

## Generalized independence and domination in graphs

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### Abstract

The purpose of this paper is to introduce various concepts of  $\mathcal{P}$ -domination, which generalize and unify different well-known kinds of domination in graphs. We generalize a result of Lovász concerning the existence of a partition of a set of vertices of  $G$  into independent subsets and a result of Favaron concerning a property of  $S_k$ -dominating sets. Gallai-type equalities for the strong  $\mathcal{P}$ -domination number are proved, which generalize Nieminen's result. Copyright © 1998 Elsevier Science B.V. All rights reserved

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### 1.

In this paper we will consider finite undirected graphs with no multiple edges, and with no loops. For a graph  $G$  we will refer to  $V(G)$  (or  $V$ ) and  $E(G)$  (or  $E$ ) as the vertex and edge set, respectively.

A nonempty subset  $D$  of the vertex set  $V$  of a graph  $G$  is a *dominating set* if every vertex in  $V - D$  is adjacent with a member of  $D$ . If  $u \in D$  and  $v \in V - D$ , and  $uv \in E$ , we say that  $u$  *dominates*  $v$  and  $v$  is *dominated* by  $u$ . The minimum of the cardinalities of the minimal dominating sets in  $G$  is called the *domination number* of  $G$  and it is denoted  $\gamma(G)$ .

The study of domination in graphs was initiated by Ore [11], for a survey see the special volume Discrete Math. 86 (1990). Applications of minimum dominating sets have been suggested by many authors, but the determination of the domination number is an NP-complete problem, see [6]. It should be noted that bounds on  $\gamma(G)$  do exist, though the parameters values on which these bounds depend may also be difficult to determine.

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We write  $H \leq G$  if  $H$  is an induced subgraph of  $G$ . We use the notation  $\langle A \rangle_G$  for the subgraph of  $G$  induced by  $A \subseteq V(G)$ , by  $m(A)$  we denote the number of edges of  $\langle A \rangle_G$  and by  $d_A(v)$  the number of neighbours in  $A$  of a vertex  $v \in V$ .

A set  $S \subseteq V(G)$  is said to be *independent* if  $\langle S \rangle_G$  is totally disconnected, i.e., has no edge. Obviously, each maximal independent set is a minimal dominating set. If  $S$  is a maximal independent set of  $G$ , then  $\langle S \cup \{v\} \rangle_G$  contains  $K_2$ , as a subgraph for any  $v \in V - S$  i.e., the subgraph which is forbidden for the property ‘to be totally disconnected’. This observation leads us to the various concepts of  $\mathcal{P}$ -domination in graphs with respect to any hereditary property  $\mathcal{P}$ .

Let  $\mathcal{I}$  denote the set of all mutually nonisomorphic graphs.

If  $\mathcal{P}$  is a nonempty subset of  $\mathcal{I}$ , then  $\mathcal{P}$  will also denote the property that a graph is a member of the set  $\mathcal{P}$ .

A property  $\mathcal{P}$  of graphs is said to be *induced hereditary* if whenever  $G \in \mathcal{P}$  and  $H \leq G$ , then also  $H \in \mathcal{P}$ . For hereditary properties with respect to a partial order see [1].

Any induced hereditary property  $\mathcal{P}$  of graphs is uniquely determined by the set of its forbidden subgraphs, which is defined as follows:

$$C(\mathcal{P}) = \{H \in \mathcal{I} : H \notin \mathcal{P} \text{ but } (H - v) \in \mathcal{P} \text{ for any } v \in V(H)\}.$$

Let us denote by  $\mathbf{M}$  the set of all induced hereditary properties of graphs.

A property  $\mathcal{P}$  is said to be *additive*, if for each graph  $G$  all of whose components have the property  $\mathcal{P}$  it follows that  $G \in \mathcal{P}$ . Obviously,  $\mathcal{P}$  is additive if and only if the following holds: if  $H$  and  $G$  have property  $\mathcal{P}$ , then so does their disjoint union  $H \cup G$ . Denote by  $\mathbf{M}^a$  the set of all additive-induced hereditary properties of graphs.

According to [1] we list some induced hereditary properties in order to introduce the notion which will be used in the paper.

$$\mathcal{O} = \{G \in \mathcal{I} : G \text{ is totally disconnected}\}, C(\mathcal{O}) = \{K_2\};$$

$$\mathcal{S}_k = \{G \in \mathcal{I} : \Delta(G) \leq k\}, C(\mathcal{S}_k) = \{H : |V(H)| = k + 2 = \Delta(H) + 1\};$$

$$\mathcal{J}_k = \{G \in \mathcal{I} : G \text{ does not contain } K_{k+2}\}, C(\mathcal{J}_k) = \{K_{k+2}\}.$$

Let  $\mathcal{P} \in \mathbf{M}$  and  $G = (V, E)$  be a graph. Two vertices  $u$  and  $v$  of  $G$  are called  *$\mathcal{P}$ -adjacent* if there is a subgraph  $H'$  of  $G$  isomorphic to  $H \in C(\mathcal{P})$  containing  $u$  and  $v$ . For a vertex  $v \in V$  by  $N_{\mathcal{P}}(v)$  we denote the  *$\mathcal{P}$ -neighbourhood* of  $v$ , i.e.,  $N_{\mathcal{P}}(v) = \{u \in V : u \text{ is } \mathcal{P}\text{-adjacent to } v\}$ . For a set  $X \subseteq V$ , let  $N_{\mathcal{P}}(X) = \bigcup_{v \in X} N_{\mathcal{P}}(v)$ . Especially  $N(v) = N_{\mathcal{O}}(v)$ .

Next, for a vertex  $v \in V(G)$  we denote the set of all forbidden subgraphs containing  $v$  by  $C_{G, \mathcal{P}}(v) = \{H' \leq G : v \in V(H'), H' \simeq H \in C(\mathcal{P})\}$ .

The number  $|C_{G, \mathcal{P}}(v)|$  is called  *$\mathcal{P}$ -degree* of  $v$  in  $G$  and it is denoted  $\deg_{G, \mathcal{P}}(v)$ . If  $\deg_{G, \mathcal{P}}(v) = 1$ , then  $v$  is said to be  *$\mathcal{P}$ -pendant*. If  $\deg_{G, \mathcal{P}}(v) = 0$ , then  $v$  is said to be  *$\mathcal{P}$ -isolated*.

For a property  $\mathcal{P}$ , let  $\Delta(\mathcal{P}) = \min\{\Delta(H) : H \in C(\mathcal{P})\}$ .

A set  $S \subseteq V(G)$  is  *$\mathcal{P}$ -independent* in  $G$  if  $\langle S \rangle_G \in \mathcal{P}$ .

A set  $D \subseteq V$  is said to be  *$\mathcal{P}$ -dominating* in  $G$  if  $N_{\mathcal{P}}(v) \cap D \neq \emptyset$  for any  $v \in V - D$ .

A set  $D \subseteq V$  is said to be *strongly  $\mathcal{P}$ -dominating* in  $G$  if for every  $v \in V - D$  there is  $H' \leq G$  containing  $v$  such that  $H' \simeq H \in C(\mathcal{P})$  and  $V(H') - \{v\} \subseteq D$ .

The minimum of the cardinalities of the (strongly)  $\mathcal{P}$ -dominating sets of  $G$  is called the (*strong*)  *$\mathcal{P}$ -domination number* of  $G$  and is denoted by  $\gamma_{\mathcal{P}}(G)$  ( $\gamma'_{\mathcal{P}}(G)$ ), respectively.<sup>1</sup>

Notice, that if  $\mathcal{P} = \mathcal{C}$ , then  $\mathcal{P}$ -dominating and strongly  $\mathcal{P}$ -dominating sets in  $G$  are dominating sets in the ordinary sense.

Next, if  $\mathcal{P} = \mathcal{I}_{n-2}$ , then the  $\mathcal{I}_{n-2}$ -dominating set in  $G$  is the  $K_n$ -dominating set in  $G$ , see [8].

2.

**Lemma.** *Let  $\mathcal{P} \in \mathbf{M}$ . For any graph  $G$  and every  $\mathcal{P}$ -independent set  $D$  of  $G$  such that  $w(D) = \Delta(\mathcal{P})|D| - m(D)$  is maximum, every vertex of  $V - D$  is dominated by at least  $\Delta(\mathcal{P})$  vertices of  $D$ .*

**Proof.** Let  $D$  be a  $\mathcal{P}$ -independent set such that  $w(D)$  is maximum. Let us assume that there is a vertex  $v \in V - D$  which is not dominated by at least  $\Delta(\mathcal{P})$  vertices of  $D$ . Let  $\mathcal{H}_v = \{H_v^i: i = 1, \dots, r\}$  be the family of all forbidden subgraphs in  $G$  with  $v \in V(H_v^i)$  and  $(V(H_v^i) - \{v\}) \subseteq D$ . It is clear that  $\mathcal{H}_v \neq \emptyset$ , for otherwise,  $\langle D \cup \{v\} \rangle \in \mathcal{P}$  and  $w(D \cup \{v\}) > w(D)$ , a contradiction.

Let

$$U = \bigcup_{i=1}^r (V(H_v^i) - \{v\}) \text{ and } N(v) \cap D = B \text{ with } |B| = b.$$

Let  $A$  be a subset of  $U$  defined as follows:

$$A = \{x: d_U(x) \geq \Delta(\mathcal{P}) - 1, \text{ if } x \in N(v)\} \cup \{x: d_U(x) \geq \Delta(\mathcal{P}), \text{ if } x \notin N(v)\}.$$

Let  $T \subseteq A$  be a minimal transversal of  $\{V(H_v^i) - \{v\}: i = 1, \dots, r\}$ . Such a transversal exists since  $A \cap (V(H_v^i) - \{v\}) \neq \emptyset$  for  $i = 1, \dots, r$ . Now we consider the set  $C = (D - T) \cup \{v\}$ . Obviously, the set  $C$  is  $\mathcal{P}$ -independent. Let  $|T| = t$  and  $|T \cap B| = s$ . Since  $T$  is minimal, for each  $x \in B \cap T$  there is  $H_x \in \mathcal{H}_v$  such that  $d_{H_x}(x) \geq \Delta(\mathcal{P}) - 1$  and for each  $y \in T - B$  there is  $H_y \in \mathcal{H}_v$  such that  $d_{H_y}(y) \geq \Delta(\mathcal{P})$ . Now, we can estimate the number of edges in  $\langle C \rangle_G$  as follows:

$$\begin{aligned} m(C) &\leq m(D) + b - s - (t - s)\Delta(\mathcal{P}) - s(\Delta(\mathcal{P}) - 1) - m(T) \\ &= m(D) + b - t\Delta(\mathcal{P}) - m(T). \end{aligned}$$

Hence,  $w(C) = \Delta(\mathcal{P})|C| - m(C) \geq \Delta(\mathcal{P})(|D| - t + 1) - (m(D) + b - t\Delta(\mathcal{P}) - m(T)) = \Delta(\mathcal{P})|D| - m(D) + \Delta(\mathcal{P}) - b + m(T) > w(D)$ , a contradiction.  $\square$

<sup>1</sup>  $V(G)$  is  $\mathcal{P}$ -dominating set and also strongly  $\mathcal{P}$ -dominating set. Every (strongly)  $\mathcal{P}$ -dominating set contains a minimal (strongly)  $\mathcal{P}$ -dominating subset, therefore  $\gamma_{\mathcal{P}}(G)$  and  $(\gamma'_{\mathcal{P}}(G))$  are defined for every  $G$ .

Using induction on  $\Delta(G)$  and the above lemma we get the following result concerning the existence of a partition of  $V(G)$  into a ‘small’ number of  $\mathcal{P}$ -independent subsets.

**Theorem 1.** *Let  $\mathcal{P} \in \mathbf{M}$ . Then for every graph  $G$  there is a partition  $(V_1, \dots, V_t)$  of  $V$  into  $t = \lfloor \Delta(G)/\Delta(\mathcal{P}) \rfloor + 1$  vertex disjoint subsets with  $\langle V_i \rangle \in \mathcal{P}$  for  $i = 1, \dots, t$ .*

For  $\mathcal{P} = \mathcal{S}_k$  Theorem 1 implies a result of Lovász [9].

Some other results of this type are presented in [1].

Since every maximal  $\mathcal{P}$ -independent set of  $G$  is a minimal strongly  $\mathcal{P}$ -dominating set, Lemma implies the following result.

**Theorem 2.** *Let  $\mathcal{P} \in \mathbf{M}$ . In every graph  $G$  there exists a minimal strongly  $\mathcal{P}$ -dominating set  $D$  of  $G$  such that every vertex of  $V - D$  is dominated by at least  $\Delta(\mathcal{P})$  vertices of  $D$ .*

Theorem 2 implies Favaron’s Theorem [2] in the case  $\mathcal{P} = \mathcal{S}_k$ .

### 3.

In 1959 Gallai presented his, now classical, theorem, involving the vertex covering number  $\alpha_0$ , the vertex independence number  $\beta_0$ , the edge covering number  $\alpha_1$  and the edge independence number  $\beta_1$ .

**Theorem** (Gallai [5]). *For every nontrivial connected graph  $G$  with  $p$  vertices we have*

$$\alpha_0 + \beta_0 = p \quad \text{and} \quad \alpha_1 + \beta_1 = p.$$

A large number of similar results and generalizations of this theorem have been obtained in subsequent years; they are called Gallai-type equalities.

**Theorem** (Nieminen [10]). *Let  $\gamma(G)$  be the domination number and  $\varepsilon(G)$  be the maximum number of pendant edges in a spanning forest of a graph  $G$  with  $p$  vertices. Then  $\gamma(G) + \varepsilon(G) = p$ .*

Let  $\mathcal{P} \in \mathbf{M}$  and  $G$  be a graph. Let  $S$  be a spanning subgraph of  $G$ . A family  $X_{\mathcal{P}}(S) = \{G_1, G_2, \dots, G_k\}$  of induced subgraphs of  $S$  such that

- (1)  $G_i \simeq H \in \mathcal{C}(\mathcal{P})$  and
- (2) For any  $G_i$  there is a vertex  $v_i \in V(G_i)$  such that  $v_i \notin V(G_j)$ ,  $j \neq i$ ,  $1 \leq i, j \leq k$  is called a *family of  $\mathcal{P}$ -pendant subgraphs of  $S$* .

A vertex  $v_i \in V(G_i)$  satisfying (2) is called a  *$\mathcal{P}$ -pendant vertex in the family  $X_{\mathcal{P}}(S)$* .

Let  $\varepsilon_{\mathcal{P}}(G)$  be the maximum number of  $\mathcal{P}$ -pendant subgraphs in a spanning subgraph of the graph  $G$ .

Notice, that if  $\mathcal{P} = \emptyset$ , then  $\varepsilon_{\mathcal{P}}(G) = \varepsilon(G)$ .

**Theorem 3.** Let  $\mathcal{P} \in \mathbf{M}$ . For every graph  $G$  of order  $p$ , we have

$$\gamma'_{\mathcal{P}}(G) + \varepsilon_{\mathcal{P}}(G) = p.$$

**Proof.** Let  $D$  be a minimal strongly  $\mathcal{P}$ -dominating set with  $|D| = \gamma'_{\mathcal{P}}(G)$ . Then for every  $x \in V - D$  there is  $H' \leq G$ ,  $H' \simeq H \in \mathcal{C}(\mathcal{P})$ , such that  $x \in V(H')$  and  $V(H') \cap D = V(H') - \{x\}$ . For every  $x \in V - D$  we choose exactly one such subgraph and denote it by  $H_x$ ; in this way, we have a family of  $\mathcal{P}$ -pendant subgraphs in a spanning subgraph  $S$  of  $G$  with the edge set  $E(S) = \bigcup_{x \in V - D} E(H_x)$ . Hence,  $\varepsilon_{\mathcal{P}}(G) \geq |V - D| = p - \gamma'_{\mathcal{P}}(G)$ .

On the other hand, let  $S$  be a spanning subgraph of  $G$  with  $\mathcal{P}$ -pendant subgraphs  $G_1, G_2, \dots, G_\varepsilon$  in  $S$ , where  $\varepsilon = \varepsilon_{\mathcal{P}}(G)$ . By  $X_i$  we denote the set of all  $\mathcal{P}$ -pendant vertices of the subgraph  $G_i$ ,  $1 \leq i \leq \varepsilon$ . The family of sets  $\{X_1, X_2, \dots, X_\varepsilon\}$  has a system of different representatives. Denote one of them by  $Y$ . It is obvious that  $|Y| = \varepsilon_{\mathcal{P}}(G)$ . The set  $V - Y$  is a strongly  $\mathcal{P}$ -dominating set of  $G$ . Hence  $\gamma'_{\mathcal{P}}(G) \leq |V - Y| = p - \varepsilon_{\mathcal{P}}(G)$ . This completes the proof.  $\square$

Hedetniemi and Laskar proved a similar equality as in Nieminen's Theorem, involving connectivity.

A set  $D \subseteq V$  is called *connected dominating* in  $G$ , if  $D$  is a dominating set and  $\langle D \rangle_G$  is a connected graph. By  $\gamma_c(G)$  is denoted the cardinality of a minimum connected dominating set in  $G$ . Let  $\varepsilon_c(G)$  equal the maximum number of pendant edges in a spanning tree of  $G$ .

**Theorem** (Hedetniemi and Laskar [7]). Let  $G$  be a connected graph of order  $p$ . Then  $\gamma_c(G) + \varepsilon_c(G) = p$ .

Let  $G$  be a connected graph and  $\mathcal{P} \in \mathbf{M}^a$ . If a set  $D \subseteq V(G)$  is strongly  $\mathcal{P}$ -dominating and  $\langle D \rangle_G$  is a connected graph, then  $D$  is said to be a *connected strongly  $\mathcal{P}$ -dominating* set. The minimum of the cardinalities of the connected strongly  $\mathcal{P}$ -dominating sets is called the *connected strong  $\mathcal{P}$ -domination number* and denoted by  $\gamma'_{c, \mathcal{P}}(G)$ .

Now, we introduce the corresponding number to  $\varepsilon_c(G)$ .

Let  $G$  be a connected graph and  $\mathcal{P} \in \mathbf{M}^a$  and  $S$  be a connected spanning subgraph of  $G$  with a family  $X_{\mathcal{P}}$  of  $\mathcal{P}$ -pendant subgraphs. Let  $Y = \{v_1, v_2, \dots, v_k\}$ ,  $v_i \in V(G_i)$ ,  $1 \leq i \leq k$  be a set of  $\mathcal{P}$ -pendant vertices in  $X_{\mathcal{P}}(S)$ .

If  $\langle V - Y \rangle_G$  is a connected graph, then we denote this family by  $X_{c, \mathcal{P}}(S)$ .

Let  $\varepsilon_{c, \mathcal{P}}(G)$  equal the maximum number of elements in an  $X_{c, \mathcal{P}}(S)$ .

**Theorem 4.** For every connected graph  $G$  of order  $p$  and  $\mathcal{P} \in \mathbf{M}^a$  we have

$$\gamma'_{c, \mathcal{P}}(G) + \varepsilon_{c, \mathcal{P}}(G) = p.$$

**Proof.** To prove the above theorem it is enough to notice that forbidden subgraphs of an additive property are connected and to proceed analogously to the proof of the previous theorem.  $\square$

Note that the results of this paper can be extended to the hereditary properties with respect to a partial order as well.

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