

# Shuffle Invariance of the Super-RSK Algorithm

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As in the  $(k, l)$ -RSK (Robinson–Schensted–Knuth) of A. Berele and A. Regev (1987, *Adv. Math.* **64**, 118–175), other super-RSK algorithms can be applied to sequences of variables from the set  $\{t_1, \dots, t_k, u_1, \dots, u_l\}$ , where  $t_1 < \dots < t_k$  and  $u_1 < \dots < u_l$ . While the  $(k, l)$ -RSK is the case where  $t_i < u_j$  for all  $i$  and  $j$ , these other super-RSK's correspond to all the  $\binom{k+l}{k}$  shuffles of the  $t$ 's and  $u$ 's satisfying the above restrictions that  $t_1 < \dots < t_k$  and  $u_1 < \dots < u_l$ . We show that the shape of the tableaux produced by any such super-RSK is independent of the particular shuffle of the  $t$ 's and  $u$ 's. © 2002 Elsevier Science (USA)

## 1. INTRODUCTION

We follow the tableau terminology of [7]. The classical Frobenius–Schur–Weyl theory shows how the SSYT (semistandard Young tableaux) determine the representations of  $GL(m, \mathbb{C})$  (or  $gl(m, \mathbb{C})$ ). Here  $GL(m, \mathbb{C})$  ( $gl(m, \mathbb{C})$ ) is the general linear Lie group (algebra). Also, SYT (standard Young tableaux) play an important role here. The notion of  $(k, l)$  SSYT is introduced in [1], where similar relationships between such tableaux and the representations of  $pl(k, l)$  are shown. Here  $pl(k, l)$  is the general linear Lie super-algebra.

The  $(k, l)$  SSYT are defined, via a  $(k, l)$ -RSK algorithm, as follows [1]. Fix integers  $k, l \geq 0$ ,  $k + l > 0$ , and  $k + l$  symbols  $t_1, \dots, t_k, u_1, \dots, u_l$  such that  $t_1 < \dots < t_k < u_1 < \dots < u_l$ . Let

$$a_{k,l}(n) = \left\{ \left( \begin{array}{c} 1 \cdots n \\ v_1 \cdots v_n \end{array} \right) \mid v_i \in \{t_1, \dots, t_k, u_1, \dots, u_l\} \right\}.$$

To map  $a_{k,l}(n)$  to pairs of tableaux  $(P, Q)$ , apply to each  $v \in a_{k,l}(n)$  the  $(k, l)$ -RSK, in which the usual RSK insertion algorithm [7] is applied to the

$t_i$ 's and the conjugate correspondence (see [1]) is applied to the  $u_j$ 's; see the examples below. By the definitions of [1], the *insertion tableau*,  $P = P(v)$ , mapped from  $v \in a_{k,l}(n)$ , is  $(k, l)$  semistandard; that is, it satisfies the following three properties:

- (a) The “ $t$  part” (i.e., the cells filled with  $t_i$ 's) is a tableau.
- (b) The  $t_i$ 's are nondecreasing in rows, strictly increasing in columns.
- (c) The  $u_j$ 's are nondecreasing in columns, strictly increasing in rows.

As in the usual correspondence, the *recording tableau*,  $Q = Q(v)$ , indicates the order in which the new cells were added to  $P$ . Clearly,  $Q$  is SYT having the same shape as that of  $P$ .

A total order of  $\{t_1, \dots, t_k, u_1, \dots, u_l\}$ , which is compatible with  $t_1 < \dots < t_k$  and  $u_1 < \dots < u_l$ , is called a *shuffle* (of  $t_1, \dots, t_k$  and  $u_1, \dots, u_l$ ). For example,  $t_1 < u_1 < u_2 < t_2$  is such a shuffle, compatible with  $t_1 < t_2$  and  $u_1 < u_2$ . Clearly, there are  $\binom{k+l}{k}$  such shuffles; of these, Berele and Regev chose to work with  $t_1 < \dots < t_k < u_1 < \dots < u_l$ , which we call *the  $(k, l)$  shuffle* (see [1, 2.4]). The shuffle  $t_1 < u_1 < t_2 < u_2 < \dots < t_k < u_k$ , with its corresponding SSYT, appears in Section 4 of [3].

Let  $I = I(k, l)$  denote the set of all such  $\binom{k+l}{k}$  shuffles. Given  $A \in I$ , there is a corresponding  $A$ -RSK insertion algorithm; if  $v \in a_{k,l}(n)$ , then  $v \xrightarrow{A} (P, Q)$  by that algorithm.  $P = P_A = P(v, A)$  is the insertion tableau, and  $Q = Q_A = Q(v, A)$  is the recording tableau. Here  $P$  is an  $A$ -SSYT; that is, it satisfies the following three properties:

- (a)  $P$  is weakly  $A$ -increasing in both rows and columns.
- (b) The  $t_i$ 's are strictly increasing in columns.
- (c) The  $u_j$ 's are strictly increasing in rows.

EXAMPLE. Let  $k = l = 2$ ,  $A, B \in I = I(2, 2)$ , where

$$A : t_1 < t_2 < u_1 < u_2 \quad \text{and} \quad B : u_1 < u_2 < t_1 < t_2.$$

Let

$$v = \begin{pmatrix} 1 & \dots & \dots & 4 \\ u_2, & t_1, & t_2, & u_1 \end{pmatrix}.$$

Then

$$v \xrightarrow{A} \begin{array}{|c|} \hline u_2 \\ \hline \end{array} \quad \begin{array}{|c|c|} \hline t_1 & u_2 \\ \hline \end{array} \quad \begin{array}{|c|c|c|} \hline t_1 & t_2 & u_2 \\ \hline \end{array} \quad \begin{array}{|c|c|c|} \hline t_1 & t_2 & u_2 \\ \hline u_1 \\ \hline \end{array} = P_A,$$

while

$$v \xrightarrow{B} \begin{array}{|c|} \hline u_2 \\ \hline \end{array} \quad \begin{array}{|c|c|} \hline u_2 & t_1 \\ \hline \end{array} \quad \begin{array}{|c|c|c|} \hline u_2 & t_1 & t_2 \\ \hline \end{array} \quad \begin{array}{|c|c|c|} \hline u_1 & u_2 & t_2 \\ \hline t_1 \\ \hline \end{array} = P_B.$$

Thus  $v \xrightarrow{A} (P_A, Q)$  and  $v \xrightarrow{B} (P_B, Q)$ , where

$$Q = \begin{array}{|c|c|c|} \hline 1 & 2 & 3 \\ \hline 4 & & \\ \hline \end{array}$$

and  $P_A$  and  $P_B$  are as above.

**DEFINITION.** Denote by  $\text{sh}(v, A) = \text{sh}(P_A)$  the shape of the insertion tableau  $P(v, A) = P_A$  of  $v \in a_{k,l}(n)$  under the  $A$ -RSK.

Given a shuffle  $A \in I$  and the pair  $(P, Q)$ , where  $P$  is  $A$ -SSYT,  $Q$  is SYT, and  $\text{sh}(P) = \text{sh}(Q)$ , the  $A$  insertion algorithm can obviously be reversed. Standard arguments (see, e.g., [7, Chap. 7]) yield the following result.

**THEOREM 1.** *Let  $A \in I$  be a shuffle. Then the  $A$ -RSK insertion algorithm  $v \xrightarrow{A} (P_A, Q_A)$  is a bijection between  $a_{k,l}(n)$  and*

$$\{(P_A, Q_A) \mid P_A \text{ is } A\text{-SSYT, } Q_A \text{ is SYT, } \text{sh}(P_A) = \text{sh}(Q_A)\}.$$

*Remark.* Denote such a tableau  $P = (P_{i,j})$  and denote  $<_A$  by  $<$ . Clearly, if  $P_{i,j} = t_r$ , then  $P_{i,j-1} \leq P_{i,j} \leq P_{i,j+1}$  and  $P_{i-1,j} < P_{i,j} < P_{i,j+1}$ . Similarly, if  $P_{i,j} = u_r$ , then  $P_{i,j-1} < P_{i,j} < P_{i,j+1}$  and  $P_{i-1,j} \leq P_{i,j} \leq P_{i,j+1}$ .

Denote by  $\text{sh}(v, A)$  the shape of tableaux  $P(v, A)$  and  $Q(v, A)$ . This brings us to our main result.

**THEOREM 2.** *Let  $v \in a_{k,l}(n)$ ,  $A, B \in I$ ,  $v \xrightarrow{A} (P_A, Q_A)$ , and  $v \xrightarrow{B} (P_B, Q_B)$ . Then  $\text{sh}(P_A) = \text{sh}(P_B)$ . Consequently,  $Q_A = Q_B$ .*

In other words, the shape of the tableau obtained through any of the  $(k, l)$ -shuffle-RSK algorithms is independent of the particular shuffle of the  $t$ 's and  $u$ 's.

**DEFINITION.** Let  $A \in I$  and  $\lambda \vdash n$ , that is, a partition of  $n$ . Let  $\mathfrak{S}_A(\lambda)$  denote the set of the  $A$ -SSYT of shape  $\lambda$ :

$$\mathfrak{S}_A(\lambda) = \{T \mid T \text{ is } A\text{-SSYT, } \text{sh}(T) = \lambda\}.$$

Recall the definition of  $\text{type}(T)$  from [7, p. 309].

Theorem 2 implies the following.

**THEOREM 3** [6]. *Let  $A, B \in I$ ,  $\lambda \vdash n$ . Then there exists a bijection  $\varphi: \mathfrak{S}_A(\lambda) \rightarrow \mathfrak{S}_B(\lambda)$  such that, for all  $T \in \mathfrak{S}_A(\lambda)$ ,  $\text{type}(T) = \text{type}(\varphi(T))$ . (In fact, there exist (at least)  $d_\lambda$  such canonical bijections, where  $d_\lambda$  is the number of SYT's of shape  $\lambda$ .)*

Theorem 3 appears in [6], where it is proven by a different method. Our proof of the theorem is as follows.

*Proof of Theorem 3.* The proof is based on the following diagram:

$$\begin{array}{ccc}
 & & (P_A, Q) \\
 & \nearrow^{A\text{-RSK}} & \\
 v \in a_{k,l}(n), & v & \\
 & \searrow_{B\text{-RSK}} & \\
 & & (P_B, Q)
 \end{array}$$

Thus choose an SYT  $Q$  of shape  $\lambda$ . Given  $P = P_A \in \mathfrak{S}_A(\lambda)$ , we get

$$(P_A, Q) \xrightarrow[A\text{-RSK}]{\text{inverse}} v \xrightarrow[B\text{-RSK}]{} (P_B, Q).$$

This defines the bijection  $\varphi = \varphi_Q : \varphi(P_A) = P_B$ . Clearly,  $\text{type}(P_A) = \text{type}(P_B)$  and, by Theorem 2,  $\text{sh}(P_A) = \text{sh}(P_B)$ . ■

Recall from [2] the notation  $w(T)$  for the weight of a tableau  $T$ . For example, let

$$T = \begin{array}{|c|c|c|c|} \hline t_1 & t_1 & u_2 & u_3 \\ \hline t_2 & t_3 & u_2 & \\ \hline u_1 & u_3 & & \\ \hline u_1 & & & \\ \hline \end{array} .$$

Then  $w(T) = x_1^2 x_2 x_3 y_1^2 y_2^2 y_3^2$ . Also, recall the “hook” (or the “super”) Schur function

$$HS_\lambda(x; y) = HS_\lambda(x_1, \dots, x_k; y_1, \dots, y_l) \quad [1, 2].$$

When  $A$  is the shuffle  $A_0 : t_1 < \dots < t_k < u_1 < \dots < u_l$ ,  $HS_\lambda(x; y)$  is given by

$$HS_\lambda(x_1, \dots, x_k; y_1, \dots, y_l) = \sum_{T \in \mathfrak{S}_{A_0}(\lambda)} w(T)$$

[1, Theorem 6.10]. See also [4–6].

Theorem 3 implies the following.

**COROLLARY 4.** *For any  $A \in I$ ,*

$$HS_\lambda(x_1, \dots, x_k; y_1, \dots, y_l) = \sum_{T \in \mathfrak{S}_A(\lambda)} w(T).$$

Given a shuffle  $A \in I$ , the  $A$ -RSK is based on  $A$ , on the regular RSK for the  $t_i$ 's, and on the conjugate-regular RSK for the  $u_j$ 's.

In addition to the regular RSK, there is also the dual RSK [7, p. 331]. Given the shuffle  $A \in I$ , this leads to four possible  $A$  insertion algorithms: either the regular or the dual for the  $t_i$ 's and either the conjugate regular or

the conjugate dual for the  $u_j$ 's. In fact, the previous  $A$ -RSK is ( $t$ -regular,  $u$ -conjugate-regular), which we denote as the (regular, regular)- $A$ -RSK. Similarly, ( $t$ -regular,  $u$ -dual-conjugate) is the (regular, dual)- $A$ -RSK. Similarly for the algorithms (dual, regular)- $A$ -RSK and (dual, dual)- $A$ -RSK. Each of these three new insertion algorithms exhibits a similar shape invariance under all shuffles  $A \in I$ .

**THEOREM 5.** (a) *Let  $v \in a_{k,l}(n)$  and  $A, B \in I$  such that*

$$v \xrightarrow{\text{(regular, regular)-}A\text{-RSK}} (P_A^*, Q_A^*), \quad v \xrightarrow{\text{(regular, regular)-}B\text{-RSK}} (P_B^*, Q_B^*).$$

*Then  $\text{sh}(P_A^*) = \text{sh}(P_B^*)$ . Consequently,  $Q_A^* = Q_B^*$ .*

(b) *Let  $v \in a_{k,l}(n)$  and  $A, B \in I$  such that*

$$v \xrightarrow{\text{(regular, dual)-}A\text{-RSK}} (P_A^*, Q_A^*), \quad v \xrightarrow{\text{(regular, dual)-}B\text{-RSK}} (P_B^*, Q_B^*).$$

*Then  $\text{sh}(P_A^*) = \text{sh}(P_B^*)$ . Consequently,  $Q_A^* = Q_B^*$ .*

(c) *Let  $v \in a_{k,l}(n)$  and  $A, B \in I$  such that*

$$v \xrightarrow{\text{(dual, regular)-}A\text{-RSK}} (P_A^*, Q_A^*), \quad v \xrightarrow{\text{(dual, regular)-}B\text{-RSK}} (P_B^*, Q_B^*).$$

*Then  $\text{sh}(P_A^*) = \text{sh}(P_B^*)$ . Consequently,  $Q_A^* = Q_B^*$ .*

(d) *Let  $v \in a_{k,l}(n)$  and  $A, B \in I$  such that*

$$v \xrightarrow{\text{(dual, dual)-}A\text{-RSK}} (P_A^*, Q_A^*), \quad v \xrightarrow{\text{(dual, dual)-}B\text{-RSK}} (P_B^*, Q_B^*).$$

*Then  $\text{sh}(P_A^*) = \text{sh}(P_B^*)$ . Consequently,  $Q_A^* = Q_B^*$ .*

Clearly, Theorem 5(a) is Theorem 2 above. The proof of Theorem 2 is given in the next section, which is the main body of this paper. First we describe the  $A$ -RSK algorithm in detail. The main step in the proof of Theorem 2 is Lemma 2.15, which shows that a transposition of the variables in the shuffle (i.e., a single change in the order of some  $t_i$  and  $u_j$ ) does not alter the shape of the resulting tableaux. In Section 3 we prove the remaining parts (b), (c), and (d) of Theorem 5, essentially by deducing them from Theorem 2.

## 2. INVARIANCE OF SHAPE

As in the  $(k, l)$ -RSK, the  $A$ -RSK insertion algorithm involves applying the usual RSK correspondence to the  $t_i$ 's, and the conjugate correspondence to the  $u_j$ 's. This is illustrated in the following example.

**DEFINITION 2.1.** For  $i, j \in \mathbb{Z}^+$ , let  $c(i, j)$  denote the cell in row  $i$  and column  $j$  of a given tableau.

EXAMPLE 2.2. Under the shuffle  $A = t_1 < u_1 < t_2 < u_2 < t_3$ , perform the insertion

$$\begin{array}{|c|c|c|} \hline u_1 & t_2 & t_2 \\ \hline u_1 & u_2 & \\ \hline t_3 & & \\ \hline \end{array} \leftarrow t_1.$$

(a)  $t_1 < u_1 \implies t_1$  occupies  $c(1, 1)$ . Now, a  $u_i$  is always bumped to the next column; hence  $u_1$  is bumped to column 2.

(b)  $u_1 < t_2 \implies u_1$  occupies  $c(1, 2)$ . Now, a  $t_i$  is always bumped to the next row; hence  $t_2$  is bumped to row 2.

(c)  $u_1 < t_2 < u_2 \implies t_2$  occupies  $c(2, 2)$ , bumping  $u_2$  to column 3.

(d)  $u_2 > t_2 \implies u_2$  settles in  $c(2, 3)$ .

$$\begin{array}{l} \text{(a)} \quad \begin{array}{|c|c|c|} \hline t_1 & t_2 & t_2 \\ \hline u_1 & u_2 & \\ \hline t_3 & & \\ \hline \end{array}, \quad \text{(b)} \quad \begin{array}{|c|c|c|} \hline t_1 & u_1 & t_2 \\ \hline u_1 & u_2 & \\ \hline t_3 & & \\ \hline \end{array}, \\ \\ \text{(c)} \quad \begin{array}{|c|c|c|} \hline t_1 & t_2 & t_2 \\ \hline u_1 & t_2 & \\ \hline t_3 & & \\ \hline \end{array}, \quad \text{(d)} \quad \begin{array}{|c|c|c|} \hline t_1 & u_1 & t_2 \\ \hline u_1 & t_2 & u_2 \\ \hline t_3 & & \\ \hline \end{array}. \end{array}$$

The proof of Theorem 2 follows from the following analysis of the  $A$ -RSK algorithm.

LEMMA 2.3. Let  $P$  be an  $A$ -SSYT,  $v \in \{t_1, \dots, t_k, u_1, \dots, u_l\}$ . The insertion  $P \leftarrow v$  is made of a sequence of several steps. In an intermediate  $m$ th such step, we have an  $A$ -SSYT  $\tilde{P}$  together with an element  $P_{i,j}$  that was bumped from  $c(i, j)$  by  $\tilde{P}_{i,j}$ ,  $\tilde{P}_{i,j} \prec_A P_{i,j}$ , and we need to do the following insertion:

(a) If  $P_{i,j} = t_r$ , insert it into the  $i + 1$ th row of  $\tilde{P}$ .

(b) If  $P_{i,j} = u_s$ , insert it into the  $j + 1$ th column of  $\tilde{P}$ .

We show that in both cases the result would be an  $A$ -SSYT  $P^*$  and—except for the last step—together with a new element  $\tilde{P}_{i',j'}$  (bumped from  $c(i', j')$ ), which is to be inserted into  $P^*$ . Moreover,

(1) If  $P_{i,j} = t_r$ , then  $c(i', j') = c(i + 1, j')$  and  $j' \leq j$ .

(2) If  $P_{i,j} = u_s$ , then  $c(i', j') = c(i', j + 1)$  and  $i' \leq i$ .

*Proof.* Note that (2) is obtained from (1) by conjugation; hence it suffices to just prove (1).

*Proof of (1).* Denote the  $i$ th row of  $\tilde{P}$  by

$$a_1 \cdots a_{j-1} \tilde{P}_{i,j} a_{j+1} \cdots a_g,$$

so  $a_j = P_{i,j}$  and, by assumption,  $P_{i,j} = t_r$ . Thus

$$\begin{array}{c} \vdots \\ a_1 \cdots a_{j-1} \tilde{P}_{i,j} a_{j+1} \cdots a_g \\ \tilde{P} = b_1 \cdots b_f \\ c_1 \cdots c_h \\ \vdots \end{array}$$

and  $P_{i,j} = t_r$  is inserted into the  $i + 1$ th row  $b_1 \cdots b_f$ .

Let  $b_{j'-1} \leq P_{i,j} < b_{i,j'}$ , so, in  $P^*$ , the  $i + 1$ th row is

$$b_1 \cdots b_{j'-1} P_{i,j} b_{j'+1} \cdots b_f.$$

Since  $\tilde{P}_{i,j}$  bumped  $P_{i,j}$ , we have  $\tilde{P}_{i,j} < P_{i,j}$ . Since  $a_j = P_{i,j} = t_r$ , hence  $P_{i,j} < b_j$ . Together with  $b_{j'-1} \leq P_{i,j} < b_{j'}$ , this implies that  $j' \leq j$ ; hence

$$\begin{array}{c} \vdots \\ a_1 \cdots a_{j'-1} a_j a_{j'+1} \cdots \tilde{P}_{ij} a_{j+1} \cdots a_g \\ P^* = b_1 \cdots b_{j'-1} P_{ij} b_{j'+1} \cdots b_j b_{j+1} \cdots b_f \\ c_1 \cdots c_{j'-1} c_j c_{j'+1} \cdots c_j c_{j+1} \cdots c_h \\ \vdots \end{array}$$

By the induction assumption on  $\tilde{P}$ , we only need to verify that the part

$$\begin{array}{c} a_{j'} \\ P_{i,j} \\ c_{j'} \end{array}$$

of the  $j'$ th column is  $A$ -semistandard; that is, since  $P_{i,j} = t_r$ , we need to show that  $a_{j'} \leq \tilde{P}_{i,j} < c_{j'}$ . This follows from  $a_{j'} \leq \tilde{P}_{i,j} < P_{i,j} = t_r < b_{j'} \leq c_{j'}$ . ■

**DEFINITION 2.4.** Two shuffles  $A, B \in I$  are *adjacent* if there exist  $t_i$  and  $u_j$  such that

- (1)  $t_i < u_j$  in  $A$ .
- (2)  $u_j < t_i$  in  $B$ .
- (3) All other pairs have the same order relations in  $A$  and in  $B$ .

In that case, call  $A$  and  $B$   $(t_i, u_j)$ -adjacent. Thus  $A$  and  $B$  differ by the transposition  $(t_i, u_j)$ .

*Remark 2.5.* Trivially, for any  $A, B \in I$ , there exist  $A_0, A_1, \dots, A_n \in I$  such that  $A_0 = A$ ,  $A_n = B$ , and  $A_r$  is adjacent to  $A_{r+1}$ ,  $0 \leq r \leq n-1$ . Thus, to prove Theorem 1, it suffices to show that, for all  $v \in a_{k,l}(n)$  and for every pair  $(A, B)$  of adjacent shuffles,  $\text{sh}(v, A) = \text{sh}(v, B)$ . Therefore, for the rest of this section, let  $A, B \in I$  be  $(t_i, u_j)$ -adjacent, with  $t_i <_A u_j$  and  $u_j <_B t_i$ .

**LEMMA 2.6.** *Let  $A \in I$ , let  $w \in a_{k,l}(n)$ , and, for some  $x \in \{t_1, \dots, t_k, u_1, \dots, u_l\}$ , let  $w'$  be the sequence obtained by omitting from  $w$  all elements  $A$ -greater than  $x$ . Let  $P_A$  and  $P'_A$  be the insertion tableaux obtained from  $w$  and  $w'$ , respectively, under shuffle  $A$ . Then  $P'_A$  is a subtableau of  $P_A$ .*

*Proof.* Let  $w \xrightarrow{A\text{-RSK}} P_A$ ;  $P : \emptyset, P_1, P_2, \dots, P_n = P_A$ , and similarly let  $w' \xrightarrow{A\text{-RSK}} P'_A$ ;  $P' : \emptyset, P'_1, P'_2, \dots, P'_m = P'_A$  ( $m = |w'|$ ).

Assume  $P'_i$  is a subtableau of  $P_{j_i}$  and insert (a corresponding)  $y$  in  $w$ .

If  $x <_A y$ ,  $y$  is not in  $w'$  so  $P'_i$  is not affected. Also, inserting  $y$  into  $P_{j_i}$ ,  $y$  does not affect the subtableau  $P'_i \subseteq P_{j_i}$ , since  $y$  bumps only elements that are  $A$ -greater than itself.

A similar argument applies when  $y \leq x$ : now  $y$  is also in  $w'$ , and is inserted into  $P'_i$  and into  $P_{j_i}$ . Clearly, in  $P_{j_i}$  it is also inserted into the subtableau  $P'_i \subseteq P_{j_i}$ , and the proof follows. ■

**COROLLARY 2.7.** *Let  $A, B \in I$  be  $(t_i, u_j)$ -adjacent,  $v \in a_{k,l}(n)$ ,  $v \xrightarrow{A} (P_A, Q_A)$ , and  $v \xrightarrow{B} (P_B, Q_B)$ . Then the elements that are both  $A$ -less and  $B$ -less than  $t_i$  and  $u_j$  form identical subtableaux in  $P_A$  and  $P_B$ .*

*Proof.* Denote by  $v'$  the sequence obtained by omitting from  $v$  all elements ( $A$ - and  $B$ -) greater than or equal to  $t_i$  and  $u_j$ . By  $(t_i, u_j)$ -adjacency, the largest element smaller than  $t_i$  and  $u_j$ , in both  $A$  and  $B$ , is the same element  $x$ . Moreover,  $v'$  is obtained by omitting from  $v$  all elements which are ( $A$ - or  $B$ -) greater than  $x$ . Let  $P'_A$  and  $P'_B$  denote the insertion tableaux of  $v'$  under shuffles  $A$  and  $B$ , respectively. Then, by Lemma 2.6,  $P'_A$  and  $P'_B$  are subtableaux of  $P_A$  and  $P_B$ , respectively. But the elements that are  $A$ - or  $B$ -less than  $t_i$  and  $u_j$  are ordered identically in  $A$  and  $B$ , so  $P'_A = P'_B$ . ■

*Notation.* As above, let  $A, B \in I$  be two shuffles that are  $(t_i, u_j)$ -adjacent:  $t_i < u_j$  in  $A$  and  $u_j < t_i$  in  $B$ . Let  $v \in a_{k,l}(n)$  and denote  $v \xrightarrow{A} (P_A, Q_A)$  and  $v \xrightarrow{B} (P_B, Q_B)$ .

*Notation.* Given the tableau  $P_A$  (and similarly for  $P_B$ ), let regions 1, 2, and 3 denote, respectively, the regions occupied (1) by elements less than  $t_i$  and  $u_j$ , (2) by  $t_i$  and  $u_j$ , and (3) by elements greater than  $t_i$  and  $u_j$ .



EXAMPLE 2.8. Let  $v = u_1 t_3 t_2 u_2 t_2 u_1 t_1$  and let

$$A = t_1 < u_1 < t_2 < u_2 < t_3,$$

$$B = t_1 < u_1 < u_2 < t_2 < t_3.$$

Then  $A$  and  $B$  are  $(t_i, u_j)$ -adjacent, with  $t_i = t_2$  and  $u_j = u_2$ , and

$$P_A = \begin{array}{|c|c|c|} \hline t_1 & u_1 & t_2 \\ \hline u_1 & t_2 & u_2 \\ \hline t_3 & & \\ \hline \end{array}, \quad P_B = \begin{array}{|c|c|c|} \hline t_1 & u_1 & u_2 \\ \hline u_1 & t_2 & t_2 \\ \hline t_3 & & \\ \hline \end{array}.$$

In both tableaux, region 1 contains the elements  $t_1$  and  $u_1$ , region 2 contains  $t_2$  and  $u_2$ , and region 3 contains  $t_3$ . Note that, in this example, regions 1 and 3 are the same in  $P_A$  as in  $P_B$ , and region 2 is identically shaped in  $P_A$  and  $P_B$ . We shall show that this is always true.

By Lemma 2.6, both region 1 and the union of regions 1 and 2 form subtableaux in  $P$ . It is easy to check that region 2 does not contain the configuration

$$\begin{array}{|c|c|} \hline a & b \\ \hline c & d \\ \hline \end{array}.$$

If it does, assume  $d = t_i$ . Then  $b = u_j$ , so  $u_j < t_i$ , and  $a \neq t_i, u_j$ . Similarly if  $d = u_j$ . It follows that region 2 forms part of the rim of the subtableaux which is the union of regions 1 and 2.

*Remark 2.9.* Note that (part of) region 2 in  $P_A$  (i.e.,  $t_i < u_j$ ) always looks like

$$\begin{array}{c} t_i \cdots \cdots t_i \\ u_j \\ \vdots \\ t_i \cdots \cdots t_i u_j \\ u_j \\ \vdots \\ u_j \end{array}$$

Namely, except possibly for the rightmost element, all other elements in a row are  $t_i$ 's. Similarly, except for possibly the top element, all other elements in a column are  $u_j$ 's.

Similarly, in  $P_B$  (i.e.,  $u_j < t_i$ ), part of region 2 looks like

$$\begin{array}{c} u_j t_i \cdots \cdots t_i \\ \vdots \\ u_j t_i \cdots \cdots t_i \\ \vdots \\ u_j \end{array}$$

Denote  $v = v_1 \cdots v_n$ . The tableau  $P_A$  is created by applying the  $A$ -RSK insertion algorithm to each of  $v_1, \dots, v_n$  successively. For each  $v_m$ , let  $l_{m(A)}$  denote the length of the insertion path [7, p. 317] of  $v_m$  under shuffle  $A$ —that is, the number of insertion steps that occur when  $v_m$  is inserted while forming  $P_A$ . The total number of insertion steps involved in the formation of  $P_A$  is thus  $s_A = \sum_{m=1}^n l_{m(A)}$ . For every  $r \in \{1, \dots, s_A\}$ , let  $P_A^r$  be the insertion tableau as it appears immediately after insertion step  $r$ .

Similarly, under shuffle  $B$ , the length of the insertion path of  $v_m$  into  $P_B$  is  $l_{m(B)}$ , and the total number of insertion steps involved in forming  $P_B$  is  $s_B = \sum_{m=1}^n l_{m(B)}$ , with  $P_B^r$  denoting the insertion tableau after insertion step  $r$ .

EXAMPLE 2.10. As in Example 2.8, let  $v = v_1 \cdots v_7 = u_1 t_3 t_2 u_2 t_2 u_1 t_1$  and let  $A = t_1 < u_1 < t_2 < u_2 < t_3$ . Then tableau  $P_A$  is formed by the  $A$ -RSK as follows (ignore the underlines):

$$\begin{array}{ccc} \boxed{\underline{u_1}} & \boxed{u_1 \quad \underline{t_3}} & \begin{array}{|c|c|} \hline u_1 & \underline{t_2} \\ \hline \underline{t_3} & \\ \hline \end{array} & \begin{array}{|c|c|} \hline u_1 & t_2 \\ \hline \underline{u_2} & \\ \hline \underline{t_3} & \\ \hline \end{array} \end{array}$$

$$\begin{array}{ccc} \begin{array}{|c|c|c|} \hline u_1 & t_2 & \underline{t_2} \\ \hline u_2 & & \\ \hline t_3 & & \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline u_1 & t_2 & t_2 \\ \hline \underline{u_1} & \underline{u_2} & \\ \hline t_3 & & \\ \hline \end{array} & \begin{array}{|c|c|c|} \hline \underline{t_1} & \underline{u_1} & t_2 \\ \hline u_1 & \underline{t_2} & \underline{u_2} \\ \hline t_3 & & \\ \hline \end{array} \end{array}$$

For all  $i \in \{1, \dots, 7\}$ , the underlined elements in tableau  $i$  lie in the insertion path of element  $v_i$ . Thus  $l_{1(A)} = l_{2(A)} = l_{5(A)} = 1$ ,  $l_{3(A)} = l_{4(A)} = l_{6(A)} = 2$ ,  $l_{7(A)} = 4$ , and  $s_A = \sum_{i=1}^7 l_{i(A)} = 13$ . If, for example,  $r = 7 = \sum_{i=1}^5 l_{i(A)}$ , then we have

$$P^r = \begin{array}{|c|c|c|} \hline u_1 & t_2 & t_2 \\ \hline u_2 & & \\ \hline t_3 & & \\ \hline \end{array}, \quad P^{r+1} = \begin{array}{|c|c|c|} \hline u_1 & t_2 & t_2 \\ \hline u_1 & & \\ \hline t_3 & & \\ \hline \end{array}.$$

EXAMPLE 2.11. Let  $k = l = 1$ ,  $A : t < u$ ,  $B : u < t$ ,  $v = v_1 v_2 = tu$ . Then

$$P_A : \emptyset, \quad \boxed{t}, \quad \begin{array}{|c|} \hline t \\ \hline u \\ \hline \end{array}, \quad l_{1(A)} = l_{2(A)} = 1,$$

$$P_B : \emptyset, \quad \boxed{t}, \quad \begin{array}{|c|} \hline u \\ \hline t \\ \hline \end{array}, \quad l_{1(B)} = 1, l_{2(B)} = 2.$$

DEFINITION 2.12. For  $p, q \in \mathbb{Z}^+$ , we say that  $P_A^p \sim P_B^q$  (with respect to the formations of  $P_A$  and  $P_B$ ) if:

(1) Regions 1 and 3 are identical in  $P_A^p$  and  $P_B^q$ .

(2) Region 2 is identically shaped in  $P_A^p$  and  $P_B^q$ ; moreover, in each connected component of that region 2, the number of  $t_i$ 's (hence of  $u_j$ 's) in  $P_A^p$  equals the number of  $t_i$ 's (hence of  $u_j$ 's) in  $P_B^q$ .

(3) Either  $p = s_A$  and  $q = s_B$ , or both  $p < s_A$  and  $q < s_B$ . In the latter case, the next insertion step involves inserting the same element into the same row (or column) in both tableaux.

EXAMPLE 2.13. The tableaux of Example 2.8 satisfy  $P_A \sim P_B$ . Regions 1 and 3 in the two tableaux are identical, satisfying Definition 2.12(1). Region 2 consists of one component which is identically shaped and contains exactly one  $t_i$  and one  $u_j$  in both tableaux. This verifies Definition 2.12(2). Since both tableaux correspond to  $p = s_A$  and  $q = s_B$ , Definition 2.12(3) is satisfied as well.

LEMMA 2.14. For any shuffle  $A \in I$  and for all  $p \in \{2, \dots, s_A\}$  and  $r, s \in \mathbb{Z}^+$ , if  $c(r, s)$  contains some  $w$  in  $P_A^{p-1}$ , then  $c(r, s)$  contains some  $z \leq_A w$  in  $P_A^p$ .

Conversely, if  $c(r, s)$  contains some element  $z$  in  $P_A^p$ , then  $c(r, s)$  was either empty or contained some  $w \geq_A z$  in  $P_A^{p-1}$ .

*Proof.* Follows from the  $A$ -RSK algorithm. ■

The Proof of Theorem 2 clearly follows from the next result.

LEMMA 2.15. Let  $A, B \in I$  be  $(t_i, u_j)$ -adjacent,  $v \in a_{k,l}(n)$ ,  $v \xrightarrow{A\text{-RSK}} (P_A, Q_A)$ , and  $v \xrightarrow{B\text{-RSK}} (P_B, Q_B)$ . Then  $P_A \sim P_B$ .

*Proof.* We prove that  $P_A \sim P_B$  by induction on the insertion steps of  $P_A$  and  $P_B$ . Trivially,  $P_A^1 = P_B^1$ . Now let  $p \in \{1, \dots, s_A - 1\}$ ,  $q \in \{1, \dots, s_B - 1\}$  and assume that (1)  $P_A^p \sim P_B^q$  and also (2)  $P_A^{p-1} \sim P_B^{q-1}$  or  $P_A^{p-1} \sim P_B^{q-2}$  or  $P_A^{p-2} \sim P_B^{q-1}$ . We show that this implies that  $P_A^{p+1} \sim P_B^{q+1}$  or  $P_A^{p+1} \sim P_B^{q+2}$  or  $P_A^{p+2} \sim P_B^{q+1}$ . This clearly implies the proof of the lemma (by induction on  $p + q$ ).

Note that if  $P_A^p \sim P_B^q$ , then, by Definition 2.12(3), step  $p + 1$  in  $P_A$  and step  $q + 1$  in  $P_B$  are identical; that is, the same element,  $x$ , is inserted into the same row (or column) in both tableaux. We assume that  $x$  is a  $t$ -element and therefore enters some row, denoted *row*  $r$ ; the case where  $x$  is a  $u$ -element is analogous. Since  $P_A^p \sim P_B^q$ , row  $r$  is empty in  $P_A^p$  if and only if it is empty in  $P_B^q$ . The case where row  $r$  is empty is trivial, so we assume throughout that row  $r$  is nonempty in  $P_A^p$  and  $P_B^q$ .

*Case 1.* Suppose that, under both shuffles  $A$  and  $B$ ,  $x > t_i$  and  $u_j$ . Since  $P_A^p \sim P_B^q$ , the last nonempty cell in row  $r$  must be in the same region in both  $P_A^p$  and  $P_B^q$ , and if it is in region 3, then it must be occupied by the same element in both tableaux.

*Case 1.1.* Row  $r$  in  $P_A^p$  (and in  $P_B^q$ ) terminates with an element less than or equal to  $x$ . In this case,  $x$  is affixed to the end of the row in both tableaux, so  $P_A^{p+1}$  and  $P_B^{q+1}$  have the same shape and clearly satisfy properties (1) and (2) of Definition 2.12. Let  $m$  denote the size of  $P_A^{p+1}$  and  $P_B^{q+1}$ . If  $m = n$ , which is the size of  $P_A$  and  $P_B$ , then the insertion algorithm terminates here. Otherwise, the next step is to begin  $v_{m+1}$ 's insertion path by inserting  $v_{m+1}$  into either the first row or the first column in both tableaux. This verifies Definition 2.12(3) and we have  $P_A^{p+1} \sim P_B^{q+1}$ .

*Case 1.2.* Row  $r$  in  $P_A^p$  contains an element  $z > x$  (under both  $A$  and  $B$ ). Since  $P_A^p \sim P_B^q$ , the same is true in  $P_B^q$ . In this case,  $x$  bumps an element greater than itself—a region-3 element—and occupies its cell in both tableaux. Thus both the cell occupied by  $x$  and the element bumped by  $x$  are identical in the two tableaux, which verifies Definition 2.12(3). Since Definition 2.12(1) and (2) clearly hold, it follows that  $P_A^{p+1} \sim P_B^{q+1}$ .

*Case 2.* Suppose that  $x = t_i$ . During step  $P_B^q \rightarrow P_B^{q+1}$ ,  $x = t_i >_B u_j$  bumps the first region-3 element in row  $r$ , or if no such element exists,  $x$  occupies the first empty cell in that row. Let  $c(r, s)$  be the cell occupied by  $x$  in  $P_B^{q+1}$ .

*Case 2.1.* In row  $r$  of  $P_A^p$ , region 2 either terminates with  $t_i$  or does not appear at all in that row. Then  $x$  occupies  $c(r, s)$  also in  $P_A^{p+1}$  (and bumps the same element as in  $P_B^{q+1}$ ), so  $P_A^{p+1} \sim P_B^{q+1}$ .

*Case 2.2.* In  $P_A^p$ , the last region-2 element in row  $r$  is  $u_j$ . Let this  $u_j$  be in  $c(r, s')$ . Since  $P_A^p \sim P_B^q$ ,  $c(r, s')$  is the last region-2 cell in row  $r$  in both tableaux. Since, in  $P_B^q \rightarrow P_B^{q+1}$ ,  $x$  was inserted into  $c(r, s)$ , we have  $s = s' + 1$ . Thus  $u_j$  is in  $c(r, s - 1)$  and is bumped by  $x = t_i$  to column  $s$  during  $P_A^p \rightarrow P_A^{p+1}$ . We prove that, in such a case,  $P_A^{p+1} \sim P_B^{q+1}$ . To do so,

we show that

2.2.1. In  $P_A^{p+1} \rightarrow P_A^{p+2}$ ,  $u_j$  settles in  $c(r, s)$ , to the immediate right of  $x$ .

2.2.2. This implies that Definition 2.12(2) for  $P_A^{p+2} \sim P_B^{q+1}$  is satisfied.

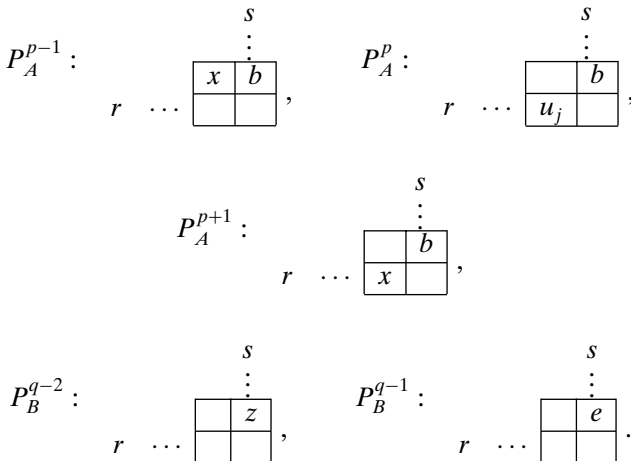
2.2.3. Both (1) and (3) of Definition 2.12 for  $P_A^{p+2} \sim P_B^{q+1}$  are satisfied.

*Proof of 2.2.1.* If  $r = 1$ , then  $u_j$  clearly settles in  $c(r, s)$  in  $P_A^{p+2}$ . We therefore assume that  $r > 1$ .

To prove that  $u_j$  settles in  $c(r, s)$  in  $P_A^{p+2}$ , we need only to show that  $c(r - 1, s)$  in  $P_A^{p+1}$  contains an element  $b \leq u_j$ , since  $c(r, s)$  in  $P_A^{p+1}$  contains some element  $z >_A u_j$ . Now, since  $r > 1$ ,  $x = t_i$  arrived at row  $r$  in  $P_A^p$  (and similarly in  $P_B^q$ ) after being bumped from row  $r - 1$  of  $P_A^{p-1}$ . Let  $c(r - 1, h)$  be the cell occupied by  $x$  in  $P_A^{p-1}$ , before it was bumped from row  $r - 1$ .

$$P_A^{p-1} \xrightarrow[\text{from } c(r-1, h)]{x \text{ is bumped}} P_A^p \xrightarrow[\text{into } c(r, s-1)]{x \text{ is inserted}} P_A^{p+1} \xrightarrow[\text{into column } s]{u_j \text{ is inserted}} P_A^{p+2}.$$

Since  $x$  is inserted into  $c(r, s - 1)$  of  $P_A^{p+1}$ , Lemma 2.3 implies that  $s - 1 \leq h$ . If  $s \leq h$ , then  $c(r - 1, s)$  was occupied by an element less than or equal to  $x$  in  $P_A^{p-1}$ , and this continues to be true in  $P_A^p$  and  $P_A^{p+1}$ , which implies our claim (that  $b \leq u_j$ ). On the other hand, suppose that  $h = s - 1$ . In this case, we prove that  $c(r - 1, s)$  contains an element less than or equal to  $u_j$  by showing that otherwise we would have a contradiction to the induction assumptions. Our proof of this is illustrated by the following figures, each of which consists of the block of cells in rows  $r - 1$  and  $r$  and columns  $s - 1$  and  $s$  in the corresponding tableaux.



So, assume that, in  $P_A^{p-1}$ ,  $x$  occupied  $c(r-1, s-1)$ , and  $c(r-1, s)$  was either empty or contained an element  $b > u_j$  (a region-3 element). We show that this contradicts the claim of the induction hypothesis, that  $P_A^{p-1} \sim P_B^{q-1}$ ,  $P_A^{p-1} \sim P_B^{q-2}$ , or  $P_A^{p-2} \sim P_B^{q-1}$ .

$$P_B^{q-2} \xrightarrow[\text{into } c(r-1, s)]{e \text{ is inserted}} P_B^{q-1} \xrightarrow[\text{from } c(r-1, s')]{x \text{ is bumped}} P_B^q \xrightarrow[\text{into } c(r, s)]{x \text{ is inserted}} P_B^{q+1}.$$

Now, in  $P_B^{q+1}$ ,  $x = t_i$  occupies  $c(r, s)$ , so  $c(r-1, s)$  is occupied by an element less than  $x$ . Lemma 2.3 implies that, in  $P_B^q$ ,  $x$  occupied  $c(r-1, s')$ , where  $s \leq s'$ , and this implies that, in  $P_B^{q-1}$ ,  $c(r-1, s)$  contained an element  $e \leq x$ , a region-1 or -2 element. But the same cell in  $P_A^{p-1}$  was either empty or contained a region-3 element, and by Lemma 2.14, the same must have been true in  $P_A^{p-2}$ . Thus the above assumption, that  $h = s-1$ , implies that neither  $P_A^{p-1} \sim P_B^{q-1}$  nor  $P_A^{p-2} \sim P_B^{q-1}$  is satisfied. We show now that it also implies that  $P_A^{p-1} \not\sim P_B^{q-2}$ .

Suppose that  $P_A^{p-1} \sim P_B^{q-2}$  is satisfied. Denote by  $m$  the size of  $P_A^{p-1}$  and  $P_B^{q-2}$ . By assumption, in  $P_A^{p-1}$ —hence also in  $P_B^{q-2} \sim P_A^{p-1}$ — $x$  occupies  $c(r-1, s-1)$  and  $c(r-1, s)$  is either empty or contains a region-3 element  $z > x$ . But we saw above that, in  $P_B^{q-1}$ ,  $c(r-1, s)$  contained  $e \leq x$ . It follows that insertion step  $P_B^{q-2} \rightarrow P_B^{q-1}$  consisted of  $e$  being inserted into  $c(r-1, s)$  and—if  $c(r-1, s)$  were previously occupied—of bumping from it some  $z > x$ . If  $e$  bumped some  $z$ , then, in  $P_B^{q-1} \rightarrow P_B^q$ ,  $z$  would have been inserted into either row  $r$  or column  $s+1$ . But we saw earlier that  $x$  was bumped to row  $r$  in  $P_B^{q-1} \rightarrow P_B^q$ , which implies that  $z$  must have bumped  $x$  during this step. This leads to a contradiction, since  $z$  could not have bumped  $x < z$ . Thus, when  $e$  occupied  $c(r-1, s)$  during  $P_B^{q-2} \rightarrow P_B^{q-1}$ , it did not bump any element; the cell was previously empty. By occupying an empty cell,  $e$  increased the size of the tableau from  $m$  to  $m+1$ :  $|P_B^{q-2}| = m$ ,  $|P_B^{q-1}| = m+1$ . Hence  $|P_B^q| \geq m+1$ .

On the other hand,  $P_A^{p-1}$  is of size  $m$ , and during  $P_A^{p-1} \rightarrow P_A^p$ ,  $x$  was bumped from row  $r-1$  by some smaller element, so the size of the tableau did not change. It follows that  $|P_A^p| = m < |P_B^q|$ , contradicting  $P_A^p \sim P_B^q$ .

It follows that  $c(r-1, s)$  in  $P_A^{p+1}$  contains an element  $b \leq u_j$ , and this implies that, when  $u_j$  enters column  $s$  in  $P_A^{p+1} \rightarrow P_A^{p+2}$ , it settles in  $c(r, s)$ , to the right of  $x$ . This completes the proof of 2.2.1.

*Proof of 2.2.2.* By 2.2.1,  $u_j$  settles in  $c(r, s)$  in  $P_A^{p+2}$ . We show that this implies that Definition 2.12(2) for  $P_A^{p+2} \sim P_B^{q+1}$  is satisfied. In the diagrams below the proof, the cells outside of region 2 are marked with a  $\star$ .



*Proof of 2.2.3.* Definition 2.12(1) is satisfied for  $P_A^{p+2} \sim P_B^{q+1}$ , since, in both  $P_B^q \rightarrow P_B^{q+1}$  and  $P_A^p \rightarrow P_A^{p+1} \rightarrow P_A^{p+2}$ , region 1 is unchanged, and the only change in region 3 is the elimination of  $c(r, s)$ .

Since the same element  $z$  is bumped from  $c(r, s)$  in  $P_A^{p+1} \rightarrow P_A^{p+2}$  and  $P_B^q \rightarrow P_B^{q+1}$ , Definition 2.12(3) is satisfied, and the proof of 2.2.3 is complete.

It follows that  $P_A^{p+2} \sim P_B^{q+1}$ .

*Case 3.* Suppose that  $x = t_a < t_i$ .

*Case 3.1.* Row  $r$  in  $P_A^p$  terminates with  $z \leq x$ . Thus  $z$  is in region 1, so, by Definition 2.12(1) of  $P_A^p \sim P_B^q$ , row  $r$  in  $P_B^q$  terminates with  $z$ . In such a case,  $x$  is affixed to the end of row  $r$  in both tableaux, so  $P_A^{p+1}$  and  $P_B^{q+1}$  have the same shape, and clearly satisfy Definition 2.12(1) and (2). Let  $m$  denote the size of  $P_A^{p+1}$  and  $P_B^{q+1}$ . If  $m = n$ , which is the size of  $P_A$  and  $P_B$ , then the insertion algorithm terminates here. Otherwise, the next step is to begin  $v_{m+1}$ 's insertion path by inserting  $v_{m+1}$  into either the first row or the first column in both tableaux. This verifies Definition 2.12(3) and we have  $P_A^{p+1} \sim P_B^{q+1}$ .

*Case 3.2.* Row  $r$  in  $P_A^p$  (and hence in  $P_B^q$ ) contains an element greater than  $x$ . In both tableaux,  $x$  bumps from row  $r$  the leftmost element greater than itself. By Definition 2.12(1) and (2) of  $P_A^p \sim P_B^q$ , the same cell—denoted  $c(r, s)$ —becomes occupied by  $x$  in both tableaux. Thus, if the element bumped by  $x$  is identical in the two tableaux, then  $P_A^{p+1} \sim P_B^{q+1}$ .

Suppose, however, that  $x$  bumps different elements from the cell(s)  $c(r, s)$  of  $P_A^p$  and  $P_B^q$ . By  $P_A^p \sim P_B^q$ , these must be  $t_i$  and  $u_j$ . Since  $x = t_a$  occupies  $c(r, s)$  in  $P_A^{p+1}$ , Lemma 2.3 implies that, in  $P_A^{p-1}$ ,  $x$  occupied  $c(r-1, s')$  with  $s \leq s'$ . Thus the  $c(r-1, s)$  element  $g$  in  $P_A^{p-1}$  was  $g \leq x < u_j, t_i$ , so  $c(r-1, s)$  was a region-1 cell. Since  $x$  was subsequently bumped from row  $r-1$  by an element smaller than itself, it follows that  $c(r-1, s)$  is a region-1 cell also in  $P_A^p$  (and  $P_B^q$ ). Similarly, since  $x = t_a < t_i$  settles in  $c(r, s)$  in  $P_A^p \rightarrow P_A^{p+1}$ ,  $c(r, s-1)$  is a region-1 cell in  $P_A^{p+1}$  (and  $P_B^{q+1}$ ), and in  $P_A^p$  (and  $P_B^q$ ),

$$P_A^p, P_B^q : r \dots \begin{array}{|c|c|c|} \hline & \star & \\ \hline \star & & \\ \hline & & \\ \hline \end{array} .$$

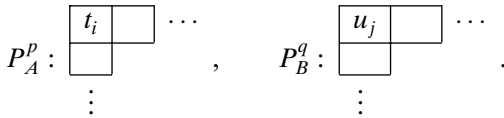
$s$   
 $\vdots$

(the stars represent region-1 elements).

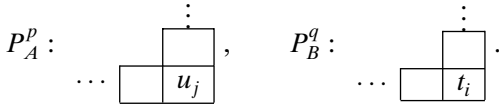


Now, since  $c(r, s)$  is in region 2 in both  $P_A^p$  and  $P_B^q$ , by Definition 2.12(2), it is part of a connected component of region 2 which is identically shaped and contains the same number of  $t_i$ 's and  $u_j$ 's in both tableaux. But  $c(r, s)$  contains  $t_i$  in one tableau and  $u_j$  in the other, so it follows that at least one of  $c(r, s + 1)$  and  $c(r + 1, s)$  is in region 2 in  $P_A^p$  and  $P_B^q$ . By strict row and column inequality, this implies that  $c(r, s)$  contains  $t_i$  in  $P_A^p$  and  $u_j$  in  $P_B^q$ .

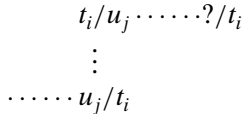
Denote by  $C$  the connected component of region 2 containing  $c(r, s)$ . Consider the subcomponent  $C_1$ , consisting of all cells in  $C$  which are to the right of or above  $c(r, s)$ . In  $P_A^p$ , let  $\alpha_A = \#t_i$ 's and  $\beta_A = \#u_j$ 's in  $C_1$ ; define  $\alpha_B$  and  $\beta_B$  similarly in  $P_B^q$ . If  $c(r, s + 1)$  is not in region 2, then  $C_1$  is empty and  $\alpha_A = \beta_A = \alpha_B = \beta_B = 0$ . On the other hand, if  $C_1$  is nonempty, then by strict row and column inequality, every northwest proper corner cell of  $C_1$  contains  $t_i$  in  $P_A^p$  and  $u_j$  in  $P_B^q$ :



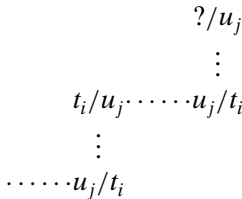
Similarly, every southeast proper corner cell of  $C_1$  contains  $u_j$  in  $P_A^p$  and  $t_i$  in  $P_B^q$ :



Consider the top row of  $C_1$ . If it contains more than one cell, then its leftmost cell is a northwest corner. Thus the structure of  $C_1$  is as in the following diagram, where, for example, a cell marked  $t_i/u_j$  contains  $t_i$  in  $P_A^p$  and  $u_j$  in  $P_B^q$  (a question mark denotes that a cell may contain either  $t_i$  or  $u_j$ ) and elements:



On the other hand, if the top row of  $C_1$  contains only one cell, then the structure of  $C_1$  is



In both cases, it follows that  $\alpha_B - \alpha_A = \beta_A - \beta_B \in \{0, 1\}$ . We prove that

$$3.2.1. \quad \alpha_B - \alpha_A = \beta_A - \beta_B = 1 \implies P_A^{p+1} \sim P_B^{q+2}.$$

$$3.2.2. \quad \alpha_B - \alpha_A = \beta_A - \beta_B = 0 \implies P_A^{p+2} \sim P_B^{q+1}.$$

Let  $C_2$  be the subcomponent of  $C$  consisting of all cells below or to the left of  $c(r, s)$ . Let  $\gamma_A = \#t_i$ 's and  $\delta_A = \#u_j$ 's in  $C_2$  of  $P_A^p$ ; define  $\gamma_B$  and  $\delta_B$  similarly for  $P_B^q$ . Since neither  $c(r-1, s)$  nor  $c(r, s-1)$  is in region 2, it follows that  $C = C_1 + C_2 + c(r, s)$ . By Definition 2.12(2) of  $P_A^p \sim P_B^q$ ,  $C$  contains the same number of  $t_i$ 's and  $u_j$ 's in  $P_A^p$  as in  $P_B^q$ . In both tableaux, let  $\tau$  be the number of  $t_i$ 's and let  $\mu$  be the number of  $u_j$ 's in  $C$ . Since  $c(r, s)$  contains  $t_i$  in  $P_A^p$  and  $u_j$  in  $P_B^q$ , it follows that

$$\tau = \alpha_A + \gamma_A + 1 = \alpha_B + \gamma_B, \quad \mu = \beta_A + \delta_A = \beta_B + \delta_B + 1. \quad (\star)$$

*Proof of 3.2.1.* Suppose that  $\alpha_B - \alpha_A = \beta_A - \beta_B = 1$ . Then  $\gamma_A - \gamma_B = \delta_B - \delta_A = 0$ , so  $C_2$  is either empty or contains an equal number of  $t_i$ 's and  $u_j$ 's in  $P_A^p$  as in  $P_B^q$ . Also,  $C_1$  is nonempty, so  $c(r, s+1)$  is in region 2 in  $P_A^p$  and in  $P_B^q$ . In  $P_B^q$ ,  $c(r, s)$  contains  $u_j$ , so, by strict row inequality,  $c(r, s+1)$  contains  $t_i$ . The subsequent insertion steps are therefore

$$\begin{array}{ccccccc} P_A^p & \xrightarrow{x \text{ bumps } t_i} & P_A^{p+1} & \xrightarrow{t_i \text{ enters}} & & & \\ & \text{from } c(r, s) & & \text{row } r+1 & & & \\ P_B^q & \xrightarrow{x \text{ bumps } u_j} & P_B^{q+1} & \xrightarrow{u_j \text{ bumps } t_i} & P_B^{q+2} & \xrightarrow{t_i \text{ enters}} & \cdot \\ & \text{from } c(r, s) & & \text{from } c(r, s+1) & & \text{row } r+1 & \end{array}$$

Thus, in both  $P_A^p \rightarrow P_A^{p+1}$  and  $P_B^q \rightarrow P_B^{q+1} \rightarrow P_B^{q+2}$ ,  $c(r, s)$  is eliminated from region 2, and we are left with two separate components  $C_1$  and  $C_2$  (and with  $t_i$  to be inserted into row  $r+1$ ). No change occurs in  $C_2$ , so, in both  $P_A^{p+1}$  and  $P_B^{q+2}$ ,  $C_2$  has  $\gamma_A = \gamma_B$   $t_i$ 's and  $\delta_A = \delta_B$   $u_j$ 's. Similarly, no change occurs in  $C_1$  in  $P_A^p \rightarrow P_A^{p+1}$ , so  $C_1$  of  $P_A^{p+1}$  contains  $\alpha_A$   $t_i$ 's and  $\beta_A$   $u_j$ 's. On the other hand, in  $P_B^q \rightarrow P_B^{q+1} \rightarrow P_B^{q+2}$ , a single change occurs in  $C_1$ , when the  $t_i$  in  $c(r, s+1)$  is replaced with  $u_j$ . Thus  $C_1$  of  $P_B^{q+2}$  contains  $\alpha_B - 1$   $t_i$ 's and  $\beta_B + 1$   $u_j$ 's. But, by 3.2.1,  $\alpha_B - 1 = \alpha_A$  and  $\beta_B + 1 = \beta_A$ , so  $C_1$  contains the same number of  $t_i$ 's and  $u_j$ 's in  $P_A^{p+1}$  as in  $P_B^{q+2}$ , and Definition 2.12(2) is satisfied for  $P_A^{p+1} \sim P_B^{q+2}$ .

Now, in both  $P_A^{p+1}$  and  $P_B^{q+2}$ , the only change that occurs in region 1 is that the same element  $x$  is added to  $c(r, s)$ , so Definition 2.12(1) is satisfied. Similarly, as was already mentioned, both  $P_A^{p+1} \rightarrow P_A^{p+2}$  and  $P_B^{q+2} \rightarrow P_B^{q+3}$  consist of  $t_i$  entering row  $r+1$ , so Definition 2.12(3) is satisfied. It follows that  $P_A^{p+1} \sim P_B^{q+2}$ . This completes the proof of 3.2.1.

*Proof of 3.2.2.* The proof of 3.2.2 is dual, in a sense, to the proof of 3.2.1. Here are the details.

Suppose that  $\alpha_B - \alpha_A = \beta_A - \beta_B = 0$ . Then  $C_1$  is either empty or contains an equal number of  $t_i$ 's and  $u_j$ 's in  $P_A^p$  as in  $P_B^q$ . Thus, by  $(\star)$ ,  $\gamma_B - \gamma_A = \delta_A - \delta_B = 1$ , so,  $C_2$  is nonempty, which implies that  $c(r+1, s)$  is in region 2 in  $P_A^p$  and in  $P_B^q$ . In  $P_A^p$ ,  $c(r, s)$  contains  $t_i$ , so, by strict column inequality,  $c(r+1, s)$  contains  $u_j$ . The subsequent insertion steps are therefore

$$\begin{array}{ccccccc} P_A^p & \xrightarrow[\text{from } c(r, s)]{x \text{ bumps } t_i} & P_A^{p+1} & \xrightarrow[\text{from } c(r+1, s)]{t_i \text{ bumps } u_j} & P_A^{p+2} & \xrightarrow[\text{column } s+1]{u_j \text{ enters}} & \cdot \\ P_B^q & \xrightarrow[\text{from } c(r, s)]{x \text{ bumps } u_j} & P_B^{q+1} & \xrightarrow[\text{column } s+1]{u_j \text{ enters}} & & & \cdot \end{array}$$

Thus, in both  $P_A^p \rightarrow P_A^{p+1} \rightarrow P_A^{p+2}$  and  $P_B^q \rightarrow P_B^{q+1}$ ,  $c(r, s)$  is eliminated from region 2, and we are left with two separate components  $C_1$  and  $C_2$  (and with  $u_j$  to be inserted into column  $s+1$ ). No change occurs in  $C_1$ , so, in both  $P_A^{p+2}$  and  $P_B^{q+1}$ ,  $C_1$  has  $\alpha_A = \alpha_B$   $t_i$ 's and  $\beta_A = \beta_B$   $u_j$ 's. Similarly, no change occurs in  $C_2$  in  $P_B^q \rightarrow P_B^{q+1}$ , so  $C_2$  of  $P_B^{q+1}$  contains  $\gamma_B$   $t_i$ 's and  $\delta_B$   $u_j$ 's. On the other hand, in  $P_A^p \rightarrow P_A^{p+1} \rightarrow P_A^{p+2}$ , a single change occurs in  $C_2$ , when the  $u_j$  in  $c(r+1, s)$  is replaced with  $t_i$ . Thus  $C_2$  of  $P_A^{p+2}$  contains  $\gamma_A + 1$   $t_i$ 's and  $\delta_A - 1$   $u_j$ 's. But 3.2.2 and  $(\star)$  imply that  $\gamma_A + 1 = \gamma_B$  and  $\delta_A - 1 = \delta_B$ , so  $C_2$  contains the same number of  $t_i$ 's and  $u_j$ 's in  $P_A^{p+2}$  as in  $P_B^{q+1}$ , and Definition 2.12(2) is satisfied for  $P_A^{p+2} \sim P_B^{q+1}$ .

Now, in both  $P_A^{p+2}$  and  $P_B^{q+1}$ , the only change that occurs in region 1 is that the same element  $x$  is added to  $c(r, s)$ , so Definition 2.12(1) is satisfied. Similarly, as was already mentioned, both  $P_A^{p+2} \rightarrow P_A^{p+3}$  and  $P_B^{q+1} \rightarrow P_B^{q+2}$  consist of  $u_j$  entering column  $s+1$ , so Definition 2.12(3) is satisfied. It follows that  $P_A^{p+2} \sim P_B^{q+1}$ . This completes the proof of 3.2.2. ■

### 3. PROOF OF THEOREM 5

Here we prove, for example, Theorem 5(b). The proofs of parts (c) and (d) of the theorem are similar.

Given  $v \in a_{k,l}(n)$  and shuffle  $A$ , the (regular, dual)- $A$ -RSK forms the tableau pair  $(P^*, Q^*) = (P^*(v, A), Q^*(v, A))$  by applying the regular RSK to the  $t_i$ 's and the dual conjugate RSK to the  $u_j$ 's of  $v$  under shuffle  $A$ . For simplicity, we refer to this algorithm as the dual- $A$ -RSK. As in the  $A$ -RSK,  $P^*$  is the insertion tableau, and  $Q^*$  is the recording tableau of  $v$  under  $A$ . Here  $P^*$  is what we call a dual- $A$ -SSYT; that is, it is weakly  $A$ -increasing in rows and strictly  $A$ -increasing in columns.

EXAMPLE 3.1. Let  $k = 2$ ,  $l = 1$ , and  $A : u_1 < u_2 < t_1 < t_2$ . Let

$$v = \begin{pmatrix} 1 \cdots \cdots 4 \\ u_1, t_1, t_2, u_1 \end{pmatrix}.$$

Then

$$v \xrightarrow{\text{dual-}A\text{-RSK}} \begin{array}{|c|} \hline u_1 \\ \hline \end{array} \begin{array}{|c|c|} \hline u_1 & t_1 \\ \hline \end{array} \begin{array}{|c|c|c|} \hline u_1 & t_1 & t_2 \\ \hline \end{array} \begin{array}{|c|c|c|} \hline u_1 & u_1 & t_2 \\ \hline t_1 & & \end{array} = P^*$$

and

$$Q^* = \begin{array}{|c|c|c|} \hline 1 & 2 & 3 \\ \hline 4 & & \end{array}.$$

LEMMA 3.2. Let  $v \in a_{k,l}(n)$ ,  $A \in I$ , and

$$v \xrightarrow{A\text{-RSK}} (P, Q), \quad v \xrightarrow{\text{dual-}A\text{-RSK}} (P^*, Q^*).$$

If  $v$  is nonrepeating in its  $u$ -elements, then  $P = P^*$  and  $Q = Q^*$ .

*Proof.* The  $A$ -RSK and the dual- $A$ -RSK differ in only one rule: When some  $u_j$  enters a column under the  $A$ -RSK, it bumps the first element  $w_m$  such that  $w_m > u_j$  (or if no such  $w_m$  exists, it settles at the end of the column). On the other hand, under the dual- $A$ -RSK,  $u_j$  bumps the first element  $w_r$  such that  $w_r \geq u_j$  (or settles at the end of the column). But  $u_j$  may appear only once in  $v$ , which implies that  $w_r > u_j$ , so this step is the same as that of the  $A$ -RSK. The proof now follows. ■

*Notation.*  $v \in a_{k,l}(n)$  is said to be of type  $(\alpha_1, \dots, \alpha_k; \beta_1, \dots, \beta_l)$  if it is a permutation of  $t_1^{\alpha_1} \cdots t_k^{\alpha_k} u_1^{\beta_1} \cdots u_l^{\beta_l}$ .

LEMMA 3.3. Let  $v \in a_{k,l}(n)$  be of type  $(\alpha_1, \dots, \alpha_k; \beta_1, \dots, \beta_l)$  and denote  $\beta = \sum_{i=1}^l \beta_i$ . Then there exists  $w \in a_{k,\beta}(n)$  such that

(1) The  $u$ -elements of  $w$  are nonrepeating.

(2) For every shuffle  $A$ , if  $v \xrightarrow{\text{dual-}A\text{-RSK}} (P_v^*, Q_v^*)$ , then there exists a corresponding shuffle  $A'$  of the elements of  $w$  such that  $w \xrightarrow{\text{dual-}A'\text{-RSK}} (P_w^*, Q_w^*)$ , where  $P_w^*$  is identical to  $P_v^*$  but with every  $v_i$  changed to  $w_i$  for all  $i \leq n$ . Consequently,  $\text{sh}(P_v^*) = \text{sh}(P_w^*)$ .

*Proof.* To avoid confusion between the elements of  $v$  and of  $w$ , we let  $u'_1, \dots, u'_l$  denote the  $u$ -elements of  $v$ .

Form the sequence  $w$  from  $v$  as follows. Replace the  $u'_1$ 's in  $v$  with  $u_1, \dots, u_{\beta_1}$ , moving from right to left. Replace the  $u'_2$ 's with  $u_{\beta_1+1}, \dots, u_{\beta_1+\beta_2}$ , moving from right to left. Continue in this way until  $u'_l$ , including the  $u'_l$ 's.

Clearly, the  $u$ -elements of  $w$  are nonrepeating, satisfying Lemma 3.3(1).

Given some shuffle  $A$  of the elements of  $v$ , define the shuffle  $A'$  of the elements of  $w$  as follows. For every  $i \in \{1, \dots, k\}$ ,

$$\begin{aligned}
t_i <_A u'_1 &\implies t_i <_{A'} u_1 <_{A'} \cdots <_{A'} u_{\beta_1}, \\
t_i <_A u'_2 &\implies t_i <_{A'} u_{\beta_1+1} <_{A'} \cdots <_{A'} u_{\beta_1+\beta_2}, \\
&\vdots \\
t_i <_A u'_l &\implies t_i <_{A'} u_{\beta_1+\cdots+\beta_{l-1}+1} <_{A'} \cdots <_{A'} u_{\beta_l}, \\
u'_1 <_A t_i &\implies u_1 <_{A'} \cdots <_{A'} u_{\beta_1} <_{A'} t_i, \\
&\vdots \\
u'_l <_A t_i &\implies u_{\beta_1+\cdots+\beta_{l-1}+1} <_{A'} \cdots <_{A'} u_{\beta_l} <_{A'} t_i.
\end{aligned}$$

We compare the  $A$ -RSK insertion of the  $v$ 's with the  $A'$ -RSK insertion of the  $w$ 's. Note that the shuffle  $A$  and its derived shuffle  $A'$  are similar in that  $v_i <_A v_j \implies w_i <_{A'} w_j$ , but they differ in one fundamental way: For  $i < j$  such that  $v_i, v_j, w_i$ , and  $w_j$  are  $u$ -elements,  $v_i =_A v_j \implies w_i >_{A'} w_j$ . Now, if  $w_j$  reaches a cell inhabited by  $w_i >_{A'} w_j$ , then it bumps  $w_j$  to the next column, just as  $v_j$  would bump  $v_i =_A v_j$  to the next column under the dual- $A$ -RSK. On the other hand, if  $w_i$  reaches a cell inhabited by  $w_j <_{A'} w_i$ , it settles below  $w_j$ , whereas  $v_i$  would bump  $v_j =_A v_i$  to the next column. However, such a situation never occurs, since  $i < j$  and  $v_i =_A v_j$  implies that every column reached by  $w_j$  is first reached by  $w_i$ . The proof of this is as follows.

Suppose that, for some  $x$ ,  $w_i = u_{x+1}$  and  $w_j = u_x$ . Then every column reached by  $w_j$  is first reached by  $w_i$ , by induction on the columns of  $P_w^*$ . Trivially,  $w_i$  reaches column 1 before  $w_j$ . By the induction assumption,  $w_i = u_{x+1}$  is in column  $c'$ ,  $c' \geq c$ . If  $c' > c$ , then we are done. Assume  $c' = c$ :  $w_i = u_{x+1}$  is already in column  $c$ , and  $w_j = u_x$  is inserted into column  $c$ . It bumps the first  $w_d$  such that  $w_d \geq w_j = u_x$ . Now  $v_i =_A v_j$  implies that there does not exist any  $t_z$  such that  $w_j <_{A'} t_z <_{A'} w_i$ . Hence  $w_d = u_{x+1} = w_i$  is bumped to column  $c + 1$ .

This clearly extends to the general case  $i < j$ ,  $v_i = v_j$ ,  $w_i = u_y$ ,  $w_j = u_x$ , for general  $y > x$ .

Hence the steps of the dual- $A'$ -RSK on  $w$  are identical to the steps of the dual- $A$ -RSK on  $v$ , but with every  $v_i$ ,  $i \leq n$ , changed to  $w_i$ . This implies that Lemma 3.3(2) is satisfied for  $w$ . ■

EXAMPLE 3.4. Let  $v = t_2 u_2 u_1 u_1 t_1$  and  $A = t_1 < t_2 < u_1 < u_2$ . The sequence  $w = t_2 u'_3 u'_2 u'_1 t_1$  clearly satisfies Lemma 3.3(1); we show that it satisfies Lemma 3.3(2) for shuffle  $A$ , by letting  $A' = t_1 < t_2 < u'_1 < u'_2 < u'_3$ .

Under shuffles  $A$  and  $A'$ ,

$$v \xrightarrow[A\text{-RSK}]{} (P_v^*, Q_v^*) \quad \text{and} \quad w \xrightarrow[\text{dual-}A'\text{-RSK}]{} (P_w^*, Q_w^*),$$

where

$$P_v^* = \begin{array}{|c|c|c|c|} \hline t_1 & u_1 & u_1 & u_2 \\ \hline t_2 & & & \\ \hline \end{array} = \begin{array}{|c|c|c|c|} \hline v_5 & v_4 & v_3 & v_2 \\ \hline v_1 & & & \\ \hline \end{array},$$

$$P_w^* = \begin{array}{|c|c|c|c|} \hline t_1 & u'_1 & u'_2 & u'_3 \\ \hline t_2 & & & \\ \hline \end{array} = \begin{array}{|c|c|c|c|} \hline w_5 & w_4 & w_3 & w_2 \\ \hline w_1 & & & \\ \hline \end{array}.$$

Thus Lemma 3.3(2) is satisfied for shuffle  $A$ .

We can now give the following proof.

*Proof of Theorem 5(b).* Let  $v$  be of type  $(\alpha_1, \dots, \alpha_k; \beta_1, \dots, \beta_l)$  and denote  $\beta = \sum_{i=1}^l \beta_i$ . Lemma 3.3 implies that there exists a sequence  $w \in a_{k,\beta}(n)$  with no repeating  $u$ -elements and with shuffles  $A', B'$  such that

$$w \xrightarrow[\text{dual-}A'\text{-RSK}]{} (P_{A'}^*, Q_{A'}^*), \quad w \xrightarrow[\text{dual-}B'\text{-RSK}]{} (P_{B'}^*, Q_{B'}^*),$$

where  $\text{sh}(P_{A'}^*) = \text{sh}(P_A^*)$  and  $\text{sh}(P_{B'}^*) = \text{sh}(P_B^*)$ . Since  $w$  contains no repetitions in its  $u$ -elements, Lemma 3.3 implies that

$$w \xrightarrow[A'\text{-RSK}]{} (P_{A'}^*, Q_{A'}^*), \quad w \xrightarrow[B'\text{-RSK}]{} (P_{B'}^*, Q_{B'}^*).$$

Thus, by Theorem 2,  $\text{sh}(P_{A'}^*) = \text{sh}(P_{B'}^*)$ , which implies our result.  $\blacksquare$

The proofs of parts (c) and (d) of Theorem 5 are similar to that of Theorem 5(b), since Lemma 3.3 can also be applied to the (dual, regular)- $A$ -RSK and the (dual, dual)- $A$ -RSK. Both algorithms are  $t$ -dual; for simplicity, let  $t'_1, \dots, t'_k$  denote the  $t$ -elements of  $v$ . The  $t$ 's of the sequence  $w$  of Lemma 3.3 for parts (c) and (d) are set as follows. Replace the  $t'_1$ 's in  $v$  with  $t_1, \dots, t_{\alpha_1}$ , moving from left to right. Replace the  $t'_2$ 's with  $t_{\alpha_1+1}, \dots, t_{\alpha_1+\alpha_2}$ , moving from left to right. Continue in this way until  $t'_k$ , including  $t'_k$ .

Since the (dual, regular)- $A$ -RSK of part (c) is  $u$ -regular, the  $u$ 's of  $w$  are identical to those of  $v$ . However, the (dual, dual)- $A$ -RSK of part (d) is  $u$ -dual, so, in this case, the  $u$ 's of  $w$  are derived the same way as in the proof of Lemma 3.3. Finally, shuffle  $A'$  is derived from  $A$  in parts (c) and (d) by methods analogous to that of part (b).

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