

## Tailoring Tyrosine Kinase Inhibitors to Fit the Lung Cancer Genome

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### Abstract

Tyrosine kinase inhibitors (TKIs) have been in use as cancer therapeutics for nearly a decade, and their utility in targeting specific malignancies with defined genetic lesions has proven to be remarkably effective. Recent efforts to characterize the spectrum of genetic lesions found in non-small cell lung carcinoma (NSCLC) have provided important insights into the molecular basis of this disease and have also revealed a wide array of tyrosine kinases that might be effectively targeted for rationally designed therapies. The findings of these studies, however, also provide a cautionary tale about the limitations of single-agent therapies, which fail to account for the genetic heterogeneity and pathway redundancy that characterize advanced NSCLC. Emergence of drug resistance mechanisms to specific TKIs, such as gefitinib and erlotinib, suggests that more sophisticated chemotherapeutic paradigms that target multiple pathways at the same time will be required to effectively treat this disease.

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### Introduction

Recent large-scale efforts to characterize the genetic basis of cancer have provided significant insights into the etiology of this disease [1–7]. Sequencing of several distinct “cancer genomes” has confirmed the importance of key tumor suppressors and oncogenes, but it has also turned up an abundance of additional mutations that have yet to be characterized with regards to their transforming potential and effect on tumor behavior during disease progression. Although most of these mutations are likely to be nonfunctional sequence changes, a significant number of them undoubtedly play important roles in tumor initiation and progression. The remarkable variation in mutational profiles seen across patient samples suggests that each tumor represents a distinct disease state that can only be effectively treated with precision therapy that targets the specific combination of genetic changes unique to each tumor.

This concept of “personalized medicine” has found particular traction in the treatment of non-small cell lung carcinoma (NSCLC), which is one of the best-characterized solid tumor types owing to its high rate of incidence in Western society [8–12]. Even before the advent of genome sequencing, many of the more common genetic alterations that characterize NSCLC had been discovered by traditional molecular biology approaches [13–15]. One theme that emerged from this work was the prevalence of genetic alterations to key growth factor signaling pathways that regulate cell prolifera-

tion, survival, and migration [3,12]. These pathways largely depend on signal propagation by kinase cascades, suggesting that they would be excellent targets for rationally designed chemotherapies aimed at the inhibition of kinase enzymatic function.

One of the more effectively targeted classes of kinases to date has been the tyrosine kinase family of signaling enzymes (Figure 1). This large class of molecules includes both receptor and nonreceptor protein tyrosine kinases and has been extensively reviewed in many excellent articles elsewhere [16–18]. Several members of this kinase family have been successfully targeted for treatment of a relatively narrow range of solid and hematologic malignancies, suggesting that further development of novel tyrosine kinase inhibitors (TKIs) may be useful for targeting a broader assortment of tumor types [19].

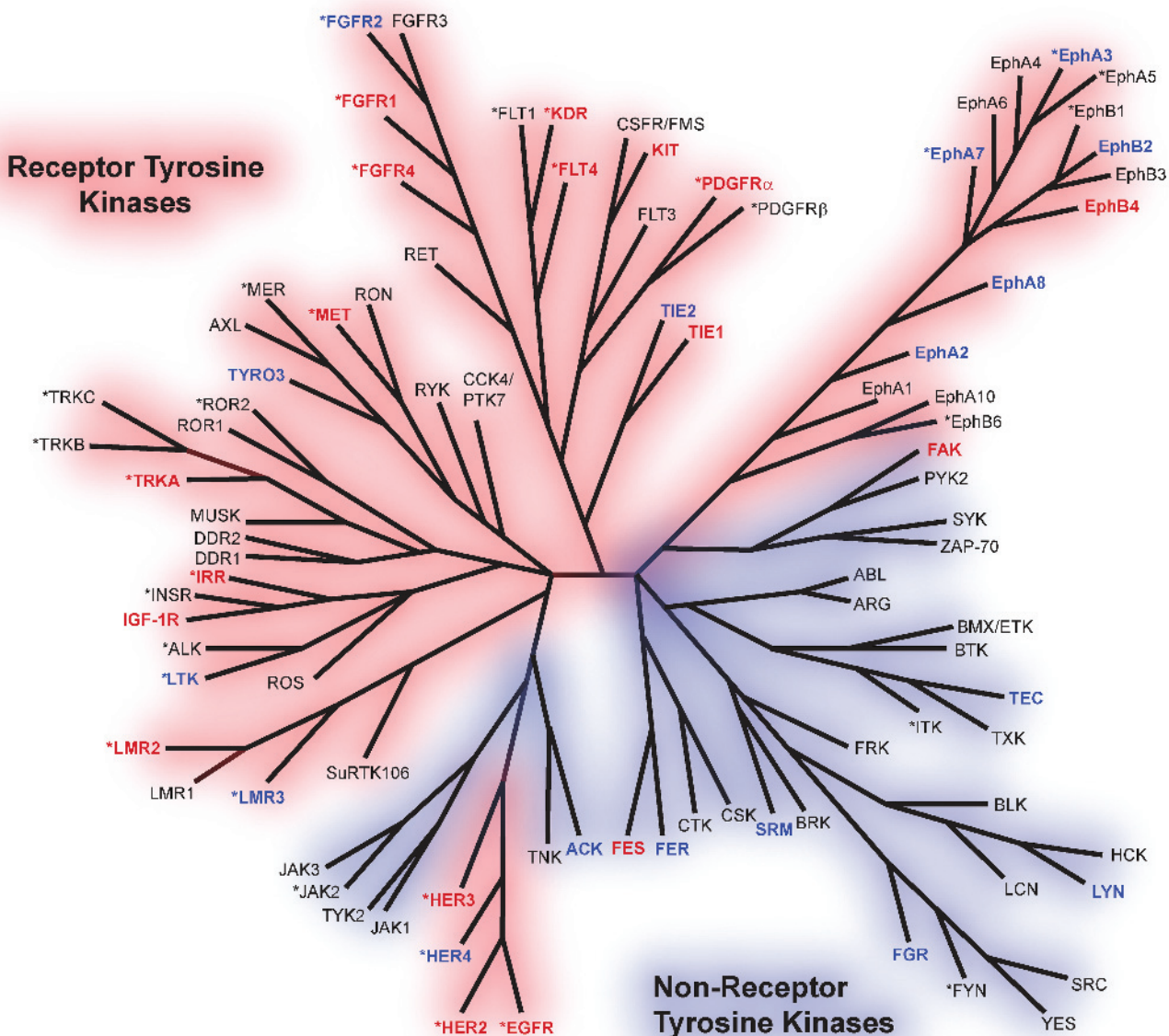
Whereas this approach to cancer therapy has undoubtedly provided some significant success stories, it has also highlighted the inherent difficulty of treating genetically heterogeneous patient populations with targeted therapeutics. In this review, we will discuss the various

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**Figure 1.** Genetic alterations of the tyrosine kinase family in non-small cell lung cancer. Alignment of all tyrosine kinases encoded in the human genome according to the similarity of their kinase domains reveals a unique pattern of mutagenic changes associated with non-small cell lung carcinoma. Significant genetic alterations tend to occur in multiple members of individual kinase subfamilies, with receptor tyrosine kinases being more commonly mutated than NRTKs. Genetic alterations include amplification (red font), deletion (blue font), and point mutation (asterisk), all of which have been identified at significant rates in primary patient samples. See Table 1 for statistical evaluation of copy number changes.

TKIs that have been used for the treatment of NSCLC or are currently undergoing clinical validation, as well as the resistance mechanisms that have limited the success of these drugs in the clinic. Finally, we will also discuss future prospects of TKI in the treatment of NSCLC and suggest how these drugs may be used for successful personalized therapies.

### The Genetic Basis of Primary Resistance to TKIs in NSCLC

Use of rationally targeted therapies for cancer treatment by definition presumes that the specific target of interest has been correctly identified. In the case of single agent therapies, the default assumption

is that hitting a single target—or at least a narrow range of defined targets—will be sufficient to treat a given tumor. This model of “oncogene addiction” has gained wide acceptance with the identification of single mutational events that both initiate and maintain transformation in most patients with a specific subtype of cancer [20–22]. Examples of this phenomenon include the *BCR-ABL* translocation in chronic myelogenous leukemia and the *ERBB2/Her2* mutations in breast cancer [23,24]. Treatment of these cancers with single-agent TKIs targeted at *BCR-ABL* or *ERBB2*, respectively, has shown remarkable efficacy in the clinic, and it leads to the hope that correct identification of driving mutations in other cancers will yield similar results [25–27].

The findings of recent tumor genome sequencing studies—as well as the results of manifold clinical trials—unfortunately suggest that hope for broadly successful monotherapy in NSCLC may be largely unfounded [3,28]. These studies have clearly demonstrated that no single genetic event occurs in more than 15% to 20% of the patient population and that most patients instead display a unique combination of mutations to several known oncogenes and tumor suppressors. This is particularly true of potential tyrosine kinase targets, of which more than 30 different molecules have been reported to be amplified or mutationally activated in NSCLC (Figure 1) [2–5]. Although a few kinase mutations are certainly more common than others—for example, mutations to epidermal growth factor receptor (EGFR)—these mutations rarely occur in isolation and may be compensated for by genetic alterations to other RTKs and/or downstream effectors [29].

In this context, it has become increasingly clear that the central mechanism of primary resistance to TKI therapy in NSCLC is the heterogeneity and mutational redundancy of this disease. In genetically undefined patient populations, a given molecular target may only be present in the tumors of a small percentage of patients. Furthermore, even when the target is present, it may not be absolutely necessary for tumor maintenance, especially in patients with advanced disease. This finding likely underlies the poor response rate of NSCLC to most targeted therapies, which rarely exceeds a 5% to 10% objective response rate in patients with advanced disease [9,12]. Unfortunately, most clinical trials are designed around the use of single agents in genetically undefined patient populations, making it highly unlikely that any given drug will achieve a statistically significant response during the study. In fact, such designs are inherently flawed because the overall benefit to a small fraction of eligible subjects is diluted over a nonselected population, thus skewing the result of such a randomized study toward incorrect conclusion about a given therapy [30].

### Framework for Genetic Classification of Therapeutic Subgroups in NSCLC

As stated above, the success of rationally targeted therapies—including TKI—depends heavily on the correct identification of key driver mutations in each patient. In the absence of reliable genetic information, patients diagnosed with NSCLC can be directed toward the best therapy based on the histology (squamous *vs* adenocarcinoma) and natural history (age, smoking status) of their disease. Because the prevalence of specific driver mutations in NSCLC varies dramatically in tumors with different histologies and natural histories, use of these criteria is helpful in eliminating treatments that are unlikely to succeed. For example, patients with squamous cell tumors are poor candidates for first-line EGFR inhibitor therapy because this tumor type rarely contains activating EGFR mutations and is more likely to respond to standard platinum-based therapies than targeted agents currently available [31].

When genetic information is available in addition to tumor histology and patient history, more reliable and precise decisions can be made regarding the choice of targeted therapy for NSCLC patients. This is particularly true for patients with the more common adenocarcinoma histology, which is consistently characterized by distinct driver mutations that can be broadly segregated into three classes: 1) tumors with activating mutations and/or amplification of growth factor receptor tyrosine kinases (RTKs), 2) tumors with activating mutations of the *KRAS* oncogene, and 3) tumors with EML-ALK

fusions. Although this classification scheme is clearly too simplistic in that it ignores mutations to other well-defined tumor suppressors (*PTEN*, *LKB1*) and oncogenes (*PIK3CA*, *BRAF*), it provides a reliable framework for the classification of most NSCLC. Furthermore, the three classes in this framework tend to be mutually exclusive, whereas mutations to other known tumor suppressors and oncogenes are commonly found across all three classes [3,32–34].

Proper segregation of patients into one of these three classes has significant implications for targeted therapy and for primary resistance to these therapies. For instance, use of an EGFR TKI to treat a *KRAS* or EML4-ALK driven tumor will almost certainly result in a poor outcome [35]. In an unselected patient population, this result would be classified as primary resistance, whereas in a genetically defined NSCLC population, it would be considered a successful negative control. On the basis of this example, it is clear that more complete genetic data are required for the advancement of targeted therapy, particularly when a relatively selective kinase inhibitor is being used.

Assuming that NSCLC patients can be properly segregated into the classes noted above based on genetic data, the next question that clinicians must address is what therapy should be used to treat each class of tumors. Activating *KRAS* mutations are the single most common genetic driver in lung cancer, represented about 15% to 20% of all NSCLCs [3,32,35]. Attempts to target activated Ras variants have historically failed for a wide variety of reasons, and despite decades of research on this oncogene, there is no clear answer for how to treat mutant Ras-driven tumors [36]. The most promising avenues for treating this class of cancers lie in targeting the downstream signaling effectors or metabolic byproducts of Ras transformation, although these targets tend to be highly cancer-specific [37,38]. New advances in targeting Ras-driven tumors have been reviewed elsewhere and will not be extensively discussed in this review [36,39].

The two other known classes of NSCLC collectively depend on activating mutations to a variety of tyrosine kinases, including both receptor and nonreceptor members of this family (Figure 1 and Table 1). This population of tumors represents anywhere from 40% to 80% of all NSCLCs, which implies that up to 175,000 new lung cancer patients each year in the United States alone could benefit from some form of targeted TKI therapy, provided that the correct target for each tumor could be identified [40]. This alone is a daunting task because more than 30 different tyrosine kinases have been implicated in the cause of NSCLCs (Figure 1 and Table 1). With faster and more accessible genome sequencing technologies on the horizon, however, identifying the correct targets for TKI therapy is becoming increasingly tangible.

The remainder of this review will focus on TKIs that have been introduced into the clinic or are in clinical testing, with a specific emphasis on their performance in clinical trials and on the understanding of resistance mechanisms that will aid in the refinement of TKI therapy.

### Growth Factor Receptor TKIs

#### *EGFR Inhibitors*

The most well-studied small-molecule TKIs used for treatment of NSCLCs are the quinazoline class of compounds targeted to EGFR. Two drugs in this class, erlotinib and gefitinib, were approved for the treatment of NSCLC in 2004 and 2005, respectively (Figure 2A). Since then, several thousand patients have received these drugs as

**Table 1.** Significant Copy Number Changes of Key Tyrosine Kinases in Non–Small Cell Lung Cancer.

Name	Family	GISTIC Score ( <i>q</i> )	Frequency (%)
<b>Significantly amplified</b>			
EGFR	EGFR	9.29e – 25	10.0
FGFR1	FGFR	3.61e – 23	10.8
INSRR	InsR	3.29e – 12	15.4
TRKA	Trk	3.29e – 12	15.6
HER2/ErbB2	EGFR	9.76e – 10	10.9
FAK	Fak	1.61e – 06	12.2
PDGFRa	PDGFR	4.67e – 04	8.9
HER3/ErbB3	EGFR	6.98e – 04	12.0
MET	Met	1.08e – 03	6.8
KIT	PDGFR	1.29e – 03	8.9
IGF1R	InsR	1.75e – 03	10.9
FLT4	VEGFR	1.99e – 03	10.5
KDR	VEGFR	3.84e – 03	8.5
FGFR4	FGFR	8.80e – 03	10.4
LMR2	Lmr	9.02e – 03	7.6
EphB4	Eph	4.10e – 02	7.2
TIE1	Tie	5.26e – 02	4.2
FES	Fer	7.71e – 02	8.9
<b>Significantly deleted</b>			
LTK	Alk	5.34e – 11	9.3
TIE2	Tie	2.37e – 08	6.1
TYRO3	Axl	3.48e – 08	9.1
JAK2	JakA	4.08e – 06	4.2
SRM	Src	1.74e – 05	8.1
FGR	Src	3.67e – 05	7.8
EphB2	Eph	3.70e – 05	8.1
EphA8	Eph	6.55e – 05	7.9
LMR3	Lmr	2.51e – 04	7.2
EphA2	Eph	4.19e – 04	7.2
EphA7	Eph	3.68e – 02	4.4
FER	Fer	3.90e – 02	4.1
TEC	Tec	5.26e – 02	4.2
LYN	Src	7.26e – 02	4.0
EphA3	Eph	7.82e – 02	3.4
FGFR2	FGFR	8.41e – 02	4.9
ACK	Ack	1.91e – 01	4.2
HER4/ErbB4	EGFR	1.97e – 01	4.8

Copy number variation (CNV) of tyrosine kinases in NSCLC was assessed using the GISTIC algorithm and SNP array data obtained from the Broad Institute Tumorscape program [2,5]. The significance of amplification or deletion was assessed for all human tyrosine kinases. Those exceeding the GISTIC significance score (*q*) of 0.25 are included in each table. Frequency values indicate the percentage of 384 non–small cell lung cancer samples that contain focal amplification or homozygous deletion of the indicated tyrosine kinase.

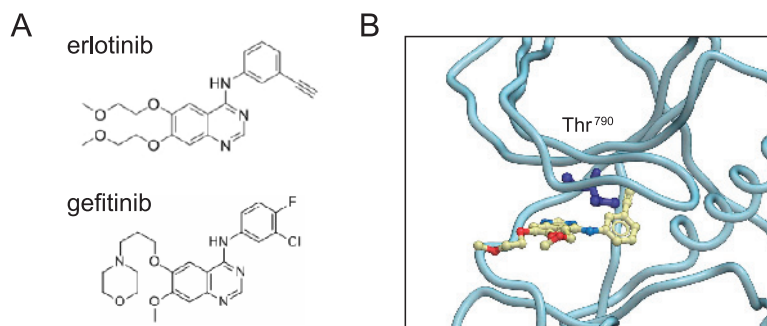
first-, second-, or third-line therapy, providing a large amount of clinical data for retrospective analysis of drug efficacy [41,42].

Initial studies with erlotinib and gefitinib in unsegregated patient populations demonstrated a relatively low objective response rate (8%-9%), which was somewhat surprising given the strong efficacy of these drugs in preclinical models and the high prevalence of EGFR overexpression in lung cancer [43,44]. In the past 5 years, it has become increasingly clear that patients who respond well to these drugs almost universally display tumors with activating mutations to EGFR. More specifically, activating mutations involving exon 19 deletions or exon 21 point mutations were found to be particularly sensitive to these drugs and were strong predictors of patient outcome in EGFR TKI therapy [41,45]. Nevertheless, patients' tumors that display elevated expression of wild-type EGFR generally fail to show radiographic responses to treatment with these agents, suggesting that careful genetic prescreening of patients for specific EGFR mutations will be required to gain full advantage in the clinic [46,47].

As is the case in most advanced cancers, NSCLC patients on EGFR inhibitor therapy who initially respond to treatment eventually develop secondary resistance, in this case with a median progression-free survival time of 8 to 10 months [28,48,49]. Several mechanisms to account for secondary resistance to EGFR TKI have been elucidated, providing new insights into how anti-EGFR therapy might be improved in the future. These mechanisms and their implications for NSCLC treatment are briefly detailed below.

### Resistance Mechanism 1: Secondary Mutations to EGFR

One of the more common mechanisms of kinase inhibitor resistance is the acquisition of secondary mutations to the target kinase. This mechanism has been previously demonstrated in chronic myelogenous leukemia patients bearing the BCR-ABL fusion oncogene, who eventually develop resistance to the TKI imatinib after prolonged periods of stable disease [50,51]. In the case of NSCLC patients, resistance to EGFR TKI therapy has been observed in the presence of primary or secondary point mutations to exon 20 of EGFR (Figure 2B). The most common of these mutations—T790M—decreases the affinity



**Figure 2.** Resistance to EGFR inhibitors mediated by gatekeeper residue mutations. (A) Chemical structures of erlotinib and gefitinib, both of which are members of the quinazoline class of EGFR inhibitors. (B) The crystal structure of erlotinib bound to EGFR demonstrates its physical orientation within the ATP binding pocket of the enzyme. Both erlotinib and gefitinib function as competitive inhibitors by preventing the binding of ATP into this cleft. The threonine at position 790, commonly referred to as a “gatekeeper” residue owing to its location within the binding pocket, is commonly altered in NSCLC. Mutation of this residue to methionine (T790M) prevents incorporation of both erlotinib and gefitinib owing to steric constraints induced by decreased pocket size. Structure information was obtained from Research Collaboratory for Structural Bioinformatics (accession number: PDB 1M17) [55].



of TKI for the receptor, thus rendering tumors insensitive to chemotherapy [52–55].

Because exon 20 point mutations may occur as the primary or secondary mechanism for constitutive EGFR activation in NSCLC, drugs that can inhibit EGFR kinase activity in the presence of these and other activating mutations, while leaving wild-type EGFR unaffected, have become a major area of focus for TKI development [56,57]. Promising results with a new pyrimidine-based class of drugs that meet these criteria have recently been reported in preclinical models, suggesting that improved EGFR TKI therapies may soon be available [58].

### *Resistance Mechanism 2: Activation of Compensatory Oncogenes*

In addition to being a key form of primary resistance, amplification and/or mutation of oncogenes with redundant function to EGFR have emerged as another key mode of resistance to EGFR TKI. The best-characterized mechanism of this type is amplification of MET, another RTK that has been implicated in a wide range of solid tumors, including NSCLC [59]. Recent data suggest that cells harboring low-level MET amplification may exist in patients with EGFR-driven tumors and that these cells are heavily selected for after long-term treatment with erlotinib or gefitinib [60]. Emergence of drug-resistant secondary tumors is particularly accelerated in the presence of the MET ligand hepatocyte growth factor, which has also been shown to be amplified or overexpressed in many solid tumors.

Activation of other RTK with similar redundancy to EGFR may also play an important role in acquired resistance to EGFR TKI (Figure 1 and Table 1). Insulin-like growth factor receptor (IGF1R), for instance, has been shown to mediate resistance in cultured lung cancer cells and is known to be amplified in a subset of NSCLC [61,62]. Although no solid evidence for compensation of EGFR inhibition by RTKs other than MET and IGF1R has been reported to date, it is likely that current large-scale sequencing studies of EGFR TKI-resistant tumors will uncover similar compensatory mechanisms by RTK or other signaling oncoproteins [29,63,64]. Identification of these compensatory oncoproteins will provide new targets for combined treatment that may be used to prevent the emergence of TKI-resistant cell populations from EGFR TKI-sensitive tumors.

It is important to note that activating mutations to *KRAS*—although an important mode of primary resistance—have not been associated with secondary resistance to EGFR TKI [32,35,65]. Although more studies are required to confirm that *KRAS* activation is insufficient to compensate for loss of EGFR activity, this observation is consistent with differences in the natural history of *KRAS*-mutant and *EGFR*-mutant tumors, which are differentially enriched in smokers and non-smokers, respectively [47,66]. Both findings suggest that the EGFR and K-Ras oncoproteins are not redundant with respect to the molecular mechanisms by which they induce and maintain transformation. As such, it might be predicted that efforts to target signaling pathways required for RTK-driven tumors will fail in patients with Ras-driven tumors—and *vice versa*—owing to the nonoverlapping modes of transformation used by these oncoproteins.

### *Resistance Mechanism 3: Epigenetic Identity Switching*

Perhaps the most interesting mechanism for EGFR TKI resistance that has been uncovered to date is described in a recent report by Sharma et al [67]. In their study, the authors exposed EGFR TKI-sensitive cell lines to gefitinib for several weeks and then selected the

subclones that emerged from this treatment for *in vitro* expansion. Surprisingly, the various cell lines selected by this method seemed to have developed stable resistance to EGFR TKI by undergoing a multistep process of nonrandom epigenetic switching to an alternative cellular identity. This identity did not seem to be due to compensatory genetic changes in other RTK and was reversible after several passages in TKI-free medium.

Whereas the underlying mechanism(s) that allow NSCLC cells to transiently change their cellular identity are incompletely understood, it is clear that identity switching can be prevented by inhibition of histone deacetylases (HDACs), which are responsible for mediating the nonrandom changes in gene expression observed in EGFR TKI-resistant cells. The epigenetic switch also seems to depend on signaling through the IGF1R, suggesting that activation of an alternate RTK to EGFR may be a key feature of both genetic and epigenetic resistance mechanisms [67]. Further studies in patient-derived tumor samples and a broader array of cell lines will be required to determine the extent to which this mechanism actually occurs in EGFR TKI-resistant NSCLC; although based on results in drug-resistant cell lines derived from other cancer types, it seems that coordinate treatment of tumors with cytotoxic or targeted agents and HDAC inhibitors may present a feasible method to prevent the emergence of drug resistance tumor subclones.

It is worth noting that the drug-resistant cells described by Sharma et al. are reminiscent of tumor-initiating cells—also known as cancer stem cells (CSCs)—which have been identified in a variety of solid tumors [68,69]. Both cell types exist as a small population of slow-cycling, self-renewing tumor cells that are significantly more resistant to both cytotoxic and targeted therapy than other tumor cells [70,71]. Although it was initially thought that CSCs exist as a stable population in solid tumors, it has been recently shown that the CSC identity may instead represent a reversible state that is transiently maintained by a small population of cells in a given tumor [72]. Interestingly, the phenotypic switching mechanisms for CSCs and TKI-resistant cells seem to be driven by similar epigenetic mechanisms involving the JARID family of chromatin-modifying proteins. These findings suggest that reversion of tumor cells to a CSC-like cellular identity may represent a universal drug resistance mechanism that must be accounted for regardless of the chemotherapeutic regimen being used for cancer treatment.

### *MET Inhibitors*

Owing to its role in EGFR TKI resistance and as a primary driving oncogene in several solid tumors, MET has become a key target of interest for rationally designed chemotherapy [73,74]. Although MET kinase inhibitors have yet to be approved for use by the Food and Drug Administration, several different agents are being tested in clinical trials alone or in combination with EGFR TKI for the treatment of NSCLC (Table 2). These agents include drugs that are specifically targeted to MET, as well as drugs that target both MET and other cancer-associated RTKs [75].

Clinical data regarding resistance to MET inhibitors have yet to be thoroughly documented, although an *in vitro* study using the selective MET inhibitor PHA-665257 to derive tumor cell lines with secondary resistance has been performed [76]. Data from this study suggest that resistance to MET inhibition is driven by the emergence of EGFR pathway alterations, either at the level of EGFR itself or at the other receptors from the ERBB family of RTKs. This finding provides additional confirmation of the functional redundancy between

**Table 2.** Tyrosine Kinase Targets in Development for NSCLC Treatment.

Target	Drug
RTKs	
EGFR	Vandetanib, lapatinib, BIBW2992, PF299804 (pan ERBB family)
MET	Foretinib, crizotinib, ARQ197, XL184
ALK	Crizotinib
FGFR	Intedanib, BGJ398 (pan), dovitinib, AZD4547
IGF1R	BMS754807, XL228
VEGFR	Sorafenib, sunitinib, vandetanib, pazopanib, vatalanib, foretinib, intedanib
PDGFR	Pazopanib, intedanib
KIT	Imatinib
EPHB4	XL647
NRTKs	
SRC	Dasatinib, XL228
FAK	GSK2256098, PF04554878, PF562271
JAK	INCB018424, lestaurtinib

Tyrosine kinases that are commonly altered in NSCLC are being targeted for therapy with several different TKIs. Drugs that are currently approved for therapy or are in clinical development are listed at the right of the indicated tyrosine kinase.

MET and ERBB family receptors and also underscores the clinical importance of targeting both classes of RTKs simultaneously to prevent the acquisition of secondary resistance to TKI therapy.

### Anaplastic Lymphoma Kinase Inhibitors

Anaplastic lymphoma kinase (ALK) is an RTK that has been previously implicated in a number of solid and hematologic malignancies, most prominently anaplastic large cell lymphoma (ALL) and neuroblastoma [77,78]. Like EGFR and MET, ALK may be amplified or point mutated to achieve activation. In addition, ALK can also be activated by chromosomal rearrangements, as is the case in subsets of ALL and NSCLC [34,79]. Whereas ALL-associated rearrangements primarily involve chromosomal translocation that result in the fusion of ALK to the various nuclear or cytoplasmic proteins such as nucleophosmin (NPM1), NSCLC-associated rearrangements more commonly involve small chromosome 2p inversions that link the C-terminal intracellular kinase domain of ALK to the N-terminal domain of the microtubule-associated protein EML4 [80]. The resulting fusion protein is constitutively active because of autodimerization, making it highly oncogenic and capable of independently transforming NIH-3T3 fibroblasts based on xenograft assays.

NSCLC cell lines harboring EML4-ALK fusions are highly sensitive to ALK TKI *in vitro*, providing strong evidence that this genetic rearrangement is the primary driving force for their transformation [81]. Interestingly, *EML4-ALK* translocations are found in roughly 4% of all NSCLC but are particularly enriched (9.4%) in younger patients with no history of smoking [82,83]. Owing to this relatively high prevalence and encouraging preclinical data in cell culture models, ALK TKIs are rapidly being introduced into the clinic in parallel with genetic screening for chromosome 2 rearrangements that produce the *EML4-ALK* fusion. Early reports suggest that patients with these genetic lesions respond favorably to the dual TKI crizotinib, which selectively targets both ALK and MET [34,84,85]. Ongoing clinical trials will provide a more complete picture of the efficacy and durability of patient responses to ALK TKI therapy in the near future [86].

### Other RTK Targets in Clinical Development for NSCLC Therapy

Although tumors bearing amplification and/or mutation of EGFR, MET, and ALK represent the largest and best-characterized cohort of

RTK-driven NSCLC, large-scale sequencing studies have demonstrated that several other growth factor receptors are recurrently mutated as well (Figure 1). These include IGF1R, stem cell factor receptor (SCFR/KIT) and members of the fibroblast growth factor receptor (FGFR), neurotrophin receptor (NTRK), and ephrin receptor (EPHA and EPHB) families [3,87,88]. TKIs that target several of these RTKs are in early stages of clinical testing for NSCLC treatment, although results of these studies have yet to be reported (Table 2). Providing that these drugs are able to sufficiently inhibit their targets at pharmacologically relevant doses and with limited toxicity, they are likely to prove useful in the treatment of patients with genetically defined NSCLC.

### Antiangiogenic Receptor TKIs

A second class of RTKs that has received significant attention for targeted chemotherapy is the proangiogenic RTKs. This group of receptors is primarily composed of the vascular endothelial growth factor receptor (VEGFR) and platelet-derived growth factor receptor (PDGFR) families, each of which contains three distinct members [89,90]. The FGFR family may also be considered in this category, although its effects in lung cancers are more traditionally associated with tumor cell proliferation and survival rather than endothelial cell proliferation and angiogenesis [91,92]. Several TKIs targeted to the FGFR family are in clinical development (Table 2), only results for dovitinib, which selectively targets FGFR1-3, in addition to VEGFR, PDGFR, and other tyrosine kinases, have been reported to date [93]. As such, discussion of antiangiogenic TKI can be limited to VEGFR and PDGFR families because these receptors have been the major focus of ongoing antiangiogenic therapy [94].

The first Food and Drug Administration–approved small-molecule angiogenesis inhibitors were the TKIs sorafenib and sunitinib, which are both currently indicated for the treatment of metastatic renal tumors and gastrointestinal stromal tumors and hepatocellular carcinoma (sorafenib only) [95]. Whereas both drugs are primarily intended to target VEGF-dependent endothelial cell proliferation and angiogenesis owing to their potent inhibition of the VEGFR family, they also inhibit a number of other tyrosine kinases including KIT and members of the PDGFR family (Table 3). In addition, both drugs have been

**Table 3.** Polypharmacologic TKIs in Development for NSCLC Treatment.

Name	Manufacturer	Known Targets	Developmental Phase
Sorafenib	Bayer/Onyx	VEGFR, PDGFR, RAF, KIT	2/3
Sunitinib	Pfizer	VEGFR, PDGFR, KIT, RET, FLT3	3
Imatinib	Novartis	BCR-ABL, PDGFR $\beta$ , KIT, RET	2
Vandetanib	AstraZeneca	VEGFR, EGFR	3
Intedanib	Boehringer Ingelheim	FGFR, VEGFR, PDGFR	2/3
Dasatinib	Bristol-Myers Squibb	SRC family kinases	1/2
Pazopanib	GlaxoSmithKline	VEGFR, PDGFR, KIT	2
Lapatinib	GlaxoSmithKline	EGFR, ALK	2
XL-184	Exelixis	MET, RET, VEGFR2	1/2
BIBW2992	Boehringer Ingelheim	EGFR, HER2	2
Foretinib	GlaxoSmithKline	MET, VEGFR2	1/2
Crizotinib	Pfizer	MET, ALK	3
XL-647	Exelixis	EGFR, HER2, VEGFR, EPHB4	2
XL-228	Exelixis	IGF1R, SRC, BCR-ABL	1
Lestaurtinib	Cephalon	JAK2, FLT4, TRK	1/2
PF04554878	Pfizer	FAK, PYK2	1

Many of the TKIs currently in clinical development target multiple kinases. Validated targets for each drug are indicated to the right, along with the stage of clinical testing each drug was in at the time this article was prepared.

shown to inhibit different serine-threonine kinases at physiologically relevant levels for cancer therapy [96,97]. This is particularly important for sorafenib, which was originally designed as a BRAF inhibitor. It is likely that this activity—and not its antiangiogenic potential—explains recent reports of modest sorafenib efficacy in *KRAS* mutant lung cancers in phase 2 clinical trials [98–100].

In addition to sorafenib and sunitinib, several other TKIs are in various stages of clinical development for antiangiogenic NSCLC therapy. All of these compounds target various members of the VEGFR family—most prominently *KDR/VEGFR2*—as well as other RTKs that have been implicated in NSCLC or other solid tumors (Tables 2 and 3) [101]. One of these agents, pazopanib (approved for use in kidney cancer), shows selectivity for *VEGFR*, *PDGFR*, and *KIT* and may prove to be useful for treating tumors that develop secondary resistance to treatment with sorafenib or sunitinib [102,103]. Newer TKIs that target both VEGFR and growth factor receptors include vandetanib and foretinib, which demonstrate selectivity for EGFR and MET, respectively [104–107]. Whereas both of these drugs are still in the early phases of clinical testing, they hold significant promise as dual inhibitors of primary tumor cell proliferation/survival and angiogenesis.

In addition to serving as traditional antiangiogenic compounds, drugs that target PDGFR family members may also be beneficial as adjuvant therapies owing to their ability to promote delivery of standard cytotoxic chemotherapies to tumors. Recent studies in NSCLC xenografts have demonstrated that combined treatment of tumors with imatinib—which inhibits PDGFR $\beta$ —and cytotoxic drugs including taxanes, doxorubicin, or etoposide leads to more effective tumor cell killing than any of the cytotoxic drugs alone [108]. This effect likely results from loss of interstitial vascular pressure and microvessel leakiness induced by imatinib treatment, which allows better penetration of drugs into tumors. A phase 2/3 clinical trial with pazopanib intended to test this preclinical model in stage I NSCLC patients is ongoing and should provide answers in the next year [109].

Although no information on resistance of NSCLC to antiangiogenic TKIs is available because of the relative infancy of this therapy in lung cancer patients, potential mechanisms for acquired resistance to sunitinib have been described in the context of renal carcinoma where this drug has been in use for a longer period. These mechanisms are briefly described below.

#### **Resistance Mechanism 4: Angiogenic Compensation**

Traditional models of tumor angiogenesis suggest that primary tumor cells promote increased vascularization by producing proangiogenic factors such as VEGF, which recruit adjacent endothelial cells to dissociate from stable vessels, migrate into the tumor, and proliferate to form new blood vessels. Antiangiogenic TKIs such as sunitinib disrupt this paracrine signaling loop by inhibiting VEGFR and PDGFR activation in endothelial cells, resulting in decreased endothelial cell recruitment and/or collapse of immature tumor vasculature. Because endothelial cells—and not cancer cells—are the target of drug treatment, secondary mutations to angiogenic receptors are unlikely to account for resistance.

A recent xenograft model of acquired resistance to sunitinib has been described for renal cell carcinoma in which tumor cells instead compensate for loss of VEGF signaling by upregulating signaling by the cytokine interleukin-8 (IL-8) [110]. This mechanism fits with previous work showing that various cytokines including IL-8 can elicit an alternative mode of angiogenesis independent of VEGF [111,112].

Whether this mechanism plays a role in patients who relapse after initial response to sunitinib is unclear at this point, although results from this study and others suggest that IL-8 levels may be enhanced in the tumors of patients who demonstrated primary resistance to antiangiogenic therapy [113].

#### **Resistance Mechanism 5: Reversible Cellular Identity Switching**

The ability of epithelial-derived cancer cells to detach from primary tumors and metastasize to distant locations is associated with a reversible change in cellular identity termed an *epithelial-mesenchymal transition* (EMT) [114]. EMT is characterized by broad changes in gene expression, cellular morphology, and migratory behavior in which stationary epithelial cells assume the characteristics of migratory mesenchymal cells. Whereas EMT can be induced in a controlled manner by the exposure of transformed cells to specific signaling ligands such as those from the transforming growth factor beta (TGF $\beta$ ) family, several studies have shown that EMT also occurs spontaneously in tumors and may be associated with drug resistance [115].

Evidence that reversible EMT may underlie acquired sunitinib resistance was described in a recent study in which cells derived from a sunitinib-resistant renal tumor metastasis with mesenchymal features were explanted to tissue culture and then xenografted into athymic nude mice [116]. Surprisingly, xenografted cells reverted to a sunitinib-sensitive state and demonstrated phenotypic reversion to an epithelial identity. Although the precise reason for the reversion was not explored, it is likely that the tumor cells reverted to an epithelial phenotype during tissue culture because of the enhanced proliferative capacity associated with this cellular identity.

It is interesting to note that the reversible changes in cellular phenotype that encompass EMT, such as decreased cellular proliferation and nonrandom epigenetic alterations, are strikingly similar in nature to those described for resistance to erlotinib [67,72]. Because these changes in identity also promote resistance to cytotoxic chemotherapies, it might be suggested that a single mechanism is responsible for driving several of the behaviors associated with aggressive solid tumor growth [115]. The clinical implications of this hypothesis are critically important because they suggest that prevention of identity switching in primary tumors may prove to be an effective therapy to inhibit drug resistance and seeding of secondary tumors to distant locations [117]. Further studies combining HDAC inhibitors with targeted agents including TKI will be necessary to test this hypothesis in the clinic. One phase 2 study of a HDAC inhibitor (entinostat) in combination with erlotinib has completed accrual, and results are pending [118].

#### **Nonreceptor TKIs**

Although the RTK class of kinases has received significantly greater attention as targets for NSCLC therapy, several nonreceptor tyrosine kinases (NRTKs) are also implicated in the cause and progression of this disease [3]. NRTKs primarily serve as secondary messengers to relay extracellular signals from growth factor, cytokine, and adhesion receptors to a wide array of targets in the cytoplasm and nucleus. Similar to RTK, these kinases can be activated by different genetic mechanisms including genomic amplification, point mutation, and translocation (Figure 1 and Table 1) [16]. In addition, they can also be strongly activated by dysregulated RTK signaling in the absence of genomic alteration. These mechanisms are proposed to alter kinase



activity in a manner that promotes tumor cell proliferation and survival or changes in tumor cell adhesion and migratory capacity.

For the purposes of this discussion, we will focus on three classes of NRTKs that are currently under development as targets for TKI therapy. Although only two of these classes are currently being targeted in ongoing clinical trials specific to NSCLC, all three are in various stages of clinical testing as chemotherapeutic targets. Both the rationale for targeting these kinases and the TKIs that are being used for this purpose are discussed below.

### *SRC Family Inhibitors*

Seminal work by Spector et al. [119] in the late 1970s demonstrated that cancer is initiated by mutagenic changes to normal genes (“proto-oncogenes”) found in the human cell. The focus of their work was the sarcoma viral oncogene (*v-Src*), which was first associated with transforming avian leukosis viruses, and then later found to have a normal cellular counterpart in human cells called *c-Src*, or simply *SRC* in current genomic nomenclature [120]. Since then, genome sequencing studies from several species have demonstrated that *SRC* is part of a larger family of nine related NRTKs, all of which have been implicated in various cancer-relating signaling processes in a wide variety cell and tissue types [16,121].

While activating mutations to *SRC* family members have been reported for a wide variety of solid tumors, it is now recognized that *Src* family oncoproteins are more commonly activated downstream of other signaling pathways—particularly activated RTK—in cancer [122]. Because *Src* plays an important role in tumor cell survival, adhesion, migration, and invasion, it is an attractive target for rationally designed NSCLC therapy [123]. Two small-molecule inhibitors of *Src*—dasatinib and XL-228—are currently in clinical development as single or combined agents for treatment of NSCLC (Table 3) [124,125]. Although dasatinib also shows selectivity for the tyrosine kinase ABL, it is primarily being developed as a *SRC* family kinase inhibitor for cancer therapy [126]. XL-228 shows similar selectivity for *SRC* but is primarily being developed as an inhibitor of the BCR-ABL fusion protein and IGF1R owing to its higher specificity for these kinases. Both preclinical models and early clinical trials with dasatinib suggest that coordinate targeting of EGFR and *Src* may be a useful therapeutic paradigm for the treatment of EGFR-positive NSCLC [127,128].

### *Focal Adhesion Kinase Inhibitors*

Signaling by the integrin family of adhesion receptors is critical for survival of both normal and transformed cells [129]. Activated integrins usually transmit these signals in the context of large protein complexes such as focal adhesions, which contain a variety of signaling enzymes including serine/threonine and tyrosine kinases [130]. Key mediators of survival signaling downstream of integrins include the closely related tyrosine kinases FAK and PYK2. Inhibition of signaling by these kinases induces cell death in a variety of tumor lines, consistent with their necessity for cellular viability [131].

Three different TKIs targeted to FAK and PYK2 are currently being evaluated in phase 1 clinical trials as single-agent therapies, although none of these drugs are specifically being used for the treatment of NSCLC (Table 2) [132,133]. Because FAK signaling has been implicated survival of several NSCLC cell lines, however, these drugs may find use as single or combined therapeutics for the treatment of this disease.

### *Janus Kinase Inhibitors*

The Janus kinase (JAK) family of proteins is composed of four separate tyrosine kinases that primarily function to transmit signals from cytokine receptors to their cytoplasmic and nuclear effectors. The most well-characterized targets of JAK family kinases are the signal transducer and activator of transcription (STAT) family of proteins, which translocate to the nucleus and induce transcription of key target genes in response to tyrosine phosphorylation [134]. Both JAK and STAT proteins are implicated in a wide variety of cancer-associated processes, most notably cell survival, angiogenesis, and immune surveillance.

JAK family kinases have primarily been implicated in hematologic malignancies, particularly in the case of JAK2, which is mutated in myeloproliferative disorders [135]. Emerging evidence suggests, however, that JAK/STAT signaling may play a wider role in NSCLC than has been previously appreciated because many NSCLC cell lines and patient tumors demonstrate elevated levels of JAK2 activity or STAT phosphorylation [136,137]. Two potential mechanisms to explain this effect are the selective epigenetic silencing of the suppressor of cytokine signaling-3 (SOCS3) promoter or genetic loss of the phosphatase PTPRD in NSCLC cells, both of which effectively increase JAK activity by removing critical negative feedback loops required to silence the activity of STAT proteins [138–140]. These findings have yet to be translated into the clinic for NSCLC treatment, although multiple JAK inhibitors are currently undergoing phase 1/2 testing for the treatment of other malignancies (Table 2) [141–144].

### **Future Directions in TKI Therapy for NSCLC**

Although many of the TKI discussed above are in relatively early stages of clinical testing, data that have already emerged from these studies suggest that rationally designed use of these agents will likely be effective in treating subsets of patients with specific combinations of genetic “driver mutations” [145]. Much work will be required to effectively determine which patients are the correct candidates for any given therapy, however, because it is now clear that simple expression and/or activation of a given target—for example, EGFR—is insufficient to predict patient responsiveness to inhibitors. This will require extensive genetic comparison of responders and non-responders, which in turn depends on further advances in sequencing technology that make such comparisons feasible [146].

A key theme that has clearly emerged from clinical studies of various TKIs is the necessity of inhibiting multiple targets in parallel to prevent the acquisition of drug resistance. In some respects, this should have been obvious based on the wealth of literature regarding antibiotic resistance in bacteria and viruses, which, in some instances, occurs by mechanisms that are remarkably similar to those discovered for EGFR TKI resistance [147,148]. Targeting of multiple kinases in parallel can be achieved by combining several highly specific drugs or by use of inhibitors that selectively target multiple targets [149]. The latter class of drugs has become especially popular in recent years because most kinase inhibitors function as nucleotide mimetics and, as a result, often demonstrate selectivity for more than one target (Table 3) [150]. Intentional use of these so-called “polypharmacologs” to interfere with multiple RTKs or multiple cancer-related processes such as tumor cell proliferation and angiogenesis has recently gained wider acceptance and is likely to see further development in the near future [151].

Another approach to rationally designed chemotherapy that has seen increased attention of late focuses on combination of compounds that target commonly activated pathways rather than individual



oncogenic molecules [38]. Because many of the RTKs that have been implicated in NSCLC activate similar downstream effectors, targeting common pathways rather than multiple receptors may be a more effective alternative for chemotherapy [152,153]. Several drugs that inhibit the Ras/MAPK, PI3K/Akt, mTOR, and JAK/STAT pathways are in clinical development as single or combined agents. Use of these drugs may turn out to be more effective than targeting individual RTKs because the mutational spectrum for receptors is significantly wider than the number of signaling pathways activated by these molecules.

The question of whether targeted therapeutics will provide additional benefit in combination with radiotherapy (XRT) or cytotoxic chemotherapy (CC) is also of great clinical interest because XRT and/or CC remain the standard of care for unresectable or advanced NSCLC. Several phase 2/3 clinical trials have begun to address this question by concurrent use of sorafenib or various EGFR inhibitors with CC agents, including platinum-based drugs, DNA-damaging agents, and taxanes [47,154–157], or in combination with XRT [158,159]. Results from these studies have been almost uniformly disappointing and demonstrate little, if any, additional benefit of combined therapy over CC or combined CC and XRT alone. Because most of these studies were performed in unselected patient populations, however, it remains to be seen whether combinational treatment with CC or XRT and targeted therapeutics will improve outcome in patients with genetically defined lesions. It has also been suggested that any potential benefit from combinatorial treatment is more likely to emerge from serial—rather than concurrent—treatment or maintenance CC because targeted drugs including TKIs often induce a cytostatic response that can antagonize the proliferation-dependent mechanisms of cell killing by traditional cytotoxic therapies when used concurrently [160].

Regardless of which therapeutic paradigm turns out to be most effective, it is clear that NSCLC will have to be treated with a “personalized medicine” approach if chemotherapy is to be widely successful in the clinic. This approach requires that each patient be segregated into a specific treatment group according to the constellation of molecular alterations that define his or her disease [161]. Whereas the most tangible methods for segregation currently rely on limited sequencing and gene expression data, it is likely that more sophisticated approaches including whole-genome sequencing, epigenome profiling, proteome profiling, and microRNA profiling (miRome profiling) will eventually be used to provide a more complete picture of lung cancer biology. Although this entire panel of analyses is unlikely to find clinical utility in the near future, incremental introduction of each technique into clinical practice will help to better focus rationally designed therapies on the patients who will most benefit from them.

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