Primary Tumor Standardized Uptake Value Measured on Fluorodeoxyglucose Positron Emission Tomography Is of Prognostic Value for Survival in Non-small Cell Lung Cancer

Update of a Systematic Review and Meta-Analysis by the European Lung Cancer Working Party for the International Association for the Study of Lung Cancer Staging Project

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Introduction: Few validated prognostic factors are available for survival in patients with lung cancer. [¹⁸F]-fluoro-2-deoxy-D-glucose positron emission tomography has been shown to be of additional value to conventional imaging for staging lung cancer. The prognostic value of this lung tumor metabolic activity was studied in a first systematic review of studies published until 2006.

Methods: As further studies have appeared since 2006, this report has as objective to confirm and to estimate with less variability the prognostic value of primary tumor standardized uptake value (SUV) measured with [¹⁸F]-fluoro-2-deoxy-p-glucose positron emission tomography on the basis of an updated search of eligible studies.

Results: Ten additional studies were eligible for the updated review and eight of them provided, in the publication, data allowing survival results aggregation. All together, 21 studies were analyzed. Comparing patients with low and high SUV, using preferentially the median SUV value of each study as threshold, we obtained a poor

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prognostic value for high SUV compared with low SUV with an overall combined hazard ratio of 2.08, significantly different from one with a 95% confidence interval ranging from 1.69 to 2.56. No interaction between older and newer studies was detectable $(P =$ 0.60) as well as between studies having selected non metastatic patients or studies without selection criterion related to stage $(P =$ 0.46).

Conclusions: We confirmed the results of our previous review showing that SUV is potentially a very interesting factor for predicting patient outcome. We believe that a meta-analysis based on individual patient data would be of great value as allowing to assess the independent prognostic value, to take into account some factors responsible for heterogeneity between studies (SUV assessment method, disease stage, and histology), and to update survival data. We are planning to conduct such a meta-analysis on behalf of the International Association for the Study of Lung Cancer Staging Project.

Key Words: Lung cancer, 18FDG-PET scan, Prognostic factor, Survival, Systematic review.

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Up to now, there are, for lung cancer, very few validated prognostic factors in use in clinical practice. Although many clinical, pathologic, routine laboratory markers, molecular biologic markers, and gene signatures have been suggested as possible univariate or independent prognostic factors, only two characteristics are definitely established as independently associated with prognosis: performance status and disease stage.¹ This has been recently confirmed by the International Association for the Study of Lung Cancer (IASLC) Staging Project. Within the context of this project,

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data on more than 100,000 patients have been collected and used for proposing a revision of the 6th edition of the tumor, node, metastasis classification for lung cancer but also for looking at prognostic factors. The study on prognostic factors has however been limited by the small number of covariates being present in the worldwide existing data bases. This is a further illustration that there are very few factors universally accepted as important for predicting outcome of the disease.2

Tumor, node, metastasis staging assessment was traditionally based on conventional imaging but since a decade, positron emission tomography (PET) with the glucose analogue $2-[^{18}F]$ -fluoro-2-deoxy-p-glucose (^{18}FDG) has been successfully introduced in the staging procedures. Indeed, several meta-analyses have shown that it improves the accuracy of the staging assessment.³ This has motivated our group for performing a systematic review and meta-analysis of the literature aiming to analyze the possible prognostic value of FDG-PET for survival.4 The results of this review were published in January 2008 and suggested that a high standardized uptake value (SUV) might be a poor prognostic factor for survival. The estimated hazard ratio (HR) that we calculated from the combination of 13 studies, $5-17$ using a random-effects model, was 2.27 (95% confidence interval [CI], 1.70–3.02). However, because our search for identifying studies eligible for our review (in June 2006) was stopped, several further publications on the same topic occurred, some of them focusing on specific disease stages. We therefore decided that it was worth to update our review.

MATERIALS AND METHODS

For obvious reasons, we followed the same methodology as for our previous report, but we summarized it shortly here after.

We looked for studies dedicated to lung cancer only, performing 18F-FDG-PET assessment on a dedicated device before any treatment and assessing the relationship between pretherapeutic SUV and survival. We only considered full publications (excluding abstracts) in English, French, or Dutch in peer-reviewed journals.

We electronically searched for studies on Medline using keywords specified in our previous report and manually checked the bibliographies of the already selected studies. We stopped the search in January 2009.

Twelve readers (11 physicians and 1 statistician) extracted data from the publications for reporting on the individual characteristics and results for survival of the identified articles as well as data for assessing the methodological characteristics of the reports— on the basis of a published scale. We scored both the clinical and the PET reports (22 and 20 items, respectively) and expressed the results in percentage of the maximal theoretical value that can be obtained. For details about the items that we considered, we refer the reader to our primary review on the same topic.4

We descriptively analyzed the scores and looked for any difference between the new studies compared with the older ones.

We cautiously looked at overlaps between cohorts of patients in the different publications. In case of such overlaps,

the most recent publication or the one reporting the most accurately the survival relationship between SUV and survival was used. If needed, authors were contacted to confirm or to reject the assumptions of intersections between patients included in several analyses.

For assessing the impact of SUV on survival, we considered, as far as possible, for each study, two groups of patients: one with low SUV (preferably using the median of the observed SUV distribution) and one with high SUV. We thereafter attempted to extract an estimated HR for the comparison of the two survival distributions using as reference the group of patients with low SUV and an estimate of the variance of this estimated HR. Several methods were used depending on the available information in the individual publications as in our previously published meta-analysis4: we looked first, for the univariate HR estimate and 95% CI if provided by the author; second, for the logrank test result, number of events, and numbers of patients in each group allowing to retrieve the HR; third, we read the survival rates on the survival curves; and finally, we searched for an adjusted HR (for several baseline factors considered by the authors).

Selection bias was assessed graphically using funnel plot.18 Heterogeneity between studies was assessed by using a χ^2 test for heterogeneity, and combined HRs were obtained by the use of fixed-effects models in case of absence of heterogeneity and of random-effects models otherwise.⁴ Interaction tests were performed using χ^2 tests.

As far as possible, the median values of SUV uptake was used for defining the threshold splitting the patients into two groups. Indeed, the so-called "best cut-off" method has been shown to be associated with a high probability of false positive result and to provide a biased, unreliable, and nonreproducible estimate of the prognostic impact of the tested covariate.19 We performed sensitivity analyses including and excluding the studies where only results according to the best cut-off were available.

The a priori specified objectives of the analysis were to combine all studies and to look for interactions between publication time (new studies versus older ones), stage and histology, whenever possible. As secondary objective, we planned to assess the possibility of getting aggregated results for disease free survival.

All reported CIs have a confidence level of 95%, and all reported *p* values are two-tailed. We used 5% as threshold for significance.

RESULTS

Eligible Studies

Thirteen studies, published between 1998 and 2006, were identified and considered eligible from our previous search with a total number of 1474 patients included in the different series.

We selected 14 publications published between 2006 and 2008.²⁰⁻³³ Three of them were considered as ineligible: one because many patients had treatment before SUV assessment,²⁷ one²¹ because it was uncertain that SUV assessment was done before treatment and one because the authors did

a Study considered as not fully eligible because of inclusion of 11 patients without histological proof of lung cancer but included in the primary review.⁴

b Study considered as not fully eligible in the primary review⁴ because it was unclear that histological proof of lung cancer was obtained for all patients (but first author confirmed later that it was the case).

^{*c*} Fifty-six of these 102 patients were included in Ref 7.

SUV, standardized uptake value; ISS, International Staging System; ADC, adenocarcinoma; SCC, squamous cell carcinoma.

not measure SUV on the primary tumor but considered the highest SUV value on all the identified lesions.²²

Thus, in total, 24 studies were eligible for our review, 13 considered as "older" publications from the first systematic review and 11 called the "newer" publications published after our previous meta-analysis. Some characteristics of the patients populations considered in these 24 studies are reported in Table 1. The numbers of patients included in the different studies ranged from 19 to 487. Metastatic patients are under-represented in the studies; half of them explicitly mentioning that inclusion of stage IV patients were not considered. Only one study is dedicated to advanced stages (from IIIA to IV) and, even in this study, the rate of stage IV patients is 43%, rate which is still below the rate of stage IV patients expected in a general population of newly diagnosed patients (around 60% in the hospital cancer registry of Institut Bordet). The proportions of patients with adenocarcinoma or with squamous cell histology are also heterogeneous from one study to another; one study has been fully dedicated to patients with pure or mixed bronchioloalveolar carcinoma. Most of the studies (18/24) used SUV max for analyzing the relationship between tumor metabolic activity and survival as reported in Table 1.

All 24 eligible studies were scored according to the scale, we previously published.4 This score includes two subscores: one for the clinical part of the study (items related to the report of the description of the patients population, the study design, the description of therapeutic characteristics, and the description of the radiologic assessments) and one for the PET report (looking at the technical parameters for SUV assessment and patients selection). Considering the 24 studies altogether, the median overall score was 56%, ranging from 27% to 68%. Recently published studies did not get significantly higher scores than the older publications (medians of 54% versus 57%, $P = 0.25$). The median clinical score was 60% (ranging from 34% to 80%) without detectable difference between the two series of publications (median of 55% for the newer ones versus 61% for the older ones, $P = 0.39$). Finally, the median value of the score describing the characteristics of the PET scan methodology was 51% (from 5% to 65%) with medians of 48% versus 53% for recent versus older publications, respectively $(P = 0.78)$. The clinical, PET, and total scores are presented in Table 2.

Evaluable Studies

We considered as inevaluable and excluded from further analyses three publications. The first one,²⁹ because we thought that the analysis was potentially biased. Indeed, in that study including surgically treated patients, all patients recurring locally were excluded from the analysis because the

^a Study considered as not fully eligible because of inclusion of 11 patients without histological proof of lung cancer but included in the primary review.⁴

 b Study considered as not fully eligible in the primary review⁴ because it was</sup> unclear that histological proof of lung cancer was obtained for all patients (but first

author confirmed later that it was the case). *^c* Fifty-six of these 102 patients were included in Ref 7.

The scores quantify the information available in the studies regarding the methodology they used at the clinical level and at the imaging level with $\left[^{18}F\right]$ -fluoro-2-deoxyd-glucose positron emission tomography (FDG-PET).

PET, positron emission tomography.

authors considered, in such a situation, that the surgical treatment was inadequate. However, there might be an association between local recurrence and SUV value with a potential bias in the assessment of the relationship between survival and SUV induced by the selection. As the number of patients excluded from the analysis was not specified, we preferred to classify this study as inevaluable. The two other discarded studies were the study by Na et al.²⁷ and by Lee et al.26 because reported data were insufficient to extract a HR estimate (in one case SUV was assessed as a continuous covariate, in the other case, it was only reported that no relationship between SUV and survival was identified). The three studies included together 284 patients (9.7% of the total number of patients registered in the evaluable studies which is 2922). The corresponding authors of Refs. 27 and 26 were contacted but did not reply²⁷ or could not provide the requested data.26

Consideration of Overlaps Between Patient Cohorts

Two publications were reported by Downey et al.^{11,25} with overlapping accrual periods. The author was contacted and replied that about 35 patients of 100 from the first study were likely to be included in the second one. As the overlap was not total, we reported our results with and without the first study by Downey et al.

As the cohorts reported by Guo et al.³⁴ and by Higashi et al.35 in 2000 were fully included in the patients populations published by Higashi et al.⁹ in 2002, we even did not consider as eligible the publications by Guo and Higashi (2000).

van Baardwijk et al.28 reported a pooled analysis of patients recruited in two different institutions, one cohort from Leuven and one cohort from Maastricht. We got confirmation that the Leuven's cohort is a subgroup of the patients population published earlier by Vansteenkiste et al.,7 and we excluded the patients from Leuven from the metaanalysis as the results from the two institutions were reported separately in the publication by Van Baardwijk et al.²⁸

Ahuja et al.⁵ and Hoang et al.³³ reported on two patients cohorts diagnosed during intersecting periods in the same institution. The corresponding author (N. Patz) warranted that there was no or minimal overlap between the two cohorts, and we kept the two studies in our meta-analysis.

Individual Results

Table 3 shows the 21 evaluable studies that were included in the meta-analysis with the threshold of SUV used in each series and the method we applied for retrieving an estimate of the HR between the group with high SUV values and the group with low SUV values. It also reports on the individual conclusions regarding the association between SUV and disease-free survival or overall survival. As it was already the case in our previous review, the thresholds used by the authors were heterogeneous, and this heterogeneity is explained by many factors including: the type of PET machine, the algorithms for iteration and reconstruction, the type of correction (weight, lean body mass, etc.), the time elapsed between FDG injection and emission scan, the type of uptake use (mean, max, etc.), the patients populations, and the method for threshold determination (median, the so called best cut-off method, etc.).

Most of the studies identified high values of SUV as being of poor prognosis. Only four studies, three relatively small sized and one dedicated to advanced stages failed to identify a prognostic impact of SUV.

Table 4 reports the individual HR estimates together with their CIs at 95%. By convention, a HR higher than 1 means a worse prognosis for patients with high SUV values. Figure 1 shows the graphical representation of the association between effect size and study size. In the absence of publication bias, this plot should look like a funnel, which seems to be the case for the studies we identified for our review. Indeed, it is expected that the HRs from individual studies should converge toward the true HR when sample size is increasing. Therefore, we should observe a larger spread for small sample sizes than for larger sample sizes with a symmetric pattern. Figure 2 provides a graphical representation of the point estimates of the HR from the individual studies together with their CI at the 95% level.

SUV, standardized uptake value; DFS, disease-free survival; OS, overall survival; HR, hazard ratio; NA, not available.

Survival Data Aggregation

Considering all together the 21 studies ($n = 2637$), we detected presence of heterogeneity (χ^2 statistic = 36.11, 20 $df, P = 0.01$; this heterogeneity can be reduced by discarding the publication by Sugawara et al.⁶ with a *P* value becoming 0.06. Using a random-effects model, we reached a combined HR of 2.08, significantly different from 1 with a 95% CI ranging from 1.69 to 2.56. If we restrict the analysis to the newer studies, we obtained, with a fixed effects model, a combined HR of 1.80 (95% CI, $1.50 - 2.16$) and using a random effects model, a pooled estimate of 2.03 (95% CI, 1.53–2.70).

When dividing the studies, according to their publication date, we obtained a combined HR of 2.03 (95% CI, 1.53–2.70, random-effects model) for the newer studies (identified after the publication of our first review). There was no interaction between the older and the newer publications ($P = 0.60$).

Excluding the study having included some patients without histologic proof of lung cancer¹² and the first study by Downey et al.¹¹ with overlapping patients, the combined HR became 2.11 (95% CI, 1.71–2.59) with presence of heterogeneity ($P = 0.01$).

Excluding the 7 studies having determined the threshold for the SUV value using the wrongly called best cut-off method, the combined HR decreased to 1.97 (95% CI, 1.53–2.52) with a *P* value for the test for heterogeneity of 0.02.

We further focused on studies having included only nonmetastatic patients. Fourteen studies were in this situation. Heterogeneity was not detectable any more $(P =$ 0.26). Combined HRs for fixed-effects and random-effects

FIGURE 2. Individual hazard ratios with their 95% confidence interval. Point estimates of individual hazard ratios with their 95% confidence interval on a logarithmic scale. By convention, $HR > 1$ means that patients with a higher standard uptake value (SUV) on the primary tumor have a worse prognosis.

FIGURE 1. Funnel plot. Effect size: neperian logarithm of the hazard ratio.

models were 2.18 (95% CI, 1.83–2.60) and 2.25 (95% CI, 1.84 –2.75), respectively. Without considering the studies with best cut-off thresholds, 10 cohorts are remaining in the analysis without detectable heterogeneity $(P = 0.25)$ and combined HR of 2.11 (95% CI, 1.72–2.59) and 2.18 (95% CI, 1.72–2.77).

It is noteworthy that only one study was dedicated to advanced stages with 214 patients and 158 observed events that failed to detect a HR significantly different from 1. In the subgroup of studies with unselected series for disease stage, the combined HRs for a fixed-effects model and a randomeffects model were 1.76 (95% CI, 1.44 –2.15) and 1.90 (95% CI, 1.27–2.84), respectively.

There was, however, no significant interaction according to the fact that the authors included or excluded patients with stage IV disease $(P = 0.46)$.

As intended in our plan for analysis, we looked for subgroups according to histology, but we did not find any single study reporting separately on patients with adenocarcinoma or squamous cell histology and none of them analyzed the possibility of an interaction between histology and SUV prognostic impact. Few studies looked at some subgroups defined by stage or type of treatment (curative resection for instance) but we did not find consistent subgroups to analyze separately.

Figure 3 reports on a graphical way the different combined HRs that we estimated.

Finally, four studies report on disease free survival, but we believed that these studies were not representative enough of the complete set of studies to justify the calculation of a combined HR for these four studies that individually all identify high SUV value as a poor prognostic factor for disease free survival.

FIGURE 3. Combined HRs for survival results aggregation using different subsets of studies. Combined hazard ratios with 95% confidence intervals obtained from random-effects models.

DISCUSSION

We confirmed, with 10 further studies and about 1000 additional patients, the results of our first review concluding that patients with a tumor demonstrating a higher metabolic activity as measured by SUV have shorter survival than patients with a tumor with a lower glucose metabolic rate. There are only little changes in the point estimates but our narrower CIs give more reliability to the results, especially when we are focusing on studies looking at more restricted eligibility criteria. Of course, all the limitations that we addressed in our previous report and in our other systematic reviews looking at prognostic factors for survival in lung cancer are still present. We were not able to assess the independent prognostic value of SUV, and this question remains open with contradictory results in the studies that we identified: for some of them, the prognostic value is kept after adjustment for other prognostic features and for some of them, the SUV is left out of adjusted models (for instance in Downey et al.²⁵ once pathologic staging is taken into account). The methodology for assessing SUV and the type of FDG-PET machine are obviously extremely important as there are various measurement errors that can occur, and we already insisted on that aspect (we refer the interested reader to appendixes 1 and 2 of our previous review4). There are currently some researchers starting to work on standardization of assessment methods (conditions of the examination, calibration of the machines, choice of reconstruction and attenuation algorithms, etc.) and this standardization is of course crucial for the conduct of multicentric studies that are most likely needed to establish the independent prognostic value of SUV. although it does not solve completely the issue of reproducibility of results.36

Publication bias is another very important issue, but we did not detect any strong bias, although we did not perform a formal test as these tests are known to lack from power.18 Heterogeneity was detected and should be worth to explain. We identified one study inducing heterogeneity⁶ but this study is certainly not only the factor responsible for heterogeneity. The *P* value of the test for heterogeneity increased but remained significant after exclusion of that study. The case mix of patients and the measurement of SUV itself are other factors certainly contributing to the heterogeneity but with the data available in the publications, we were unable to define subgroups of publications more homogeneous and this justifies our use of random-effects models. Our results, however, suggest that the prognostic impact of SUV might be stage dependent, and it is indeed possible that once a tumor has advanced characteristics, the anatomic extent of the tumor is so important for predicting the prognosis that the metabolic activity measured by SUV max on the primary tumor has lower prognostic value. This is why the introduction of other measures of metabolic activity like the tumor lesion glycolysis might be very interesting.

All truly eligible studies (19) - 2486 pts Excluding "best cut-off" (14) - 1573 pts Non metastatic stages (14) - 1612 pts Non metastatic stages non "best cutoff" (10) - 1188 pts 0.0 2.25 0.8 1.5 3.0 Our results do not allow to conclude to an optimal

All studies (21) - 2637 pts

threshold but only that higher values of SUV imply higher hazards and some authors do in fact suggest that there are not two groups of patients but rather that there is a continuous increase in the hazard as SUV increases.24,37 We also know that SUV is linked to histology and different behaviors may apply for patients with adenocarcinoma and for patients with squamous cell lung cancer. Unfortunately, we were not able to investigate that question as we had to deal with published results. However, some authors tried to find a "best" discriminant threshold but without correcting for multiple analyses,³⁸ and it has been well described in the literature that such methods may lead to the identification of false positive prognostic factors.19 As far as we could, we used the median value of the SUV distribution in each individual study and we addressed the potential bias by considering, as a separate subgroup, studies, which used a method with better reproducibility chances. The combined HR decreased, as expected, but remained significantly different from one and represents likely a less biased estimate of the true impact of SUV.

Some of the limitations of the review that we performed could be addressed if we were able to conduct a meta-analysis based on individual patients data. In that situation, it would be possible to explore the associations between SUV and other covariates of interest, mainly stage, and histology and, of outstanding importance, to assess the independent prognostic value of SUV. It would be worth also to look, in a per study stratified analysis, at SUV as a continuous covariate although this raises, again, the issue of standardization of PET assessment. Therefore, we plan to compare the different PET algorithms used by the study coordinators to define, if possible, groups of studies with more homogeneous methodology for the measure of the metabolic activity. It would also be possible to better select the patients by excluding overlapping information and restricting the analysis to patients with histologic proof of cancer. Finally, updating the survival data would also be beneficial. After our first review, we contacted all the corresponding authors for proposing such a metaanalysis, but despite the interest shown by many of them for such a project, we did not yet collect a sufficient number of data bases to conduct an individual patients' data. The project will now be endorsed by the IASLC staging project and we intent, in the following weeks, to contact again authors of the "older" publications and to get in touch the authors of the more recent publications on behalf of the IASLC. We believe that such a cooperation and such an analysis would allow to obtain new and interesting results and, ultimately, to plan a prospective prognostic factors study with an a priori calculated sample size, which would be the last step to provide definite results about the interest to integrate a measure of the metabolic activity of the tumor in prognostic models.

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