

## On the Convergence of Odd-Degree Spline Interpolation

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### 1. INTRODUCTION

For  $k \geq 1$ , let  $S_\pi^k$  denote the set of polynomial splines of order  $k$  (or, degree  $k - 1$ ) on the partition  $\pi = \{t_i\}_{i=0}^n$  of the unit interval. Here

$$0 = t_0 < t_1 < \dots < t_n = 1,$$

so that  $S_\pi^k$  consists of all  $s \in C^{k-2} [0, 1]$  which on each of the intervals  $(t_i, t_{i+1})$ ,  $i = 0, \dots, n - 1$ , reduce to a polynomial of degree  $\leq k - 1$ .

For  $k = 2m$ , let  $I_\pi^k$  denote the linear operation of spline interpolation, i.e., [4], for each  $f \in C^{m-1} [0, 1]$ ,  $I_\pi^k f$  is the unique element of  $S_\pi^k$  satisfying

$$\begin{aligned} (I_\pi^k f)(t_i) &= f(t_i), & i = 0, \dots, n, \\ (I_\pi^k f)^{(j)}(t_i) &= f^{(j)}(t_i), & i = 0, n; j = 1, \dots, m - 1. \end{aligned} \tag{1.1}$$

We are interested in the behavior of

$$\|(f - I_\pi^k f)^{(j)}\|_\infty, \quad j = 0, \dots, 2m - 1,$$

as the *norm* of  $\pi$ ,

$$\|\pi\| = \max(t_{i+1} - t_i),$$

tends to zero. Here, and below,

$$\|g\|_\infty = \sup\{|g(t)| : 0 \leq t \leq 1\}.$$

Much is known about this problem in certain special cases. For one, the case  $k = 4$  of cubic spline interpolation has been covered extensively by many: [1], [2], [3], [12], [14], [15]. For the purposes of this note, Sharma and Meir's result [14] is the most pertinent. They prove that if  $f \in C^2 [0, 1]$ , then

$$\|(f - I_\pi^4 f)^{(2)}\|_\infty \leq 4\omega(f^{(2)}; \|\pi\|)$$

for all partitions  $\pi$  of  $[0, 1]$ , where

$$\omega(g; \delta) = \sup\{|g(s) - g(t)| : |s - t| \leq \delta, \quad s, t \in [0, 1]\}$$

is the modulus of continuity of  $g$  on  $[0, 1]$ .

This implies [6] that

$$\|f - I_\pi^4 f\|_\infty \leq K\|\pi\|^4$$

for all  $f \in C^{(3)} [0, 1]$  with  $\omega(f^{(3)}; \delta) \leq \delta$ , for all  $\delta \geq 0$ , the constant  $K$  being independent of  $\pi$  or  $f$ . A similar result had been obtained earlier [3] under some restriction on  $\pi$ .

For  $k > 4$ , little is known except in the case of a uniform partition [1], [9], [13], [16], [17]. There are some results [10], [14] for  $k = 6$  in the limiting case that all points of  $\pi$  are repeated twice, i.e., value as well as first derivative are interpolated at each  $t_i$ , and, correspondingly, the elements of  $S_\pi^6$  are merely in  $[C^3] [0, 1]$ .

In this note, it is proved that for all  $f \in C^{(3)} [0, 1]$ ,

$$\|(f - I_\pi^6 f)^{(3)}\|_\infty \leq K_3 \omega(f^{(3)}; \|\pi\|),$$

where the constant  $K_3$  does not depend on  $\pi$  or  $f$ .

It is hoped that the method of proof will be useful in the treatment of the general case. The analysis is therefore carried through for arbitrary  $k$  up to the point where the complexity of certain computations makes me settle for  $k = 6$ .

### 2. LEAST SQUARES APPROXIMATION BY SPLINES

Let  $m \geq 2$ , and let  $L_\pi^m$  denote the linear projector on  $C [0, 1]$  which associates with each  $g \in C [0, 1]$  its best approximation  $L_\pi^m g$  in  $S_\pi^m$  with respect to the norm

$$\|g\|_2 = \left[ \int_0^1 |g(t)|^2 dt \right]^{1/2}.$$

LEMMA 2.1. *If there exists a constant  $c_m$ , independent of  $\pi$ , such that*

$$\|L_\pi^m\|_\infty = \sup\{\|L_\pi^m g\|_\infty / \|g\|_\infty; g \in C [0, 1]\} \leq c_m,$$

*then, for all  $f \in C^m [0, 1]$ ,*

$$\|(f - I_\pi^{2m})^{(m)}\|_\infty \leq K_m \omega(f^{(m)}; \|\pi\|),$$

*where  $K_m$  is independent of  $\pi$  or  $f$ .*

*Proof.* By [4], if  $f \in C^m [0, 1]$ , then

$$(I_\pi^{2m} f)^{(m)} = L_\pi^m f^{(m)}.$$

Hence, as  $L_\pi^m$  is a linear projector with  $S_\pi^m$  as its range,

$$\|(f - I_\pi^{2m} f)^{(m)}\|_\infty \leq (1 + \|L_\pi^m\|_\infty) \text{dist}(f^{(m)}, S_\pi^m),$$

where

$$\text{dist}(g, S_\pi^m) = \inf_{s \in S_\pi^m} \|g - s\|_\infty.$$

Since, by [5], for  $g \in C[0, 1]$ ,

$$\text{dist}(g, S_\pi^m) \leq \hat{D}_m \omega(g; \|\pi\|),$$

where the constant  $\hat{D}_m$  depends neither on  $g$  nor on  $\pi$ , the conclusion follows. Q.E.D.

**COROLLARY.** *Under the assumption of Lemma 2.1, there exists a constant  $C_m$ , independent of  $\pi$ , such that for all  $f \in C^{2m-1}[0, 1]$  with  $\omega(f^{(2m-1)}; \delta) \leq \delta$ , for all  $\delta \geq 0$ ,*

$$\|f - I_\pi^{2m} f\|_\infty \leq C_m \|\pi\|^{2m}.$$

*Proof.* By [5], there exists a constant  $k_1$ , independent of  $f$  or  $\pi$ , such that

$$\text{dist}(f^{(m)}, S_\pi^m) \leq k_1 \|\pi\|^m$$

for all  $f$  satisfying the above assumptions. Hence,

$$\|(f - I_\pi^{2m})^{(m)}\|_\infty \leq (1 + c_m) k_1 \|\pi\|^m$$

follows. But as  $I_\pi^{2m} f$  interpolates  $f$  at the points of  $\pi$ , repeated application of Rolle's Theorem yields from this

$$\|(f - I_\pi^{2m})^{(j)}\|_\infty \leq (1 + c_m) k_1 p_j \|\pi\|^{2m-j}, \quad j = 0, \dots, m,$$

where, again, the constants  $p_j$  do not depend on  $f$  or  $\pi$ .

Q.E.D.

For the remainder of this section, we shall be concerned with bounding  $\|L_\pi^m\|_\infty$ .

First, a general observation. If  $\{x_i\}_{i=1}^r$  is a sequence of points in a real normed linear space  $X$ , and  $\{\lambda_i\}_{i=1}^r$  is a sequence of continuous linear functionals on  $X$ , then the conditions

$$Pf = \sum_{i=1}^r \alpha_i x_i, \quad \lambda_i(f - Pf) = 0, \quad i = 1, \dots, r, \quad \text{for all } f \in X,$$

define a continuous linear projector  $P$  on  $X$ , with range the linear span of  $\{x_i\}_{i=1}^r$ , provided the matrix

$$A = (\lambda_i x_j)_{i,j=1}^r$$

is nonsingular. We shall refer to  $P$  in this case as being *given* or *defined* by  $\{x_i\}_{i=1}^r$  and  $\{\lambda_i\}_{i=1}^r$ .

**LEMMA 2.2.** *Let  $X$  be a real normed linear space and let  $P$  be the linear projector on  $X$  given by  $\{x_i\}_{i=1}^r \subset X$  and  $\{\lambda_i\}_{i=1}^r \subset X^*$ . Then*

$$\|P\| \leq c \|A^{-1}\|_\infty \cdot \max_i \|\lambda_i\|, \quad (2.1)$$

where

$$c = \sup_{\alpha \in \mathbb{R}^r} \left\| \sum_{i=1}^r \alpha_i x_i \right\| / \|\alpha\|_\infty.$$

*Remark.* We use the notations

$$\|\alpha\|_\infty = \max_i |\alpha_i|, \quad \text{for all } \alpha = (\alpha_i) \in \mathbb{R}^r,$$

and

$$\|B\|_\infty = \sup\{\|B\alpha\|_\infty / \|\alpha\|_\infty : \alpha \in \mathbb{R}^r\},$$

where  $B$  is any real  $r \times r$  matrix.

*Proof of Lemma 2.2.* Let  $f \in X$  and  $Pf = \sum_{i=1}^r \alpha_i x_i$ . Then

$$\|Pf\| \leq c \|\alpha\|_\infty, \quad \text{and} \quad A\alpha = (\lambda_i f)_{i=1}^r.$$

Hence

$$\|Pf\| \leq c \|A^{-1}\|_\infty \cdot \|(\lambda_i f)\|_\infty \leq c \|A^{-1}\|_\infty \cdot \max_i \|\lambda_i\| \cdot \|f\|,$$

which proves (2.1), as  $f$  was arbitrary.

Q.E.D.

As is well known,  $L_\pi^m$  is given by  $\{x_i\}_1^r$  and  $\{\lambda_i\}_1^r$  where  $\{x_i\}_1^r$  is any basis of  $S_\pi^m$ , and

$$\lambda_i f = \int_0^1 y_i(t) f(t) dt, \quad i = 1, \dots, r, \quad \text{for all } f \in C[0, 1],$$

with  $\{y_i\}_1^r$  any basis of  $S_\pi^m$ . We shall choose  $x_i$  and  $y_i$  in such a way that

$$\sup_{\alpha \in \mathbb{R}^r} \|\alpha_i x_i\|_\infty / \|\alpha\|_\infty = \max_i \|\lambda_i\| = 1.$$

For then, by Lemma 2.2,

$$\|L_\pi^m\|_\infty \leq \|A^{-1}\|_\infty,$$

and the problem of bounding  $L_\pi^m$  reduces to bounding the matrix  $A = (\lambda_i x_j)$  below in the uniform norm, uniformly with respect to  $\pi$ .

For ease of notation, it is convenient to extend the partition  $\pi$  of  $[0, 1]$  by the adjunction of points

$$t_{1-2m} < \dots < t_{-1} < 0, \quad 1 < t_{n+1} < \dots < t_{n+2m-1},$$

which, for the present, are otherwise arbitrary. Later, the first few of the additional  $t_i$ 's will be made to coalesce, i.e.,

$$t_{1-m} = \dots = t_{-1} = 0, \quad 1 = t_{n+1} = \dots = t_{n+1-m}. \tag{2.2}$$

Define

$$x_i(t) = g(t_i, \dots, t_{i+m}; t)(t_{i+m} - t), \quad \text{for all } t \in \mathbf{R}, \quad (2.3)$$

where  $g(t_i, \dots, t_{i+m}; t)$  is the  $m$ th divided difference in  $s$ , on the points  $t_i, \dots, t_{i+m}$ , of the function

$$g(s; t) = (s - t)_+^{m-1}. \quad (2.4)$$

Further, set

$$\lambda_i f = m \int_{-\infty}^{\infty} g(t_i, \dots, t_{i+m}; t) f(t) dt. \quad (2.5)$$

The following facts about  $x_i$  and  $\lambda_i$  are known [8], [5];

LEMMA 2.3 (i) *The function  $x_i(t)$  vanishes outside the interval  $[t_i, t_{i+m}]$  and is positive on  $(t_i, t_{i+m})$ .*

(ii) *The sequence of functions  $\{x_i\}_{i=1-m}^{n-1}$  (restricted to the interval  $[0, 1]$ ) is a basis for  $S_\pi^m$ ; further, for all  $\alpha_i \in \mathbf{R}$ ,  $i = 1 - m, \dots, n - 1$ , one has*

$$\left\| \sum_i \alpha_i x_i \right\|_\infty \leq \max_i |\alpha_i|.$$

(iii) *If  $f \in C[I]$ , with  $[t_i, t_{i+m}] \subset I$ , then*

$$|\lambda_i f| \leq \sup_{t \in I} |f(t)|.$$

COROLLARY. *The linear projector  $L_\pi^m$  is given by  $\{x_i\}_{i=1-m}^{n-1}$  and  $\{\lambda_i\}_{i=1-m}^{n-1}$  provided (2.2) holds. In that case*

$$\|L_\pi^m\|_\infty \leq \|A^{-1}\|_\infty, \quad \text{where } A = (\lambda_i x_j).$$

The calculation of bounds on  $\|A^{-1}\|_\infty$  for a given real matrix  $A$  is in general difficult. The best-known result concerns strictly diagonally dominant  $A$ : If  $A = (\alpha_{ij})$ , and

$$\min_i \left| \alpha_{ii} - \sum_{j \neq i} |\alpha_{ij}| \right| \geq d^{-1} > 0,$$

then  $A^{-1}$  exists and

$$\|A^{-1}\|_\infty \leq d.$$

This result is applicable to the matrix  $A$  under discussion only in the simplest case,  $m = 1$ .

LEMMA 2.4. *If all  $(n - 1)$ -minors of the  $n \times n$  matrix  $A = (\alpha_{ij})$  are non-negative and, for some  $\gamma = (\gamma_i)$ ,*

$$\min_i \left( \sum_{j=1}^n (-1)^{i-j} \gamma_j \alpha_{ij} \right) \geq d^{-1} > 0,$$

then  $A^{-1}$  exists and

$$\|A^{-1}\| \leq d \|\gamma\|.$$

*Proof.* Let  $B$  be the algebraic adjoint of  $A$  and let  $D$  be the diagonal matrix  $((-1)^i \delta_{ij})$ , where  $\delta_{ij}$  is the Kronecker delta. Then, by assumption,  $DBD^{-1}$  has all entries nonnegative, and  $\hat{\gamma} = DAD^{-1}\gamma$  has all components  $\geq d^{-1} > 0$ . Hence

$$\det(A)\gamma = DBD^{-1}(DAD^{-1})\gamma$$

is not zero, unless  $B = 0$ , which would imply  $A = 0$ , a contradiction. Therefore  $A^{-1}$  exists and  $(\hat{\alpha}_{ij}) = DA^{-1}D^{-1}$  has all entries nonnegative. With this,

$$\begin{aligned} \|\gamma\|_\infty &= \|(DA^{-1}D^{-1})\hat{\gamma}\|_\infty = \max_i \left| \sum_{j=1}^n \hat{\alpha}_{ij} \hat{\gamma}_j \right| \\ &\geq \left( \max_i \sum_{j=1}^n \hat{\alpha}_{ij} \right) \min_i \hat{\gamma}_i \geq \|DA^{-1}D^{-1}\|_\infty d^{-1}; \end{aligned}$$

hence,  $\|A^{-1}\|_\infty = \|DA^{-1}D^{-1}\|_\infty \leq d \|\gamma\|_\infty$ .

Q.E.D.

As we shall show in a moment, the matrix  $A = (\lambda_i x_j)$  has all minors non-negative, so that Lemma 2.4 applies. Further, by definition (2.3) of  $x_j$  and (2.5) of  $\lambda_i$ ,

$$\lambda_i x_j = m(t_{j+m} - t_j) \int_{t_i}^{t_i+m} g(t_i, \dots, t_{i+m}; t) g(t_j, \dots, t_{j+m}; t) dt,$$

and, therefore, by Lemma 2.3 (i),

$$\lambda_i x_j = 0 \quad \text{if } t_{i+m} \leq t_j \quad \text{or} \quad t_{j+m} \leq t_i. \tag{2.7}$$

This implies that  $A$  is a band matrix, and that

$$\lambda_i x_j = f_{i-j}(t_{i-m+1}, \dots, t_{i+2m-1}), \quad i, j = -m + 1, \dots, n - 1,$$

where

$$f_r(s_{-m+1}, \dots, s_{2m-1}) = \begin{cases} m(s_{r+m} - s_r) \int_{s_0}^{s_m} g(s_0, \dots, s_m; t) g(s_r, \dots, s_{r+m}; t) dt, \\ \quad \text{for } |r| \leq m - 1, \\ 0 \quad \text{otherwise.} \end{cases}$$

Also, if  $\gamma_{-2m+2}, \dots, \gamma_{n+m-2}$  are any scalars, and (2.2) holds, then

$$\sum_{j=-m+1}^{n-1} \lambda_i(\gamma_j x_j) = \sum_{j=i-m+1}^{i+m-1} \lambda_i(\gamma_j x_j), \quad i = -m+1, \dots, n-1, \quad (2.9)$$

since by (2.2) and (2.7),

$$\lambda_i x_j = 0 \quad \text{for } j < -m+1 \quad \text{and } j > n+m-2.$$

Therefore, if  $\gamma_j = C(t_j, \dots, t_{j+m})$ , for all  $j$  where  $C$  is some function of  $m+1$  variables, then

$$\begin{aligned} \sum_{j=-m+1}^{n-1} (-1)^{i-j} \lambda_i(\gamma_j x_j) &= \sum_{r=-m+1}^{m-1} (-1)^r C(t_{i+r}, \dots, t_{i+r+m}) f_r(t_{i-m+1}, \dots, t_{i+2m-1}) \\ &= F(t_{i-m+1}, \dots, t_{i+2m-1}), \quad i = -m+1, \dots, n-1. \end{aligned} \quad (2.10)$$

With this, Lemma 2.4 shows that bounding  $\|A^{-1}\|_\infty$  independently of  $\pi$  reduces to showing that for some choice of the function  $C$  in (2.10), with

$$|C(s_0, \dots, s_m)| \leq 1 \quad \text{whenever } s_0 \leq \dots \leq s_m; s_0 < s_m,$$

the function  $F$  defined by (2.10) satisfies

$$F(s_{m+1}, \dots, s_{2m-1}) \geq d^{-1} > 0,$$

whenever  $s_{-m+1} \leq \dots \leq s_{2m-1}; s_i < s_{i+m}$ , for all  $i$ .

*Theorem 2.1. Let  $C(s_0, \dots, s_m)$  be a real-valued function defined on*

$$T = \{(s_i)_{i=0}^m \in \mathbf{R}^{m+1} : s_0 \leq s_1 \leq \dots \leq s_m; s_0 < s_m\}$$

*and continuous there, which satisfies*

$$\sup_T |C(s_0, \dots, s_m)| \leq 1.$$

*Further, define  $F$  on*

$$\hat{T} = \{(s_i)_{i=-m+1}^{2m-1} \in \mathbf{R}^{3m-1} : s_{-m+1} \leq \dots \leq s_{2m-1}; s_j < s_{j+m} \text{ for all } j\}$$

*by*

$$F(s_{-m+1}, \dots, s_{2m-1}) = \sum_{j=-m+1}^{m-1} (-1)^j C(s_j, \dots, s_{j+m}) a_j, \quad (2.11)$$

*where*

$$a_j = m(s_{j+m} - s_j) \int_{s_0}^{s_m} g(s_0, \dots, s_m; t) g(s_j, \dots, s_{j+m}; t) dt, \quad j = -m+1, \dots, n-1. \quad (2.12)$$

If

$$\inf_T F(s_{-m+1}, \dots, s_{2m-1}) \geq d_m^{-1} > 0,$$

then

$$\|L_\pi^m\|_\infty \leq d_m, \quad \text{for all partitions } \pi. \tag{2.13}$$

*Proof.* By the corollary to Lemma 2.3, it is sufficient to prove that  $\|A^{-1}\|_\infty \leq d_m$  with  $A = (\lambda_i x_j)$ .

It follows from ([11]; Ch. 10, Theorem 4.1) or ([7]; Ch. III, Section 2 (3)) that all minors of the matrix

$$(g(s_i, \dots, s_{i+m}; u_j))_{i,j=1}^r$$

are nonnegative, provided

$$s_1 \leq s_2 \leq \dots \leq s_r; s_j < s_{j+m} \quad \text{for all } j; u_1 < u_2 < \dots < u_r,$$

and  $r \geq 1$ . Since, with the condition (2.2),

$$\lambda_i x_j = m(t_{j+m} - t_j) \int_0^1 g(t_i, \dots, t_{i+m}; t) g(t_j, \dots, t_{j+m}; t) dt, \tag{2.14}$$

$i, j = -1 + m, \dots, n - 1,$

the ‘‘basic composition formula’’ ([11]; pp. 16–17) implies that all minors of the matrix  $A$  are nonnegative.<sup>1</sup> This, together with the discussion preceding the theorem, concludes the proof. Q.E.D.

*Remark.* Since the function  $F$  defined by (2.11) and (2.12) is continuous on  $\hat{T}$ , it is sufficient to show that

$$F(s_{-m+1}, \dots, s_{2m-1}) \geq d_m^{-1}$$

for all  $s_{-m+1} < s_{-m+2} < \dots < s_{2m-1}$ , to prove (2.13).

### 3. QUINTIC SPLINE INTERPOLATION

The simplest case covered by the analysis of the preceding section is that of cubic spline interpolation, i.e.,  $k = 4$  or  $m = 2$ . In this case, the  $a_j$ 's of (2.12) are given by

$$a_j = \frac{1}{3} \cdot \begin{cases} (s_1 - s_0)/(s_2 - s_0), & j = -1, \\ 2, & j = 0, \\ (s_2 - s_1)/(s_2 - s_0), & j = 1. \end{cases}$$

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<sup>1</sup> The author gratefully acknowledges that this argument was pointed out to him by W. Studden.



Hence, with  $C(s_0, s_1, s_2) \equiv 1$ , one gets

$$F(s_{-1}, \dots, s_3) = \frac{1}{3} \left\{ -\frac{s_1 - s_0}{s_2 - s_0} + 2 - \frac{s_2 - s_1}{s_2 - s_0} \right\} = \frac{1}{3}.$$

Therefore,  $\|L_n\|_\infty \leq 3$ .

The next simplest case is quintic spline interpolation, i.e.,  $k = 6$  or  $m = 3$ .

In this case

$$a_j = \frac{1}{10} \cdot \begin{cases} \beta_{-1} \frac{s_1 - s_0}{s_3 - s_0}, & j = -2, \\ \beta_0 - a_{-2} + 2 \frac{s_1 + s_2 - 2s_0}{s_3 - s_0}, & j = -1, \\ 2(3 - \beta_0), & j = 0, \\ \beta_0 - a_2 + 2 \frac{2s_3 - s_2 - s_1}{s_3 - s_0}, & j = 1, \\ \beta_1 \frac{s_3 - s_2}{s_3 - s_0}, & j = 2, \end{cases}$$

where

$$\beta_j = \frac{(s_{j+2} - s_{j+1})^2}{(s_{j+3} - s_{j+1})(s_{j+2} - s_j)}, \quad \text{for all } j. \quad (3.1)$$

One computes

$$10 \sum_{j=-2}^2 (-1)^j a_j = 2 - 4\beta_0 + 2[\beta_{-1}(s_1 - s_0) + \beta_1(s_3 - s_2)]/(s_3 - s_0). \quad (3.2)$$

Hence, as

$$0 \leq \beta_j \leq 1, \quad \text{for all } j,$$

the choice  $C(s_0, \dots, s_3) \equiv 1$  will not give the desired result. We shall now show that with

$$C(s_0, \dots, s_3) = \frac{1}{2}(1 + \beta_0),$$

one gets

$$F(s_{-2}, \dots, s_5) \geq 1/30.$$

One finds that

$$\begin{aligned} 10 \sum_{j=-2}^2 (-1)^j \beta_j a_j &= 6\beta_0 - \beta_0(2\beta_0 + \beta_{-1} + \beta_1) \\ &\quad - 2[\beta_{-1}(s_1 - s_0) + \beta_1(s_3 - s_2)]/(s_3 - s_0) \\ &\quad - \beta_{-1}[2(s_2 - s_0) - \beta_{-1}(s_1 - s_0)]/(s_3 - s_0) \\ &\quad - \beta_1[2(s_3 - s_1) - \beta_1(s_3 - s_2)]/(s_3 - s_0) \\ &\quad + \beta_{-1}\beta_{-2}(s_1 - s_0)/(s_3 - s_0) + \beta_1\beta_2(s_3 - s_2)/(s_3 - s_0). \end{aligned} \quad (3.3)$$

Hence, by omitting the last two terms in (3.3) (which are nonnegative) and combining (3.2) with (3.3), one gets

$$\begin{aligned}
 20F(s_{-2}, \dots, s_3) \geq & 2 + \beta_0(2 - 2\beta_0 - \beta_{-1} - \beta_1) \\
 & + (s_3 - s_0)^{-1} [\beta_{-1}(\beta_{-1}(s_1 - s_0) - 2(s_2 - s_0)) \\
 & + \beta_1(\beta_1(s_3 - s_2) - 2(s_3 - s_1))]. \tag{3.4}
 \end{aligned}$$

Now,

$$\beta_1 = \frac{(s_3 - s_2)^2}{(s_3 - s_1)(s_4 - s_2)} \leq \frac{s_3 - s_2}{s_3 - s_1} = 1 - \frac{s_2 - s_1}{s_3 - s_1},$$

hence

$$-\beta_0 - \beta_1 \geq -\frac{s_2 - s_1}{s_3 - s_1} - \left(1 - \frac{s_2 - s_1}{s_3 - s_1}\right) = -1.$$

Similarly,

$$-\beta_0 - \beta_{-1} \geq -1.$$

Therefore,

$$\beta_0(2 - 2\beta_0 - \beta_1 - \beta_{-1}) \geq 0. \tag{3.5}$$

Next,

$$h(s) = s[s(s_1 - s_0) - 2(s_2 - s_0)]$$

has negative slope on  $0 < s < 1$ . Hence, since

$$0 \leq \beta_{-1} \leq \frac{s_1 - s_0}{s_2 - s_0} \leq 1,$$

one has

$$\begin{aligned}
 \beta_{-1}[\beta_{-1}(s_1 - s_0) - 2(s_2 - s_0)] & \geq \frac{s_1 - s_0}{s_2 - s_0} \left[ \frac{(s_1 - s_0)^2}{s_2 - s_0} - 2(s_2 - s_0) \right] \\
 & = \frac{(s_1 - s_0)^3}{(s_2 - s_0)^2} - 2(s_1 - s_0). \tag{3.6}
 \end{aligned}$$

Combining (3.4), (3.5) and (3.6) (with an analogous estimate for the term in (3.4) involving  $\beta_1$ ), one gets

$$20F(s_{-2}, \dots, s_3) \geq \left[ \frac{(s_1 - s_0)^3}{(s_2 - s_0)^2} + \frac{(s_3 - s_2)^3}{(s_3 - s_1)^2} + 2(s_2 - s_1) \right] / (s_3 - s_0). \tag{3.7}$$

Now set

$$s_1 - s_0 = a, \quad s_2 - s_1 = b, \quad s_3 - s_2 = c,$$

to simplify notation. Then by (3.7),

$$\begin{aligned}
 20F(s_{-2}, \dots, s_3) \geq & [(a^3 + b(a + b)^2)(b + c)^2 + (c^3 + b(c + b)^2)(a + b)^2] / \\
 & (a + b + c)(a + b)^2(c + b)^2. \tag{3.8}
 \end{aligned}$$

One has

$$\frac{2}{3}(a + \frac{1}{2}b)(a + b)^2 = \frac{2}{3}a^3 + \frac{5}{3}a^2b + \frac{4}{3}ab^2 + \frac{1}{3}b^3;$$

hence,

$$\begin{aligned} a^3 + b(a + b)^2 &= a^3 + a^2b + 2ab^2 + b^3 \\ &= \frac{2}{3}(a + \frac{1}{2}b)(a + b)^2 + \frac{1}{3}(a(a - b)^2 + ab^2 + 2b^3) \\ &\geq \frac{2}{3}(a + \frac{1}{2}b)(a + b)^2, \end{aligned}$$

since  $a$ ,  $b$ , and  $c$  are all nonnegative. Therefore,

$$\begin{aligned} 20F(s_{-2}, \dots, s_5) &\geq \frac{2}{3}[(a + \frac{1}{2}b)(a + b)^2 + (c + \frac{1}{2}b)(c + b)^2(a + b)^2] / \\ &\quad (a + b + c)(a + b)^2(c + b)^2 \\ &= \frac{2}{3}. \end{aligned} \tag{3.9}$$

Because of Theorem 2.1 and its corollary, this proves

*Theorem 3.1. For all partitions  $\pi$  of  $[0, 1]$ , the linear projector  $L_\pi^3$  on  $C[0, 1]$  of least-squares approximation by  $S_\pi^3$  is bounded in the uniform norm, independently of  $\pi$ . One has the estimate*

$$\|L_\pi^3\|_\infty \leq 30.$$

*Hence, there exists a constant  $K_6$  such that for all partitions  $\pi$  of  $[0, 1]$  and all  $f \in C^5[0, 1]$  with  $\omega(f^{(5)}, \delta) \leq \delta$ , for all  $\delta \geq 0$ ,*

$$\|f^{(j)} - (I_\pi^6 f)^{(j)}\|_\infty \leq K_6 \|\pi\|^{6-j}, \quad j = 0, \dots, 3,$$

*where  $I_\pi^6$  denotes interpolation by quintic splines as defined in (1.1).*

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