

Tegafur-Uracil Plus Gemcitabine Combination Chemotherapy in Patients with Advanced Non-small Cell Lung Cancer Previously Treated with Platinum

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Background: An open-label, single-arm prospective study was conducted to evaluate the efficacy and toxicity of the combination of gemcitabine and tegafur-uracil (UFT) in patients with advanced non-small-cell lung cancer (NSCLC) after the failure of previous platinum-containing regimens.

Patients and Methods: Patients with advanced NSCLC received 200 mg/m² of UFT twice daily from day 1 through 14 plus 900 mg/m² of gemcitabine per day via intravenous injection on days 8 and 15. This regimen was repeated every 3 or 4 weeks.

Results: A total of 40 patients were enrolled. Eleven patients (28%; 95% confidence interval [CI], 15–44%) achieved a partial response. The median progression-free survival, median overall survival, and 1-year survival rate were 4.0 months (95% CI, 3.3–6.7 months), 12.6 months (95% CI, 7.0–22.3 months), and 51% (95% CI, 33–66%), respectively. The most common grade 3 or 4 toxicity was neutropenia (38%; 95% CI, 23–54%) and the rate of grade 3 or 4 nonhematologic toxicity remained at less than 5%. A multivariate Cox model showed that adenocarcinoma, nonsmoking history, and good performance status predicted better survival.

Conclusions: Combination chemotherapy with UFT and gemcitabine showed a promising effectiveness and acceptable toxicity for patients with platinum-resistant NSCLC.

Key Words: Adenocarcinoma, Advanced nonsmall-cell lung cancer, Gemcitabine, Nonsmoking, Second-line chemotherapy, Tegafur-uracil.

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Systemic chemotherapy plays an important role in the treatment of non-small cell lung cancer (NSCLC), and in the past decade combination chemotherapy regimens including platinum have become the standard first-line treatments for advanced NSCLC.^{1–7} Several meta-analyses, however, have found that these regimens confer only a limited survival benefit.⁸ If a first-line therapy fails, single agents, including docetaxel,^{9,10} pemetrexed,¹¹ and erlotinib,¹² can be administered. A response rate of more than 20% is sufficient to justify consideration of a regimen for second-line use, especially in patients with good performance status.

Gemcitabine is one of the most active new drugs against NSCLC. It has antitumor activity comparable to that of cisplatin with etoposide but produces less toxicity.^{13–15} In vitro, gemcitabine shows significant activity against platinum-resistant cell lines¹⁶ and the reported response rates of gemcitabine monotherapy in the second-line treatment is 6 to 21% with median survival time ranging from 4 to 7.9 months.^{17–20} Tegafur-uracil (UFT) is an oral agent composed of a 1:4 molar ratio of tegafur, a prodrug that is converted to 5-fluorouracil (5-FU), and uracil, which elevates serum levels of 5-FU by inhibiting its enzymatic degradation. Although no data of single agent UFT is available in patients with advanced NSCLC, a recent randomized study in 984 patients with stage I adenocarcinoma has confirmed that postoperative adjuvant therapy with UFT increases overall survival.²¹ Both gemcitabine and 5-FU are antimetabolites, but they inhibit DNA synthesis via different pathways. Gemcitabine most likely exerts its cytotoxic effects through phosphorylation by deoxycytidine kinase into gemcitabine triphosphate, which is then incorporated into DNA; in contrast, 5-FU inhibits DNA synthesis through the binding of its derivative, fluorodeoxy monophosphate, to thymidylate synthase. These different antitumor mechanisms suggest a potential synergism between

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gemcitabine and 5-FU, which has been observed in vitro studies of various cancer cell lines.²²

Our previous phase I study in 24 patients with advanced NSCLC reported that the combination of UFT and gemcitabine is both well-tolerated and effective. The most frequent toxicities of this regimen were hematologic. The objective response rates were 45% (5 of 11 patients) in chemo-naïve patients and 23% (3 of 13 patients) in patients previously treated with a platinum-containing regimen. The most appropriate schedule and dosing were determined to be 200 mg/m² twice daily on days 1 through 14 with 900 mg/m² of gemcitabine on days 8 and 15.²³ This study was then followed by a phase II study in 44 chemo-naïve patients with advanced NSCLC in which the above schedule of UFT and gemcitabine achieved a very high response rate of 41% (95% confidence interval [CI], 26–55%).²⁴ In the present study, we examined the efficacy and safety of this combination as second-line chemotherapy in patients with platinum-resistant NSCLC.

PATIENTS AND METHODS

Patient Eligibility

Patients were eligible for enrollment if they had histologically or cytologically confirmed stage IIIB or IV NSCLC; with measurable lesions; previously treated with one or more regimens, at least one of which is platinum-based regimens; an Eastern Cooperative Oncology Group performance status of zero to two; and a life expectancy of at least 3 months. The eligibility criteria regarding organ function were as follows: a leukocyte count of 4000 to 12,000/mm³; a platelet count of 100,000/mm³ or greater; a hemoglobin level of 9.0 g/dL or greater; a serum bilirubin level less than 1.5 mg/dL; serum levels of aspartate aminotransferase and alanine aminotransferase of twice the upper limit or less; a serum creatinine level of 1.5 mg/dL or less. For pretreatment tumor-staging, all patients underwent computed tomography of the thorax and upper abdomen. Metastatic brain tumors were evaluated by either magnetic resonance imaging or enhanced computed tomography, whereas metastases to bone were evaluated by either radioisotopic bone scan or FDG-PET. All imaging tests were required to be conducted within 4 weeks before patient registration. Any patients who had severe complications, concomitant other malignancies, pleural effusion necessitating treatment, or symptomatic cerebral involvement were excluded from the study. Patients were enrolled at least 4 weeks after their most recent previous treatment. Written informed consent was required before enrollment.

Treatment Schedule

The present study used the same schedule in a previous phase II study for chemo-naïve patients.²⁴ Patients received UFT (200 mg/m²) twice daily orally before meals on days 1 through 21 plus gemcitabine (900 mg/m² on days 8 and 15) by intravenous infusion over 30 minutes. On the day the gemcitabine was to be administered, a complete blood count was obtained; gemcitabine was administered only if the leukocyte count was at least 2000/mm³ and the platelet count was at least 70,000/mm³. If these requirements were not met, administration of gemcitabine was postponed for a maximum

of 4 days. The treatment regimen was repeated every 3 or 4 weeks and at least two cycles were scheduled to be administered unless disease progression or an unacceptable toxicity occurred. The next cycle was started only if the leukocyte count was at least 3000/mm³ and if the other eligibility criteria regarding organ function were satisfied. The dose of gemcitabine was reduced to 800 mg/m² either if grade 4 hematotoxicities or grade 3 nonhematotoxicities occurred or if the administration of gemcitabine on day 15 was skipped in a previous cycle.

Evaluation of Response and Toxicity

Objective tumor responses were based on findings of computed tomography and were evaluated with World Health Organization criteria. The response status of all patients considered to be showing a complete response or partial response (PR) was confirmed the extramural review meeting. Any adverse events were graded according to the National Cancer Institute-Common Toxicity Criteria, version 2.0.

Statistical Analysis

This study was designed as a multicenter study among three participating institutions. The primary end point was the objective response rate, defined as the percentage of patients with a best response of complete response or PR among all eligible patients, and its 95% CI was based on the exact binomial distribution. The sample size was determined as follows. Assuming that a response rate of 25% in eligible patients would indicate potential usefulness of the combination of UFT and gemcitabine, whereas a rate of 10% would be the lower limit of interest, and $\alpha = 0.05$ (one-side) and $\beta = 0.20$, the estimated required number of patients was 38.²⁵ In light of the possibility of patients becoming ineligible or providing no data for evaluation, we decided the sample size would be 40 registered patients. No interim analysis was planned. Secondary endpoints were toxicity, progression-free survival, and overall survival. The duration of progression-free survival or overall survival was measured from the date of registration to the date of event occurrence or last follow-up. Survival distribution was estimated with the method of Kaplan-Meier and confidence intervals were based on the Greenwood's formula. Pairs of survival curves were compared by the log-rank test.

An exploratory multivariate Cox model was applied to examine possible associations between baseline factors and overall survival. After screening out candidate factors by the log-rank test, a multivariate Cox model with a backward stepwise approach was performed to determine the final model that identifies independent prognostic factors. The proportional hazard assumption for each covariate was evaluated by the log-cumulative hazard plot and the Schoenfeld residuals.²⁶

All *p*-values reported are two-tailed, and statistical analyses were performed with the SAS for Windows, version 9.1.

RESULTS

The initial planned accrual period was 3 years. However, several studies in patients with previously treated NSCLC had been conducted concurrently with our study and the number of such studies was indeed more than

anticipated at the commencement of this study, resulting in a very slow accrual. Therefore, enrollment was extended and the planned cohort of 40 patients was registered from July 2000 through December 2005. All the patients met the eligibility criteria and received the study treatment. Thus, treatment delivery, efficacy and toxicity were evaluated in the 40 patients.

Patient Characteristics

Patient characteristics are summarized in Table 1. Median age was 65 years (range, 45–80). Of the 40 patients, 26 (65%) were male, 15 (38%) had a performance status of 2, 30 (75%) had metastatic disease, and 30 (75%) had adenocarcinoma. Thirty-three (83%) had received cisplatin-containing regimens, 26 (65%) had received docetaxel or paclitaxel or both, 16 (40%) had received topoisomerase I or II inhibitors (irinotecan or amrubicin) and 8 (20%) had received epidermal growth factor receptor tyrosine kinase inhibitor (EGFR-TKI). A total of 27 (68%) patients had received two or more regimens previously.

Treatment Delivery

A total of 164 treatment cycles were administered to the 40 patients. The median number of administered cycles was 3 (range, 1–10). Thirty-seven (93%) patients completed 2 cycles of the protocol treatment, whereas 3 patients discontinued treatment before completing 2 cycles because of progression of tumor (1 patient), patient refusal (1 patient), or allergic reaction (1 patient). The administration of gemcitab-

TABLE 1. Patients Characteristics

Characteristics	No. of Patients
Median age (range), yr	65 (45–80)
Sex	
Male/female	26/14
Performance status	
0/1/2	12/13/15
Stage	
IIIB/IV	10/30
Tumor histologic type	
Adenocarcinoma/others	30/10
Smoking history	
No/yes	15/25
No. of previous chemotherapy regimens	
1/2/3	13/22/5
Response to previous chemotherapy	
Sensitive/resistant	17/23
Previously administered drugs	
Cisplatin/carboplatin/both	25/7/8
Paclitaxel/docetaxel/both	8/15/3
Vinorelbine	13
Irinotecan/amrubicin	11/5
Pemetrexed	2
EGFR-TKI	8
Previous surgery	7
Previous thoracic irradiation	16

TABLE 2. Hematologic and Nonhematologic Toxicities in 40 Patients

Toxicity	Grade		Total (%)
	3	4	
Leukopenia	12	2	14 (35)
Neutropenia	7	8	15 (38)
Anemia	9	1	10 (25)
Thrombocytopenia	9	0	9 (23)
AST/ALT increased ^a	2	0	2 (5)
Anorexia	2	0	2 (5)
Diarrhea	1	0	1 (3)
Pneumonitis	1	0	1 (3)

^a ALT/AST, alanine aminotransferase/aspartate aminotransferase.

ine on day 15 was skipped in 10 (6%) of the 164 cycles. Doses were decreased to 800 mg/m² in 6 patients (15%).

Toxicity

The main toxicities were hematologic (Table 2). Grade 3 or 4 neutropenia was observed in 38% of the patients and grade 4 in 20%. Grade 4 anemia was observed in 1 patient, but grade 4 thrombocytopenia did not occur. For nonhematotoxicity, there were 4 cases with grade 3 (2 for anorexia, 1 for diarrhea, and 1 for pneumonitis) whereas no patient experienced grade 4 events.

Tumor Response

Of the 40 patients, 11 showed a PR, resulting in an objective response rate of 28% (95% CI, 15–44%). Twenty-two patients (55%) showed no change, 5 (13%) had progressive disease, and 2 were not evaluable.

Progression-Free and Overall Survival

Median progression-free survival and overall survival were 4.0 months (95% CI, 3.3–6.7 months) and 12.6 months (7.0–22.3 months), respectively (Fig. 1). Thirty of the 40 patients were confirmed to have died. The remaining 10 patients were lost to follow-up, with a median follow-up time of 9.0 months (range, 1.8–55.9 months). The overall survival rates at 6, 12, and 24 months were 66% (95% CI, 48–78%), 51% (33–66%), and 23% (10–39%), respectively.

To investigate the association between the baseline characteristics and overall survival, a planned exploratory analysis was performed. Table 3 shows the result of univariate analysis with the log-rank test for each variable. Characteristics significantly correlated with overall survival were the following five features: sex, performance status, tumor histologic type, smoking history, and response to previous chemotherapy (Fig. 2). To identify independent prognostic factors that were associated with survival, the Cox regression with backward elimination technique at 5% significance level was employed among these five significant factors. This resulted in three factors being retained in the final model: performance status, tumor histologic type and smoking history (Table 4). Namely, good performance status, adenocarcinoma, and nonsmoking history could independently predicted better survival outcome. Although adenocarcinoma

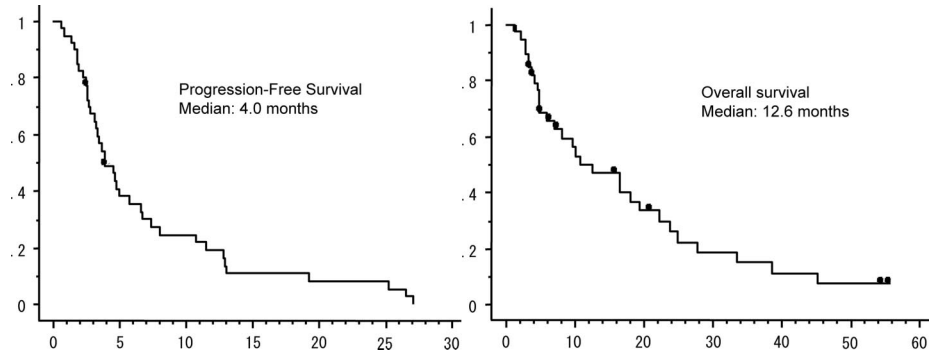


FIGURE 1. Kaplan-Meier curves for progression-free survival and overall survival.

TABLE 3. Association of Baseline Characteristics with Overall Survival (Univariate Analysis [Log-Rank test])

	<i>p</i>
Age (>65 vs ≤65)	0.4630
Sex (female vs male)	0.0339*
Performance status (2 vs 0/1)	<0.0001*
Stage (IV vs IIIB)	0.8791
Histologic type (adenocarcinoma vs others)	<0.0001*
Smoking history (no vs yes)	0.0001*
Number of previous chemotherapy (1 vs 2/3)	0.6767
Response to previous chemotherapy (sensitive vs refractory)	0.0348*

*Statistically significant at 5% level.

TABLE 4. Association of Baseline Characteristics with Overall Survival (Multivariate Cox Analysis after the Backward Stepwise Selection)

	HR	95% CI of HR	<i>p</i>
Performance status (2 vs 0/1)	3.823	(1.53–9.53)	0.0040
Histologic type (adenocarcinoma vs others)	0.104	(0.03–0.36)	0.0004
Smoking history (no vs yes)	0.371	(0.16–0.87)	0.0257

HR, hazard ratio; CI, confidence interval.

DISCUSSION

To date, three phase III trials to develop second-line chemotherapies have been performed in patients with advanced NSCLC.^{8–10} Because of the results of these studies, docetaxel or pemetrexed are now considered the most effective single agents for chemotherapy after the failure of the first-line platinum-based regimens. On the hand, several single-arm phase II studies have found that combination regimens have possible benefits on survival outcome in the

and nonsmoking history may be predictive factors of EGFR-TKI efficacy according to recent studies and indeed several patients received it after failure of UFT/gemcitabine, the same result holds in light of the possible effect of post EGFR-TKI use (Table 5).

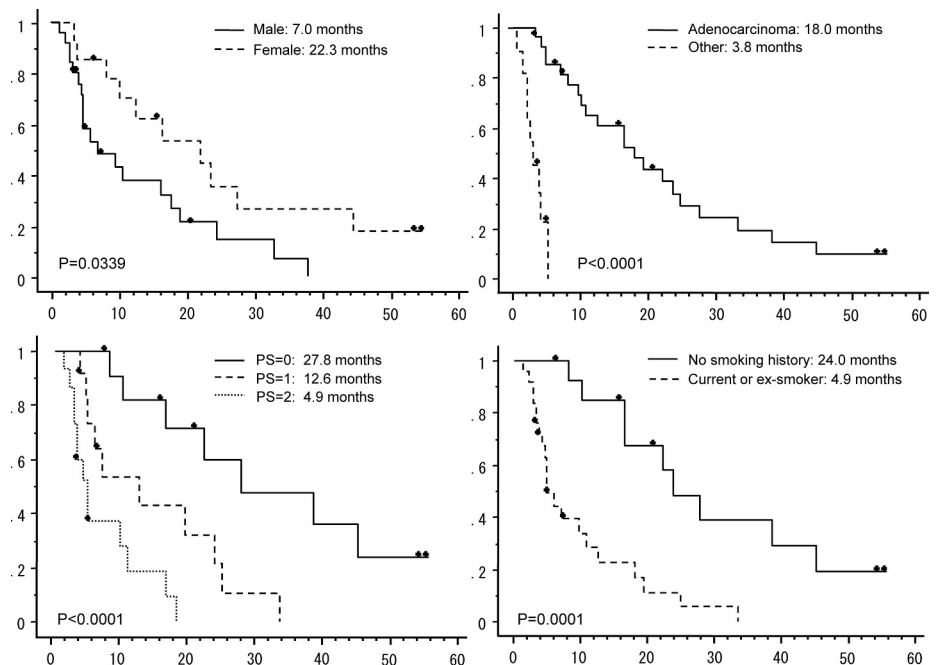


FIGURE 2. Kaplan-Meier curves for overall survival according to four factors including sex, performance status, tumor histologic type, and smoking history.

TABLE 5. Association of Baseline Characteristics with Overall Survival (Multivariate Analysis Adjusted with “EGFR-TKI Use” as a Time-Dependent Covariate)

	HR	95% CI of HR	<i>p</i>
Performance status (2 vs 0/1)	3.741	(1.50–9.34)	0.0047
Histologic type (adenocarcinoma vs others)	0.103	(0.03–0.36)	0.0004
Smoking history (no vs yes)	0.363	(0.15–0.86)	0.0227
EGFR-TKI-use after failure (yes vs no)	0.713	(0.18–2.82)	0.6296

HR, hazard ratio; CI, confidence interval.

second-line treatment of advanced NSCLC. Although four randomized trials (one phase III and three phase II studies) of second-line therapy have compared some of such the experimental combination regimens with a standard single agent,^{27–30} all failed to demonstrate a survival benefit over single agents. One important reason for the negative results was frequent severe toxicities in the combination regimens, which easily led to poor compliance of the treatment. Therefore, the development of combinations with lesser toxicity would be a necessary improvement over standard single-agent therapies for previously treated patients with NSCLC. In this regard, particularly notable was that the incidence of adverse effects of our protocol regimen was much lower than that of other second-line combination treatments. The antitumor activity shown by UFT and gemcitabine was satisfactory with a high response rate of 28%. Furthermore, its efficacy is quite comparable to that of other combinations examined in previous studies. Thus, given its favorable toxicity, the combination of UFT and gemcitabine warrants further phase III evaluation with the standard control arm of docetaxel or pemetrexed monotherapy.

We obtained an impressive result when compared with the recent Taiwanese study on the same combination with the reported response rate of 15.6%.³¹ The difference may be because their daily dose of UFT was half of ours. In addition, gemcitabine was infused on days 1 and 8 in their regimen whereas on days 8 and 15 in ours. The preclinical data indicated that prior 5-FU followed by gemcitabine can yield higher response rate than gemcitabine followed by 5-FU.²²

An exploratory analysis by multivariate Cox model showed that good performance status, adenocarcinoma and nonsmoking history can predict increased survival. Although these factors are recognized as key factors for a good response and survival prolongation with EGFR-TKI^{32,33} and several patients in our study were treated with EGFR-TKI after the failure of UFT and gemcitabine, our analysis concluded that the survival benefits associated with adenocarcinoma and nonsmoking history are not biased by EGFR-TKI use. It is unclear whether these are prognostic factors for survival or predictive factors for efficacy of UFT/gemcitabine, but at least it is of value to recognize that these factors may have possible prognostic effect regardless of the EGFR-TKIs; the percentages of patients with adenocarcinoma and nonsmoking history should be carefully considered when interpreting the results of clinical trials.

In conclusion, following the earlier trial of UFT and gemcitabine in chemo-naïve patients, the present study has

demonstrated that the same combination is also promising as a second-line treatment in patients with NSCLC previously treated with platinum-containing regimens. Good performance status, adenocarcinoma, and nonsmoking history are associated with longer survival. Further study of this second-line chemotherapy in advanced NSCLC is highly warranted.

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