



Design and control of a standalone PV water pumping system

Essam E. Aboul Zahab^a, Aziza M. Zaki^b, Mohamed M. El-sotouhy^{b,*}

^a Department of Electrical Power and Machines, Faculty of Engineering, Cairo University, Giza, Egypt

^b Department of Power Electronics and Energy Conversion, Electronics Research Institute, Dokki, Giza, Egypt

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Abstract

Water resources are vital for satisfying human needs. However, almost one-fifth of the world's population – about 1.2 billion people – live in areas where water is physically rare. One quarter of the global population also live in developing countries that face water shortages. This paper presents standalone PV water pumping system. Photovoltaic (PV) is the main power source, and lead acid batteries are used as energy storage system, to supply a water pump driven by a BLDC motor. The proposed control strategy consists of three control units. The first unit is to control the speed and hysteresis current controller for BLDC motor. The maximum power point tracking (MPPT) is the second control unit, and the battery charging/discharging system is controlled by the

third controller. The simulation results show the effectiveness and the good efficiency of the proposed system.

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1. Introduction

Water resources are vital for satisfying human needs, protecting health, and guaranteeing food production energy and the rebuilding of ecosystems, as well as for social and economic development and for sustainable development. However, according to UN World Water Development Report in 2015, almost one-fifth of the world's population (about 1.2 billion people) live in zones where water is physically rare. One quarter of the global population also live in developing countries that face water shortages ([The United Nations World Water Development Report, 2015](#)). Remote water pumping systems are a basic choice in meeting this need. Installation of a new transmission line and a transformer to the remote areas is often very expensive ([Hmidet et al., 2014](#)). Also the costs of fossil fuels and their environmental impacts rise, the demand for renewable energy sources increases ([Aashoor and Robinson, 2013](#); [Sreekumar and Benny, 2013](#)). If the source of water is 1/3 mile (app. 0.53 km) or more away from the power line,

* Corresponding author.

E-mail address: mohamedmostafa.87@yahoo.com (M.M. El-sotouhy).

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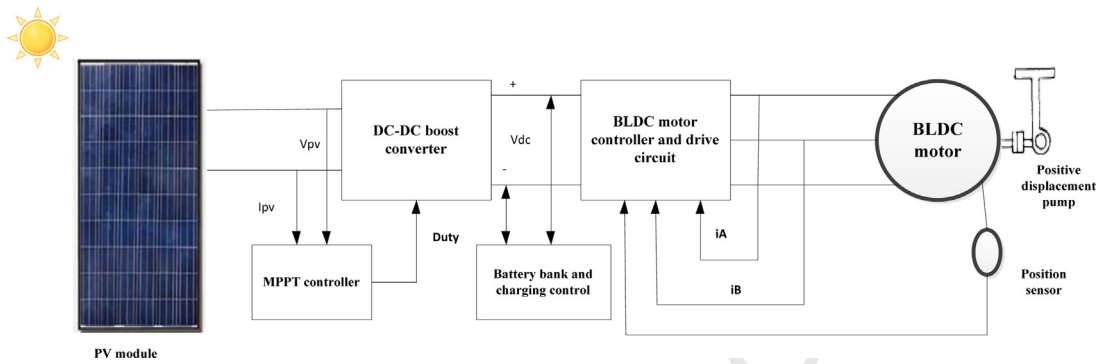


Fig. 1. The proposed system.

29 photovoltaic (PV) is preferred as an economic choice (Oi, 2005). There are many techniques for maximizing the output
30 power from the PV modules either conventional techniques like perturb and observe (P&O) or intelligent techniques
31 like fuzzy control (Sreekumar and Benny, 2013). The photovoltaic pumping has turned out to be one of the most favorable
32 fields in photovoltaic applications. For a standalone PV system there are mainly two types of pumps: centrifugal and
33 positive displacement pumps. In the centrifugal pump, the rotation of an impeller forces water into the tube. The
34 water speed and pressure depend on the available mechanical power at the rotating impeller and the total head, but
35 the displacement pump uses a piston or a screw to control the water flow. The positive displacement pump grants a
36 better efficiency under low power conditions than the centrifugal pump. The water pumps may be driven by many
37 types of driving systems. The more popular are direct current (DC) motors, alternative current (AC) motors or BLDC
38 motors (Mohammedi et al., 2013). Brushless DC (BLDC) motor drives have received wide care as their performance
39 is superior to those of conventional brushed DC motors and AC motors. In small units up to 5.0 kW, BLDC motors are
40 preferable and have increased the request in water pumping systems operated by a photovoltaic array because of their
41 higher operating efficiency and good starting torque (Putta Swamy et al., 1995). Hysteresis current control is one of
42 the simple PWM current control techniques which are used for minimizing commutation torque ripple, and are easy
43 to implement (Das and Chanda, 2014). As photovoltaic produces electricity only when the sunlight exists, so stand
44 alone PV systems need a backup energy storage which makes it available through the bad weather or night conditions.
45 In standalone PV systems, among many possible storage mediums, batteries are commonly used as a storage element.
46 The lead-acid battery is most common used with standalone PV systems because it is quite cheap and broadly available
47 (Jaycar Electronics Reference Data Sheet, 2016). This paper presents an efficient PV water pumping system. It provides
48 theoretical studies and modeling for all the system components, comparison between (P&O) & fuzzy maximum power
49 point techniques, and provides the motor performance (speed, torque, etc.) results.

50 2. The proposed system

51 The stand-alone PV water pumping system consists of a single PV module of 300 W rating, a maximum power
52 point tracking, a battery bank with charging controller, BLDC motor driving a positive displacement pump, and BLDC
53 motor controller as shown in Fig. 1.

54 2.1. PV module model

55 There are various sizes of PV module available in the market. Usually, a number of PV modules are combined as
56 an array (either series or parallel connection) to meet different energy demands. The size of the PV module selected
57 for the proposed system is 300 W module. The selected module is IS4000P 300 W multi-crystalline PV module. As
58 shown in Fig. 2, the model of the PV module can be represented as shown in the equivalent circuit (Fig. 2) as a current
59 source in parallel with a diode (Mahmoud et al., 2012).

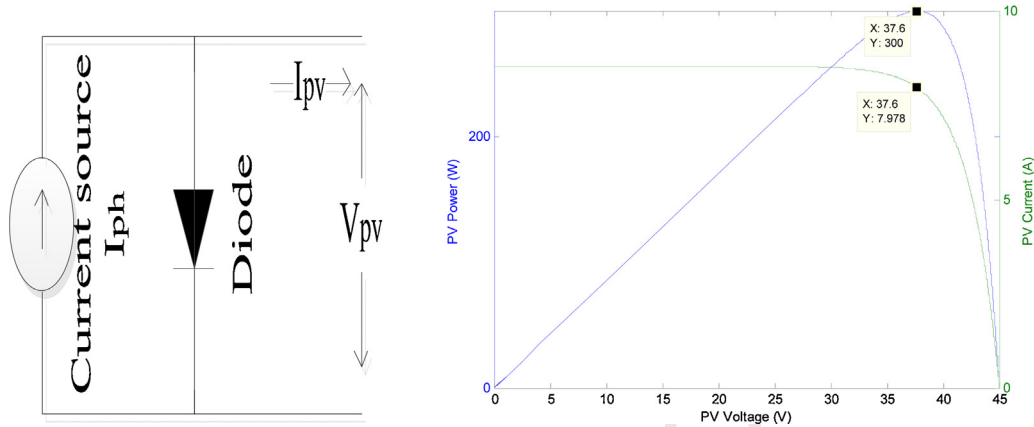


Fig. 2. The PV model and its simulated electrical characteristics.

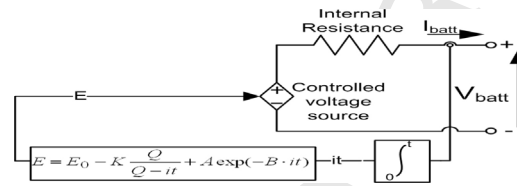


Fig. 3. General model of battery.

60 The PV cell electrical characteristics are nonlinear and vary according to the solar insolation (G) and the cell
61 Q5 temperature (T). The PV output current I_{pv} can be stated by Eq. (1).

$$62 \quad I_{pv} = I_{ph} - I_0 \left(e^{\frac{q \times V_{pv}}{nKT}} - 1 \right) \quad (1)$$

63 where I_{pv} is the output current from the PV cell, I_{ph} is the photo generated current, I_0 is the saturation current in the
64 dark, q is the electron charge = 1.6×10^{-19} (C), V_{pv} is the output voltage of the PV cell, n is the diode ideality factor, K
65 is Boltzmann constant = 1.38×10^{-23} (J/K), T is the cell temperature ($^{\circ}\text{C}$). The PV module output current of a number
66 of cells connected in series (N_s) and number of cells connected in parallel (N_p) can be stated by Eq. (2).

$$67 \quad I_{pv} = N_p I_{ph} - N_p I_0 \left(e^{\frac{q \times V_{pv}}{N_s \times n \times K \times T}} - 1 \right) \quad (2)$$

68 The simulated electrical characteristics of the adopted PV module at standard conditions (irradiance = 1000 W/m^2 & temperature = 25°C) are shown in Fig. 2.
69

70 2.2. Battery model

71 The batteries are used for store the excess power and supply it to the load in bad weather conditions or in night
72 periods. In the standalone photovoltaic systems the commercial rechargeable batteries in the market are: lead-acid or
73 (Pb-S), the nickel-cadmium or (NiCad), the nickel-metal hydride or (NiMH), and the lithium-ion or (Li-ion) types.
74 Lead-acid batteries are the most popular, and widely used in renewable energy systems. The equivalent circuit of a
75 general battery dynamic model parameterized to characterize most popular types of rechargeable batteries. This model
76 can be represented by a simple controlled voltage source in series with a constant resistance, as shown in Fig. 3, and
77 described by Eqs. (3) & (4) (Tremblay et al., 2007).

$$78 \quad E = E_0 - K \frac{Q}{Q - \int idt} + A \exp(-B \int idt) \quad (3)$$

$$79 \quad V_{bat.} = E - i \times R \quad (4)$$

80 where E is the no-load voltage (V), E_0 is the battery constant voltage = 12 V, K is the polarization voltage (V), Q is
81 the battery capacity (Ah), $\int idt$ is the actual battery charge (Ah), A is the exponential zone amplitude (V), B is the

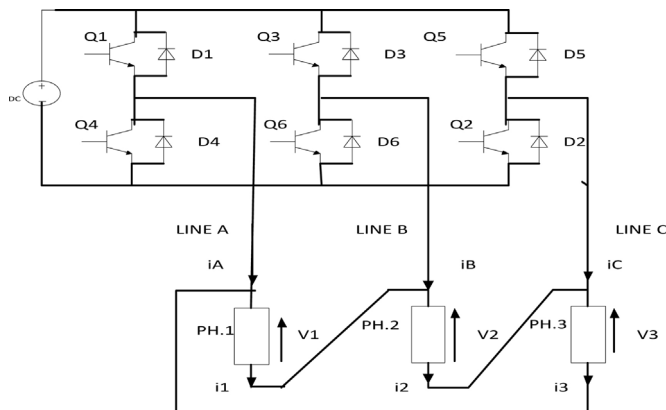


Fig. 4. Circuit diagram of delta connected motor.

82 exponential zone time constant inverse $(Ah)^{-1}$, V_{bat} is the battery voltage (V), R is the internal resistance (ohm), i is
83 the battery current (A).

84 2.3. BLDC motor model

85 Three phase BLDC motor connection may be star or delta connected, the motor used in the simulation is delta
86 connected as shown in Fig. 4.

87 The line to line voltages and BEMFs are the same as phase voltages and BEMFs (Pillay and Krishnan, 1989a,b).
88 Suppose $L_{ab} = L_{bc} = L_{ca} = L$, and $R_{ab} = R_{bc} = R_{ca} = R$.

89
$$V_1 = i_1 R + L \left(\frac{di_1}{dt} \right) + e_1 \tag{5}$$

90
$$V_2 = i_2 R + L \left(\frac{di_2}{dt} \right) + e_2 \tag{6}$$

91
$$V_3 = i_3 R + L \left(\frac{di_3}{dt} \right) + e_3 \tag{7}$$

92 where L is the armature self-inductance [H], R is the armature resistance [Ω], V_a, V_b, V_c are the terminal phases or
93 lines voltages [V], i_1, i_2, i_3 are motor input currents [A], and e_1, e_2, e_3 are motor back-EMFs [V]. In the 3-phase BLDC
94 motor, the back-EMF is related to a function of the rotor position and the back-EMF of each phase has 120° phase
95 angle difference so the equation of each phase should be as follows:

96
$$e_a = K_w f(\theta_e) \omega \tag{8}$$

97
$$e_b = K_w f(\theta_e - 120) \omega \tag{9}$$

98
$$e_c = K_w f(\theta_e - 240) \omega \tag{10}$$

99
$$e_1 = e_a - e_b \tag{11}$$

100
$$e_2 = e_b - e_c \tag{12}$$

101
$$e_3 = e_c - e_a \tag{13}$$

102 where K_w is the back EMF constant of one phase [V/rad s^{-1}], θ_e is the electrical rotor angle [$^\circ$ el.], ω is the rotor speed
103 [rad s^{-1}], e_a, e_b, e_c are trapezoidal functions with 120° flat top, the electrical rotor angle is equal to the mechanical
104 rotor angle multiplied by the number of pole pairs p :

105
$$\theta_e = \left(\frac{p}{2} \right) \theta_m \tag{14}$$

where θ_m is the mechanical rotor angle [rad].

The function $F(\theta_e)$ gives the trapezoidal waveform of the back-emf. One period of this function can be written as:

$$f(\theta_e) = \begin{cases} 1 & 0 \leq \theta < \frac{2\pi}{3} \\ 1 - \frac{6}{\pi} \left(\theta_e - \frac{2\pi}{3} \right) & \frac{2\pi}{3} \leq \theta < \pi \\ -1 & \pi \leq \theta < \frac{5\pi}{3} \\ -1 + \frac{6}{\pi} \left(\theta_e + \frac{2\pi}{3} \right) & \frac{5\pi}{3} \leq \theta < 2\pi \end{cases} \quad (15)$$

Total torque output can be represented as the summation of that of the 3 phases. Next equation represents the total output torque in [Nm]:

$$T = \frac{e_a i_a + e_b i_b + e_c i_c}{\omega} \quad (16)$$

The mechanical equation of the motor is as follows:

$$Te - Tl = J \left(\frac{d\omega}{dt} \right) + \beta \omega \quad (17)$$

So the motor speed can be calculated as:

$$\omega = \int \frac{(Te - Tl - \beta\omega)}{J} \quad (18)$$

where Tl is the pump load torque [Nm], J is the inertia of rotor and coupled shaft [kg m^2], β is the friction constant [Nm s rad^{-1}]. Then the rotor position is calculated by integrating the speed of the motor, and then we could calculate the phase currents and line currents by applying Kirchhoff's law at three nodes (a–c). These line currents are feedback to the hysteresis current control to get the state of the transistors.

3. Control strategy

In this work the control strategy is divided into three main control units. (1) First control unit is responsible for speed and hysteresis current control for the BLDC motor pump, (2) second control unit is responsible for MPPT, and (3) third control unit is responsible for charging and discharging of the battery bank.

3.1. Speed and hysteresis current control for the BLDC motor pump

Fig. 5 describes the basic blocks of the PMBLDCM drive. The drive contains speed controller, reference current generator, PWM current controller, position sensor, the motor and the inverter. The purpose of a motor speed controller is to yield a signal representing the required speed, and to drive a motor at that speed. Speed controller calculates the difference between the reference speed and the real speed producing an error, which is fed to the PI controller. The parameters of the PI controllers are obtained by using trial and error method. PI controllers are used widely for motion control systems. The controller tries to minimize the error by adjusting the process control inputs. Here the proposed technique consists of the outer speed loop and one inner current loop as the double-loop control system is introduced, shown in Fig. 5. In the double-loop control system, a PI controller is adopted in the speed loop and a hysteresis current controller is adopted in the current loop on the principle of hysteresis current track current controlled voltage source inverter (Sanita and Kuncheria, 2013). Hysteresis current control is one of the simple PWM current control techniques which are used for minimizing commutation torque ripple, and it is easy to implement. This simple control strategy will be presented at low cost and uses simple structure and requires minor memory or processing abilities. This type of BLDC drive is very suitable for renewable applications. Although hysteresis control is insensitive to motor parameter variations, the stability of its normal operations has to be confirmed (Das and Chanda, 2014).

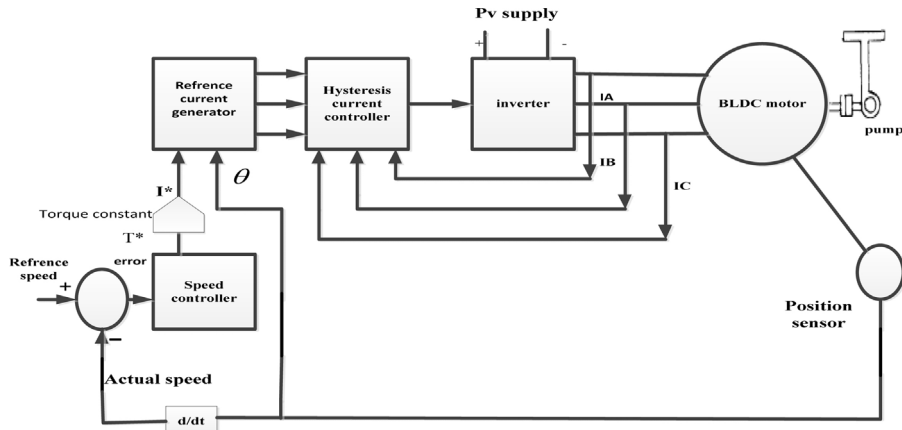


Fig. 5. The basic blocks of the BLDC motor drive.

Table 1

Q8 The reference current depending on rotor position.

Rotor position (θ)	Reference currents		
	I_a^*	I_b^*	I_c^*
$0 \leq \theta < \pi/3$	I_s	$-I_s$	0
$\pi/3 \leq \theta < 2\pi/3$	I_s	0	$-I_s$
$2\pi/3 \leq \theta < \pi$	0	I_s	$-I_s$
$\pi \leq \theta < 4\pi/3$	$-I_s$	I_s	0
$4\pi/3 \leq \theta < 5\pi/3$	$-I_s$	0	I_s
$5\pi/3 \leq \theta < 2\pi$	0	$-I_s$	I_s

Table 2

Hysteresis current control logic (Putta Swamy et al., 1995).

ΔI_x	Switch					
	Q1	Q2	Q3	Q4	Q5	Q6
$\Delta I_A > h$	ON	OFF	OFF	OFF	OFF	OFF
$\Delta I_A < -h$	OFF	OFF	OFF	ON	OFF	OFF
$\Delta I_B > h$	OFF	OFF	ON	OFF	OFF	OFF
$\Delta I_B < -h$	OFF	OFF	OFF	OFF	OFF	ON
$\Delta I_C > h$	OFF	OFF	OFF	OFF	ON	OFF
$\Delta I_C < -h$	OFF	ON	OFF	OFF	OFF	OFF

139 3.3.1. Reference current generator

140 The input signals needed for generating the reference currents (I_a^* , I_b^* , I_c^*) are the rotor position (θ), and the
141 magnitude of the three phase currents (I^*) generated from Eq. (19):

142
$$I^* = \frac{T^*}{K_t} \tag{19}$$

143 where K_t is the torque constant, T^* is the reference torque value generated from the PI speed controller. The reason that
144 this is called a hysteresis controller is that the phase voltage switches to retain the phase currents within the hysteresis
145 bands. The hysteresis band has a width equal $2h$. Hysteresis current control can be implemented by computing reference
146 current I_x^* as shown in Table 1 and measuring the actual current I_x where $x = a, b, \text{ or } c$ and then calculate error signal
147 $\Delta I_x = I_x^* - I_x$ and then apply the logic shown in Table 2, which activate the switches shown above in Fig. 5. The
148 reference current values can be created with respect to the rotor position as shown in Table 1 (Putta Swamy et al., 1995;
149 Pillay and Krishnan, 1989a).

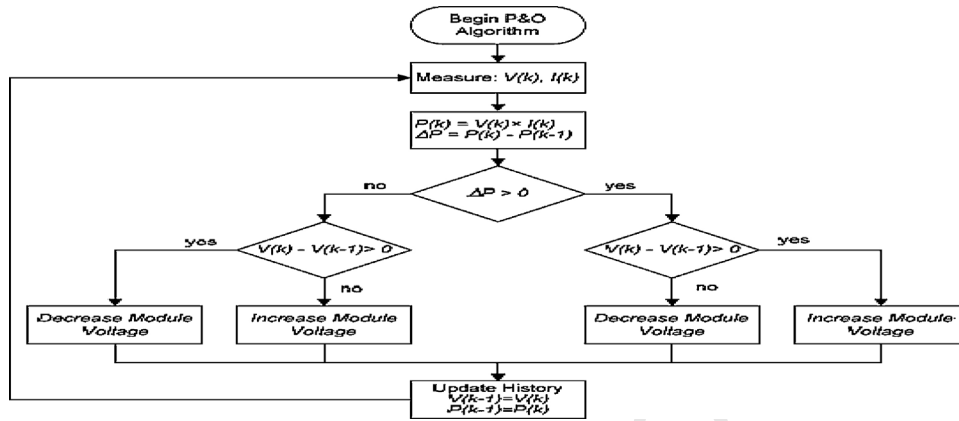


Fig. 6. Perturb and observe algorithm (P&O) (Oi, 2005).

150 The hysteresis current controller gives the switching of 6 switches to the inverter devices shown up in Fig. 4. The
151 switching logic is formulated as given in Table 2.

152 3.2. The MPPT control unit

153 The MPPT control unit is used to keep the output power of the PV as maximum as possible. This control unit is
154 implemented using two MPPT algorithms: (1) P&O and (2) FLC as follows:

155 3.2.1. P&O algorithm

156 In this method the PV output current and voltage are measured (I_{pv} , V_{pv}), and the operating voltage (V_{pv}) is perturbed
157 (increased) by a small decrease in the duty cycle D by a rate (dD) of the boost converter and observing the change in
158 power and the change in voltage then calculate the (dP/dV) value. If (dP/dV) is positive the perturbation of the operating
159 voltage will be in the same way of increasing so should continue decreasing the duty cycle of the boost converter. If
160 dP/dV is negative the operating voltage should be perturbed in the reverse direction (decreased). The maximum power
161 point is obtained when $dP/dV=0$. The flowchart of this algorithm is shown in Fig. 6. (Oi, 2005; Elgendy et al., 2012).

162 3.2.2. FLC based MPPT algorithm

163 Fuzzy logic control (FLC) is used largely in control engineering and is very important when there is no exact
164 mathematical model or while the controlled process is nonlinear (Rebhi et al., 2013). Fuzzy logic controller has been
165 largely used for industrial processes in the recent years due to its simplicity and effectiveness for both linear and non-
166 linear systems. It consists of three blocks: Fuzzification, Fuzzy rules and inference engine, and finally Defuzzification
167 (Aashoor and Robinson, 2013).

168 3.2.2.1. Fuzzification. In the fuzzification stage, numerical input variables are transformed into linguistic variables
169 based on subsets called membership function. The proposed fuzzy logic controller has two input variables dP_{pv} &
170 dI_{pv} , and one output variable dD :

$$171 \quad dP_{pv} = P_{pv}(k) - P_{pv}(k-1) \quad (20)$$

$$172 \quad dI_{pv} = I_{pv}(k) - I_{pv}(k-1) \quad (21)$$

$$173 \quad dD = D(k) - D(k-1) \quad (22)$$

174 where dP is the change in PV power, dI is the change in PV current and dD is the change in duty cycle. Fig. 7 shows
175 the membership functions of the two inputs and the output fuzzy sets. Each fuzzy set has four membership functions
as follows: PB (Positive Big), PS (Positive Small), NS (Negative Small) and NB (Negative Big).

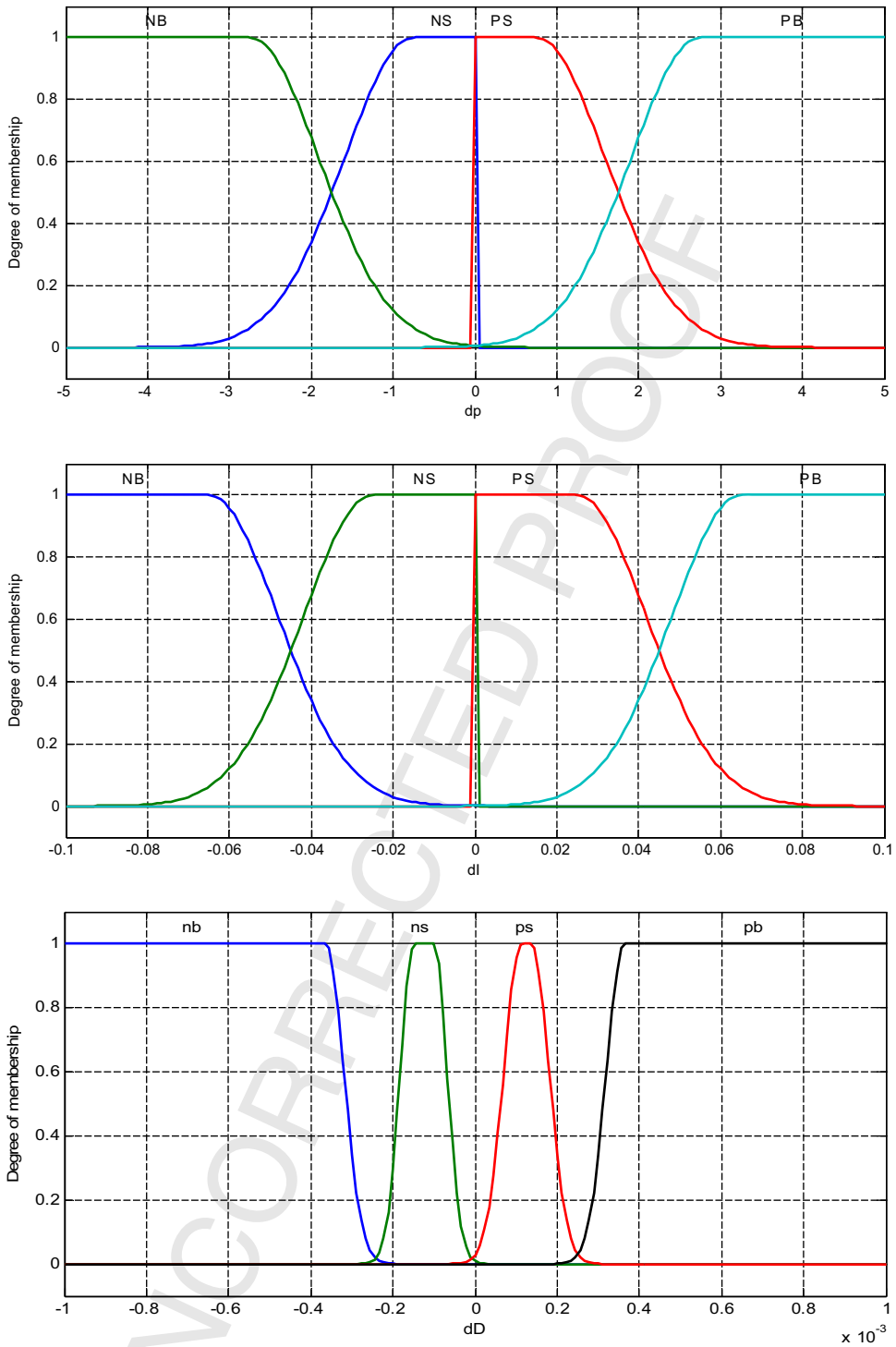


Fig. 7. Inputs and output of fuzzy sets.

Table 3
Fuzzy rules.

dI	dP			
	PB	PS	NS	NB
PB	PB	PS	NS	NB
PS	PB	PS	NS	NB
NS	NB	NS	PS	PB
NB	NB	NS	PS	PB

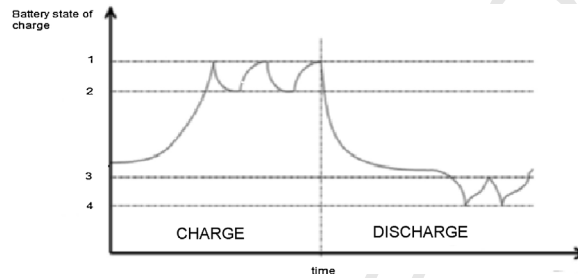


Fig. 8. Intermittent charging & discharging control.

176 3.3.2.2. *Fuzzy rules and inference engine.* Fuzzy rules include a set of rules in linguistic form which subordinate
 177 the fuzzy inputs with the fuzzy output. These are created by an expert knowledge and understanding of the system
 178 performance that is essential to realize the control objectives. The fuzzy control rules have been established using a
 179 set of IF-THEN rules as defined in Table 3.

180 3.3.2.3. *Defuzzification.* In the defuzzification step the output of fuzzy logic control is converted from linguistic
 181 variables to numerical variables, where in this process the crisp output of the FLC (dD) is calculated. There are
 182 different approaches for defuzzifying a result fuzzy set. The method used in this paper is called the Center of Gravity
 183 (Aashoor and Robinson, 2013). FLC can track the MPP rapidly with small oscillations around it (Mansour et al., 2015).

184 3.3. The battery bank charging and discharging control technique

185 There are many battery charging algorithms used to keep the battery at a high state of charge and increase the life
 186 time of the battery. The intermittent charging control is the most generally used technique in commercial chargers
 187 (Armstrong et al., 2008). In the charging mode, the battery is charged with maximum power point tracking (MPPT)
 188 between two predefined voltage edges as shown in Fig. 8. When the battery reaches the upper voltage edge, at point
 189 1, the charging is stopped, then the battery voltage is observed until it drops to the lower voltage edge, at point 2,
 190 then the charging begins again. The same process is repeated at the discharging mode process to protect the battery bank
 191 from deep discharging, when the battery voltage reaches the edge point 4 the discharge from battery bank should stop,
 192 and repeat discharging from batteries after their voltage become larger than or equal to the voltage at edge point 3. So,
 193 we could protect the battery bank from overcharging and deep discharging.

194 4. Simulation results

195 The system is simulated in the MATLAB/SIMULINK program with sample time equal to two micro seconds using
 196 two MPPT techniques (P&O, and fuzzy) using the same motor control (outer speed control, inner hysteresis current
 197 controller).

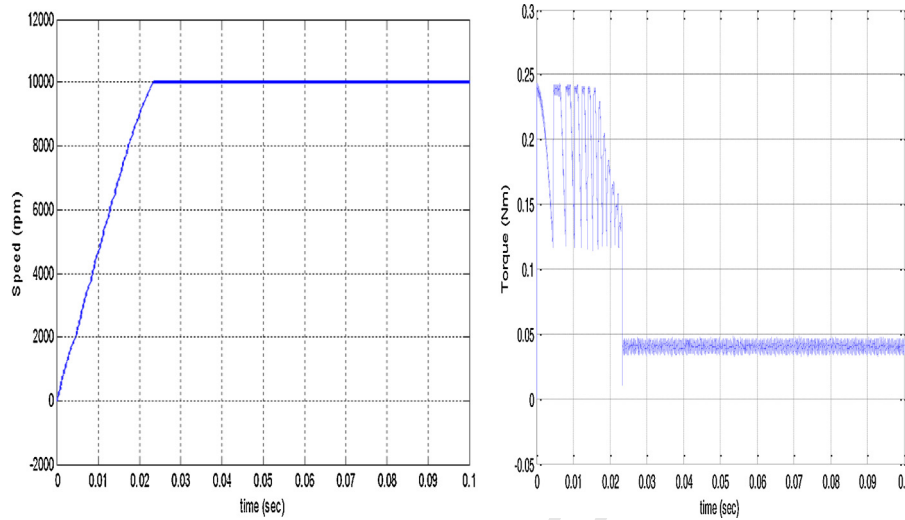


Fig. 9. Motor rated speed and rated torque.

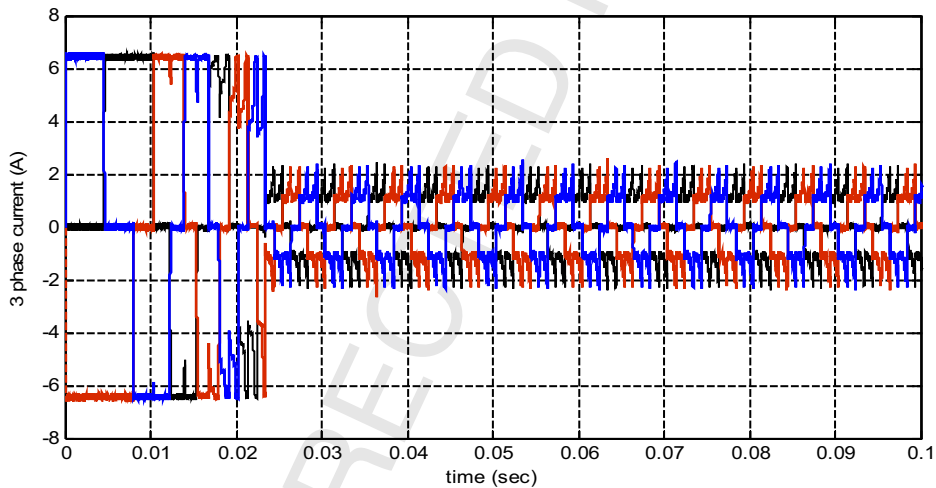


Fig. 10. Motor three phase rated currents.

198 4.1. BLDC motor pump simulation results

199 Suppose the motor works at nominal speed and at nominal voltage as in Appendix A. The rated speed and rated
200 torque, the three phase currents, rotor position, and BEMFs are shown in Figs. 9–12 respectively.

201 4.2. MPPT simulation results using P&O technique

202 Suppose the module temperature is constant at 25 °C and the irradiance is variable and change through a day as (0.4,
203 0.6, 0.7, 0.8, 0.9, 1, 0.9, 0.8, 0.7, and 0.3), and the step change in duty cycle is $dD = 0.001$. The results show the output
204 module power through a day (represented in simulation time by one second) in Fig. 13 using P&O MPPT technique.

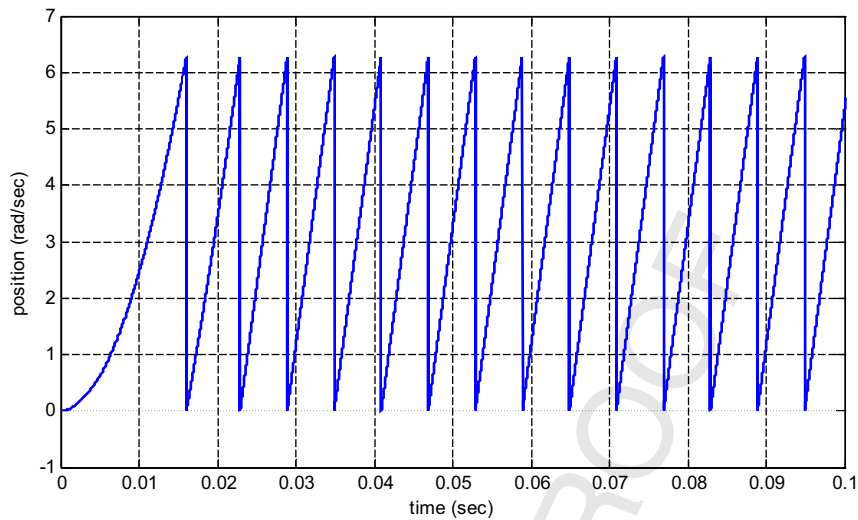


Fig. 11. Motor rotor positions.

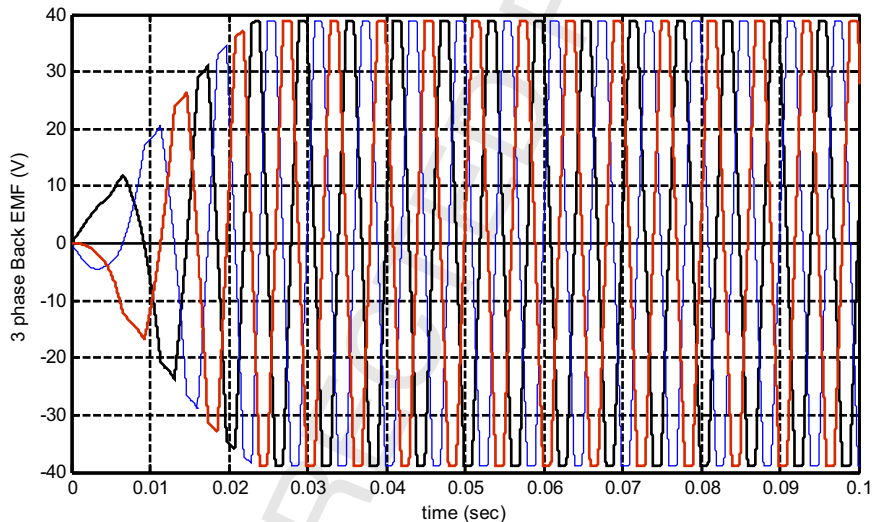


Fig. 12. Motor three BEMFs.

205 **4.3. MPPT simulation results using fuzzy technique**

206 Suppose the module temperature is constant at 25 °C and the irradiance is variable and change through day as (0.4,
207 0.6, 0.7, 0.8, 0.9, 1, 0.9, 0.8, 0.7, and 0.3). The results show the output module power through a day (represented in
208 simulation time by one second) with MPPT using fuzzy intelligent techniques shown in Fig. 14.

209 **4.4. Simulation results of battery intermittent charging control algorithm**

210 The intermittent charging control has two bands to protect the battery from over charging where batteries SOC
211 supposed (98:100%) and lower band to protect the batteries from the deep discharging where batteries SOC supposed
212 (25:20%).

213 Suppose the batteries initial state of charge (SOC) is 30%, and the weather is bad or at night, then the batteries
214 discharge until the SOC becomes $\leq 20\%$ (at point 4) here stop the discharge process by switching off a switch supposed
215 it (s2). And when the battery recharges again, the supposed switch (s2) becomes ON but after the SOC reaches $\geq 25\%$

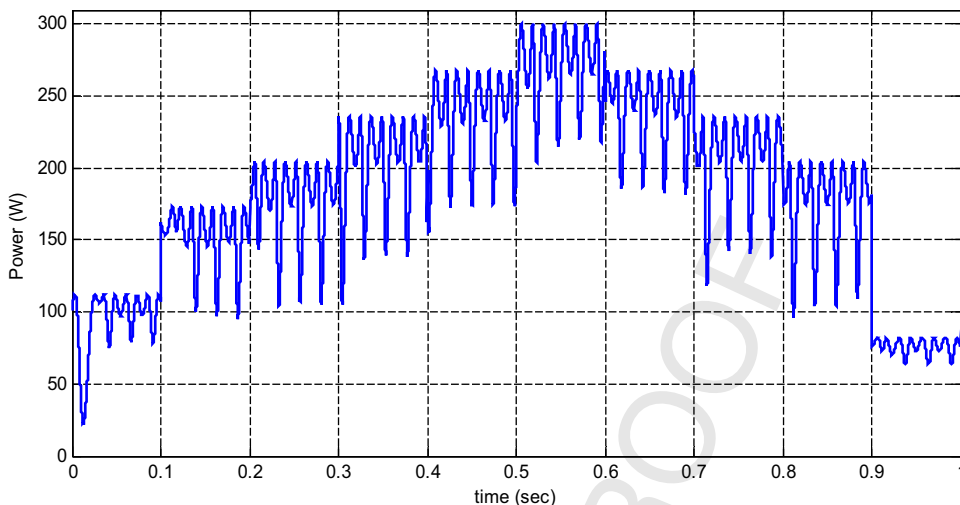


Fig. 13. Module output power through a day with MPPT using P&O technique.

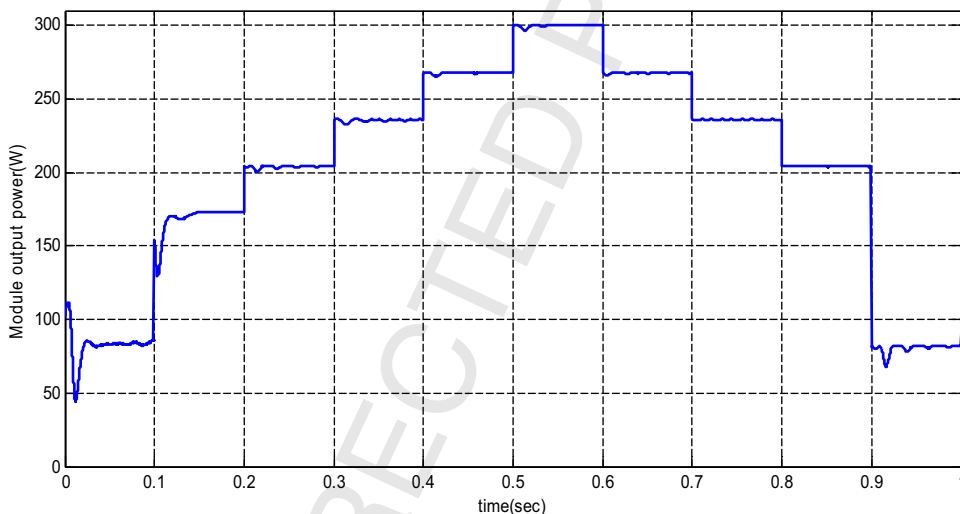


Fig. 14. Module output power through a day with MPPT using fuzzy intelligent technique.

216 (at point 3) which represent minimum allowable battery charging while driving the load. Also suppose the battery
217 continue charging till reaching the maximum charging value of the upper band 100% (at point 1). In this case we
218 should disconnect the current supplied to the batteries to protect them from overcharging by switching OFF a supposed
219 switch (S1), and reswitching it ON again if the SOC is lower or equal to the minimum upper band 98% (at point 2) as
220 shown in Fig. 15, and the supposed switch S2 is at ON state as shown in Fig. 16.

221 5. Discussion and conclusions

222 The standalone PV water pumping system used is described first. It consists of a PV array, a maximum power
223 point tracking (MPPT) system controlling a DC-DC boost converter which drives a BLDC motor driving a positive
224 displacement water pump. Two MPPT techniques are introduced P&O method and FLC method, and the two methods
225 are compared. From the simulation results it can be noticed that FLC is faster and has lower oscillation around the
226 maximum power point than the P&O. The battery backing system is also designed together with its control system
227 to satisfy system requirements all the time. Simulation results presenting the system performance were presented and
228 Q6 discussed. By apply this system in multiple or large scale system could solve the problem of water in remote areas

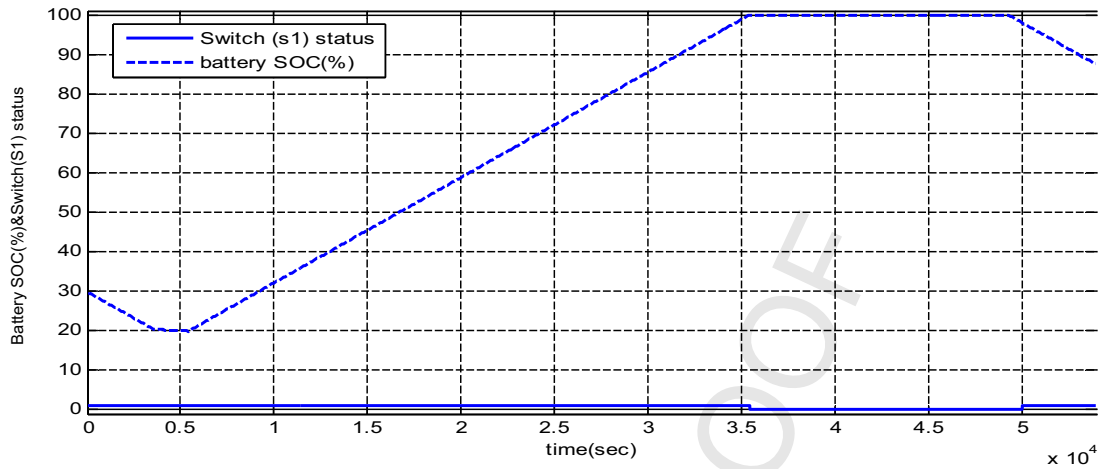


Fig. 15. Battery SOC (%) with switch (S1) status.

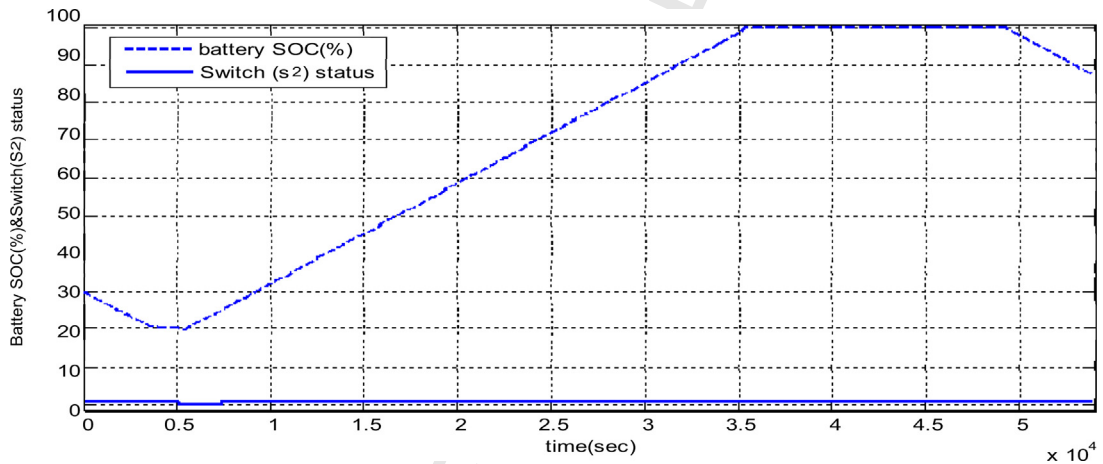


Fig. 16. Battery SOC (%) with switch (S2) status.

229 and could extract it for one-fifth of the world's population (about 1.2 billion people) who live in zones where water is
230 physically rare.

Q7 Appendix A. BLDC motor parameters

Motor data	Unit	Value
Number of pair poles		1
Power rating	W	101
Nominal voltage	V	48
Rated speed	rpm	10,000
Rated torque	mNm	40
No load current	A	0.109
Terminal resistance phase to phase	Ohm	4.4
Terminal inductance phase to phase	mH	0.678
Torque constant	mNm/A	37.02
BEMF constant	mV/rpm	3.877
Rotor inertia	gcm ²	34
Friction torque	mNm/rpm	2.4 × 10 ⁻⁴

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