Why is changing health-related behaviour so difficult?

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Article info

Article history:
Received 10 April 2015
Received in revised form 22 February 2016
Accepted 28 March 2016
Available online 13 May 2016

Keywords:
Behaviour change
Public health
Psychological theory
Social practice
Non-communicable disease

Abstract

Objective: To demonstrate that six common errors made in attempts to change behaviour have prevented the implementation of the scientific evidence base derived from psychology and sociology; to suggest a new approach which incorporates recent developments in the behavioural sciences.

Study design: The role of health behaviours in the origin of the current epidemic of non-communicable disease is observed to have driven attempts to change behaviour. It is noted that most efforts to change health behaviours have had limited success. This paper suggests that in policy-making, discussions about behaviour change are subject to six common errors and that these errors have made the business of health-related behaviour change much more difficult than it needs to be.

Methods: Overview of policy and practice attempts to change health-related behaviour.

Results: The reasons why knowledge and learning about behaviour have made so little progress in alcohol, dietary and physical inactivity-related disease prevention are considered, and an alternative way of thinking about the behaviours involved is suggested. This model harnesses recent developments in the behavioural sciences.

Conclusion: It is important to understand the conditions preceding behaviour psychologically and sociologically and to combine psychological ideas about the automatic and reflective systems with sociological ideas about social practice.

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Introduction

The short answer to the question posed in our title is that it is difficult because policy makers make it so. They do this by seeking simple non-scientific answers to complex problems. Policy makers consistently and habitually commit a number of errors when they set about changing health-related behaviour. Our evidence for this comes from England but our criticisms apply much more broadly. We draw attention to these six errors and suggest a different way of thinking about behaviour change using recent understandings derived from the social and psychological sciences.

That behaviour is critical to the health of the public is undeniable. The number of people in the world with type 2 diabetes is expected to rise from 366 million at the present time to...
Six common errors

It is just common sense

The overarching problem is what amounts to an appeal to common sense, and this appeal to common sense is not only an error in itself but also leads, we argue, to the other errors we draw attention to below. By common sense we mean the idea that understanding human behaviour is so obvious that it needs little or no serious thought. The appeal to common sense is, we suggest, deliberately anti-intellectual and anti-scientific. It sees itself as grounded in the real world and as therefore different from the woolly ideas produced by ivory tower academics, who are by definition divorced from reality. Schematically the argument is that it is obvious what needs to be done, so let us just get on and do it. So Jane Ellison, Minister for Public Health, explained in parliament that the Change4Life social marketing campaign, run by Public Health England, encourages individuals to make simple changes. “The campaign is trying to talk to people in language that makes it straightforward and easy for them to understand the good choices they can make for the health of both themselves and their family.”

Quite apart from the philistinism embedded in such arguments, they are patently false. If changing behaviour was simply about making common sense simple changes and good choices then we would all be able to make whatever changes we wanted to whenever we wanted, but we do not. So there is clearly more to it than that – ask anyone who has tried to give up smoking or lose weight. It does not matter whether the language is simple or obscure, change is difficult and requires sustained motivation and support. Just getting on and doing it, guided by a government body, is not the answer. What this kind of thinking ignores is that human behaviour is the result of the interplay between habit, automatic responses and calculation, and is located in complex social environments and cultures. Moreover the behaviours which need to be changed are sustained and nurtured by highly profitable industries selling goods which make people ill – sugar rich, energy dense fatty foods and alcoholic beverages as well, of course, as tobacco.

The discourse of common sense needs to be confronted by the observation true of all science, which is that just because something appears to be obvious and simple does not mean that we should not bother to study it. Nor does it mean that we can know little or nothing about it scientifically. Above all, we certainly should not default to simplistic ideas to answer what are actually challenging and difficult questions. With respect to human behaviour we must be clear that there is a science and more than two centuries of psychological, sociological and anthropological evidence which may be pressed into service. To ignore what we already know leads to much wasted effort and money. The assumption that we somehow intuitively know how to change behaviour and do not need to waste resources proving the obvious is wrong. Common sense has led repeatedly to ineffective interventions delivered at great cost in terms of money, resources and lost opportunities. It has also meant that the accumulated learning from the behavioural and social sciences has been ignored. (See for example the Fruit for Schools Scheme and the anti-drugs ‘Just say no’ campaign, where apparently obvious and common sense solutions foundered amidst the complexities involved and the failure to learn from the accumulated scientific evidence).
It is about getting the message across

In a slightly more sophisticated vein, some argue that changing health behaviour is simply a matter of getting the packaging of messages right. See for example the Public Health England Social Marketing Strategy.11 This strategy, although strong on the rhetoric of evidence, remains firmly located in simple non-evidence-based models of behaviour change in which messaging is the principal mechanism. The idea here is very simple. If we could only get the message out there in some form which people could understand and identify with (echoes of the Public Health Minister above), then they would change in response. However, the psychological sciences demonstrate that simple stimulus-response models explain only a small fraction of human behaviour. So the notion that response to messages says all there is to say about behaviour change is very wide of the mark. The analogy which is often drawn here is with commercial advertising. Commercial organisations spend a great deal of money promoting their products but we have to be very careful about taking the analogy too far. Commercial advertising campaigns have a variety of aims and changing immediate purchasing behaviour is only one of them. They are also designed to raise awareness, to keep a company in the consumers’ eye, to highlight new products, and the changes in the amount of market share which follow these campaigns tend to be modest – certainly not of the order of magnitude required to reverse the epidemics of type 2 diabetes, obesity and alcohol misuse. Moreover, the highly sophisticated ways in which products as different as car insurance and chocolate are advertised belies the notion that it is simply a response to a simple message. The investment in social marketing campaigns which borrow heavily from the commercial analogy – ‘Change4Life’ being one example – and their lack of tangible success suggests that the commercial analogy is flawed if applied simplistically to public health matters.9,10 We still have rising levels of obesity and NCDs and no scientific evidence that ‘Change4Life’ works.

Having said this, there have been some notably memorable and successful health campaigns down the years involving advertising: ‘Don’t die of ignorance’ in the face of the HIV epidemic in the 1980s being a very good case in point.11 But what we need to remember is that this was a multilevel strategy (as were efforts to curb tobacco smoking) and the advertising or messaging was but one part of a broad, many-pronged policy and was not the only component of the campaign. There was also a specialist agency – the Health Education Council, later the Health Education Authority – which was central in organising the campaigns and working with leading advertising agencies on the copy. It employed very sophisticated advertising and promotional approaches which were indeed modelled on commercial practice including for example long-run, multimedia campaigns to build up trust among different audiences. Regrettably the Health Education Authority was closed down in 1999 and the expertise it had developed was dissipated. A great national asset was squandered.

The key point is that purchasing a car or a tube of toothpaste is not the same kind of behaviour as making a decision to stop smoking or not to have unprotected sex. There is a great deal more to it than just getting the message across. Campaigns can have an important role and can be effective, but they are but one part of a total strategy and behaviour change is not just about simple messaging.

Knowledge and information drive behaviour

There is another related common mistake of which the behavioural science literature warns the unwary. This is to privilege the role of information from expert sources as a driver of behaviour change. It borrows from traditional medical models of the doctor–patient relationship, the basis of which is that patients have an information deficit and come to see medical practitioners to consult them for their expertise to remedy their deficiency in knowledge and understanding.12 In return they get information in the form of a diagnosis from which treatment proceeds. This is a model that works pretty well for patients with acute conditions. It tends to work less well for the chronic conditions that are the great contemporary medical challenge and where patients often have very high degrees of information and expertise,13 and is even less effective in the realm of the prevention by way of changing behaviour.14 Since for many practitioners, passing on expertise means passing on information, what this model assumes is that if we tell people the negative consequences of eating too much or exercising too little, they will change their behaviour accordingly. This is clearly not true and every front-line clinician and practitioner knows it is not true. This fundamental belief about the role of information and knowledge in determining behaviour is wrong and unscientific. Giving people information does not make them change. In the course of our research, we have conducted a number of sets of focus group discussions with young women and with those who provide services for them for example. They tell us consistently that it is not that they do not know that they and their families should be eating a healthy diet with more fruit and vegetables. What they say is that a host of other things in life get in the way of them doing this.14,15

People act rationally

A linked misapprehension is that people act rationally, and that they do what they know to be sensible and logical after critical and rational appraisal of the evidence. Our job as health educators or public health advocates is to provide the evidence. Again, this assumes that if you tell people what is good for them and what they need to do to protect their health, they will do it. However, they clearly do not. There has been a long-standing effort based on the idea of rational calculating humans, designed to change behaviour which is premised on economic utility theory. The idea of economic utility theory is that the driving force of human behaviour is that people seek to maximise their pleasure or their gains and profits and to minimise their pains, losses and costs. The formal theory was called the subjective expected utility model.16 The standard way of implementing such models in the case of health-related behaviour change was to emphasise health threats (losses or pains) and ways of protecting oneself
from those threats by changing a habit. Since the first models appeared there have been many others built on the same basic utility maximisation principle including the theory of planned behaviour, protection motivation theory, the health action process approach and stages of change. Because these theories chime so well with the individualistic conceptions of human behaviour embedded in Western culture in which self-interested actors ‘obviously’ maximise gains and minimise costs, they have enjoyed great popularity in spite of having achieved very limited success. Even where people are in possession of the information behaviour change can be very difficult. So we know that most smokers want to quit and that many people are permanently dieting in order to lose weight. But most smokers do not quit at least straight away and successful quitting takes multiple attempts. Most diets fail, not because people do not know what is supposedly good for them, but because knowledge and its rational assessment alone do not drive behaviour.

Changes in smoking and eating as well as alcohol consumption and physical activity are processes and practices embedded in social life, not one-off events triggered by information or prevented by remedying information deficits. Neither are the processes the consequence of people applying a rational calculus to their own actions. Smoking, eating, drinking and the amount of physical activity people do are ingrained in people’s everyday lives and their routines and habits. These things, to a very important extent, help people define who and what they are: their sense of self is in part derived from these activities. Likewise the identities which others bestow upon them are partly the consequence of these behaviours. The idea that simply providing people with information to make them understand things and that once they have the facts they will change their sense of who and what they are – i.e. seek to be a different person to the one they are now – is specious in the extreme.

These utility theories also ignore the fact that people sometimes act altruistically, selflessly, out of love, jealousy, fear, compassion, venality or fun. It is not all about a profit and loss calculus. The problem with this rational calculus approach is that it only deals with one part of the way the human mind functions. Humans are indeed calculating, thinking creatures and use the reflective system in their human mind functions. Humans are indeed calculating, loss calculus. The problem with this rational calculus is that this was yet another encroachment by the nanny state on the rights of individuals to choose how to live their lives, that this was a rational thought. This holds true for other health behaviours and undermines the basic assumption about the value of appealing to people’s logic if we wish to change their behaviour. Interestingly nudge did attract quite a lot of policy interest for a time, but this has not translated into policy changes to any significant degree.

### People act irrationally

However, neither is the converse true. If people do not act rationally all the time, neither are they always irrational. When someone with asthma refuses to stop smoking, we might regard them as very foolish or addicted or both. But what we tend not to see is that this may not be so irrational a decision after all given their lives and experiences. People have their own reasons for doing things. Behaviours that persist tend to be functional for people. In her seminal work, Hilary Graham noted that women who lived in very difficult circumstances with tightly constrained resources still found money for cigarettes and when asked why, said that sitting down for a smoke was the one opportunity in the day that they got a chance to do something completely indulgent for themselves. In their context, smoking was therefore not an irrational thing to do. There is a considerable literature which has examined health behaviours from the point of view of the actors involved. Whether this is about choice of food, decisions about breast feeding or walking and cycling it shows that one person’s rationality is another’s irrationality. It is arrogant to assume that people consume alcohol, chocolate, or cream cakes because they are irrational or are simply behaving thoughtlessly or stupidly. Human actors are profoundly knowledgeable about their own behaviour, they can account for it in meaningful ways which not only make sense to them, but if we take the trouble to hear those accounts, the rationality within them is clear. So it is important not to dismiss the explanations people give of what they do just because the epidemiological evidence demonstrates that what they do carries a health risk. This failure to see the issue from the perspective of ordinary people is well illustrated by the media and political response to the publication in January 2016 of the UK Chief Medical Officers draft guidelines on alcohol consumption. The scientific evidence shows that there is no absolutely safe level of alcohol consumption. This is something important for everyone to know. The level of risk is the critical thing; so what is the risk compared to say smoking or driving a car, sitting in the sun or listening to loud music? The screams from the press and the leader of UKIP that this was yet another encroachment by the nanny state on the rights of individuals to choose how to live their lives, missed the much more fundamental and important point that many people find alcohol intoxication very enjoyable and like it. It gives many of them something very positive in their lives. They derive what they consider to be benefits from alcohol which include socialising and having fun as well as intoxication. If we wish to bring down alcohol consumption that
truisms has to be the starting point, not worries about individual choice and fears of the nanny state.

**It is possible to predict accurately**

And lastly, though we have made great strides in identifying key factors which shape behaviour and in what works in changing behaviour, it is still very difficult to say with any certainty how individual people will behave in any given situation. In even the most careful of our models, a great deal of variance in individual behavioural outcomes remains. Prediction of an individual’s behaviour and predicting accurately what changes will flow from a specific stimulus are limited to a small number of highly automatic responses and to relatively short time frames. At a population level, patterns of common behaviours may be observed and the outcome of those behaviours can be seen vividly in, for example, patterns of health inequalities, tobacco and alcohol consumption and trends in these over time and place. While we can describe these patterns in great detail, however, none of this has provided sharp-edged tools with which to tackle health inequalities, the obesity epidemic or the rising tide of alcohol consumption. Knowledge about the relationships, the mechanisms operating between individual actions and societal patterns is thin and therefore offers little on which to base interventions. Important research is presently underway to elucidate mechanisms much more precisely. It remains to be seen whether, when this becomes available, it will stop policy makers falling back on platitudes about ‘getting people to change their behaviour’.

**A way forward**

So how might we better understand behaviour and behaviour change? We begin to answer this by way of an analogy – the inferences made by the famous fictional Oxford police detective, Inspector Morse. In the books and television series based on them, Morse discovers the perpetrators of murder amidst the colleges and quadrangles of that beautiful city. The genre is familiar and helps to illustrate the central argument of our paper. The fictional stories take us on a journey in the company of Morse and his assistant Lewis, during which we witness the forensic unpicking of the conditions preceding the murder. On the discovery of the corpse Morse works backwards in time to understand the reasons for and the conditions which preceded the death and then to identify the murderer. Morse works by constructing a series of narratives about possible suspects and follows in reverse time, several potential causal pathways till he is able to construct a definitive explanation. What he does not do once the body is discovered is predict how many more corpses will turn up (although with the apparent propensity for foul play in the fictional version of the city of dreaming spires, perhaps he ought to). More seriously, English public health policy is often driven by a naïve desire to predict things, (if we run campaigns using simple words that people will understand about the choices they have, then they will change for the better) rather than an Inspector Morse-like determination to understand what led to the things happening in the first place. Prediction is simple; it is far less effective and accurate than unravelling the cause.

To pursue the metaphor let us think for a moment like Inspector Morse and look backwards in time to understand human conduct. Although the behavioural and medical sciences are dominated by predictive causal models, as are politics, ordinary human reasoning does not work like this. Ordinarily humans, when seeking to explain why things are the way they are, think like Inspector Morse – they work to understand the immediate preceding conditions and then the conditions which preceded those and so on. So if they are running late for work for example, they might ask themselves why, and the answer might be that they missed their train. Then they may ask why did they miss their train and the answer might be that they got up late. They might then ask why did they oversleep and get up late and the answer might be that they were out late the night before and so on and so on. They do not think about prediction other than perhaps to wonder if they are going to get reprimanded by their boss for being late. This forensic or regressive form of inference works in the opposite direction to a predictive model, although is premised on the same underlying idea of events in time.

Scientifically this approach can be illuminating where social and psychological factors are implicated. So it starts with an event (B) – a behaviour – and seeks to understand and articulate the preceding conditions which led to that behaviour, rather than starting with the preceding event and predicting the behaviour. It looks at B, (or C or D or E) and tries to articulate what happened prior to B, C, D, or E. It then seeks to see what happened before that along with the network of other things the behaviour is linked to. This regressive inference approach is premised on the notion that things do not happen in a random or chance way; social relations and social practices are patterned. They happen because of preceding events. However there is always some uncertainty about the constellation or figurations of those preceding events and how far one might regress backwards in time. But in the case of much human behaviour and especially the health-related behaviours of interest in this paper, through careful observation preceding causal events can be inferred; although only ever imperfectly. In pure form this is entirely inductive, empirical and *a posteriori*. This is the type of model which ought to be dominant in public health where behaviour is involved. It acknowledges complexity and the fact that in the social, political and economic worlds, the ability to predict very accurately other than in the most short-term of circumstances is very limited, but that understanding preceding conditions in the form of patterns and practices is usually a useful way of thinking about what might be done to change things. This is the corollary of understanding the reasons why people do what they do from their perspective, rather than that of the scientific observer.

To demonstrate the usefulness of this approach in the worlds of medicine and public health, let us consider the question of alcohol consumption. Habitually policy makers and politicians refer to the misuse of alcohol as if the consumption of alcohol was a single behaviour and as if it was possible to find a single solution to the problem of alcohol misuse. With alcohol there are at least three different public
health issues. First, the overall levels of alcohol consumption in the population which have been increasing over decades and carry elevated risk for the population of all drinkers because there is no absolutely safe level of alcohol consumption. Second, very high levels of alcohol consumption in a subset of the population where organ damage is the consequence and where the physical and psychological sequelae of heavy drinking over many years are palpable and will eventually be fatal. Third, the relationship between so-called binge drinking, especially among young people and public disorder and negative health outcomes. It is the two latter forms of behaviour which are a lighted on by apologists for the drinks industry in the form of calls for more education, and for consumers to drink responsibly! Leaving aside the question of whether the industry itself might just behave more responsibly, these three different public health issues immediately suggest that the origins, the preceding conditions, of the patterns of behaviour may be different.

To further disassemble this we need (just like Inspector Morse) to describe the preceding conditions of consumption among different groups, communities, age groups, occupations, even families – from their perspectives. Alcohol consumption and patterns of behaviour associated with consumption are highly variegated across different social groups. So what happens at a Jewish wedding, in a student union bar in Freshers’ week, in an English country pub on a quiet Tuesday lunchtime, at an Oxford college High Table, at a club for young people on a Saturday evening, on a cruise ship providing holidays for gay and lesbian people, at a middle class dinner party, in a town centre pub where a group of middle-aged male manual workers are drinking after work, will all be different. What is drunk, how much is drunk, what behaviour goes on around the drinking, the degree to which intoxication is encouraged, tolerated, ignored or discouraged are all highly nuanced features of the micro-social structures of each of these settings. All have immediate preceding conditions and all have more distant preceding conditions and of course individuals may, and indeed are very likely to inhabit several, and many other situations on different occasions in their lives.

The different patterning of behaviour manifested in these various groups and cultural milieu act as an important point of reference for the automatic and reflective psychological processes which operate. There will be both automatic and reflective actions within each of these contexts which will explain individual level consumption which will in turn link to alcohol-related disease and overall patterns of population consumption. Most humans inhabit multiple social structures and are highly adept at adapting their reflective responses accordingly. They tend to be less adept at controlling their automatic responses and, in the case of intoxication, less able to do so the more intoxicated they become. It is clearly pointless to try to design interventions to prevent excessive consumption on the basis of some a priori social category like social class or age or some overarching universal theory or model. It is even more pointless to provide messages on bottles and advertising materials advising people to drink sensibly when they are already intoxicated and acting on the basis of a disabled automatic system and a reflective system which is disengaged till they sober up. Starting with the behaviour, identifying who is behaving and where, and working backwards using regressive inference is a much more profitable avenue for developing interventions. Interventions to deal with alcohol use must reflect the specifics and understand the preceding conditions of the specifics, like Inspector Morse, rather than try to develop interventions on a single unilinear model of causation based on long range predictions about hoped for behaviour change.

Conclusion

All this is to say that predicting behaviour and supporting behaviour change is neither obvious nor common sense. It requires careful, thoughtful science that leads to a deep understanding of the nature of what motivates people and the social and economic pressures that act upon them. If we understand these, we are better able to support them to change. In this respect, there have been major advances in recent years. Health psychology has made huge progress in identifying what it takes to change health behaviour.7 Interventions to alter ‘choice architecture’ arising from the concept of nudge are an effective solution to altering some population-level behaviours in ways that improve public health. The scrupulous analysis of behaviour change techniques has produced a behaviour change taxonomy that has advanced our understanding of mechanisms and of supporting change in the behaviour of individuals.7,56,59

There have also been important developments in sociology and in particular the conception of social practice. This conceptualises behaviour not as something that can be reduced down to things that individuals do and think as if they were isolated from others. It sees the relations between individuals and groups and institutions as the starting point and conceptualises things like smoking as a shared practice, consisting of relationships between interacting people but which importantly exists above and beyond the individuals who do it. Smoking (like eating and drinking alcohol consumption) exists across time. New individuals are regularly recruited to the practice which is sustained and supported as new recruits learn how to use the materials, how to relate to the body of other people doing the smoking and what it all means. The practice transits across time and space and only fundamentally changes when the links between parts of the activity and its networks to other things get broken and changed – as, for example, when smoking ceased being primarily defined as glamorous and tough and became defined as a health problem and as socially undesirable. All of which happened many decades after the scientific information became available about the lethal consequences of the practice. When the practice changed people changed with it. The practice did not change because the evidence said it was harmful. The breaking up of the links within the practice of smoking has been the key to public health success which the decline in rates of smoking in recent decades has been. The industry and the advertisers were confronted head on. People were helped to manage their addiction and tobacco was made very expensive. Behaviour change was involved, but as one part of a multilevel multifranged approach to the totality of smoking as a practice.37 It was certainly not simple, easy or quick. Had it been, the tobacco epidemic would have been stopped in its tracks in the early 1950s. We will need similarly
comprehensive and robust approaches to deal with obesity, alcohol misuse and the consequences of physical inactivity, not facile and simplistic platitudes.

The integration of the insights from contemporary psychological theory, especially the distinction between the automatic and reflective responses and social practice theory from sociology, offers perhaps the most exciting new territory for public health interventions. In schematic terms, it means disaggregating broad behaviours like eating and drinking, breaking them down in time and place where different expressions of these behaviours occur. It then involves constructing accounts of the typical preceding conditions for those behaviours. The next step is to consider the extent to which automatic and reflective processes are at work. It involves identifying the elements in the practices, the infrastructures, the meanings and the competencies exhibited by the people doing the behaviour and determining where the links between these things might be disconnected — and indeed whether they ought to be disconnected at all! It then involves thinking of the mechanisms which might be disconnected and the networks that need to be disrupted provides guidance on the type of interventions which might be applied. Treating the people doing the behaviours, not as cultural dopes, but as knowledgeable actors whose understanding of their own conduct is important is the sine qua non of unravelling the connections. This analytic and self-consciously academic approach turns on its head the idea that it is enough to give people information, however simple or plain the language, and tell them what they need to do to produce change in health behaviour. As a consequence, we need to rethink the way we as health professionals work with the public. In Southampton, we have developed and tested a method of individual support for patients and clients of health and social care services that steers away from information giving and towards empowering and motivating individuals to generate their own solutions to their problems. This approach is looking promising in its ability to produce sustained changes in the way health and social care staff support behaviour change, and its impact on the lifestyles of different population groups is being tested.9,10

Current public health policy stresses the potential of cumulative, small changes in individual behaviour to produce significant advancements in population health. The Behavioural Insights Team or ‘Nudge Unit’ advocates for changes in health behaviour through manipulations of small environmental cues. The movement in the NHS to ‘make every contact count’ recognises the opportunity that practitioners have to improve public health through supporting behaviour change in the millions of people with whom they come into contact.42 We need to do more. It seems an appropriate moment to harness recent advances in behavioural science in the battle against the rising tide of NCDs threatening to engulf us.

Author statements

Acknowledgements

MPK formulated the original idea about six errors during his tenure (2005–2014) as Director of the Centre for Public Health at the National Institute for Health and Care Excellence (NICE) where he worked directly with ministers, politicians and civil servants in trying to move public health behaviour change interventions onto an evidence based footing. MB helped to develop the argument and the ideas. Both MPK and MB were involved in drafting and redrafting the paper and contributed equally to the final manuscript. The final manuscript has been approved by both authors. The views expressed in the paper are those of the authors and not of NICE or the MRC.

Ethical approval

Ethical approval was not required for this work as no new empirical data were collected.

Funding

No funding was received to support the writing of this paper.

Competing interests

The authors have no competing or conflicting interests.

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