

## Embedding of a Pseudo-residual Design into a Möbius Plane\*

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Let  $\mathfrak{A}$  be a class of subsets of a finite set  $X$ . Elements of  $\mathfrak{A}$  are called blocks. Let  $v$ ,  $t$  and  $\lambda_i$ ,  $0 \leq i \leq t$ , be nonnegative integers, and  $K$  be a subset of nonnegative integers such that every member of  $K$  is at most  $v$ . A pair  $(X, \mathfrak{A})$  is called a  $(\lambda_0, \lambda_1, \dots, \lambda_t; K, v)$   $t$ -design if (1)  $|X| = v$ , (2) every  $t$ -subset of  $X$  is contained in exactly  $\lambda_i$  blocks,  $0 \leq i \leq t$ , and (3) for every block  $A$  in  $\mathfrak{A}$ ,  $|A| \in K$ . It is well-known that if  $K$  consists of a singleton  $k$ , then  $\lambda_0, \dots, \lambda_{t-1}$  can be determined from  $v$ ,  $t$ ,  $k$  and  $\lambda_t$ . Hence, we shall denote a  $(\lambda_0, \dots, \lambda_t; \{k\}, v)$   $t$ -design by  $S_\lambda(t, k, v)$ , where  $\lambda = \lambda_t$ . A Möbius plane  $M$  is an  $S_1(3, q+1, q^2+1)$ , where  $q$  is a positive integer. Let  $A$  be a fixed block in  $M$ . If  $A$  is deleted from  $M$  together with the points contained in  $A$ , then we obtain a residual design  $M'$  with parameters  $\lambda_0 = q^3 + q - 1$ ,  $\lambda_1 = q^2 + q$ ,  $\lambda_2 = q + 1$ ,  $\lambda_3 = 1$ ,  $K = \{q+1, q, q-1\}$ , and  $v = q^2 - 1$ . We define a design to be a pseudo-block-residual design of order  $q$  (abbreviated by PBRD( $q$ )) if it has these parameters. We consider the reconstruction problem of a Möbius plane from a given PBRD( $q$ ). Let  $B$  and  $B'$  be two blocks in a residual design  $M'$ . If  $B$  and  $B'$  are tangent to each other at a point  $x$ , and there exists a block  $C$  of size  $q+1$  such that  $C$  is tangent to  $B$  at  $x$  and is secant to  $B'$ , then we say  $B$  is  $r$ -tangent to  $B'$  at  $x$ . A PBRD( $q$ ) is said to satisfy the  $r$ -tangency condition if for every block  $B$  of size  $q$ , and any two points  $x$  and  $y$  not in  $B$ , there exists at most one block which is  $r$ -tangent to  $B$  and contains  $x$  and  $y$ . We show that any PBRD( $q$ )  $D$  can be uniquely embedded into a Möbius plane if and only if  $D$  satisfies the  $r$ -tangency condition.

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## 1. INTRODUCTION

Let  $(X, \mathfrak{A})$  be an ordered pair, where  $X$  is a finite set and  $\mathfrak{A}$  is a collection of subsets of  $X$ . Members of  $X$  are called *points* and elements of  $\mathfrak{A}$  are called *blocks*. Let  $\mathbb{N}_0$  denote the set of nonnegative integers, and  $v, t \in \mathbb{N}_0$  such that  $v \geq t \geq 0$ ; for every  $i, t \geq i \geq 0$ , let  $\lambda_i \in \mathbb{N}_0$ . Let  $K$  be a set of nonnegative integers such that every member of  $K$  is smaller than or equal to  $v$ . A structure  $D = (X, \mathfrak{A})$  is called a  $(\lambda_0, \lambda_1, \dots, \lambda_t; K, v)$   $t$ -*design*, denoted by  $S(\lambda_0, \dots, \lambda_t; K, v)$  if and only if (1) every  $i$ -subset of  $X$  is contained in exactly  $\lambda_i$  blocks,  $0 \leq i \leq t$ , (2) for every block  $A$  in  $\mathfrak{A}$ ,  $|A| \in K$ , and (3)  $|X| = v$ . If  $|A| = k$ , then  $A$  is called a  $k$ -*valent* block. In cases where Axiom (1) is only known to be satisfied by  $i = t$ , the design  $D$  will be denoted by  $S_\lambda(t, K, v)$ , where  $\lambda = \lambda_t$ . Further, if  $\lambda = 1$ , we only use  $S(t, K, v)$ . For simplicity, if  $K$  consists of a singleton  $k$ , we write  $D$  as an  $S_\lambda(t, k, v)$  instead of  $S_\lambda(t, \{k\}, v)$ . Since  $\lambda_0$  denotes the number of blocks contained in the design  $D$ , it is customary to write  $b$  in place of  $\lambda_0$ ; also,  $r$  usually takes the place of  $\lambda_1$ .

Let  $A_\infty$  be a fixed block in  $D$ . A *block-residual* design of  $D$  with respect to  $A_\infty$  is a design  $D' = (X', \mathfrak{A}')$ , where  $X' = X - \{\text{points contained in } A_\infty\}$ , and  $\mathfrak{A}' = \mathfrak{A} - \{A_\infty\}$ . If  $D$  is an  $S_\lambda(t, K, v)$ , then  $D'$  is an  $S_\lambda(t, K', v - k)$  where  $k$  is the size of the deleted block  $A_\infty$  and every member of  $K'$  is not larger than the maximal member of  $K$ . A design with parameters equal to those of a block-residual design is called a *pseudo-block-residual* design.

**DEFINITION.** Let  $D'$  be a pseudo-block-residual design.  $D'$  is said to be *embeddable* if and only if there exists a design  $D$  such that the residual design  $D''$  obtained from  $D$  is isomorphic to  $D'$ .

Hall and Connor [5] proved the embedding theorem for a pseudo-block-residual design of an  $S_2(2, k, v)$ . Bose *et al.* [2] and Shrikhande and Singhi [6] extended the result to  $S_\lambda(2, k, v)$  for  $\lambda \geq 3$ . In this paper, we prove an embedding theorem for a pseudo-block-residual design of a Möbius plane.

## 2. MOTIVATION AND STATEMENT OF THEOREM

A *Möbius plane*  $M$  is an  $S(3, q + 1, q^2 + 1)$ , where  $q$  is a positive integer. If  $M'$  is a block-residual design obtained from  $M$ , then  $M'$  is an  $S(\lambda_0, \lambda_1, \lambda_2, \lambda_3; K, v)$ , where

$$\begin{aligned} \lambda_0 &= q^3 + q - 1, & \lambda_1 &= q^2 + q, & \lambda_2 &= q + 1, \\ \lambda_3 &= 1, & K &= \{q + 1, q, q - 1\}, & v &= q^2 - q. \end{aligned} \quad (1)$$

Any 3-design with parameters as those given in (1) is called a pseudo-block-residual design of order  $q$ , abbreviated by PBRD( $q$ ).

Let us first study properties possessed by a Möbius plane  $M$ . (For a detailed treatment of Möbius planes, Dembowski [4, Chap. 6] is an excellent reference.) A block  $B$  is said to be *tangent* to another block  $B'$  at a point  $x$  if and only if  $B \cap B' = \{x\}$ . They are said to be *secant* to one another if  $|B \cap B'| \geq 2$ . Let  $B$  and  $B'$  be two distinct blocks in  $M$  that are tangent to a block  $A$  at a point  $x$ . It can be seen easily that  $B$  and  $B'$  are mutually tangent at  $x$ . A maximal set of blocks which are mutually tangent at a point  $x$  is called a *pencil with carrier  $x$* . One can show that every pencil in  $M$  consists of  $q$  blocks. If the point  $x$  is deleted from  $M$ , then the blocks in a pencil with carrier  $x$  are pairwise disjoint. A set of pairwise disjoint blocks that partition the set of points in a design is called a *parallel class* of blocks. Clearly, a pencil in  $M$  with carrier  $x$  is a parallel class of blocks in  $M - x$ .

For every deleted point  $x$  in  $A_\infty$  there exists a pencil  $\mathfrak{U}'$  in  $M$  with  $x$  as the carrier, which contains  $A_\infty$ . Clearly,  $\mathfrak{U}' - A_\infty$  forms a parallel class of blocks in  $M'$ ; moreover, each block in  $\mathfrak{U}' - A_\infty$  is  $q$ -valent. Conversely, given any parallel class of  $q$ -valent blocks in  $M'$ , there corresponds a unique point deleted from  $A_\infty$ . Thus, in order to embed a PBRD( $q$ ) into a Möbius plane  $M$ , first of all, we have to establish the  $q + 1$  parallel classes of  $q$ -valent blocks in  $D$ .

When the parallel classes are established in  $D$ , we still have to find means to "complete" the  $(q - 1)$ -valent blocks to  $(q + 1)$ -valent blocks. Again, we are motivated by examining the  $(q - 1)$ -valent blocks in  $M'$ . Let  $B$  be any  $(q - 1)$ -valent block in  $M'$  and let  $x$  and  $y$  be the corresponding deleted points in  $A_\infty$ . Consider the pencil  $\mathfrak{U}'$  in  $M$  with carrier  $x$ ; every block in the corresponding parallel class is tangent to  $B$  at a point. We define this type of tangency to be *r-tangency*. One can easily check that if  $B$  is  $r$ -tangent to  $B'$  at a point  $z$ , then there exists a  $(q + 1)$ -valent block  $A$  such that  $A$  is tangent to  $B$  at  $z$  but is secant to  $B'$ . On the basis of this, we generalize the definition of *r-tangency*.

**DEFINITION.** Let  $D$  be an  $S_\lambda(t, K, v)$  with  $K = \{k + 1, k, k - 1\}$ . A block  $B$  in  $D$  is said to be *r-tangent* to another block  $B'$  at a point  $x$  if and only if there exists a  $(k + 1)$ -valent block  $A$  such that  $A$  is tangent to  $B$  at  $x$  and is secant to  $B'$ .

We define a block  $B$  to be an *r-transversal* of a parallel class  $\mathfrak{U}$  if and only if  $B$  is  $r$ -tangent to every block in  $\mathfrak{U}$ . It is obvious then that any  $(q - 1)$ -valent block in  $M'$  is an  $r$ -transversal of exactly two parallel classes. Conversely, given any two parallel classes of  $q$ -valent blocks in  $M'$ , there are  $q$  common  $r$ -transversals, namely, the blocks in  $M$  that contain the two corresponding deleted points. Hence if we can show that every  $(q - 1)$ -valent block in a pseudo-block-residual design  $D'$  is an  $r$ -transversal of exactly two parallel classes, then we can "complete" the  $(q - 1)$ -valent blocks by

adjoining their corresponding parallel classes. Our Fundamental Lemma, stated in the next section, shows how these parallel classes and  $r$ -transversals lead to the embedding of an  $S(v, \{k+1, k, k-1\}, 1)$  3-design into an  $S(v+k+1, k+1, 1)$  3-design.

From the above discussions, we see that we have to set up the parallel classes in  $\text{PBRD}(q)$ . To do so, we consider the set of blocks that are  $r$ -tangent to a given  $q$ -valent block. We define a maximal set of blocks which are mutually  $r$ -tangent at  $x$  and contains at least four blocks to be an  $r$ -pencil with carrier  $x$ . We show that each  $r$ -pencil consists of one  $q$ -valent block and  $q(q-1)$ -valent blocks.

A PBRD is said to satisfy the *Tangency Condition* if given two distinct points  $x, y$  and a block  $A$  with  $x \in A, y \notin A$ , there exists at most one block containing  $y$  and tangent to  $A$  at  $x$ .

This condition is certainly satisfied by a block-residual design of a Möbius plane. If a  $\text{PBRD}(q)$  satisfies the Tangency Condition, then we can show that the  $r$ -tangents of  $B$  which contain a common point  $x$  are mutually  $r$ -tangent. Furthermore, these  $r$ -tangents determine a unique  $r$ -pencil whose  $q$ -valent block is either  $B$  itself or disjoint from  $B$ . From this, we obtain the  $q+1$  parallel classes of  $q$ -valent blocks. We also show that every  $(q-1)$ -valent block that is  $r$ -tangent to a  $q$ -valent block  $B$ , is an  $r$ -transversal of the parallel class containing  $B$ . Thus, we are able to establish the main theorem as stated below.

**THEOREM.** *If  $D$  is a pseudo-block-residual design of order  $q$  and satisfies the Tangency Condition, then  $D$  is uniquely embedded into a Möbius plane of order  $q$ .*

### 3. FUNDAMENTAL LEMMA

In this section we shall reconstruct a 3-design from a pseudo-block-residual design by means of parallel classes and  $r$ -transversals. Let us first state the result as follows:

**LEMMA 1 (The Fundamental Lemma).** *Let  $D$  be an  $S(3, K, v)$ , where  $K = \{k+1, k, k-1\}$ . Suppose  $D$  satisfies the following conditions:*

(A1) *The collection of  $k$ -valent blocks can be partitioned into  $k+1$  parallel classes.*

(A2) *Every  $(k-1)$ -valent block is the  $r$ -transversal of exactly two parallel classes and every two parallel classes have exactly  $k$  common  $(k-1)$ -valent  $r$ -transversals which are pairwise disjoint.*

(A3) *Given any two distinct points  $x$  and  $y$  and a parallel class  $\mathfrak{A}$ ,*

either there exists exactly one block in  $\mathfrak{A}$  that contains  $x$  and  $y$ , or there exists exactly one  $(k - 1)$ -valent block that contains  $x$  and  $y$  and is an  $r$ -transversal of  $\mathfrak{A}$ . Then  $D$  can be uniquely embedded into an  $S(3, k + 1, v + k + 1)$ .

In proving the Fundamental Lemma, we reconstruct the  $k + 1$  "missing" points and adjoin them to the  $k$ -valent and  $(k - 1)$ -valent blocks in  $D$ . Finally, we show that it is a 3-design. Before we proceed, let us establish two simple lemmas.

LEMMA 2. *Let  $D$  be as defined in the Fundamental Lemma. Then,  $v = k^2 - k$ .*

*Proof.* Since every  $(k - 1)$ -valent block in  $D$  is an  $r$ -transversal of a parallel class  $\mathfrak{A}$ ,  $\mathfrak{A}$  consists of  $k - 1$   $k$ -valent blocks; hence,  $v = k(k - 1)$ .

LEMMA 3. *If  $\mathfrak{A}$  and  $\mathfrak{A}'$  are two distinct parallel classes in  $D$  and  $x$  is a point, then there exists a unique  $(k - 1)$ -valent block that contains  $x$  and is a common  $r$ -transversal of  $\mathfrak{A}$  and  $\mathfrak{A}'$ .*

*Proof.* Since the  $k$  common  $r$ -transversals of  $\mathfrak{A}$  and  $\mathfrak{A}'$  are pairwise disjoint, they partition the  $k^2 - k$  points in  $D$ . Hence, given any point  $x$  in  $D$ , there exists a unique common  $r$ -transversal of  $\mathfrak{A}$  and  $\mathfrak{A}'$  that contains  $x$ .

Now we can proceed to prove the Fundamental Lemma.

*Construction of "new points."* Let  $\mathfrak{A}_1, \dots, \mathfrak{A}_{k+1}$  be the  $k + 1$  parallel classes of  $k$ -valent blocks in  $D$ . Corresponding to every parallel class  $\mathfrak{A}_i$ , we define a "new" point  $\mathfrak{A}'_i$ ,  $1 \leq i \leq k + 1$ . Let  $\bar{X}$  be the set of points consisting of the points  $X$  in  $D$  and the  $k + 1$  new points  $\mathfrak{A}'_1, \dots, \mathfrak{A}'_{k+1}$ .

*Construction of "new blocks."* Let  $A$  be a block in  $D$ .

- (1) If  $A$  is a  $(k + 1)$ -valent block, then we let  $A'$  be  $A$ .
- (2) If  $A$  is  $k$ -valent, then  $A$  is contained in a unique parallel class  $\mathfrak{A}_i$  for some  $i$ ,  $1 \leq i \leq k + 1$ . We define  $A'$  to be a block consisting of all points in  $A$  and the new point  $\mathfrak{A}'_i$ .
- (3) If  $A$  is  $(k - 1)$ -valent, then there exist exactly two parallel classes  $\mathfrak{A}_i$  and  $\mathfrak{A}_j$ ,  $i \neq j$ ,  $1 \leq i, j \leq k + 1$ , such that  $A$  is a common  $r$ -transversal of both classes. We extend  $A$  to a block  $A'$  consisting of all points in  $A$ , together with the two new points  $\mathfrak{A}'_i$  and  $\mathfrak{A}'_j$ . Finally, we let  $A_\infty$  be a block consisting of the  $k + 1$  new points and

$$\bar{\mathfrak{A}} = \{A_\infty\} \cup \{A' \mid A \text{ is a block in } D\}.$$

*Construction of a 3-design.* Let  $S$  be the incidence structure  $(\bar{X}, \bar{\mathfrak{A}})$ . We shall show that  $S$  is an  $S(3, k + 1, v + k + 1)$ .

From the constructions of new points and new blocks, it is clear that  $S$  has  $v + k + 1$  points and each block in  $S$  has  $k + 1$  points. We only have to show that  $\lambda = 1$ . Let  $x, y$  and  $z$  be any three distinct points in  $X$ .

*Case 1.*  $x, y, z \in X$ . There exists a unique block  $A$  in  $D$  that contains  $x, y$  and  $z$ ; then the extended block  $A'$  in  $\bar{\mathfrak{A}}$  is the unique block containing  $x, y$  and  $z$ .

*Case 2.*  $x, y \in X$  and  $z = \mathfrak{A}'_i$  for some  $i, 1 \leq i \leq k + 1$ . Consider the two points  $x$  and  $y$  and the parallel class  $\mathfrak{A}_i$  in  $D$ . By Axiom (A3), either there exists a  $k$ -valent block  $A$  in  $\mathfrak{A}_i$  containing  $x$  and  $y$ , or there exists a  $(k - 1)$ -valent block  $A$  that contains  $x$  and  $y$  and is an  $r$ -transversal of  $\mathfrak{A}_i$ . In either case the extended block  $A'$  in  $\bar{\mathfrak{A}}$  is the unique block containing  $x, y$  and  $z$ .

*Case 3.*  $x \in X, y = \mathfrak{A}_i$  and  $z = \mathfrak{A}_j$  with  $1 \leq i < j \leq k + 1$ . Let us consider the point  $x$  and the two parallel classes  $\mathfrak{A}_i$  and  $\mathfrak{A}_j$  in  $D$ . By Lemma 3, there exists a unique  $(k - 1)$ -valent block  $A$  containing  $x$  which is a common  $r$ -transversal of  $\mathfrak{A}_i$  and  $\mathfrak{A}_j$ . Thus,  $A'$  is the unique block in  $S$  that contains  $x, y$  and  $z$ .

*Case 4.*  $x = \mathfrak{A}'_i, y = \mathfrak{A}'_j$  and  $z = \mathfrak{A}'_m$  with  $1 \leq i < j < m \leq k + 1$ . The block  $A_\infty$  is the unique block that contains  $x, y$  and  $z$ .

It is clear that if we delete the block  $A_\infty$  from  $S$ , then the block-residual design  $S'$  thus obtained is isomorphic to  $D$ . Moreover, since the  $k + 1$  new points and the extended blocks in  $S$  are uniquely determined,  $D$  is uniquely embeddable.

By virtue of this lemma, we see that if we can establish the parallel classes and the  $r$ -transversals that satisfy Axioms (A1)–(A3), then a PBRD( $q$ ) can be uniquely embedded into a Möbius plane.

#### 4. THE THREE CLASSES OF BLOCKS

Let  $D = (X, \mathfrak{A})$  be any PBRD( $q$ ). For  $k \in K = \{q + 1, q, q - 1\}$ , we denote the set of  $k$ -valent blocks by  $\mathfrak{A}(k)$ . Clearly,  $\mathfrak{A}$  is partitioned into the three classes,  $\mathfrak{A}(q + 1)$ ,  $\mathfrak{A}(q)$  and  $\mathfrak{A}(q - 1)$ . Throughout this section, we shall use  $A, B$  and  $C$  to denote members of  $\mathfrak{A}(q + 1)$ ,  $\mathfrak{A}(q)$  and  $\mathfrak{A}(q - 1)$ , respectively; other letters will be used to denote blocks of various sizes. Let us first compute the order of  $\mathfrak{A}(k)$  for each  $k$  in  $K$ .

LEMMA 4. *Let  $D$  be a PBRD( $q$ ). For  $k \in K$ , let  $b(k)$  denote the number of  $k$ -valent blocks contained in  $D$ . Then*

$$\begin{aligned}
 b(q+1) &= \frac{1}{2}q(q-1)(q-2), \\
 b(q) &= (q+1)(q-1), \\
 b(q-1) &= \frac{1}{2}q^2(q+1).
 \end{aligned}
 \tag{2}$$

*Proof.* Total number of blocks in  $D$

$$= q^3 + q - 1 = b(q+1) + b(q) + b(q-1).
 \tag{3}$$

Total number of triples  $(x, y, z)$  where  $x, y$  and  $z$  are distinct points in  $D$

$$= \binom{q^2 - q}{3} = \binom{q+1}{3} b(q+1) + \binom{q}{3} b(q) + \binom{q-1}{3} b(q-1).
 \tag{4}$$

Next, let us count the number of ordered pairs  $(x, E)$  where  $x$  is a point incident with a block  $E$  in  $D$ . Fixing a point  $x$ , there exists  $\lambda_1$  choices of  $E$  and there are  $q^2 - q$  points in  $D$ . Hence the total number of ordered pairs equals  $(q^2 + q)(q^2 - q)$ . On the other hand, for a fixed  $k$ -valent block  $E$ , there are  $k$  choices of  $x$ . Hence

$$(q^2 + q)(q^2 - q) = (q+1) b(q+1) + qb(q) + (q-1) b(q-1).
 \tag{5}$$

Using (3), (4) and (5), the parameters  $b(q+1)$ ,  $b(q)$  and  $b(q-1)$  are easily computed to be those given in (2).

**LEMMA 5.** *Let  $D$  be a PBRD( $q$ ). If for  $k \in K$ ,  $r(k)$  denotes the number of  $k$ -valent blocks containing a given point in  $D$ , then for every point  $x$  in  $D$ ,*

$$\begin{aligned}
 r(q+1) &= \frac{1}{2}(q+1)(q-2), \\
 r(q) &= q+1, \\
 r(q-1) &= \frac{1}{2}q(q+1).
 \end{aligned}
 \tag{6}$$

*Proof.* Let  $x$  be any point in  $D$ . Since  $x$  is contained in  $q^2 + q$  blocks, we have

$$r(q+1) + r(q) + r(q-1) = q^2 + q.
 \tag{7}$$

Next, let us count the number of triples  $(x, y, z)$  where  $y$  and  $z$  are points distinct from  $x$  and  $y \neq z$ . Then,

$$\binom{q}{2} r(q+1) + \binom{q-1}{2} r(q) + \binom{q-2}{2} r(q-1) = \binom{q^2 - q - 1}{2}.
 \tag{8}$$

Lastly, we count the number of ordered pairs  $(y, E)$  where  $y$  is a point distinct from  $x$  and both  $x$  and  $y$  are incident with the block  $E$ . For every

point  $y$  distinct from  $x$ , there are  $q + 1$  blocks containing both  $x$  and  $y$ . Therefore,

$$qr(q + 1) + (q - 1)r(q) + (q - 2)r(q - 1) = (q^2 - q - 1)(q + 1). \quad (9)$$

Combining (7), (8) and (9), we get the parameters  $r(q + 1)$ ,  $r(q)$  and  $r(q - 1)$  given in (6).

From these two lemmas, we observe that for each  $k$  in  $K$ ,  $(X, \mathfrak{A}(k))$  is an  $S_{r(k)}(1, k, q^2 - q)$ . Even though none of the three designs is a 2-design, for each  $k$  in  $K$ ,  $\lambda_2(k)$  takes only two values.

**LEMMA 6.** *Let  $D$  be a PBRD( $q$ ) and for each  $k \in K$ , let  $\lambda_2(k)$  denote the number of  $k$ -valent blocks containing two given points in  $D$ .*

*If  $q \equiv 1 \pmod{2}$ , then  $\lambda_2(q) = 0$  or  $2$  and  $\lambda_2(q + 1) = \lambda_2(q - 1)$ .*

*If  $q \equiv 0 \pmod{2}$ , then  $\lambda_2(q) = 1$  or  $q + 1$  and  $\lambda_2(q + 1) = \lambda_2(q - 1)$ .*

*Proof.* Let  $x$  and  $y$  be two distinct points in  $D$ . Since  $D$  is a 2-design,

$$\lambda_2(q + 1) + \lambda_2(q) + \lambda_2(q - 1) = \lambda_2 = q + 1. \quad (10)$$

The blocks containing  $x$  and  $y$  partition the points of  $D$  distinct from  $x$  and  $y$ . Hence,

$$(q - 1)\lambda_2(q + 1) + (q - 2)\lambda_2(q) + (q - 3)\lambda_2(q - 1) = q^2 - q - 2. \quad (11)$$

From (10) and (11), we obtain

$$\lambda_2(q) + 2\lambda_2(q - 1) = q + 1. \quad (12)$$

From (10) and (12), we have

$$\lambda_2(q + 1) = \lambda_2(q - 1). \quad (12a)$$

*Case 1.*  $q \equiv 1 \pmod{2}$ . Since  $q + 1 \equiv 0 \pmod{2}$ , from (12), we see that  $\lambda_2(q) \equiv 0 \pmod{2}$ .

Suppose  $\lambda_2(q) \neq 0$ . Then there exists a block  $B \in \mathfrak{A}(q)$  such that  $B$  contains  $x$  and  $y$ . For each point  $y_i$  in  $B$ ,  $y_i \neq x$ , the points  $x$  and  $y_i$  are contained in  $B$  and  $B$  is  $q$ -valent. Since  $\lambda_2(q) \equiv 0 \pmod{2}$ , there exists at least one other  $q$ -valent block containing  $x$  and  $y_i$ ; let  $B_i$  be such a block. Clearly, for  $i \neq j$ ,  $B_i \neq B_j$  and there are  $q - 1$  such in  $B_i$ 's. But  $r(q) = q + 1$  implies that there exists another  $q$ -valent block  $B'$  containing  $x$ . If  $B'$  contains  $x$  and  $y$ , then  $\lambda_2(q) = 3$  and contradicts that  $\lambda_2(q) \equiv 0 \pmod{2}$ . Therefore,  $\lambda_2(q) = 2$  and  $B'$  is not secant to  $B$ . In fact,  $B'$  is the unique  $q$ -valent block that is tangent to  $B$  at  $x$ .

*Case 2.*  $q \equiv 0 \pmod{2}$ . Since  $q + 1 \equiv 1 \pmod{2}$ , from (12), we see that  $\lambda_2(q) \equiv 1 \pmod{2}$ .



Suppose  $\lambda_2(q) \neq q + 1$ . Then (12) implies that  $\lambda_2(q - 1) \neq 0$  and by (12a) there exists a  $(q + 1)$ -valent block  $A$  containing  $x$  and  $y$ . Let  $B_1, \dots, B_{\lambda_2(q)}$  be the  $q$ -valent blocks containing  $x$  and  $y$ , and  $B'_1, \dots, B'_n$  be the other  $q$ -valent blocks containing  $x$ . For every point  $z$  in  $A$ , distinct from  $x$  and  $y$ ,  $z$  is not contained in  $B_i$  for any  $i$ ,  $1 \leq i \leq \lambda_2(q)$ . Since  $\lambda_2(q) \equiv 1 \pmod{2}$ ,  $z$  is contained in at least one  $B'_i$ ,  $1 \leq i \leq n$ . But there are  $q - 1$  points in  $A$  that are distinct from  $x$  and  $y$ . Hence

$$n \geq q - 1. \tag{13}$$

Since  $r(q) = q + 1$ ,  $\lambda_2(q) + n = q + 1$ , or equivalently,

$$n = q + 1 - \lambda_2(q). \tag{14}$$

From (13) and (14), we have  $\lambda_2(q) \leq 2$ . But  $\lambda_2(q) \equiv 1 \pmod{2}$ ; hence  $\lambda_2(q) = 1$ .

**COROLLARY 7.** *Let  $B \in \mathfrak{A}(q)$  and  $x$  be a point in  $B$ .*

*If  $q \equiv 1 \pmod{2}$ , then there exists a unique  $q$ -valent block tangent to  $B$  at  $x$ .*

*If  $q \equiv 0 \pmod{2}$ , then there exists no  $q$ -valent block tangent to  $B$  at  $x$ .*

*Proof.* *Case 1.*  $q \equiv 1 \pmod{2}$ . The result is clear from the previous proof.

*Case 2.*  $q \equiv 0 \pmod{2}$ . If for every point  $y$  in  $B$ ,  $y \neq x$ ,  $B$  is the only  $q$ -valent block containing  $x$  and  $y$ , then every other  $q$ -valent block containing  $x$  is tangent to  $B$ ; furthermore, they are mutually tangent. This implies that there are  $q^2$  points in  $D$  contradicting that  $v = q^2 - q$ . Hence, there exists a point  $y$  in  $B$  such that every  $q$ -valent block containing  $x$  contains  $x$  and  $y$ . Hence, there exists no  $q$ -valent block tangent to  $B$  at  $x$ .

We shall need these lemmas later. Meanwhile, let us divert our attentions to blocks that are tangent to each other in  $D$ .

## 5. TANGENTS

Let us recall that two blocks  $E$  and  $E'$  in  $D$  are said to be *tangent* to each other if they intersect in exactly one point, and if they intersect in exactly two points, then they are *secant* to one another. Furthermore, if  $E$  and  $E'$  are tangent at  $x$  and there exists a  $(q + 1)$ -valent block  $A$  which is tangent to  $E$  at  $x$  and secant to  $E'$ , then  $E$  is said to be *r-tangent* to  $E'$  at  $x$ . In this section, we shall establish the existence of *r-tangents* in  $D$ .

**LEMMA 8.** *Let  $i \in \{0, 1, 2\}$  and  $E$  be a fixed  $(q + 1 - i)$ -valent block in*

*D. If  $x$  is a point incident with  $E$  and  $y$  is a point not in  $E$ , then there exist exactly  $i + 1$  blocks which are tangent to  $E$  at  $x$  and contain  $y$ .*

*Proof.* Let  $z$  be a point in  $E$  which is distinct from  $x$ . The three points  $x$ ,  $y$  and  $z$  determine a unique block  $E'$  in  $D$ , and  $E'$  is clearly secant to  $E$ . Since there are  $q - i$  distinct points in  $E$  that are different from  $x$ , there are  $q - i$  blocks in  $D$  which contain  $x$  and  $y$  and are secant to  $E$ . This implies that all other blocks containing  $x$  and  $y$  are tangent to  $E$  at  $x$ . Since there are  $q + 1$  blocks containing  $x$  and  $y$  and  $(q + 1) - (q - i) = i + 1$ , the conclusion of the lemma follows.

LEMMA 9. *Let  $i \in \{0, 1, 2\}$  and  $E$  be a fixed  $(q + 1 - i)$ -valent block in  $D$ . If  $x$  is a point incident with  $E$ , then there exist exactly  $(i + 1)q - 1$  blocks which are tangent to  $E$  at  $x$ .*

*Proof.* For every point  $y$  in  $E$ , different from  $x$ , the points  $x$  and  $y$  are contained in  $q$  blocks other than  $E$ . Hence, there are  $q(q - 1)$  blocks which contain  $x$  and are secant to  $E$ . But every block incident with  $x$  other than  $E$  is either a secant or a tangent of  $E$ , and since there are  $q^2 + q - 1$  blocks incident with  $x$  other than  $E$ , the number of blocks tangent to  $E$  at  $x$  is  $q^2 + q - 1 - q(q - i)$ , or  $q(i + 1) - 1$ .

LEMMA 10. *If  $A$  is a  $(q + 1)$ -valent block and  $x$  is a point in  $A$ , then the  $q - 1$  tangents of  $A$  at  $x$  are mutually tangent to each other at  $x$ .*

*Proof.* Let  $E$  and  $E'$  be two distinct tangents of  $A$  at  $x$ , and suppose  $E$  and  $E'$  intersect at two distinct points  $x$  and  $y$ . Since  $y$  is a point not in  $A$ , by Lemma 8, there exists a unique block containing  $y$  which is tangent to  $A$  at  $x$ . But both  $E$  and  $E'$  contain  $y$  and are tangent to  $A$  at  $x$ . Hence,  $E$  and  $E'$  are mutually tangent at  $x$ .

By virtue of this lemma, we see that the tangents of  $A$  at  $x$  together with  $A$  constitute a pencil in  $D$  with carrier  $x$ .

PROPOSITION 11. *If  $\mathfrak{A}$  is a pencil with carrier  $x$  such that  $\mathfrak{A}$  contains a  $(q + 1)$ -valent block  $A$ , then  $\mathfrak{A}$  contains  $q$  blocks and  $\mathfrak{A}$  partitions the points in  $D$  that are distinct from  $x$ .*

*Proof.* Let  $A$  be a  $(q + 1)$ -valent block in  $\mathfrak{A}$ . For every point  $y$  distinct from  $x$ , there exists a unique block  $E$  in  $\mathfrak{A}$  such that  $E$  contains  $y$  and  $E$  is tangent to  $A$  at  $x$ . Hence,  $\mathfrak{A}$  partitions the points that are distinct from  $x$ . It is clear from the previous lemma that  $\mathfrak{A}$  contains  $q$  blocks.

From the above, we see that a  $(q + 1)$ -valent block  $A$  cannot be  $r$ -tangent to any other block  $E$ . The converse is also valid.

LEMMA 12. *Let  $E$  be a block in  $D$ . If  $A$  and  $A'$  are two distinct  $(q + 1)$ -*

*valent blocks that are tangent to  $E$  at a point  $x$ , then  $A$  and  $A'$  are mutually tangent at  $x$ .*

*Proof.* Suppose  $A$  is not tangent to  $A'$  at  $x$ . Let  $x$  and  $y$  be the two points of intersection of  $A$  and  $A'$ . For every point  $z$  in  $A'$  such that  $z \neq x$  and  $z \neq y$ , there exists a unique block tangent to  $A$  at  $x$  which contains  $z$ . Hence, there are  $q - 1$  blocks that are tangent to  $A$  at  $x$  and are secant to  $A'$ . But there are only  $q - 1$  tangents of  $A$  at  $x$  of which  $E$  is one. This contradicts that  $E$  is tangent to  $A'$  at  $x$ . Hence,  $A$  and  $A'$  are mutually tangent.

From this, we observe that if  $A$  is a  $(q + 1)$ -valent block and  $A$  is not  $r$ -tangent to  $E$ , then  $E$  is not  $r$ -tangent to  $A$ . We shall establish this property for every block  $E$  in  $D$ . That is, we want to show that the relation, “ $r$ -tangency,” is a symmetric relation.

LEMMA 13. *Let  $q \geq 4$  and let  $E$  be a block in  $D$  such that  $E$  is not  $(q + 1)$ -valent. If  $x$  is a point in  $E$ , then there exists at least one  $(q + 1)$ -valent block  $A$  tangent to  $E$  at  $x$ .*

*Proof.* Let  $|E| = q + 1 - i$ , where  $i \in \{1, 2\}$ . For  $k \in K$ , let  $t_i(k)$  denote the number of  $k$ -valent blocks tangent to  $E$  at  $x$ . By Lemma 9, there are  $(i + 1)q - 1$  blocks tangent to  $E$  at  $x$ . Hence

$$t_i(q + 1) + t_i(q) + t_i(q - 1) = (i + 1)q - 1. \tag{15}$$

Next, we count the number of ordered pairs  $(y, E')$  such that  $y \in E'$ ,  $y \notin E$  and  $E'$  is tangent to  $E$  at  $x$ . For each point  $y$  not in  $E$ , there are  $i + 1$  blocks containing  $y$  and tangent to  $E$  at  $x$ . Since there are  $v - (q + 1 - i)$  such points  $y$ , we have

$$\begin{aligned} qt_i(q + 1) + (q - 1)t_i(q) + (q - 2)t_i(q - 1) \\ = (i + 1)(q^2 - 2q - 1 + i). \end{aligned} \tag{16}$$

Combining (15) and (16), we obtain

$$2t_i(q + 1) + t_i(q) = i^2 + q - 3. \tag{17}$$

*Case 1.*  $|E| = q$  (i.e.,  $i = 1$ ). By Corollary 7, there exists either none or exactly one  $q$ -valent block tangent to  $E$  at  $x$ , depending on whether  $q$  is even or odd. Hence,  $t_1(q) \leq 1$ . From (17), we obtain the inequality,

$$2t_1(q + 1) \geq q - 3.$$

For  $q \geq 4$ ,  $t_1(q + 1) > 0$ . Hence, there exists at least one  $(q + 1)$ -valent block tangent to  $E$  at  $x$ .

*Case 2.*  $|E| = q - 1$  (i.e.,  $i = 2$ ). Suppose there exists no  $(q + 1)$ -valent

block tangent to  $E$  at  $x$ ; then  $t_2(q+1) = 0$  and from (17),  $t_2(q) = q+1$ . Hence, every  $q$ -valent block that contains  $x$  is tangent to  $E$ ; this implies that for every point  $y$  in  $E$  distinct from  $x$ , the points  $x$  and  $y$  are not contained in any  $q$ -valent block. We show that this cannot be the case.

If  $q \equiv 0 \pmod{2}$ , then by Lemma 6 there exists at least one  $q$ -valent block containing  $x$  and  $y$ . Hence, we arrive at a contradiction.

If  $q \equiv 1 \pmod{2}$ , then by Lemma 5 for each  $y$  in  $E$  distinct from  $x$  exactly half of the  $q+1$  blocks that contain  $x$  and  $y$  are  $(q-1)$ -valent; thus there are  $\frac{1}{2}(q+1)(q-2)$   $(q-1)$ -valent blocks containing  $x$  and secant to  $E$ . Since there are  $\frac{1}{2}(q+1)q$   $(q-1)$ -valent blocks containing  $x$ , there are  $q$   $(q-1)$ -valent blocks tangent to  $E$  at  $x$ . But if  $t_2(q+1) = 0$  and  $t_2(q) = q+1$ , then by Eq. (17),  $t_2(q-1) = 2q-2$ . Hence,  $q = 2q-2$  or  $q = 2$ . This contradicts that  $q \equiv 1 \pmod{2}$ . Consequently,  $t_2(q+1) \neq 0$ , and there exists at least one  $(q+1)$ -valent block tangent to  $E$  at  $x$ .

LEMMA 14. *Let  $E$  and  $E'$  be two distinct blocks in  $D$ . If  $E$  is  $r$ -tangent to  $E'$  at  $x$ , then  $E'$  is  $r$ -tangent to  $E$  at  $x$ .*

*Proof.* If  $E$  is  $r$ -tangent to  $E'$  at  $x$ , then there exists a  $(q+1)$ -valent block  $A$  that is tangent to  $E$  at  $x$  and is secant to  $E'$ . Suppose  $E'$  is not  $r$ -tangent to  $E$  at  $x$ ; then for every  $(q+1)$ -valent block  $A'$  that is tangent to  $E'$  at  $x$ ,  $A'$  is also tangent to  $E$ . Consider the two  $(q+1)$ -valent blocks  $A$  and  $A'$ . Since both  $A$  and  $A'$  are tangent to  $E$  at  $x$ ,  $A$  is tangent to  $A'$  at  $x$ . But  $E'$  is also tangent to  $A'$  at  $x$ ; hence by Lemma 10,  $E'$  is tangent to  $A$  at  $x$ . This contradicts that  $A$  is secant to  $E'$ . Therefore,  $E'$  is  $r$ -tangent to  $E$  at  $x$ .

Thus we see that  $r$ -tangency is a symmetric relation. Let us define two distinct blocks  $E$  and  $E'$  to be  $M$ -tangent at  $x$  if and only if  $E$  is tangent to  $E'$  but is not  $r$ -tangent to  $E'$  at  $x$ . A pencil with carrier  $x$  is called an  $M$ -pencil if the blocks in the pencil are mutually  $M$ -tangent.

LEMMA 15. *If  $E$  is  $M$ -tangent to  $E'$  at  $x$  and  $E'$  is  $M$ -tangent to  $E''$  at  $x$ , then  $E$  is  $M$ -tangent to  $E''$  at  $x$ .*

*Proof.* Let  $A$  be a  $(q+1)$ -valent block in  $D$  such that  $A$  is tangent to  $E$  at  $x$ . Since  $E$  is not  $r$ -tangent to  $E'$ ,  $A$  is tangent to  $E'$  at  $x$ . But  $E'$  is  $M$ -tangent to  $E''$  at  $x$ ; hence  $A$  is also tangent to  $E''$  at  $x$ . Consequently,  $E$  is not  $r$ -tangent to  $E''$  at  $x$ , or equivalent,  $E$  is  $M$ -tangent to  $E''$  at  $x$ .

From this lemma, we observe that any pencil that contains a  $(q+1)$ -valent block is an  $M$ -pencil. Next, we shall study blocks that are tangent to a given  $q$ -valent block  $B$ .

LEMMA 16. *Let  $B$  be a fixed  $q$ -valent block in  $D$  and  $x$  be a point incident with  $B$ . If  $A$  is a  $(q+1)$ -valent block tangent to  $B$  at  $x$ , then there exist exactly  $q$  blocks which are tangent to  $B$  at  $x$  and are secant to  $A$ .*

*Proof.* For  $i = 1, 2$ , let

$$T_i = \{E \mid E \text{ is a block in } D \text{ tangent to } B \text{ at } x, E \neq A \text{ and } |E \cap A| = i\}.$$

The two sets  $T_1$  and  $T_2$  partition the set of tangents of  $B$  other than  $A$  at  $x$ . By Lemma 9,

$$|T_1| + |T_2| = 2q - 2. \quad (18)$$

Next, we count the number of ordered pairs  $(y, E)$  where  $E$  is tangent to  $B$  at  $x$ ,  $E \neq A$  and  $y \in E \cap A$ . If  $y$  is distinct from  $x$ , then by Lemma 9, there exist two blocks containing  $y$  and tangent to  $B$  at  $x$ , of which one is  $A$ . Hence, there exists a unique choice of  $E$ . If  $y = x$ , then by Lemma 9, there are  $2q - 2$  choices of  $E$ . Since there are  $q$  points in  $A$  that are distinct from  $x$ , the number of ordered pairs  $(y, E)$  is  $q + 2q - 2$ . On the other hand, if  $E$  is tangent to  $B$  at  $x$  and  $|E \cap A| = i$ ,  $i = 1, 2$ , then there are  $i$  choices of  $y$ . Thus,

$$|T_1| + 2|T_2| = q + 2q - 2. \quad (19)$$

Using (18) and (19), we obtain  $|T_2| = q$ . Therefore, there are  $q$  blocks tangent to  $B$  at  $x$  and secant to  $A$ .

It should be noted that  $B$  is  $r$ -tangent to these  $q$  blocks at  $x$ . Next, we show that they are mutually tangent at  $x$ .

**LEMMA 17.** *Let  $B$  be a  $q$ -valent block and  $A$  be a  $(q + 1)$ -valent block tangent to  $B$  at a point  $x$ . If  $E$  and  $E'$  are two distinct blocks tangent to  $B$  at  $x$  and secant to  $A$ , then  $E$  and  $E'$  are mutually tangent at  $x$ .*

*Proof.* Suppose  $E$  and  $E'$  intersect each other at two points  $x$  and  $y$ . If  $y \in A$ , then  $E, E'$  and  $A$  are three blocks containing  $y$  and tangent to  $B$  at  $x$ . This contradicts the fact that there exist only two such tangents (by Lemma 8). Thus, the point  $y$  is not contained in  $A$ .

Since  $y \notin A$  and  $A$  is  $(q + 1)$ -valent, by Lemma 8, there exists a unique block  $E''$  containing  $y$  and tangent to  $A$  at  $x$ . But both  $E''$  and  $B$  are tangent to  $A$  at  $x$ , by Lemma 8,  $E''$  and  $B$  are mutually tangent at  $x$ . Hence,  $E, E'$  and  $E''$  are three blocks tangent to  $B$  at  $x$  and containing  $y$ . This contradicts that there are only two such blocks.

Therefore,  $E$  and  $E'$  cannot intersect at two points and hence, they are mutually tangent at  $x$ .

**LEMMA 18.** *Let  $A$  and  $B$  be  $(q + 1)$ -valent and  $q$ -valent blocks, respectively, such that  $A$  is tangent to  $B$  at  $x$ . If  $C$  is tangent to  $B$  at  $x$  and secant to  $A$ , then  $C$  is a  $(q - 1)$ -valent block.*

*Proof.* Let  $C_1, \dots, C_q$  be the  $q$  blocks that are tangent to  $B$  at  $x$  and secant

to  $A$ . Since for  $1 \leq i < j \leq q$ ,  $C_i$  and  $C_j$  are mutually tangent at  $x$  and each  $C_i$  has at least  $q - 2$  points other than  $x$ , we have

$$q(q - 2) \leq \sum_{i=1}^q (|C_i| - 1) \leq q^2 - q - |B|.$$

But  $B$  is  $q$ -valent; hence  $\sum_{i=1}^q (|C_i| - 1) = q(q - 2)$ . Consequently, each  $C_i$  is a  $(q - 1)$ -valent block.

From the proof, we also observe that the blocks  $C_1, \dots, C_q$  and  $B$  partition the points distinct from  $x$ . In fact, we shall show that they form an  $r$ -pencil in  $D$  with carrier  $x$ .

**LEMMA 19.** *Let  $B, C_1, \dots, C_q$  be as defined in the previous lemma and  $x$  be their common point. Let  $T = \{B, C_1, \dots, C_q\}$ . If  $E$  and  $E'$  are two distinct blocks in  $T$  and  $A$  is a  $(q + 1)$ -valent block tangent to  $E$  at  $x$ , then  $A$  is secant to  $E'$ .*

*Proof.* Consider a point  $y$  in  $A$  distinct from  $x$ . Since the blocks in  $T$  partition the points distinct from  $x$ , there exists a unique block  $E_y$  in  $T$  such that  $E_y$  contains  $x$  and  $y$ . Thus, there are  $q$  blocks in  $T$  that are secant to  $A$ .

But  $T$  consists of  $q + 1$  blocks; hence there exists a unique block in  $T$  that is tangent to  $A$  at  $x$ , and  $E$  is such a block. Thus,  $|A \cap E'| = 2$  and the conclusion of the lemma follows.

**PROPOSITION 20.** *Let  $B$  be a  $q$ -valent block in  $D$  and  $x$  be a point in  $B$ . The pair  $(x, B)$  determines a unique  $r$ -pencil in  $D$  with carrier  $x$ , denoted by  $\mathfrak{P}(x, B)$ . Furthermore, the  $r$ -pencil  $\mathfrak{P}(x, B)$  consists of  $q + 1$  blocks and they partition the points distinct from  $x$ . We shall call  $B$  the carrier block of  $\mathfrak{P}(x, B)$ .*

*Proof.* Let  $T = \{B, C_1, \dots, C_q\}$  be defined as above. For every block in  $T$ , there exists a  $(q + 1)$ -valent block tangent to  $E$  at  $x$ . Hence, by the previous lemma  $E$  is  $r$ -tangent to every other block in  $T$ . Thus, they form an  $r$ -pencil at  $x$ .

Next, we count the number of points contained in  $T$ . Since the  $q$   $(q - 1)$ -valent blocks and the  $q$ -valent block  $B$  in  $T$  are mutually tangent at  $x$ , we have  $q(q - 2) + q = q^2 - q$  points in  $T$ . Thus, the blocks in  $T$  partition the points distinct from  $x$ .

Since for every  $(q + 1)$ -valent block  $A$  that is tangent to  $B$  at  $x$ ,  $A$  is secant to  $C_i$  in  $T$ ,  $1 \leq i \leq q$ , and by Lemma 16,  $C_1, \dots, C_q$  are the only blocks that are  $r$ -tangent to  $B$  at  $x$ . Thus,  $\mathfrak{P}(x, B)$  is the unique  $r$ -pencil with carrier  $x$  and carrier block  $B$ .

**COROLLARY 21.** *Let  $B$  be a  $q$ -valent block in  $D$ .  $B$  is  $r$ -tangent to exactly  $q^2$   $(q - 1)$ -valent blocks in  $D$ .*

**COROLLARY 22.** *Let  $B$  be a  $q$ -valent block in  $D$ . If  $x$  is a point not in  $B$ , then  $B$  is  $r$ -tangent to exactly  $q$  blocks in  $D$  that contain  $x$ . Furthermore, these  $q$  blocks are  $(q - 1)$ -valent.*

*Proof.* Let  $y$  be a point in  $B$ .  $\mathfrak{P}(y, B)$  partitions the points distinct from  $y$ ; hence there exists a unique block  $C_y$  containing  $x$  that is  $r$ -tangent to  $B$  at  $y$ . Since there are  $q$  points in  $B$ , there are  $q$  blocks containing  $x$  that are  $r$ -tangent to  $B$ . Clearly, these are the only  $r$ -tangents of  $B$  that contain  $x$ .

Eventually, we would like to show that these  $q$   $(q - 1)$ -valent blocks that are  $r$ -tangent to  $B$  and contain  $x$  determine an  $r$ -pencil in  $D$  with carrier  $x$ . Let us first conclude our discussions on  $q$ -valent blocks in the following theorem.

**THEOREM 23.** *Let  $B$  be a  $q$ -valent block. If  $x$  is a point in  $B$ , then there exist exactly one  $r$ -pencil and one  $M$ -pencil with carrier  $x$  that contain  $B$ .*

*Proof.* Since  $B$  is  $q$ -valent, by Lemma 9 there are  $2q - 1$  blocks which are tangent to  $B$  at  $x$ . By Proposition 20,  $q$  of these tangents together with  $B$  form an  $r$ -pencil  $\mathfrak{P}(x, B)$  with carrier  $x$ . Among the remaining  $q - 1$  tangents of  $B$ , there is a  $(q + 1)$ -valent block  $A$ . Since every tangent of  $B$  at  $x$  is not  $r$ -tangent to  $A$  at  $x$ , these  $q - 2$  tangents of  $B$  together with  $A$  and  $B$  form an  $M$ -pencil with carrier  $x$ .

Finally, we shall study the tangents of a  $(q - 1)$ -valent block  $C$  at a point  $x$ .

**LEMMA 24.** *Let  $C$  be a  $(q - 1)$ -valent block in  $D$  and  $x$  be a point in  $C$ . If  $g(C, x)$  denotes the number of 2-valent blocks  $r$ -tangent to  $C$  at  $x$ , then  $\text{ave } g(\cdot, x) = 2$ .*

*Proof.* We count the number of ordered pairs  $(B, C)$  where  $B$  and  $C$  are  $q$ -valent and  $(q - 1)$ -valent blocks, respectively, and  $B$  is  $r$ -tangent to  $C$  at  $x$ . For every  $q$ -valent block  $B$  containing  $x$ , there are  $q$  choices of  $C$ . Since there are  $q + 1$   $q$ -valent blocks containing  $x$ , there are  $q(q + 1)$  ordered pairs  $(B, C)$ . On the other hand, if  $C$  is a  $(q - 1)$ -valent block containing  $x$ , then there are  $g(C, x)$  choices of  $B$ . Hence,  $\sum_C g(C, x) = q(q + 1)$ . But there are  $\frac{1}{2}q(q + 1)$   $(q - 1)$ -valent blocks containing  $x$ ; thus  $\text{ave } g(\cdot, x) = 2$ .

**PROPOSITION 25.** *Let  $C$  be a  $(q - 1)$ -valent block in  $D$ . If  $x$  is a point in  $C$ , then there exist exactly two  $q$ -valent blocks that are  $r$ -tangent to  $C$  at  $x$ .*

*Proof.* We shall show that there exist at most two  $q$ -valent blocks that are  $r$ -tangent to  $C$  at  $x$ , then using the previous lemma, we obtain the conclusion of the proposition.

Let us recall that for  $k \in K$ ,  $t_2(k)$  denotes the number of  $k$ -valent blocks tangent to  $C$  at  $x$ . From (17) we have

$$2t_2(q+1) + t_2(q) = q+1. \quad (20)$$

For  $k \in K$ , let  $t'(k)$  denote the number of  $k$ -valent blocks  $M$ -tangent to  $C$  at  $x$ . From Lemma 12,  $t'(q+1) = t_2(q+1)$ . Let  $A$  be a  $(q+1)$ -valent block  $M$ -tangent to  $C$  at  $x$ , and let  $\mathfrak{P}$  denote the  $M$ -pencil with carrier  $x$  that contains  $A$ .  $C$  is a block in  $\mathfrak{P}$ . Since for every block  $E$  in  $\mathfrak{P}$ ,  $E \neq C$ ,  $E$  is  $M$ -tangent to  $C$  at  $x$  and they are the only  $M$ -tangents of  $C$  at  $x$ .

$$t_2(q+1) + t'(q) + t'(q-1) + 1 = |\mathfrak{P}| = q. \quad (21)$$

On the other hand, the blocks in  $\mathfrak{P}$  partition the points that are distinct from  $x$ ; we have

$$qt_2(q+1) + (q-1)t'(q) + (q-2)(t'(q)+1) + 1 = q^2 - q. \quad (22)$$

Using (21) and (22), we obtain

$$2t_2(q+1) + t'(q) = q-1. \quad (23)$$

Combining (20) and (23), we get

$$t_2(q) - t'(q) = 2.$$

Thus, there exists at most two  $q$ -valent blocks  $r$ -tangent to  $C$  at  $x$  and the proof is complete.

**PROPOSITION 26.** *Let  $C$  be a  $(q-1)$ -valent block in  $D$ . If  $x$  is a point in  $C$ , then  $C$  is contained in exactly one  $M$ -pencil with carrier  $x$ . Furthermore, there are at least two  $r$ -pencils with carrier  $x$  that contain  $C$ .*

*Proof.* From the proof of the previous lemma, if  $A$  is a  $(q+1)$ -valent block tangent to  $C$  at  $x$ , then the  $M$ -pencil with carrier  $x$  that contains  $A$  is the unique  $M$ -pencil with carrier  $x$  that contains  $C$ .

Since there are exactly two  $q$ -valent blocks  $r$ -tangent to  $C$  at  $x$  and each of these two blocks determines a unique  $r$ -pencil with carrier  $x$ ,  $C$  is contained in at least two  $r$ -pencils.

We shall show that  $C$  is contained in exactly two  $r$ -pencils with carrier  $x$ .

**LEMMA 27.** *Let  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$  be two distinct  $r$ -pencils with carrier  $x$  and carrier block  $B$  and  $B'$ , respectively. If  $c(B, B')$  denotes the number of common blocks in  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$ , then  $\text{ave } c(\cdot, \cdot) = 1$ , where average runs over all pairs of distinct  $q$ -valent blocks containing  $x$ .*



*Proof.* Let us count the number of triples  $(\mathfrak{P}(x, B), \mathfrak{P}(x, B'), C)$  where  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$  are distinct  $r$ -pencils with carrier  $x$  and  $C$  is a common block in  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$ . For every  $(q - 1)$ -valent block  $C$  that contains  $x$ , there are exactly two  $q$ -valent blocks  $B$  and  $B'$  that contain  $x$  and are  $r$ -tangent to  $C$ . Hence, there are exactly two  $r$ -pencils with carrier  $x$  that contain a  $q$ -valent block and  $C$ . Thus, there are two choices for the pair  $(\mathfrak{P}(x, B), \mathfrak{P}(x, B'))$ . But there are  $\frac{1}{2}(q + 1)q$  choices of  $C$  that contain  $x$ , so the total number of triples is  $(q + 1)q$ . On the other hand, for every distinct pair  $(\mathfrak{P}(x, B), \mathfrak{P}(x, B'))$ , there are  $c(B, B')$  choices of  $C$ . Hence,

$$\sum c(B, B') = q(q + 1),$$

where the summation runs over all pairs  $(\mathfrak{P}(x, B), \mathfrak{P}(x, B'))$ . But for every  $q$ -valent block  $B$  containing  $x$ , there corresponds a unique  $r$ -pencil  $\mathfrak{P}(x, B)$ ; hence there are  $(q + 1)q$  distinct pairs  $(\mathfrak{P}(x, B), \mathfrak{P}(x, B'))$ . Thus,

$$(q + 1)q \text{ ave } c(\cdot, \cdot) = q(q + 1),$$

or equivalently,  $\text{ave } c(\cdot, \cdot) = 1$ .

**LEMMA 28.** *If  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(y, B')$  are two distinct  $r$ -pencils with carriers  $x$  and  $y$ , and carrier blocks  $B$  and  $B'$ , respectively, then  $|\mathfrak{P}(x, B) \cap \mathfrak{P}(y, B')| \leq 1$ . In particular, if  $x = y$ , then  $|\mathfrak{P}(x, B) \cap \mathfrak{P}(x, B')| = 1$ .*

*Proof.* **Case 1.**  $x \neq y$  and  $B = B'$ . Clearly  $\mathfrak{P}(x, B) \cap \mathfrak{P}(y, B') = B$ .

**Case 2.**  $x \neq y$  and  $B \neq B'$ . Suppose  $|\mathfrak{P}(x, B) \cap \mathfrak{P}(y, B')| \geq 2$  and let  $C, C'$  be two common  $r$ -tangents of  $B$  and  $B'$  at  $x$  and  $y$ , respectively. Since by Proposition 20, the blocks in  $\mathfrak{P}(x, B)$  partition the points distinct from  $x$ , there exists a unique block  $E$  in  $\mathfrak{P}(x, B)$  that contains  $y$ . But this contradicts that both  $C$  and  $C'$  contain  $y$ . Hence,  $|\mathfrak{P}(x, B) \cap \mathfrak{P}(y, B')| \leq 1$ .

**Case 3.**  $x = y$  and  $B \neq B'$ . For every point  $z$  in  $B'$ ,  $z \neq x$ , there exists a unique block in  $\mathfrak{P}(x, B)$  that contains  $z$ . Since there are  $q - 1$  points in  $B'$  that are distinct from  $x$ , there are  $q - 1$  blocks in  $\mathfrak{P}(x, B)$  that are secant to  $B'$ . But  $\mathfrak{P}(x, B)$  consists of  $q + 1$  blocks; hence there are two blocks in  $\mathfrak{P}(x, B)$  that are tangent to  $B'$  at  $x$ . If both these blocks are  $M$ -tangent to  $B'$ , then they are contained in the  $M$ -pencil with carrier  $x$  that contains  $B'$ ; hence they are mutually  $M$ -tangent to each other. This contradicts that they are both in  $\mathfrak{P}(x, B)$ . Thus, there exists at least one block in  $\mathfrak{P}(x, B)$  that is  $r$ -tangent to  $B'$  at  $x$ . By the previous lemma, we obtain that there exists exactly one, that is,  $|\mathfrak{P}(x, B) \cap \mathfrak{P}(x, B')| = 1$ .

**LEMMA 29.** *Let  $C$  be a  $(q - 1)$ -valent block and  $x$  be a point in  $C$ . Let  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$  be the two  $r$ -pencils with carrier  $x$  and carrier blocks  $B$*

and  $B'$  that contain  $C$ . If  $C'$  is a  $(q - 1)$ -valent block that is  $r$ -tangent at  $x$ , then  $C'$  is contained in exactly one of the two  $r$ -pencils  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$ .

*Proof.* Since each of the two  $r$ -pencils consists of  $q + 1$  blocks and  $C$  is a common block in  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$ , there are  $2q$  blocks that are  $r$ -tangent to  $C$  at  $x$  and are contained in  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$ . By Lemma 9, there are  $3q - 1$  tangents of  $C$  at  $x$  of which  $q - 1$  are contained in the unique  $M$ -pencil with carrier  $x$  that contains  $C$ . Thus, there are only  $2q$  blocks that are  $r$ -tangent to  $C$  at  $x$ , and they are contained in either  $\mathfrak{P}(x, B)$  or  $\mathfrak{P}(x, B')$ . Clearly, an  $r$ -tangent  $C'$  of  $C$  cannot be contained in both  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$ ; otherwise  $C$  and  $C'$  are two common blocks of the  $r$ -pencils and this contradicts the previous lemma.

LEMMA 30. *Let  $C$  and  $C'$  be two distinct  $(q - 1)$ -valent blocks. If  $C$  is  $r$ -tangent to  $C'$  at  $x$ , then there exists a unique block which is  $r$ -tangent to  $C'$  and  $M$ -tangent to  $C$  at  $x$ .*

*Proof.* Let  $\mathfrak{P}$  denote the  $M$ -pencil with carrier  $x$  that contains  $C$ . For every point  $y$  in  $C'$ ,  $y \neq x$ , there exists a unique block  $E$  in  $\mathfrak{P}$  such that  $E$  contains  $x$  and  $y$ . Hence, there are  $q - 2$  blocks in  $\mathfrak{P}$  that are  $M$ -tangent to  $C$  but are secant to  $C'$ . But  $\mathfrak{P}$  consists of  $q$  blocks of which one is  $C$ ; hence there exists a unique block  $E$   $M$ -tangent to  $C$  at  $x$  which is tangent to  $C'$ . Clearly,  $E$  is not  $M$ -tangent to  $C'$  at  $x$ ; otherwise,  $C' \in \mathfrak{P}$ ; this contradicts that  $C$  is  $r$ -tangent to  $C'$ . Hence  $E$  is  $r$ -tangent to  $C'$  at  $x$ .

LEMMA 31. *Let  $C$  and  $C'$  be two distinct  $(q - 1)$ -valent blocks containing  $x$ . If  $C$  is  $r$ -tangent to  $C'$  at  $x$ , then there exist exactly  $q$  blocks  $r$ -tangent to both  $C$  and  $C'$  at  $x$ .*

*Proof.* Let  $\mathfrak{P}(x, B)$  be an  $r$ -pencil with carrier  $x$  and carrier block  $B$  that contains both  $C$  and  $C'$ . Since  $\mathfrak{P}(x, B)$  contains  $q + 1$  blocks, there are at least  $q - 1$  blocks that are  $r$ -tangent to both  $C$  and  $C'$ .

Let  $\mathfrak{P}(x, B')$  be the other  $r$ -pencil containing  $C$ . If  $E$  is a block  $r$ -tangent to  $C$  at  $x$  and  $E \notin \mathfrak{P}(x, B)$ , then  $E \in \mathfrak{P}(x, B')$ . We shall show that there exists exactly one block  $E$  in  $\mathfrak{P}(x, B')$  such that  $E$  is  $r$ -tangent to both  $C$  and  $C'$  at  $x$ .

For every point  $y$  in  $C'$ ,  $y \neq x$ , there exists a unique block  $E$  in  $\mathfrak{P}(x, B')$  such that  $E$  contains  $x$  and  $y$ . Hence, there are  $q - 1$   $r$ -tangents of  $C$  at  $x$  that are secant to  $C'$ . But  $\mathfrak{P}(x, B')$  contains  $q + 1$  blocks, of which one is  $C$ ; hence there are two blocks in  $\mathfrak{P}(x, B')$  that are tangent to  $C'$  at  $x$ . By the previous lemma, one of these two blocks is  $M$ -tangent to  $C'$  at  $x$ . Therefore, there exists a unique block  $E$  in  $\mathfrak{P}(x, B')$  such that  $E$  is  $r$ -tangent to  $C'$  at  $x$ .

Consequently, there are exactly  $q$  blocks  $r$ -tangent to both  $C$  and  $C'$  at  $x$ .

**PROPOSITION 32.** *Let  $C$  be a  $(q - 1)$ -valent block. If  $x$  is a point in  $C$ , then  $C$  is contained in exactly two  $r$ -pencils with carrier  $x$ . Moreover, every  $r$ -pencil in  $D$  contains a unique  $q$ -valent carrier block.*

*Proof.* Suppose  $\mathfrak{P}$  is another  $r$ -pencil containing  $C$  such that  $\mathfrak{P}$  is distinct from  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$ . Let  $C'$  be a block in  $\mathfrak{P}$  and in  $\mathfrak{P}(x, B)$ . Since  $\mathfrak{P} \neq \mathfrak{P}(x, B)$ , there exists a block  $C''$  in  $\mathfrak{P}$  such that  $C'' \notin \mathfrak{P}(x, B')$ . By the previous lemma,  $C''$  is the only other block in  $\mathfrak{P}$ . Thus  $|\mathfrak{P}| = 3$ . But by the definition of an  $r$ -pencil,  $|\mathfrak{P}| \geq 4$ ; hence  $\mathfrak{P}(x, B)$  and  $\mathfrak{P}(x, B')$  are the only two  $r$ -pencils with carrier  $x$  that contain  $C$ .

**PROPOSITION 33.** *Let  $E_1, E_2, E_3$  be mutually tangent at a point  $x$  such that they are not contained in any  $M$ -pencil or any  $r$ -pencil with carrier  $x$ . If  $\mathfrak{P}$  is a maximal set of mutually tangent blocks containing  $x$  such that  $\mathfrak{P}$  contains  $E_1, E_2$  and  $E_3$ , then  $\mathfrak{P}$  contains at most four blocks.*

*Proof.* Since  $E_1, E_2$  and  $E_3$  are not contained in any  $M$ -pencil or any  $r$ -pencil, either they are mutually  $r$ -tangent and are contained in two distinct  $r$ -pencils at  $x$ , or  $E_1$  is  $M$ -tangent to  $E_2$ .

*Case 1.*  $E_1, E_2$  and  $E_3$  are mutually  $r$ -tangent. From the previous proposition, there exists no other block that is  $r$ -tangent to all  $E_i$ 's at  $x$ . Let  $E$  be a block in  $\mathfrak{P}$ ,  $E \neq E_i, i = 1, 2, 3$ . Without loss of generality,  $E$  is  $M$ -tangent to  $E_1$  at  $x$ , then  $E$  is not  $M$ -tangent to  $E_2$  and  $E_3$ . Otherwise,  $E_1$  and  $E_2$  are mutually  $M$ -tangent and this contradicts our assumption. Hence,  $E_1$  is  $r$ -tangent to  $E_2$  at  $x$ . By Lemma 30,  $E$  is the unique block that is  $M$ -tangent to  $E_1$  and  $r$ -tangent to  $E_2$  at  $x$ . Thus  $|\mathfrak{P}| \leq 4$ .

*Case 2.*  $E_1$  is  $M$ -tangent to  $E_2$ . By Lemma 15,  $E_3$  is  $r$ -tangent to both  $E_1$  and  $E_2$  at  $x$ . Moreover,  $E_1, E_3$  and  $E_2, E_3$  are contained in distinct  $r$ -pencils at  $x$ . Let  $E \in \mathfrak{P}$ ,  $E \neq E_i, i = 1, 2, 3$ .

*Subcase 2.1.*  $E$  is  $r$ -tangent to  $E_i, i = 1, 2, 3$ . Since the pairs  $(E_1, E_3)$  and  $(E_2, E_3)$  are in distinct  $r$ -pencils at  $x$ , either  $E, E_1, E_3$  or  $E, E_2, E_3$  are three mutually  $r$ -tangent blocks at  $x$  that are contained in distinct  $r$ -pencils. By Case 1,  $|\mathfrak{P}| \leq 4$ .

*Subcase 2.2.*  $E$  is  $M$ -tangent to  $E_i$  for some  $i, 1 \leq i \leq 3$ . Suppose  $E$  is  $M$ -tangent to  $E_1$  at  $x$ ; then  $E$  is not  $M$ -tangent to  $E_3$ ; otherwise,  $E_1$  and  $E_3$  are mutually  $M$ -tangent at  $x$ . But then  $E_2$  and  $E$  are two blocks that are  $M$ -tangent to  $E_1$  and  $r$ -tangent to  $E_3$ ; this contradicts that there exists such a unique block. Hence,  $E$  is  $r$ -tangent to  $E_1$  at  $x$ . Similarly,  $E$  is  $r$ -tangent to  $E_2$  at  $x$ . Thus,  $E$  is  $M$ -tangent to  $E_3$  at  $x$ .

Suppose  $E' \in \mathfrak{P}$ ,  $E' \neq E, E_i, i = 1, 2, 3$ . Using the same arguments as above,  $E'$  is  $r$ -tangent to both  $E_1$  and  $E_2$  at  $x$ . If  $E'$  is also  $r$ -tangent to  $E_3$ , then by Subcase 2.1,  $|\mathfrak{P}| \leq 4$ . This contradicts that  $E' \neq E$ . Hence,  $E'$  is  $M$ -

tangent to  $E_3$  at  $x$ . But then  $E$  and  $E'$  are two distinct blocks that are  $M$ -tangent to  $E_3$  and  $r$ -tangent to  $E_1$  at  $x$ . This contradicts that there exists only one such block. Hence  $E'$  does not exist, and  $|\mathfrak{P}| \leq 4$ .

## 6. PARALLEL CLASSES

In this section, we shall establish the parallel classes of  $q$ -valent blocks by looking at the  $r$ -pencils in  $D$ . First let us state

**THE TANGENCY CONDITION.** Let  $B$  be a  $q$ -valent block. If  $x$  and  $y$  are two distinct points not in  $B$ , then there exists at most one block containing  $x$  and  $y$  which is  $r$ -tangent to  $B$ .

Let  $D$  be a PBRD( $q$ ) such that  $D$  satisfies the Tangency Condition. Let  $B$  be a  $q$ -valent block in  $D$  and let  $x$  be a point in  $B$ . By Corollary 22, there are  $q(q-1)$ -valent blocks that contain  $x$  and are  $r$ -tangent to  $B$ . We shall show that the Tangency Condition implies that these  $q$  blocks are mutually  $r$ -tangent at  $x$ .

**LEMMA 34.** *Let  $B$  be a  $q$ -valent block in  $D$ . If  $x$  is a point not in  $B$ , then the  $q$  blocks that contain  $x$  and are  $r$ -tangent to  $B$  are mutually tangent to each other.*

*Proof.* Suppose  $C$  and  $C'$  are two  $r$ -tangents of  $B$  that contain  $x$  and intersect at two points  $x$  and  $y$ . Clearly,  $x$  and  $y$  are two distinct points not in  $B$ . But this contradicts the Tangency Condition. Hence,  $C$  and  $C'$  are mutually tangent.

**PROPOSITION 35.** *Let  $q \geq 5$  and  $B$  be a  $q$ -valent block in  $D$ . If  $x$  is a point not in  $B$ , then the  $q$  blocks containing  $x$  and  $r$ -tangent to  $B$  are mutually  $r$ -tangent to each other.*

*Proof.* Let  $C_1, \dots, C_q$  be the  $(q-1)$ -valent blocks  $r$ -tangent to  $B$  which contain  $x$ . If  $C_1, \dots, C_q$  are mutually  $M$ -tangent, then there exists a  $(q+1)$ -valent block  $A$  containing  $x$  which is tangent to  $C_1, \dots, C_q$ . But this contradicts that every  $M$ -pencil contains only  $q$  blocks. Suppose  $C_1$  is  $M$ -tangent to  $C_2$  and  $C_1$  is  $r$ -tangent to  $C_3$ ; then by Proposition 33, a maximal set  $\mathfrak{P}$  of mutually tangent blocks that contain  $C_1, C_2$  and  $C_3$  contains at most four blocks. But  $q \geq 5$ ; hence,  $C_1, \dots, C_q$  are mutually  $r$ -tangent at  $x$ .

**COROLLARY 36.** *The blocks  $C_1, \dots, C_q$  determine a unique  $r$ -pencil  $\mathfrak{P}(x, B')$  with carrier  $x$ .*

*Proof.* By Proposition 33,  $C_1, \dots, C_q$  are contained in the same  $r$ -pencil  $\mathfrak{P}(x, B')$  with carrier  $x$ .

**PROPOSITION 37.** *Let  $q \geq 5$  and  $B$  be a  $q$ -valent block in  $D$ . Let  $x$  be a point not in  $B$ . If  $\mathfrak{P}(x, B')$  is the  $r$ -pencil with carrier  $x$  such that each  $(q - 1)$ -valent block in  $\mathfrak{P}(x, B')$  is  $r$ -tangent to  $B$ , then  $B'$  is disjoint from  $B$ .*

*Proof.* Since there are  $q$   $(q - 1)$ -valent blocks in  $\mathfrak{P}(x, B')$ , for each point  $y$  in  $B$ , there exists a unique  $(q - 1)$ -valent block  $r$ -tangent to  $B$  at  $y$ . The blocks in  $\mathfrak{P}(x, B')$  partition the points distinct from  $x$ ; hence,  $B$  and  $B'$  are disjoint.

**COROLLARY 38.** *Every  $r$ -tangent of  $B$  is an  $r$ -tangent of  $B'$ , and vice versa.*

*Proof.* For every point  $x$  in  $B'$  there exist  $q$   $(q - 1)$ -valent blocks  $r$ -tangent to  $B$  which contain  $x$ . These  $q$  blocks, together with  $B'$ , form an  $r$ -pencil  $\mathfrak{P}(x, B')$ . Hence, they are also  $r$ -tangents of  $B'$ . Since there are  $q$  points in  $B'$ , there are  $q^2$  blocks that are  $r$ -tangents of both  $B$  and  $B'$ . But by Corollary 21,  $B$  has only  $q^2$   $r$ -tangents. Thus, every  $r$ -tangent of  $B$  is an  $r$ -tangent of  $B'$ .

Next, we shall construct the parallel classes.

**DEFINITION.** Let  $B$  and  $B'$  be two  $q$ -valent blocks in  $D$ .  $B$  is said to be parallel to  $B'$  if and only if either  $B = B'$ , or  $B$  is disjoint from  $B'$  and every  $r$ -tangent of  $B$  is an  $r$ -tangent of  $B'$  and vice versa. We shall denote them by  $B // B'$ .

**PROPOSITION 39.** *If  $B // B'$  and  $B' // B''$ , then  $B // B''$ .*

*Proof.* Suppose  $x \in B \cap B''$ . Consider the  $q$   $(q - 1)$ -valent blocks that contain  $x$  and are  $r$ -tangent to  $B'$ ; these  $q$  blocks determine a unique  $r$ -pencil  $\mathfrak{P}(x, B)$  with carrier  $x$ . Hence,  $B = B''$ .

Suppose  $B \neq B''$ , then every  $r$ -tangent of  $B$  is an  $r$ -tangent of  $B'$ , which, in turn, is an  $r$ -tangent of  $B''$ . Thus every  $r$ -tangent of  $B$  is an  $r$ -tangent of  $B''$  and  $B \cap B'' = \emptyset$ , so  $B // B''$ .

**PROPOSITION 40.** *Each  $q$ -valent block  $B$  is contained in a parallel class  $\mathfrak{P}(B)$ , and  $\mathfrak{P}(B)$  consists of  $q - 1$  blocks.*

*Proof.* Let us count the number of ordered pairs  $(x, B')$  such that  $x \in B'$  and  $B // B'$ . For every point  $x$  in  $D$ , there are  $q$   $(q - 1)$ -valent blocks  $r$ -tangent to  $B$  and containing  $x$ . They determine a unique  $q$ -valent block  $B'$  parallel to

$B$ . Hence, there are  $q^2 - q$  pairs. On the other hand, for every block parallel to  $B$ , there are  $q$  choices of  $x$ ; hence,

$$q \cdot (\text{number of blocks parallel to } B) = q^2 - q,$$

or

$$\text{number of blocks parallel to } B = q - 1.$$

Since parallelism is a transitive relation, these  $q - 1$  blocks are mutually parallel to each other. Furthermore, they partition the points in  $D$ ; hence, they form a parallel class of  $\mathfrak{P}(B)$ .

**COROLLARY 41.** *There are  $q + 1$  parallel classes in  $D$ .*

*Proof.* Since each parallel class contains  $q - 1$  blocks and there are  $q^2 - 1$   $q$ -valent blocks in  $D$ , there are  $q + 1$  parallel classes in  $D$ .

## 7. PROOF OF THE MAIN THEOREM FOR $q \geq 5$

From the previous section, we have found the  $q + 1$  parallel classes in  $D$ . Next we have to establish the  $r$ -transversals of these parallel classes.

**LEMMA 42.** *Let  $C$  be a  $(q - 1)$ -valent block in  $D$ .  $C$  is an  $r$ -transversal of exactly two parallel classes in  $D$ .*

*Proof.* Let  $x$  be a fixed point in  $C$ . There exist two  $q$ -valent blocks  $B$  and  $B'$  containing  $x$  and  $r$ -tangent to  $C$ . Clearly,  $B$  and  $B'$  are in different parallel classes  $\mathfrak{P}(B)$  and  $\mathfrak{P}(B')$ . Since  $C$  is an  $r$ -tangent of  $B$ ,  $C$  is an  $r$ -tangent of every block in  $\mathfrak{P}(B)$ , that is,  $C$  is an  $r$ -transversal of  $\mathfrak{P}(B)$ . Similarly,  $C$  is an  $r$ -transversal of  $\mathfrak{P}(B')$ . Clearly,  $\mathfrak{P}(B)$  and  $\mathfrak{P}(B')$  are the only two parallel classes for which  $C$  is an  $r$ -transversal.

Next, we show that there are  $q$  common  $r$ -transversals for every two distinct parallel classes.

**LEMMA 43.** *Every two distinct parallel classes have exactly  $q$  common  $r$ -transversals and they are disjoint.*

*Proof.* Let  $\mathfrak{P}(B)$  and  $\mathfrak{P}(B')$  be two distinct parallel classes. We first show that any two common  $r$ -transversals of  $\mathfrak{P}(B)$  and  $\mathfrak{P}(B')$  are disjoint. Suppose  $C$  and  $C'$  are two common  $r$ -transversals such that  $x \in C \cap C'$ . Let  $B$  and  $B'$  be the  $q$ -valent blocks in  $\mathfrak{P}(B)$  and  $\mathfrak{P}(B')$ , respectively, such that  $B$  and  $B'$  contain  $x$ . Since  $C$  and  $C'$  are both  $r$ -tangents of  $B$  and  $B'$  at  $x$ ,  $|\mathfrak{P}(x, B) \cap \mathfrak{P}(x, B')| \geq 2$ . This contradicts Lemma 28 that there exists a

unique block  $r$ -tangent to both  $B$  and  $B'$  at  $x$ . Thus, the common  $r$ -transversals of  $\mathfrak{P}(B)$  and  $\mathfrak{P}(B')$  are pairwise disjoint.

Let us count the number of triples  $(C, \mathfrak{P}(B), \mathfrak{P}(B'))$  where  $C$  is a common  $r$ -transversal of  $\mathfrak{P}(B)$  and  $\mathfrak{P}(B')$ . For every  $(q - 1)$ -valent block  $C$  in  $D$ , there exist exactly two parallel classes of which  $C$  is an  $r$ -transversal. Since there are  $\frac{1}{2}q^2(q + 1)$   $(q - 1)$ -valent blocks,

$$\begin{aligned} \sum \text{number of common } r\text{-transversals of } \mathfrak{P}(B) \text{ and } \mathfrak{P}(B') \\ = \frac{1}{2}q^2(q + 1) \cdot 2 \cdot 1 = q^2(q + 1), \end{aligned}$$

where the sum runs over all pairs  $(\mathfrak{P}(B), \mathfrak{P}(B'))$ . But there are  $q + 1$  distinct parallel classes; hence

$$\begin{aligned} \text{average number of common } r\text{-transversals of two distinct} \\ \text{parallel classes} = (q^2(q + 1))/(q + 1)q = q. \end{aligned}$$

Since the common  $r$ -transversals are pairwise disjoint and there are  $q^2 - q$  points in  $D$ , there are at most  $q$  common  $r$ -transversals of  $\mathfrak{P}(B)$  and  $\mathfrak{P}(B')$ . Thus, every two distinct parallel classes have exactly  $q$  common  $r$ -transversals.

Thus far we see that  $D$  is a PBRD( $q$ ) that satisfies axioms (A1) and (A2) in the Fundamental Lemma. Next, we shall establish axiom (A3).

**LEMMA 44.** *Let  $x$  and  $y$  be two distinct points in  $D$ . If  $\mathfrak{P}(B)$  is a parallel class in  $D$ , then either there exists a  $q$ -valent block in  $\mathfrak{P}(B)$  containing  $x$  and  $y$ , or there exists exactly one  $r$ -transversal of  $\mathfrak{P}(B)$  containing  $x$  and  $y$ .*

*Proof.* Let  $B$  be the block in  $\mathfrak{P}(B)$  containing  $x$ . If  $y \in B$ , then we are done. If  $y \notin B$ , then there exists a unique block  $C$  containing  $y$  and  $r$ -tangent to  $B$  at  $x$ . Since  $C$  is an  $r$ -tangent of  $B$ ,  $C$  is clearly an  $r$ -transversal of  $\mathfrak{P}(B)$ . The proof is thus complete.

From the lemmas, we see that  $D$  satisfies axioms (A1)–(A3) in the Fundamental Lemma; hence  $D$  is embeddable into a Möbius plane. Thus we conclude,

**THEOREM 45.** *Let  $q \geq 5$ . If  $D$  is a PBRD( $q$ ) that satisfies the Tangency Condition, then  $D$  is uniquely embeddable into a Möbius plane of order  $q$ .*

### 8. PROOF OF THE THEOREM

The block-residual design of a Möbius plane obviously satisfies the Tangency Condition. Let  $D$  be a PBRD( $q$ ) that satisfies the  $r$ -tangency

condition. If  $q \geq 5$ , then by Theorem 45,  $D$  is uniquely embeddable. Next, we consider  $q = 1, 2, 3$  and 4.

For  $q = 1$ , the design  $PBRD(q)$  is a null design and is trivially embeddable.

For  $q = 2$ ,  $PBRD(2)$  consists of two points and nine blocks. Let the points be  $\{1, 2\}$ . Since  $PBRD(2)$  is a 1-design and by Lemma 5, there are no 3-valent blocks, three 2-valent blocks and six 1-valent blocks. The blocks of  $PBRD(2)$  are,

$$\begin{matrix} 1\ 2, & 1, & 2, \\ 1\ 2, & 1, & 2, \\ 1\ 2, & 1, & 2. \end{matrix}$$

To complete this design to a Möbius plane of order 2, we adjoin the new points  $\{3, 4, 5\}$  to the blocks and form

$$\begin{matrix} 1\ 2\ 3, & 1\ 3\ 4, & 2\ 3\ 4, \\ 1\ 2\ 4, & 1\ 3\ 5, & 2\ 3\ 5, \\ 1\ 2\ 5, & 1\ 4\ 5, & 2\ 4\ 5, \\ 3\ 4\ 5. \end{matrix}$$

Hence,  $PBRD(2)$  can be uniquely embedded into a Möbius plane.

For  $q = 3$ , there are six points in  $PBRD(3)$ . Let them be  $\{1, 2, 3, 4, 5, 6\}$ . Using Lemma 4 and the fact that it is a 2-design, one can check that the blocks of  $PBRD(3)$  are isomorphic to the following:

$$\begin{matrix} 1\ 2\ 3\ 4 & 1\ 3\ 5 & 2\ 3\ 5 & 1\ 2 & 2\ 3 & 3\ 5 \\ 1\ 2\ 5\ 6 & 1\ 3\ 6 & 2\ 3\ 6 & 1\ 2 & 2\ 4 & 3\ 6 \\ 3\ 4\ 5\ 6 & 1\ 4\ 5 & 2\ 4\ 5 & 1\ 3 & 2\ 5 & 4\ 5 \\ & 1\ 4\ 6 & 2\ 4\ 6 & 1\ 4 & 2\ 6 & 4\ 6 \\ & & & & 1\ 5 & 3\ 4 & 5\ 6 \\ & & & & 1\ 6 & 3\ 4 & 5\ 6. \end{matrix}$$

If we define

$$\begin{aligned} \mathfrak{A}_1 &= \{1\ 3\ 5, 2\ 4\ 6\}, \\ \mathfrak{A}_2 &= \{1\ 3\ 6, 2\ 4\ 5\}, \\ \mathfrak{A}_3 &= \{1\ 4\ 5, 2\ 3\ 6\}, \\ \mathfrak{A}_4 &= \{1\ 4\ 6, 2\ 3\ 5\} \end{aligned}$$

then they are the four parallel classes of 3-valent blocks. It can be easily checked that every 2-valent block is an  $r$ -transversal of exactly two parallel classes, and they satisfy axioms (A1)–(A3) in the Fundamental Lemma. Hence, it is uniquely embeddable into the Möbius plane.



For  $q = 4$ , there are 12 points in  $\text{PBRD}(4)$ . Let them be  $\{1, 2, \dots, 11, 12\}$ . Using Lemmas 4, 5 and 6, one can see that the blocks of  $\text{PBRD}(4)$  are isomorphic to the following:

1 3 5 10 11	1 3 6 7 12	1 4 6 8 10	
1 4 7 9 11	1 5 8 9 12	2 3 5 7 9	
2 3 8 10 12	2 4 5 8 11	2 4 6 9 12	
2 6 7 10 11	3 6 8 9 11	4 5 7 10 12	
1 2 9 10	3 4 5 6	7 8 9 10	
1 2 11 12	3 4 9 10	5 6 7 8	
1 2 5 6	3 4 7 8	9 10 11 12	
1 2 7 8	3 4 11 12	5 6 9 10	
1 2 3 4	5 6 11 12	7 8 9 10	
1 3 8	1 3 9	1 4 5	1 4 12
1 5 7	1 6 9	1 6 11	1 7 10
1 8 11	1 10 12	2 3 6	2 3 11
2 4 7	2 4 10	2 5 10	2 5 12
2 6 8	2 7 12	2 8 9	2 9 11
3 5 8	3 5 12	3 6 10	3 7 10
3 7 11	3 9 12	4 5 9	4 6 7
4 6 11	4 8 9	4 8 12	4 10 11
5 7 11	5 8 10	5 9 11	6 7 9
6 8 12	6 10 12	7 9 12	8 10 11.

If we define

$$\mathfrak{A}_1 = \{1 \ 2 \ 9 \ 10, \ 3 \ 4 \ 5 \ 6, \ 7 \ 8 \ 11 \ 12\},$$

$$\mathfrak{A}_2 = \{1 \ 2 \ 11 \ 12, \ 3 \ 4 \ 9 \ 10, \ 5 \ 6 \ 7 \ 8\},$$

$$\mathfrak{A}_3 = \{1 \ 2 \ 5 \ 6, \ 3 \ 4 \ 7 \ 8, \ 9 \ 10 \ 11 \ 12\},$$

$$\mathfrak{A}_4 = \{1 \ 2 \ 7 \ 8, \ 3 \ 4 \ 11 \ 12, \ 5 \ 6 \ 9 \ 10\},$$

$$\mathfrak{A}_5 = \{1 \ 2 \ 3 \ 4, \ 5 \ 6 \ 11 \ 12, \ 7 \ 8 \ 9 \ 10\}$$

then they are the five parallel classes of 4-valent blocks. It can be easily checked that the above blocks satisfy axioms (A1)–(A3) in the Fundamental Lemma. Hence, it is uniquely embeddable into the Möbius Plane.

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