

Yap1 Activation Enables Bypass of Oncogenic Kras Addiction in Pancreatic Cancer

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SUMMARY

Activating mutations in *KRAS* are among the most frequent events in diverse human carcinomas and are particularly prominent in human pancreatic ductal adenocarcinoma (PDAC). An inducible *Kras*^{G12D}-driven mouse model of PDAC has established a critical role for sustained *Kras*^{G12D} expression in tumor maintenance, providing a model to determine the potential for and the underlying mechanisms of *Kras*^{G12D}-independent PDAC recurrence. Here, we show that some tumors undergo spontaneous relapse and are devoid of *Kras*^{G12D} expression and downstream canonical MAPK signaling and instead acquire amplification and overexpression of the transcriptional coactivator Yap1. Functional studies established the role of Yap1 and the transcriptional factor Tead2 in driving *Kras*^{G12D}-independent tumor maintenance. The Yap1/Tead2 complex acts cooperatively with E2F transcription factors to activate a cell cycle and DNA replication program. Our studies, along with corroborating evidence from human PDAC models, portend a novel mechanism of escape from oncogenic *Kras* addiction in PDAC.

INTRODUCTION

Pancreatic ductal adenocarcinoma (PDAC) remains a largely incurable lethal disease with a median survival of ~6 months

(Hidalgo, 2010; Vincent et al., 2011). The PDAC genome is characterized by a number of signature mutations involving the *KRAS* oncogene and the *CDKN2A*, *TP53*, and *SMAD4* tumor suppressor genes and by significant chromosomal aberrations resulted from telomere dysfunction and centrosome abnormalities, among other mechanisms (Hezel et al., 2006; Jones et al., 2008; Campbell et al., 2010; Biankin et al., 2012). Activating mutations in *KRAS* are present in the majority of human PDAC cases, and genetically engineered mouse (GEM) models have substantiated critical roles of oncogenic *Kras* in driving tumor initiation and in enabling tumor progression along with deficiencies of *P53*, *Ink4a/Arf*, *Smad4*, and/or *Pten* tumor suppressors (Aguirre et al., 2003; Guerra et al., 2003; Hingorani et al., 2003; Tuveson et al., 2004; Bardeesy et al., 2006; Hill et al., 2010; Ying et al., 2012; Guerra and Barbacid, 2013). The panoply of signaling pathways engaged by oncogenic *Kras* provides a basis for its diverse tumor biological roles in proliferation, survival, metabolism, and tumor microenvironment remodeling (Pylayeva-Gupta et al., 2011).

The oncogene addiction and tumor maintenance paradigm (Weinstein, 2002; McCormick, 2011; Hanahan and Weinberg, 2011) has rationalized the striking clinical responses achieved with drugs targeting driver oncogenes (Torti and Trusolino, 2011). Despite significant clinical responses to targeted therapies, nearly all tumor remissions are followed by acquired resistance and tumor relapse. Resistance mechanisms vary considerably and include mutations blocking drug-target interaction, genetic alterations sustaining signaling in downstream pathways, or alternate survival pathways (Torti and Trusolino, 2011; Berns and Bernards, 2012). The pervasive disease recurrence following targeted therapy has motivated the use of inducible

driver oncogene GEM models of cancers to proactively illuminate potential mechanisms of resistance employed by human cancers (Lauchle et al., 2009).

Given the essential roles of oncogenic *Kras* in both PDAC initiation and maintenance, mutant KRAS and its signaling pathways have been a major focus for the development of disease models for human PDAC (Hingorani et al., 2003; Collisson et al., 2012; Collins et al., 2012; Ying et al., 2012; Eser et al., 2013; Guerra and Barbacid, 2013). To model anti-Ras therapy, we and others have generated an inducible *Kras*^{G12D} GEM PDAC model and established that extinction of *Kras*^{G12D} induced rapid tumor regression, highlighting the potential clinical utility of targeting oncogenic KRAS in pancreatic cancer (Collins et al., 2012; Ying et al., 2012).

Despite its critical role in PDAC biology, we sought to determine whether sustained oncogenic *Kras* suppression would result in tumor relapse and would illuminate tumor resistance mechanisms. Employing our previously described doxycycline (doxy)-inducible *Kras*^{G12D} GEM PDAC model, we identified relapse tumors (after *Kras*^{G12D} extinction induced tumor regression) that lacked transgene expression and instead harbored an activated Yap1/Tead2 transcriptional program enabling *Kras*^{G12D}-independent tumor cell proliferation that enlists the cooperative actions of the E2F transcription factor. Interestingly, our findings in the mouse model are reinforced by observation in human PDAC showing a prominence of similar transcriptional programs in the quasimesenchymal subset (QM subset) of pancreatic cancers, which are notable for lower dependency on oncogenic KRAS relative to other PDAC subsets (Collisson et al., 2011).

RESULTS

Spontaneous Pancreatic Tumor Relapse after *Kras*^{G12D} Inactivation-Induced Complete Clinical Regression

Using mice engineered with a doxy-inducible *Kras*^{G12D} transgene and conditional *p53* null alleles (*p48Cre*; *tetO_LSL-Kras*^{G12D}; *ROSA_rtTA*; *p53*^{L/+}, designated iKras), we and others established that sustained *Kras*^{G12D} signaling is essential for pancreatic tumor maintenance (Collins et al., 2012; Ying et al., 2012). To evaluate the potential for recurrence mechanisms following *Kras*^{G12D} extinction, we utilized MRI imaging to monitor regression of advanced pancreatic tumors measuring at least 8 mm in diameter at the time of doxy withdrawal. Consistent with previous findings (Collins et al., 2012; Ying et al., 2012), *Kras*^{G12D} extinction resulted in complete regression despite significant tumor burdens in all animals ($n = 28$), with virtually no gross tumor detected by MRI imaging at 3 weeks following doxy withdrawal (Figure 1A). However, 70% of the mice (20/28) escaped from doxy withdrawal with evidence of relapse between 9 and 47 weeks, with a median survival of 36.6 weeks compared to 15.4 weeks for iKras mice maintained on continued doxy treatment ($p < 0.0001$) (Figure 1B). On the morphological level, in contrast to the well-differentiated ductal features and predominant CK19 (ductal marker) positivity of the doxy-induced PDACs (Figure S1A available online) (Ying et al., 2012), all recurrent tumors exhibited poorly differentiated or sarcomatoid features. They were devoid of acinar (amylase⁺) or endocrine markers

(chromogranin⁺; CHGA) staining, although some tumors partially retained scattered CK19 staining (Figures 1C and S1A). Consistent with the development of more aggressive phenotypes, distal metastases to lung or liver were observed in 75% (15/20) of the animals with recurrent tumors versus 21% (8/38) ($p < 0.001$) of those carrying primary tumors (Figures 1C and 1D).

The relapsed tumor lesions were of pancreatic origin, as demonstrated by the presence of Cre-mediated *p53* deletion in cells cultured from the relapse tumors (Figure S1B). Furthermore, because the iKras PDAC mice do not develop pancreatic tumors in the absence of doxy induction (Ying et al., 2012), we conclude that these doxy-independent pancreatic tumors are bona fide tumor relapses of the original primary tumors rather than tumors formed de novo in the absence of oncogene induction.

Doxy-independent tumor recurrence in this model could potentially result from doxy-independent activation of the iKras transgene or from *Kras*-independent mechanisms. Indeed, although doxy withdrawal results in loss of *Kras*^{G12D} expression and downstream signaling in all primary tumors (Ying et al., 2012; Figures 1E and S1C), approximately half of relapse tumors examined exhibited re-expression of the iKras transgene accompanied with canonical downstream signaling; these tumors were designated hereafter as iKras⁺ relapse tumors (Figures 1E–1G and S1C, tumors E-9 to E-16). The remaining tumors did not express the iKras transgene or hyperactivated endogenous *Kras* expression and exhibited diminished canonical downstream signaling; these tumors were designated hereafter as iKras⁻ relapse tumors (Figures 1E–1G, S1C, and S1D, tumors E-1 to E-8). While displaying similar aggressive histopathological features, the iKras⁺ versus iKras⁻ relapse tumors were readily distinguished molecularly on the basis of mitogen-activated protein kinase (MAPK) pathway activity. In contrast to iKras⁺ tumors, the majority of iKras⁻ tumor lines showed relatively lower phospho-Mek (pMek) and phospho-Erk (pErk) both in vivo and in vitro (Figures 1G and S1C). Furthermore the iKras⁻ tumors did not show compensatory hyperactivation of AKT pathway, and levels of phospho-ribosomal protein S6 (pS6) were generally lower relative to iKras⁺ tumors (Figure S1C). Thus, although oncogenic *Kras* signaling in the primary tumors tightly controls MAPK pathway activity, recurrence of iKras⁻ tumors results from mechanisms that do not utilize oncogenic *Kras* or hyperactivated MAPK/AKT signaling. Because the wild-type *Kras* allele remains intact upon *Kras*^{G12D} extinction in our model system, the contribution of basal signaling activity from wild-type *Kras* or other Ras family members during tumor relapse remains to be elucidated.

Yap1 Is Amplified in iKras⁻ Relapse Tumors and Is Required for Tumor Growth

Next, we explored the molecular mechanisms underlying spontaneous tumor relapse after *Kras*^{G12D} extinction. Array-based comparative genomic hybridization (aCGH) revealed that all iKras⁺ relapse tumors exhibited focal amplification of the *Rosa26* locus *rtTA* allele, providing a likely basis for doxy-independent expression of the iKras transgene (Figure S2A, chromosome 6q). In iKras⁻ relapse tumors, the only recurrent genomic alteration was amplification of chromosome 9qA1 region, encompassing 11 genes encoding several metalloproteinases, the transcriptional coactivator *Yap1*, and the antiapoptotic genes *Birc2*

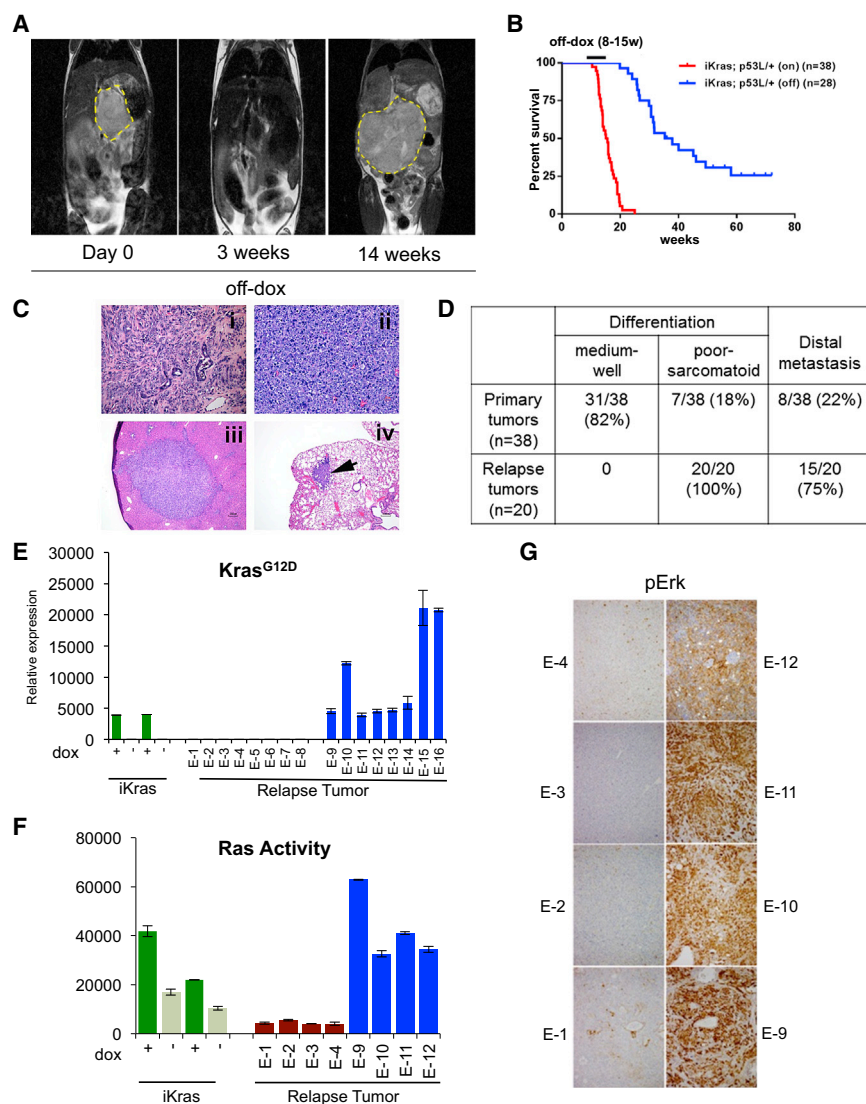


Figure 1. Spontaneous Pancreatic Tumor Relapse after Complete Regression upon $Kras^{G12D}$ Inactivation

(A) Representative MRI scan shows initial tumor regression (3 weeks) but subsequent relapse (14 weeks) after doxy withdrawal.

(B) Kaplan-Meier overall survival analysis for iKras; p53^{L/+} mice after doxy withdrawal. (On) Mice were fed with doxy. (Off) Mice with advanced PDAC were switched to doxy-free water 8–15 weeks after on doxy and observed for relapse.

(C) Histopathological characterization of the relapse tumors showing poorly differentiated (i) or sarcomatoid (ii) relapse tumors, with liver (iii) and lung (iv) metastasis (denoted by arrow).

(D) Quantitative comparison of histopathological features between primary and relapse tumors.

(E and F) (E) qRT-PCR for $Kras^{G12D}$ transgene shows expression in relapse tumors. Data represented as relative normalized expression. (F) Measurement of Ras activity in relapse tumors.

Two independent iKras cells were maintained in the presence (+) or absence (-) of doxy for 24 hr and used as controls. Error bars represent SD of duplicate samples.

(G) The relapse tumors were stained with antibodies against pErk.

See also [Figure S1](#).

amplified relapse tumors (E-1 and E-2) but exerted no impact on cells without Yap1 amplification (E-9 and E-10) ([Figures 2D–2F](#), [S2G](#), and [S2H](#)). Interestingly, persistent Yap1 knockdown in xenografts generated from Yap1 shRNA-expressing cells resulted in resumption of $Kras^{G12D}$ transgene expression, which coincided with a modest increase in MAPK activity and increased tumor cell proliferation, further underscoring the importance of activated Kras in PDAC maintenance

(data not shown). Together, these genomic and functional studies strongly support a role for Yap1 amplification-driven overexpression as a mechanism for $Kras^{G12D}$ -independent PDAC recurrence.

Enforced Yap1 Expression Enables Tumor Maintenance upon $Kras^{G12D}$ Extinction in PDAC

To evaluate whether Yap1 gain of function would provide a mechanism for $Kras^{G12D}$ -independent PDAC growth, we engineered three independently derived Kras-dependent iKras tumor lines with wild-type Yap1 or constitutively active Yap1^{S127A} mutant (S127A mutation prevents Yap1 cytoplasmic sequestration by Lats; [Zhao et al., 2007](#)) constructs and assayed them for anchorage-independent growth in the absence of doxy ([Figures 3A](#), [3B](#), and [3B](#)). As shown in [Figures 3A](#) and [3B](#), Yap1 or Yap1^{S127A} expression along with $Kras^{G12D}$ -expressing cells dramatically enhanced anchorage-independent growth, whereas GFP-expressing control cells showed profound impairment of cell

(*clap1*) and *Birc3* (*clap2*). Of these, only *Yap1*, *Birc2*, and *Birc3* showed a copy-number-linked increase in gene expression ([Figures 2A–2C](#) and [S2D](#)). Further, YAP1 protein was found to be elevated in iKras⁻ relapse tumors bearing the 9qA1 amplicon (E-1, E-2, and E-5), whereas iKras⁻ tumors lacking the amplicon showed low levels of Yap1 ([Figures 2B](#), [2C](#), and [S2C](#)), pointing to additional escape mechanisms (see [Discussion](#) and [Shao et al., 2014](#) [this issue of *Cell*]).

To assess the possible role of Yap1, *Birc2* and *Birc3* overexpression in driving growth of the iKras⁻ relapse tumors, early passage primary cultures generated from tumors with and without the 9qA1 amplicon were monitored for cell growth following shRNA-mediated knockdown of Yap1, *Birc2*, or *Birc3*. *Birc2* or *Birc3* knockdown had no impact on cell growth relative to control shRNA-expressing cells ([Figures S2E](#) and [S2F](#)). In contrast, two independent shRNAs targeting Yap1 reduced proliferation in clonogenic assays as well as tumor growth and tumor cell proliferation (Ki-67, [Figure 2G](#)) in Yap1-

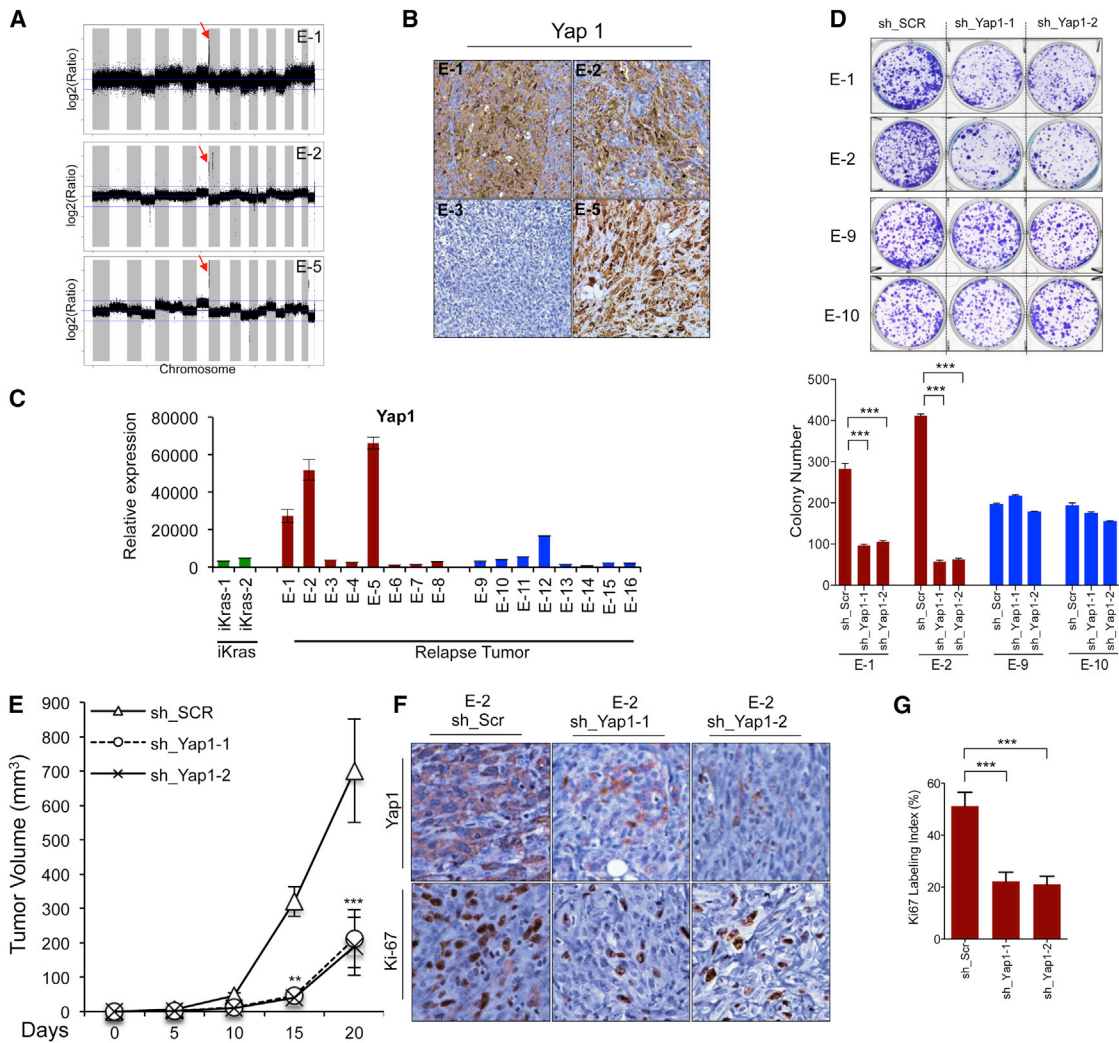


Figure 2. Yap1 Is Amplified in *iKras*⁻ Relapse Tumors and Is Required for Tumor Growth

(A) aCGH plots of relapse tumors show that the 9qA1 locus containing *Yap1* is focally amplified (denoted by red arrow) in E-1, E-2, and E-5. Normalized \log_2 ratios for each probe are plotted.

(B) IHC for *Yap1* in relapse tumors. Note the increased *Yap1* expression in 9qA1 amplicon⁺ tumors E-1, E-2, and E-5, but not 9qA1 amplicon⁻ tumor E-3.

(C) qRT-PCR for *Yap1* in relapse tumors. Relative expression levels normalized to reference gene. Error bars represent SD of the mean.

(D) Representative wells of the clonogenic growth assay upon knockdown of *Yap1* by two independent shRNAs primary cultures in *Yap1* amplicon⁺ tumors (E-1 and E-2) and the *iKras*⁺ tumors (E-9 and E-10). Nontargeting shRNA (sh_Scr) was used as control. Quantification of cell growth is shown at the bottom. Error bars represent SD of triplicate wells. ****p* < 0.001.

(E) Xenograft tumor growth of cell cultures derived from E-2 expressing two independent *Yap1* shRNA or control shRNA. Tumor volume was measured at the days indicated, and data shown is representative of results from two independent experiments (*n* = 5 per group). Error bars represent SD of the mean. ***p* < 0.01; ****p* < 0.001.

(F) IHC for proliferation marker Ki-67 and *Yap1* in E-2 xenograft tumors expressing *Yap1* shRNA described in (E).

(G) Quantification of IHC staining for Ki-67 displayed as a percentage of cells positive for Ki-67 staining. Error bars represent SD of the mean of five random fields. ****p* < 0.001.

See also Figure S2.

growth in the absence of doxy. The ability of *Yap* to drive *Kras*-independent cell growth aligns with the ability of enforced *Yap1* to complement loss of *Kras* function in human pancreatic cancer cell lines (Shao et al., 2014).

These cell-culture-based findings were further substantiated *in vivo* by the ability of enforced *Yap1* expression to substitute for *Kras*^{G12D} activity in tumor maintenance. Specifically, *Yap1*-

Yap1^{S127A-}, and *Kras*^{G12V}-expressing *iKras* tumor cells grown orthotopically (Figure 3C) or subcutaneously (Figure S3A) in nude mice were able to resist tumor regression upon extinction of oncogenic *Kras* and were able to promote tumor growth and proliferation (as measured by Ki-67 staining, Figure 3D), whereas GFP-expressing control *iKras* tumor cells fully regressed upon doxy withdrawal (Figures 3C and S3A). Additionally, *Yap1*- or

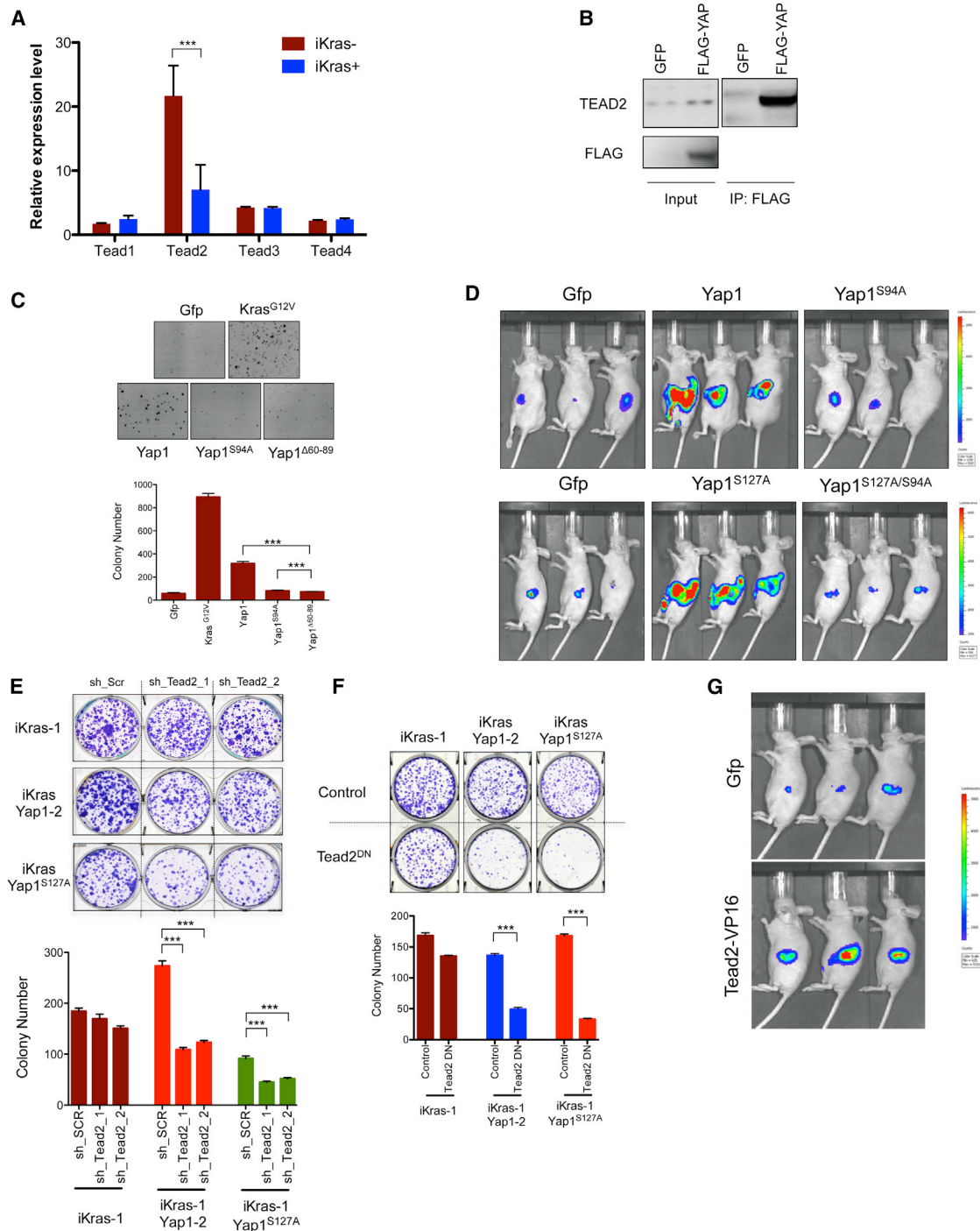


Figure 4. Interaction of Yap1 with Tead2 Is Critical for Its Ability to Bypass Kras^{G12D} Dependence

(A) qRT-PCR for expression of Tead family of transcription factors (Tead1–4) in iKras⁻ and iKras⁺ relapse tumors. Error bars represent SD of the mean. ***p < 0.001.

(B) Tead2 interacts with Yap1 in Yap1 (Flag-tagged) expressing cells (described in Figure 3C). Input (25%) is used as a reference.

(C) Sustained expression of wild-type Yap1, but not TEAD-binding-defective Yap1 mutants (Yap^{S94A} and Yap1^{Δ60–89}), can promote anchorage-independent growth of iKras cells off doxy. For each condition, five random fields were counted. Error bars represent SD of the mean. ***p < 0.001.

(D) Mutation in Tead-binding domain of Yap1 (S94A) dramatically decreases the ability of Yap1 or Yap1^{S127A} to substitute for oncogenic Kras in vivo. Representative images are shown at 6 weeks off doxy (n = 5 per group).

(E) Representative wells (top) of the clonogenic growth assay upon knockdown of Tead2 by two independent shRNAs in Yap1 (or Yap1^{S127A}) expressing cells (described in Figure 3C). Quantification of cell growth is shown below. Error bars represent SD of triplicate wells. ***p < 0.001.

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consistent with the observed robust tumor cell proliferation profile in recurrent tumors (Figure 3C).

We thus performed a series of experiments to test whether Yap1 exerted its growth effects through Tead2. Mutation in Tead-binding domain (Tead-binding-defective Yap1^{S94A} and Yap1^{Δ60–89}; Figures S4B and S4C) completely abolished the ability of Yap1 to drive proliferation and substitute for oncogenic Kras both in vitro (Figure 4C) and in vivo (Figure 4D). Similar results were obtained using the Yap1^{S127A/S94A} double mutant (Figures 4D and S4D). These results strongly suggest that Tead2 is a critical partner of Yap1 in promoting tumor cell growth in the absence of oncogenic Kras. Next, we sought corroborating evidence of the importance of Tead2 in mediating Yap1 function by directly blocking its activity using two complementary approaches.

First, shRNA-mediated knockdown of Tead2 reduced the proliferation of early passage cultures derived from orthotopic Yap1-bypassed tumors, whereas Tead2 knockdown had no effect on the Kras^{G12D}-expressing iKras lines (Figures 4E and S4E). Furthermore, overexpression of a previously characterized dominant-negative Tead2 mutant (Tead2^{DN}; Liu-Chittenden et al., 2012), which harbors a deletion of the C-terminal Yap1-interacting domain while retaining the ability to bind DNA, strongly blocked tumor cell proliferation of Yap1-expressing cells in clonogenic assays (Figures 4F and S4F). In this case as well, growth-suppressive activity of Tead2^{DN} is specific to Yap1-expressing cells, as overexpression of Tead2^{DN} did not suppress cell proliferation induced by oncogenic Kras (Figure 4F).

Second, we examined whether increasing Tead2 levels could mimic the effect of Yap1 overexpression. Overexpression of full-length Tead2 did not promote anchorage-independent cell growth or orthotopic tumor growth, consistent with a lack of intrinsic transactivation activity of Tead2 (data not shown). However, expression of a transcriptionally active form of Tead2, Tead2-VP16 (a fusion protein of the N-terminal region of Tead2 containing the TEA domain and the activation domain of herpes simplex virus VP16; Ota and Sasaki, 2008), in two independent iKras cells promoted orthotopic tumor growth (Figures 4G and S4G), in the absence of Kras^{G12D} expression. Together, these multiple lines of evidence establish a critical role for TEAD2 in mediating Yap1-driven tumor cell growth upon Kras^{G12D} extinction in our model system.

Yap1/Tead2 Complex Acts Cooperatively with E2F Transcription Factors to Activate a Cell Cycle and DNA Replication Program

Next, transcriptomic analyses were conducted to elucidate the molecular network of Yap1 actions that facilitate Kras^{G12D}-independent tumor growth. We defined the baseline gene expression upon extinction of oncogenic Kras and subsequently compared this expression profile to that in Yap1-bypassed tumors. To surmise molecular pathways associated with Yap1 overexpression,

we performed gene set enrichment analysis (GSEA) of the expression profiles using gene sets for the canonical pathways in the Molecular Signature Database (MSigDB) (Subramanian et al., 2005). Consistent with our tumor biological observations, GSEA indicated that a significant fraction of Kras^{G12D}-dependent gene sets that are rescued in the Yap1-bypassed tumors related to cell proliferation, DNA synthesis, and replication (Figures 5A–5C). We validated several of the differentially expressed genes by qRT-PCR, including mitotic kinases (including aurora kinase A [*Aurka*] and aurora kinase B [*Aurkb*]), budding uninhibited by benzimidazoles (*Bub1*), cyclins (including *Ccna2*, *Ccnb1*, *Ccnb2*, and *Ccnd1*), cell-division-associated proteins (including *Cdc2*, *Cdc20*, and *Cdc25c*), and DNA replication proteins (including minichromosome maintenance complex proteins *Mcm5*, *Mcm6*, and *Mcm10*).

Our findings are in agreement with the known role of Yap1 in regulating normal cell proliferation through a Tead-mediated transcriptional program (Ota and Sasaki, 2008; Zhao et al., 2008; Schlegelmilch et al., 2011; Zhang et al., 2011; von Gise et al., 2012; Xin et al., 2013). Indeed, several bona fide Yap1 target genes, including *Ccnd1* and *Birc5*, were documented to be upregulated in Yap1-bypassed tumors. Together, these analyses support the view that Yap1 enables tumor growth upon Kras^{G12D} extinction through the coordinate activation of genes governing cell cycle and DNA replication.

It has been suggested that Yap/Tead-mediated gene regulation may rely on a combinatorial network of transcription factors to drive gene expression thresholds (Nicolay et al., 2011). To determine whether Yap/Tead cooperates with a particular transcription factor in a coordinated gene expression program, we performed promoter analysis and identified several transcription factor motifs, including E2F, several members of the ATF family (activating transcription factors), and CREB1 (cyclic-AMP response element binding protein 1) enriched in the promoters of differentially expressed genes in Yap1-bypassed tumors (Figure 5D and data not shown). We focused further study on the E2F family of transcription factors, as recent studies in *Drosophila* have emphasized that E2f1 is required for the full activation of specific target genes by fly Yap1 and Tead orthologs Yki and Sd (Nicolay et al., 2011).

To assess a potential cooperative role of E2F in Yap1/Tead2-mediated bypass of tumor regression, we first sought to determine co-occurrence of TEAD- and E2F-binding sites among the differentially expressed genes in the Yap1-bypassed tumors. Using the TRANSFAC position frequency matrix, we found significant enrichment for genes containing putative binding sites for both Tead and E2F specifically in the promoters of genes that were upregulated (2-fold upregulated, $p < 0.005$) in the Yap1-bypassed tumors (19/241; $p < 0.05$). Using chromatin immunoprecipitation (ChIP), we next validated occupancy of E2F1 along with YAP1 (Flag-tagged)/TEAD2 (V5-tagged) at promoters of several representative genes with predicted E2F- and

(F) Dominant-negative Tead2 (Tead2^{DN}) selectively suppresses proliferation of Yap1 (or Yap1^{S127A}) expressing cells, but not the Kras^{G12D}-expressing iKras cells. Quantification of cell growth is shown below. Error bars represent SD of triplicate wells. *** $p < 0.001$.

(G) The transcriptionally active form of Tead2 (Tead2-VP16) can substitute oncogenic Kras for in vivo tumor growth. Representative images are shown at 6 weeks off doxy ($n = 5$ per group).

See also Figure S4.

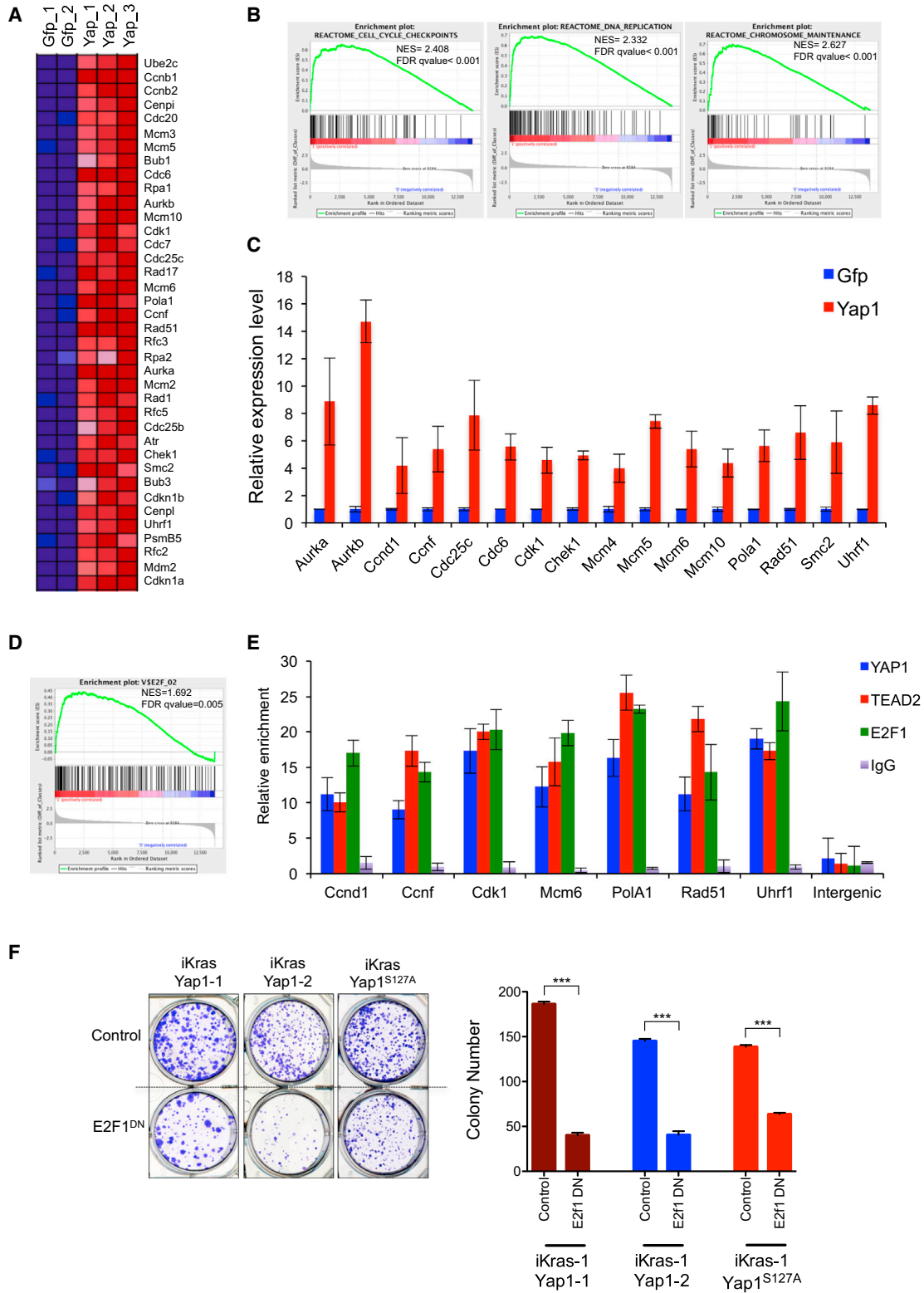


Figure 5. Yap1/Tead2 Cooperate with E2F to Promote a Cell Cycle and DNA Replication

(A) Representative heatmaps of the cell cycle and DNA replication genes enriched in Yap1-bypassed tumors compared to control (Gfp, off doxy for 24 hr). Expression levels shown are representative of log₂ values of each replicate. Red signal denotes higher expression relative to the mean expression level within the group, and blue signal denotes lower expression relative to the mean expression level within the group.

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TEAD-binding sites and showed no occupancy in an intergenic region lacking any putative E2F/TEAD-binding sites (Figure 5E). We further validated occupancy of endogenous Yap1 at three representative loci in early passage cultures derived from Yap1 amplicon-positive tumors (E-1 and E-2), but not Yap1 amplicon-negative (E-3) tumor (Figure S5). As a specificity control, a nonspecific IgG antibody failed to immunoprecipitate any of the above promoter fragments (Figure 5E). These *in silico* and ChIP analyses support the view that E2f cooperates with Yap1/Tea2 in coordinating downstream gene expression.

Next, to assess the functional importance of E2F binding, we blocked E2F activity utilizing a dominant-negative form of E2F1 (E2F1^{DN}) that lacks the transactivation domain (Adams and Kaelin, 1996). Expression of the dominant-negative E2F1 suppressed proliferation of Yap1-expressing cells (Figure 5F), supporting a role for E2F1 activity in enabling Yap1/Tea2-mediated bypass of tumor regression upon *Kras*^{G12D} extinction.

Kras^{G12D}-Independent Relapse Tumors Resemble QM Subtype of Human PDAC

As noted, a subset of human PDAC cell lines becomes less dependent on oncogenic KRAS (Singh et al., 2009). To assess the potential clinical relevance of our *Kras*-independent relapse PDAC tumors, we compared the transcriptomic profiling of the relapse tumors with those reported in primary human PDACs and PDAC cell lines (Collisson et al., 2011). As a control, we also performed transcriptomic analysis of doxy-induced *iKras* PDAC lines. When subjected to unbiased clustering analysis, 5 of 8 *iKras*⁻ relapsed tumor profiles clustered closely with each other, and 5 of 8 *iKras*⁺ relapse tumors clustered with the doxy-induced primary PDAC lines (Figure 6A), reinforcing the view that *iKras*⁻ relapse tumors are molecularly distinct from *Kras*^{G12D}-dependent tumors.

Human PDAC was recently defined into three subtypes based on transcriptional profiles: classical, quasimesenchymal, and exocrine-like, which correspond with distinctive clinical outcome and therapeutic responses (Collisson et al., 2011). Six out of ten *Kras*^{G12D}-positive tumors, including the doxy-induced *iKras* lines and the *iKras*⁺ relapse tumors, show expression signatures of classical PDAC, which are highly linked to oncogenic *Kras* activity. In contrast, five of eight *iKras*⁻ lines clustered with QM subtype of human PDAC, which have been reported to show high expression of mesenchymal genes, lower *KRAS* expression, and less *KRAS* dependency (Figure 6A; Collisson et al., 2011). The fact that we see a statistically significant association between *iKras*⁻ relapse tumors and the quasimesenchymal subtype of human PDAC aligns well with the observation that these human tumors tend

to be less *KRAS* dependent (chi-square test, $p = 0.01$). Interestingly, Shao et al. (2014) demonstrated that a YAP/FOS-mediated epithelial-to-mesenchymal transition (EMT) program can also drive *KRAS*-independent tumor growth, further corroborating the relationship between *KRAS* independence and Yap1 as well as underscoring the complexity of Yap1 signaling.

To gain insight into whether YAP1 might have a role in driving growth of human *Kras*-independent PDAC, we first compared its expression in previously established human *Kras*-dependent and *Kras*-independent PDAC cells (Singh et al., 2009; Collisson et al., 2011). In line with our murine findings, YAP1 expression was significantly higher in the human *KRAS*-independent PDAC cells (Figure 6B). Next, to determine whether YAP1 is required for growth of QM *KRAS*-independent subtype cells, we performed knockdown of YAP1 in two *KRAS*-independent QM human PDAC cell lines (Panc1 and PaTu8988T) and one wild-type *KRAS* cell line BxPC-3 (Figures 6C and 6D). shRNA-mediated knockdown of YAP1 strongly suppressed the proliferation of these cells, implying that YAP1 is indeed essential for their growth (Figure 6D). Together, our data indicate that the oncogenic *Kras*-independent relapse tumors tend to resemble the QM subtype of human PDAC and rely on alternative oncogenic mechanisms, including Yap1, for their growth.

DISCUSSION

In this study, we investigated potential resistance mechanisms to oncogenic *Kras* extinction in the context of significant tumor burden. Following oncogenic *Kras* extinction and complete tumor regression in all animals, approximately one-third of the animals indeed remained tumor free over a period of up to 65 weeks. This observation, together with re-expression of the *iKras* transgene in approximately half of the mice with tumor recurrence, emphasizes the prominent role of oncogenic *Kras* in tumor maintenance. Tumor recurrence following complete extinction of oncogenic *Kras* was not anticipated, given the wide spectrum of critical pathways controlled by *Kras* in cancer. At the same time, our work and that of Shao et al. (2014) demonstrate the potential for oncogenic *Kras*-independent bypass mechanisms involving the Yap1 oncogene, emphasizing that PDAC tumor cells can survive in the absence of oncogenic *Kras* signaling and can acquire alternative mechanisms to foster their own growth, portending the need for anti-Yap1 therapeutic strategies for some tumors in the setting of agents targeting *Kras* and its signaling pathways.

Our results have important ramifications in anticipating clinical responses in drugs designed directly to target oncogenic *Kras*.

(B) Representative GSEA enrichment plots showing overrepresentation of indicated gene set categories among differentially expressed genes in Yap1 tumors compared with Gfp-expressing tumors (24 hr, off doxy). NES denotes normalized enrichment score.

(C) qRT-PCR validation of representative differentially expressed genes in Yap-expressing tumors. Error bars represent SD of duplicate samples.

(D) GSEA enrichment plots showing E2F motif containing gene signatures in the differentially expressed genes in Yap1-expressing tumors compared with control.

(E) ChIP showing YAP1 and TEAD2 occupancy at E2F1-bound promoters of several representative genes. No occupancy was seen in the control intergenic region (lacking any putative E2F/TEAD binding sites). IgG served as a specificity control for the antibody. Bars represent enrichment at target regions in the promoter relative to the 3' region of each gene. Error bars represent SD of the mean.

(F) Dominant-negative E2F1 (E2F1^{DN}) suppresses proliferation of Yap1 (or Yap1^{S127A}) expressing cells. Quantification from a representative experiment is shown on the right. Error bars represent SD of triplicate wells. *** $p < 0.001$.

See also Figure S5.

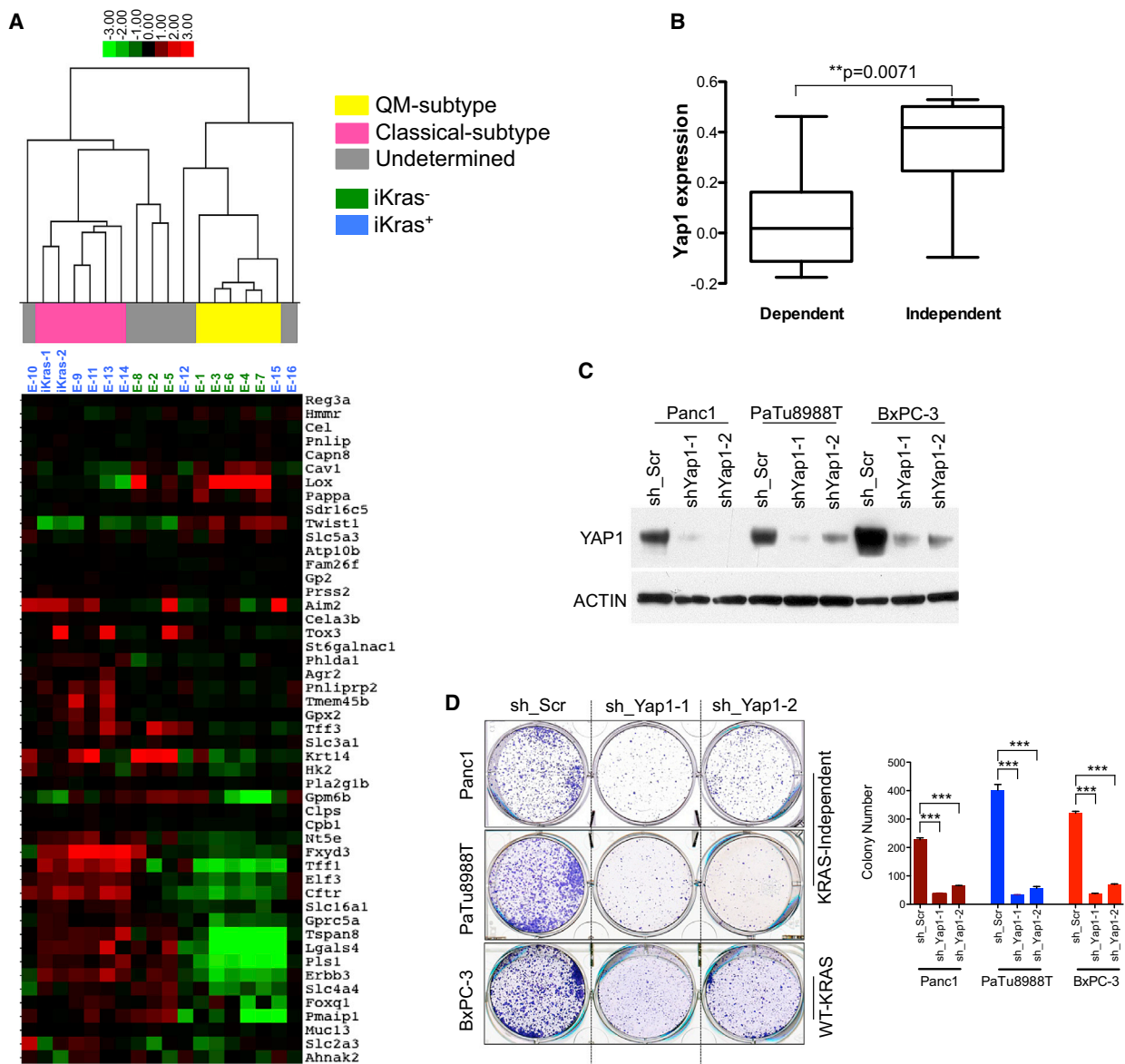


Figure 6. *Kras*^{G12D}-Independent Relapse Tumors Resemble the Quasimesenchymal Subtype of Human PDAC

(A) Hierarchical clustering of murine PDAC iKras cells and the relapse tumors into different PDAC subtypes using PDAssigner genes (Collisson et al., 2011). Subtype analysis found statistically significant association between iKras⁻ relapse tumors and the QM subtype, whereas the iKras⁺ tumors are associated with the classical subtype (chi-square test, $p = 0.01$; Collisson et al., 2011). The subtype identity of the samples (in gray) is not apparent.

(B) Gene expression data reanalyzed from Collisson et al. (2011) showing that *YAP1* expression is significantly higher in the *Kras*-independent lines compared to *Kras*-dependent human PDAC cells. The y axis indicates gene expression data expressed as log₂ median centered intensity. Boxed bars indicate the medians.

(C) Western blots validating the knockdown of *YAP1* in the indicated human PDAC cell lines by two independent shRNAs.

(D) Representative wells (top) of clonogenic growth of *Kras*-independent QM human PDAC cells (Panc1 and PaTu8988T) and the wild-type KRAS cell line BxPC-3 upon *YAP1* knockdown. Quantification (bottom) from a representative experiment is shown on the right. Error bars represent SD of triplicate wells. *** $p < 0.001$.

Based on this study and resistance mechanisms discovered in response to other targeted therapies, at least three distinct resistance mechanisms against *Kras* extinction are possible. First, genomic alterations can act on target itself, driving relapse by circumventing target blockade. This is supported by our observation that, in approximately half of the relapse tumors, the iKras transgene is amplified. Second, augmentation of key growth

signaling pathways through activation of compensatory pathways may induce tumor relapse. In agreement with such a notion, Shao et al. showed that expression of receptor tyrosine kinases bypass the dependency on oncogenic *Kras*. Our preliminary gene expression data raise the intriguing possibility of activation of multiple RTKs and/or their ligands in *Yap1* amplicon-negative relapse tumors (E-3, E-4 and E6-E8; data not

shown). Third, and most importantly, we and Shao et al., have uncovered a novel mechanism of resistance to Kras inhibition through a Yap1-mediated transcriptional program. Amplification of Yap1 in our study is reminiscent of classic second-site suppression events that substitute for critical functions of oncogenic Kras, particularly tumor cell proliferation, thus allowing Kras-dependent tumors to escape dependency on oncogenic Kras.

Yap1, a transcriptional coactivator and the downstream mediator of Hippo signaling, is regulated posttranscriptionally by either kinase-mediated degradation or cytoplasmic sequestration (Harvey et al., 2013; Zhao et al., 2011). Yap1 is known to be involved in cell proliferation, epithelial-to-mesenchymal transition, invasion, and metastasis. Notably, recurrent amplifications of Yap1 locus 11q22 have been observed in liver carcinoma, oral squamous cell carcinomas, medulloblastomas, and esophageal cancer (Zender et al., 2006; Snijders et al., 2005; Fernandez-L et al., 2009; Muramatsu et al., 2011). Our findings indicate that Yap1 is sufficient for driving PDAC recurrence upon Kras withdrawal in the iKras model, previously characterized for its dependence on oncogenic Kras signaling for tumor initiation, progression, and maintenance. However, Yap1 appears to be insufficient to drive de novo PDAC development, as pancreas-specific inactivation of the Mst1/2 kinases and associated Yap1 activation and enhanced cell proliferation fail to initiate tumor formation (George et al., 2012; Gao et al., 2013). Several mechanisms might underlie the differences observed in iKras⁻ tumor recurrence and in pancreas-specific deletion of Mst1/2, including the presence of intact tumor suppressor pathways or the absence of transcription factor mediators in the latter study.

In our study, Tead2 is required for Yap1-mediated tumor relapse. Interestingly, Tead2 has been shown to play an important role in stem cell maintenance and in self-renewal (Cao et al., 2008; Tamm et al., 2011), and thus we speculate that residual surviving tumor cells or tumor stem cells following Kras extinction maintain their viability in a Tead2-dependent manner. This rare subpopulation of PDAC cells may be enriched for tumor-initiating activity and may be capable of surviving genetic or pharmacological inactivation of Kras and its surrogates. Such surviving cells could provide a reservoir of relapsed tumor cells to enable acquisition of Kras-independent tumor maintenance events. In support of this hypothesis, we have generated preliminary data showing Tead2 is highly expressed and is important for survival of a subpopulation of tumor cells that survive Kras^{G12D} extinction (A.K., A.V., et al., unpublished data). It is not clear, however, whether YAP1 amplification is already present in these rare oncogene-independent cells before Kras^{G12D} ablation or whether it is acquired after oncogene extinction. Further work using clonal tracking methodologies would be needed to define the relationship between these surviving cells and the relapse tumors seen in our model.

The findings that Yap1 can substitute for oncogenic Kras in advanced PDAC raise the possibility that Yap1 can similarly substitute for activated RAS in other malignancies or in other cellular contexts. In agreement with this supposition, Shao et al. (2014) identified Yap1 in a gain-of-function screen to identify genes that can substitute for Ras signaling in KRAS-dependent human cancer cells. Consistent with the pleiotropic effects

of Yap1, both studies converge on overlapping networks, such as ATF and E2Fs, and diverge on distinct transcriptional programs, such as Tead2 (this study) and Fos (Shao et al., 2014). Our convergent and contrasting findings are consistent with the established fact that the Yap1-mediated gene expression program is largely dictated by the cellular context and its interacting transcription factors (Pobbati and Hong, 2013; Zhao et al., 2011).

Interestingly, the iKras⁻ relapse tumors display features similar to the QM subtype of human PDACs associated with poor prognosis (Collisson et al., 2011). Although YAP1-amplified tumors clearly fall into the nonclassical subtype, the limited number of tumors prevents us from definitely subgrouping the tumors with YAP1 amplification. However, the significant correlation between iKras status and classical/QM subtypes aligns with previously published results showing that Kras dependency is strongly linked to epithelial differentiation status and that, upon EMT, Kras dependency is reduced in human cancer cells (Singh et al., 2009). Furthermore, the observation by Shao et al. that YAP1 can replace oncogenic Kras in part by regulating an EMT-like program further supports the link between EMT status, Kras independence, and Yap1 expression and also underscores the complexity of Yap1 signaling.

Future studies should be encouraged to examine the role of Yap1 in the recently defined QM subset in human PDAC, which is notably less dependent on oncogenic Kras. The continued development of small molecules targeting Yap1 that have delayed tumor progression in mouse models of liver cancer (Liu-Chittenden et al., 2012; Stanger, 2012) may prove useful in human PDAC subtypes with elevated Yap1 expression.

EXPERIMENTAL PROCEDURES

Transgenic Mice

TetO_Lox-Stop-Lox-Kras^{G12D} (tetO_LKras^{G12D}), ROSA26-LSL-rtTA-IRES-GFP (ROSA_rtTA), p48-Cre, and Trp53^L strains were described previously (Ying et al., 2012). Mice were interbred and maintained on FVB/C57Bl6 hybrid background in pathogen-free conditions at M.D. Anderson Cancer Center. Mice were fed with doxy water (Doxy 2g/l in sucrose 20 g/l). All manipulations were approved under MD Anderson Cancer Center (MDACC) Institutional Animal Care and Use Committee (IACUC) under protocol number 111113931.

In Vivo Imaging

All in vivo imaging was performed at the Small Animal Imaging Facility at MDACC. MRI was performed weekly using a 4.7T Bruker Pharmascan. For bioluminescent imaging, animals were anesthetized with isoflurane, injected intraperitoneally with 3 mg of D-Luciferin (Perkin Elmer), and imaged using IVIS Spectrum Imaging System (Perkin Elmer). The Living Image 4.3 software (Perkin Elmer) was used for analysis of the images postacquisition.

Xenograft Studies

All xenograft studies were carried out in NCr nude mice (Taconic) and were approved by the MD Anderson IACUC under protocol number 111113931. Details of the subcutaneous and orthotopic xenograft studies are listed in Extended Experimental Procedures.

Array-CGH Profiling and Analyses

For Array-CGH, genomic DNA processing (from tumor and matched tail DNA), labeling, and hybridization to Agilent custom 415K mouse CGH array (Agilent Design ID 025735, NCBI GEO ID:- GPL15058) were performed as per the manufacturer's protocol (Agilent).

Lentivirus-Mediated shRNA Knockdown

All lentiviral shRNA clones targeting Yap1, Tead2, Birc2, Birc3, and nontargeting shRNA control were obtained from Sigma Aldrich in the pLKO vector (Mof-fat et al., 2006). The TRC IDs for the shRNA used in the study are listed in the [Extended Experimental Procedures](#).

Immunohistochemistry and Western Blot Analysis

Tissues were fixed in 10% formalin overnight and embedded in paraffin. Immunohistochemical (IHC) analysis was performed as described earlier (Aguirre et al., 2003). For western blot analysis, cells were lysed on ice using RIPA buffer (Boston BioProducts) supplemented with protease and phosphatase inhibitors (Roche). Primary antibodies used for immunohistochemistry (IHC) and western blot analysis are listed in the [Extended Experimental Procedures](#).

Gene Expression Profiling and Subtype Analysis

Gene expression profiling was performed using Affymetrix Gene Chip Mouse Genome 430 2.0 Arrays. Details on mRNA expression profiling and data analysis are described in the [Extended Experimental Procedures](#).

Statistical Analysis

Tumor volume and tumor-free survivals were analyzed using GraphPad Prism. To assess distributional differences of variance across different test groups, the Mann-Whitney test was used. Other comparisons were performed using the unpaired Student's *t* test. For all experiments with error bars, standard deviation (SD) was calculated to indicate the variation with each experiments and data, and values represent mean \pm SD.

ACCESSION NUMBERS

The GEO accession number for the microarray data reported is GSE53169.

SUPPLEMENTAL INFORMATION

Supplemental Information includes Extended Experimental Procedures and five figures and can be found with this article online at <http://dx.doi.org/10.1016/j.cell.2014.06.003>.

AUTHOR CONTRIBUTIONS

A.K., W.Y., and H.Y. designed all of the experiments together. A.K. performed the in vitro experiments characterizing Yap1/Tea2 function during Kras^{G12D}-independent tumor growth, including all experiments in human PDAC cells. W.Y. performed the in vivo functional studies for Yap1/Tea2, histopathological analysis, and some in vitro functional analysis. H.Y. performed the GEM model characterization as well as the establishment and molecular analysis of primary tumor lines.

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