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# Close-To-Convexity, Starlikeness, and Convexity of Certain Analytic Functions

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**Abstract**—The main object of the present paper is to derive several sufficient conditions for close-to-convexity, starlikeness, and convexity of certain (normalized) analytic functions. Relevant connections of some of the results obtained in this paper with those in earlier works are also provided. © 2001 Elsevier Science Ltd. All rights reserved.

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## 1. INTRODUCTION AND DEFINITIONS

Let  $\mathcal{A}$  denote the class of functions  $f$  normalized by

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n, \quad (1.1)$$

which are *analytic* in the *open* unit disk

$$\mathcal{U} := \{z : z \in \mathbb{C} \text{ and } |z| < 1\}.$$

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Also let  $\mathcal{S}^*(\alpha)$ ,  $\mathcal{K}(\alpha)$ , and  $\mathcal{C}(\alpha)$  denote the subclasses of  $\mathcal{A}$  consisting of functions which are, respectively, *starlike*, *convex*, and *close-to-convex of order  $\alpha$*  in  $\mathcal{U}$  ( $0 \leq \alpha < 1$ ). Thus, we have (see, for details, [1,2]; see also [3])

$$\mathcal{S}^*(\alpha) := \left\{ f : f \in \mathcal{A} \text{ and } \Re \left( \frac{zf'(z)}{f(z)} \right) > \alpha, (z \in \mathcal{U}; 0 \leq \alpha < 1) \right\}, \quad (1.2)$$

$$\mathcal{K}(\alpha) := \left\{ f : f \in \mathcal{A} \text{ and } \Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) > \alpha, (z \in \mathcal{U}; 0 \leq \alpha < 1) \right\}, \quad (1.3)$$

and

$$\mathcal{C}(\alpha) := \left\{ f : f \in \mathcal{A} \text{ and } \Re \left( \frac{f'(z)}{g'(z)} \right) > \alpha, (z \in \mathcal{U}; 0 \leq \alpha < 1; g \in \mathcal{K}) \right\}, \quad (1.4)$$

where, for convenience,

$$\mathcal{S}^* := \mathcal{S}^*(0), \quad \mathcal{K} := \mathcal{K}(0), \quad \text{and} \quad \mathcal{C} := \mathcal{C}(0). \quad (1.5)$$

Next, with a view to recalling the principle of subordination between analytic functions, let the functions  $f$  and  $g$  be analytic in  $\mathcal{U}$ . Then we say that the function  $f$  is *subordinate* to  $g$  if there exists a function  $h$ , analytic in  $\mathcal{U}$ , with

$$h(0) = 0 \quad \text{and} \quad |h(z)| < 1, \quad (z \in \mathcal{U}), \quad (1.6)$$

such that

$$f(z) = g(h(z)), \quad (z \in \mathcal{U}). \quad (1.7)$$

We denote this subordination by

$$f(z) \prec g(z). \quad (1.8)$$

In particular, if the function  $g$  is *univalent* in  $\mathcal{U}$ , the subordination (1.8) is equivalent to (cf. [1, p. 190])

$$f(0) = g(0) \quad \text{and} \quad f(\mathcal{U}) \subset g(\mathcal{U}). \quad (1.9)$$

Recently, Singh and Singh [4] proved several interesting results involving univalence and starlikeness of functions  $f \in \mathcal{A}$ . In our attempt here to generalize these results of Singh and Singh [4], we are led naturally to several sufficient conditions for close-to-convexity, starlikeness, and convexity of functions  $f \in \mathcal{A}$ .

The following lemma (popularly known as *Jack's lemma*) will be required in our present investigation.

LEMMA 1. (See [5,6].) *Let the (nonconstant) function  $w(z)$  be analytic in  $\mathcal{U}$  with  $w(0) = 0$ . If  $|w(z)|$  attains its maximum value on the circle  $|z| = r < 1$  at a point  $z_0 \in \mathcal{U}$ , then*

$$z_0 w'(z_0) = c w(z_0),$$

where  $c$  is a real number and  $c \geq 1$ .

## 2. SUFFICIENT CONDITIONS FOR CLOSE-TO-CONVEXITY

Our first result (Theorem 1 below) provides a sufficient condition for close-to-convexity of functions  $f \in \mathcal{A}$ .

THEOREM 1. *Let the function  $f \in \mathcal{A}$  satisfy the inequality*

$$\Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) > \frac{1 + 3\alpha}{2(1 + \alpha)}, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1). \quad (2.1)$$

Then

$$\Re \{f'(z)\} > \frac{1+\alpha}{2}, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1), \quad (2.2)$$

or equivalently,

$$f \in \mathcal{C} \left( \frac{1+\alpha}{2} \right), \quad (0 \leq \alpha < 1). \quad (2.3)$$

PROOF. We begin by defining a function  $w$  by

$$f'(z) = \frac{1+\alpha w(z)}{1+w(z)}, \quad (w(z) \neq -1; z \in \mathcal{U}; 0 \leq \alpha < 1). \quad (2.4)$$

Then, clearly,  $w$  is analytic in  $\mathcal{U}$  with  $w(0) = 0$ . We also find from (2.4) that

$$1 + \frac{zf''(z)}{f'(z)} = 1 + \frac{\alpha zw'(z)}{1+\alpha w(z)} - \frac{zw'(z)}{1+w(z)}, \quad (z \in \mathcal{U}). \quad (2.5)$$

Suppose now that there exists a point  $z_0 \in \mathcal{U}$  such that

$$|w(z_0)| = 1 \quad \text{and} \quad |w(z)| < 1, \quad \text{when } |z| < |z_0|. \quad (2.6)$$

Then, by applying Lemma 1, we have

$$z_0 w'(z_0) = c w(z_0), \quad (c \geq 1; w(z_0) = e^{i\theta}; \theta \in \mathbb{R}). \quad (2.7)$$

Thus, we find from (2.5) and (2.7) that

$$\begin{aligned} \Re \left( 1 + \frac{z_0 f''(z_0)}{f'(z_0)} \right) &= 1 + \Re \left( \frac{c\alpha e^{i\theta}}{1+\alpha e^{i\theta}} \right) - \Re \left( \frac{ce^{i\theta}}{1+e^{i\theta}} \right) \\ &= 1 + \frac{c\alpha(\alpha + \cos \theta)}{1+\alpha^2+2\alpha \cos \theta} - \frac{c}{2} \\ &\leq \frac{1+3\alpha}{2(1+\alpha)}, \quad (z_0 \in \mathcal{U}; 0 \leq \alpha < 1), \end{aligned}$$

which obviously contradicts our hypothesis (2.1). It follows that

$$|w(z)| < 1, \quad (z \in \mathcal{U}),$$

that is, that

$$\left| \frac{1-f'(z)}{f'(z)-\alpha} \right| < 1, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1). \quad (2.8)$$

This evidently completes the proof of Theorem 1.

**THEOREM 2.** *If the function  $f \in \mathcal{A}$  satisfies the inequality*

$$\Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) < \frac{3+2\alpha}{2+\alpha}, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1), \quad (2.9)$$

then

$$|f'(z) - 1| < 1 + \alpha, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1). \quad (2.10)$$

PROOF. Our proof of Theorem 2, also based upon Lemma 1, is similar to that of Theorem 1. Indeed, in place of definition (2.4), here we let the function  $w$  be given by

$$f'(z) = (1+\alpha)w(z) + 1, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1). \quad (2.11)$$

The details may be omitted.

REMARK 1. Since the inequality (2.10) implies that

$$\Re \{f'(z)\} > -\alpha, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1), \quad (2.12)$$

by setting  $\alpha = 0$  in Theorem 2, we readily obtain the following.

COROLLARY 1. (See [4, p. 311, Corollary 2].) If the function  $f \in \mathcal{A}$  satisfies the inequality

$$\Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) < \frac{3}{2}, \quad (z \in \mathcal{U}), \quad (2.13)$$

then

$$|f'(z) - 1| < 1, \quad (z \in \mathcal{U}), \quad (2.14)$$

that is,  $f \in \mathcal{C}$ .

Next we prove the following.

THEOREM 3. If the function  $f \in \mathcal{A}$  satisfies the inequality

$$|f'(z) - 1|^\beta |zf''(z)|^\gamma < \frac{(1 - \alpha)^{\beta + \gamma}}{2^{\beta + 2\gamma}}, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1; \beta, \gamma \geq 0), \quad (2.15)$$

then

$$\Re \{f'(z)\} > \frac{1 + \alpha}{2}, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1). \quad (2.16)$$

PROOF. We define the function  $w$  by

$$f'(z) = \frac{1 + \alpha w(z)}{1 + w(z)}, \quad (w(z) \neq -1; z \in \mathcal{U}; 0 \leq \alpha < 1). \quad (2.17)$$

Then, clearly,  $w$  is analytic in  $\mathcal{U}$  with  $w(0) = 0$ . We also find from (2.17) that

$$|f'(z) - 1|^\beta |zf''(z)|^\gamma = \frac{(1 - \alpha)^{\beta + \gamma} |w(z)|^\beta |zw'(z)|^\gamma}{|1 + w(z)|^{\beta + 2\gamma}}, \quad (z \in \mathcal{U}). \quad (2.18)$$

Supposing now that there exists a point  $z_0 \in \mathcal{U}$  such that

$$|w(z_0)| = 1 \quad \text{and} \quad |w(z)| < 1, \quad \text{when } |z| < |z_0|,$$

if we apply Lemma 1 just as we did in the proof of Theorem 1, we shall obtain

$$\begin{aligned} |f'(z_0) - 1|^\beta |z_0 f''(z_0)|^\gamma &= \frac{(1 - \alpha)^{\beta + \gamma} c^\gamma}{|1 + e^{i\theta}|^{\beta + 2\gamma}} \\ &\geq \frac{(1 - \alpha)^{\beta + \gamma}}{2^{\beta + 2\gamma}}, \quad (z_0 \in \mathcal{U}; 0 \leq \alpha < 1), \end{aligned}$$

which obviously contradicts our hypothesis (2.15). Thus, we have

$$|w(z)| < 1, \quad (z \in \mathcal{U}),$$

which implies that

$$\left| \frac{f'(z) - 1}{f'(z) - \alpha} \right| < 1, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1), \quad (2.19)$$

that is, that (2.16) holds true.

By letting

$$\beta = \gamma - 1 = 0$$

in Theorem 2, we arrive at the following.

COROLLARY 2. *If the function  $f \in \mathcal{A}$  satisfies the inequality*

$$|zf''(z)| < \frac{1 - \alpha}{4}, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1), \quad (2.20)$$

then

$$\Re \{f'(z)\} > \frac{1 + \alpha}{2}, \quad (z \in \mathcal{U}; 0 \leq \alpha < 1). \quad (2.21)$$

REMARK 2. An analogous result (which apparently is *not* contained in Corollary 2) was proven earlier by Singh and Singh [4, p. 310, Corollary 1], which asserted that, if the function  $f \in \mathcal{A}$  satisfies the inequality

$$|zf''(z)| < 1, \quad (z \in \mathcal{U}),$$

then  $f \in \mathcal{C}$ .

### 3. STARLIKENESS AND CONVEXITY

In this section, we first prove the following result (Theorem 4 below), which involves the already introduced principle of subordination between analytic functions (see Section 1).

THEOREM 4. *If the function  $f \in \mathcal{A}$  satisfies the inequality*

$$\Re \left( 1 + \frac{zf''(z)}{f'(z)} \right) < \begin{cases} \frac{5\lambda - 1}{2(\lambda + 1)}, & (z \in \mathcal{U}; 1 < \lambda \leq 2), \\ \frac{\lambda + 1}{2(\lambda - 1)}, & (z \in \mathcal{U}; 2 < \lambda < 3), \end{cases} \quad (3.1)$$

for some  $\lambda(1 < \lambda < 3)$ , then

$$\frac{zf'(z)}{f(z)} \prec \frac{\lambda(1 - z)}{\lambda - z}. \quad (3.2)$$

The result is sharp for the function  $f$  given by

$$f(z) = z \left( 1 - \frac{z}{\lambda} \right)^{\lambda - 1}. \quad (3.3)$$

PROOF. Let us define the function  $w$  by

$$\frac{zf'(z)}{f(z)} = \frac{\lambda[1 - w(z)]}{\lambda - w(z)}, \quad (w(z) \neq \lambda; z \in \mathcal{U}; 1 < \lambda < 3). \quad (3.4)$$

Then, clearly,  $w$  is analytic in  $\mathcal{U}$  with  $w(0) = 0$ . By logarithmic differentiation of both sides of (3.4), we also find that

$$1 + \frac{zf''(z)}{f'(z)} = \frac{\lambda[1 - w(z)]}{\lambda - w(z)} - \frac{zw'(z)}{1 - w(z)} + \frac{zw'(z)}{\lambda - w(z)}, \quad (z \in \mathcal{U}). \quad (3.5)$$

Assuming now that there exists a point  $z_0 \in \mathcal{U}$  such that

$$|w(z_0)| = 1 \quad \text{and} \quad |w(z)| < 1, \quad \text{when } |z| < |z_0|,$$

if we apply Lemma 1 just as we did in the proof of Theorem 1, we shall obtain

$$\begin{aligned} \Re \left( 1 + \frac{z_0 f''(z_0)}{f'(z_0)} \right) &= \Re \left( \frac{\lambda(1 - e^{i\theta})}{\lambda - e^{i\theta}} \right) - \Re \left( \frac{ce^{i\theta}}{1 - e^{i\theta}} \right) + \Re \left( \frac{ce^{i\theta}}{\lambda - e^{i\theta}} \right) \\ &= \frac{\lambda(\lambda + 1)(1 - \cos \theta)}{1 + \lambda^2 - 2\lambda \cos \theta} + \frac{c}{2} + \frac{c(\lambda \cos \theta - 1)}{1 + \lambda^2 - 2\lambda \cos \theta} \\ &= \frac{\lambda + 1}{2} + \frac{(\lambda^2 - 1)(c + 1 - \lambda)}{2(1 + \lambda^2 - 2\lambda \cos \theta)} \\ &\geq \frac{\lambda + 1}{2} + \frac{(\lambda^2 - 1)(2 - \lambda)}{2(1 + \lambda^2 - 2\lambda \cos \theta)}, \quad (z_0 \in \mathcal{U}; 1 < \lambda < 3), \end{aligned}$$

which yields the inequality

$$\Re \left( 1 + \frac{z_0 f''(z_0)}{f'(z_0)} \right) \geq \begin{cases} \frac{5\lambda - 1}{2(\lambda + 1)}, & (z_0 \in \mathcal{U}; 1 < \lambda \leq 2), \\ \frac{\lambda + 1}{2(\lambda - 1)}, & (z_0 \in \mathcal{U}; 2 < \lambda < 3). \end{cases} \quad (3.6)$$

Since (3.6) obviously contradicts our hypothesis (3.1), we conclude that

$$|w(z)| < 1, \quad (z \in \mathcal{U}),$$

that is, that

$$\left| \frac{zf'(z)}{f(z)} - \frac{\lambda}{\lambda + 1} \right| < \frac{\lambda}{\lambda + 1}, \quad (z \in \mathcal{U}; 1 < \lambda < 3), \quad (3.7)$$

which implies the subordination (3.2) asserted by Theorem 4.

Finally, for the function  $f$  given by (3.3), we have

$$\frac{zf'(z)}{f(z)} = \frac{\lambda(1 - z)}{\lambda - z}, \quad (3.8)$$

which evidently completes our proof of Theorem 4.

REMARK 3. A special case of Theorem 4 when  $\lambda = 2$  was given earlier by Singh and Singh [4, p. 313, Theorem 6].

Finally, since

$$f(z) \in \mathcal{K}(\alpha) \iff zf'(z) \in \mathcal{S}^*(\alpha), \quad (0 \leq \alpha < 1), \quad (3.9)$$

whose special case, when  $\alpha = 0$ , is the familiar Alexander theorem (cf., e.g., [1, p. 43, Theorem 2.12]), Theorem 4 can be applied in order to deduce the following.

COROLLARY 3. *If the function  $f \in \mathcal{A}$  satisfies the inequality*

$$\Re \left( \frac{2zf''(z) + z^2 f'''(z)}{f'(z) + zf''(z)} \right) < \begin{cases} \frac{3(\lambda - 1)}{2(\lambda + 1)}, & (z \in \mathcal{U}; 1 < \lambda \leq 2), \\ \frac{3 - \lambda}{2(\lambda - 1)}, & (z \in \mathcal{U}; 2 < \lambda < 3), \end{cases} \quad (3.10)$$

for some  $\lambda(1 < \lambda < 3)$ , then

$$1 + \frac{zf''(z)}{f'(z)} \prec \frac{\lambda(1 - z)}{\lambda - z}. \quad (3.11)$$

The result is sharp for the function  $f$  given by

$$f'(z) = \left( 1 - \frac{z}{\lambda} \right)^{\lambda - 1}. \quad (3.12)$$

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