

On Convergence of Hermite–Fejér Interpolation Polynomials

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Communicated by Doron S. Lubinsky

Received April 30, 1993; accepted in revised form March 14, 1994

Hermite–Fejér interpolation operators based on the zeros of Jacobi polynomials, in general, are not uniformly convergent for all $f \in C[-1, 1]$. In this work we investigate weighted approximation by such operators with Jacobi weight functions. A necessary and sufficient condition for the weight function is given such that these interpolation polynomials converge in the weighted maximum norm for all $f \in C[-1, 1]$. © 1995 Academic Press, Inc.

1. INTRODUCTION

Let $\{x_k\}_{k=1}^n$ be the zeros of the Jacobi polynomial $P_n^{(\alpha, \beta)}$ of degree n , where $\alpha, \beta > -1$. For later purposes we normalize the Jacobi polynomial with $P_n^{(\alpha, \beta)}(1) = \binom{n+\alpha}{n}$. The so-called Hermite–Fejér interpolation polynomial $H_n^{(\alpha, \beta)}(f, \cdot)$ of degree at most $2n - 1$ is defined by

$$H_n^{(\alpha, \beta)}(f, x_k) = f(x_k), \quad H_n^{(\alpha, \beta)'}(f, x_k) = 0, \quad k = 1, 2, \dots, n.$$

for $f \in C[-1, 1]$. In the following we will use the following notation: $\|\cdot\|$ denotes the sup-norm on the whole interval $[-1, 1]$, and $\|\cdot\|_I$ or $\|\cdot\|_{[c, d]}$ (for $I = [c, d]$, $-1 \leq c < d \leq 1$) will be the sup-norm with respect to the subinterval I . Furthermore, $\|\cdot\|_{L_p}$ will denote the L_p -norm with respect to the interval $[-1, 1]$.

It is known (e.g., see [8]) that

$$\|H_n^{(\alpha, \beta)}(f) - f\| \rightarrow 0, \quad \forall f \in C[-1, 1]$$

is equivalent to the condition $\alpha, \beta < 0$. On the other hand, if we consider subsets of $[-1, 1]$, we have the following (see [8]): for all $\alpha, \beta > -1$ and all closed subintervals $I \subset (-1, 1)$ fixed,

$$\|H_n^{(\alpha, \beta)}(f) - f\|_I \rightarrow 0, \quad \forall f \in C[-1, 1].$$

This means that divergence only happens near the points ± 1 . The following statement characterizes the behaviour of Hermite–Fejér interpolation polynomials via L_p norms for $0 < p \leq \infty$ (see [5]):

$$\|H_n^{(\alpha, \beta)}(f) - f\|_{L_p} \rightarrow 0 \quad \forall f \in C[-1, 1]$$

if and only if

$$\max\{\alpha, \beta\} < \frac{1}{p}. \quad (1.1)$$

A different approach is to consider the convergence in weighted spaces, e.g., with Jacobi weights, because the weights vanish at the end points ± 1 . It may be expected that by a suitable choice of weight function these operators turn out to have properties similar to those in the case $-1 < \alpha, \beta < 0$.

Put

$$w_{a,b}(x) = (1-x)^a (1+x)^b, \quad a, b \geq 0,$$

and

$$\|f\|_{a,b} = \max_{-1 \leq x \leq 1} |w_{a,b}(x)f(x)|.$$

Háy and Vértesi [3] showed that if $a = \alpha + 1/2$, $b = \beta + 1/2$, then for all $f \in C[-1, 1]$

$$\|H_n^{(\alpha, \beta)}(f) - f\|_{a,b} \rightarrow 0. \quad (1.2)$$

In the present paper we will give a necessary and sufficient condition on a and b such that (1.2) is true for all $f \in C[-1, 1]$. First we have the following

THEOREM 1.1. *For $\alpha, \beta > -1$, and $a, b \geq 0$, in order that*

$$\lim_{n \rightarrow \infty} \|w_{a,b}(H_n^{(\alpha, \beta)}(f) - f)\|_{[0,1]} = 0$$

holds for all functions in $C[-1, 1]$ it is sufficient that $\alpha < a$.

Thus, it follows from this theorem that (1.2) holds for each $f \in C[-1, 1]$ if $\alpha < a$ and $\beta < b$. On the other hand, in the case $\alpha \geq a$ or $\beta \geq b$, (1.2) no longer holds for some $f \in C[-1, 1]$. Hence, it is natural to ask what is the situation for these α and β . The following theorem answers this question in part.

Let Π_n be the set of algebraic polynomials of degree at most n , and let

$$E_n(f)_{a,b} = \inf_{P \in \Pi_n} \|f - P\|_{a,b}$$

be the best approximation constant with respect to Π_n and the norm $\|\cdot\|_{a,b}$. If $a=b=0$ we shall write $E_n(f)$ instead of $E_n(f)_{0,0}$. Using this notation we have

THEOREM 1.2. *Let $\alpha > a$ and $f \in C[-1, 1]$ satisfy*

$$E_n(f)_{a,0} = o(n^{-2\alpha+2a}).$$

Then

$$\lim_{n \rightarrow \infty} \|w_{a,0}(H_n^{(\alpha,\beta)}(f) - f)\|_{[0,1]} = 0 \quad (1.3)$$

if and only if

$$\int_{-1}^1 (f(t) - f(1)) w'_{\alpha-j,\beta+1}(t) dt = 0, \quad j = 0, 1, \dots, [\alpha - a]. \quad (1.4)$$

Remark 1.3. (1) If $[\alpha - a] \neq \alpha - a$ or $a > 0$, then condition (1.4) is equivalent to

$$\int_{-1}^1 f(t) w'_{\alpha-j,\beta+1}(t) dt = 0, \quad j = 0, 1, 2, \dots, [\alpha - a].$$

(2) Denote by $\omega_r(f, \cdot)$ the r th modulus of smoothness of f with respect to the norm $\|\cdot\|$. In [7] Szabados proved that $\omega(f^{[2\alpha]}, h) = o(h^{2\alpha - [2\alpha]})$; $f^{(j)}(1) = 0$, $j = 1, 2, \dots, [\alpha]$; and (1.4) imply (1.3) with $w_{a,0} = 1$ (that means $a = 0$). As $E_n(f) = O(\omega_r(f, 1/n))$, we see that the condition $f^{(j)}(1) = 0$, $j = 1, 2, \dots, [\alpha]$, is not necessary.

(3) Let $\beta \geq b$ and $E_n(f)_{0,b} = o(n^{2\beta+2b})$. Then

$$\lim_{n \rightarrow \infty} \|w_{0,b}(H_n^{(\alpha,\beta)}(f) - f)\|_{[-1,0]} = 0$$

is equivalent to

$$\int_{-1}^1 (f(t) - f(-1)) w'_{\alpha+1,\beta-j}(t) dt = 0, \quad j = 0, 1, \dots, [\beta - b].$$

From Theorems 1.1 and 1.2 we get

THEOREM 1.4. *For $\alpha, \beta > -1$, in order that (1.2) holds for all f in $C[-1, 1]$ it is necessary and sufficient that $\alpha < a$ and $\beta < b$.*

It is interesting to see that, by Theorem 1.4, (1.1) actually implies

$$\|H_n^{(\alpha,\beta)}(f) - f\|_{1/p,1/p} \rightarrow 0, \quad \forall f \in C[-1, 1].$$

Hence, for those polynomials L_p convergence is equivalent to convergence in the weighed maximum norm with $w(x) = (1 - x^2)^{1/p}$.

Furthermore, from Theorem 1.2 we have now the following result, some special cases of which can be found in [2, 4, 6, 7, 10, and 11].

THEOREM 1.5. *For $\alpha, \beta > -1$ we have the following equivalent statements:*

(1) *if $\alpha = a, \beta < b$, then*

$$\|H_n^{(\alpha, \beta)}(f) - f\|_{a, b} \rightarrow 0 \Leftrightarrow \int_{-1}^1 (f(t) - f(1)) w'_{\alpha, \beta+1}(t) dt = 0,$$

(2) *if $\alpha < a, \beta = b$, then*

$$\|H_n^{(\alpha, \beta)}(f) - f\|_{a, b} \rightarrow 0 \Leftrightarrow \int_{-1}^1 (f(t) - f(-1)) w'_{\alpha+1, \beta}(t) dt = 0,$$

(3) *if $\alpha = a$ and $\beta = b$, then*

$$\|H_n^{(\alpha, \beta)}(f) - f\|_{a, b} \rightarrow 0$$

if and only if

$$\int_{-1}^1 (f(t) - f(1)) w'_{\alpha, \beta+1}(t) dt = 0$$

and

$$\int_{-1}^1 (f(t) - f(-1)) w'_{\alpha+1, \beta}(t) dt = 0.$$

2. LEMMAS

We write throughout this paper

$$\omega^{(\alpha, \beta)}(\theta) := \left| \sin \frac{\theta}{2} \right|^{2\alpha+1} \left| \cos \frac{\theta}{2} \right|^{2\beta+1}.$$

Furthermore, the conjugate function of $g \in C_{2\pi}$ is given by

$$\overline{g}(\theta) := \frac{1}{2\pi} \int_0^\pi \frac{g(\theta+u) - g(\theta-u)}{\tan(u/2)} du.$$

From this definition one can easily deduce that, if $g \in C_{2\pi}$ is an odd function, then

$$\overline{g(\theta)} = \frac{1}{\pi} \int_0^\pi \frac{g(u) \sin u}{\cos \theta - \cos u} du,$$

and if $g \in C_{2\pi}$ is even then

$$\overline{g(\theta)} = \frac{\sin \theta}{\pi} \int_0^\pi \frac{g(u)}{\cos \theta - \cos u} du.$$

The following estimates can be found in [8] and [11].

LEMMA 2.1. *We have*

$$\omega^{(\alpha, \beta)}(\theta) (P_n^{(\alpha, \beta)}(\cos \theta))^2 \leq \frac{C}{n}, \quad \forall \theta \in \left[\frac{c}{n}, \pi - \frac{c}{n} \right], \quad (2.1)$$

and

$$|(\omega^{(\alpha, \beta)}(\theta))^{-1/2} G_n^{(\alpha, \beta)}(\theta)| \leq \frac{C}{\sqrt{n}}, \quad \forall \theta \in \left[\frac{c}{n}, \pi - \frac{c}{n} \right], \quad (2.2)$$

where

$$G_n^{(\alpha, \beta)}(\theta) = \overline{P_n^{(\alpha, \beta)}(\cos \theta) \omega^{(\alpha, \beta)}(\theta)}.$$

Furthermore, let $M_n := \{\theta \mid \theta = \arccos x, P_n^{(\alpha, \beta)'}(x) = 0\}$, then there exists $C > 0$, which does not depend on n and θ , such that

$$\frac{C^{-1}}{n} \leq \omega^{(\alpha, \beta)}(\theta) (P_n^{(\alpha, \beta)}(\cos \theta))^2 \leq \frac{C}{n}, \quad \forall \theta \in M_n. \quad (2.3)$$

The following lemma was essentially given in [12].

LEMMA 2.2. *For $T \in \Pi_{2n-1}$ the following identity holds:*

$$\begin{aligned} & H_n^{(\alpha, \beta)}(T, x) - T(x) \\ &= \frac{\pi n! \Gamma(n + \alpha + \beta + 1)}{\Gamma(n + \alpha + 1) \Gamma(n + \beta + 1)} \\ & \quad \times \left\{ (P_n^{(\alpha, \beta)}(x))^2 \overline{t'(\theta) \omega^{(\alpha, \beta)}(\theta)} - t'(\theta) P_n^{(\alpha, \beta)}(x) G_n^{(\alpha, \beta)}(\theta) \right\}. \end{aligned}$$

Here $\theta = \arccos x$, $t(\theta) = T(\cos \theta)$, and Γ is the gamma function.

Proof. For brevity we write $H = H_n^{(\alpha, \beta)}$, $P = P_n^{(\alpha, \beta)}$, and

$$C_n = n! \Gamma(n + \alpha + \beta + 1) \{ \Gamma(n + \alpha + 1) \Gamma(n + \beta + 1) \}^{-1}$$

The Hermite interpolation formula implies

$$H(T, x) - T(x) = -P(x) \sum_{k=1}^n T'(x_k) (1 - x_k^2) \frac{P(x)}{x - x_k} \frac{1}{(1 - x_k^2) (P'(x_k))^2}.$$

Let $\lambda_{n,k} := \lambda_{n,k}(\alpha, \beta)$ be the coefficients of the Gauss–Jacobi quadrature formula. Then

$$2^{1+\alpha+\beta} \frac{1}{(1 - x_k^2) (P'(x_k))^2} = C_n \lambda_{n,k}.$$

As for x fixed, the function

$$P(x) \frac{T'(u)(1 - u^2) - T'(x)(1 - x^2)}{x - u} + T'(x)(1 - x^2) \frac{P(x) - P(u)}{x - u}$$

is an algebraic polynomial in u of degree at most $2n - 1$, and $P(x_k) = 0$, $k = 1, 2, \dots, n$, we obtain

$$\begin{aligned} & H(T, x) - T(x) \\ &= \frac{-C_n}{2^{1+\alpha+\beta}} \left\{ P^2(x) \int_{-1}^1 \frac{T'(u)(1 - u^2) - T'(x)(1 - x^2)}{x - u} (1 - u)^\alpha (1 + u)^\beta du \right. \\ &\quad \left. + P(x) T'(x)(1 - x^2) \int_{-1}^1 \frac{P(x) - P(t)}{x - u} (1 - u)^\alpha (1 + u)^\beta du \right\}. \end{aligned}$$

Now letting $u = \cos \eta$ and $x = \cos \theta$ and making use of the representation of the conjugate function mentioned at the beginning of this section, we deduce from above the assertion of the lemma. ■

LEMMA 2.3. *Suppose $0 \leq r_1 < \alpha + 3/2$, $0 \leq r_2 < \beta + 3/2$, and $r \geq 0$. Let $T \in \Pi_n$, $t(\theta) = T(\cos \theta)$. Then, for $0 \leq \theta \leq \pi/2$,*

$$\begin{aligned} & \frac{1}{\omega^{(\alpha, \beta)}(\theta)} \overline{t'(\theta) \omega^{(\alpha, \beta)}(\theta)} \\ &= \frac{1}{4\pi} \int_0^{\theta/2} \frac{\Delta_n^2 t(\theta)}{\sin^2(u/2)} du \\ &\quad + \frac{1}{\pi \omega^{(\alpha, \beta)}(\theta)} \sum_{j=0}^m (1 - \cos \theta)^j \int_{3\theta/2}^{\pi} \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{(1 - \cos u)^{j+1}} du \\ &\quad + O(\|\varphi T'\|_{r_1, r_2} \theta^{-2r_1} + \|\varphi T'\|_{r, r_2} \theta^{-2r}), \end{aligned}$$

where $m > \alpha - r - 1/2$, $\varphi(x) = \sqrt{1-x^2}$, and A_h^r is the r th symmetric difference operator with stepsize h .

Proof. As $t'(\theta) \omega^{(\alpha, \beta)}(\theta)$ is an odd function of θ , we have

$$\begin{aligned} \overline{t'(\theta) \omega^{(\alpha, \beta)}(\theta)} &= \frac{1}{\pi} \int_0^\pi \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{\cos \theta - \cos u} du \\ &=: \frac{1}{\pi} \left\{ \int_0^{\theta/2} + \int_{\theta/2}^{3\theta/2} + \int_{3\theta/2}^\pi \right\}. \end{aligned}$$

We note that there exists $C > 0$ such that $C^{-1}u^{2\alpha+1} \leq \omega^{(\alpha, \beta)}(u) \leq Cu^{2\alpha+1}$ for all u satisfying $0 \leq u \leq \pi/2$ (in short, $\omega^{(\alpha, \beta)}(u) \sim u^{2\alpha+1}$). Thus, since $|t'(\theta)| = |T'(x)| \varphi(x)$, we have

$$\begin{aligned} \left| \int_0^{\theta/2} \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{\cos \theta - \cos u} du \right| &\leq C \|\varphi T'\|_{r_1, r_2} \int_0^{\theta/2} \frac{u^{2\alpha+2-2r_1}}{\theta^2} du \\ &\leq C \|\varphi T'\|_{r_1, r_2} \cdot \theta^{2\alpha+1-2r_1}. \end{aligned}$$

Since for $0 < \theta \leq \pi/2$,

$$|\omega^{(\alpha, \beta)}(u) - \omega^{(\alpha, \beta)}(\theta)| \leq C |u - \theta| \theta^{2\alpha}, \quad \forall u \in \left[\frac{\theta}{2}, \frac{3\theta}{2} \right],$$

for the second integral we have the relation

$$\begin{aligned} &\int_{\theta/2}^{3\theta/2} \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{\cos \theta - \cos u} du \\ &= \omega^{(\alpha, \beta)}(\theta) \int_{\theta/2}^{3\theta/2} \frac{t'(u) \sin u}{\cos \theta - \cos u} du + O\left(\theta^{2\alpha} \int_{\theta/2}^{3\theta/2} \frac{|t'(u)| \sin u}{\theta + u} du\right) \\ &= \omega^{(\alpha, \beta)}(\theta) \int_{\theta/2}^{3\theta/2} \frac{t'(u) \sin u}{\cos \theta - \cos u} du + O(\theta^{2\alpha+1-2r_1} \|\varphi T'\|_{r_1, r_2}). \end{aligned}$$

On the other hand, using the formula

$$\frac{\sin u}{\cos \theta - \cos u} = -\frac{1}{2} \left\{ \frac{1}{\tan(\theta-u)/2} - \frac{1}{\tan(\theta+u)/2} \right\},$$

we deduce

$$\begin{aligned} \int_{\theta/2}^{3\theta/2} \frac{t'(u) \sin u}{\cos \theta - \cos u} du &= -\frac{1}{2} \int_{\theta/2}^{3\theta/2} \frac{t'(u)}{\tan(\theta-u)/2} du \\ &\quad + \frac{1}{2} \int_{\theta/2}^{3\theta/2} \frac{t'(u)}{\tan(\theta+u)/2} du. \end{aligned} \quad (2.4)$$

Taking $v = \theta - u$ in the first integral of the right-hand side of (2.4) and integrating by parts, we get

$$\begin{aligned} \int_{\theta/2}^{3\theta/2} \frac{t'(u)}{\tan(\theta - u)/2} du &= - \int_0^{\theta/2} \frac{\Delta_{2r} t'(\theta)}{\tan(v/2)} dv \\ &= \frac{1}{2} \int_0^{\theta/2} \frac{\Delta_r^2 t(\theta)}{\sin^2(v/2)} dv + O(\|\varphi T'\|_{r_1, r_2} \theta^{-2r_1}). \end{aligned}$$

To estimate the second integral of the right-hand side of (2.4), we use the estimate $|\tan(\theta + u)/2| \sim \theta$ if $u \in [\theta/2, 3\theta/2]$ to obtain

$$\left| \int_{\theta/2}^{3\theta/2} \frac{t'(u)}{\tan(\theta + u)/2} du \right| \leq C \|\varphi T'\|_{r_1, r_2} \theta^{-2r_1}.$$

Finally, since

$$\frac{1}{\cos \theta - \cos u} = \frac{1}{1 - \cos u} \sum_{j=0}^m \left(\frac{1 - \cos \theta}{1 - \cos u} \right)^j + \frac{1}{\cos \theta - \cos u} \left(\frac{1 - \cos \theta}{1 - \cos u} \right)^{m+1},$$

and

$$\begin{aligned} (1 - \cos \theta)^{m+1} \int_{3\theta/2}^{\pi} \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{(\cos \theta - \cos u)(1 - \cos u)^{m+1}} du \\ = O\left(\theta^{2m+2} \int_{\theta}^{\pi} \frac{\|\varphi T'\|_{r_1, r_2} u^{2\alpha-2r}}{u^{2m+2}} du\right) \\ = O(\|\varphi T'\|_{r_1, r_2} \theta^{2\alpha+1-2r}), \end{aligned}$$

we arrive at

$$\begin{aligned} \int_{3\theta/2}^{\pi} \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{\cos \theta - \cos u} du &= \sum_{j=0}^m (1 - \cos \theta)^j \int_{3\theta/2}^{\pi} \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{(1 - \cos u)^{j+1}} du \\ &\quad + O(\|\varphi T'\|_{r_1, r_2} \theta^{2\alpha+1-2r}). \end{aligned}$$

The estimates above imply the assertion of this lemma. ■

3. PROOF OF THE THEOREMS

Proof of Theorem 1.1. We observe that, as the factor $(1+x)^b$ has no effect on the convergence, one can assume $b > \beta$. To show the assertion of this theorem we use the following result obtained by Vértési [9]: there

exists $T \in \Pi_{2n-1}$ such that $\|T - f\| \leq CE_n(f)$, $T(x_k) = f(x_k)$, $k = 1, 2, \dots, n$. Thus

$$\|H_n^{(\alpha, \beta)}(f) - f\|_{a, b} \leq \|H_n^{(\alpha, \beta)}(T) - T\|_{a, b} + CE_n(f).$$

As $H_n^{(\alpha, \beta)}T - T \in \Pi_{2n-1}$, we get for $c > 0$ and sufficiently large n (see [1, Theorem 8.4.8])

$$\|H_n^{(\alpha, \beta)}(T) - T\|_{a, b} \leq C \|w_{a, b}(H_n^{(\alpha, \beta)}(T) - T)\|_{[-1 + c/n^2, 1 - c/n^2]},$$

where C does not depend on n and T . Thus, to complete the proof, it is enough to verify

$$\|w_{a, b}(H_n^{(\alpha, \beta)}(T) - T)\|_{[-1 + c/n^2, 1 - c/n^2]} = o(1).$$

Moreover, as $\|f - T\| \leq CE_n(f)$, we have $t'(\theta) = o(n)$ for $t(\theta) = T(\cos \theta)$. Hence, making use of Lemmas 2.1 and 2.2, we only need to prove

$$\max_{1/n \leq \theta \leq \pi/2} \left| \frac{w_{a, b}(\cos \theta)}{\omega^{(\alpha, \beta)}(\theta)} \overline{t'(\theta) \omega^{(\alpha, \beta)}(\theta)} \right| = o(n). \quad (3.1)$$

To this end, we choose $r_1 = 0$, $r_2 = 0$, $r = a$, and $m = 0$ in Lemma 2.3. Then, for $\theta \in [1/n, \pi/2]$, one has

$$\begin{aligned} & \frac{w_{a, b}(\cos \theta)}{\omega^{(\alpha, \beta)}(\theta)} \overline{t'(\theta) \omega^{(\alpha, \beta)}(\theta)} \\ &= \frac{w_{a, b}(\cos \theta)}{4\pi} \int_0^{\theta/2} \frac{\Delta_u^2 t(\theta)}{\sin^2 u/2} du \\ &+ \frac{w_{a, b}(\cos \theta)}{\pi \omega^{(\alpha, \beta)}(\theta)} \int_{3\theta/2}^{\pi} \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{1 - \cos u} du + O(\|t'\|). \end{aligned} \quad (3.2)$$

Now the first term on the right-hand side of (3.2) is $o(n)$ as

$$\int_0^{\theta/2} \frac{\Delta_u^2 t(\theta)}{\sin^2(u/2)} du = o(n), \quad \forall \theta \in \left[\frac{1}{n}, \frac{\pi}{2} \right].$$

Furthermore, without loss of generality, we may assume $\alpha \geq 0$. Integration by parts yields

$$\begin{aligned} & \int_{3\theta/2}^{\pi} \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{1 - \cos u} du \\ &= - \int_{3\theta/2}^{\pi} (t(u) - t(0)) \left(\frac{\omega^{(\alpha, \beta)}(u) \sin u}{1 - \cos u} \right)' du + O(\|t'\| \theta^{2\alpha+1}) \\ &= O(\|t\| + \|t'\| \theta^{2\alpha+1}). \end{aligned} \quad (3.3)$$

Now, as $a > \alpha$ and $w_{a,b}(\cos \theta)/\omega^{(\alpha,\beta)}(\theta) = O(\theta^{2a-2\alpha-1})$ for $\theta \in [1/n, \pi/2]$, we deduce from the above that the second term of the right-hand side of (3.2) is $o(n)$. Therefore (3.1) holds. The proof is complete. \blacksquare

Proof of Theorem 1.2. First we note that $f \in C[-1, 1]$ and $E_n(f)_{a,0} = o(n^{-2\alpha+2a})$ imply $E_n(f) = o(n^{-2\alpha+4a})$. In case $-2\alpha + 4a \geq 0$, this is trivial because $f \in C[-1, 1]$. Otherwise we take $P_n^* \in \Pi_n$, $n = 1, 2, \dots$, such that

$$\|f - P_n^*\|_{a,0} = o(n^{-2\alpha+2a}).$$

Thus

$$\|f - P_n^*\|_{[-1+c/n^2, 1-cn^2]} = o(n^{-2\alpha+4a})$$

and (see [1, Theorem 8.4.8])

$$\begin{aligned} \|P_{2^k+1}^* - P_{2^k n}^*\| &\leq C \|P_{2^k+1}^* - P_{2^k n}^*\|_{[-1+c2^{-2k}n^{-2}, 1-c2^{-2k}n^{-2}]} \\ &= o((2^k n)^{-2\alpha+4a}), \end{aligned}$$

which then imply

$$\|f - P_n^*\| \leq \sum_{k=0}^{\infty} \|P_{2^k+1}^* - P_{2^k n}^*\| = o(n^{-2\alpha+4a}).$$

Hence (see [11, Theorem 1.5]) there exists $T \in \Pi_{2n-1}$ such that

$$T(\pm 1) = f(\pm 1), \quad T(x_k) = f(x_k), \quad k = 1, 2, \dots, n,$$

and

$$\|T - f\|_{a,0} = o(n^{-2\alpha+2a}).$$

Now as before

$$(H_n^{(\alpha,\beta)}(f) - f) w_{a,0} = (H_n^{(\alpha,\beta)}(T) - T) w_{a,0} + o(1). \quad (3.4)$$

On the other hand, we note that if $b > \beta$, then, by Theorem 1.1,

$$\|(H_n^{(\alpha,\beta)}(f) - f) w_{a,b}\|_{[-1,0]} = o(1).$$

Thus, we deduce the following relationship: for $b > \beta$,

$$\|(H_n^{(\alpha,\beta)}(f) - f) w_{a,0}\|_{[0,1]} = o(1) \Leftrightarrow \|H_n^{(\alpha,\beta)}(f) - f\|_{a,b} = o(1).$$

Therefore, by (3.4), we obtain that in the case $b > \beta$, formula (1.3) is equivalent to

$$\|H_n^{(\alpha,\beta)}(T) - T\|_{a,b} = o(1),$$

or

$$\|w_{a,b}(H_n^{(\alpha,\beta)}(T) - T)\|_{[-1+c/n^2, 1-c/n^2]} = o(1).$$

As

$$\|w_{a,b}(H_n^{(\alpha,\beta)}(T) - T)\|_{[-1+c/n^2, 0]} = o(1),$$

we see that (1.3) is equivalent to

$$\|w_{a,0}(H_n^{(\alpha,\beta)}(T) - T)\|_{[0, 1-c/n^2]} = o(1). \quad (3.5)$$

Thus we only need to prove that (3.5) holds if and only if (1.4) holds. Now Lemmas 2.1–2.3 show for $r_1 = r = a$, $r_2 = 0$, and $m = [\alpha - a] + 1$ in Lemma 2.3 and $\theta = \arccos x$, $\theta \in [c/n, \pi/2]$,

$$\begin{aligned} & H_n^{(\alpha,\beta)}(T, x) - T(x) \\ &= \frac{n! \Gamma(n + \alpha + \beta + 1)}{\Gamma(n + \alpha + 1) \Gamma(n + \beta + 1)} (P_n^{(\alpha,\beta)}(x))^2 \omega^{(\alpha,\beta)}(\theta) \\ & \quad \times \left\{ \frac{1}{4\pi} \int_0^{\theta/2} \frac{\Delta_u^2 t(\theta)}{\sin^2 u/2} du \right. \\ & \quad \left. + \frac{1}{\pi \omega^{(\alpha,\beta)}(\theta)} \sum_{j=0}^m (1 - \cos \theta)^j \int_{3\theta/2}^{\pi} \frac{t'(u) \omega^{(\alpha,\beta)}(u) \sin u}{(1 - \cos u)^{j+1}} du \right\} \\ & \quad + o(\theta^{-2\alpha}). \end{aligned}$$

Next, as

$$w_{a,0}(\cos \theta) \int_0^{\theta/2} \frac{\Delta_u^2 t(\theta)}{\sin^2 u/2} = o(n),$$

and for $j = 0, 1, 2, \dots, m-1$ one has

$$\begin{aligned} (1 - \cos \theta)^j \int_0^{3\theta/2} \frac{t'(u) \omega^{(\alpha,\beta)}(u) \sin u}{(1 - \cos u)^{j+1}} du &= o\left(n\theta^{2j} \int_0^{\theta} \frac{u^{2\alpha+2-2a}}{u^{2j+2}} du\right) \\ &= o(n\theta^{2\alpha+1-2a}), \end{aligned}$$

we see that if we can prove

$$(1 - \cos \theta)^{m+\alpha} (P_n^{(\alpha,\beta)}(x))^2 \int_{3\theta/2}^{\pi} \frac{t'(u) \omega^{(\alpha,\beta)}(u) \sin u}{(1 - \cos u)^{m+1}} du = o(1), \quad (3.6)$$

then it follows from the above that for some constant $C_n \sim 1$,

$$\begin{aligned} H_n^{(\alpha, \beta)}(T, x) - T(x) \\ = C_n (P_n^{(\alpha, \beta)}(x))^2 \sum_{j=0}^{m-1} (1 - \cos \theta)^j \int_0^\pi \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{(1 - \cos u)^{j+1}} du + o(\theta^{-2\alpha}). \end{aligned}$$

Integrating by parts and by the conditions $E_n(f)_{\alpha, 0} = o(n^{-2\alpha + 2a})$ and $t(0) = f(1)$ we obtain for some constants $d_j \sim 1$, $j = 0, 1, \dots, m-1$,

$$\begin{aligned} H_n^{(\alpha, \beta)}(T, x) - T(x) \\ = C_n (P_n^{(\alpha, \beta)}(x))^2 \sum_{j=0}^{m-1} d_j (1-x)^j \int_1^t (f(t) - f(1)) w'_{\alpha-j, \beta+1}(t) dt \\ + o(w_{\alpha, 0}^{-1}(x)). \end{aligned}$$

In view of this formula and (2.3) it is not difficult to obtain that (3.5) holds if and only if (1.4) holds.

It thus remains to show (3.6). We note $m = [\alpha - a] + 1$. Thus if $\alpha - a < [\alpha - a] + 1/2$

$$\begin{aligned} \int_{3\theta/2}^\pi \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{(1 - \cos u)^{m+1}} du &= O\left(\|\varphi T'\|_{\alpha, 0} \int_\theta^\pi \frac{u^{2\alpha-2a}}{u^{2m}} du\right) \\ &= O(\|\varphi T'\|_{\alpha, 0} \theta^{2\alpha-2a-2m+1}). \end{aligned}$$

This estimate implies (3.6) due to Lemma 2.1.

If $\alpha - a \geq [\alpha - a] + 1/2$, then $\alpha - a = [\alpha - a] + \zeta$, $1/2 \leq \zeta < 1$. Integration by parts gives

$$\begin{aligned} \int_{3\theta/2}^\pi \frac{t'(u) \omega^{(\alpha, \beta)}(u) \sin u}{(1 - \cos u)^{m+1}} du \\ = -\left(t\left(\frac{3\theta}{2}\right) - t(0)\right) \frac{\omega^{(\alpha, \beta)}(3\theta/2) \sin(3\theta/2)}{(1 - \cos(3\theta/2))^{m+1}} \\ - \int_{3\theta/2}^\pi (t(u) - t(0)) \left(\frac{\omega^{(\alpha, \beta)}(u) \sin u}{(1 - \cos u)^{m+1}}\right)' du =: -I_1 - I_2. \end{aligned}$$

We first consider I_1 . Put $g(u) = f(\cos u)$. Then $t(0) = g(0)$ and

$$\left(g\left(\frac{3\theta}{2}\right) - t\left(\frac{3\theta}{2}\right)\right) \left(1 - \cos \frac{3\theta}{2}\right)^a = o(n^{-2\alpha + 2a}).$$

Since $\theta \in [c/n, \pi/2]$,

$$\frac{\omega^{(\alpha, \beta)}(3\theta/2) \sin(3\theta/2)}{(1 - \cos(3\theta/2))^{m+1}} \sim \theta^{2\alpha - 2m}.$$

By Lemma 2.1,

$$\begin{aligned}
& (1 - \cos \theta)^{m+a} (P_n^{(\alpha, \beta)}(x))^2 I_1 \\
&= O(\theta^{2m+2a} (P_n^{(\alpha, \beta)}(x))^2 \omega(g, \theta) \theta^{2x-2m}) \\
&\quad + o(n^{-2\alpha+2a} \theta^{2m+2a} (P_n^{(\alpha, \beta)}(x))^2 \theta^{2x-2m-2a}) \\
&= o(1),
\end{aligned}$$

where $\omega(g, \theta)$ is the modulus of continuity of g with the step θ .

To estimate I_2 , we replace $t(u)$ by $g(u)$ and calculate it in case $\alpha = m$ and $\alpha \neq m$ separately. First note that

$$\begin{aligned}
& (1 - \cos \theta)^{m+a} (P_n^{(\alpha, \beta)}(x))^2 I_2 \\
&= (1 - \cos \theta)^{m+a} (P_n^{(\alpha, \beta)}(x))^2 \\
&\quad \times \int_{3\theta/2}^{\pi} (g(u) - g(0)) \left(\frac{\omega^{(\alpha, \beta)}(u) \sin u}{(1 - \cos u)^{m+1}} \right)' du + o(1).
\end{aligned}$$

Furthermore, if $\alpha = m$, the integral is bounded and as $\frac{1}{2} \leq \xi < 1$, one gets $0 < a \leq 1/2$. Hence,

$$(1 - \cos \theta)^{m+a} (P_n^{(\alpha, \beta)}(x))^2 = O\left(\frac{\theta^{2a-1}}{n}\right) = o(1).$$

Thus (3.6) still holds. If $\alpha \neq m$, we have, by Lemma 2.1,

$$\begin{aligned}
& (1 - \cos \theta)^{m+a} (P_n^{(\alpha, \beta)}(x))^2 \int_{3\theta/2}^{\pi} (g(u) - g(0)) \left(\frac{\omega^{(\alpha, \beta)}(u) \sin u}{(1 - \cos u)^{m+1}} \right)' du \\
&= O\left(\frac{\theta^{2m+2a-2x-1}}{n} \int_{\theta}^{\pi} \omega(g, u) u^{2x-2m-1} du\right). \tag{3.7}
\end{aligned}$$

Thus if $\alpha - m > 0$, since $m > \alpha - a$, the right-hand side of (3.6) can be estimated by $o(1)$. If $\alpha - m < 0$, observe that

$$\lim_{\delta \rightarrow 0} \delta^{2m-2x} \int_{\delta}^{\pi} \omega(g, u) u^{2x-2m-1} du = 0.$$

Hence for any $\varepsilon > 0$, there exists $\delta_0 > 0$ so that

$$\delta^{2m-2x} \int_{\delta}^{\pi} \omega(g, u) u^{2x-2m-1} du < \varepsilon, \quad \forall \delta \leq \delta_0.$$

Let δ_0 be fixed. We see that if $\theta \in [c/n, \delta_0]$, then

$$\begin{aligned} & \frac{\theta^{2m+2a-2x-1}}{n} \int_{\theta}^{\pi} \omega(g, u) u^{2x-2m-1} du \\ & \leq C \delta_0^{2m-2x} \int_{\delta_0}^{\pi} \omega(g, u) u^{2x-2m-1} du < C\varepsilon. \end{aligned}$$

If $\theta \in [\delta_0, \pi/2]$, the integral on the right-hand side of (3.7) is bounded. Hence we have the same result if n is sufficiently large. Therefore in all cases we obtain that the right-hand side of (3.7) is $o(1)$. Thus (3.6) holds, and the proof is complete. ■

Proof of Theorem 1.4. It follows from Theorem 1.2 that (1.2) implies $\alpha < a$ and $\beta < b$. Theorem 1.1 shows that this condition is sufficient. ■

Proof of Theorem 1.5. Put $f^*(x) = f(-x)$. Then $H_n^{(\alpha, \beta)}(f, -x) = H_n^{(\beta, \alpha)}(f^*, x)$. Thus (1) and (2) are equivalent. We only need to verify (1). Recalling the proof of Theorem 1.2, we know that for $b > \beta$

$$\|(H_n^{(\alpha, \beta)}(f) - f) w_{\alpha, 0}\|_{[0, 1]} = o(1) \Leftrightarrow \|H_n^{(\alpha, \beta)}(f) - f\|_{a, b} = o(1).$$

Hence we have to show

$$\|(H_n^{(\alpha, \beta)}(f) - f) w_{\alpha, 0}\|_{[0, 1]} = o(1) \Leftrightarrow \int_{-1}^1 (f(t) - f(1)) w'_{\alpha, \beta+1}(t) dt = 0.$$

But this can be obtained directly from Theorem 1.2 if we choose $a = \alpha$ there.

To prove (3) we note

$$\|(H_n^{(\alpha, \beta)}(f) - f) w_{\alpha, \beta}\|_{[0, 1]} = o(1) \Leftrightarrow \|(H_n^{(\alpha, \beta)}(f) - f) w_{\alpha, 0}\|_{[0, 1]} = o(1)$$

and

$$\|(H_n^{(\alpha, \beta)}(f) - f) w_{\alpha, \beta}\|_{[-1, 0]} = o(1) \Leftrightarrow \|(H_n^{(\alpha, \beta)}(f) - f) w_{0, \beta}\|_{[-1, 0]} = o(1).$$

Hence (3) follows from (1) and (2), which completes the proof. ■

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