data, see text for details. Units for rates: per year.

Set #	$\beta_{fm}$	$eta_{mf}$	σ
1	42.8	145.8	0.63
2	42.8	166.4	0.63
3	42.8	187.0	0.63
4	63.4	187.0	0.77
5	84.0	63.4	0.63
6	84.0	125.2	0.77
7	84.0	145.8	0.77
8	84.0	166.4	0.77
9	84.0	187.0	0.77
10	104.6	63.4	0.63
11	104.6	104.6	0.77
12	104.6	125.2	0.77
13	104.6	145.8	0.77
14	125.2	63.4	0.63
15	125.2	104.6	0.77
16	125.2	125.2	0.77
17	145.8	104.6	0.77
18	145.8	125.2	0.77
19	145.8	187.0	0.91
20	166.4	84.0	0.77
21	166.4	104.6	0.77
22	166.4	187.0	0.91
23	187.0	84.0	0.77
24	187.0	104.6	0.77
25	187.0	166.4	0.91
26	187.0	187.0	0.91

The rates for the female population can easily be obtained by interchanging the *m* and *f* indices in (7). Next, we pass to the large population limit to average out the stochastic fluctuations in the system. To this end, it is convenient to introduce the sub-population fractions for all state variables as  $\tilde{X}(t) := \frac{X(t)}{N}$ , where  $X \in \{I_m, I_f, S_m, S_f, R_m, R_f\}$ . Using standard results from the theory of Markov processes, see e.g. (Kurtz, 1970), the large population limit  $(N \to \infty)$  yields the following system of mean field equations for the male population fractions,

$$\frac{d}{dt}\widetilde{S}_{m} = -\beta_{fm}\widetilde{S}_{m}\widetilde{I}_{f} + \gamma(1 - v_{m}) - \gamma\widetilde{S}_{m}$$

$$\frac{d}{dt}\widetilde{I}_{m} = \beta_{fm}\widetilde{S}_{m}\widetilde{I}_{f} - (\gamma + \sigma_{m})\widetilde{I}_{m}$$

$$\frac{d}{dt}\widetilde{R}_{m} = \sigma_{m}\widetilde{I}_{m} - \gamma\widetilde{R}_{m}.$$
(8)

The mean field equations for the female population are obtained similarly by simply interchanging the indices *m* and *f*. Rescaling time as  $t = \gamma^{-1}\tilde{t}$  results in the following set of dimensionless parameters:  $\tilde{\beta}_{mf} = \beta_{mf}/\gamma$ ,  $\tilde{\beta}_{fm} = \beta_{fm}/\gamma$ ,  $\tilde{\sigma}_m = \sigma_m/\gamma$ ,  $\tilde{\sigma}_f = \sigma_f/\gamma$ , and  $\tilde{\gamma} = 1$ . Introducing the rescaled time in (8) and omitting tildes, we obtain

$$\frac{d}{dt}S_m = -\beta_{fm}S_mI_f + (1 - \nu_m) - S_m$$

$$\frac{d}{dt}S_f = -\beta_{mf}S_fI_m + (1 - \nu_f) - S_f$$

$$\frac{d}{dt}I_m = \beta_{fm}S_mI_f - (1 + \sigma_m)I_m$$

$$\frac{d}{dt}I_f = \beta_{mf}S_fI_m - (1 + \sigma_f)I_f$$

$$\frac{d}{dt}R_m = \sigma_mI_m - R_m,$$

$$\frac{d}{dt}R_f = \sigma_fI_f - R_f.$$
(9)

Naturally, we only study solutions of (9) such that  $(S_m, S_f, I_m, I_f, R_m, R_f) \in [0, 1]^6$  as well as

$$S_m + I_m + R_m + v_m = 1$$
 and  $S_f + I_f + R_f + v_f = 1$ .

It is important to emphasize that the mean field equations (9) are not merely the large population limit of the full stochastic ABM introduced above. Indeed, we first discarded the network heterogeneity before taking the limit  $N \rightarrow \infty$ . Nevertheless, we expect the original ABM to exhibit similar qualitative features as the jump-process (7) and the compartment model (9). This resemblance is illustrated by direct comparison of the qualitative features displayed in Figs. 2 and 3.

The long-term dynamical behavior of the system (1) has been well-characterized. For certain parameter regimes, there is a disease-free equilibrium with  $(I_m, I_f) = (0, 0)$ , and it is stable if and only if the basic reproduction number  $\mathcal{R}_*$  for the CM satisfies  $\mathcal{R}_* \leq 1$ . In the current context,  $\mathcal{R}_*$  is defined as the expected number of same-sex secondary infections of an infected individual. In fact, the potentially asymmetric transmission  $\beta_{fm}$  and  $\beta_{mf}$ require that this number be defined atypically, and we define it as the expected number of secondary infections in the same sex, when a single infected individual is introduced to a susceptible population. The reason for this modified definition is so that  $\mathcal{R}_* > 1$  is the condition for the existence of an endemic, and the sex of the initially infected individual does not matter. It is possible for the expected number of males infected by a single initially infected female to be less than 1, and still have an endemic state ( $\mathcal{R}_* > 0$ ) in our model. In the case where our model is symmetric (parameters for males and females are identical), our definition of  $\mathcal{R}_*$  is the square of the traditional reproduction number  $\mathcal{R}_0$ . According to the above definition.

$$\mathcal{R}_{*} = \frac{\beta_{fm}\beta_{mf}(1-\nu_{m})(1-\nu_{f})}{(1+\sigma_{m})(1+\sigma_{f})}.$$
(10)

If  $\mathcal{R}_* \leq 1$ , then the disease-free equilibrium is globally asymptotically stable (Elbasha, 2008), a situation known as *herd immunity*. On the other hand, if  $\mathcal{R}_* > 1$ , then the disease-free equilibrium is unstable, and there is exactly one endemic equilibrium point, given by (recall that all rates were rescaled by  $\gamma$ )

$$I_{m}(\nu_{m}, \nu_{f}) = \frac{\beta(1 - \nu_{f})(1 - \nu_{m}) - \bar{s}}{\beta_{mf}\bar{s} + \bar{\beta}(1 + \sigma_{m})(1 - \nu_{f})},$$
  

$$I_{f}(\nu_{m}, \nu_{f}) = \frac{\bar{\beta}(1 - \nu_{f})(1 - \nu_{m}) - \bar{s}}{\beta_{fm}\bar{s} + \bar{\beta}(1 + \sigma_{f})(1 - \nu_{m})},$$
(11)

where  $\overline{\beta} = \beta_{mf}\beta_{fm}$ ,  $\overline{s} \equiv (1 + \sigma_f)(1 + \sigma_m)$ . Furthermore, if  $\mathcal{R}_* > 1$ , then this endemic equilibrium is globally asymptotically stable, which means that the endemic equilibrium exists and is globally asymptotically stable if and only if  $\mathcal{R}_* > 1$  (Elbasha, 2008).

#### Model parametrization

The CM dynamics are characterized by 4 parameters only:  $1/\gamma$ , which is the average time spent in the cohort, the gender-specific transmission rates  $\beta_{mf}$  and  $\beta_{fm}$ , as well as the clearance rate  $\sigma$ . While  $1/\gamma$  is fixed to 5 years for our purposes, we used the 26 Posterior Sets from the ABM for the remaining parameters. In addition, the two transmission rates  $\beta_{fm}$  and  $\beta_{mf}$  were rescaled by a network connectivity parameter  $\delta$  to account for the fact that the network structures in the ABM and the CM are inherently different. In other words, we replaced  $\beta_{mf}$  and  $\beta_{fm}$  by  $\delta\beta_{mf}$  and  $\delta\beta_{fm}$ , respectively. To obtain the best estimate of  $\delta$  we proceeded analogously to the fitting procedure for the transmission and clearance rates in "Disease dynamics parametrization" section: for each of the 26 Posterior Sets

#### Table 9

Risk of progression. The following estimates are used to calculate the above probabilities. (1) Fractions of cancers associated with HPV infection as reported in Jemal et al. (2013): 100% for cervical cancer, and 40% each for vulvar, vaginal and penile cancers. (2) Lifetime incidence risk of the different cancers from Table 2 in Chesson et al. (2011). (3) An estimated 79% lifetime risk of HPV infection according to the study by Syrjanen et al. (1990).

	CIN 1	CIN 2	CIN 3	Cervix	Vulva	Vagina	Penis
$p_f$	$5.8 \cdot 10^{-3}$	$3.6 \cdot 10^{-3}$	$1.5 \cdot 10^{-3}$	$1.6 \cdot 10^{-4}$	$2.4 \cdot 10^{-5}$	$7.6 \cdot 10^{-6}$	-
$p_m$	-	-	-	-	-	-	$1.4 \cdot 10^{-5}$

#### Table 10

Estimated costs per cancer case. Data from Table 2 in Chesson et al. (2011), in USD. See their manuscript for more information.

	CIN 1	CIN 2	CIN 3	Cervix	Vulva	Vagina	Penis
Cost	1,959	3,642	4,135	33,894	35,693	19,697	18,528

we estimated  $\delta$  by fitting the model-derived pre- and post-vaccine prevalence levels to the empirical data in Table 7.

#### Appendix C. Estimation of $\lambda$

By definition,  $\lambda$  is the average cost of a male genital infection relative to the cost of a female genital infection. To determine the average cost of an infection, we have to compute the probability that an infected individual will eventually develop precancer or cancer, and assess the costs incurred by the different types of HPVassociated diseases. More precisely, the average costs  $\overline{C}_f$  and  $\overline{C}_m$  for females and males are given by

$$\overline{C}_f = \sum_{i}^{N_f} p_{f,i} c_{f,i}, \qquad \overline{C}_m = \sum_{i}^{N_m} p_{m,i} c_{m,i}, \qquad (12)$$

where  $p_{f,i}$  and  $p_{m,i}$  are the gender-specific probabilities of progression from infection to disease of type *i*,  $c_{f,i}$  is the gender-specific cost associated with diagnosis of disease type *i*, and  $N_f$  and  $N_m$  are the total numbers of diseases associated to infection with HPV-16/18. Once  $\overline{C}_m$  and  $\overline{C}_f$  have been estimated, the relative cost of male infections is given by

$$\lambda = \frac{\overline{C}_m}{\overline{C}_m + \overline{C}_f}.$$
(13)

It remains to estimate the parameters in (12).

- Diseases to be included for females are cervical intraepithelial neoplasia (CIN) 1, CIN 2, CIN 3, cervical cancer, vaginal cancer and vulvar cancer ( $N_f$ =6). For males, we include penile cancer only ( $N_m$  = 1). Since we do not model anal or oral HPV infections or infections with low-risk types, we exclude anal and oropharyngeal cancers as well as genital warts for the estimate of  $\lambda$ .
- To estimate the probability of progression, we first determine the fraction of cases associated with HPV for each cancer type. Then we calculate the risk of progression by combining the lifetime risk of HPV infection with the overall population incidence levels of the different cancers. For details see Table 9 and caption. Of note, CIN 1, 2 and 3, and cervical cancer are sequential disease stages, and we assume here that incident cases in either stage had gone unnoticed through the previous stages.
- To estimate the disease-related costs, we use again the data from Chesson et al. (2011), see Table 10.

Using the tabulated values (Tables 9 and 10) and Eqs. (12) and (13), we find estimates of  $\overline{C}_m = 0.26$ ,  $\overline{C}_f = 37.16$  and estimated value of

Marginal costs: [admin/tixed]

**Fig. 8.** Ratio of marginal administration to marginal fixed costs. For the cost function level sets in Fig. 2a, the ratio of marginal costs of vaccine administration to marginal fixed costs (vaccine price) is shown for increasing single sex coverage v. For curve A there are no marginal administration costs, and the mildly convex cost curve has steeper marginal administration costs (relative to marginal fixed costs) than the more convex curve C.

Finally, we emphasize that our analysis does not include potential costs incurred by oral and anal HPV infections as well as infections with low-risk strains of HPV. Since this would require considering oral-anogenital HPV transmissions as well as explicit modeling of low-risk vaccine strains (HPV-6/11), our current framework is not suitable for a complete analysis.

#### Appendix D. Marginal costs: administration vs. fixed

To provide a better understanding of the different cost function level sets in Fig. 2a, the ratio of marginal costs of vaccine administration to marginal fixed costs (vaccine price) is shown for curves A–C in Fig. 8.

#### Appendix E. The role of external relationships

The model developed in this manuscript consists of a closed dynamic network of 14–18 years old adolescents. In particular, it does not account for potential relationships with older sex partners outside the network. Since an estimated 37% of adolescent females have relationships with males who are 3 or more years older (Darroch et al., 1999), we assess here the impact of external relationships on the model results. To this end, and according to the above estimate, we introduce an internal partnership initiation rate  $e_1^{int}(a, l) = 0.63 \cdot e_1(a, l)$ , where  $e_1(a, l)$  is the partnership initiation rate used in all other simulations, and an external partnership initiation remain unchanged except for the replacement of  $e_1$  by  $e_1^{int}$ , whereas the external relationships are modeled as follows:

- Each female who is currently not in an external relationship initiates a relationship with a male aged 20–29 years at rate e<sup>ext</sup><sub>1</sub>(a, l).
- The external male entering the sexual relationship with the internal female is assumed to be infected with HPV-16/18 with probability  $p_{ext}$ .
- The relationship with the external edge is dissolved at the usual rate  $e_0(a, l)$ . During an ongoing relationship with an infected

 $\lambda=7\cdot 10^{-3}.$ 



**Fig. 9.** Impact of external relationships. The simulation of Fig. 2 is repeated for a scenario with both network internal and external relationships. In (a) and (b), the prevalence of HPV-16/18 among external males was set to  $p_{ext} = 0.12 \cdot v_m$ , and in (c) and (d) it was set to  $0.5 \cdot p_{ext}$ .

external male, a susceptible internal female can get infected according to the male-to-female transmission rate  $\beta_{mf}$ .

The only additional parameter in these dynamics is  $p_{ext}$ , the likelihood of an external male being infected with HPV-16/18. To assess the most extreme scenario - corresponding to a maximal injection of virus from outside the network - we assumed that the prevalence of HPV-16/18 in external males corresponds to the peak-prevalence in absence of any vaccine, only reduced by the male vaccine uptake  $v_m$ . In particular, we ignored further reductions due to herd immunity effects because these can only be estimated by explicitly extending the model to account for older individuals. Due to a lack of data, the peak prevalence of HPV-16/18 among men aged 20-29 years needed to be estimated indirectly. Thereby, we assumed that the ratio of peak HPV-16/18 prevalence between males and females before the introduction of the vaccine is equal to the ratio of peak incidence of genital warts between males and females. The estimated pre-vaccine peak prevalence of HPV-16/18 in females aged 20-29 years was estimated at 15% (Markowitz et al., 2013). Next, based on Fig. 2 in Patel et al. (2013), we estimated the ratio of male to female peak incidence rates of genital warts to be approximately 0.79. Combining these estimates, we found an estimated peak prevalence of HPV-16/18 in males aged 20-29 years of 12%, and the respective estimate for the HPV-16/18 prevalence of external males in our simulations is  $p_{ext} = 0.12 \cdot v_m$ . The corresponding simulation results for the most extreme scenario  $(p_{ext})$  as well as an intermediate scenario  $(0.5 \cdot p_{ext})$  are shown in Fig. 9. As expected, the relationships of internal females with external males (whose HPV-16/18 prevalence is higher than the one of internal males) leads to an overall decrease of the vaccine benefit, see Fig. 2 for comparison. For both values of HPV prevalence among external males, the female-only benefit ( $\lambda = 0$ ) is roughly one percentage-point lower than the population benefit ( $\lambda = 1/2$ ), compare Figs. 9a and c with b and d, respectively. This is due to the fact that accounting for relationships with external males increases primarily the prevalence of HPV among internal females, but not males. Finally, and most importantly, we remark that the qualitative observation from Fig. 2 remains unaltered when accounting for relationships with older males: the vaccine benefit is highest for mixed vaccination regimes for the convex cost curves B and C.

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