



Available at  
**WWW.MATHEMATICSWEB.ORG**  
 POWERED BY SCIENCE @ DIRECT®

*Journal of*  
 MATHEMATICAL  
 ANALYSIS AND  
 APPLICATIONS

J. Math. Anal. Appl. 278 (2003) 335–353

[www.elsevier.com/locate/jmaa](http://www.elsevier.com/locate/jmaa)

# Blowup of solutions for improved Boussinesq type equation <sup>☆</sup>

Zhijian Yang\* and Xia Wang

*Department of Mathematics, Zhengzhou University, Zhengzhou 450052, PR China*

Received 27 November 2001

Submitted by H.A. Levine

## Abstract

The paper studies the existence and uniqueness of local solutions and the blowup of solutions to the initial boundary value problem for improved Boussinesq type equation  $u_{tt} - u_{xx} - u_{xxtt} = \sigma(u)_{xx}$ . By a Galerkin approximation scheme combined with the continuation of solutions step by step and the Fourier transform method, it proves that under rather mild conditions on initial data, the above-mentioned problem admits a unique generalized solution  $u \in W^{2,\infty}([0, T]; H^2(0, 1))$  as long as  $\sigma \in C^2(\mathbf{R})$ . In particular, when  $\sigma(s) = as^p$ , where  $a \neq 0$  is a real number and  $p > 1$  is an integer, specially  $a < 0$  if  $p$  is an odd number, the solution blows up in finite time. Moreover, two examples of blowup are obtained numerically.

© 2003 Elsevier Science (USA). All rights reserved.

*Keywords:* Local solution; Blowup of solutions; Initial boundary value problem; Improved Boussinesq equation

## 1. Introduction

We consider the following initial boundary value problem (IBVP) of the improved Boussinesq type equation

$$u_{tt} - u_{xx} - u_{xxtt} = \sigma(u)_{xx} \quad \text{on } (0, 1) \times (0, \infty), \quad (1.1)$$

$$u_x(0, t) = 0, \quad u_x(1, t) = 0, \quad t > 0, \quad (1.2)$$

<sup>☆</sup> Supported by Natural Science Foundation of Henan Province and Natural Science Foundation of China grant 10071074.

\* Corresponding author.

*E-mail address:* yzjzzut@163.net (Z. Yang).

$$u(x, 0) = \varphi(x), \quad u_t(x, 0) = \psi(x), \quad 0 \leq x \leq 1, \quad (1.3)$$

where  $\sigma(s)$  is a given nonlinear function. Equations of type (1.1) are a class of essential model equations appearing in physics and fluid mechanics. Especially when  $\sigma(s) = s^2$ , Eq. (1.1) becomes the improved Boussinesq (IBq) equation

$$u_{tt} - u_{xx} - u_{xxtt} = (u^2)_{xx}, \quad (1.4)$$

which can be obtained from the exact hydrodynamical set of equations and is used to describe wave propagation at right angles to the magnetic field, and also to approach the “bad” Boussinesq equation

$$u_{tt} - u_{xx} - u_{xxxx} = (u^2)_{xx}, \quad (1.5)$$

see Makhankov [8]. Eq. (1.5) is a well-known model equation derived by Boussinesq in 1872 to describe shallow-water waves, see [1,2]. And it also arises in a large range of physical phenomena including the propagation of ion-sound waves in a plasma and nonlinear lattice waves, see [3,6,8]. The study of the Boussinesq equation has recently attracted considerable attention of many mathematicians and physicists, see [1,3–5,7,8]. Especially, Levine and Sleeman [7] studied in detail the initial value Dirichlet problem for the equation of type (1.5) and proved the nonexistence of global positive solutions both weak and classical for a general class of initial data. When  $\sigma(s) = as^3$ , where and in the sequel  $a (\neq 0)$  is a real number, Eq. (1.1) becomes the modification of the improved Boussinesq (IMBq) equation

$$u_{tt} - u_{xx} - u_{xxtt} = a(u^3)_{xx}, \quad (1.6)$$

which is used to study the properties of anharmonic lattice and the propagation of nonlinear Alfvén waves, see [8]. When the boundary condition (1.2) is substituted by

$$u(0, t) = u(1, t) = 0, \quad t > 0, \quad (1.7)$$

the author studied the existence and nonexistence of global solutions for problem (1.1), (1.7), (1.3) and especially obtained the global existence and uniqueness of generalized solution for IBVP (1.7), (1.3) of IMBq equation (1.6), with  $a > 0$ , and the nonexistence of global generalized solutions for IBVP (1.7), (1.3) of IBq equation (1.4), see [13].

In this paper, by a Galerkin approximation scheme combined with the continuation of solutions step by step and the Fourier transform method, which are completely different from those used in [13], we first investigate the existence and uniqueness of generalized solution of problem (1.1)–(1.3). Second, for  $\sigma(s) = as^p$ , Eq. (1.1) becomes

$$u_{tt} - u_{xx} - u_{xxtt} = a(u^p)_{xx}, \quad (1.8)$$

where and in the sequel  $p (> 1)$  is an integer and specially  $a < 0$  if  $p$  is an odd number, and we prove that the solution of problem (1.8), (1.2), (1.3) blows up in finite time under appropriate conditions on initial data. Moreover, for  $p = 2$  and  $p = 3$ , by virtue of the ordinary difference scheme, two examples of blowup are obtained numerically.

The plan of the paper is as follows. The main results and some notations are stated in Section 2. The existence and uniqueness of solution of problem (1.1)–(1.3) are discussed in detail in Section 3. Two blowup theorems are proved and two numerical examples are given in Section 4.

**2. Statement of main results**

We first introduce the following abbreviations

$$L_p = L_p(0, 1), \quad H^k = H^k(0, 1), \quad \|\cdot\|_p = \|\cdot\|_{L^p}, \quad \|\cdot\| = \|\cdot\|_{L_2},$$

where  $1 \leq p \leq +\infty$ ,  $k = 1, 2, \dots$ . The notation  $(\cdot, \cdot)$  for the  $L_2$ -inner product will also be used for the notation of duality pairing between dual spaces. Define the Fourier transform  $\hat{\cdot} : L_2 \rightarrow l^2$ , for any  $f \in L_2$ ,  $\hat{f}(k) = 2 \int_0^1 f(x) \cos k\pi x \, dx = f_k$ ,  $k = 0, 1, \dots$ . Obviously  $f_{-k} = f_k$  ( $k = 0, 1, \dots$ ). Let  $\tilde{f} = (f_0, \dots, f_k, \dots)$ , then  $\tilde{f} \in l^2$ ,  $f(x) = f_0/2 + \sum_{k=1}^\infty f_k \cos k\pi x$  and  $2\|f\|^2 = f_0^2/2 + \sum_{k=1}^\infty f_k^2$ . The notation  $\tilde{f} \geq 0$  ( $> 0$ ) denotes  $f_k \geq 0$  ( $> 0$ ),  $k = 0, 1, \dots$ , and a similar notation is used for  $\tilde{f} \leq 0$  ( $< 0$ ).

The above mentioned Fourier transform has the following properties.

**Lemma 2.1** [12]. (I) If  $f \in H^1$ ,  $f'(0) = f'(1) = 0$ , then  $(f'')_k = -(k\pi)^2 f_k$ ,  $k = 0, 1, \dots$

(II) If  $f^1, f^2, \dots, f^p \in H^1$ , then

$$(f^1 \dots f^p)_k = 2^{1-p} \sum_{r_1+\dots+r_p=k} f_{r_1}^1 \dots f_{r_p}^p,$$

where  $r_i$  ( $i = 1, \dots, p$ ) are integers.

Let  $A = \{v(x) \mid v \in H^2, v'(0) = v'(1) = 0\}$ , then  $A$  is a Hilbert space under the norm  $\|v\|_A = \|v\|_{H^2} = (\|v\|^2 + \|v_{xx}\|^2)^{1/2}$ . The sequence  $\{e_0 = 1/2, e_k = \cos k\pi x\}_{k=1}^\infty$  is an orthogonal basis in  $L_2$  and at the same time in  $A$ . For any  $v \in A$ ,  $v = \sum_{k=0}^\infty v_k e_k$  in  $A$ , where  $v_k = \hat{v}(k)$ , and the corresponding  $\tilde{v} = (v_0, v_1, \dots, v_k, \dots)$ . Let  $\tilde{A} = \{\tilde{v} \mid \tilde{v} \in l^2, (0, \pi^2 v_1, \dots, (k\pi)^2 v_k, \dots) \in l^2\}$  and  $\tilde{A}$  be equipped with the norm

$$\|\tilde{v}\|_{\tilde{A}} = \|v\|_A = \left[ \frac{1}{2} \left( v_0^2/2 + \sum_{k=1}^\infty (1 + (k\pi)^4) v_k^2 \right) \right]^{1/2},$$

then,  $\tilde{A}$  and  $A$  are isometrically isomorphic, so  $\tilde{A}$  is also a Hilbert space. Let  $X^m$  and  $A^m$  be respectively the subspaces spanned by  $\{e_0, e_1, \dots, e_m\}$  in  $L_2$  and in  $A$ , the operator  $P_m : L_2 \rightarrow X^m$  be an orthogonal projection, i.e., for any  $f \in L_2$ ,  $P_m f = f^m = \sum_{k=0}^m f_k e_k$ . Let  $\tilde{f}^m = (f_0, \dots, f_m, 0, \dots)$ ,  $\tilde{X}^m = \{\tilde{f}^m \mid f \in L_2\}$ ,  $\|\tilde{f}^m\|_{\tilde{X}^m} = \|f^m\|_{X^m} = \|f^m\|$ , and a similar notation is used for  $\tilde{f}^m \geq 0$  ( $> 0$ ) and  $\tilde{f}^m \leq 0$  ( $< 0$ ).

Now, we state the main results of the paper.

**Theorem 2.1.** Assume that  $\sigma \in C^2(\mathbf{R})$ ,  $\varphi, \psi \in A$ . Then problem (1.1)–(1.3) admits a unique generalized solution  $u \in W^{2,\infty}([0, T]; A)$ , where  $0 < T < T^0$  and  $[0, T^0)$  is the maximal time interval of existence of  $u$ . Moreover, if  $\sup_{0 \leq t < T^0} \|u(t)\|_A < +\infty$ , then  $T^0 = +\infty$ .

For problem (1.8), (1.2), (1.3), we have the following blowup theorems.

**Theorem 2.2.** Assume that

- (i)  $\varphi \in A$ ,  $\psi \in A$ ,  $\tilde{\varphi} \leq 0$ ,  $\tilde{\psi} \leq 0$ , specially  $\varphi_0 \leq -2(|a|p)^{-1/(p-1)}$ ;
- (ii) one of the following conditions holds:
- (H<sub>1</sub>)  $a > 0$ ,  $p (\geq 4)$  is an even number,  $\varphi_p < 0$  and  $\psi_1 < 0$ .
- (H<sub>2</sub>)  $a > 0$ ,  $p = 2$ ,  $\varphi_1 \leq \frac{-1}{a\pi^2} \left[ \frac{(1+\pi^2)(1+4\pi^2)}{8} \right]^{1/2}$ ,  $\varphi_2 \leq - \left[ \frac{2(1+\pi^2)^3}{1+4\pi^2} \right]^{1/4} \left( \frac{-\varphi_1}{a\pi^2} \right)^{1/2}$ ,  $\psi_2 \leq \left[ \frac{1+\pi^2}{1+4\pi^2} \right]^{1/2} \psi_1 < 0$ .
- (H<sub>3</sub>)  $a < 0$ ,  $p (\geq 3)$  is an odd number,  $\psi_1 < 0$ .

Then the solution  $u$  of problem (1.8), (1.2), (1.3), which exists on  $[0, T^0)$  as Theorem 2.1, blows up in finite time  $\tilde{T}$ , i.e.,

$$u(0, t) \rightarrow -\infty, \quad \|u(t)\| \rightarrow +\infty \quad \text{as } t \rightarrow \tilde{T}^-,$$

where and in the sequel  $\tilde{T}$  is different for different problems.

**Theorem 2.3.** Assume that

- (i)  $a < 0$ ,  $\varphi \in A$ ,  $\psi \in A$ ,  $\tilde{\varphi} \geq 0$ ,  $\tilde{\psi} \geq 0$ , specially  $\varphi_0 \geq 2(-ap)^{-1/(p-1)}$ ;
- (ii) one of the following conditions holds:
- (H<sub>4</sub>)  $p (\geq 4)$  is an even number,  $\varphi_p > 0$  and  $\psi_1 > 0$ .
- (H<sub>5</sub>)  $p = 2$ ,  $\varphi_1 \geq \frac{-1}{a\pi^2} \left[ \frac{(1+\pi^2)(1+4\pi^2)}{8} \right]^{1/2}$ ,  $\varphi_2 \geq \left[ \frac{2(1+\pi^2)^3}{1+4\pi^2} \right]^{1/4} \left( \frac{\varphi_1}{-a\pi^2} \right)^{1/2}$ ,  $\psi_2 \geq \left[ \frac{1+\pi^2}{1+4\pi^2} \right]^{1/2} \psi_1 > 0$ .
- (H<sub>6</sub>)  $p (\geq 3)$  is an odd number,  $\psi_1 > 0$ .

Then the solution  $u$  of problem (1.8), (1.2), (1.3), which exists on  $[0, T^0)$  as Theorem 2.1, blows up in finite time  $\tilde{T}$ , i.e.,

$$u(0, t) \rightarrow +\infty, \quad \|u(t)\| \rightarrow +\infty \quad \text{as } t \rightarrow \tilde{T}^-.$$

### 3. Local existence of solutions

**Proof of Theorem 2.1.** We give the proof of Theorem 2.1 by five steps.

*Step 1. The Galerkin approximation.* We look for approximate solutions of problem (1.1)–(1.3) of the form

$$u^m(t) = \sum_{k=0}^m u_k^m(t) e_k,$$

where  $\tilde{u}^m(t) = (u_0^m(t), \dots, u_m^m(t), 0, \dots)$  satisfy

$$\ddot{\tilde{u}}^m(t) = \tilde{f}(u^m(t)), \quad t > 0, \quad (3.1)$$

$$\tilde{u}^m(0) = \tilde{\varphi}^m \rightarrow \tilde{\varphi} \quad \text{in } \tilde{A}, \quad \dot{\tilde{u}}^m(0) = \tilde{\psi}^m \rightarrow \tilde{\psi} \quad \text{in } \tilde{A}, \quad (3.2)$$

and  $\dot{\cdot} = d/dt$ ,  $\tilde{\varphi}^m = (\varphi_0, \dots, \varphi_m, 0, \dots)$ ,  $\tilde{\psi}^m = (\psi_0, \dots, \psi_m, 0, \dots)$ ,  $\tilde{f}(u^m(t)) = f(u^m(t))_k = -k^2\pi^2/(1+k^2\pi^2)(u_k^m(t) + \sigma(u^m(t))_k)$ ,  $k = 0, 1, \dots, m$ . By the Lipschitz

continuity of  $\sigma(s)$  and the Sobolev embedding theorem, for any  $u, v \in A^m \subset X^m$ ,

$$\|\tilde{f}(u) - \tilde{f}(v)\|_{\tilde{X}^m} \leq L\|u - v\|_{X^m} \leq L\|u - v\|_{A^m}, \tag{3.3}$$

where  $L$  is a local Lipschitz constant. Since  $\tilde{X}^m$  and  $\mathbf{R}^{m+1}$  are isometrically isomorphic, it follows from o.d.e.'s theory in  $\mathbf{R}^{m+1}$  [9] that for any  $m$ , problem (3.1), (3.2) admits a unique noncontinuable solution  $\tilde{u}^m(t) = (u_0^m(t), \dots, u_m^m(t), 0, \dots)$  defined on the maximal interval  $J_m$ .

*Step 2. A lemma of continuation of solutions.* We consider the following initial value problem of o.d.e.'s

$$\ddot{\tilde{u}}(t) = \tilde{f}(u(t)), \quad t > 0, \quad \tilde{u}(0) = \tilde{\varphi}, \quad \dot{\tilde{u}}(0) = \tilde{\psi}, \tag{3.4}$$

where  $\tilde{u}(t) = (u_0(t), \dots, u_k(t), \dots)$ ,  $\tilde{f}(u(t)) = (f(u(t))_0, \dots, f(u(t))_k, \dots)$ ,  $f(u(t))_k = [-k^2\pi^2/(1 + k^2\pi^2)](u_k(t) + \sigma(u(t))_k)$ ,  $k = 0, 1, \dots$ ,  $\tilde{\varphi} = (\varphi_0, \dots, \varphi_k, \dots)$ ,  $\tilde{\psi} = (\psi_0, \dots, \psi_k, \dots)$ .

**Lemma 3.1.** *Assume that*

- (i)  $\sigma \in C^2(\mathbf{R})$ ,  $\varphi \in A$ ,  $\psi \in A$ .
- (ii) *The solution  $\tilde{u}(t)$  of problem (3.4) exists on an interval  $J = [0, d]$  or  $J = [0, d)$  ( $d \geq 0$ ),  $\tilde{u}(t) \in \tilde{A}$ ,  $t \in J$ ; and the corresponding  $u \in W^{2,\infty}(J; A)$  is a solution of problem (1.1)–(1.3) on  $J$ . The solution  $\tilde{u}^m(t)$  of problem (3.1), (3.2) exists on intervals  $[0, d_n] \subset J_m$ ,  $\{d_n\} \subset J$ ,  $d_n \rightarrow d$  ( $n \rightarrow \infty$ ) and  $\|\tilde{u}^m(t) - \tilde{u}(t)\|_{\tilde{A}} < \theta$ ,  $t \in [0, d_n]$ , where  $\theta$  is a positive constant independent of  $d_n$ .*
- (iii) *There exists an open sphere  $Q \subset \mathbf{R} \times \tilde{A}$  such that the graph of  $\tilde{u}(t)$  on  $J$ :  $G = \{(t, \tilde{u}(t)) \mid t \in J\} \subset Q$ , and the distance*

$$\rho(\partial Q, G) = \inf_{(s, \tilde{v}) \in \partial Q, (t, \tilde{u}(t)) \in G} \{|s - t| + \|\tilde{v} - \tilde{u}(t)\|_{\tilde{A}}\} \geq 3\theta,$$

where  $\partial Q$  is the boundary of  $Q$ .

Then there exists a positive constant  $d' (> d)$  and a subsequence of  $\{\tilde{u}^m\}$ , still denoted by  $\{\tilde{u}^m\}$ , such that  $\tilde{u}^m(t)$  and  $\tilde{u}(t)$  are all continued to interval  $[0, d']$  and

$$\|\tilde{u}^m(t) - \tilde{u}(t)\|_{\tilde{A}} < 3\theta, \quad t \in [0, d']. \tag{3.5}$$

Moreover,

$$\begin{aligned} u^m &\rightharpoonup u \quad \text{weak* in } W^{2,\infty}([0, d']; A), \\ u^m &\rightarrow u \quad \text{strongly in } C^1([0, d']; L^2) \end{aligned} \tag{3.6}$$

as  $m \rightarrow \infty$ , and the corresponding limit function  $u \in W^{2,\infty}([0, d']; A)$  is a solution of problem (1.1)–(1.3) on  $[0, d']$ .

**Proof.** For any  $b_0$ :  $0 \leq b_0 < d$  (if  $d = 0$ , take  $b_0 = 0$ ), since  $d_n \rightarrow d$  ( $n \rightarrow \infty$ ), without loss of generality we assume that  $b_0 < d_n < d$  (if  $d = 0$ , take  $d_n \equiv 0$ ) for any  $n$ . We consider the following initial value problem:

$$\ddot{\tilde{v}}^m(t) = \tilde{f}(v^m(t)), \quad t > 0, \quad \tilde{v}^m(0) = \tilde{u}^m(b_0), \quad \dot{\tilde{v}}^m(0) = \dot{\tilde{u}}^m(b_0). \tag{3.7}$$

We denote the neighborhood of the graph  $G$  in  $\mathbf{R} \times \tilde{A}$  by

$$G(\delta) = \{(s, \tilde{w}) \in \mathbf{R} \times \tilde{A} \mid \rho((s, \tilde{w}), G) < \delta\},$$

the neighborhoods of  $(b_0, \tilde{u}^m(b_0))$  in  $\mathbf{R} \times \tilde{A}^m$  and  $(b_0, u^m(b_0))$  in  $\mathbf{R} \times A^m$  respectively by

$$\begin{aligned} \tilde{\mu}_m(\theta) &= \{(s, \tilde{w}) \in \mathbf{R} \times \tilde{A}^m \mid |s - b_0| + \|\tilde{w} - \tilde{u}^m(b_0)\|_{\tilde{A}} < \theta\}, \\ \mu_m(\theta) &= \{(s, w) \in \mathbf{R} \times A^m \mid |s - b_0| + \|w - u^m(b_0)\|_A < \theta\}. \end{aligned} \quad (3.8)$$

It follows from the isometrically isomorphism of  $\tilde{A}$  and  $A$  that  $(s, \tilde{w}) \in \tilde{\mu}_m(\theta)$  if and only if  $(s, w) \in \mu_m(\theta)$ . For any  $(s, \tilde{w}) \in \tilde{\mu}_m(\theta)$ , by (3.8) and assumption (ii),

$$\rho((s, \tilde{w}), (b_0, \tilde{u}^m(b_0))) \leq |s - b_0| + \|\tilde{w} - \tilde{u}^m(b_0)\|_{\tilde{A}} + \|\tilde{u}^m(b_0) - \tilde{u}(b_0)\|_{\tilde{A}} < 2\theta,$$

i.e.,  $\tilde{\mu}_m(\theta) \subset G(2\theta)$ . Hence,

$$C_m(\theta) = \sup_{(s, w) \in \mu_m(\theta)} \|\tilde{f}(w)\|_{\tilde{X}^m} \leq C(\|w\|_A + 1) \leq M_1, \quad (3.9)$$

where and in the sequel  $C, M_j$  ( $j = 1, 2, \dots$ ) and  $M$  denote positive constants depending only on  $\theta$ . From (3.3) we know that  $\tilde{f}(w) : A^m \rightarrow \tilde{X}^m$  is Lipschitz continuous on  $\mu_m(\theta)$ , and thus from the theorem of existence and uniqueness of solution of o.d.e.'s we deduce that for any  $m$ , problem (3.7) admits a unique solution  $\tilde{v}^m(t)$  on  $[0, h] \subset [0, h_m]$  and

$$(t, \tilde{v}^m(t)) \in \tilde{\mu}_m(\theta) \subset G(2\theta), \quad t \in [0, h], \quad (3.10)$$

where  $h = \min\{\theta, \theta/M_1\}$ ,  $h_m = \min\{\theta, \theta/C_m(\theta)\}$ . Take  $b_0 = \max\{0, d - h/2\}$ ,  $d' = b_0 + h$  ( $> d$ ), and let

$$\tilde{u}^m(t) = \begin{cases} \tilde{u}^m(t), & 0 \leq t < b_0, \\ \tilde{v}^m(t - b_0), & b_0 \leq t \leq d'. \end{cases} \quad (3.11)$$

It follows from the uniqueness of solution of problem (3.1), (3.2) that the solution  $\tilde{u}^m(t)$  is continued to  $[0, d']$  and for each  $m$

$$(t, \tilde{u}^m(t)) \in G(2\theta), \quad t \in [0, d']. \quad (3.12)$$

For  $\tilde{A}$  and  $A$  are isometrically isomorphic, by (3.12) and (3.1),

$$\begin{aligned} \|u^m(t)\|_A &\leq M, \quad \|u_{tt}^m(t)\|_A = \|\tilde{f}(u^m(t))\|_{\tilde{A}} \leq C\|u^m(t)\|_A \leq M, \\ \|u_t^m(t)\|_A &\leq \|\psi^m\|_A + \int_0^t \|\tilde{f}(u^m(\tau))\|_{\tilde{A}} d\tau \leq M, \quad t \in [0, d']. \end{aligned} \quad (3.13)$$

By (3.13), we can choose a subsequence of  $\{u^m\}$ , still denoted by  $\{u^m\}$ , such that (3.6) holds. By the Lagrange mean value theorem, (3.13) and (3.6),

$$\|\sigma(u^m(t)) - \sigma(u(t))\| \leq M\|u^m(t) - u(t)\| \rightarrow 0 \quad (3.14)$$

uniformly on  $[0, d']$  as  $m \rightarrow \infty$ . Letting  $m \rightarrow \infty$  in (3.1), (3.2), we deduce from (3.6) and (3.14) that  $\tilde{u}(t)$  is a solution of problem of (3.4) on  $[0, d']$ .

Rewrite problem (3.4) as

$$\begin{aligned} (u_{tt} - u_{xx} - u_{xxtt} - \sigma(u)_{xx}, e_k) &= 0 \quad \text{on } (0, \infty), \\ (u(0), e_k) &= (\varphi, e_k), \quad (u_t(0), e_k) = (\psi, e_k), \quad k = 0, 1, \dots \end{aligned} \tag{3.15}$$

Since  $u_{tt} - u_{xx} - u_{xxtt} - \sigma(u)_{xx} \in L_2, t \in [0, d']$ , and  $\{e_k\}_{k=0}^\infty$  is dense in  $L_2$  and  $A$ ,

$$\begin{aligned} u_{tt} - u_{xx} - u_{xxtt} &= \sigma(u)_{xx} \quad \text{in } L_2, \quad t \in [0, d'], \\ u(0) = \varphi, \quad u_t(0) &= \psi \quad \text{in } A, \end{aligned}$$

i.e.,  $u \in W^{2,\infty}([0, d']; A)$  is a generalized solution of problem (1.1)–(1.3) on  $[0, d']$ .

By the sequential weak\* lower semicontinuity of the norm in  $L_\infty([b_0, d']; \tilde{A})$  and (3.10),

$$\begin{aligned} \|\tilde{u}(t) - \tilde{u}(b_0)\|_{\tilde{A}} &\leq \|\tilde{u}(t) - \tilde{u}(b_0)\|_{L_\infty([b_0, d']; \tilde{A})} \\ &\leq \liminf_{m \rightarrow \infty} \|\tilde{u}^m(t) - \tilde{u}^m(b_0)\|_{L_\infty([b_0, d']; \tilde{A})} \\ &= \liminf_{m \rightarrow \infty} \|\tilde{v}^m(t - b_0) - \tilde{u}^m(b_0)\|_{L_\infty([b_0, d']; \tilde{A})} < \theta, \quad t \in [b_0, d']. \end{aligned} \tag{3.16}$$

From (3.16), (3.11), (3.10) and assumption (ii) we deduce that

$$\begin{aligned} \|\tilde{u}^m(t) - \tilde{u}(t)\|_{\tilde{A}} &\leq \|\tilde{u}^m(t) - \tilde{u}^m(b_0)\|_{\tilde{A}} + \|\tilde{u}^m(b_0) - \tilde{u}(b_0)\|_{\tilde{A}} + \|\tilde{u}(b_0) - \tilde{u}(t)\|_{\tilde{A}} \\ &< 3\theta, \quad t \in [b_0, d'], \end{aligned} \tag{3.17}$$

and therefore (3.5) holds. The proof of Lemma 3.1 is completed.  $\square$

*Step 3. Local existence of solutions.* Take  $d = 0, J = [0, 0]$  (a single point) and  $d_n \equiv 0$  ( $n = 1, 2, \dots$ ) in Lemma 3.1. Obviously problem (3.4) admits a solution  $\tilde{u}(t) = \tilde{\varphi} \in \tilde{A}, t \in J$ , satisfying  $\dot{\tilde{u}}(0) = \tilde{\psi}$ ; and the corresponding  $u(t) = \varphi \in A$  is a solution of problem (1.1)–(1.3) on  $J$ , satisfying  $u_t(0) = \psi$ . For any  $m$ , problem (3.1), (3.2) admits a solution  $\tilde{u}^m(t) = \tilde{\varphi}^m, t \in [0, d_n]$ , satisfying  $\dot{\tilde{u}}^m(0) = \tilde{\psi}^m$ . Since  $\|\tilde{\varphi}^m - \tilde{\varphi}\|_{\tilde{A}} \rightarrow 0$  ( $m \rightarrow \infty$ ), there exists a positive constant  $\theta$  such that  $\|\tilde{\varphi}^m - \tilde{\varphi}\|_{\tilde{A}} < \theta$ . Take a bounded open sphere  $Q_1 \subset \mathbf{R} \times \tilde{A}$  such that  $(0, \tilde{\varphi}) \in Q_1$  and  $\rho(\partial Q_1, (0, \tilde{\varphi})) \geq 3\theta$ , then the conditions of Lemma 3.1 are satisfied. Therefore, there exists a positive constant  $b_1$  depending only on  $\theta$  and a subsequence  $\{\tilde{u}^{1,m}\} \subset \{\tilde{u}^m\}$  such that  $\tilde{u}^{1,m}(t), \tilde{u}(t)$  are all continued onto  $[0, b_1]$ , (3.5) and (3.6) hold (substituting  $u^m$  and  $d'$  there by  $u^{1,m}$  and  $b_1$  respectively) and the corresponding  $u \in W^{2,\infty}([0, b_1]; A)$  is a solution of problem (1.1)–(1.3) on  $[0, b_1]$ .

Take a sequence  $\{b_{1n}\} \subset [0, b_1], b_{1n} \rightarrow b_1$  ( $n \rightarrow \infty$ ). By (3.5), for any  $n, m$ ,

$$\|\tilde{u}^{1,m}(t) - \tilde{u}(t)\|_{\tilde{A}} < \theta_1 (= 3\theta), \quad t \in [0, b_{1n}]. \tag{3.18}$$

Take a bounded open sphere  $Q_2 \subset \mathbf{R} \times \tilde{A}$  such that the graph of  $\tilde{u}(t)$  on  $[0, b_1]: G_1 = \{(t, \tilde{u}(t)) \mid t \in [0, b_1]\} \subset Q_2$  and  $\rho(\partial Q_2, G_1) \geq 3\theta_1$ . Hence, by Lemma 3.1, there exists a positive constant  $b_2$  ( $> b_1$ ) and a subsequence  $\{\tilde{u}^{2,m}\} \subset \{\tilde{u}^{1,m}\}$  such that  $\tilde{u}^{2,m}(t)$  and  $\tilde{u}(t)$  are all continued onto  $[0, b_2]$ , (3.5) and (3.6) hold (substituting  $u^m, \theta$  and  $d'$  there by  $u^{2,m}, \theta_1$  and  $b_2$  respectively), and the corresponding  $u \in W^{2,\infty}([0, b_2]; A)$  is a solution of problem (1.1)–(1.3) on  $[0, b_2]$ .

Repeating above process, we get a series of bounded open spheres  $Q_n: Q_1 \subset Q_2 \subset \dots \subset Q_n \subset \dots$ , the radius of  $Q_n$  tends to infinity as  $n \rightarrow \infty$ , a monotonically increasing sequence  $\{b_n\}$  and a subsequence  $\{\tilde{u}^{n,m}\}: \{\tilde{u}^{n,m}\} \subset \{\tilde{u}^{n-1,m}\} \subset \dots \subset \{\tilde{u}^m\}$  such that  $\tilde{u}^{n,m}(t)$  and  $\tilde{u}(t)$  are all continued onto  $[0, b_n]$ , (3.5) and (3.6) hold (substituting  $u^m, \theta$  and  $d'$  there by  $u^{n,m}, \theta_n (= 3^n\theta)$  and  $b_n$  respectively), and the corresponding  $u \in W^{2,\infty}([0, b_n]; A)$  is a solution of problem (1.1)–(1.3) on  $[0, b_n]$ , where  $b_n$  are positive constants depending only on  $\theta$  and  $n$ . Since  $\{b_n\}$  is monotonically increasing,  $\lim_{n \rightarrow \infty} b_n = T^0 \leq \infty$ . By the standard diagonal process, we can choose a diagonal sequence  $\{\tilde{u}^{m,m}\}$  such that for any compact subinterval  $[0, T] \subset J^0 = [0, T^0]$ ,  $\lim_{m \rightarrow \infty} \inf J_{mm} \supset [0, T]$  and

$$\begin{aligned} u^{m,m} &\rightarrow u \quad \text{weak* in } W^{2,\infty}([0, T]; A), \\ u^{m,m} &\rightarrow u \quad \text{strongly in } C^1([0, T]; L_2) \end{aligned} \tag{3.19}$$

as  $m \rightarrow \infty$  and  $u \in W^{2,\infty}([0, T]; A)$  is a solution of problem (1.1)–(1.3) on  $[0, T]$ .

*Step 4.*  $J^0 = [0, T^0]$  is the maximal interval of existence of  $\tilde{u}(t)$ , and thus is that of  $u(t)$ . If  $T^0 = +\infty$ , obviously the claim is valid.

If  $T^0 < +\infty$ , while  $\tilde{u}(t)$  could be continued past to the right of  $T^0$ , then

$$\sup_{0 \leq t < T^0} \|\tilde{u}(t)\|_{\tilde{A}} = \sup_{0 \leq t < T^0} \|u(t)\|_A < +\infty. \tag{3.20}$$

Take a sequence of number  $\{d_n\} \subset [0, T^0]$ ,  $d_n \rightarrow T^0$  ( $n \rightarrow \infty$ ), then there must be a positive constant  $\nu$  such that when  $m$  is sufficiently large, for any  $n$

$$\|\tilde{u}^{m,m}(t) - \tilde{u}(t)\|_{\tilde{A}} < \nu, \quad t \in [0, d_n]. \tag{3.21}$$

In fact, since  $A = A^*$  (the dual space of  $A$ ), for any  $\eta \in A$ ,  $\|\eta\|_A = 1$ , we deduce from (3.19) that  $(u^{m,m}(t), \eta) \rightarrow (u(t), \eta)$  ( $m \rightarrow \infty$ ),  $t \in [0, T^0]$ ). Hence when  $m$  is sufficiently large,

$$\begin{aligned} |(u^{m,m}(t), \eta)| &\leq |(u(t), \eta)| + 1 \leq \|u(t)\|_A + 1, \quad t \in [0, T^0], \\ \sup_{0 \leq t < T^0} \|\tilde{u}^{m,m}(t) - \tilde{u}(t)\|_{\tilde{A}} &\leq \sup_{0 \leq t < T^0} \|\tilde{u}^{m,m}(t)\|_{\tilde{A}} + \sup_{0 \leq t < T^0} \|\tilde{u}(t)\|_{\tilde{A}} \\ &\leq 2 \sup_{0 \leq t < T^0} \|\tilde{u}(t)\|_{\tilde{A}} + 1 < \nu. \end{aligned} \tag{3.22}$$

Therefore (3.21) holds.

By (3.21), we can choose a bounded open sphere  $Q_{n_0}$  from the above-mentioned open sphere sequence such that the graph of  $\tilde{u}(t)$  over  $J^0: G_{T^0} = \{(t, \tilde{u}(t)) \mid t \in J^0\} \subset Q_{n_0}$  and  $\rho(\partial Q_{n_0}, G_{T^0}) \geq 3\nu$ . Therefore, we deduce from Lemma 3.1 that there is a positive constant  $b_{n_0}$  ( $> T^0$ ) and a subsequence of  $\{\tilde{u}^{m,m}\}$ , still denoted by  $\{\tilde{u}^{m,m}\}$ , such that  $\tilde{u}^{m,m}(t)$  and  $\tilde{u}(t)$  are all continued onto  $[0, b_{n_0}]$ , (3.5), (3.6) and the other conclusions of Lemma 3.1 hold (substituting  $u^m, \theta$  and  $d'$  there by  $u^{m,m}, \nu$  and  $b_{n_0}$ , respectively). This contradicts the fact that  $T^0 = \sup\{b_m\}$ . Therefore,  $J^0 = [0, T^0]$  is the maximal interval of existence of  $\tilde{u}(t)$  and  $u(t)$ .



From above proving process we see that if  $\sup_{0 \leq t < T^0} \|u(t)\|_A < +\infty$ , there must be  $T^0 = +\infty$ . In fact, if  $T^0 < +\infty$ , repeating above arguments one gets that there exists a positive constant  $b_{n_0} > T^0$  such that  $\tilde{u}(t)$  and  $u(t)$  are all continued onto  $[0, b_{n_0}]$ , which contradicts the fact that  $[0, T^0)$  is the maximal interval of existence of  $\tilde{u}(t)$  and  $u(t)$ .

*Step 5. The uniqueness of solution of problem (1.1)–(1.3).* Assume that  $u_1, u_2 \in W^{2,\infty}([0, T]; A)$  ( $0 < T < T^0$ ) are two solutions of problem (1.1)–(1.3). Let  $w = u_1 - u_2$ , then  $w$  satisfies

$$w_{tt} - w_{xx} - w_{xxt} = \sigma(u_1)_{xx} - \sigma(u_2)_{xx} \quad \text{on } (0, 1) \times (0, T], \tag{3.23}$$

$$w_x(0, t) = w_x(1, t) = 0, \quad 0 \leq t \leq T,$$

$$w(x, 0) = 0, \quad w_t(x, 0) = 0, \quad 0 \leq x \leq 1. \tag{3.24}$$

Multiplying (3.23) by  $w_t$ , integrating the resulting expression over  $(0, t)$ , and making use of the Sobolev embedding theorem and the Cauchy inequality gives

$$\begin{aligned} \frac{1}{2} \frac{d}{dt} (\|w_t(t)\|^2 + \|w_x(t)\|^2 + \|w_{xt}(t)\|^2) &= -(\sigma'(u_1)u_{1x} - \sigma'(u_2)u_{2x}, w_{xt}) \\ &\leq \|w_{xt}(t)\|^2 + \|\sigma'(u_1(t))\|_\infty^2 \|w_x(t)\|^2 + \|(u_2\sigma''(u_1 + \delta u_2))(t)\|_\infty^2 \|w(t)\|^2 \\ &\leq \|w_{xt}(t)\|^2 + C(T)(\|w_x(t)\|^2 + \|w(t)\|^2), \quad 0 < t \leq T, \end{aligned} \tag{3.25}$$

where  $0 < \delta < 1$ ,  $C(T)$  is a positive constant depending only on  $T$ . Applying the Gronwall inequality to (3.25) one gets

$$\|w_t(t)\| = \|w_x(t)\| = \|w_{xt}(t)\| = 0, \quad 0 \leq t < T^0. \tag{3.26}$$

Therefore  $w(t) \equiv 0$ , i.e.,  $u_1(t) \equiv u_2(t)$ ,  $t \in [0, T^0)$ . Theorem 2.1 is proved.  $\square$

#### 4. Blowup of solutions

In order to prove Theorems 2.2 and 2.3, we first give two lemmas.

**Lemma 4.1.** *Assume that  $\eta(t)$  satisfies*

$$\ddot{\eta}(t) + \alpha\eta(t) \geq c\eta^r(t), \quad t > 0, \quad \eta(0) = \eta_0, \quad \dot{\eta}(0) = \eta_1, \tag{4.1}$$

where  $\alpha, c, r$  are real numbers,  $c > 0$ ,  $r > 1$ , and  $\eta_0 \geq (\alpha/c)^{1/(r-1)}$  if  $\alpha > 0$ ,  $\eta_0 \geq 0$  if  $\alpha \leq 0$ ,  $\eta_1 > 0$ . Then there exists a finite constant  $\tilde{T}$  such that  $\eta(t) \rightarrow +\infty$  as  $t \rightarrow \tilde{T}^-$ , where

$$\tilde{T} = \int_{\eta_0}^{+\infty} [2c(\eta^{r+1} - \eta_0^{r+1}) / (r+1) - \alpha(\eta^2 - \eta_0^2) + \eta_1^2]^{-1/2} d\eta < +\infty. \tag{4.2}$$

**Proof.** By assumptions of Lemma 4.1, we claim that

$$\eta(t) > \eta_0, \quad \dot{\eta}(t) > 0, \quad t > 0. \tag{4.3}$$

In fact, if there exists a  $t_0 (> 0)$  such that  $\eta(t) > \eta_0$ ,  $t \in [0, t_0)$ , while  $\eta(t_0) = \eta_0$ , note that  $c\eta^{r-1} - \alpha > c\eta_0^{r-1} - \alpha \geq 0$ , then it follows from (4.1) that

$$\dot{\eta}(t) \geq \eta_1 + \int_0^t \eta(\tau)(c\eta^{r-1}(\tau) - \alpha) d\tau > \eta_1 > 0, \quad t \in (0, t_0), \quad (4.4)$$

i.e.,  $\eta(t)$  is monotonically increasing on  $[0, t_0]$ ,  $\eta(t_0) > \eta_0 \geq 0$ , which contradicts the assumption. Hence  $\eta(t) > \eta_0$ ,  $t > 0$ . Applying this fact to (4.4) gives  $\dot{\eta}(t) > 0$ ,  $t > 0$ .

Multiplying inequality in (4.1) by  $2\dot{\eta}(t)$  and integrating the resulting expression over  $(0, t)$  one gets

$$\dot{\eta}^2(t) \geq \frac{2c}{r+1}(\eta^{r+1}(t) - \eta_0^{r+1}) - \alpha(\eta^2(t) - \eta_0^2) + \eta_1^2 = h(t), \quad t > 0. \quad (4.5)$$

Since  $\dot{h}(t) = 2\eta(t)\dot{\eta}(t)(c\eta^{r-1}(t) - \alpha) \geq 2\eta(t)\dot{\eta}(t)(c\eta_0^{r-1} - \alpha) \geq 0$ ,  $t \geq 0$ ,  $h(t) \geq h(0) = \eta_1^2 > 0$ ,  $t \geq 0$ . Hence (4.5) yields

$$\dot{\eta}(t) / \left[ \frac{2c}{r+1}(\eta^{r+1}(t) - \eta_0^{r+1}) - \alpha(\eta^2(t) - \eta_0^2) + \eta_1^2 \right]^{1/2} \geq 1, \quad t \geq 0. \quad (4.6)$$

Integrating (4.6) over  $[0, T]$  gives the conclusion of Lemma 4.1.  $\square$

**Lemma 4.2** [10,11]. Assume that  $f$  is a quasimonotone increasing function on  $I \times D(f) \rightarrow \mathbf{R}^N$ , where  $I = [0, T]$  and  $D(f)$  is a closed convex set in  $\mathbf{R}^N$  containing the set  $u^{\geq} = \{x \in \mathbf{R}^N \mid x \geq u(t) \text{ for some } t \in I\}$ .

If the functions  $u(t), v(t) \in C(I; \mathbf{R}^N)$  satisfy the following conditions:

- (a)  $u(0) \leq v(0)$ ,
- (b)  $\dot{u}(t) - f(t, u) \leq \dot{v}(t) - f(t, v)$  for  $t \in I$ ,
- (c)  $f$  is locally Lipschitz continuous on both  $t$  and  $x$  in  $I \times D(f)$ ,

then  $u(t) \leq v(t)$ ,  $t \in I$ .

**Proof of Theorem 2.2.** Under the assumptions of Theorem 2.2, from Theorem 2.1 we deduce that problem (1.8), (1.2), (1.3) admits a unique generalized solution  $u \in W^{2,\infty}([0, T]; A)$ ,  $0 < T < T^0$ , and  $\tilde{u}(t) = (u_0(t), \dots, u_k(t), \dots)$ , defined on  $[0, T^0)$ , is a unique noncontinuable solution of problem (3.4) and

$$\tilde{u}(t) \leq 0, \quad \dot{\tilde{u}}(t) \leq 0, \quad t \in [0, T^0). \quad (4.7)$$

In fact, we consider the auxiliary problem of (3.1), (3.2),

$$(1 + k^2\pi^2)\ddot{u}_k^m(t) + k^2\pi^2 u_k^m(t) = -k^2\pi^2 \sigma(u^m(t))_k - \varepsilon, \quad t > 0, \\ u_k^m(0) = \varphi_k, \quad \dot{u}_k^m(0) = \psi_k, \quad k = 0, 1, \dots, m, \quad (4.8)$$

where  $\sigma(u^m(t))_k = 2^{1-p} a \sum_{r_1+\dots+r_p=k} u_{r_1}^m(t) \dots u_{r_p}^m(t)$  and  $\varepsilon > 0$  is a constant. By o.d.e.'s theory in  $\mathbf{R}^{m+1}$ , for any compact subinterval  $J_m^* \subset J_m$ , when  $\varepsilon$  is sufficiently small, the solution  $\tilde{u}^m(t; \varepsilon) = (u_0^m(t; \varepsilon), \dots, u_m^m(t; \varepsilon), 0, \dots)$  of problem (4.8) exists on  $J_m^*$ , and

$$\dot{\tilde{u}}^m(t; \varepsilon) < 0, \quad \tilde{u}^m(t; \varepsilon) < 0, \quad t \in J_m^* \text{ and } t > 0. \quad (4.9)$$

1. In fact, if there is a  $k_0: 0 \leq k_0 \leq m$  such that  $\dot{u}_{k_0}^m(0; \varepsilon) = \psi_{k_0} = 0$ , then taking  $k = k_0$ ,  $r_i = k_0, r_j = 0, j \neq i (i, j = 1, \dots, p)$  respectively and letting  $t \rightarrow 0^+$  in (4.8) gives

$$(1 + k_0^2 \pi^2) \ddot{u}_{k_0}^m(0; \varepsilon) \leq -k_0^2 \pi^2 \varphi_{k_0} [1 + ap(\varphi_0/2)^{p-1}] - \varepsilon \leq -\varepsilon < 0. \tag{4.10}$$

From (4.10) and the continuity of  $\ddot{u}^m(t, \varepsilon)$  we deduce that there exists a right neighborhood of 0:  $(0, \delta)$  such that  $\ddot{u}_{k_0}^m(t; \varepsilon) < \psi_{k_0} = 0$  and thus  $u_{k_0}^m(t; \varepsilon) < \varphi_{k_0} \leq 0, t \in (0, \delta)$ .

2. If there is a  $k_1: 0 \leq k_1 \leq m, t_0 \in J_m^*$  and  $t_0 > 0$  such that  $\dot{u}^m(t; \varepsilon) < 0, t \in [0, t_0]$ , while  $\dot{u}_{k_1}^m(t_0; \varepsilon) = 0$ , then  $\ddot{u}^m(t; \varepsilon) < 0, t \in (0, t_0]$ . Taking  $t = t_0, k = k_1$  and  $r_i = k_1, r_j = 0, j \neq i (i, j = 1, \dots, p)$  in (4.8) gives

$$\begin{aligned} (1 + k_1^2 \pi^2) \ddot{u}_{k_1}^m(t_0; \varepsilon) &\leq k_1^2 \pi^2 u_{k_1}^m(t_0; \varepsilon) [1 + ap(u_0^m(t_0; \varepsilon)/2)^{p-1}] - \varepsilon \\ &\leq -k_1^2 \pi^2 u_{k_1}^m(t_0; \varepsilon) [1 + ap(\varphi_0/2)^{p-1}] - \varepsilon < 0. \end{aligned} \tag{4.11}$$

(4.11) implies that there is a left neighborhood of  $t_0: (t_0 - \delta, t_0)$  such that  $\dot{u}_{k_1}^m(t; \varepsilon) > 0, t \in (t_0 - \delta, t_0)$ , which contradicts the assumption.

So (4.9) is valid.

By the continuous dependence of solutions of o.d.e.'s for the parameter, letting  $\varepsilon \rightarrow 0$  in (4.9) gives

$$\dot{u}^m(t) \leq 0, \quad \ddot{u}^m(t) \leq 0, \quad t \in J_m, \tag{4.12}$$

where  $\ddot{u}^m(t)$  is a solution of problem (3.1), (3.2). By the arguments of the proof of Theorem 2.1, we can choose a subsequence  $\{\ddot{u}^{m,m}\}$  from  $\{\ddot{u}^m\}$  such that  $\lim_{m \rightarrow \infty} \inf J_{m,m} \supset J^0$ , and for any compact subinterval  $\tilde{J}^0 \subset J^0$ ,

$$\|\ddot{u}^{m,m} - \ddot{u}\|_{C^1(\tilde{J}^0, I_2)} \rightarrow 0 \tag{4.13}$$

as  $m \rightarrow \infty$ . (4.13) implies that (4.7) holds.

Rewrite problem (3.4) as (where  $\sigma(u) = au^p$ )

$$(1 + k^2 \pi^2) \ddot{u}_k(t) = -k^2 \pi^2 \left( u_k(t) + 2^{1-p} a \sum_{r_1 + \dots + r_p = k} u_{r_1}(t) \dots u_{r_p}(t) \right), \quad t > 0, \tag{4.14}$$

$$u_k(0) = \varphi_k, \quad \dot{u}_k(0) = \psi_k, \quad k = 0, 1, \dots \tag{4.15}$$

1. If assumption (H<sub>1</sub>) holds, then taking  $k = 1, r_i = p, r_j = -1$  and  $r_i = 1, r_j = 0, j \neq i (i, j = 1, \dots, p)$  respectively in (4.14) gives

$$(1 + \pi^2) \ddot{\eta}(t) + v_1 \eta(t) \geq 2^{1-p} ap \pi^2 \eta^{p-1}(t) z(t), \quad t > 0, \tag{4.16}$$

where  $\eta(t) = -u_1(t) (\geq 0), z(t) = -u_p(t) (\geq 0), v_1 = \pi^2 [1 + (\varphi_0/2)^{p-1} ap] \leq 0$ , and the fact  $u_0(t) = \psi_0 t + \varphi_0 \leq \varphi_0 (t > 0)$  has been used. Note that  $\dot{z}(t) \geq 0$  and  $z(t) \geq -\varphi_p > 0$ , it follows from (4.16) that

$$\ddot{\eta}(t) + v \eta(t) \geq c \eta^{p-1}(t), \quad t > 0, \quad \eta(0) = -\varphi_1, \quad \dot{\eta}(0) = -\psi_1, \tag{4.17}$$

where  $v = v_1 / (1 + \pi^2), c = -2^{1-p} ap \pi^2 \varphi_p / (1 + \pi^2)$ . Applying Lemma 4.1 to (4.17) one gets that there exists a finite constant  $\tilde{T}$  such that  $\eta(t) \rightarrow +\infty$  as  $t \rightarrow \tilde{T}^-$ , where

$$\tilde{T} = \int_{-\varphi_1}^{+\infty} [2c(\eta^p - \varphi_1^p) / p - v(\eta^2 - \varphi_1^2) + \psi_1^2]^{-1/2} d\eta < +\infty. \tag{4.18}$$

Since  $u(0, t) = u_0(t)/2 + \sum_{k=1}^{\infty} u_k(t) \leq u_1(t)$ ,  $\|u(t)\|^2 \geq u_1^2(t) = \eta^2(t)$ ,

$$u(0, t) \rightarrow -\infty, \quad \|u(t)\| \rightarrow +\infty \quad \text{as } t \rightarrow \tilde{T}^-.$$

2. If assumption (H<sub>2</sub>) holds, then taking  $k = 1, r_i = 0, r_j = 1$  and  $r_i = -1, r_j = 2, j \neq i$  ( $i, j = 1, 2$ ), after that taking  $k = 2, r_i = 0, r_j = 2$  and  $r_i = r_j = 1, j \neq i$  ( $i, j = 1, 2$ ) respectively in (4.14) gives

$$\begin{aligned} (1 + \pi^2)\ddot{\eta}(t) + \pi^2(1 + a\varphi_0)\bar{\eta}(t) &\geq a\pi^2\bar{\eta}(t)\bar{z}(t), \\ (1 + 4\pi^2)\ddot{z}(t) + 4\pi^2(1 + a\varphi_0)\bar{z}(t) &\geq 2a\pi^2\bar{\eta}^2, \quad t > 0, \end{aligned} \quad (4.19)$$

where  $\bar{\eta}(t) = -u_1(t)$ ,  $\bar{z}(t) = -u_2(t)$ . Let  $\bar{\eta}(t) = [2(1 + \pi^2)(1 + 4\pi^2)]^{1/2}\eta^*(t)/2a\pi^2$ ,  $\bar{z}(t) = (1 + \pi^2)z^*(t)/a\pi^2$ , then we have

$$\begin{aligned} \ddot{\eta}^*(t) &\geq \alpha\eta^*(t) + \eta^*(t)z^*(t), \quad \ddot{z}^*(t) \geq \beta z^*(t) + \eta^{*2}(t), \quad t > 0, \\ \eta^*(0) &= \eta_0, \quad \dot{\eta}^*(0) = \eta_1, \quad z^*(0) = z_0, \quad \dot{z}^*(0) = z_1, \end{aligned} \quad (4.20)$$

where

$$\begin{aligned} 0 \leq \alpha &= -\pi^2(1 + a\varphi_0)/(1 + \pi^2) \leq \beta = -4\pi^2(1 + a\varphi_0)/(1 + 4\pi^2), \\ \eta_0 &= -2a\pi^2\varphi_1/[2(1 + \pi^2)(1 + 4\pi^2)]^{1/2}, \\ \eta_1 &= -2a\pi^2\psi_1/[2(1 + \pi^2)(1 + 4\pi^2)]^{1/2}, \\ z_0 &= -a\pi^2\varphi_2/(1 + \pi^2), \quad z_1 = -a\pi^2\psi_2/(1 + \pi^2). \end{aligned}$$

We consider the following initial value problem

$$\begin{aligned} \ddot{\eta}(t) &= \alpha\eta(t) + \eta(t)z(t), \quad \ddot{z}(t) = \beta z(t) + \eta^2(t), \quad t > 0, \\ \eta(0) &= \eta_0, \quad \dot{\eta}(0) = \eta_1, \quad z(0) = z_0, \quad \dot{z}(0) = z_1, \end{aligned} \quad (4.21)$$

where  $\eta(t) \geq \eta_0, z(t) \geq z_0, \dot{\eta}(t) \geq 0, \dot{z}(t) \geq 0, t > 0$ . Obviously, (4.21) is equivalent to the problem

$$\begin{aligned} \dot{\eta}(t) &= \eta_1 + \int_0^t (\eta(\tau)z(\tau) + \alpha\eta(\tau)) d\tau, \\ \dot{z}(t) &= z_1 + \int_0^t (\eta^2(\tau) + \beta z(\tau)) d\tau, \quad t > 0, \\ \eta(0) &= \eta_0, \quad z(0) = z_0. \end{aligned} \quad (4.22)$$

By assumption (H<sub>2</sub>),  $z_0 \geq \sqrt{\eta_0} \geq 1/\sqrt{2}, z_1 \geq \eta_1/\sqrt{2} > 0$ , and thus

$$z(t) \geq \sqrt{\eta(t)}, \quad t > 0. \quad (4.23)$$

In fact, if there exists a  $t_0 > 0$  such that  $z(t) > \sqrt{\eta(t)}, t \in (0, t_0)$ , while  $z(t_0) = \sqrt{\eta(t_0)}$ , then it follows from the second equation in (4.22) that

$$\begin{aligned}
 2\sqrt{\eta(t_0)}\dot{z}(t_0) &= 2z_1z(t_0) + 2z(t_0) \int_0^{t_0} (\eta^2(\tau) + \beta z(\tau)) d\tau \\
 &> 2z_1z_0 + 2 \int_0^{t_0} (\eta^2(\tau)z(\tau) + \beta z(\tau)) d\tau.
 \end{aligned}
 \tag{4.24}$$

By (4.24) and the first equation in (4.22),

$$\begin{aligned}
 2\sqrt{\eta(t_0)}\dot{z}(t_0) - \dot{\eta}(t_0) &> 2z_1z_0 - \eta_1 + \int_0^{t_0} \eta(\tau)z(\tau)(2\eta(\tau) - 1) d\tau \\
 &\quad + \int_0^{t_0} (2\beta - \alpha)\eta(\tau) d\tau \geq 0.
 \end{aligned}
 \tag{4.25}$$

Therefore, when  $t = t_0$ ,

$$\frac{d}{dt}[z(t) - \sqrt{\eta(t)}] = (2\sqrt{\eta(t)}\dot{z}(t) - \dot{\eta}(t))/2\sqrt{\eta(t)} > 0.
 \tag{4.26}$$

(4.26) implies that there is a  $\delta > 0$  such that  $z(t) - \sqrt{\eta(t)} < z(t_0) - \sqrt{\eta(t_0)} = 0$ ,  $t \in (t_0 - \delta, t_0)$ , which contradicts the assumption. Hence (4.23) is valid.

Substituting (4.23) into the first equation in (4.21) gives

$$\ddot{\eta}(t) \geq \alpha\eta(t) + \eta^{3/2}(t), \quad t > 0, \quad \eta(0) = \eta_0, \quad \dot{\eta}(0) = \eta_1.
 \tag{4.27}$$

Applying Lemma 4.1 to (4.27) gives that there exists a finite constant  $\tilde{T}$  such that  $\eta(t) \rightarrow +\infty$  and thus  $z(t) \rightarrow +\infty$  ( $t \rightarrow \tilde{T}^-$ ).

Let  $X(t) = (\eta(t), z(t), v(t), w(t))^T$ , where  $v(t) = \dot{\eta}(t)$ ,  $w(t) = \dot{z}(t)$ ,  $X_0 = (\eta_0, z_0, \eta_1, z_1)^T$ ,  $f(t, X) = (v(t), w(t), \alpha\eta(t) + \eta(t)z(t), \beta z(t) + \eta^2(t))^T$ . Rewrite problem (4.21) as

$$\dot{X}(t) = f(t, X), \quad t > 0, \quad X(0) = X_0.
 \tag{4.28}$$

Rewrite problem (4.20) as

$$\dot{X}^*(t) \geq f(t, X^*), \quad t > 0, \quad X^*(0) = X_0,
 \tag{4.29}$$

where  $X^*(t) = (\eta^*(t), z^*(t), v^*(t), w^*(t))^T$ ,  $v^*(t) = \dot{\eta}^*(t)$ ,  $w^*(t) = \dot{z}^*(t)$ . A simple verification shows that for any  $T: 0 < T < T^0$ ,  $f(t, X): I \times D(f) \rightarrow \mathbf{R}^4$  is quasimonotone increasing and locally Lipschitz continuous on both  $t$  and  $X$  in  $I \times D(f)$ , where  $I = [0, T]$  and  $D(f) = \{X = (\eta, z, v, w)^T \mid \eta \geq \eta_0, z \geq z_0, v \geq 0, w \geq 0\} \subset \mathbf{R}^4$  is a closed convex set and  $D(f) \supset X^\geq = \{Y \in \mathbf{R}^4 \mid Y \geq X(t) \text{ for some } t \in I\}$ . So by Lemma 4.2 and the arbitrariness of  $T: 0 < T < T^0$ ,

$$X^*(t) \geq X(t), \quad t \in J^0 = [0, T^0).
 \tag{4.30}$$

Therefore,  $\eta^*(t) \geq \eta(t) \rightarrow +\infty$ ,  $z^*(t) \geq z(t) \rightarrow +\infty$  as  $t \rightarrow \tilde{T}^-$ , and thus  $u(0, t) \rightarrow -\infty$  and  $\|u(t)\| \rightarrow +\infty$  as  $t \rightarrow \tilde{T}^-$ .

3. If the assumption (H<sub>3</sub>) holds, then in (4.14) taking  $k = 1$ , taking 1 for  $(p + 1)/2$  times and  $-1$  for  $(p - 1)/2$  times respectively in  $r_1, \dots, r_p$ , and taking  $r_i = 1, r_j = 0, j \neq i$  ( $i, j = 1, \dots, p$ ) respectively gives

$$\begin{aligned}(1 + \pi^2)\ddot{\eta}(t) + v\eta(t) &= -2^{1-p}a\pi^2\eta^p(t), \quad t > 0, \\ \eta(0) &= -\varphi_1, \quad \dot{\eta}(0) = -\psi_1,\end{aligned}\tag{4.31}$$

where  $\eta(t) = -u_1(t)$ ,  $v = \pi^2(1 + ap(\varphi_0/2)^{p-1}) \leq 0$ . Applying Lemma 4.1 to problem (4.31) one gets that there exists a finite constant  $\tilde{T}$ :  $0 < \tilde{T} < +\infty$  such that  $\eta(t) \rightarrow +\infty$  as  $t \rightarrow \tilde{T}^-$  and thus  $u(0, t) \rightarrow -\infty$  and  $\|u(t)\| \rightarrow +\infty$  as  $t \rightarrow \tilde{T}^-$ . Theorem 2.2 is proved.  $\square$

**Proof of Theorem 2.3.** Since  $\varphi, \psi \in A$ , from Theorem 2.1 we deduce that problem (1.8), (1.2), (1.3) admits a unique generalized solution  $u \in W^{2,\infty}([0, T]; A)$ ,  $0 < T < T^0$ .

(i) If assumption (H<sub>4</sub>) or (H<sub>5</sub>) holds, let  $v = -u$ , then  $v$  satisfies

$$v_{tt} - v_{xx} - v_{xxt} = -a(v^p)_{xx} \quad \text{on } (0, 1) \times (0, T^0),\tag{4.32}$$

$$v_x(0, t) = v_x(1, t) = 0, \quad t \in [0, T^0),$$

$$v(x, 0) = -\varphi(x), \quad v_t(x, 0) = -\psi(x), \quad 0 \leq x \leq 1.\tag{4.33}$$

Applying Theorem 2.2 to problem (4.32), (4.33) gives the conclusion of Theorem 2.3.

(ii) If assumption (H<sub>6</sub>) holds, still let  $v = -u$ , then  $v$  satisfies

$$v_{tt} - v_{xx} - v_{xxt} = a(v^p)_{xx} \quad \text{on } (0, 1) \times (0, T^0),\tag{4.34}$$

and conditions (4.33). Applying Theorem 2.2 to problem (4.34), (4.33) gives the conclusion of Theorem 2.3. Theorem 2.3 is proved.  $\square$

**Example 1.** For initial boundary value problem (1.2), (1.3) of IBq equation (1.4) (i.e.,  $a = 1$  and  $p = 2$  in (1.8)), if we take initial data

$$\begin{aligned}\varphi(x) &= \varphi_0/2 + \varphi_1 \cos \pi x + \varphi_2 \cos 2\pi x, \\ \psi(x) &= \psi_1 \cos \pi x + \psi_2 \cos 2\pi x,\end{aligned}\tag{4.35}$$

where  $\varphi_0 \leq -1$ ,  $\varphi_1 \leq -[(1 + \pi^2)(1 + 4\pi^2)]^{1/2}/\sqrt{8}\pi^2$ ,  $\varphi_2 \leq -[2(1 + \pi^2)^3\varphi_1^2/(1 + 4\pi^2)\pi^4]^{1/4}$ ,  $\psi_2 \leq [(1 + \pi^2)/(1 + 4\pi^2)]^{1/2}\psi_1 < 0$ , then a simple verification shows that the assumptions of Theorem 2.1 and assumptions (i) and (ii)(H<sub>2</sub>) of Theorem 2.2 hold. So, by Theorems 2.1 and 2.2, the corresponding problem (1.4), (1.2), (1.3) admits a unique generalized solution  $u \in W^{2,\infty}([0, T]; A)$ ,  $0 < T < T^0$ , and there exists a finite constant  $\tilde{T}$  such that

$$u(0, t) \rightarrow -\infty, \quad \|u(t)\| \rightarrow +\infty \quad \text{as } t \rightarrow \tilde{T}^-.\tag{4.36}$$

Now we give a numerical experiment to demonstrate the correctness of Example 1. Let  $\varphi_0 = -2000$ ,  $\varphi_1 = \varphi_2 = \psi_1 = \psi_2 = -1000$  in (4.35), and rewrite Eq. (1.4) as

$$v_t - u_{xx} - v_{xxt} = (u^2)_{xx}, \quad u_t = v.\tag{4.37}$$

Let  $t = j\tau$ , where  $j$  is a nonnegative integer,  $\tau = 0.002$  is the time step length and  $h = 0.05$  is the space step length. By the ordinary difference method

$$v_t[i, j] = \frac{v[i, j] - v[i, j - 1]}{\tau} + o(\tau), \tag{4.38}$$

$$u_{xx}[i, j] = \frac{u[i - 1, j] - 2u[i, j] + u[i + 1, j]}{h^2} + o(h^2), \tag{4.39}$$

$$v_{xxt}[i, j] = \frac{v[i - 1, j] - v[i - 1, j - 1] - 2v[i, j] + 2v[i, j - 1] + v[i + 1, j]}{h^2\tau} - \frac{v[i + 1, j - 1]}{h^2\tau} + o(h^2\tau), \tag{4.40}$$

$$(u^2)_{xx}[i, j] = \frac{u^2[i - 1, j] - 2u^2[i, j] + u^2[i + 1, j]}{h^2} + o(h^2), \tag{4.41}$$

$$u_t[i, j] = \frac{u[i, j + 1] - u[i, j]}{\tau} + o(\tau), \tag{4.42}$$

we get the following difference scheme:

$$\begin{aligned} & -v[i - 1, j] + (2 + h^2)v[i, j] - v[i + 1, j] \\ & = h^2v[i, j - 1] + \tau(u[i - 1, j] - 2u[i, j] + u[i + 1, j]) \\ & \quad + \tau(u^2[i + 1, j] - 2u^2[i, j] + u^2[i - 1, j]) \\ & \quad + (-v[i - 1, j - 1] + 2v[i, j - 1] - v[i + 1, j - 1]), \\ & u[i, j + 1] = u[i, j] + \tau v[i, j]. \end{aligned} \tag{4.43}$$

And by the scheme we get the graphs of the numerical solutions of the corresponding problem (1.4), (1.2), (1.3) at  $j = 0, 6, 10, 13, 15, 20$  and  $30$ , respectively, which show that the solutions  $u(x, t)$  develop a pronounced negative spike gradually at the point  $x = 0$  as  $t \rightarrow \tilde{T}^-$ , see Figs. 1, 2 and 3. And this fact corresponds with (4.36).

**Example 2.** For initial boundary value problem (1.2), (1.3) of the IMBq equation (1.6), if we take  $a = -1$  and initial data

$$\varphi(x) = \varphi_0/2, \quad \psi(x) = \psi_1 \cos \pi x, \tag{4.44}$$

where  $\varphi_0 \geq 2/\sqrt{3}$ ,  $\psi_1 > 0$ . Obviously  $\varphi, \psi \in A$ ,  $\tilde{\varphi} \geq 0$  and  $\tilde{\psi} \geq 0$ , i.e., the assumptions of Theorem 2.1 and assumptions (i) and (ii)(H<sub>6</sub>) of Theorem 2.3 hold. Therefore,

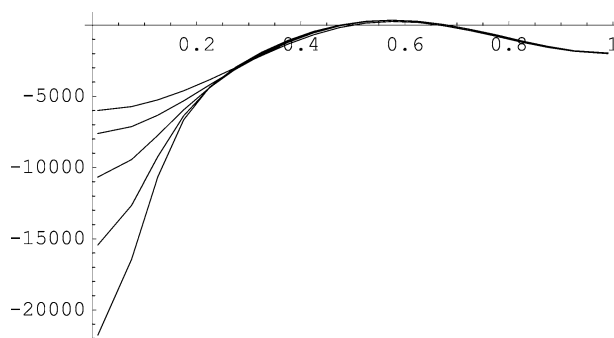


Fig. 1.  $j = 0, 6, 10, 13, 15$ .

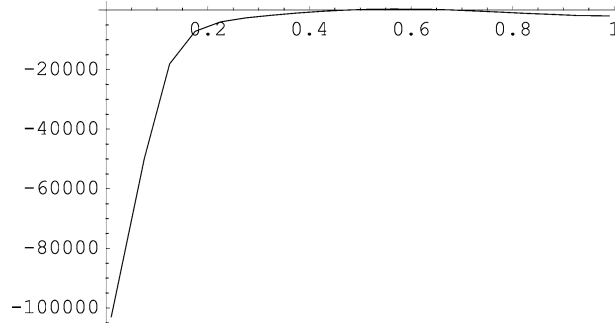


Fig. 2.  $j = 20$ .

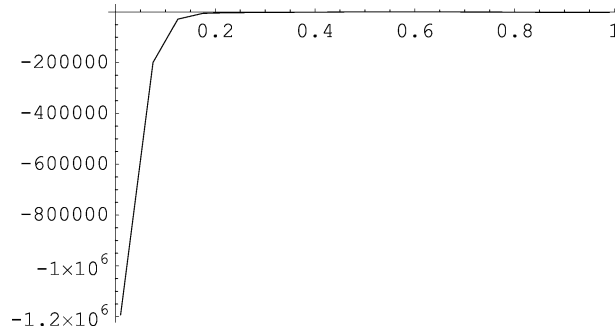


Fig. 3.  $j = 30$ .

the corresponding problem (1.6), (1.2), (1.3) admits a unique generalized solution  $u \in W^{2,\infty}([0, T]; A)$ ,  $0 < T < T^0$ , and  $u$  blows up in finite time  $\tilde{T}$ , i.e.,

$$u(0, t) \rightarrow +\infty, \quad \|u(t)\| \rightarrow +\infty \quad \text{as } t \rightarrow \tilde{T}^-. \tag{4.45}$$

Similarly, take  $\varphi_0 = 2400$ ,  $\psi_1 = 1000$ , and rewrite Eq. (1.6) as

$$v_t - u_{xx} - v_{xxt} = -(u^3)_{xx}, \quad u_t = v. \tag{4.46}$$

Let  $t = j\tau$ , where  $\tau = 0.0005$  and  $h = 0.05$  are respectively the time and space step length. By the same difference scheme as shown in (4.38)–(4.40) and

$$(u^3)_{xx}[i, j] = \frac{u^3[i-1, j] - 2u^3[i, j] + u^3[i+1, j]}{h^2} + o(h^2), \tag{4.47}$$

$$u_t[i, j] = \frac{u[i, j+1] - u[i, j-1]}{2\tau} + o(\tau^2), \tag{4.48}$$

$$\begin{aligned} & -v[i-1, j] + (2+h^2)v[i, j] - v[i+1, j] \\ & = h^2v[i, j-1] + \tau(u[i-1, j] - 2u[i, j] + u[i+1, j]) \\ & \quad - \tau(u^3[i+1, j] - 2u^3[i, j] + u^3[i-1, j]) \\ & \quad + (-v[i-1, j-1] + 2v[i, j-1] - v[i+1, j-1]), \\ u[i, j+1] & = u[i, j-1] + 2\tau v[i, j], \end{aligned} \tag{4.49}$$



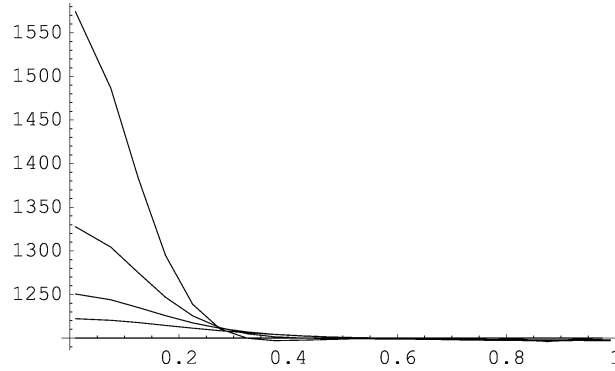


Fig. 4.  $j = 0, 5, 6, 7, 8$ .

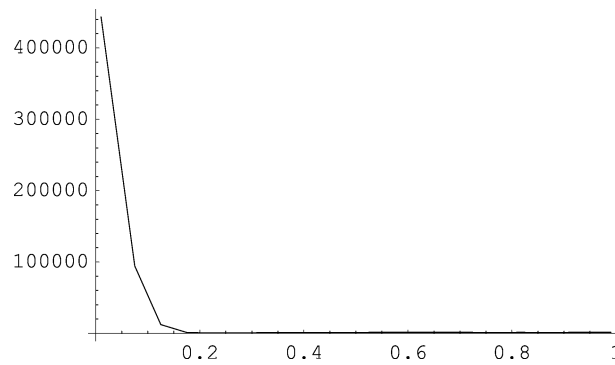


Fig. 5.  $j = 11$ .

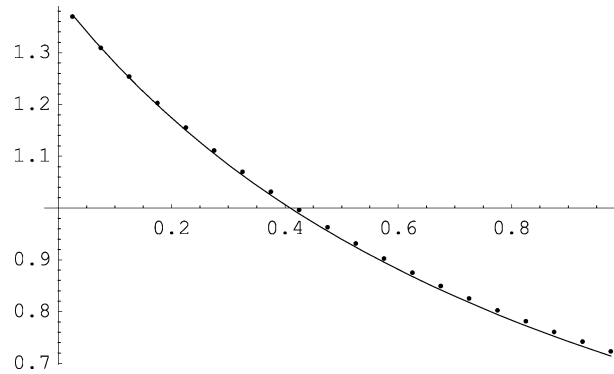
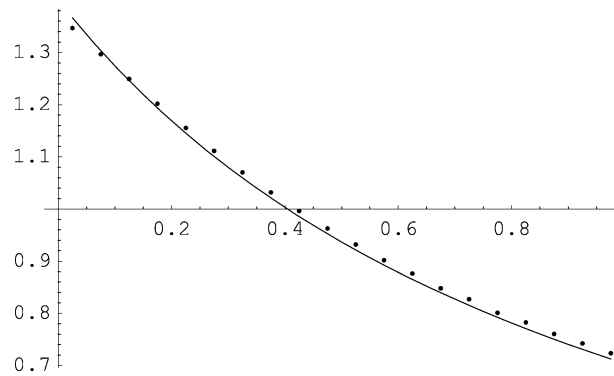
we get the graphs of the numerical solutions of the corresponding problem (1.6), (1.2), (1.3), with  $a = -1$ , at  $j = 0, 5, 6, 7, 8$  and  $11$ , respectively, which show that the solutions  $u(x, t)$  develop a pronounced positive spike gradually at  $x = 0$  as  $t \rightarrow \tilde{T}^-$ , see Figs. 4 and 5. And this fact corresponds with (4.45).

Now, we make another experiment to show how the above-mentioned difference scheme works on a non-blowup solution. By the homogeneous balance method, see [14], we easily find a solitary wave solution

$$u(x, t) = \frac{\sqrt{2}}{1 + x + t} \tag{4.50}$$

of the IMBq equation (1.6), with  $a = -1$ , and

$$\begin{aligned} u_x(0, t) &= -\frac{\sqrt{2}}{(1+t)^2}, & u_x(1, t) &= -\frac{\sqrt{2}}{(2+t)^2}, \\ u(x, 0) &= \frac{\sqrt{2}}{1+x}, & u_t(x, 0) &= -\frac{\sqrt{2}}{(1+x)^2}. \end{aligned} \tag{4.51}$$

Fig. 6.  $j = 10$ .Fig. 7.  $j = 20$ .

By the same difference scheme as shown in (4.38)–(4.40) and (4.47)–(4.49), we get the graphs of the numerical solutions of problem (1.6), (4.51), with  $a = -1$ , at  $j = 10$  and 20, see Figs. 6 and 7. The comparison of the graphs of the numerical solution with the exact solution of problem (1.6), (4.51), with  $a = -1$ , shows that the difference scheme is stable at least in time interval  $[0, 0.01] \supset [0, 0.0055]$ .

### Acknowledgment

The authors are grateful to Professor H.A. Levine for his precious comments and suggestions which helped improving the manuscript.

### References

- [1] J. Berryman, Stability of solitary waves in shallow water, *Phys. Fluids* 19 (1976) 771–777.

- [2] J. Boussinesq, Theorie des ondes et des remous qui se propagent le long d'un canal rectangulaire horizontal, en communiquant au liquide contenu dans ce canal vitesses sensiblement parallèles de la surface au fond, *J. Math. Pures Appl.* 2 (1872) 55–108.
- [3] P.A. Clarkson, M.D. Kruskal, New similarity reductions of the Boussinesq equation, *J. Math. Phys.* 30 (1989) 2201–2213.
- [4] P. Deift, C. Tomei, E. Trubowitz, Inverse scattering and Boussinesq equation, *Comm. Pure Appl. Math.* 35 (1982) 567–628.
- [5] V.K. Kalantarov, O.A. Ladyzhenskaya, The occurrence of collapse for quasilinear equations of parabolic and hyperbolic types, *J. Soviet Math.* 10 (1978) 53–70.
- [6] F. Linares, Global existence of small solution for a generalized Boussinesq equation, *J. Differential Equations* 106 (1993) 257–293.
- [7] H.A. Levine, B.D. Sleeman, A note on the nonexistence of global solutions of initial boundary value problems for the Boussinesq equation  $u_{tt} = 3u_{xxxx} + u_{xx} - 12(u^2)_{xx}$ , *J. Math. Anal. Appl.* 107 (1985) 206–210.
- [8] V.G. Makhankov, Dynamics of classical soliton, *Phys. Rep. C* 35 (1) (1978) 1–128.
- [9] R.K. Miller, A.N. Michel, *Ordinary Differential Equation*, Academic Press, New York, 1982.
- [10] B. Palais, Blowup for nonlinear equations using a comparison principle in Fourier space, *Comm. Pure Appl. Math.* 41 (1988) 165–196.
- [11] W. Walter, *Differential and Integral Inequalities*, Springer, New York, 1978.
- [12] Z. Yang, C. Song, Blowup of solutions for a class of quasilinear wave equations, *Nonlinear Anal.* 28 (1997) 2017–2032.
- [13] Z. Yang, Existence and nonexistence of global solutions to a generalized modification of the improved Boussinesq equation, *Math. Methods Appl. Sci.* 21 (1998) 1467–1477.
- [14] M.L. Wang, Y.B. Zhou, Z.B. Li, Application of homogeneous balance method to exact solutions of nonlinear equations in mathematical physics, *Phys. Lett. A* 213 (1996) 67–75.