

# THE MALGRANGE-MATHER DIVISION THEOREM

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## §1. INTRODUCTION

THE GENERALIZATION of the Weierstrass preparation Theorem [13, 17] to the case of  $C^\infty$  functions was conjectured by R. Thom as a tool for the theory of singularities he had discovered (see [16]). Malgrange gave the first proof of this generalization in [5] and [6] and proved many consequences of it. It is a rather technical proof which involves a detailed study of the local geometrical structure of analytic sets. A stronger result was obtained by a direct analytic argument by Mather [7]. Four other proofs [2, 4, 8, 11] use an extension of the function  $f(t, x)$ ,  $t \times x \in \mathbf{R} \times \mathbf{R}^n$ , to the complex variable  $t$ . Extensions of the preparation and division theorems to real  $C^m$  functions were proved by M. G. Lassale [3]. Let

$$P^d(t, \lambda) = t^d + \sum_{j=1}^d \lambda_j t^{d-j}, \quad \lambda = (\lambda_1 \dots \lambda_d) \quad (1)$$

be a generic monic polynomial in  $t$  with constant coefficients  $\lambda_j$ . It is well known the usual preparation and division theorems follow quite easily from the Malgrange-Mather Theorem of division by  $P^d(t, \lambda)$ . In this paper we give a short, rather elementary proof of the Malgrange-Mather Division Theorem, which applies to analytic,  $C^\infty$  or  $C^m$  functions. Our proof is based on the following.

**Definition 1.** Let  $V^d \subset \mathbf{R}^{d+1}$  be the set of zeroes of  $P^d(t, \lambda)$ ,  $\lambda \in \mathbf{R}^d$  and  $t \in \mathbf{R}$ , and  $\pi_d: \mathbf{R}^{d+1} \rightarrow \mathbf{R}^d$  be a natural projection; i.e.,  $\pi_d: (t, \lambda) \mapsto \lambda$ . The mapping  $\hat{\pi}_d: \mathbf{R}^d \rightarrow V^d$  defined by the formula  $\hat{\pi}_d(t, \lambda_1, \dots, \lambda_{d-1}) = (t, \lambda_1, \dots, \lambda_{d-1}, \lambda_d)$ , where  $\lambda_d = \lambda_d(t, \lambda_1, \dots, \lambda_{d-1}) = -t^d - \sum_{j=1}^{d-1} \lambda_j t^{d-j}$ , is an uniformization of the algebraic hypersurface  $V^d \subset \mathbf{R}^{d+1}$ ; i.e.,  $(t, \lambda_1, \dots, \lambda_{d-1}) = \hat{\pi}_d^{-1}(t, \lambda_1, \dots, \lambda_{d-1}, \lambda_d) \in \mathbf{R}^d$  are global coordinates on  $V^d$ . By  $C^\infty$ ,  $C^m$ , analytic or polynomial function on  $V^d$  we mean respectively a  $C^\infty$ ,  $C^m$ , analytic or polynomial function in global coordinates on  $V^d$ .

**THEOREM 1.** Let  $C_\pi^\infty(V^d \times \mathbf{R}^n)$  be the subspace of  $C^\infty(V^d \times \mathbf{R}^n)$  consisting of all functions constant on the fibres  $\pi_d^{-1}(\lambda)$ . Then there exists a continuous linear operator

$$J: C_\pi^\infty(V^d \times \mathbf{R}^n) \rightarrow C^\infty(\mathbf{R}^d \times \mathbf{R}^n),$$

such that for every  $(t, \lambda) \in V^d$  and  $x \in \mathbf{R}^n$  (2)

$$(J\phi)(\lambda, x) = \phi(t, \lambda, x).$$

**Remark 1.** Let  $C_\pi^m$  be the subspace of  $C^m$ ,  $A_\pi$  be the subspace of the space  $A$  of all analytic functions on  $V^d \times \mathbf{R}^n$  and  $P$  be the subspace of the space  $P$  of all polynomials on  $V^d \times \mathbf{R}^n$  consisting of functions which are constant on the fibres  $\pi_d^{-1}(\lambda) \cap V^d$  of  $\pi_d$ . A statement analogous to Theorem 1 holds

(a) in the  $C^m$  case with an operator  $J: C_\pi^m(V^d \times \mathbf{R}^n) \rightarrow C^{\lfloor \frac{m}{2} \rfloor}(\mathbf{R}^d \times \mathbf{R}^n)$ , where  $\lfloor \frac{m}{2} \rfloor$  is the integral part of  $\frac{m}{2}$ ;

(b) in the analytic case with an operator  $J: A_\pi(V^d \times \mathbf{R}^n) \rightarrow A(\pi_d(V^d) \times \mathbf{R}^n)$ ;

(c) in the polynomial case with an operator  $J: P_\pi(V^d \times \mathbf{R}^n) \rightarrow P(V^d \times \mathbf{R}^n)$ .

**Remark 2.** Let us define  $(J\phi)(\lambda, x)$  for  $\lambda \in \pi_d(V^d)$  and  $x \in \mathbf{R}^n$  by (2). The main idea of the proof is

- (a) The function  $(J\phi)(\lambda, x)$  is a  $C^1$  function for  $x \in \mathbf{R}^n$  and  $\lambda$  from an open dense subset  $\tilde{U} = \{\lambda \in \mathbf{R}^d \mid \exists t \in \mathbf{R} \text{ such that } P^d(t, \lambda) = 0 \text{ and } (\partial/\partial t P^d)(t, \lambda) \neq 0\} \subset \pi_d(V^d)$ . The function  $\phi^{\text{new}}(t, \lambda, x) = (d_\lambda J\phi)(\pi_d(t, \lambda), x)$  as a function on  $V^d \times \mathbf{R}^n$  coincides with  $d$ -tuple of  $C^\infty$  functions.
- (b) The pair of functions  $(J\phi, J\phi^{\text{new}})$  is a Whitney function of class  $C^1$  on  $\pi_d(V^d) \times \mathbf{R}^n$  (see p. 3 in [6]).

Repeating the procedure for the function  $\phi^{\text{new}}(t, \lambda, x)$  we obtain a linear continuous operator from  $C_\pi^\infty(V^d \times \mathbf{R}^n)$  into Whitney fields on  $\pi_d(V^d) \times \mathbf{R}^n \subset \mathbf{R}^d \times \mathbf{R}^n$ , namely:  $\phi(t, \lambda, x) \mapsto \{(d_\lambda^n J\phi)(\lambda, x)\}_{n \in \mathbf{Z}^+}$ . For odd  $d$  the proof is now finished because  $\pi_d(V^d) = \mathbf{R}^d$ . For even  $d$ , due to the convexity of the region  $\mathbf{R}^d \setminus \pi_d(V^d)$ , we may apply the Stein extension theorem (see Ex. 2, p. 189[14]) which completes the proof of Theorem 1. The necessary extension result also follows from the extension of  $C^\infty$  functions defined in a half space (see [10] or [12]) and Corollary 2 of [1].

*Remark 3.* Let us consider functions on  $\mathbf{R}^{d+1} \times \mathbf{R}^n$  in coordinates  $(t, \lambda_1, \dots, \lambda_{d-1}, p, x)$  where  $p = P^d(t, \lambda)$  and  $\lambda \in \mathbf{R}^d, t \in \mathbf{R}, x \in \mathbf{R}^n$ . A function  $f \in C_\pi^\infty(V^d \times \mathbf{R}^n)$  in coordinates  $(t, \tilde{\lambda}, p, x)$ , where  $\tilde{\lambda} = (\lambda_1, \dots, \lambda_{d-1})$ , is defined for  $p = 0$  and divides  $(\partial/\partial t P^d)(t, \lambda)$  in the space of  $C^\infty$  functions (see the proof of statement (a) from Remark 2, §3).

Theorem 1 is equivalent to the following Cauchy problem.

If there exists a continuous linear division of  $f(t; \lambda_1 \dots \lambda_{d-1}; x)$  on  $(\partial/\partial t P^d)(t, \lambda)$  and the function  $(f \circ \hat{\Pi}_d^{-1})(t, \lambda, x)$  where  $(t, \lambda) \in V^d$  and  $x \in \mathbf{R}^n$ , is constant by  $t$ , then function  $f(t; \lambda_1 \dots \lambda_{d-1}; x)$  may be extended in a linear continuous way to a function  $F(t; \lambda_1 \dots \lambda_{d-1}; p; x)$ , i.e.  $F(t; \lambda_1 \dots \lambda_{d-1}; 0; x) = f(t, \lambda_1, \dots, \lambda_{d-1}; x)$ , such that

$$0 = \frac{\partial F}{\partial t}(t, \lambda_1 \dots \lambda_{d-1}; p; x) + \left(\frac{\partial}{\partial t} P^d\right)(t, \lambda) \cdot \frac{\partial F}{\partial p}(t, \lambda_1 \dots \lambda_{d-1}; p; x)$$

or in other words such that the function  $F(t, \lambda_1 \dots \lambda_{d-1}; P^d(t, \lambda); x)$  is constant by  $t$ .

**THE MALGRANGE-MATHER DIVISION THEOREM.** *There exist continuous linear operators "quotient"  $q^d: C^\infty(\mathbf{R} \times \mathbf{R}^n) \rightarrow C^\infty(\mathbf{R}^{d+1} \times \mathbf{R}^n)$  and "residue"  $r^d = \sum_{j=1}^d t^{d-j} \cdot r_j^d$ , where*

$$r_j^d: C^\infty(\mathbf{R} \times \mathbf{R}^n) \rightarrow C^\infty(\mathbf{R}^d \times \mathbf{R}^n) \quad \text{such that}$$

$$f(t, x) = q_f^d(t, \lambda, x) \cdot P^d(t, \lambda) + \sum_{j=1}^d r_{jf}^d(\lambda, x) \cdot t^{d-j} \tag{3}$$

*Remark 4.* We have found it easier to prove the following more precise form of the Malgrange-Mather theorem.

Let  $\lambda^d: \mathbf{R} \times \mathbf{R}^{d-1} \rightarrow \mathbf{R}^d$  be a mapping defined by identity of polynomials in  $z$

$$P^d(z, \lambda^d(s, \mu)) = (z - s) \cdot P^{d-1}(z, \mu), (s, \mu) \in \mathbf{R} \times \mathbf{R}^{d-1}, \tag{4}$$

i.e.

$$\lambda_j = \mu_j - \mu_{j-1} \cdot s \quad \text{for } 2 \leq j \leq d-1 \quad \text{and} \quad \begin{matrix} \lambda_1 = \mu_1 - s, \\ \lambda_d = -\mu_{d-1} \cdot s. \end{matrix} \tag{5}$$

Then in addition to assertion (3) the following holds

$$q_f^d(t, \lambda^d(s, \mu)) = \frac{q_f^{d-1}(t, \mu) - q_f^{d-1}(s, \mu)}{t - s}. \tag{6}$$

*Remark 5.* Analogous theorems hold with  $q^d: C^m \rightarrow C^{l_q(m)}$  and  $r_j^d: C^m \rightarrow C^{l_r(m)}$  in the  $C^m$  case and in analytic case (only local version). About the best possible choice of  $l_q(m)$  and  $l_r(m)$  see [3].

In §2 we deduce the Malgrange-Mather Division Theorem from Theorem 1 and construct extension of the function  $f(t, x), t \times x \in \mathbf{R} \times \mathbf{R}^n$ , to the complex variable  $t$  (see also [2, 4, 8, 11]).

In §3 we reduce Theorem 1 to a lemma about linear continuous extension of  $C^\infty$  functions from  $\pi_d(V^d) \times \mathbf{R}^n$  to  $C^\infty$  functions on  $\mathbf{R}^d \times \mathbf{R}^n$ . For odd  $d$  the proof is now finished because  $\pi_d(V^d) = \mathbf{R}^d$ .

*Remark 6.* In the analytic case the extension is trivial and for  $C^m$  case (or  $C^\infty$  case, but without linearity of quotient and residue) the Whitney extension theorem [18] finishes the proof. In the  $C^\infty$  case, due to the convexity of the region  $\mathbb{R}^d \setminus \pi_d(V^d)$ , we may apply the Stein extension theorem (see Ex. 2, p. 189[14]).

Some results similar to Theorem 1 may be found in [9, 15].

During the research for this paper the author studied the subject through lectures of Bierstone on differential analysis and many helpful discussions with him and Ivan Kupka. Kupka made a number of useful observations on the original manuscript; in particular he simplified our proof of boundedness of operator  $\nabla_1: C^m \rightarrow C^{m-1}$  (see (8)) and brought to our attention that ideas of [19] are already sufficient for differentiability of  $(J\phi)(\lambda)$  at 0 (see (23)).

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*Notations.* Let  $E$  be a Fréchet space over field  $\mathbb{R}$ . In §2 and §3, we drop the parameter  $x \in \mathbb{R}^n$ , but assume the functions depending on the parameter  $x$  to be  $E$ -valued  $C^\infty$  functions (respectively  $C^m$  or analytic with  $E$  a Banach space). If  $D$  is a domain of definition, we denote these spaces by  $C^\infty(D; E)$  (or  $C^m(D; E)$ ). By  $\mathcal{A}_\rho(D; E)$  we mean the Banach space of convergent power series in polydisk  $D$  with radii  $\rho = (\rho_1, \rho_2, \dots, \rho_q)$ ,  $q = \dim D$  and  $\rho_i > 0$ , i.e.

$$\| \sum_j a_j t^j \|_\rho = \sum_j \| a_j \|_E \cdot \rho^j, \tag{7}$$

where  $j$  is a multi-index  $j = (j_1, \dots, j_q)$  and  $\rho^j = \rho_1^{j_1} \cdot \dots \cdot \rho_q^{j_q}$ .

§2

Here we assume that Theorem 1 holds and prove the Malgrange–Mather Division Theorem.

*Proof.* Induction on  $d$ . Let  $P^0(t, \lambda) = 1$ ,  $q_f^0(t) = f(t)$  and  $r_f^0(t, \lambda) = 0$ . An operator  $\nabla_1: f(t) \rightarrow \frac{f(t) - f(s)}{t - s}$  continuous from  $C^m(\mathbb{R}, E)$  into  $C^{m-1}(\mathbb{R} \times \mathbb{R}, E)$  and bounded in  $\| \cdot \|_\rho$  norms because of equality

$$(\nabla_1 f)(t, s) = \int_0^1 f'(\epsilon \cdot t + (1 - \epsilon) \cdot s) d\epsilon, \tag{8}$$

boundedness of  $d/dt$  and isometry of  $T: \phi(t) \rightarrow (T\phi)(t, s) = \int_0^1 \phi(\epsilon \cdot t + (1 - \epsilon)s) d\epsilon$  in  $\| \cdot \|_\rho$  norms. Therefore the theorem is true for  $d = 1$ , namely:

$$f(t) = (\nabla_1 f)(t, s)(t - s) + f(s) \tag{3}^{d=1}$$

and

$$q_f(t, s) = (\nabla_1 f)(t, s) = \frac{f(t) - f(s)}{t - s} = \frac{q_f^0(t) - q_f^0(s)}{t - s}. \tag{6}^{d=1}$$

Assuming the theorem has been proved for  $d - 1$  we wish to prove it for  $d$ . For the sake of convenience, let  $\lambda \in \mathbb{R}^d$ ,  $\mu \in \mathbb{R}^{d-1}$ ,  $\nu \in \mathbb{R}^{d-2}$  and  $P(t, \lambda) = P^d(t, \lambda)$ ,  $P(t, \mu) = P^{d-1}(t, \mu)$ ,  $P(t, \nu) = P^{d-2}(t, \nu)$ . Also (see (4) and (5)) we use the notations  $\lambda(s, \mu) = \lambda^d(s, \mu)$  and  $\mu(\tau, \nu) = \lambda^{d-1}(\tau, \nu)$ . In particular we have  $P(z, \lambda(s, \mu(\tau, \nu))) = (z - s) \cdot (z - \tau) \cdot P(z, \nu)$ , i.e.  $\lambda(s, \mu(\tau, \nu))$  is symmetric in  $(s, \tau) \in \mathbb{R} \times \mathbb{R}$ .

Equality (3) (holds for  $d - 1$ ) implies

$$f(t) = \frac{q_f^{d-1}(t, \mu) - q_f^{d-1}(s, \mu)}{t - s} \cdot P(t, \lambda(s, \mu)) + \sum_{k=1}^d r_{kf}(s, \mu) t^{d-k}, \tag{*}$$

where

$$\sum_{k=1}^d r_{kf}(s, \mu) \cdot t^{d-k} = q_f^{d-1}(s, \mu) \cdot P(t, \mu) + r_f^{d-1}(t; \mu).$$

Equality (6) (holds for  $d - 1$ ) implies

$$(\nabla_1 q_f^{d-1})(t, s; \mu(\tau, \nu)) = \frac{q_f^{d-2}(t, \nu) - q_f^{d-2}(\tau, \nu)}{t - \tau} - \frac{q_f^{d-2}(s, \nu) - q_f^{d-2}(\tau, \nu)}{s - \tau} \tag{**}$$

Therefore  $(\nabla_1 q_f^{d-1})(t, s; \mu(\tau, \nu)) = (\nabla_1 q_f^{d-1})(t, \tau; \mu(s, \nu))$  and due to the symmetry of  $\lambda(s, \mu(\tau, \nu))$  in  $(s, \tau)$ , using (\*) we have

$$r_{kf}(s, \mu(\tau, \nu)) = r_{kf}(\tau, \mu(s, \nu)), \quad 1 \leq k \leq d. \tag{9}$$

The algebraic change of coordinates  $(s, \mu) \mapsto (s, \tilde{\lambda}(s, \mu))$ , where  $\tilde{\lambda} = (\lambda_1, \dots, \lambda_{d-1})$ , defined by (5) is invertible. Let  $(s, \tilde{\lambda}) \mapsto (s, \eta(s, \tilde{\lambda}))$ ,  $\mu = \eta(s, \tilde{\lambda})$ , be the inverse change of coordinates. We may consider functions

$$\tilde{r}_{kf}(s, \tilde{\lambda}) = r_{kf}(s, \eta(s, \tilde{\lambda})), \tag{10}$$

as functions on  $V^d$  because  $(s, \tilde{\lambda})$  are global coordinates on  $V^d$ .

Assume that  $s \neq \tau$ ,  $(s, \tilde{\lambda})$  and  $(\tau, \tilde{\lambda}) \in \pi_d^{-1}(\lambda) \cap V^d$ . Then there exists  $\nu \in \mathbf{R}^{d-2}$  such that  $P^d(t, \lambda) = (t - s)(t - \tau) \cdot P^{d-2}(t, \nu)$  and  $\lambda = \lambda(s, \mu(\tau, \nu))$ . Therefore, using the symmetry of  $\lambda(s, \mu(\tau, \nu))$  in  $(s, \tau)$  and equality (9), it follows that  $\eta(s, \tilde{\lambda}) = \mu(\tau, \nu)$ ,  $\eta(\tau, \tilde{\lambda}) = \mu(s, \nu)$  and hence  $\tilde{r}_{kf}(s, \tilde{\lambda}) = r_{kf}(s, \mu(\tau, \nu)) = r_{kf}(\tau, \mu(s, \nu)) = \tilde{r}_{kf}(\tau, \tilde{\lambda})$ . Applying Theorem 1 we obtain

$$r_{kf}^d(\lambda) = (J\tilde{r}_{kf})(\lambda) \quad \text{such that} \\ r_{kf}^d(\lambda(s, \mu)) = (J\tilde{r}_{kf})(\lambda(s, \mu)) = \tilde{r}_{kf}(s, \tilde{\lambda}(s, \mu)) = r_{kf}(s, \mu). \tag{11}$$

It is clear that operators  $r_k^d : f \mapsto r_{kf}^d$  are continuous and linear. Moreover  $P^d(t, \lambda) = 0$  implies  $\lambda = \lambda(t, \mu)$  for some  $\mu \in \mathbf{R}^{d-1}$  and due to (\*) we obtain

$$f(t) - \sum_{k=1}^d r_{kf}^d(\lambda) \cdot t^{d-k} = f(t) - \sum_{k=1}^d r_{kf}(t, \mu) \cdot t^{d-k} = 0. \tag{12}$$

Let

$$f(t, \lambda) \stackrel{\text{def.}}{=} f(t) - \sum_{k=1}^d r_{kf}^d(\lambda) \cdot t^{d-k}. \tag{13}$$

By an algebraic change of coordinates  $(t, \lambda) \mapsto (t, \tilde{\lambda}, P^d(t, \lambda))$ , where  $\tilde{\lambda} = (\lambda_1, \dots, \lambda_{d-1})$ , we obtain

$$\phi(t, \tilde{\lambda}, p) \stackrel{\text{def.}}{=} f\left(t; \tilde{\lambda}; p - t^d - \sum_{k=1}^{d-1} \lambda_k \cdot t^{d-k}\right). \tag{14}$$

Using (12) we have  $\phi(t, \tilde{\lambda}, 0) = 0$ . Therefore  $\phi(t, \tilde{\lambda}, p)$  is divisible by  $p$  and  $f(t, \lambda)$  is divisible by  $P^d(t, \lambda)$ . Hence

$$q : f(t, \lambda) \mapsto \frac{f(t, \lambda)}{P^d(t, \lambda)} \tag{15}$$

is a continuous map from the subspace of  $C^m(\mathbf{R}^{d+1}, E)$  of all functions vanishing on  $V^d \subset \mathbf{R}^{d+1}$  into the space  $C^{m-1}(\mathbf{R}^{d+1}, E)$ , and bounded in the  $\|\cdot\|_p$  norms. We have finally

$$f(t) = q_f^d(t, \lambda) \cdot P^d(t, \lambda) + \sum_{k=1}^d r_{kf}^d(\lambda) \cdot t^{d-k} \tag{3}^d$$

with

$$q_f^d(t, \lambda) = \frac{f(t) - \sum_{k=1}^d r_{kf}^d(\lambda) t^{d-k}}{P^d(t, \lambda)}.$$

Also for  $\lambda = \lambda(s, \mu)$  with  $P^d(t, \lambda(s, \mu)) \neq 0$  using (\*) we obtain

$$q_f^d(t, \lambda(s, \mu)) = \frac{q_f^{d-1}(t, \mu) - q_f^{d-1}(s, \mu)}{t - s}. \tag{6}^d$$

Operators  $q^d : C^\infty(\mathbf{R}, E) \rightarrow C^\infty(\mathbf{R} \times \mathbf{R}^d, E)$  and  $r_k^d : C^\infty(\mathbf{R}, E) \rightarrow C^\infty(\mathbf{R}^d, E)$  are continuous (as well as in the  $C^m$  or analytic case) and this completes the reduction to Theorem 1.

*Remark 8. Extension to the complex variable.* Let  $f \in C^\infty(\mathbf{R}, E)$ . We wish to define a continuous linear operator  $\text{Ext.}$  from  $C^\infty(\mathbf{R}, E)$  into the space of all polynomials of degree less or equal  $d$  whose coefficients are functions in  $C^\infty(\mathbf{R}^d, E) \otimes_{\mathbf{R}} \mathbf{C}$  and such that  $f(t) = (\text{Ext. } f)(t, \lambda)$  when  $t$  is real and  $P^d(t, \lambda) = 0$ .

*Construction.* From (3)<sup>d-1</sup> we obtain

$$f(t) = q_f^{d-1}(t, \mu) \cdot t^{d-1} + \sum_{k=1}^{d-1} \{q_f^{d-1}(t, \mu) \cdot \mu_k + r_{kf}^{d-1}(\mu)\} \cdot t^{d-k-1}. \tag{16}$$

As in (\*) we denote  $r_{1f}(s, \mu) = q_f^{d-1}(s, \mu)$  and  $r_{kf}(s, \mu) = q_f^{d-1}(s, \mu) \cdot \mu_{k-1} + r_{k-1f}^{d-1}(\mu)$ ,  $1 < k \leq d$ . Using Theorem 1 we have obtained (see (11)) functions  $r_{kf}^d(\lambda)$  such that  $r_{kf}^d(\lambda(t, \mu)) = r_{kf}(t, \mu)$ ,  $1 \leq k \leq d$ . Therefore

$$f(t) = r_{1f}^d(\lambda(t, \mu)) \cdot t^{d-1} + \sum_{k=1}^{d-1} r_{k+1f}^d(\lambda(t, \mu)) \cdot t^{d-k-1}. \tag{17}$$

(17) also immediately follows from (3)<sup>d</sup> letting  $\lambda = \lambda(t, \mu)$ . Let us define an operator  $\text{Ext.}$  by

$$(\text{Ext. } f)(z, \lambda) \stackrel{\text{def.}}{=} \sum_{k=1}^d r_{kf}^d(\lambda) z^{d-k}, \quad z \in \mathbf{C} \text{ and } \lambda \in \mathbf{R}^d \tag{18}$$

(see extension lemmas in [2, 4, 8, 11]). An operator  $\text{Ext.}$  gives us the desired extension.

§3. PROOF OF THEOREM 1

Induction on  $d$ . Recall the notations:  $V^d = \{(t, \lambda) \in \mathbf{R} \times \mathbf{R}^d \text{ such that } P^d(t, \lambda) = 0\}$ , the mapping  $\pi_d: (t, \lambda) \mapsto \lambda$  is the projection  $\mathbf{R} \times \mathbf{R}^d \rightarrow \mathbf{R}^d$  and  $C_\pi^\infty(V^d, E)$  (or  $C_\pi^m(V^d, E)$  respectively) consists of  $C^\infty$  (or  $C^m$ ) functions depending of  $(t, \lambda_1, \dots, \lambda_{d-1})$  as local coordinates on  $V^d$  and constant on the fibres of  $\pi_d$ . We want to find a linear continuous operator  $J: C_\pi^\infty(V^d, E) \rightarrow C^\infty(\mathbf{R}^d, E)$  such that

$$(J\phi)(\lambda) = \phi(t, \lambda), \text{ for every } (t, \lambda) \in V^d. \tag{2}$$

Let us define  $(J\phi)(\lambda)$  for  $\lambda \in \pi_d(V^d)$  by (2). The projection  $\pi_d: \mathbf{R} \times \mathbf{R}^d \rightarrow \mathbf{R}^d$  restricted on  $V^d$  is a proper map and local diffeomorphism at the set  $U$  of points  $(t, \lambda) \in V^d$  and such that  $P'_t(t, \lambda) \neq 0$ . Hence the operator  $J$  maps  $C_\pi^0(V^d) \xrightarrow{\text{into}} C^0(\pi_d(V^d))$  and is continuous. Also  $(d_\lambda J\phi)(\lambda)$  exists for  $\lambda \in \tilde{U} = \pi_d(U)$ ; i.e.,  $P(t, \lambda) = 0$  and  $(\partial/\partial t)P(t, \lambda) \neq 0$  for some  $t \in \mathbf{R}$ . Let us mention, that for odd  $d$  the set  $\pi_d(V^d) = \mathbf{R}^d$  and for even  $d$  the set  $\mathbf{R}^d \setminus \pi_d(V^d)$  is a convex subset of  $\mathbf{R}^d$  (as  $\lambda \in \mathbf{R}^d \setminus \pi_d(V^d)$  is equivalent to  $P^d(t, \lambda) > 0$  for all  $t \in \mathbf{R}$ ). Let  $\psi(t, \tilde{\lambda}) = \phi(\hat{\pi}_d(t, \tilde{\lambda}))$ ,  $t \in \mathbf{R}$  and  $\tilde{\lambda} \in \mathbf{R}^{d-1}$ .

By the chain rule  $(d_\lambda J\phi)(\lambda) \cdot \frac{\partial(\lambda_1, \dots, \lambda_d)}{\partial(t, \lambda_1, \dots, \lambda_{d-1})} = d_{(t, \tilde{\lambda})}\psi$ , where  $\lambda_d = -t^d - \sum_{k=1}^{d-1} \lambda_k \cdot t^{d-k}$  and  $\tilde{\lambda} = (\lambda_1, \lambda_2, \dots, \lambda_{d-1})$ , or explicitly

$$(d_\lambda J\phi)(\pi_d(t, \lambda)) \cdot \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 & 0 \\ 0 & 0 & 1 & \cdots & 0 & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ 0 & 0 & 0 & \cdots & 1 & 0 \\ 0 & 0 & 0 & \cdots & 0 & 1 \\ -P'_t(t, \lambda) & -t^{d-1} & -t^{d-2} & \cdots & -t^2 & -t \end{pmatrix} = \begin{pmatrix} \psi'_t(t, \tilde{\lambda}) \\ \psi'_{\lambda_1}(t, \tilde{\lambda}) \\ \cdots \\ \psi'_{\lambda_{d-1}}(t, \tilde{\lambda}) \end{pmatrix}; \tag{19}$$

recall that  $(t, \lambda) = (t, \lambda_1, \dots, \lambda_{d-1}, \lambda_d) = \hat{\pi}_d(t, \tilde{\lambda})$ .

The main idea of the proof is

(a) The function  $\phi^{\text{new}}(t, \lambda) = (d_\lambda J\phi)(\pi_d(t, \lambda))$  as a function on  $V^d$  coincides with  $d$ -tuple of  $E$ -valued  $C^\infty$  function;

(b) The pair of functions  $(J\phi, J\phi^{\text{new}})$  is a Whitney function of class  $C^1$  on  $\pi_d^{-1}(V^d)$  (see p. 3 in [6]).

Repeating the procedure we obtain a linear continuous operator from  $C_\pi^\infty(V^d, E)$  into

*E*-valued Whitney fields on  $\pi_d(V^d) \subset \mathbf{R}^d$ , i.e.  $\phi(t, \tilde{\lambda}) \mapsto \{(d_\lambda^n J\phi)(\lambda)\}_{n \in \mathbf{Z}^+}$ . The Stein extension theorem allows to complete the proof (see Ex. 2, p. 189[14]).

To prove (a) it is sufficient, due to (19), to prove that function  $\psi'_i(t, \tilde{\lambda})$  is divisible by  $P'_i(t, \lambda)$  (and continuity of this division in  $C^\infty$  topology). First of all,  $P(t, \lambda) = P'_i(t, \lambda) = 0$  implies  $\psi'_i(t, \tilde{\lambda}) = 0$ , because  $\psi(t, \tilde{\lambda})$  is constant on the fibres  $\pi_d$  and there exist sequences  $\lambda_{(n)} \in \mathbf{R}^d$  and  $S_{1n} \neq S_{2n}$  of real numbers with  $P^d(S_{jn}, \lambda_{(n)}) = 0$ ,  $\lim_{n \rightarrow \infty} \lambda_{(n)} = \lambda$  and  $\lim_{n \rightarrow \infty} S_{jn} = t$ ,  $j = 1, 2$ . (Hence  $\psi'_i(t, \tilde{\lambda}) = \lim_{n \rightarrow \infty} \frac{\psi(S_{1n}, \tilde{\lambda}_{(n)}) - \psi(S_{2n}, \tilde{\lambda}_{(n)})}{S_{1n} - S_{2n}} = 0$ .) Now by algebraic change of coordinates  $(t, \lambda_1 \dots \lambda_{d-1}) \mapsto (t, \lambda_1 \dots \lambda_{d-2}, p_1)$  where  $p_1 = P'_i(t, \lambda)$  we obtain

$$\theta(t, \lambda_1 \dots \lambda_{d-2}, p_1) = \psi'_i(t, \lambda_1 \dots \lambda_{d-1}) \quad (20)$$

and  $\theta(t, \lambda_1 \dots \lambda_{d-2}, 0) = 0$ . Therefore  $\theta(t, \lambda_1 \dots \lambda_{d-2}, p_1)$  is divisible by  $p_1$  and consequently  $\psi'_i(t, \tilde{\lambda})$  is divisible by  $P'_i(t, \lambda)$ . Therefore we have obtained the desired division of  $\psi'_i(t, \tilde{\lambda})$  by  $P'_i(t, \lambda)$  and the function  $(d_\lambda J\phi)(\pi_d(t, \lambda))$  coincides with a  $C^\infty$  function  $\phi^{\text{new}}(t, \lambda)$  on  $V^d$  (recall that  $(t, \tilde{\lambda})$  are coordinates on  $V^d$ ). The function  $\phi^{\text{new}}(t, \lambda)$  is automatically constant on fibres of  $\pi_d$ .

*Remark 9.* It is clear that the function  $\phi^{\text{new}}(t, \lambda)$  is a function of the same type as  $\phi(t, \lambda)$ , i.e. polynomial, analytic, or, as in our proof,  $C^\infty$ . Also the mapping  $\phi(t, \lambda) \mapsto \phi^{\text{new}}(t, \lambda)$  is a continuous linear operator for all the mentioned classes and also from  $C^m(V^d, E)$  into  $C^{m-2}(V^d, E) \otimes_{\mathbf{R}} \mathbf{R}^d$ .

Let us prove (b). The function  $(J\phi)(\lambda)$  is continuously differentiable on the set  $\tilde{U} \subset \pi_d(V^d)$  and, as  $\pi_d$  is proper, the function  $(d_\lambda J\phi)(\lambda)$  has a continuous extension  $(J\phi^{\text{new}})(\lambda)$  to  $\pi_d(V^d)$ .

Let  $\gamma = \{\lambda \in \pi_d(V^d) \mid \exists a \in \mathbf{R}, P^d(z, \lambda) = (z - a)^d\}$ . Let us prove, first of all, that  $(J\phi)(\lambda)$  is continuously differentiable at all points  $\lambda \in [\pi_d(V^d) \setminus \gamma]$ . Consider  $(t^*, \lambda^*)$  such that  $P^d(z, \lambda^*) = (z - t^*)^l \cdot P^{d-l}(z, \eta^*)$  where  $l < d$ ,  $t^* \in \mathbf{R}$ ,  $\lambda^* \in \mathbf{R}^d$ ,  $\eta^* \in \mathbf{R}^{d-l}$  and  $P^{d-l}(t^*, \eta^*) \neq 0$ . The following relation,

$$P^d(z, \lambda(\xi, \eta)) = P^l(z, \xi) \cdot P^{d-l}(z, \eta), \quad (21)$$

between polynomials in  $z$ , defines a mapping  $(\xi, \eta) \mapsto \lambda(\xi, \eta)$  from  $\mathbf{R}^l \times \mathbf{R}^{d-l}$  into  $\mathbf{R}^d$ , which is a local diffeomorphism at all points  $(\xi, \eta)$  where the resultant of  $P^l(z, \xi)$  and  $P^{d-l}(z, \eta)$  as polynomials in  $z$  is not zero (because this resultant is the jacobian  $\frac{\partial \lambda(\xi, \eta)}{\partial(\xi, \eta)}$ ). Using the change of variables  $(\xi, \eta) \rightarrow \lambda(\xi, \eta)$  and  $(t, \xi, \eta) \rightarrow (t, \lambda(\xi, \eta))$  in some neighborhoods of the points  $\lambda(\xi^*, \eta^*) = \lambda^* \in \mathbf{R}^d$  and  $(t^*, \lambda^*) \in V^d$  respectively, the projection  $\pi_d: V^d \rightarrow \mathbf{R}^d$  will have the form  $\pi_l \times \text{id}: V^l \times \mathbf{R}^{d-l} \rightarrow \mathbf{R}^l \times \mathbf{R}^{d-l}$ , where  $(\pi_l \times \text{id})(t, \xi, \eta) = (t, \lambda(\xi, \eta))$  for every  $(t, \xi) \in V^l$  (i.e.  $t \in \mathbf{R}$ ,  $\xi \in \mathbf{R}^l$  and  $P^l(t, \xi) = 0$ ) and  $\eta \in \mathbf{R}^{d-l}$ . Indeed,  $P(t^*, \eta^*) \neq 0$  implies  $P^{d-l}(t, \eta) \neq 0$  near  $(t^*, \eta^*)$  and due to  $0 = P^d(t, \lambda(\xi, \eta)) = P^l(t, \xi) \cdot P^{d-l}(t, \eta)$  we obtain  $P^l(t, \xi) = 0$ . By the induction hypothesis ( $l < d$ ) we obtain continuous differentiability of  $(J\phi)(\lambda)$  at every point  $\lambda \in [\pi_d(V^d) \setminus \gamma]$ .

It remains to prove, that  $(J\phi)(\lambda)$  is continuously differentiable at the points  $\lambda \in \gamma$ ; i.e.,  $P^d(z, \lambda) = (z - a)^d$  for some  $a \in \mathbf{R}$ . Due to diffeomorphism  $\lambda \mapsto \lambda_a$  of  $\mathbf{R}^d$  into  $\mathbf{R}^d$ ,  $a \in \mathbf{R}$ , which is defined by equality of polynomials in  $z$

$$P^d(z, \lambda_a) = P^d((z - a), \lambda) \quad (22)$$

it is enough to prove differentiability of  $(J\phi)(\lambda)$  at the point  $0 \in \mathbf{R}^d$ .

Take an arbitrary  $\lambda \in \pi_d(V^d)$ ; there exists a smooth path which connects points  $\lambda$  and  $0$  such that  $\lambda(s) \in \pi_d(V^d) \setminus \gamma$  for all  $s \in (0, 1)$  and of length  $\leq \text{const.} \cdot \sqrt{(\sum_{k=1}^d \lambda_k^2)}$  (for example a smoothing of the path going from  $\lambda$  to orthogonal projection  $\mathcal{P}(\lambda)$  of the point  $\lambda$  onto hyperplane  $\lambda_d = 0$  and from  $\mathcal{P}(\lambda)$  to  $0$ ). By the same arguments as in [19] we get

$$(J\phi)(\lambda) - (J\phi)(0) = \int_0^1 (d_\lambda J\phi)(\lambda(\tau)) \cdot \frac{d\lambda}{d\tau}(\tau) d\tau \quad (23)$$

as the limit when  $s$  tends to 1 of the following equality

$$(J\phi)(\lambda(s)) - (J\phi)(\lambda(1-s)) = \int_{1-s}^s (d_\lambda J\phi)(\lambda(\tau)) \cdot \frac{d\lambda}{d\tau}(\tau) d\tau, \quad (24)$$

which together with estimate on the length of the path allows us to obtain the differentiability of  $(J\phi)(\lambda)$  at  $\lambda = 0$ . This completes the proof of Theorem 1 if  $d$  is odd and reduces Theorem 1 to the extension of the Whitney field  $\{(d_\lambda^* J\phi)(\lambda)\}_{\lambda \in \mathbb{Z}^d}$  from  $\mathcal{E}(\pi_d(V^d))$  to the  $C^\infty(\mathbb{R}^d; E)$  (see Ex. 2, p. 189[14]).

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