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# Fekete-Szegö problem for starlike and convex functions of complex order

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#### 1. Introduction

Fekete and Szegö proved a noticeable result that the estimate

$$|a_3 - \lambda a_2^2| \le 1 + 2\exp\left(\frac{-2\lambda}{1-\lambda}\right)$$

holds for any normalized univalent function

$$f(z) = z + a_2 z^2 + a_3 z^3 + \cdots$$

in the open unit disk  $\mathcal{U}$  and for  $0 \le \lambda \le 1$ . This inequality is sharp for each  $\lambda$  (see [1]). The coefficient functional

$$\Phi(f) = a_3 - \lambda a_2^2 = \frac{1}{6} \left( f'''(0) - \frac{3\lambda}{2} [f''(0)]^2 \right)$$

on normalized analytic functions f in the unit disk represents various geometric quantities, for example when  $\lambda = 1$ ,  $\Phi(f) = a_3 - a_2^2$ , becomes  $S_f(0)/6$  where  $S_f$  denotes the Schwarzian derivative  $(f''/f')' - (f''/f')^2/2$ . Note that, if we consider the *n*th root transform  $[f(z^n)]^{1/n} = z + c_{n+1}z^{n+1} + c_{2n+1}z^{2n+1} + \cdots$  of f with the power series (1.1), then  $c_{n+1} = a_2/n$  and  $c_{2n+1} = a_3/n + (n-1)a_2^2/2n^2$ , so that

$$a_3 - \lambda a_2^2 = n \left( c_{2n+1} - \mu c_{n+1}^2 \right),$$

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#### ABSTRACT

For nonzero complex *b* let  $\mathcal{F}_n(b)$  denote the class of normalized univalent functions *f* satisfying Re  $[1 + (z(D^n f)'(z)/D^n f(z) - 1)/b] > 0$  in the unit disk  $\mathcal{U}$ , where  $D^n f$  denotes the Ruscheweyh derivative of *f*. Sharp bounds for the Fekete–Szegö functional  $|a_3 - \mu a_2^2|$  are obtained.

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(1.1)

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where  $\mu = \lambda n + (n-1)/2$ . Moreover,  $\Phi(f)$  behaves well with respect to the rotation, namely  $\Phi(e^{-i\theta}f(e^{i\theta}z)) = e^{2i\theta}\Phi(f)$ .  $\theta \in \mathbb{R}$ .

This is quite natural to discuss the behavior of  $\Phi(f)$  for subclasses of normalized univalent functions in the unit disk. This is called Fekete-Szegö problem. Actually, many authors have considered this problem for typical classes of univalent functions (see, for instance [2-7,1,8-12]).

We denote by  $\delta$  the set of all functions normalized analytic and univalent in the unit disk  $\mathcal{U}$  of the form (1.1). Also, for  $0 < \alpha < 1$ , let  $\delta^*(\alpha)$  and  $\delta^c(\alpha)$  denote classes of starlike and convex univalent functions of order  $\alpha$ , respectively, i.e.

$$\delta^*(\alpha) = \left\{ f(z) \in \delta : \operatorname{Re} \frac{zf'(z)}{f(z)} > \alpha, \ z \in \mathcal{U} \right\}$$
(1.2)

and

$$\delta^{c}(\alpha) = \left\{ f(z) \in \delta : \operatorname{Re}\left(1 + \frac{zf''(z)}{f'(z)}\right) > \alpha, \ z \in \mathcal{U} \right\}.$$
(1.3)

A notions of  $\alpha$ -starlikeness and  $\alpha$ -convexity were generalized onto a complex order  $\alpha$  by Nasr and Aouf [13], Wiatrowski [14], Nasr and Aouf [15].

Observe that  $\delta^*(0) = \delta^*$  and  $\delta^c(0) = S^c$  represent standard starlike and convex univalent functions, respectively. Let  $f(z) = z + \sum_{k=2}^{\infty} a_k z^k$  and  $g(z) = z + \sum_{k=2}^{\infty} b_k z^k$  be analytic functions in  $\mathcal{U}$ . The Hadamard product (convolution) of f and g, denoted by f \* g is defined by

$$(f * g)(z) = z + \sum_{k=2}^{\infty} a_k b_k z^k, \quad z \in \mathcal{U}.$$

Let  $n \in \mathbb{N}_0 = \{0, 1, 2, ...\}$ . The Ruscheweyh derivative of the  $n^{th}$  order of f, denoted by  $D^n f(z)$ , is defined by

$$D^n f(z) = \frac{z(z^{n-1}f(z))^{(n)}}{n!}, \quad n \in \mathbb{N}_0.$$

Ruscheweyh [16] determined that

$$D^{n}f(z) = \frac{z}{(1-z)^{n+1}} * f(z) = z + \sum_{k=2}^{\infty} \frac{\Gamma(n+k)}{\Gamma(n+1)(k-1)!} a_{k} z^{k}.$$
(1.4)

The Ruscheweyh derivative gave an impulse for various generalization of well known classes of functions. Exemplary, for  $\alpha$  (0  $\leq \alpha < 1$ ) and  $n \in \mathbb{N}_0$ , Ahuja [17,18] defined the class of functions, denoted  $\mathcal{R}_n(\alpha)$ , which consists of univalent functions of the form (1.1) that satisfy the condition

$$\operatorname{Re}\frac{z(D^{n}f(z))'}{D^{n}f(z)} > \alpha, \quad z \in \mathcal{U}.$$
(1.5)

We note that  $\mathcal{R}_0(\alpha) = \delta^*(\alpha)$  and  $\mathcal{R}_1(\alpha) = \delta^c(\alpha)$ . The class  $\mathcal{R}_n(0) = \mathcal{R}_n$  was studied by Singh and Singh [19]. With the aid of Ruscheweyh derivative Kumar et al. [20] introduced the class  $\mathcal{F}_n(b)$  of function  $f \in \mathcal{S}$  as follows:

**Definition 1.1** ([20]). Let b be a nonzero complex number, and let f be an univalent function of the form (1.1), such that  $D^n f(z) \neq 0$  for  $z \in \mathcal{U} \setminus \{0\}$ . We say that f belongs to  $\mathcal{F}_n(b)$  if

$$\operatorname{Re}\left\{1+\frac{1}{b}\left(\frac{z(D^{n}f(z))'}{D^{n}f(z)}-1\right)\right\}>0, \quad z\in\mathcal{U}.$$
(1.6)

By giving specific values to n and b, we obtain the following important subclasses studied by various researchers in earlier works, for instance,  $\mathcal{F}_0(b) = \delta^*(1-b)$  (Nasr and Aouf [13]),  $\mathcal{F}_1(b) = \delta^c(1-b)$  (Wiatrowski [14], Nasr and Aouf [15]). Moreover, when  $\alpha \in (0, 1)$   $\mathcal{F}_n(1 - \alpha) = \mathcal{R}_n(\alpha)$  (Singh and Singh [19], Darus and Akbarally [21]).

#### 2. Main results

We denote by  $\mathcal{P}$  a class of the analytic functions in  $\mathcal{U}$  with p(0 = 1) and Re p(z) > 0. We shall require the following:

**Lemma 2.1** ([22], p. 166). Let  $p \in \mathcal{P}$  with  $p(z) = 1 + c_1 z + c_2 z^2 + \cdots$ , then

$$|c_n| \leq 2$$
, for  $n \geq 1$ .

If  $|c_1| = 2$  then  $p(z) \equiv p_1(z) = (1 + \gamma_1 z)/(1 - \gamma_1 z)$  with  $\gamma_1 = c_1/2$ . Conversely, if  $p(z) \equiv p_1(z)$  for some  $|\gamma_1| = 1$ , then  $c_1 = 2\gamma_1$  and  $|c_1| = 2$ . Furthermore we have

$$\left|c_2-\frac{c_1^2}{2}\right|\leq 2-\frac{|c_1|^2}{2}.$$

If 
$$|c_1| < 2$$
 and  $\left|c_2 - \frac{c_1^2}{2}\right| = 2 - \frac{|c_1|^2}{2}$ , then  $p(z) \equiv p_2(z)$ , where  
 $p_2(z) = \frac{1 + z \frac{\gamma_2 z + \gamma_1}{1 + \bar{\gamma}_1 \gamma_2 z}}{1 - z \frac{\gamma_2 z + \gamma_1}{1 + \bar{\gamma}_1 \gamma_2 z}}$ ,

and  $\gamma_1 = c_1/2$ ,  $\gamma_2 = \frac{2c_2 - c_1^2}{4 - |c_1|^2}$ . Conversely if  $p(z) = p_2(z)$  for some  $|\gamma_1| < 1$  and  $|\gamma_2| = 1$ , then  $\gamma_1 = c_1/2$ ,  $\gamma_2 = \frac{2c_2 - c_1^2}{4 - |c_1|^2}$  and  $\left|c_2 - \frac{c_1^2}{2}\right| = 2 - \frac{|c_1|^2}{2}$ .

**Theorem 2.2.** Let  $n \ge 0$  and let b be nonzero complex number. If f of the form (1.1) is in  $\mathcal{F}_n(b)$ , then

$$|a_2| \le \frac{2|b|}{(n+1)},$$
(2.1)

$$|a_3| \le \frac{2|b|}{(n+1)(n+2)} \max\{1, |1+2b|\},$$
(2.2)

and

$$a_3 - \frac{n+1}{n+2}a_2^2 \le \frac{2|b|}{(n+1)(n+2)}$$

Equality in (2.1) holds if  $z(D^n f(z))'/(D^n f(z)) = 1 + b[p_1(z) - 1]$ , and in (2.2) if  $z(D^n f(z))'/(D^n f(z)) = 1 + b[p_2(z) - 1]$ , where  $p_1, p_2$  are given in Lemma 2.1.

**Proof.** Denote  $F(z) = D^n f(z) = z + A_2 z^2 + A_3 z^3 + \cdots$ , then

$$A_2 = (n+1)a_2, \qquad A_3 = \frac{(n+1)(n+2)}{2}a_3.$$
 (2.3)

By the definition of the class  $\mathcal{F}_n(b)$  there exists  $p \in \mathcal{P}$  such, that  $\frac{zF'(z)}{F(z)} = 1 - b + bp(z)$ , so that

$$\frac{z(1+2A_2z+3A_3z^2+\cdots)}{z+A_2z^2+A_3z^3+\cdots} = 1-b+b(1+c_1z+c_2z^2+\cdots),$$

which implies the equality

$$z + 2A_2z^2 + 3A_3z^3 + \dots = z + (A_2 + bc_1)z^2 + (A_3 + bc_1A_2 + bc_2)z^3 + (bc_1A_3 + bc_2A_2 + bc_3 + A_4)z^4 + \dots$$

Equating the coefficients of both sides we have

$$A_2 = bc_1, \qquad A_3 = \frac{b}{2} \left( c_2 - \frac{c_1^2}{2} \right) + \frac{(1+2b)}{4} bc_1^2, \tag{2.4}$$

so that, on account of (1.4)

$$a_2 = \frac{b}{n+1}c_1, \qquad a_3 = \frac{b}{(n+1)(n+2)} \left[c_2 + b c_1^2\right].$$
 (2.5)

Taking into account (2.5) and Lemma 2.1, we obtain

$$|a_2| = \left|\frac{b}{n+1}c_1\right| \le \frac{2|b|}{n+1},$$
(2.6)

and

$$\begin{aligned} |a_3| &= \left| \frac{b}{(n+1)(n+2)} \left[ c_2 - \frac{c_1^2}{2} + \frac{1+2b}{2} c_1^2 \right] \right| \\ &\leq \frac{|b|}{(n+1)(n+2)} \left[ 2 - \frac{|c_1|^2}{2} + \frac{|1+2b|}{2} |c_1|^2 \right] \\ &= \frac{|b|}{(n+1)(n+2)} \left[ 2 + |c_1|^2 \frac{|1+2b|-1}{2} \right] \\ &\leq \frac{2|b|}{(n+1)(n+2)} \max\left\{ 1, \left[ 1 + |1+2b| - 1 \right] \right\}. \end{aligned}$$

Thus

$$|a_3| \le \frac{2|b|}{(n+1)(n+2)} \max\{1, |1+2b|\}.$$

Moreover

$$\begin{aligned} \left| a_3 - \frac{n+1}{n+2} a_2^2 \right| &= \left| \frac{b}{(n+1)(n+2)} \left( c_2 + b c_1^2 \right) - \frac{b^2 c_1^2}{(n+1)^2} \frac{n+1}{n+2} \right| \\ &= \left| \frac{b c_2}{(n+1)(n+2)} \right| \\ &\leq \frac{2|b|}{(n+1)(n+2)}, \end{aligned}$$

as asserted.  $\Box$ 

**Remark.** In the above Theorem a special case of Fekete-Szegö problem e.g. for real  $\mu = (n + 1)/(n + 2)$  occurred very naturally and simple estimate was obtained.

Now, we consider functional  $|a_3 - \mu a_2^2|$  for complex  $\mu$ .

**Theorem 2.3.** Let b be a nonzero complex number and let  $f \in \mathcal{F}_n(b)$ . Then for  $\mu \in \mathbb{C}$ 

$$|a_3 - \mu a_2^2| \le \frac{2|b|}{(n+1)(n+2)} \max\left\{1, \left|1 + 2b - 2\mu b \frac{n+2}{n+1}\right|\right\}.$$

For each  $\mu$  there is a function in  $\mathcal{F}_n(b)$  such that equality holds.

**Proof.** Applying (2.5) we have

$$a_{3} - \mu a_{2}^{2} = \frac{b}{(n+1)(n+2)} \left[ c_{2} + bc_{1}^{2} \right] - \mu \frac{b^{2}c_{1}^{2}}{(n+1)^{2}} \\ = \frac{b}{(n+1)(n+2)} \left[ c_{2} + bc_{1}^{2} - \frac{\mu b(n+2)}{(n+1)}c_{1}^{2} \right] \\ = \frac{b}{(n+1)(n+2)} \left[ c_{2} - \frac{c_{1}^{2}}{2} + \frac{c_{1}^{2}}{2} \left( 1 + 2b - 2\mu b \frac{n+2}{n+1} \right) \right]$$

Then, with the aid of Lemma 2.1, we obtain

$$\begin{aligned} \left|a_{3}-\mu a_{2}^{2}\right| &\leq \frac{\left|b\right|}{(n+1)(n+2)} \left[2-\frac{\left|c_{1}\right|^{2}}{2}+\frac{\left|c_{1}\right|^{2}}{2}\left|1+2b-2\mu b\frac{n+2}{n+1}\right|\right] \\ &= \frac{\left|b\right|}{(n+1)(n+2)} \left[2+\frac{\left|c_{1}\right|^{2}}{2}\left(\left|1+2b-2\mu b\frac{n+2}{n+1}\right|-1\right)\right] \\ &\leq \frac{2\left|b\right|}{(n+1)(n+2)} \max\left\{1,\left|1+2b-2\mu b\frac{n+2}{n+1}\right|\right\}.\end{aligned}$$

An examination of the proof shows that equality is attained for the first case, when  $c_1 = 0$ ,  $c_2 = 2$ , then the functions in  $\mathcal{F}_n(b)$  is given by

$$\frac{z(D^n f(z))'}{D^n f(z)} = \frac{1 + (2b - 1)z}{1 - z},$$
(2.7)

and, for the second case, when  $c_1 = c_2 = 2$ , so that

$$\frac{z(D^n f(z))'}{D^n f(z)} = \frac{1 + (2b - 1)z^2}{1 - z^2},$$
(2.8)

respectively.  $\Box$ 

We next consider the case, when  $\mu$  and *b* are real. Then we have:

**Theorem 2.4.** Let b > 0 and let  $f \in \mathcal{F}_n(b)$ . Then for  $\mu \in \mathbb{R}$  we have

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$$|a_{3} - \mu a_{2}^{2}| \leq \begin{cases} \frac{2b}{(n+1)(n+2)} \left[ 1 + 2b \left( 1 - \mu \frac{n+2}{n+1} \right) \right] & \text{if} \qquad \mu \leq \frac{n+1}{n+2}, \\ \frac{2b}{(n+1)(n+2)} & \text{if} \frac{n+1}{n+2} \leq \mu \leq \frac{(n+1)(1+2b)}{(n+2)b}, \\ \frac{2b}{(n+1)(n+2)} \left[ 2\mu b \frac{n+2}{n+1} - 1 - 2b \right] & \text{if} \qquad \mu \geq \frac{(n+1)(1+2b)}{b(n+2)}. \end{cases}$$

For each  $\mu$  there is a function in  $\mathcal{F}_n(b)$  such that equality holds.

**Proof.** First, let  $\mu \leq \frac{n+1}{n+2} \leq \frac{(1+2b)(n+1)}{2b(n+2)}$ . In this case (2.5) and Lemma 2.1 give

$$\begin{aligned} \left|a_{3}-\mu a_{2}^{2}\right| &\leq \frac{b}{(n+1)(n+2)} \left[2-\frac{|c_{1}|^{2}}{2}+\frac{|c_{1}^{2}|}{2}\left(1+2b-2\mu b\frac{n+2}{n+1}\right)\right] \\ &\leq \frac{2b}{(n+1)(n+2)} \left[1+2b\left(1-\mu\frac{n+2}{n+1}\right)\right]. \end{aligned}$$

Let, now  $\frac{n+1}{n+2} \le \mu \le \frac{(1+2b)(n+1)}{2b(n+2)}$ . Then, using the above calculations, we obtain

$$|a_3 - \mu a_2^2| \le \frac{2b}{(n+1)(n+2)}.$$

Finally, if  $\mu \geq \frac{(1+2b)(n+1)}{2b(n+2)}$ , then

$$\begin{split} \left| a_{3} - \mu a_{2}^{2} \right| &\leq \frac{b}{(n+1)(n+2)} \left[ 2 - \frac{|c_{1}|^{2}}{2} + \frac{|c_{1}^{2}|}{2} \left( 2\mu b \frac{n+2}{n+1} - 1 - 2b \right) \right] \\ &= \frac{b}{(n+1)(n+2)} \left[ 2 + \frac{|c_{1}^{2}|}{2} \left( 2\mu b \frac{n+2}{n+1} - 2 - 2b \right) \right] \\ &\leq \frac{2b}{(n+1)(n+2)} \left[ 2\mu b \frac{n+2}{n+1} - 1 - 2b \right]. \end{split}$$

Equality is attained for the second case on choosing  $c_1 = 0$ ,  $c_2 = 2$  in (2.7) and in (2.8)  $c_1 = 2$ ,  $c_2 = 2$ ,  $c_1 = 2i$ ,  $c_2 = -2$  for the first and third case, respectively. Thus the proof is complete.

**Remark.** (i) Setting  $b = 1 - \alpha$  in the above results, we get the results from [21].

As an analogue to the complex *n*th starlikeness of a complex order we may introduce the notion of *n*th convexity of a complex order as follows:

**Definition 2.1.** Let *b* be a nonzero complex number, and let *f* be an univalent of the form (1.1). We say that *f* belongs to  $\delta_n^c(b)$  if

Re 
$$\left\{1 + \frac{1}{b} \frac{z(D^n f(z))''}{(D^n f(z))'}\right\} > 0, \quad z \in \mathcal{U}.$$
 (2.9)

Using the well known Alexander relation  $f \in \delta^c \iff zf' \in \delta^*$  we easily obtain bounds of coefficients and a solution of the Fekete–Szegö problem in  $\delta_n^c(b)$ .

**Theorem 2.5.** Let b be a nonzero complex number and let  $f \in \mathscr{S}_n^c(b)$ . If f of the form (1.1) is in  $\mathscr{S}_n^c(b)$ , then

$$|a_2| \le \frac{|b|}{(n+1)},$$
  
 $|a_3| \le \frac{2(1+2|b|)|b|}{3(n+1)(n+2)},$ 

and

$$a_3 - \frac{4}{3} \frac{n+1}{n+2} a_2^2 \bigg| \le \frac{2|b|}{3(n+1)(n+2)}.$$

Reasoning in the same line as in the proof of Theorem 2.3 we obtain

**Theorem 2.6.** Let b be a nonzero complex number and let  $f \in \mathscr{S}_n^c(b)$ . Then, for  $\mu \in \mathbb{C}$  holds

$$|a_3 - \mu a_2^2| \le \frac{2|b|}{3(n+1)(n+2)} \max\left\{1, \left|1 + 2b - \mu \frac{3b}{2} \frac{n+2}{n+1}\right|\right\}$$

For each  $\mu$  there is a function in  $\mathcal{F}_n(b)$  such that equality holds.

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