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Note

## On perfect neighborhood sets in graphs

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**Abstract**

Let  $G = (V, E)$  be a graph and let  $S \subseteq V$ . The set  $S$  is a dominating set of  $G$  if every vertex of  $V - S$  is adjacent to a vertex of  $S$ . A vertex  $v$  of  $G$  is called  $S$ -perfect if  $|N[v] \cap S| = 1$  where  $N[v]$  denotes the closed neighborhood of  $v$ . The set  $S$  is defined to be a perfect neighborhood set of  $G$  if every vertex of  $G$  is  $S$ -perfect or adjacent with an  $S$ -perfect vertex. We prove that for all graphs  $G$ ,  $\Theta(G) = \Gamma(G)$  where  $\Gamma(G)$  is the maximum cardinality of a minimal dominating set of  $G$  and where  $\Theta(G)$  is the maximum cardinality among all perfect neighborhood sets of  $G$ . © 1999 Elsevier Science B.V. All rights reserved

**1. Introduction**

Let  $G = (V, E)$  be a graph with vertex set  $V$  and edge set  $E$ , and let  $v$  be a vertex in  $V$ . The open neighbourhood of  $v$  is  $N(v) = \{u \in V \mid uv \in E\}$  and the closed neighbourhood of  $v$  is  $N[v] = \{v\} \cup N(v)$ . A set  $S \subseteq V$  is a *dominating set* if every vertex not in  $S$  is adjacent to a vertex in  $S$ . Equivalently,  $S$  is a dominating set of  $G$  if for every vertex  $v$  in  $V$ ,  $|N[v] \cap S| \geq 1$ . The *domination number* of  $G$ , denoted by  $\gamma(G)$ , is the minimum cardinality of a dominating set in  $G$ , while the *upper domination number* of  $G$ , denoted by  $\Gamma(G)$ , is the maximum cardinality of a minimal dominating

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set in  $G$ . The concept of domination in graphs, with its many variations, is now well studied in graph theory. The book by Chartrand and Lesniak [1] includes a chapter on domination. For a more thorough study of domination in graphs, see Haynes et al. [5,6].

In this paper we introduce the concept of perfect neighborhood sets in graphs. Let  $S$  be a subset of vertices of  $G$ . A vertex  $v$  of  $G$  is called  $S$ -perfect if  $|N[v] \cap S| = 1$ . The set  $S$  is defined to be a *perfect neighborhood set* of  $G$  if every vertex of  $G$  is  $S$ -perfect or adjacent to an  $S$ -perfect vertex. Equivalently,  $S$  is a perfect neighborhood set of  $G$  if for every  $u \in V$ , there exists a  $v \in N[u]$  such that  $|N[v] \cap S| = 1$ . The *lower (upper) perfect neighborhood number*  $\theta(G)$  (respectively,  $\Theta(G)$ ) of  $G$  is defined to be the minimum (respectively, maximum) cardinality among all perfect neighborhood sets of  $G$ . We will refer to a perfect neighborhood set of cardinality  $\theta(G)$  (respectively,  $\Theta(G)$ ) as a  $\theta$ -set (respectively,  $\Theta$ -set) of  $G$ .

## 2. The parameter $\theta(G)$

In this section, we relate  $\theta$  with other graph parameters. A set  $S$  of vertices of  $G = (V, E)$  is a 2-dominating set of  $G$  if every vertex of  $V - S$  is within distance 2 from some vertex of  $S$ . The minimum cardinality among all 2-dominating sets of  $G$  is called the 2-domination number and is denoted by  $\gamma_2(G)$ . Since every perfect neighborhood set of  $G$  is also a 2-dominating set of  $G$ ,  $\gamma_2(G) \leq \theta(G)$  for every graph  $G$ . Strict inequality may hold. For example, consider the bipartite graph  $G_n$  constructed as follows. Take a complete bipartite graph  $K_{2,n}$  with partite sets  $U = \{u, v\}$  and  $W$ . Attach  $n + 2$  vertex disjoint paths of length 2 to each of  $u$  and  $v$ , and attach a path of length 1 to each vertex of  $W$ . Then the resulting bipartite graph  $G_n$  satisfies  $\theta(G_n) = n + 2$  and  $\gamma_2(G_n) = 2$ . Hence for every positive integer  $n$ , there exists a bipartite graph  $G_n$  with  $\theta(G_n) - \gamma_2(G_n) = n$ .

A *packing* of a graph is a set of vertices whose elements are pairwise at distance at least 3 apart in  $G$ . The *lower packing number* of  $G$ , denoted  $\rho_L(G)$ , is the minimum cardinality of a maximal packing of  $G$ . Since every maximal packing  $S$  of  $G$  is a perfect neighborhood set of  $G$ ,  $\theta(G) \leq \rho_L(G)$  for every graph  $G$ . Strict inequality may hold. For example, let  $T_n$  be the tree obtained from the disjoint union  $2K_{1,n+1}$  of two stars each of order  $n + 2$  by subdividing every edge exactly once and then joining the two vertices of degree  $n + 1$  with an edge. Then  $T_n$  satisfies  $\theta(T_n) = 2$  and  $\rho_L(T_n) = n + 2$ . Hence for every positive integer  $n$ , there exists a tree  $T_n$  with  $\rho_L(T_n) - \theta(T_n) = n$ .

Closely related to the concept of perfect neighborhood sets are irredundant sets. For a graph  $G = (V, E)$ , a subset  $S$  of vertices of  $G$  is defined to be *irredundant* if every vertex of  $S$  is  $S$ -perfect or adjacent with an  $S$ -perfect vertex. (Recall that  $S$  is a perfect neighborhood set of  $G$  if every vertex of  $V$  is  $S$ -perfect or adjacent with an  $S$ -perfect vertex.) The *irredundance number* of  $G$ , denoted by  $ir(G)$ , is the minimum cardinality

taken over all maximal irredundant sets of vertices of  $G$ . We close this section with the following conjecture.<sup>3</sup>

**Conjecture 1.** For all graphs  $G$   $\theta(G) \leq ir(G)$ .

### 3. Main result

In this section, we prove:

**Theorem 1.** For all graphs  $G$ ,  $\Theta(G) = \Gamma(G)$ .

For each vertex  $v$  in a minimal dominating set  $D$  of a graph  $G$ , we let  $PN(v, D)$ , or simply  $PN(v)$  if the set  $D$  is clear from the context, denote the set of all vertices that are adjacent with  $v$  but with no other vertex of  $D$ . We begin with the following lemma.

**Lemma 1.** For any minimal dominating set  $D$  of a graph  $G = (V, E)$ , there exists a perfect neighborhood set of  $G$  of cardinality  $|D|$ .

**Proof.** Let  $D$  be a minimal dominating set of  $G$ , and let  $D_1$  consists of all isolated vertices in the subgraph  $\langle D \rangle$  induced by  $D$ . Let  $D_2 = D - D_1$ . For each  $v \in D_2$ ,  $PN(v, D) \neq \emptyset$ . For each  $v \in D_2$ , let  $g(v) \in PN(v, D)$  and let  $T = \{g(v) \mid v \in D_2\}$ . Then  $T \subseteq V - D$ . Let  $S = D_1 \cup T$ . Then  $|N[v] \cap S| = 1$  for every vertex of  $D$ , so all vertices of  $D$  are  $S$ -perfect. However, since  $D$  is a dominating set, every vertex in  $V - D$  is therefore adjacent with an  $S$ -perfect vertex. Hence  $S$  is a perfect neighborhood set of cardinality  $|D|$ .  $\square$

An immediate corollary of Lemma 1 now follows.

**Corollary 1.** For every graph  $G$ ,  $\theta(G) \leq \gamma(G)$  and  $\Gamma(G) \leq \Theta(G)$ .

**Lemma 2.** For every graph  $G = (V, E)$ ,  $\Theta(G) \leq \Gamma(G)$ .

**Proof.** Let  $S$  be a  $\Theta$ -set of  $G$ . We show that  $G$  contains a minimal dominating set of cardinality at least  $|S|$ . Let  $S_1 = \{v \in S \mid v \text{ has an } S\text{-perfect neighbor in } V - S\}$ , and let  $S_2 = S - S_1$ . We show firstly that each vertex  $v$  of  $S_2$  is isolated in  $\langle S \rangle$ . If this is not the case, then there is a  $v \in S_2$  that is adjacent with some other vertex of  $S$ .

<sup>3</sup> This conjecture has attracted considerable interest since it was announced. In [2], the conjecture is proven true for all trees. In [3], the conjecture is shown to be true if  $G$  is claw-free or if  $G$  has a maximal irredundant set  $S$  of minimum cardinality for which the subgraph induced by  $S$  has at most six non-isolated vertices. Recently, Favaron [4] announced at the 16th British Combinatorial Conference held in London in July 1997 that they have a counterexample to this conjecture. Their construction contains over two million vertices.

Thus  $|N[v] \cap S| \geq 2$ , so  $v$  is not  $S$ -perfect. But since  $S$  is a perfect neighborhood set of  $G$ ,  $v$  must then have an  $S$ -perfect neighbor in  $V - S$  and therefore  $v$  belongs to  $S_1$ , a contradiction. Hence each vertex of  $S_2$  is isolated in  $\langle S \rangle$ . Thus each vertex of  $S_2$  is  $S$ -perfect.

Let  $T = N(S) \cap (V - S)$ , and let  $W = V - (S \cup T)$ . Since  $N[w] \cap S = \emptyset$  for each  $w \in W$ , we know that no vertex of  $W$  is  $S$ -perfect. Now let  $T_1 = \{t \in T \mid t \text{ is an } S\text{-perfect neighbor of some vertex in } S\}$ . Thus each vertex of  $T_1$  is  $S$ -perfect and is adjacent with a unique vertex of  $S_1$  and with no vertex of  $S_2$ . Let  $T_2 = \{t \in T \mid t \text{ is adjacent with some vertex of } T_1 \cup S_2\}$ , and let  $T_3 = T - (T_1 \cup T_2)$ .

Each vertex of  $T - T_1$  is adjacent with at least two vertices of  $S$ , so no vertex of  $T - T_1$  is  $S$ -perfect. In particular, no vertex of  $T_3$  is  $S$ -perfect. Thus each vertex of  $T_3$  must be adjacent with an  $S$ -perfect vertex. Since no vertex of  $T_3$  is adjacent with any vertex of  $S_2 \cup T_1$ , and since no vertex of  $T_2 \cup W$  is  $S$ -perfect, each vertex of  $T_3$  must have an  $S$ -perfect neighbor in  $S$ . Among all subsets of  $S$ -perfect vertices of  $S$  that dominate all the vertices of  $T_3$ , let  $S'_1$  be one of minimum cardinality. Thus, each vertex of  $S'_1$  uniquely dominates at least one vertex of  $T_3$ ; that is, for each  $v \in S'_1$ , there exists a vertex  $t \in T_3$  such that  $t$  is adjacent with  $v$  but with no other vertex of  $S'_1$ . Since each vertex of  $S'_1$  is  $S$ -perfect, we know that each vertex of  $S'_1$  is isolated in  $\langle S \rangle$ . Furthermore since no vertex of  $T_3$  is adjacent with any vertex of  $S_2$ , we know that  $S'_1 \subseteq S_1$ . Let  $S''_1 = S_1 - S'_1$ .

We show next that  $D = S'_1 \cup S_2 \cup T_1$  is a dominating set of  $G$ . By definition, each vertex of  $S''_1$  is adjacent with some vertex of  $T_1$  and each vertex of  $T_2$  is adjacent with some vertex of  $S_2 \cup T_1$ . We also know that the set  $S'_1$  dominates  $T_3$ . Since no vertex of  $W$  is  $S$ -perfect, each vertex of  $W$  must have an  $S$ -perfect neighbor from the set  $T$ . However, the only  $S$ -perfect vertices of  $T$  belong to the set  $T_1$ . Hence  $D$  is a dominating set of  $G$ . Thus there must exist a subset  $D^*$  of  $D$  that is a minimal dominating set of  $G$ .

It remains for us to show that  $|D^*| \geq |S|$ . Since each vertex of  $S_2$  is isolated in  $\langle D \rangle$ ,  $S_2 \subseteq D^*$ . For each  $v \in S'_1$ , we know there exists a vertex  $t \in T_3$  such that  $t$  is adjacent with  $v$  but with no other vertex of  $D$ . Thus each vertex of  $S'_1$  uniquely dominates some vertex of  $T_3$ , so  $S'_1 \subseteq D^*$ . Finally,  $|D^* \cap T_1| \geq |S''_1|$  since each vertex of  $T_1$  is adjacent with at most one vertex of  $S''_1$  while no vertex of  $S'_1 \cup S_2$  is adjacent with any vertex of  $S''_1$ . Hence  $|D^*| \geq |S'_1| + |S''_1| + |S_2| = |S|$ . Thus  $D^*$  is a minimal dominating set of  $G$  of cardinality at least  $|S|$ . Consequently,  $\Theta(G) = |S| \leq |D^*| \leq I(G)$ .  $\square$

Theorem 1 now follows immediately from Corollary 1 and Lemma 2.

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