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## Finite $p$ -groups and $k((t))$

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### ABSTRACT

Let  $k$  be a field of characteristic  $p > 0$  and let  $K = k((t))$  be the field of Laurent series over  $k$ . For each group  $G$  of order  $p^n$  there exist units  $u \in k[[t]]^\times$  such that  $K/k((ut^{p^n}))$  is Galois with  $\text{Gal}(K/k((ut^{p^n}))) \cong G$ . We explore the connections between  $G$  and  $u$ . Among other results, we prove that if both  $K/k((u_1t^{p^n}))$  and  $K/k((u_2t^{p^n}))$  are Galois and  $u_1$  and  $u_2$  are sufficiently close in the  $t$ -adic topology, then  $\text{Gal}(K/k((u_1t^{p^n}))) \cong \text{Gal}(K/k((u_2t^{p^n})))$ .

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## 1. Introduction

Let  $k$  be a field of characteristic  $p \geq 0$  and let  $K = k((t))$  be the field of Laurent series over  $k$ . Let  $v_t$  (or even just  $v$ ) be the  $t$ -adic valuation on  $K/k$ . Set  $\mathcal{U} = k[[t]]^\times$  and  $\mathcal{A} = \text{Aut}(K/k)$ . We will show that if  $L \subseteq K$  is a subfield with  $K/L$  a finite Galois extension, then either  $G$  is cyclic when  $p = 0$  or  $G = \text{Gal}(K/L)$  is an extension of a  $p$ -group by a cyclic group with order prime to  $p$  when  $p > 0$ . Given this, it is natural to try to investigate the situation when  $p > 0$  and  $\text{Gal}(K/L)$  is a finite  $p$ -group.

Let  $G$  be a finite  $p$ -group of order  $p^n$ . Then for any field  $k$  of characteristic  $p > 0$  there exists a totally ramified Galois extension  $E/K$  with  $\text{Gal}(E/K) \cong G$ . Since  $E$  is complete with respect to the unique extension of  $v$  to  $E$ ,  $E = k((s))$  for some  $s \in E$  by the structure theory of such fields. Now  $K$  and  $E$  are analytically isomorphic so there exists  $L = k((ut^{p^n})) \subseteq K$  such that  $K/L$  is Galois of degree  $p^n$  with  $G \cong \text{Gal}(K/L)$ . We want to understand how information about  $G = \text{Gal}(K/k((ut^{p^n})))$  can be determined from  $u$ . We will show, among other things, that if  $L_1 = k((u_1t^{p^n}))$  and  $L_2 = k((u_2t^{p^n}))$  are subfields of  $K$  such that  $K/L_1$  and  $K/L_2$  are Galois then there exists an integer  $N$  (depending on  $u_1$ ) so that if  $v(u_1 - u_2) > N$  then  $\text{Gal}(K/L_1) \cong \text{Gal}(K/L_2)$ .

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**2. Structure of  $\text{Aut}(k((t))/k)$**

Let  $k((t))/k((x))$  be a finite Galois extension with Galois group  $G$ . We first determine the possible Galois groups which can occur. We will see that, when the characteristic of  $k$  is  $p > 0$ , the most interesting Galois groups which occur are  $p$ -groups.

We know that  $v(\sigma(\alpha)) = v(\alpha)$  for all  $\sigma \in \mathcal{A}$  and for all  $\alpha \in K$ . It follows that  $v(\frac{\sigma(\alpha)}{\alpha}) = 0$  for all  $\alpha \in K^\times$ . So for each  $\alpha \in K^\times$  we define  $\phi_\alpha : \mathcal{A} \rightarrow \mathcal{U}$  by  $\sigma \mapsto \frac{\sigma(\alpha)}{\alpha}$ .

**Proposition 1.** *Let  $\alpha \in K^\times$ . Then  $\phi_\alpha$  is a crossed homomorphism (or derivation or 1-cocycle)  $\mathcal{A} \rightarrow \mathcal{U}$ . It is principal (or inner or 1-coboundary) if and only if  $\alpha \in \mathcal{U}$ .*

**Proof.**  $\phi_\alpha$  is a crossed homomorphism:

$$\begin{aligned} \phi_\alpha(\sigma\tau) &= \frac{\sigma\tau(\alpha)}{\alpha} \\ &= \frac{\sigma(\alpha)}{\alpha} \frac{\sigma\tau(\alpha)}{\sigma(\alpha)} \\ &= \frac{\sigma(\alpha)}{\alpha} \left(\frac{\tau(\alpha)}{\alpha}\right)^\sigma \\ &= \phi_\alpha(\sigma)\phi_\alpha(\tau)^\sigma. \end{aligned}$$

If  $\alpha \in \mathcal{U}$  then, by definition,  $\phi_\alpha$  is principal. Now suppose  $\phi_\alpha$  is a principal crossed homomorphism. Then there exists a  $u \in \mathcal{U}$  such that  $\phi_\alpha(\sigma) = \frac{\sigma(u)}{u}$  for all  $\sigma \in \mathcal{A}$ . That is  $\frac{\sigma(\alpha)}{\alpha} = \frac{\sigma(u)}{u}$  and so  $\sigma(\frac{\alpha}{u}) = \frac{\alpha}{u}$  for all  $\sigma \in \mathcal{A}$ . Therefore  $\frac{\alpha}{u} \in (K^\times)^\mathcal{A} = k^\times$  and so  $\alpha \in k^\times \mathcal{U} = \mathcal{U}$ .  $\square$

In particular  $\phi_t$  gives a nontrivial element of  $H^1(\mathcal{A}, \mathcal{U})$ . We also note that  $\phi_t$  is bijective because for any  $u \in \mathcal{U}$  there exists a  $\sigma \in \mathcal{A}$  with  $\sigma(t) = ut$ . From now on we will denote  $\phi_t(\sigma)$  by  $u_\sigma$ . Thus  $\sigma(t) = u_\sigma t$  and  $u_{\sigma\tau} = u_\sigma u_\tau^\sigma$  for all  $\sigma, \tau \in \mathcal{A}$ .

For any  $n \geq 0$  and  $\sigma \in \mathcal{A}$  we have  $(t^{n+1})^\sigma = (t^{n+1})$  and thus  $\sigma$  induces an automorphism of  $R_n = k[[t]]/(t^{n+1})$  which we'll denote by  $\sigma^{[n]}$ . If  $\sigma, \tau \in \mathcal{A}$  we have  $(\sigma\tau)^{[n]} = \sigma^{[n]}\tau^{[n]}$  and thus  $h_n : \mathcal{A} \rightarrow \text{Aut}_k(R_n)$  given by  $\sigma \mapsto \sigma^{[n]}$  is a group homomorphism. We define  $\mathcal{A}_n = \ker h_n$ . Note that  $\mathcal{A}_0 = \mathcal{A}$ .

**Proposition 2.** *We have  $\sigma \in \mathcal{A}_n$  if and only if  $v_t(u_\sigma - 1) \geq n$ .*

**Proof.** Since  $\sigma$  fixes the elements of  $k$  we have  $\sigma \in \mathcal{A}_n$  if and only if  $u_\sigma t + (t^{n+1}) = \sigma^{[n]}(t + (t^{n+1})) = t + (t^{n+1})$  iff  $u_\sigma t - t \in (t^{n+1})$  iff  $u_\sigma - 1 \in (t^n)$  iff  $v_t(u_\sigma - 1) \geq n$ .  $\square$

It's now clear that  $\mathcal{A} = \mathcal{A}_0 \supseteq \mathcal{A}_1 \supseteq \mathcal{A}_2 \supseteq \dots$  is a chain of normal subgroups of  $\mathcal{A}$  and  $\bigcap_{n=0}^\infty \mathcal{A}_n = \{\text{id}_K\}$ .

In [1] Camina studies  $\mathcal{A}$  for  $k = \mathbb{F}_p$ , the finite field with  $p$  elements. In particular our  $\mathcal{A}_1$  is her  $J$ . In [2] Johnson studies  $t + t^2 R[[t]]$  for an arbitrary commutative ring with identity  $R$  as the group of formal power series under substitution. This is essentially the group  $\mathcal{A}_1$  when  $R = k$  is a field.

**Proposition 3.**  $\mathcal{A}/\mathcal{A}_1 \cong k^\times$ .

**Proof.** For any  $u = a_0 + a_1 t + \dots \in \mathcal{U}$  and any  $\sigma \in \mathcal{A}$  we have  $u^\sigma = a_0 + a_1 t^\sigma + \dots$  and so  $u \equiv u^\sigma \pmod{t}$ . So  $u_{\sigma\tau} = u_\sigma u_\tau^\sigma \equiv u_\sigma u_\tau \pmod{t}$ . Thus the map  $\sigma \mapsto u_\sigma \pmod{t}$  is an epimorphism with kernel  $\mathcal{A}_1$ .  $\square$

In fact, the exact sequence  $1 \rightarrow \mathcal{A}_1 \rightarrow \mathcal{A} \rightarrow k^\times \rightarrow 1$  splits. Let  $\mathcal{B} = \{\sigma \in \mathcal{A} \mid u_\sigma \in k^\times\}$ . Clearly  $\mathcal{B}$  is a subgroup of  $\mathcal{A}$ ,  $\mathcal{B} \cong k^\times$ , and  $\mathcal{A} = \mathcal{A}_1 \rtimes \mathcal{B}$ .

We now focus our attention on  $\mathcal{A}_1$ . It will be convenient to introduce the standard filtration of  $\mathcal{U}$ : define  $\mathcal{U}_0 = \mathcal{U}$  and for each  $n \geq 1$  define  $\mathcal{U}_n = 1 + t^n k[[t]]$ . By an earlier result we have  $\sigma \in \mathcal{A}_n$  if and only if  $u_\sigma \in \mathcal{U}_n$ .

**Lemma 4.** *If  $u \in \mathcal{U}_n$  and  $\sigma \in \mathcal{A}_1$ , then  $u^\sigma \equiv u \pmod{t^{n+1}}$ .*

**Proof.** We have  $u \equiv 1 + a_n t^n \pmod{t^{n+1}}$  and  $u_\sigma = 1 + \lambda_1 t + \dots$  and so

$$\begin{aligned} u^\sigma &\equiv 1 + a_n(1 + \lambda_1 t + \dots)^n t^n \pmod{t^{n+1}} \\ &\equiv 1 + a_n t^n \pmod{t^{n+1}} \\ &\equiv u \pmod{t^{n+1}}. \quad \square \end{aligned}$$

We will use the following identity repeatedly

$$\begin{aligned} u_{\sigma\tau} - 1 &= u_\sigma u_\tau^\sigma - 1 \\ &= (u_\sigma - 1) + (u_\tau^\sigma - 1) + (u_\sigma - 1)(u_\tau^\sigma - 1) \\ &= (u_\sigma - 1) + (u_\tau - 1)^\sigma + (u_\sigma - 1)(u_\tau - 1)^\sigma. \end{aligned}$$

**Proposition 5.**  $\mathcal{A}_n/\mathcal{A}_{n+1} \cong k^+$  for all  $n \geq 1$ .

**Proof.** For any  $\sigma \in \mathcal{A}_n$  we have  $v_t(u_\sigma - 1) \geq n$  and so  $v_t(\frac{u_\sigma - 1}{t^n}) \geq 0$ . Thus we can define  $f : \mathcal{A}_n \rightarrow k^+$  by  $\sigma \mapsto \frac{u_\sigma - 1}{t^n} \pmod{t}$ . For any  $\sigma, \tau \in \mathcal{A}_n$  we have

$$\begin{aligned} f(\sigma\tau) &= \frac{u_{\sigma\tau} - 1}{t^n} \pmod{t} \\ &\equiv \frac{u_\sigma - 1}{t^n} + \frac{(u_\tau - 1)^\sigma}{t^n} + \frac{u_\sigma - 1}{t^n} (u_\tau - 1)^\sigma \pmod{t} \\ &\equiv \frac{u_\sigma - 1}{t^n} + \frac{u_\tau - 1}{t^n} \pmod{t} \\ &= f(\sigma) + f(\tau). \end{aligned}$$

$f$  is surjective because there exists a  $\sigma \in \mathcal{A}_n$  with  $u_\sigma = 1 + \lambda t^n$  for any  $\lambda \in k$  and  $\ker f$  is  $\mathcal{A}_{n+1}$ . Therefore  $\mathcal{A}_n/\mathcal{A}_{n+1} \cong k^+$ .  $\square$

**Proposition 6.** *If  $\sigma \in \mathcal{A}_d$  and  $n \in \mathbb{Z}$  we have*

$$u_{\sigma^n} - 1 \equiv n(u_\sigma - 1) \pmod{t^{d+1}}.$$

**Proof.** For  $n \geq 1$  we proceed by induction. The statement is clearly true for  $n = 1$ ; suppose it's true for  $n$ . Consider

$$\begin{aligned} u_{\sigma^{n+1}} - 1 &= u_{\sigma^n \sigma} - 1 \\ &= (u_{\sigma^n} - 1) + (u_\sigma - 1)^{\sigma^n} + (u_{\sigma^n} - 1)(u_\sigma - 1)^{\sigma^n} \end{aligned}$$

$$\begin{aligned} &\equiv (u_{\sigma^n} - 1) + (u_{\sigma} - 1) \pmod{t^{d+1}} \\ &\equiv n(u_{\sigma} - 1) + (u_{\sigma} - 1) \pmod{t^{d+1}} \\ &\equiv (n + 1)(u_{\sigma} - 1) \pmod{t^{d+1}}. \end{aligned}$$

For  $n = 0$  the statement is trivial because we have  $u_{\sigma^0} = u_{\text{id}_K} = 1$ .

For  $n = -1$  we have

$$\begin{aligned} 0 &= u_{\text{id}_K} - 1 \\ &= (u_{\sigma\sigma^{-1}} - 1) \\ &\equiv (u_{\sigma} - 1) + (u_{\sigma^{-1}} - 1) \pmod{t^{d+1}} \end{aligned}$$

and so  $(u_{\sigma^{-1}} - 1) \equiv -(u_{\sigma} - 1) \pmod{t^{d+1}}$ . Since  $\sigma^{-1} \in \mathcal{A}_n$  the result now follows from the first paragraph.  $\square$

**Corollary 7.** *If  $k$  has characteristic 0,  $\mathcal{A}_1$  is torsion-free. If  $k$  has characteristic  $p > 0$ , the torsion elements of  $\mathcal{A}_1$  have  $p$ -power order.*

**Proof.** Let  $\sigma \in \mathcal{A}_1$  with  $\sigma \neq \text{id}_K$ . Then  $\sigma \in \mathcal{A}_d - \mathcal{A}_{d+1}$  for some  $d \geq 1$ . Thus  $u_{\sigma} - 1 \equiv \lambda t^d \pmod{t^{d+1}}$  for some  $\lambda \in k^\times$ . We then have  $u_{\sigma^n} - 1 \equiv n(u_{\sigma} - 1) \pmod{t^{d+1}}$  for all  $n$ . Thus we have  $\frac{u_{\sigma^n} - 1}{t^d} \equiv n \frac{u_{\sigma} - 1}{t^d} \equiv n\lambda \pmod{t}$  for all  $n$ .

So if the characteristic of  $k$  is 0,  $\frac{u_{\sigma^n} - 1}{t^d} \not\equiv 0 \pmod{t}$  for all  $n \geq 1$  and therefore  $u_{\sigma^n} \neq 1$  for all  $n \geq 1$ . Thus  $\sigma$  is not torsion.

If the characteristic of  $k$  is  $p > 0$  and  $\tau$  has order  $n = mp^e$  for some  $m$  with  $p \nmid m$  and  $e \geq 0$ , then  $\sigma = \tau^{p^e}$  has order  $m$ . If  $m \neq 1$  (that is,  $\sigma \neq \text{id}_K$ ) we have  $0 = \frac{u_{\sigma^m} - 1}{t^d} \equiv m\lambda \pmod{t}$  by the first paragraph and therefore  $p \mid m$ . This contradiction shows  $m = 1$ .  $\square$

The above proposition and corollary are found, with their notation and emphasis, in [2].

**Proposition 8.** *Let  $G$  be a finite subgroup of  $\mathcal{A}$ . Then:*

1. *If  $k$  has characteristic 0,  $G$  is cyclic of order  $s$  where  $s$  is the order of some root of unity in  $k$  and  $G \cap \mathcal{A}_1 = \{\text{id}_K\}$ .*
2. *If  $k$  has characteristic  $p > 0$ ,  $G/G \cap \mathcal{A}_1$  is cyclic of order  $s$  where  $s$  is the order of some root of unity in  $k$  and  $G \cap \mathcal{A}_1$  is the  $p$ -Sylow subgroup of  $G$ .*

*In either case,  $G$  is solvable.*

**Proof.** We have  $G \hookrightarrow \mathcal{A} \rightarrow \mathcal{A}/\mathcal{A}_1 \cong k^\times$  and so  $G/G \cap \mathcal{A}_1$  is isomorphic to a subgroup of  $k^\times$ . Since  $G/G \cap \mathcal{A}_1$  is finite and finite subgroups of  $k^\times$  are generated by a root of unity,  $G/G \cap \mathcal{A}_1$  is cyclic of order  $s$  where  $s$  is the order of a root of unity in  $k$ .

If  $k$  has characteristic 0,  $G \cap \mathcal{A}_1 = \{\text{id}_K\}$  because  $\mathcal{A}_1$  is torsion-free.

If  $k$  has characteristic  $p > 0$ ,  $G \cap \mathcal{A}_1$  has  $p$ -power order as the torsion elements of  $\mathcal{A}_1$  have  $p$ -power order. Since  $[G : G \cap \mathcal{A}_1] = s$  is the order of a root of unity in  $k$ ,  $p \nmid s$ . Thus  $G \cap \mathcal{A}_1$  is the  $p$ -Sylow subgroup of  $G$ .

In either case,  $G/G \cap \mathcal{A}_1$  is cyclic and  $G \cap \mathcal{A}_1$  is nilpotent; thus  $G$  is solvable.  $\square$

### 3. Totally ramified extensions and subfields

We begin with a lemma which confirms that the codimension of  $k((ut^s))$  in  $K$  is  $s$ . The converse of this statement is usually true: if  $k$  is a field either of characteristic 0 or of characteristic  $p > 0$  and  $[k : k^p]$  is finite then every subfield of  $K$  of codimension  $s$  is of the form  $k((ut^s))$  for some unit  $u$ . For this result see [3].

**Lemma 9.** *Let  $k$  be any field,  $s > 1$ , and  $u \in \mathcal{U}$ . Then  $[k((t)) : k((ut^s))] = s$ .*

**Proof.** Let  $K = k((t))$  and  $L = k((ut^s))$ . For notational convenience, set  $\pi = ut^s$ , and  $R = k[[t]]$ . Now  $\pi R = t^s R$ , so  $R/\pi R \cong k[t]/t^s k[t]$  has a  $k$ -basis  $1, t, t^2, \dots, t^{s-1}$ . We prove by induction on  $n$  that there exist  $\lambda_{i,j}$  for  $i = 0, 1, \dots, s - 1$  and for all  $j \geq 0$  such that

$$t^s \equiv \left( \sum_{j=0}^n \lambda_{0,j} \pi^j \right) 1 + \left( \sum_{j=0}^n \lambda_{1,j} \pi^j \right) t + \dots + \left( \sum_{j=0}^n \lambda_{s-1,j} \pi^j \right) t^{s-1} \pmod{\pi^{n+1}}.$$

This is true for  $n = 0$  since

$$t^s \equiv \lambda_{0,0} + \lambda_{1,0}t + \dots + \lambda_{s-1,0}t^{s-1} \pmod{t^s}$$

with  $\lambda_{i,0} = 0$  for each  $i$  at this stage. Now suppose the result is true for  $n > 0$ . Then

$$\begin{aligned} & \frac{1}{\pi^{n+1}} \left( t^s - \left( \sum_{j=0}^n \lambda_{0,j} \pi^j \right) 1 - \left( \sum_{j=0}^n \lambda_{1,j} \pi^j \right) t - \dots - \left( \sum_{j=0}^n \lambda_{s-1,j} \pi^j \right) t^{s-1} \right) \\ & \equiv \lambda_{0,n+1} + \lambda_{1,n+1}t + \dots + \lambda_{s-1,n+1}t^{s-1} \pmod{\pi} \end{aligned}$$

for some  $\lambda_{i,n+1} \in k$ . Now multiply by  $\pi^{n+1}$  and collect terms to get the truth of the statement for  $n + 1$ . Therefore  $a_i = \sum_{j=0}^{\infty} \lambda_{i,j} \pi^j \in L$  for each  $i$ , and

$$t^s = a_0 + a_1 t + \dots + a_{s-1} t^{s-1}.$$

Thus  $t$  is algebraic over  $L$ . Then  $L(t)/L$  is finite, and since  $L$  is complete, so is  $L(t)$ . Now  $k(t) \subseteq L(t)$  and so  $L(t)$  contains the closure of  $k(t)$ , which is  $k((t))$ . Hence  $k((t)) = L(t)$ . Since  $k((t))/L$  is finite, its ramification index is  $s$ , and its inertial degree is 1, we have  $[k((t)) : L] = s$ .  $\square$

We have seen that for any Galois extension  $k((t))/k((ut^s))$ , the Galois group is an extension of a  $p$ -group by a cyclic group with order prime to  $p$ . So it is reasonable to consider extensions whose Galois groups are  $p$ -groups. We now turn our attention to determining the possible Galois groups which occur. From this point on, we assume  $k$  is a field of characteristic  $p > 0$ .

**Lemma 10.** *Let  $K$  be a field of characteristic  $p > 0$ , and  $K_p$  be the compositum of all  $p$ -power Galois extensions of  $K$ . Then  $\text{Gal}(K_p/K)$  is a free pro- $p$  group. The number of generators of this group is equal to the dimension of the  $\mathbb{Z}/p\mathbb{Z}$ -vector space  $K/\wp(K)$ , where  $\wp(y) = y^p - y$ .*

**Proof.** See Proposition 30 in Chapter IV of [4].  $\square$

**Lemma 11.** *Let  $K = k((t))$ , where  $k$  is a field of characteristic  $p > 0$ . The  $\mathbb{Z}/p\mathbb{Z}$ -vector space  $K/\wp(K)$  is infinite dimensional.*

**Proof.** The infinite set  $\{t^{-n} + \wp(K) : n > 0 \text{ and } p \nmid n\}$  is linearly independent in  $K/\wp(K)$ : Assume

$$\alpha = c_0 t^{-n_0} + \dots + c_s t^{-n_s} = f^p - f \in \wp(K)$$

where  $c_i \in \mathbb{Z}/p\mathbb{Z}$ ,  $-n_0 < \dots < -n_s$ ,  $c_0 \neq 0$ , and  $p \nmid n_i$  for  $i = 0, \dots, s$ . We have  $v(\alpha) = -n_0$  and so  $v(f)$  must be  $< 0$ . But then  $v(f^p) < v(f)$  so  $v(f^p - f) = v(f^p) = pv(f)$ , a contradiction to  $p \nmid n_0$ .  $\square$

Together, the last two lemmas show that the Galois group of  $K_p/K$  is a free pro- $p$  group on an infinite number of generators.

**Lemma 12.** *Let  $k$  be a field of characteristic  $p > 0$  and  $K = k((t))$ . Then every finite  $p$ -group  $G$  is the Galois group of a totally ramified extension of  $K$ .*

**Proof.** Let  $G$  be a finite  $p$ -group of order  $p^n$ .

Suppose first that  $k$  is a finite field. Since  $K_p/K$  is Galois with Galois group a free pro- $p$  group on an infinite number of generators, we can choose an extension  $F/K$  with Galois group isomorphic to  $G \times G$ . Let  $G_1 = G \times \{1\}$  and  $G_2 = \{1\} \times G$ . If  $F_i$  is the fixed field of  $G_i$ , then  $F_i/K$  is a Galois extension with Galois group isomorphic to  $G$ . Let  $F'$  be the maximal unramified extension of  $k((t))$  in  $F$ . Then  $F'/K$  is a cyclic extension of  $p$ -power order. Since  $F_1 \cap F_2 = K$ , it follows that at least one of  $F_i/K$  is totally ramified, as otherwise each  $F_i$  intersects  $F'$  nontrivially, and so each  $F_i$  must contain the unique degree  $p$  extension of  $K$  in  $F'$ , a contradiction. Hence we may assume that  $F_1/K$  is totally ramified with Galois group  $G$ .

Now suppose  $k$  is an arbitrary field of characteristic  $p$ . Let  $k_0$  be the prime subfield of  $k$ . So  $k_0$  is the finite field with  $p$  elements. Let  $K_0 = k_0((t))$ . By the first paragraph  $K_0$  has a totally ramified Galois extension  $L_0/K_0$  with Galois group  $G$ . Since  $L_0K/K$  is finite  $L_0K$  is complete with respect to a real valuation which extends  $v$ . We'll call this valuation  $v$  as well, so all our fields are complete with respect to the appropriate restrictions of  $v$ .

We have

$$\begin{aligned} [v(L_0^\times) : v(K_0^\times)] &= [L_0 : K_0] \\ &\geq [L_0K : K] \\ &\geq [v((L_0K)^\times) : v(K^\times)] \\ &= [v((L_0K)^\times) : v(K_0^\times)] \\ &\geq [v(L_0^\times) : v(K_0^\times)]. \end{aligned}$$

Thus we must have equality throughout. In particular we have

$$[v((L_0K)^\times) : v(K^\times)] = [L_0K : K] = [L_0^\times : K_0^\times].$$

Thus  $L_0K/K$  is totally ramified and  $\text{Gal}(L_0K/K) \cong \text{Gal}(L_0/K_0)$  by the Theorem on Natural Irrationalities and the equality of the dimensions.  $\square$

**Proposition 13.** *For any finite  $p$ -group  $G$  and  $k$  a field of characteristic  $p$  there exists a unit  $u \in \mathcal{U}_1$  such that  $K/k((ut^{p^n}))$  is Galois with Galois group isomorphic to  $G$ .*

**Proof.** Let  $G$  be a finite  $p$ -group of order  $p^n$ . By the lemma there exists a field extension  $E/K$  such that  $E/K$  is totally ramified and Galois with  $\text{Gal}(E/K) = G$ . By the structure theorem of finite extensions of  $K = k((t))$  we see that  $E = k((s))$  for some  $s \in E$ . Since  $E$  and  $K$  are analytically  $k$ -isomorphic we see that  $K$  has a subfield  $L$  of codimension  $p^n$  such that  $k \subseteq L$ ,  $L$  is closed (and hence complete) in the  $t$ -adic topology on  $K$ , and  $K/L$  is a totally ramified Galois extension with  $\text{Gal}(K/L) = G$ . Thus

$L = k(\langle z \rangle)$  for some  $z \in L$  and since  $K/L$  is totally ramified we have  $v(z) = p^n$ . Therefore  $z = ut^{p^n}$  for some  $u \in \mathcal{U}$ . Since  $k(\langle ut^{p^n} \rangle) = k(\langle \lambda ut^{p^n} \rangle)$  for any  $\lambda \in k^\times$  we can suppose  $u \in \mathcal{U}_1$ .  $\square$

There are restrictions on the units  $u \in \mathcal{U}$  such that  $k(\langle t \rangle)/k(\langle ut^{p^n} \rangle)$  is Galois extension:

**Theorem 14.** *If  $k$  is a perfect field of characteristic  $p > 0$  and  $k(\langle t \rangle)/L$  is a Galois extension of dimension  $p^n$ , then there exists  $u \in \mathcal{U}_{(p-1)p^{n-1}}$  such that  $L = k(\langle ut^{p^n} \rangle)$ .*

**Proof.** Suppose  $k(\langle t \rangle)/k(\langle ut^{p^n} \rangle)$  is a finite Galois extension. Then  $[k(\langle t \rangle) : k(\langle ut^{p^n} \rangle)] = p^n$  and thus  $G$ , the Galois group of this extension, is a finite  $p$ -group. Let us proceed by induction on  $n$ . For the base case, suppose  $k(\langle t \rangle)/k(\langle ut^p \rangle)$  is a finite Galois extension. Then we may write  $k(\langle t \rangle) = k(\langle ut^p \rangle)(\alpha)$ , where  $\alpha$  is a root of the Artin–Schreier polynomial  $X^p - X - f$ , for some  $f \in k(\langle ut^p \rangle)$  with  $v_t(f) = -mp$  and  $\gcd(m, p) = 1$ . (See Proposition 11.17 in [5].) For notational convenience, write  $y = ut^p$  and  $f = \frac{c}{y^m}$  with  $c \in k[[y]]^\times$ . Now

$$\alpha^p - \alpha = \frac{c}{y^m} \Rightarrow \frac{1}{\alpha^p - \alpha} = c^{-1}y^m = \frac{1}{1 - \frac{1}{\alpha^p}} = \frac{1}{\alpha^p} \left( \frac{1}{1 - \frac{1}{\alpha^p}} \right).$$

Write  $\beta = \frac{1}{\alpha}$  and we can rewrite as

$$c^{-1}y^m = \beta^p(1 + \beta^{p-1} + \beta^{2p-2} + \dots).$$

Since  $v_t(\beta) = m$  there exists  $w \in \mathcal{U}$  such that  $\beta = wt^m$ . Then

$$y^m = c(wt^m)^p(1 + (wt^m)^{p-1} + (wt^m)^{2p-2} + \dots)$$

and we may take  $m$ -th roots everywhere to get

$$ut^p = y = c^{\frac{1}{m}} w^{\frac{p}{m}} t^p(1 + (wt^m)^{p-1} + (wt^m)^{2p-2} + \dots)^{\frac{1}{m}}.$$

Thus  $u \in k[[ut^p]]^\times k[[t^p]]^\times k[[wt^{m(p-1)}]]^\times$  and so  $u \in 1 + k[[t]]t^{p-1}$ .

Now for the inductive step. Suppose that  $n > 0$  is given, and that the result is true for  $n - 1$ .  $G$  is a  $p$ -group, and so  $G$  contains a normal subgroup  $H$  of index  $p$ . Let  $E$  be the fixed field of  $H$ . Then  $k(\langle t \rangle)/E$  is a Galois extension of degree  $p^{n-1}$  and  $E/k(\langle ut^{p^n} \rangle)$  is Galois of degree  $p$ . By the induction hypothesis, there exists a unit  $u_1 \in \mathcal{U}$  such that  $v_t(u_1 - 1) \geq p^{n-2}(p - 1)$  and  $E = k(\langle u_1 t^{p^{n-1}} \rangle)$ .  $E/k(\langle ut^{p^n} \rangle)$  is Galois of degree  $p$ , so there exists a unit  $u_2 \in k[[u_1 t^{p^{n-1}}]]$  such that  $\frac{1}{p^{n-1}}v_t(u_2 - 1) > p - 1$  and  $k(\langle ut^{p^n} \rangle) = k(\langle u_2(u_1 t^{p^{n-1}})^p \rangle)$ . Now  $u_2(u_1 t^{p^{n-1}})^p = u_2 u_1^p t^{p^n}$  and  $v_t(u_1^p - 1) = pv_t(u_1 - 1) \geq pp^{n-2}(p - 1) = p^{n-1}(p - 1)$  and  $v_t(u_2 - 1) \geq p^{n-1}(p - 1)$ . Thus  $v_t(u_2(u_1)^p - 1) \geq p^{n-1}(p - 1)$  and  $u_2 u_1^p$  is a unit in  $k[[t]]$ . Note that the last inequality follows from the identity  $ab - 1 = (a - 1)(b - 1) + (a - 1) + (b - 1)$ . Thus we see that for any Galois extension  $k(\langle t \rangle)/L$  of degree  $p^n$ , there exists some  $u \in \mathcal{U}_{(p-1)p^{n-1}}$  such that  $L = k(\langle ut^{p^n} \rangle)$ .  $\square$

#### 4. Extended depth

For  $\alpha \in K$  we have  $\alpha = \sum_{i=-\infty}^\infty a_i t^i$  where  $a_i \in k$  and  $a_i = 0$  for all  $i < N$  for some  $N$  depending on  $\alpha$ . When convenient we will denote  $a_i$ , the  $i$ -th coefficient of  $\alpha$ , by  $[\alpha]_i$ . The support of  $\alpha$  is the set  $\text{Supp}(\alpha) = \{i : [\alpha]_i \neq 0\}$ . We have, of course,  $v(\alpha) = \inf(\text{Supp}(\alpha))$ .

In the study of  $\text{Aut}(K/k)$  the depth of a unit  $u \in \mathcal{U}$  is defined to be  $d(u) = v(u - u(0))$ , where  $u(0)$  is the nonzero zeroth coefficient of  $u$ . For  $\sigma \in \text{Aut}(K/k)$ ,  $d(\sigma) = d(u_\sigma)$ , where  $\sigma(t) = u_\sigma t$ . In this work

we often consider  $u_1 t^{m_1} \in k((u_2 t^{m_2}))$  for some  $u_1, u_2 \in \mathcal{U}$  and try to estimate the depth of  $u_2$  in terms of the depth of  $u_1$ . In order to get more precise relations, we introduce a related concept.

We define the *extended depth* of  $\alpha \in K$  to be

$$e(\alpha) = \inf(\text{Supp}(\alpha) - p\mathbb{Z}) \in \mathbb{Z} \cup \{\infty\}.$$

Note that  $e(\alpha)$  is either  $\infty$  or an integer not divisible by  $p$ . Of course for any  $\alpha \in \mathcal{U}$  we have  $e(\alpha) \geq d(\alpha)$  with equality if and only if  $p \nmid d(\alpha)$ . Similarly for  $\sigma \in \mathcal{A}$  we define  $e(\sigma)$  to be  $e(u_\sigma)$  where  $\sigma(t) = u_\sigma t$ .

#### 4.1. Extended depth of elements

The following lemma collects the basic properties of  $e(\cdot)$  we will need.

**Lemma 15.** *Let  $\alpha, \beta \in K$ .*

1.  $e(\alpha) \geq v(\alpha)$  with equality if and only if  $p \nmid v(\alpha)$  or  $\alpha = 0$ . (In particular  $e$  is continuous.)
2.  $e(\alpha) = \infty$  if and only if  $\alpha \in k((t^p))$ . (Note  $K^p \subseteq k((t^p))$ .)
3.  $e(\alpha + \beta) \geq \min(e(\alpha), e(\beta))$  with equality if  $e(\alpha) \neq e(\beta)$ .
4. If  $\gamma \in k((t^p))$  then  $e(\alpha + \gamma) = e(\alpha)$ .
5. If  $\gamma \in k((t^p))$  then  $e(\alpha\gamma) = e(\alpha) + v(\gamma)$ . (In particular if  $u \in k[[t^p]]^\times$  then  $e(\alpha u) = e(\alpha)$ .)
6.  $\alpha$  can be written as  $\alpha_0 + \gamma$  where  $\alpha_0 \in K$ ,  $\gamma \in k((t^p))$ ,  $e(\alpha) = v(\alpha_0) = e(\alpha_0)$ , and  $v(\alpha) = \min(v(\alpha_0), v(\gamma))$ .

**Proof.** The proofs of 1. through 5. follow immediately from the definition of  $e$ . As for 6. we set  $\alpha_0 = \sum_{i=-\infty}^\infty c_i t^i$  and  $\gamma = \sum_{i=-\infty}^\infty d_i t^i$  where

$$c_i = \begin{cases} [\alpha]_i & \text{if } p \nmid i, \\ 0 & \text{if } p \mid i \end{cases} \quad \text{and} \quad d_i = \begin{cases} 0 & \text{if } p \nmid i, \\ [\alpha]_i & \text{if } p \mid i. \end{cases}$$

Then  $\alpha = \alpha_0 + \gamma$  and the rest follows.  $\square$

**Proposition 16.** *Suppose  $\alpha, \beta \in \mathcal{U}$  and  $e = \min(e(\alpha), e(\beta)) < \infty$ . Then*

$$[\alpha\beta]_e = [\alpha]_e[\beta]_0 + [\beta]_e[\alpha]_0 \quad \text{and} \quad e(\alpha\beta) \geq e$$

with equality if and only if  $[\alpha\beta]_e \neq 0$ .

**Proof.** Without loss of generality  $e(\alpha) \leq e(\beta)$ . So  $e(\alpha) = e < \infty$  and  $\alpha = at^e + r_1 + \gamma_1$  where  $a = [\alpha]_e \neq 0$ ,  $v(r_1) > e$ , and  $\gamma_1 \in k((t^p))$ .

If  $e(\beta) = \infty$  then  $\beta \in k((t^p))$  so  $\alpha\beta = a\beta t^e + r_1\beta + \gamma_1\beta$  where  $v(r_1\beta) = v(r_1) > e$  and  $[\alpha\beta]_e = [\alpha]_e[\beta]_0 + [\beta]_e[\alpha]_0 \neq 0$  as  $[\beta]_e = 0$ .

Now suppose  $e(\beta) < \infty$ . Then  $\beta = bt^f + r_2 + \gamma_2$  where  $f = e(\beta)$ ,  $b = [\beta]_f \neq 0$ ,  $v(r_2) > f$ , and  $\gamma_2 \in k((t^p))$ . So

$$\begin{aligned} \alpha\beta &\equiv (at^e + \gamma_1)(bt^f + \gamma_2) \pmod{t^{e+1}} \\ &\equiv abt^{e+f} + a\gamma_2 t^e + b\gamma_1 t^f + \gamma_1\gamma_2 \pmod{t^{e+1}} \\ &\equiv a[\beta]_0 t^e + b[\alpha]_0 t^f + \gamma_1\gamma_2 \pmod{t^{e+1}} \end{aligned}$$

remembering that  $[\alpha]_0 = [\gamma_1]_0$  and  $[\beta]_0 = [\gamma_2]_0$  as  $\alpha, \beta \in \mathcal{U}$ . Thus if  $e = f$  we have  $[\alpha\beta]_e = [\alpha]_e[\beta]_0 + [\beta]_e[\alpha]_0$ , and if  $e < f$  we have  $[\alpha\beta]_e = [\alpha]_e[\beta]_0 = [\alpha]_e[\beta]_0 + [\beta]_e[\alpha]_0$  as  $[\beta]_e = 0$ . In either case  $e(\alpha\beta) \geq e$  and the result follows.  $\square$



**Corollary 17.** If  $\alpha \in \mathcal{U}$  and  $e = e(\alpha) < \infty$  then

$$[\alpha^m]_e = m[\alpha]_0^{m-1}[\alpha]_e \quad \text{and} \quad e(\alpha^m) \geq e$$

with equality if and only if  $p \nmid m$ .

**Theorem 18.** Suppose  $u, w \in \mathcal{U}$  are such that  $e(u) < \infty$  and  $ut^{p^a} \in k((wt^{p^b}))$  for some  $a \geq b \geq 1$ . Then

$$e(u) = \begin{cases} e(w) & \text{if } a = b, \\ e(w) + mp^b & \text{if } a > b \end{cases}$$

for some  $m \geq 1, p \nmid m$ . In particular, we have  $e(w) \leq e(u)$ .

**Proof.** Since  $ut^{p^a} \in k((wt^{p^b}))$  we have  $ut^{p^a} \in k[[wt^{p^b}]]$  as  $ut^{p^a}$  has positive valuation. So  $ut^{p^a} = \sum_{i=0}^{\infty} c_i (wt^{p^b})^i$  where  $c_i \in k$ . By valuation again  $c_i = 0$  for all  $0 \leq i < p^{a-b}$  and  $c_{p^{a-b}} \neq 0$ . Thus

$$ut^{p^a} = \sum_{i=p^{a-b}}^{\infty} c_i (wt^{p^b})^i = \sum_{j=0}^{\infty} \tilde{c}_j w^{p^{a-b}+j} t^{p^a+jp^b}$$

where  $\tilde{c}_0 \neq 0$  and so

$$u = \sum_{j=0}^{\infty} \tilde{c}_j w^{p^{a-b}+j} t^{jp^b}.$$

If  $a - b > 0$  we have

$$\begin{aligned} e(\tilde{c}_j w^{p^{a-b}+j} t^{jp^b}) &= e(w^j) + v(\tilde{c}_j w^{p^{a-b}} t^{jp^b}) \\ &= e(w^j) + v(\tilde{c}_j) + jp^b \\ &= \begin{cases} e(w) + jp^b & \text{if } p \nmid j \text{ and } \tilde{c}_j \neq 0, \\ \infty & \text{otherwise.} \end{cases} \end{aligned}$$

Since  $e(u) < \infty$  we must have  $p \nmid j$  and  $\tilde{c}_j \neq 0$  for at least one  $j$  and since for these indices the  $e$ -values are distinct we have  $e(u) = e(w) + jp^b$  for the least  $j$  such that  $p \nmid j$  and  $\tilde{c}_j \neq 0$ .

If  $a - b = 0$  we have

$$\begin{aligned} e(\tilde{c}_j w^{1+j} t^{jp^b}) &= e(w^{1+j}) + v(\tilde{c}_j t^{jp^b}) \\ &= e(w^{1+j}) + v(\tilde{c}_j) + jp^b \\ &= \begin{cases} e(w) + jp^b & \text{if } p \nmid (1+j) \text{ and } \tilde{c}_j \neq 0, \\ \infty & \text{otherwise.} \end{cases} \end{aligned}$$

Since for  $j = 0$  we have  $p \nmid (1+j)$  and  $\tilde{c}_j \neq 0$  and since the finite  $e$ -values are distinct with their minimum occurring when  $j = 0$  we have  $e(u) = e(w)$ .  $\square$

**Corollary 19.** If  $k$  is perfect and  $K/k((t^{p^n}))$  is Galois then

$$e(u) \geq (p - 1)p^{n-1}.$$

**Proof.** By Theorem 14 there exists a unit  $u_0 \in \mathcal{L}_{(p-1)p^{n-1}}$  such that  $k((ut^{p^n})) = k((u_0t^{p^n}))$ . Now  $e(u_0) \geq (p-1)p^{n-1}$  and by Theorem 18 we have  $e(u) = e(u_0)$ .  $\square$

4.2. Extended depth of automorphisms

We first note that for all  $\sigma \in \mathcal{A}_1$  we have  $[u_\sigma]_0 = 1$ .

**Lemma 20.** Let  $\alpha \in K$  and  $\sigma, \tau \in \mathcal{A}$ .

1.  $e(\alpha^\sigma) = e(\alpha)$ .
2. If  $e = e(\alpha) < \infty$  then  $[\alpha^\sigma]_e = [u_\sigma]_0^e [\alpha]_e$ .

**Proof.** For 1. we write  $\alpha = \alpha_0 + \gamma$  where  $\alpha_0 \in K$ ,  $\gamma \in k((t^p))$ , and  $e(\alpha) = v(\alpha_0)$ . Now  $\alpha^\sigma = \alpha_0^\sigma + \gamma^\sigma$  and since  $\gamma^\sigma \in k((t^p))$  we have  $e(\alpha^\sigma) = e(\alpha_0^\sigma)$ . Since  $v(\alpha_0^\sigma) = v(\alpha_0) = e(\alpha)$  is not divisible by  $p$  we have  $e(\alpha_0^\sigma) = v(\alpha_0^\sigma)$  and thus  $e(\alpha^\sigma) = e(\alpha)$ .

For 2. we write  $\alpha = [\alpha]_e t^e + t^{e+1} f + \gamma$  where  $f \in k[[t]]$  and  $\gamma \in k((t^p))$ . Then  $\alpha^\sigma = [\alpha]_e (u_\sigma t)^e + t^{e+1} g + \gamma^\sigma$  where  $g \in k[[t]]$ . Thus  $\alpha^\sigma = [\alpha]_e [u_\sigma]_0^e t^e + t^{e+1} h + \gamma^\sigma$  where  $h \in k[[t]]$  and the result follows.  $\square$

**Proposition 21.** If  $\sigma \in \mathcal{A}_1$  and  $e = e(\sigma) < \infty$  then

$$[u_{\sigma^m}]_e = m[u_\sigma]_e \quad \text{and} \quad e(\sigma^m) \geq e$$

with equality if and only if  $p \nmid m$ .

**Proof.** The result is trivial if  $m = 1$ . Now suppose we have  $[u_{\sigma^m}]_e = m[u_\sigma]_e$  and  $e(\sigma^m) \geq e$  with equality if and only if  $p \nmid m$ . We have  $u_{\sigma^{m+1}} = u_{\sigma^m} u_\sigma$  and so, by Proposition 16, we have

$$\begin{aligned} [u_{\sigma^{m+1}}]_e &= [u_{\sigma^m} u_\sigma]_e \\ &= [u_{\sigma^m}]_e [u_\sigma]_0 + [u_\sigma]_e [u_{\sigma^m}]_0 \\ &= m[u_\sigma]_e 1 + [u_\sigma]_e 1 \\ &= (m+1)[u_\sigma]_e \end{aligned}$$

and

$$e(u_{\sigma^{m+1}}) = e(u_{\sigma^m} u_\sigma) \geq e$$

with equality if and only if  $[u_{\sigma^{m+1}}]_e \neq 0$  if and only if  $p \nmid m+1$ .  $\square$

We define the *canonical unit* of a totally ramified Galois extension as follows: Suppose  $K/L$  is Galois and totally ramified with Galois group  $G$  of order  $n$ . Then  $N_{K/L}(t) = \prod_{\sigma \in G} \sigma(t)$  has valuation  $n$ . Thus  $N_{K/L}(t) = u_L t^n$  for some unit  $u_L$ . Note that  $u_L = \prod_{\sigma \in G} u_\sigma$ . We call this  $u_L$  the *canonical unit* for  $K/L$ . Since  $k((u_L t^n)) \subseteq L$  and both have codimension  $n$  in  $K$ , we have  $L = k((u_L t^n))$ .

**Theorem 22.** Suppose  $K/k((ut^{p^n}))$  is Galois with Galois group  $G$ . Then  $e(\sigma) \leq e(u)$  for all  $\sigma \in G$ ,  $\sigma \neq \text{id}_K$ .

**Proof.** Let  $\sigma \in G$ ,  $\sigma \neq \text{id}_K$ . Suppose  $\sigma$  has order  $p^e$  with  $e \geq 2$ . Since  $\sigma^{p^{e-1}}$  has order  $p$  and  $e(\sigma) < e(\sigma^{p^{e-1}})$ , it suffices to prove the result when  $\sigma$  has order  $p$ .

Let  $\sigma \in G$  have order  $p$ . Let  $e = e(\sigma)$ . Let  $L$  be the fixed field of  $\sigma$ . Then  $[K : L] = p$  so  $L = k(\langle u_L t^p \rangle)$  where  $u_L$  is the canonical unit for  $K/L$ . We have  $u_L = 1u_\sigma \cdots u_{\sigma^{p-1}}$  and thus  $e(u_L) \geq \min(e(u_\sigma), \dots, e(u_{\sigma^{p-1}})) = e$  as  $e = e(u_\sigma) = \dots = e(u_{\sigma^{p-1}})$ . Finally  $e(u) \geq e(u_L)$  by Theorem 18 of the last section. Thus  $e \leq e(u_L) \leq e(u)$  as desired.  $\square$

**5. Canonical units of Galois extensions**

We are now able to prove a theorem about the relationship between Galois extensions and their canonical units. We need two lemmas.

**Lemma 23.** *Let  $k(\langle t \rangle)/k(\langle ut^{p^n} \rangle)$  be a finite Galois extension with Galois group  $G$ . Suppose that  $\{d(\sigma) \mid \text{id}_K \neq \sigma \in G\}$  is bounded above by  $N$ . Then the map*

$$G \longrightarrow \text{Aut}(k[[t]]/(t^{N+1})) \text{ given by } \sigma \mapsto \sigma^{[N]}$$

*is an embedding. In particular, if  $N > e(u)$  the above map is an embedding.*

**Proof.** As in Section 2, the map  $G \rightarrow \text{Aut}(R_N)$  given by  $\sigma \mapsto \sigma^{[N]}$  is a group homomorphism. We have  $\sigma^{[N]} = \text{id}_{R_N}$  if and only if  $u_\sigma t \equiv t \pmod{t^{N+1}}$  if and only if  $v(u_\sigma - 1) \geq N$  if and only if  $d(\sigma) \geq N$ . Thus if  $N > d(\sigma)$  for all  $\sigma \neq \text{id}_K$ , our map is an embedding.

Finally, if  $N > e(u)$  then  $N > e(\sigma) \geq d(\sigma)$  for all  $\sigma \neq \text{id}_K$  by Theorem 22 and our result follows.  $\square$

**Lemma 24.** *If  $f, g \in \text{Aut}(K/k)$  satisfy  $v(f(t) - g(t)) > m$  then*

$$v(f(rt) - g(rt)) > m + v(r)$$

*for any  $r \in k[[t]]$ . In particular, if  $h \in \text{Aut}(K/k)$  as well we have*

$$v(f(h(t)) - g(h(t))) > m.$$

**Proof.** We have  $f(tt^n) - g(tt^n) = f(t)^{n+1} - g(t)^{n+1} = (f(t) - g(t))z$  where  $z = \sum_{i=0}^n f(t)^{n-i} g(t)^i$ . Since  $f, g$  are continuous we have  $v(f(t)) = v(g(t)) = 1$  and so  $v(z) \geq n$ . Thus  $v(f(tt^n) - g(tt^n)) > m + n$ .

Now  $r = a_0 + a_1t + a_2t^2 + \dots \in k[[t]]$  and so

$$f(rt) - g(rt) = a_0(f(t) - g(t)) + a_1(f(tt) - g(tt)) + a_2(f(tt^2) - g(tt^2)) + \dots$$

and the result follows.  $\square$

**Theorem 25.** *Let  $L_1 = k(\langle u_1 t^{p^n} \rangle)$  and  $L_2 = k(\langle u_2 t^{p^n} \rangle)$  be such that both  $K/L_1$  and  $K/L_2$  are Galois with Galois groups  $G_1$  and  $G_2$  respectively.*

*If  $v(u_1 - u_2) > (e(u_1) + 1)p^n$  then  $G_1 \cong G_2$ .*

**Proof.** Let  $N = v(u_1 - u_2) > (e(u_1) + 1)p^n$ . Let  $R_N = k[[t]]/(t^{N+1})$  and let  $S$  be the image of  $k[[u_1 t^{p^n}]]$  in  $R_N$ . Since  $N > e(u_1)$  we have  $G_1 \hookrightarrow \overline{G}_1 \leq \text{Aut}_k(R_N)$  by Lemma 23. And since  $v(u_1 - u_2) = N > e(u_1)$  we have  $e(u_1) = e(u_2)$  and so  $G_2 \hookrightarrow \overline{G}_2 \leq \text{Aut}_k(R_N)$  as well. Since  $u_1 \equiv u_2 \pmod{t^N}$  we have  $u_1 t^{p^n} \equiv u_2 t^{p^n} \pmod{t^{N+1}}$ . Hence  $\overline{G}_2$  fixes the elements of  $S$  because  $G_2$  fixes the elements of  $L_2 = k(\langle u_2 t^{p^n} \rangle)$ .

Let  $f = \prod_{\rho \in G_1} (X - \rho(t))$ . We see that  $f$  has degree  $p^n$  and coefficients in  $k[[u_1 t^{p^n}]]$ . Let  $M = \max\{v(\rho(t) - \mu(t)) : \rho, \mu \in G_1, \rho \neq \mu\}$ . We have  $M > 0$ . Since  $v(\rho(t) - \mu(t)) = v((\mu^{-1}\rho)(t) - t)$  we have  $M = \max\{v(\rho(t) - t) : \rho \in G_1, \rho \neq \text{id}_{G_1}\}$ . Finally since  $\rho(t) - t = (u_\rho - 1)t$  we have  $v(\rho(t) - t) \leq e(\rho) + 1 \leq e(u_1) + 1$  for  $\rho \neq \text{id}_{G_1}$  by Theorem 22. Thus  $M \leq e(u_1) + 1$ .

Now for any  $\sigma \in G_2$  we have

$$f(\sigma(t)) \equiv \sigma(f(t)) \equiv \sigma(0) \equiv 0 \pmod{t^{N+1}}$$

and therefore

$$\sum_{\rho \in G_1} v(\sigma(t) - \rho(t)) = v\left(\prod_{\rho \in G_1} (\sigma(t) - \rho(t))\right) > N.$$

Since  $N > (e(u_1) + 1)p^n$  we have  $v(\sigma(t) - \rho(t)) > e(u_1) + 1 \geq M$  for at least one  $\rho \in G_1$  and since  $M = \max\{v(\rho(t) - \mu(t)) : \rho, \mu \in G_1, \rho \neq \mu\}$  this  $\rho$  is unique. That is, for each  $\sigma \in G_2$  there is a unique  $\tilde{\sigma} \in G_1$  such that  $v(\sigma(t) - \tilde{\sigma}(t)) > e(u_1) + 1$ .

We have

$$\sigma\tau(t) - \tilde{\sigma}\tilde{\tau}(t) = [\sigma\tau(t) - \tilde{\sigma}\tau(t)] + [\tilde{\sigma}\tau(t) - \tilde{\sigma}\tilde{\tau}(t)].$$

Since  $\tau(t) = u_\tau t$  and  $v(\sigma(t) - \tilde{\sigma}(t)) > e(u_1) + 1$  we have  $v(\sigma\tau(t) - \tilde{\sigma}\tau(t)) > e(u_1) + 1$  by Lemma 24. Since  $\tilde{\sigma}$  is continuous and  $v(\tau(t) - \tilde{\tau}(t)) > e(u_1) + 1$  we have  $v(\tilde{\sigma}\tau(t) - \tilde{\sigma}\tilde{\tau}(t)) > e(u_1) + 1$  as well. Thus  $v(\sigma\tau(t) - \tilde{\sigma}\tilde{\tau}(t)) > e(u_1) + 1$  and  $\tilde{\sigma}\tilde{\tau} \in G_1$  so  $\tilde{\sigma}\tilde{\tau} = \tilde{\sigma}\tilde{\tau}$ .

Thus the map  $G_2 \rightarrow G_1$  given by  $\sigma \mapsto \tilde{\sigma}$  is a group homomorphism. Now  $\tilde{\sigma} = \text{id}_{G_1}$  implies  $v(\sigma(t) - t) > e(u_1) + 1$ . Since  $e(\sigma) + 1 \geq v(\sigma(t) - t)$  we have  $e(\sigma) > e(u_1)$  and therefore  $\sigma = \text{id}_{G_2}$  by Theorem 22. Hence  $G_2 \rightarrow G_1$  given by  $\sigma \mapsto \tilde{\sigma}$  is an injective group homomorphism between two groups of order  $p^n$ , so  $G_1 \cong G_2$ .  $\square$

**Corollary 26.** *If  $K/k((ut^{p^n}))$  is Galois then the Galois group is determined by the first  $(e(u) + 1)p^n$  terms of  $u$ .*

### 6. An example

Again  $k$  is a field of characteristic  $p \geq 0$  and  $K = k((t))$ .

For each  $\lambda \in k$  we define  $\phi_\lambda : K \rightarrow K$  given by  $t \mapsto \frac{1}{1+i\lambda t}t$ . So  $\phi_\lambda \in \mathcal{A}_1$  for each  $\lambda \in k$ . An easy calculation shows that  $\phi_{\lambda_1} \circ \phi_{\lambda_2} = \phi_{\lambda_1 + \lambda_2}$ . Therefore  $k^\times \rightarrow \mathcal{A}_1$  given by  $\lambda \mapsto \phi_\lambda$  is a group embedding. Thus if  $p > 0$  we have a family of convenient elements of order  $p$ .

Unfortunately these are the only easily described elements of finite order in  $\mathcal{A}_1$ : Suppose  $\phi \in \mathcal{A}_1$  has order  $d$  and is given by  $t \mapsto \frac{f}{g}t$  where  $f, g \in k[t]$ . Then  $k(t) \supseteq k(\phi(t)) \supseteq \dots \supseteq k(\phi^d(t)) = k(t)$  and so  $k(t) = k(\phi(t)) = k(\frac{ft}{g})$ . Thus  $1 = [k(t) : k(\frac{ft}{g})] = \max(\deg(ft), \deg(g))$ . It follows that  $\deg(f) = 0$  and  $\deg(g) \leq 1$ . Since  $\phi \in \mathcal{A}_1$  we have  $\phi(t) = \frac{1}{1+i\lambda t}t$  for some  $\lambda \in k$ .

Now suppose  $p > 0$  and  $\lambda \in k^\times$ . So  $\phi_\lambda$  has order  $p$ . Let  $L$  be the fixed field of  $\langle \phi_\lambda \rangle$ . Then  $L = k((ut^p))$  where

$$ut^p = N_{K/L}(t) = \prod_{i=0}^{p-1} \phi^i(t) = \prod_{i=0}^{p-1} \frac{1}{1+i\lambda t}t = \left(\prod_{i=1}^{p-1} (1+i\lambda t)\right)^{-1} t^p.$$

Now  $\prod_{i=1}^{p-1} (1+i\lambda t) = \prod_{i=1}^{p-1} i(\lambda t + \frac{1}{i}) = -(\lambda^{p-1}t^{p-1} - 1)$  by Wilson's theorem and the identity  $X^{p-1} - 1 = \prod_{j=1}^{p-1} (X - j)$ . Thus

$$u = \frac{1}{1 - \lambda^{p-1}t^{p-1}}$$

is the canonical unit for a Galois  $K/L$  with Galois group cyclic of order  $p$ . Similarly but more tediously, if  $k$  has at least  $p^n$  elements we could construct the canonical unit for a Galois  $K/L$  with Galois group elementary abelian of order  $p^n$ .

## 7. Some questions

We have provided a few examples of the relations between the Galois group of  $k((t))/k((ut^{p^n}))$  and the structure of the unit  $u$ . There are many questions which remain. For example:

1. How easily can one determine interesting information about  $G$  directly from the coefficients of  $u$ ?  
Is there a way of seeing when  $G$  is cyclic, abelian, etc.?
2. Conversely, can one begin with a  $p$ -group  $G$  and construct the sequence of coefficients of  $u$ ?

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