

# On a Generalization of Azumaya's Exact Rings\*

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## INTRODUCTION

Azumaya [A] introduced (Artinian) exact rings, and Camillo *et al.* [CFH] adapted this exactness to bimodules (with a composition series). Now we define (arbitrary) exact bimodules. Let  $R$  and  $S$  be two rings. We call a bimodule  ${}_R M_S$  an *exact bimodule* in the case that for any submodules  $N < L \leq {}_R M_S$  if  ${}_R(L/N)_S$  is simple then it is balanced. A ring  $R$  is called an *exact ring* in the case that the bimodule  ${}_R R_R$  is exact. If a bimodule  ${}_R M_S$  has a composition series, then our exactness coincides with that given in [CFH]. In particular, if  $R$  is either left or right Artinian, then exact rings are just the exact rings studied by Azumaya [A].

This paper consists of three sections. In the first section, some basic properties of exact bimodules and exact rings are obtained; e.g., we observe that exact bimodules and exact rings are preserved under Morita equivalence, and that the  $n$  by  $n$  upper triangular matrix rings over an exact ring are still exact rings. In the second section, we prove that a Noetherian and locally distributive semiperfect ring  $R$  is an exact ring, and that  $R$  has a Morita duality if it is linearly compact. In the final section, we show that another class of exact rings, namely linearly compact duo rings, also have Morita duality, which generalizes a recent result of Ánh [A1]. Our generalization is based on a result of [A2] and some ideas of [A1].

Throughout this paper, all rings are associative and have identity elements and all modules are unitary. We freely use terminologies and notations of [AF].

## 1. EXACT BIMODULES AND EXACT RINGS

Modifying the proof given in [CFH], we note the following

**PROPOSITION 1.1.** *Let  $F: \text{Mod-}S \rightarrow \text{Mod-}T$  define a Morita equivalence. Then (1) a bimodule  ${}_R M_S$  is exact if and only if the bimodule  ${}_R F(M)_T$  is exact, and (2)  $S$  is an exact ring if and only if  $T$  is an exact ring.*

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COROLLARY 1.2. *Let  ${}_R M_S$  be a bimodule. If  $S$  is a semiperfect ring with a basic idempotent  $v$ , then  ${}_R M_S$  is exact if and only if the bimodule  ${}_R M_{vSv}$  is exact.*

*Proof.* Since  $\text{Hom}_S(vS, -): \text{Mod-}S \rightarrow \text{Mod-}vSv$  defines a Morita equivalence, the bimodule  ${}_R M_S$  is exact if and only if the bimodule  ${}_R M_{vSv} \cong \text{Hom}_S(vS, M)$  is exact by Proposition 1.1.

However, for an arbitrary idempotent  $e$  of  $S$ , we have the following result which is a generalization of [CFH, Lemma 1.4].

LEMMA 1.3. *Let  ${}_R M_S$  be an exact bimodule and  $e$  an idempotent of  $S$  such that  $Me \neq 0$ . Then the bimodule  ${}_R Me_{eSe}$  is exact too.*

*Proof.* Let  $A$  be a submodule of  ${}_R Me_{eSe}$  and  $B$  a maximal submodule of  $A$ ; i.e.,  ${}_R(A/B)_{eSe}$  is a simple bimodule. Let  $L = AS$ . Then  $L$  is clearly a submodule of  ${}_R M_S$  and we have

$$Le = ASe = AeSe = A.$$

Consider the family  $F$  of those submodules  $Y$  of  ${}_R L_S$  which satisfy  $Ye = B$ ;  $F$  is non-empty because  $Y = BS$  satisfies

$$Ye = BSe = BeSe = B.$$

Let

$$Y_1 \subseteq Y_2 \subseteq Y_3 \subseteq \dots$$

be an ascending chain of submodules in  $F$ . Then  $\cup_i Y_i$  is a submodule of  ${}_R L_S$  and  $(\cup_i Y_i)e = \cup_i Y_i e = B$ . Therefore, by Zorn's lemma, there exists a maximal member, say  $N$  in  $F$ . Let  $X$  be a submodule of  ${}_R L_S$  which properly contains  $N$ . Then  $B = Ne \subseteq Xe \subseteq Le = A$ , and  $B \neq Xe$  by the maximality of  $N$ . Since  $B$  is a maximal submodule of  ${}_R A_{eSe}$  and  $Xe$  is clearly a submodule of  ${}_R A_{eSe}$ , it follows that  $Xe = A$  and hence  $XeS = AS = L$ . This, together with the fact that  $XeS \subseteq X \subseteq L$ , implies that  $X = L$ . Thus we know that  $N$  is a maximal submodule of  ${}_R L_S$ , i.e.,  ${}_R(L/N)_S$  is a simple bimodule. Since  ${}_R M_S$  is an exact bimodule, it follows that  ${}_R(L/N)_S$  is balanced. Therefore, by [CFH, Lemma 1.4] (or virtually, by what is proved in the latter half of the lemma), the bimodule  ${}_R(L/N)_{eSe}$  is balanced. If we observe that  $Le \cap N = Ne$  (since  $e$  is an idempotent), we have that

$$(L/N)e = (Le + N)/Ne \cong Le/(Le \cap N) = Le/Ne = A/B$$

as  $(R - eSe)$ -bimodules. Thus  ${}_R(A/B)_{eSe}$  is balanced, which proves that  ${}_R Me_{eSe}$  is exact.

**COROLLARY 1.4.** *If  $R$  is an exact ring and  $e$  is a non-zero idempotent of  $R$  then  $eRe$  is an exact ring too.*

Now we consider exactness related to Morita duality. A presentation of Morita duality can be found in [AF, Sections 23 and 24] or [X3]. Using a slightly different approach, we generalize [X2, Lemma 4] as follows. (The condition that  ${}_R M$  is Noetherian should be added to [X2, Lemma 4 and Theorem 5].)

**LEMMA 1.5.** *Let  ${}_R U_S$  define a Morita duality. If  ${}_R M_T$  is an exact bimodule and  ${}_R M$  is a Noetherian module, then the bimodule  ${}_T M_S^* = \text{Hom}_R(M, U)$  is exact.*

*Proof.* Suppose  $N < L \leq_T M_S^*$  and  ${}_T(L/N)_S$  is simple. Then  ${}_R(L/N)_T^*$  is a simple bimodule. By the exactness of  ${}_R M_T \cong {}_R M_T^{**}$ , we see that the simple bimodule  ${}_R(L/N)_T^*$  is balanced. Since  ${}_R(L/N)^*$  is a factor module of a submodule of the Noetherian module  ${}_R M^{**}$ ,  ${}_R(L/N)^*$  is Noetherian too, and then  $J(R)(L/N)^*$  is a proper submodule of  ${}_R(L/N)_T^*$ . Hence  $J(R)(L/N)^* = 0$  and  ${}_R(L/N)^*$  is semisimple. It follows that  ${}_R(L/N)^*$  has finite length, and then  $(L/N)_S$  has finite length too. Now let  $f \in \text{End}((L/N)_S)$ . Then  $f^* = \text{Hom}_S(f, U) \in \text{End}({}_R(L/N)^*)$ , so there exists a  $t \in T$  such that  $f^*(x) = xt$  for all  $x \in (L/N)^*$ . It follows that  $f^{**}(y) = ty$  for all  $y \in (L/N)^{**}$ . Hence  $f(z) = tz$  for all  $z \in L/N$ . By [CFH, Proposition 1.1 (a)  $\Rightarrow$  (b)],  ${}_T(L/N)_S$  is balanced, and so  ${}_T M_S^*$  is an exact bimodule.

**COROLLARY 1.6.** *Let  ${}_R U_S$  define a Morita duality. Then*

- (1) if  $R$  is exact and left Noetherian then the bimodule  ${}_R U_S$  is exact; and
- (2) if  $R$  is an exact Artinian ring then so is  $S$ .

We do not know if the condition that  ${}_R M$  is Noetherian in Lemma 1.5 can be weakened to that  ${}_R M$  is  $U$ -reflexive. Similarly, we do not know if the Noetherian and Artinian conditions in Corollary 1.6 can be deleted.

The following result was observed in [CFH] for a bimodule with a composition series.

**PROPOSITION 1.7.** *Let  $X \leq_R M_S$ . Then  ${}_R M_S$  is exact if and only if both  ${}_R X_S$  and  ${}_R(M/X)_S$  are exact.*

*Proof.* ( $\Rightarrow$ ) Clearly.

( $\Leftarrow$ ) Suppose  $N < L \leq_R M_S$  and  ${}_R(L/N)_S$  is simple. We have a canonical monomorphism of  $(R - S)$ -bimodules

$$f: (L \cap X)/(N \cap X) \hookrightarrow L/N$$

and a canonical epimorphism of  $(R - S)$ -bimodules

$$g: L/N \rightarrow (L + X)/(N + X).$$

If  $(L \cap X)/(N \cap X) \neq 0$ ,  $f$  is an isomorphism, and then  ${}_R((L \cap X)/(N \cap X))_S$  is simple. Since  ${}_R X_S$  is exact,  ${}_R((L \cap X)/(N \cap X))_S$  is balanced, and so is  ${}_R(L/N)_S$ .

If  $(L + X)/(N + X) \neq 0$ ,  $g$  is an isomorphism, and then  ${}_R((L + X)/(N + X))_S$  is simple. Since  ${}_R(M/X)_S$  is exact,  ${}_R((L + X)/(N + X))_S$  is balanced, and so is  ${}_R(L/N)_S$ .

If both  $L \cap X = N \cap X$  and  $L + X = N + X$ , the modularity asserts that  $L = N$ , which is a contradiction.

**COROLLARY 1.8.** *Let  $R$  be a ring with an  $R$ -bimodule  ${}_R M_R$ . If  $R \alpha M$  is the trivial extension ring of  $R$  by  $M$ , then  $R \alpha M$  is an exact ring if and only if  ${}_R M_R$  is an exact bimodule and  $R$  is an exact ring.*

**COROLLARY 1.9.** *Let  ${}_R M_S$  be a bimodule. Then the formal triangular matrix ring  $T = \begin{bmatrix} R & M \\ 0 & S \end{bmatrix}$  is an exact ring if and only if  ${}_R M_S$  is an exact bimodule and both  $R$  and  $S$  are exact rings. In particular, the  $n$  by  $n$  upper triangular matrix rings over an exact ring are still exact rings.*

## 2. LOCALLY DISTRIBUTIVE RINGS

In this section, we shall prove two results for locally distributive rings (Theorems 2.6 and 2.9). To do so, we need some preparation. Let  $S$  be a semiperfect ring with (Jacobson) radical  $J$ . Two idempotents  $e$  and  $f$  in  $S$  are said to be *isomorphic* in case  $Se \cong Sf$  as left- $S$ -modules; equivalently,  $eS \cong fS$  as right- $S$ -modules. In this event, if  ${}_R M_S$  is a bimodule then  ${}_R Me \cong {}_R M \otimes_S Se \cong {}_R M \otimes_S Sf \cong {}_R Mf$ , hence  $Me \neq 0$  if and only if  $Mf \neq 0$ .

**LEMMA 2.1.** *Let  ${}_R M_S$  be a simple bimodule over a ring  $R$  and a basic semiperfect ring  $S$ . Suppose that  $M_S$  is annihilated by the radical  $J$  of  $S$ :  $MJ = 0$ . Then there is a primitive idempotent  $e$  of  $S$  such that  $Me \neq 0$ , or equivalently  $Me = M$ . For this  $e$ ,  ${}_R M_{eSe}$  is simple, and it is balanced if and only if  ${}_R M_S$  is balanced. Moreover,  $M$  is simple even as a left  $R$ -module.*

*Proof.* That  $M$  satisfies  $MJ = 0$  implies that  ${}_R M_S$  can be regarded as a bimodule  ${}_R M_{\bar{S}}$  in the natural manner, where  $\bar{S} = S/J$ . Since  $S$  is basic,  $1$  is a sum of pairwise orthogonal and non-isomorphic primitive idempotents  $e_1, \dots, e_n$  of  $S$ . Then, taking cosets mod  $J$ ,  $\bar{1} = \bar{e}_1 + \dots + \bar{e}_n$  with pairwise orthogonal and non-isomorphic primitive central idempotents

$\bar{e}_1, \dots, \bar{e}_n$  of  $\bar{S}$ . Let  $Me_i \neq 0$  for some  $e_i$ . Then  $Me_i = M\bar{e}_i = M\bar{S}\bar{e}_i = M\bar{e}_i\bar{S}$ , which is a submodule of the simple bimodule  ${}_R M_S$  and so we have  $Me_i = M$ . From this it follows that  $Me_j = Me_i e_j = 0$  for  $j \neq i$ . We now put  $e = e_i$  for simplicity. Then  $M = Me = M\bar{e}$  implies that  $M$  can be regarded either as a bimodule  ${}_R M_{eSe}$  or as a bimodule  ${}_R M_{\bar{e}\bar{S}\bar{e}}$ . Let  $x$  be any element of  $M = Me$ . Then  $x = xe$ . Therefore, for every  $s \in S$ , we have  $sx = xes = xese = x\bar{e}\bar{S}\bar{e}$ . From these equalities it follows immediately that (a) the bimodules  ${}_R M_{eSe}$  and  ${}_R M_{\bar{e}\bar{S}\bar{e}}$  are also simple, (b)  ${}_R M_S$  is right balanced if and only if  ${}_R M_{eSe}$  is right balanced if and only if  ${}_R M_{\bar{e}\bar{S}\bar{e}}$  is right balanced, and (c) the endomorphism rings of the right modules  $M_S$ ,  $M_{eSe}$ , and  $M_{\bar{e}\bar{S}\bar{e}}$  are exactly the same and therefore  ${}_R M_S$  is left balanced if and only if  ${}_R M_{eSe}$  is left balanced if and only if  ${}_R M_{\bar{e}\bar{S}\bar{e}}$  is left balanced. So assume now that  ${}_R M_S$ , or equivalently  ${}_R M_{\bar{e}\bar{S}\bar{e}}$ , is left balanced. Let  $x$  be any non-zero element of  $M$ . Then, since  $\bar{e}\bar{S}\bar{e}$  is a division ring,  $x\bar{e}\bar{S}\bar{e}$  is a direct summand of the right module  $M_{\bar{e}\bar{S}\bar{e}}$ . Let  $y$  be any element of  $M$ . Then there is an  $\bar{e}\bar{S}\bar{e}$ -homomorphism  $x\bar{e}\bar{S}\bar{e} \rightarrow M$  which maps  $x$  to  $y$  (because  $\bar{e}\bar{S}\bar{e}$  is a division ring). So it can be extended to an endomorphism of  $M_{\bar{e}\bar{S}\bar{e}}$ . Since  ${}_R M_{\bar{e}\bar{S}\bar{e}}$  is left balanced, the endomorphism is induced by the left multiplication of an element  $r$  of  $R$  so that we have  $rx = y$ . This shows that  ${}_R M$  is a simple left module.

**PROPOSITION 2.2.** *Let  ${}_R M_S$  be a simple bimodule over a ring  $R$  and a semiperfect ring  $S$ . Suppose that either  $M_S$  is finitely generated or  $S$  is one-sided perfect. Then there is a primitive idempotent  $e$  of  $S$  such that  $Me \neq 0$ . For such an  $e$ , the bimodule  ${}_R Me_{eSe}$  is simple, while  ${}_R Me_{eSe}$  is balanced if and only if  ${}_R M_S$  is balanced. If  $f$  is another primitive idempotent of  $S$  then  $Mf \neq 0$  if and only if  $e$  and  $f$  are isomorphic if and only if  ${}_R Me \cong {}_R Mf$ .*

*Proof.* Since  $J$  is an ideal of  $S$ ,  $MJ$  is a submodule of the simple bimodule  ${}_R M_S$ . So we have either  $MJ = M$  or  $MJ = 0$ . Hence we must have  $MJ = 0$  if  $M_S$  is finitely generated. If  $S$  is right perfect,  $MJ \neq M$  by [AF, Lemma 28.3], hence  $MJ = 0$  in this case. If  $S$  is left perfect,  $\text{Soc}(M_S) \neq 0$  by [AF, Theorem 28.4], hence  $MJ = 0$  in this case too. We have thus shown that  $MJ = 0$  under our assumption. But this means that  $M$  can be regarded as a bimodule  ${}_R M_{\bar{S}}$  in the natural manner. Since  $S$  is semiperfect,  $Me \neq 0$  for some primitive idempotent  $e$  of  $S$ . Then  $MeS = M$  since  ${}_R M_S$  is simple. Let  $f$  be another primitive idempotent of  $S$  which is not isomorphic to  $e$ . Then  $\bar{e}$  and  $\bar{f}$  are also non-isomorphic primitive idempotents of  $\bar{S}$ , which implies that  $\bar{e}\bar{S}\bar{f} \cong \text{Hod}_{\bar{S}}(\bar{S}\bar{e}, \bar{S}\bar{f}) = 0$ . Thus we know that  $Mf = MeSf = M\bar{e}\bar{S}\bar{f} = 0$ . It follows from this that if  $f$  is a primitive idempotent of  $S$  such that  $Mf \neq 0$  then  $e$  and  $f$  are isomorphic. Conversely, if  $e$  and  $f$  are isomorphic then, as we mentioned at the

beginning of this section, we have  ${}_R Me \cong_R Mf$ . Clearly, if  ${}_R Me \cong_R Mf$  then  $Mf \neq 0$ .

Let  $e$  be a primitive idempotent of  $S$  such that  $Me \neq 0$  as above. As is easily seen, there is a basic idempotent  $v$  of  $S$  such that  $e$  is contained in the basic ring  $vSv$ , or equivalently  $ve = ev = e$ . Consider then the simple bimodule  ${}_R Mv_{vSv}$ . By Corollary 1.2,  ${}_R M_S$  is balanced if and only if  ${}_R Mv_{vSv}$  is balanced. On the other hand, since  $MJ = 0$ , it follows that  $MvuJv = 0$ . But  $vJv$  is the radical of  $vSv$  and besides  $Mve = Me \neq 0$ . Therefore we can apply Lemma 2.1 to  $Mv$  and  $vSv$  instead of  $M$  and  $S$  respectively to know that  ${}_R Me_{eSe} = {}_R Mve_{e_{vSv}ve}$  is simple and  ${}_R Me_{eSe}$  is balanced if and only if  ${}_R Mv_{vSv}$  is balanced. This completes the proof.

**PROPOSITION 2.3.** *Let  ${}_R M_S$  be a bimodule over a ring  $R$  and a semiperfect ring  $S$ . Suppose that  $M_S$  is Noetherian or  $S$  is one-sided perfect. Then the following are equivalent:*

- (1)  ${}_R M_S$  is exact;
- (2)  ${}_R Me_{eSe}$  is exact for any primitive idempotent  $e$  of  $S$  such that  $Me \neq 0$ ;
- (3) For every primitive idempotent  $e$  of  $S$  for which  $Me \neq 0$  there exists a primitive idempotent  $f$  in  $S$  such that  $e$  is isomorphic to  $f$  and  ${}_R Mf_{fSf}$  is exact.

*Proof.* (1)  $\Rightarrow$  (2) by Lemma 1.3. (2)  $\Rightarrow$  (3) is clear. (3)  $\Rightarrow$  (1). Suppose  $Y < X \leq {}_R M_S$  and  ${}_R (X/Y)_S$  is simple. Then by Proposition 2.2 there exists a primitive idempotent  $e$  in  $S$  such that  $(X/Y)e \neq 0$  whence  $Me \neq 0$ . Then by the assumption, we can find a primitive idempotent  $f$  in  $S$  such that  $e$  and  $f$  are isomorphic, or equivalently,  $(X/Y)f \neq 0$  whence  $Mf \neq 0$  and  ${}_R Mf_{fSf}$  is exact. Since  $(X/Y)f \neq 0$ ,  ${}_R (X/Y)f_{fSf}$  is simple by Proposition 2.2. But that  ${}_R (X/Y)f_{fSf} \cong {}_R (Xf/Yf)_{fSf}$  and  ${}_R Mf_{fSf}$  is exact implies that  ${}_R (X/Y)f_{fSf}$  is balanced. Therefore  ${}_R (X/Y)_S$  is balanced again by Proposition 2.2.

**COROLLARY 2.4.** *Let  $R$  be a Noetherian semiperfect ring or a one-sided perfect ring. Then the following are equivalent:*

- (1)  $R$  is exact;
- (2)  ${}_e R_e eRf_{fRf}$  is exact or 0 for all primitive idempotents  $e$  and  $f$  of  $R$ ;
- (3) there is a basic set of primitive idempotents  $e_1, \dots, e_n$  of  $R$  such that  ${}_{e_i R e_i} (e_i R e_j)_{e_i R e_j}$  is exact or zero for all  $i, j \in \{1, \dots, n\}$ .

**COROLLARY 2.5.** *Let  ${}_R M_S$  be a bimodule over a ring  $R$  and a semiperfect ring  $S$ . Suppose that  $M_S$  is Noetherian or  $S$  is one-sided perfect. Suppose that*

$e$  is a non-zero idempotent of  $S$  and  $1 - e \neq 0$ , i.e.,  $Me \neq 0$  and  $M(1 - e) \neq 0$ . Then  ${}_R M_S$  is exact if and only if both  ${}_R Me_{eSe}$  and  ${}_R M(1 - e)_{(1-e)S(1-e)}$  are exact.

*Proof.* The “only if” is a consequence of Lemma 1.3. So assume that both  ${}_R Me_{eSe}$  and  ${}_R M(1 - e)_{(1-e)S(1-e)}$  are exact. Let  $e = e_1 + \cdots + e_k$  and  $1 - e = e_{k+1} + \cdots + e_n$  be decompositions of  $e$  and  $1 - e$  as sums of pairwise orthogonal primitive idempotents. Then by Lemma 1.3 again we see that each  ${}_R (Me_i)_{e_i S e_i}$  is exact or zero,  $1 \leq i \leq n$ . Let  $e$  be any primitive idempotent of  $S$  such that  $Me \neq 0$ . Then there is an  $e_i$  which is isomorphic to  $e$ . Then  $Me_i \neq 0$  and  ${}_R (Me_i)_{e_i S e_i}$  is exact. By Proposition 2.3,  ${}_R M_S$  is exact.

A module is called *distributive* in the case that its lattice of submodules is distributive. Fuller [F, Lemma 4] proved that a module  ${}_R M$  over a semiperfect ring  $R$  is distributive if and only if  ${}_e R e e M$  is uniserial for each primitive idempotent  $e$  of  $R$ . A ring  $R$  is called *locally distributive* in the case the modules  ${}_R R$  and  $R_R$  are direct sums of the distributive modules.

It is proved in [CFH] that Artinian locally distributive rings are exact. A slight generalization is given as follows.

**THEOREM 2.6.** *If  $R$  is a Noetherian and locally distributive semiperfect ring, then  $R$  is exact.*

*Proof.* Let  $e$  and  $f$  be primitive idempotents of  $R$  such that  $eRf \neq 0$ . Suppose  $N < L \leq {}_e R e e R f f R f$  and  ${}_e R e (L/N)_{f R f}$  is simple. Since  $R$  is Noetherian, both  ${}_e R e e R f$  and  $e R f f R f$  are Noetherian, and hence both  ${}_e R e (L/N)$  and  $(L/N)_{f R f}$  are finitely generated. Therefore we have  $e J e (L/N) \neq L/N$ , where  $J$  and so  $e J e$  are radicals of  $R$  and  $e R e$  respectively. But since  $e J e (L/N)$  is a submodule of the simple bimodule  ${}_e R e (L/N)_{f R f}$ , it follows that  $e J e (L/N) = 0$ . This means that  $L/N$  can be regarded as a left module over the division ring  $\bar{e} \bar{R} \bar{e} = e R e / e J e$ . On the other hand, since  ${}_R R f$  is distributive,  ${}_e R e e R f$  whence  ${}_e R e (L/N) = \bar{e} \bar{R} \bar{e} (L/N)$  is uniserial by [F, Lemma 4]. Since  $L/N$  is a vector space over the division ring  $\bar{e} \bar{R} \bar{e}$ , equivalently the left module  ${}_e R e (L/N)$  is one-dimensional over  $\bar{e} \bar{R} \bar{e}$ , or equivalently the left module  ${}_e R e (L/N)$  is simple. Similarly we can see that  $(L/N)_{f R f}$  can be regarded as a right vector space over the division ring  $\bar{f} \bar{R} \bar{f} = f R f / f J f$ , and indeed  $L/N$  is one-dimensional over  $\bar{f} \bar{R} \bar{f}$  or equivalently  $(L/N)_{f R f}$  is simple. Therefore it follows from [CFH, Lemma 2.1( $\Leftarrow$ )] that the bimodule  ${}_e R e (L/N)_{f R f}$  is balanced. Thus  ${}_e R e e R f f R f$  is exact, and so  $R$  is exact by Corollary 2.4.

The next example shows that the one-sided Noetherian condition in Theorem 2.6 is not enough to insure exactness, even for a serial ring with self-duality.

EXAMPLE 2.7. Let  $F$  be a field. Let  $F[[x]]$  be the ring of a formal power series ring of one indeterminate  $x$  over  $F$ , and let  $F((x))$  be the quotient field of  $F[[x]]$ . Let  $R = \begin{bmatrix} F((x)) & F((x)) \\ 0 & F[[x]] \end{bmatrix}$ , which is a basic semiperfect ring. By [M] or [M2],  $R$  has self-duality. Since  $F((x))$  is a uniserial module over  $F[[x]]$ , one notes that  $R$  is a (two-sided) serial ring. In the simple bimodule  ${}_{F((x))}F((x))_{F[[x]]}$  we see that the canonical ring homomorphism  $F[[x]] \rightarrow \text{End}({}_{F((x))}F((x)))$  is not surjective, so the bimodule  ${}_{F((x))}F((x))_{F[[x]]}$  is not exact. Hence  $R$  is not an exact ring by Corollary 1.9. We note that  $R$  is left Noetherian but not right Noetherian.

A bimodule  ${}_R M_S$  is called *left (right) duo* in the case each  $R$ -submodule ( $S$ -submodule) of  $M$  is a bi-submodule, and it is called *duo* in the case it is both left and right duo. Clearly,  ${}_R M_S$  is duo if and only if  $Rm = mS$  for each  $m \in M$ . A ring  $R$  is called a *left duo (right duo, duo) ring* in the case the bimodule  ${}_R R_R$  is left duo (right duo, duo).

LEMMA 2.8. Let  ${}_R M_T$  and  $M = Rm = mT$  for some  $m \in M$ . If  ${}_R U_S$  is a duo bimodule then  ${}_T \text{Hom}_R(M, U)_S$  is a duo bimodule.

*Proof.* Let  $f \in {}_T \text{Hom}_R(M, U)_S$  and  $t \in T$ . There exists an  $r \in R$  such that  $mt = rm$ , and so there exists an  $s \in S$  such that  $rf(m) = f(m)s$ . Hence

$$(tf)(m) = f(mt) = f(rm) = rf(m) = f(m)s = (fs)(m).$$

So  $tf = fs$ . We have proved that  $Tf \subseteq fS$ . Similarly, we have  $fS \subseteq Tf$ .

A module  $M$  is called *linearly compact* (l.c.) in the case any finitely solvable congruence  $m \equiv m_i \pmod{M_i}$  is solvable, where  $M_i$ 's are submodules and  $m_i \in M$ . The class of l.c. modules includes Artinian modules. A ring  $R$  is left (right) linearly compact if the module  ${}_R R$  (resp.,  $R_R$ ) is linearly compact. It is known (e.g., see [X3, Corollary 3.14]) that a left linearly compact ring is semiperfect. Müller's Theorem [M1] states that a ring  $R$  has a (left) Morita duality if and only if  $R$  is left l.c. and the minimal injective cogenerator in  $R\text{-Mod}$  is also l.c. If  $R$  is an exact left l.c. ring, we do not know if  $R$  has a Morita duality or  $R$  is also right l.c. But Azumaya [A] proved that an exact Artinian ring does have a Morita duality.

A locally distributive Artinian ring  $R$  is an exact ring, so it has a Morita duality [A]. The first result of the following theorem is a generalization, since an Artinian ring is both l.c. and Noetherian. The second result is a generalization of Fuller [F, Lemma 5] who proved this for locally distributive Artinian rings.

THEOREM 2.9. Every Noetherian locally distributive l.c. ring  $R$  has a Morita duality. Moreover, the injective envelope of each simple left (resp., right)  $R$ -module is distributive.



*Proof.* We may assume that  $R$  is a basic ring with a basic set of primitive idempotents  $e_1, \dots, e_n$ . Let  $R_i = e_i R e_i$ . By [F, Lemma 4], both  ${}_{R_i}(e_i R e_j)$  and  $(e_i R e_j)_{R_i}$  are uniserial and Noetherian, hence there exists an  $e_{ij} \in e_i R e_j$  such that  $e_i R e_j = R_i e_{ij} = e_{ij} R_j$ . It is known that the local l.c. Noetherian uniserial ring  $R_i$  has a Morita duality (see, e.g., [K, Theorem 7.8]). Now  $R = \sum_{i,j} R_{ij}$  is a finite normalizing extension over its subring  $\sum_i R_i$  which has a Morita duality. Therefore by [X3, Theorem 9.1],  $R$  has a Morita duality.

Let  $J = J(R)$ , the radical of  $R$ . Let  $U_i = E(Re_i/Je_i)$ ,  $i = 1, \dots, n$ . Then,  ${}_{R_i}(e_i U_i)$  is the minimal injective cogenerator by [X3, Lemma 4.11] and  $R_i$  is a duo ring with a self-duality induced by  ${}_{R_i}(e_i U_i)_{R_i}$ , so  ${}_{R_i}(e_i U_i)_{R_i}$  is a duo bimodule. By Lemma 2.8,  $(e_i R e_j)^* = {}_{R_j} \text{Hom}_{R_i}(e_i R e_j, e_i U_i)_{R_i}$  is a duo bimodule. Since  ${}_{R_i}(e_i R e_j)$  is uniserial, so is  $(e_i R e_j)_{R_i}^*$ , and hence  ${}_{R_i}(e_i R e_j)^*$  is uniserial. By [X3, Lemma 4.10],  ${}_{R_j}(e_i R e_j)^* \cong \text{Hom}_R(Re_j, U_i) \cong e_j U_i$ . So  ${}_{R_j}(e_j U_i)$  is uniserial, and  ${}_{R_j} U_i$  is distributive by [F, Lemma 4].

In the above theorem, if we let  ${}_{R_j} U = \bigoplus_{i=1}^n U_i$  and  $S = \text{End}({}_{R_j} U)$ , then we know from Theorem 2.6, Corollary 1.4, and Theorem 2.9 that if  $R$  is an exact ring, the exact bimodule  ${}_{R_j} U_S$  defines a Morita duality. By [AF, Theorem 24.5(3)], we see that the right  $S$ -modules  $fS$  and  $E(fS/fN)$  are distributive for each primitive idempotent  $f$  in  $S$ , where  $N = J(S)$ , the radical of  $S$ . We do not know whether or not  $S$  is locally distributive. This is so if  $R$  is Artinian [FX].

### 3. LINEARLY COMPACT DUO RINGS

If  ${}_{R_j} M_S$  is a bimodule and  $M = Rm = mS$  for some  $m \in M$ , we note that  ${}_{R_j} M_S$  must be balanced. Hence any duo bimodule is an exact bimodule; in particular, every duo ring is an exact ring. Since each one-sided ideal in a duo ring is two-sided, a duo ring is left l.c. if and only if it is right l.c., so we simply speak of l.c. duo rings. If  $D$  is a non-commutative division ring then the power series ring  $D[[x]]$  is a non-commutative non-Artinian l.c. duo ring.

Ánh [A1] has recently shown that each l.c. commutative ring has a Morita duality, thus giving an affirmative answer to a long-open problem of Müller [M1] and Zelinsky [Z]. In [X1] the author proved that an Artinian duo ring  $R$  has a Morita self-duality if  $J(R)$  is a direct sum of colocal ideals. Here a module is *colocal* if it has a simple essential socle. In this final section, we generalize the above two results by establishing the following

**THEOREM 3.1.** *Let  $R$  be a linearly compact duo ring. Then (1)  $R$  has a Morita duality, and (2) if  $J(R)$  is a direct sum of colocal ideals then  $R$  has a Morita self-duality.*

Since each idempotent in a duo ring is central [X3, Lemma 12.2], a l.c. duo ring is a finite direct product of local l.c. duo rings. Therefore we assume in this section that

$$\left\{ \begin{array}{l} R \text{ is a local l.c. duo ring with radical } J, \text{ and} \\ {}_R U = {}_R E(R/J) \text{ is the minimal injective cogenerator in } R\text{-Mod.} \end{array} \right.$$

LEMMA 3.2. *If the module  ${}_R R$  is colocal,  $R$  is a (two-sided) PF-ring; i.e., the bimodule  ${}_R R_R$  defines a Morita duality.*

*Proof.* Since  $R$  is duo,  $\text{Soc}({}_R R) = \text{Soc}({}_R R)$ , which is a simple essential ideal of  $R$ . By [A2, Corollary 2],  $R$  is a PF-ring.

LEMMA 3.3.  $\mathbf{r}_U \mathbf{1}_R(u_1, \dots, u_n) = \sum_{i=1}^n Ru_i$ , where  $u_1, \dots, u_n \in U$ .

*Proof.* If  $0 \neq u \in U$ , we have  $Ru \cong R/\mathbf{1}_R(u)$ . Since  $Ru$  is colocal,  $R/\mathbf{1}_R(u)$  is a PF-ring by Lemma 3.2. As an  $R/\mathbf{1}_R(u)$ -module,  $Ru$  is an injective submodule of  $\mathbf{r}_U \mathbf{1}_R(u)$  which is colocal. We conclude that  $Ru = \mathbf{r}_U \mathbf{1}_R(u)$  for each  $u \in U$ . Therefore

$$\begin{aligned} \mathbf{r}_U \mathbf{1}_R(u_1, \dots, u_n) &= \mathbf{r}_U(\mathbf{1}_R(u_1) \cap \dots \cap \mathbf{1}_R(u_n)) \\ &= \mathbf{r}_U \mathbf{1}_R(u_1) + \dots + \mathbf{r}_U \mathbf{1}_R(u_n) \quad (\text{since } {}_R U \text{ is injective}) \\ &= Ru_1 + \dots + Ru_n. \end{aligned}$$

If  $V$  is a submodule of  ${}_R U$ , we let  $F(V)$  denote the set of all finite subsets of  $V$ .

LEMMA 3.4. *If  $V$  is a submodule of  ${}_R U$ , then for each  $u \in U$  we have the equality  $(\bigcap_{N \in F(V)} \mathbf{1}_R(N))u = \bigcap_{N \in F(V)} \mathbf{1}_R(N)u$ .*

*Proof.* The inclusion " $\subseteq$ " is obvious. Now let  $w = r_N u \in \bigcap_{N \in F(V)} \mathbf{1}_R(N)u$  where  $r_N \in \mathbf{1}_R(N)$  for each  $N \in F(V)$ . If  $N_1, \dots, N_n$  are finitely many elements of  $F(V)$ , then  $N = \bigcup_{i=1}^n N_i \in F(V)$  and  $r_N \in \mathbf{1}_R(N) \subseteq \mathbf{1}_R(N_i)$  for each  $i$ . Hence  $r_N - r_{N_i} \in \mathbf{1}_R(N_i)$  and  $(r_N - r_{N_i})u = w - w = 0$  for each  $i$ . This shows that the system of the congruences  $r \equiv r_N \pmod{\mathbf{1}_R(N)(u)}$  is finitely solvable. Since  $R$  is l.c., there is an  $r \in R$  such that  $r - r_N \in \mathbf{1}_R(N)(u)$  for each  $N \in F(V)$ . Hence  $r - r_N \in \mathbf{1}_R(N)$  for each  $N$ , so  $r \in \bigcap_{N \in F(V)} \mathbf{1}_R(N)$ . And also  $w = r_N u = ru \in (\bigcap_{N \in F(V)} \mathbf{1}_R(N))u$ .

LEMMA 3.5. *If  $V$  is a submodule of  ${}_R U$  then  $\mathbf{r}_U \mathbf{1}_R(V) = V$ .*

*Proof.* Let  $u \in \mathbf{r}_U \mathbf{1}_R(V)$ . Since

$$\mathbf{1}_R(V) = \bigcap_{v \in V} \mathbf{1}_R(v) = \bigcap_{N \in F(V)} \mathbf{1}_R(N),$$

by Lemma 3.4 we have

$$0 = \mathbf{1}_R(V)u = \left( \bigcap_{N \in F(V)} \mathbf{1}_R(N) \right) u = \bigcap_{N \in F(V)} (\mathbf{1}_R(N)u).$$

Since  ${}_R U$  has a simple essential socle, we must have  $\mathbf{1}_R(N)u = 0$  for some  $N = \{v_1, \dots, v_n\} \in F(V)$ . Then by Lemma 3.3,  $u \in \mathbf{r}_U \mathbf{1}_R(v_1, \dots, v_n) = \sum_{i=1}^n Rv_i \subseteq V$ .

LEMMA 3.6.  *${}_R U$  is a l.c. module.*

*Proof.* If  $u \equiv u_i \pmod{U_i}$  is a finitely solvable system of congruences of  ${}_R U$ , then the mapping

$$f: \sum_i \mathbf{1}_R(U_i) \rightarrow U \quad \text{via } \sum r_i \mapsto \sum r_i u_i$$

is well-defined and an  $R$ -homomorphism. The injectivity of  ${}_R U$  implies that there exists a  $u \in U$  such that  $f(r) = ru$  for all  $r \in \sum_i \mathbf{1}_R(U_i)$ . In particular, if  $r \in \mathbf{1}_R(U_i)$ , then  $ru = f(r) = ru_i$ . Hence  $u - u_i \in \mathbf{r}_U \mathbf{1}_R(U_i) = U_i$  (Lemma 3.5) for each  $i$ . This proves that  ${}_R U$  is l.c.

The following result follows from Müller's theorem [M1], which we mentioned in Section 2.

PROPOSITION 3.7. *If  $S = \text{End}({}_R U)$ , then  ${}_R U_S$  defines a Morita duality.*

*Remark 3.8.* Using Lemma 3.3 and [AF, Theorem 24.5], we have  $Ru = \mathbf{r}_U \mathbf{1}_R(u) = \mathbf{r}_U \mathbf{1}_R(uS) = uS$ , for each  $u \in U$ . Hence the bimodule  ${}_R U_S$  is duo. By [1, Theorem 24.5] again,  $S$  is a right duo ring. We conjecture that  $S$  is a duo ring.

*Proof of Theorem 3.1.* We may assume that  $R$  is local. By Proposition 3.7 and Remark 3.8, the duo bimodule  ${}_R U_S$  defines a Morita duality and  $S$  is a right duo ring. To prove the second assertion, let  $J = \bigoplus_{i=1}^n I_i$  be a direct sum of colocal ideals. Then there exist  $u_1, \dots, u_n \in U$  such that  $U_S / \text{Soc}(U_S) = \bigoplus_{i=1}^n \bar{u}_i S$ . Hence  $U = \sum_{i=1}^n u_i S = \sum_{i=1}^n R u_i$ . We may assume that  $n > 1$ . (If  $n = 1$ ,  $R$  is a PF-ring by Lemma 3.2.) Now applying the proof of [X1, Theorem 3], we conclude that  $R \cong S$ .

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