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Existence of APAV(q, k) with q a prime power $\equiv 5 \pmod{8}$ and $k \equiv 1 \pmod{4}^{\ddagger}$

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Abstract

Stinson introduced authentication perpendicular arrays APA_{λ}(*t*, *k*, *v*), as a special kind of perpendicular arrays, to construct authentication and secrecy codes. Ge and Zhu introduced APAV(*q*, *k*) to study APA₁(2, *k*, *v*) for *k* = 5, 7. Chen and Zhu determined the existence of APAV(*q*, *k*) with *q* a prime power $\equiv 3 \pmod{4}$ and odd *k* > 1. In this article, we show that for any prime power $q \equiv 5 \pmod{8}$ and any $k \equiv 1 \pmod{4}$ there exists an APAV(*q*, *k*) whenever $q > ((E + \sqrt{E^2 + 4F})/2)^2$, where $E = [(7k - 23)m + 3]2^{5m} - 3$, $F = m(2m + 1)(k - 3)2^{5m}$ and m = (k - 1)/4.

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1. Introduction

A perpendicular array $PA_{\lambda}(t,k,v)$ is a $\lambda {v \choose t} \times k$ array, **A**, based on the symbol set $\{1,\ldots,v\}$, which satisfies the following properties:

(I) Every row of A contains k distinct symbols.

(II) For any t columns of A, and for any t distinct symbols, there are precisely λ rows r such that the t given symbols all occur in row r in the given t columns.

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A $PA_{\lambda}(t,k,v)$, **A**, is said to be an *authentication* PA, denoted by $APA_{\lambda}(t,k,v)$ if the following property also holds:

(III) For any $t', 1 \le t' \le t-1$, and for any t'+1 distinct symbols x_i $(1 \le i \le t'+1)$, we have that among all rows of **A** which contain all symbols x_i $(1 \le i \le t'+1)$, the t' symbols x_i $(1 \le i \le t')$ occur in all possible subsets of t' columns equally often.

For information on PAs see [11,13,19]. Stinson introduced the authentication property (iii) for PAs and used APAs to construct authentication and secrecy codes (see [20–23]). Simple counting shows the following necessary condition:

Lemma 1.1. If an APA₁(2, k, v) exists, then $k \equiv v \equiv 1 \pmod{2}$.

Ge and Zhu (see [9,10]) provided results on the existence and constructions of APAs. The known results on APA₁(2, k, v) can be summarized as follows: Denote APA(k) = {v: there exists an APA₁(2, k, v)}.

Theorem 1.2 (Abel et al. [1], Bierbrauer and Edel [3], Ge and Zhu [9,10], Lindner and Stinson [16], Stinson [20]). 1. $v \in APA(3)$ if and only if $v \ge 3$ is odd, $v \ne 5$.

2. $v \in APA(5)$ if and only if $v \ge 5$ is odd, $v \ne 7$ and possibly excepting $v \in \{9, 13, 15, 17, 33, 39, 49, 57, 63, 69, 87, 97, 113\}.$

3. $v \in APA(7)$ if v odd v > 9384255 or $v \equiv 1,7 \pmod{14}$.

Let G be an abelian group of order v. An authentication perpendicular difference array, APDA(v, k), of order v and depth k is a $(v - 1)/2 \times k$ array

$$D = [d_{ij}]$$

with entries from G such that for any $\{i, j\} \subset \{1, \dots, k\}, i \neq j$,

$$\left\{\pm (d_{ti} - d_{tj}): t = 1, 2, \dots, \frac{v-1}{2}\right\} = G \setminus \{0\}$$

and that for any fixed $j \in \{1, \ldots, k\}$,

$$\bigcup_{\substack{1 \leq t \leq (v-1)/2 \\ 1 \leq i \leq k, i \neq j}} (d_{ti} - d_{tj}) = (k-1)/2(G \setminus \{0\}).$$

Lemma 1.3 (Ge and Zhu [9]). The existence of an APDA(v,k) implies the existence of an APA₁(2,k,v).

To construct an APDA(*v*,*k*), Ge and Zhu introduced the concept of an APA vector in [9]. Let *G* be the additive group of GF(*q*), where *q* is an odd prime power. Let $q = 2^m t + 1$, where t > 1 is odd. Let *T* be the subgroup of order *t* in the multiplicative group GF(*q*)^{*} = GF(*q*) \ {0}. An APA vector, denoted by APAV(*q*,*k*), is a vector $(a_1, a_2, ..., a_k), a_i \in GF(q)$, such that for every $j \in \{1, 2, ..., k\}$, the differences $a_i - a_j$, $i \in \{1, 2, ..., k\} \setminus \{j\}$, are evenly distributed on the cosets of *T*.

Lemma 1.4 (Ge and Zhu [9]). The existence of an APAV(q,k) implies the existence of an APDA(q,k) and an APA $_1(2,k,q)$.

The known results on the existence of APAV(q,k) are mostly for $q \equiv 3 \pmod{4}$ which can be summarized as follows:

Lemma 1.5 (Chen and Zhu [7], Ge [8]). Let $q \equiv 3 \pmod{4}$ be a prime power, then

- 1. there exists an APAV(q,7) if and only if $q \ge 7$, $q \ne 11$, 19;
- 2. there exists an APAV(q,9) if and only if $q \ge 19$;
- 3. there exists an APAV(q, 11) if and only if $q \ge 11$, $q \ne 19$, 27;
- 4. there exists an APAV(q, 13) if and only if $q \ge 13$, $q \ne 19$, 23, 31;
- 5. there exists an APAV(q, 15) if and only if $q \ge 31$.

Very little is known about the existence of an APAV(q, k) with q a prime power $\equiv 1 \pmod{4}$. In this article, we shall investigate the existence of an APAV(q, k) with q a prime power $\equiv 5 \pmod{8}$. Simple counting shows that if there exists an APAV(q, k) with q a prime power $\equiv 5 \pmod{8}$ then $k \equiv 1 \pmod{4}$. Specifically, we shall prove the following, which is believed to be useful in solving the existence of the corresponding APAs.

Theorem 1.6. For any prime power $q \equiv 5 \pmod{8}$ and any $k \equiv 1 \pmod{4}$, there exists an APAV(q,k) if $q > B(k) = ((E + \sqrt{E^2 + 4F})/2)^2$, where $E = [(7k - 23)m + 3]2^{5m} - 3$, $F = m(2m + 1)(k - 3)2^{5m}$ and m = (k - 1)/4.

To obtain this result Weil's theorem on character sums will be useful, which can be found in [15, Theorem 5.41].

Theorem 1.7 (Lidl and Niederreiter [15]). Let ψ be a multiplicative character of GF (q) of order m > 1 and let $f \in GF(q)[x]$ be a monic polynomial of positive degree that is not an mth power of a polynomial. Let d be the number of distinct roots of f in its splitting field over GF(q), then for every $a \in GF(q)$, we have

$$\left|\sum_{c\in GF(q)}\psi(af(c))\right| \leq (d-1)\sqrt{q}.$$
(1)

This theorem has been useful in dealing with existence of various combinatorial designs such as Steiner triple systems (see [12]), triplewhist tournaments (see [2,18]), V(m,t) vectors (see [4,17]), difference families (see [5,6]), cyclically resolvable cyclic Steiner 2-designs (see [14]), etc. It has also some other applications in combinatorics (see [24]).

2. Proof of Theorem 1.6

Let $q \equiv 5 \pmod{8}$ be a prime power and $k \equiv 1 \pmod{4}$. We can write k = 4m + 1and $q = 2^2t + 1$, where t > 1 is odd. Denote by H^4 the unique subgroup of order t of the cyclic multiplicative group $GF(q)^*$. The cosets H_0^4 , H_1^4 , H_2^4 , H_3^4 are defined by

$$H_i^4 = \xi^i H^4, \quad 0 \leqslant i \leqslant 3,$$

where ξ is a primitive element of GF(q).

We shall take

$$V = (1, x, x^2, \dots, x^{k-1}).$$

Denote

$$D_0 = \{x - 1, x^2 - 1, \dots, x^{k-1} - 1\},$$

$$D_i = \{-(x^i - 1), -x(x^{i-1} - 1), \dots, -x^{i-1}(x - 1), x^i(x - 1), x^i(x^2 - 1), \dots, x^i(x^{k-1-i} - 1)\}, \quad 1 \le i \le k - 2,$$

$$D_{k-1} = \{-(x^{k-1} - 1), -x(x^{k-2} - 1), \dots, -x^{k-2}(x - 1)\}.$$

By definition we know that V is an APAV(q,k) if for any i, $0 \le i \le k - 1$, the differences in D_i are evenly distributed in the cosets of H^4 . These hold if x satisfying the following conditions:

In fact, condition (a) means that the differences in D_0 are evenly distributed in the cosets of H^4 . Now we check the differences in D_1 . By condition (b) we know that -(x-1) and $x(x^{k-1}-1)$ are in the same coset of H^{4} . Since the differences in $\{x(x^{k-1}-1), x(x-1), x(x^2-1), \dots, x(x^{k-2}-1)\}$ are evenly distributed in the cosets of H^4 according to condition (a). It follows that the differences in D_1 has the same property. Similarly, we can prove that for each i, $2 \le i \le k - 1$, the differences in D_i are also evenly distributed in the cosets of H^4 .

Let $h_0(x) = 1$ and $h_\ell(x) = x^\ell + \cdots + x + 1$, $1 \le \ell \le k - 2$. Then conditions (a) and (b) are equivalent to the following conditions:

- (c) $h_0(x), h_1(x), \dots, h_{k-2}(x)$ are evenly distributed in the cosets of H^4 ;
- (d) $-h_{i-1}(x)(x^i h_{k-i-1}(x))^3 \in H_0^4, \ 1 \le i \le k-1.$

Note that $-1 = \xi^{(q-1)/2} \in H_2^4$ since $q \equiv 5 \pmod{8}$. We have the following:

Lemma 2.1. Let $q \equiv 5 \pmod{8}$ be a prime power and k=4m+1. $V=(1,x,x^2,...,x^{k-1})$ is an APAV(q,k) if there exists an element x in GF(q) satisfying the following conditions:

- (i) $f_0(x) = x \in H_0^4$,
- (i) $f_i(x) = -h_{i-1}(x)(h_{k-i-1}(x))^3 \in H_0^4, \ 1 \le i \le 2m,$ (ii) $g_j(x) = h_{j-1}(x)h_{(k-1)/2-j}(x) \in H_1^4 \cup H_3^4, \ 1 \le j \le m.$

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Proof. By conditions (i) and (ii) we know that condition (d) holds. Suppose $h_{j-1}(x) \in H_{i_j}^4$, $1 \leq j \leq m$, then we have $h_{(k-1)/2-j}(x) \in H_{i_j+1}^4$ (or $H_{i_j+3}^4$) by condition (iii), $h_{k-j-1}(x) \in H_{i_j+2}^4$ and $h_{(k-1)/2+j-1}(x) \in H_{i_j+3}^4$ (or $H_{i_j+1}^4$) followed from condition (ii). Clearly, $h_{j-1}(x)$, $h_{(k-1)/2-j}(x)$, $h_{(k-1)/2+j-1}(x)$ and $h_{k-j-1}(x)$ ($1 \leq j \leq m$) are evenly distributed in the cosets of H^4 and $\bigcup_{j=1}^m \{h_{j-1}(x), h_{(k-1)/2-j}(x), h_{(k-1)/2-j}(x), h_{(k-1)/2+j-1}(x)\} = \{h_i(x): i = 0, 1, \dots, k-2\}$. So, condition (c) holds. \Box

To find an APAV(q, k) in GF(q), by Lemma 2.1 we need only to find an element x in GF(q) satisfying conditions (i)–(iii). We shall show that such an element always exists in GF(q) whenever q > B(k), where B(k) is the same as in Theorem 1.6.

Let χ be a non-principal multiplicative character of order 4. That is, $\chi(x) = \theta^t$ if $x \in H_t^4$, where θ is a primitive 4th root of unity in the field of complex numbers. Let

$$A_i = \chi(f_i(x)), \quad 0 \le i \le 2m$$

and

$$B_i = \chi(g_i(x)), \quad 1 \le j \le m,$$

where $f_i(x)$ $(0 \le i \le 2m)$ and $g_j(x)$ $(1 \le j \le m)$ are the same as in Lemma 2.1. These functions have the following value:

For any *i*, $0 \leq i \leq 2m$,

$$1 + A_i + A_i^2 + A_i^3 = \begin{cases} 4 & \text{if } f_i(x) \in H_0^4, \\ 0 & \text{if } f_i(x) \notin H_0^4 \cup \{0\}, \\ 1 & \text{if } f_i(x) = 0. \end{cases}$$

For any $j, 1 \leq j \leq m$,

$$1 - B_j^2 = \begin{cases} 2 & \text{if } g_j(x) \in H_1^4 \cup H_3^4, \\ 0 & \text{if } g_j(x) \in H_0^4 \cup H_2^4, \\ 1 & \text{if } g_j(x) = 0. \end{cases}$$

We define a sum

$$S = \sum_{x \in GF(q)} \prod_{i=0}^{2m} (1 + A_i + A_i^2 + A_i^3) \prod_{j=1}^m (1 - B_j^2).$$
(2)

This sum is equal to $2^{5m+2}n + d$, where *n* is the number of elements *x* in GF(*q*) satisfying conditions (i)–(iii) in Lemma 2.1, and *d* is the contribution when either $f_0(x), f_1(x), \ldots, f_{2m}(x), g_1(x), \ldots, g_{m-1}(x)$ or $g_m(x)$ is 0. If we can show that |S| > |d|, then n > 0 and there must exist an APAV(*q*, *k*) as we wanted.

Now if $f_0(x)=0$ then x=0, $f_1(x)=-1 \in H_2^4$ and the contribution to S is 0. Suppose $f_i(x)=0$ for some i $(1 \le i \le 2m)$. If x=-1 then $f_0(x)=-1 \in H_2^4$, the contribution to S is 0; If $x \ne -1$ then the contribution to S is at most $(k-3)4^{2m}2^m = (k-3)2^{5m}$ noting that $f_i(x)/(x+1)$ has at most k-3 different roots in GF(q). If $f_i(x) \ne 0$ for

any i $(1 \le i \le 2m)$ then $g_i(x) \ne 0$ for any j $(1 \le j \le m)$. Hence the total contribution to S from these cases is at most

$$F = \sum_{i=1}^{2m} (k-3)2^{5m} = m(2m+1)(k-3)2^{5m}.$$

Thus if we are able to show that |S| > F, then there exists an $x \in GF(q)$ satisfying conditions (i)–(iii) in Lemma 2.1 and there exists an APAV(q, k). Expanding the inner product in (2) we obtain

$$S = \sum_{x \in GF(q)} 1 + M_1 + M_2, \tag{3}$$

where

$$M_{1} = \sum_{r=1}^{2m} \sum_{1 \leq i_{1} < \dots < i_{r} \leq 2m} \sum_{1 \leq u_{1}, \dots, u_{r} \leq 3} \sum_{x \in GF(q)} A_{i_{1}}^{u_{1}} \cdots A_{i_{r}}^{u_{r}}$$

$$+ \sum_{s=1}^{m} \sum_{1 \leq j_{1} < \dots < j_{s} \leq m} \sum_{x \in GF(q)} (-1)^{s} B_{j_{1}}^{2} \cdots B_{j_{s}}^{2}$$

$$+ \sum_{r=1}^{2m} \sum_{1 \leq i_{1} < \dots < i_{r} \leq 2m} \sum_{1 \leq u_{1}, \dots, u_{r} \leq 3} \sum_{s=1}^{m} \sum_{1 \leq j_{1} < \dots < j_{s} \leq m} \sum_{x \in GF(q)} (-1)^{s}$$

$$\times A_{i_{1}}^{u_{1}} \cdots A_{i_{r}}^{u_{r}} B_{j_{1}}^{2} \cdots B_{j_{s}}^{2}$$

$$(4)$$

and

$$M_{2} = \sum_{u_{0}=1}^{3} \sum_{r=1}^{2m} \sum_{1 \leq i_{1} < \dots < i_{r} \leq 2m} \sum_{1 \leq u_{1},\dots,u_{r} \leq 3} \sum_{x \in GF(q)}^{2} A_{0}^{u_{0}} A_{i_{1}}^{u_{1}} \cdots A_{i_{r}}^{u_{r}}$$

$$+ \sum_{u_{0}=1}^{3} \sum_{s=1}^{m} \sum_{1 \leq j_{1} < \dots < j_{s} \leq m} \sum_{x \in GF(q)}^{2} (-1)^{s} A_{0}^{u_{0}} B_{j_{1}}^{2} \cdots B_{j_{s}}^{2}$$

$$+ \sum_{u_{0}=1}^{3} \sum_{r=1}^{2m} \sum_{1 \leq i_{1} < \dots < i_{r} \leq 2m} \sum_{1 \leq u_{1},\dots,u_{r} \leq 3} \sum_{s=1}^{m} \sum_{1 \leq j_{1} < \dots < j_{s} \leq m} \sum_{x \in GF(q)}^{2} (-1)^{s} A_{0}^{u_{0}} A_{j_{1}}^{2} \cdots B_{j_{s}}^{2}$$

$$\times A_{0}^{u_{0}} A_{i_{1}}^{u_{1}} \cdots A_{i_{r}}^{u_{r}} B_{j_{1}}^{2} \cdots B_{j_{s}}^{2}$$

$$(5)$$

since $\sum_{x \in GF(q)} A_0^{u_0} = 0$ for any u_0 $(1 \le u_0 \le 3)$. To estimate the inner sums, we use Weil's theorem on character sums. Note that

$$\prod_{i=0}^{2m} A_i^{u_i} \prod_{j=1}^m B_j^{v_j} = \chi \left(\prod_{i=0}^{2m} (f_i(x))^{u_i} \prod_{j=1}^m (g_j(x))^{v_j} \right)$$

and the order of χ is 4. If $\prod_{i=0}^{2m} (f_i(x))^{u_i} \prod_{j=1}^m (g_j(x))^{v_j} = [p(x)]^4$ for some $p(x) \in \text{GF}$ (q)[x], then we can show that $u_0 \equiv u_1 \equiv \cdots \equiv u_{2m} \equiv 0 \pmod{4}$ and $v_1 \equiv v_2 \equiv \cdots \equiv v_m \equiv 0 \pmod{4}$. In fact, by definition we have $f_0(x) = x$, $f_i(x) = -h_{i-1}(x)(h_{k-i-1}(x))^3$

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for i $(1 \le i \le 2m)$ and $g_j(x) = h_{j-1}(x)h_{(k-1)/2-j}(x)$ for j $(1 \le j \le m)$, where $h_0(x) = 1$ and $h_\ell(x) = x^\ell + \cdots + x + 1$, $1 \le \ell \le k - 2$. Clearly, $u_0 \equiv 0 \pmod{4}$ since $f_0(x)$ is coprime to any $f_i(x)$ $(1 \le i \le 2m)$, and to any $g_j(x)$ $(1 \le i \le m)$. Let η be a primitive (k - 1)th root of unity in some extension field of GF(q). Then $f_1(x)$ must have an irreducible polynomial d(x) in GF(q)[x] as its factor such that d(x) has η as its root. Since any $f_i(x)$ $(2 \le i \le 2m)$ and any $g_j(x)$ $(1 \le i \le m)$ cannot have η as its root, $f_i(x)$ $(2 \le i \le 2m)$ and $g_j(x)$ $(1 \le i \le m)$ must be coprime to d(x). This forces $u_1 \equiv 0 \pmod{4}$. In a similar way, we can prove that $u_2 \equiv \cdots \equiv u_{2m} \equiv 0 \pmod{4}$ and $v_1 \equiv v_2 \equiv \cdots \equiv v_m \equiv 0 \pmod{4}$. Thus Theorem 1.7 can be applied here.

Let $d_{i_1\cdots i_r}$ be the number of distinct roots of $f_{i_1}(x)\cdots f_{i_r}(x)$ in GF(q). Note that x+1 is a factor of $f_{i_t}(x)$ for any t $(1 \le t \le r)$ since $i_t - 1$ or $k - i_t - 1$ is odd. So, we have

$$d_{i_1\cdots i_r} \leq r(k-3) + 1.$$

Similarly, the number of distinct roots of $g_{j_1}(x) \cdots g_{j_s}(x)$ is at most s(k-5)/2 + 1 for any s $(1 \le s \le m)$. Therefore, by Weil's theorem for any r $(1 \le r \le 2m)$, for any s $(1 \le s \le m)$ we have

$$\left|\sum_{x \in GF(q)} A_{i_1}^{u_1} \cdots A_{i_r}^{u_r}\right| \leq r(k-3)\sqrt{q}$$
(6)

for any $i_1, ..., i_r$ $(1 \le i_1 < \cdots < i_r \le 2m)$, for any $u_1, ..., u_r$ $(1 \le u_1, ..., u_r \le 3)$.

$$\left|\sum_{x \in \mathrm{GF}(q)} B_{j_1}^2 \cdots B_{j_s}^2\right| \leqslant s \, \frac{k-5}{2} \, \sqrt{q} \tag{7}$$

and

$$\left| \sum_{x \in GF(q)} A_{i_1}^{u_1} \cdots A_{i_r}^{u_r} B_{j_1}^2 \cdots B_{j_s}^2 \right| \le \left(r(k-3) + s \, \frac{k-5}{2} \right) \sqrt{q} \tag{8}$$

for any j_1, \ldots, j_s $(1 \leq j_1 < \cdots < j_s \leq m)$.

Thus we have

$$|M_{1}| \leq \sum_{r=1}^{2m} {\binom{2m}{r}} 3^{r} r(k-3)\sqrt{q} + \sum_{s=1}^{m} {\binom{m}{s}} s \frac{k-5}{2} \sqrt{q} + \sum_{r=1}^{2m} {\binom{2m}{r}} 3^{r} \sum_{s=1}^{m} {\binom{m}{s}} \left(r(k-3) + s \frac{k-5}{2}\right) \sqrt{q}.$$
(9)

Note that

$$\sum_{s=1}^{m} \binom{m}{s} = 2^{m} - 1, \qquad \sum_{s=1}^{m} \binom{m}{s} s = m2^{m-1},$$
$$\sum_{r=1}^{2m} \binom{2m}{r} 3^{r} = 4^{2m} - 1, \qquad \sum_{r=1}^{2m} \binom{2m}{r} r3^{r} = 6m4^{2m-1}.$$

Eq. (9) becomes

$$\begin{aligned} |M_1| &\leq [6(k-3)m4^{2m-1} + (k-5)m2^{m-2} \\ &+ 6(k-3)m4^{2m-1}(2^m-1) + (k-5)m2^{m-2}(4^{2m}-1)]\sqrt{q} \\ &= (7k-23)m2^{5m-2}\sqrt{q}. \end{aligned}$$

Similarly, we have

$$|M_2| \leq 3\sum_{r=1}^{2m} \binom{2m}{r} 3^r (r(k-3)+1)\sqrt{q} + 3\sum_{s=1}^m \binom{m}{s} \left(s\frac{k-5}{2}+1\right)\sqrt{q}$$
$$+ 3\sum_{r=1}^{2m} \binom{2m}{r} 3^r \sum_{s=1}^m \binom{m}{s} \left(r(k-3)+s\frac{k-5}{2}+1\right)\sqrt{q}$$
$$= 3((7k-23)m2^{5m-2}+2^{5m}-1)\sqrt{q}.$$

Clearly,

$$\sum_{x \in \mathrm{GF}(q)} 1 = q.$$

From the above, we have

$$|S| \ge q - |M_1| - |M_2| \ge q - E\sqrt{q},$$

where

$$E = 4(7k - 23)m2^{5m-2} + 3 \times 2^{5m} - 3 = [(7k - 23)m + 3]2^{5m} - 3.$$

Obviously, |S| > F when $q > B(k) = ((E + \sqrt{E^2 + 4F})/2)^2$, which indicates that there exists an element x in GF(q) satisfying conditions (i)–(iii) in Lemma 2.1 whenever q > B(k), consequently, there exists an APAV(q,k). So, we obtain the proof of Theorem 1.6.

Remark. For any given $k \equiv 1 \pmod{4}$, to determine the existence of APAV(q, k) with $q \equiv 5 \pmod{8}$ a prime power, by Theorem 1.6, one need only to consider the case q < B(k). To do this more computer work will be needed.

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