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# Ullemar's formula for the moment map, $II^{\ddagger}$

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#### Abstract

We prove the complex analogue of Ullemar's formula for the Jacobian of the complex moment mapping. This formula was previously established in the real case. © 2005 Elsevier Inc. All rights reserved.

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# 1. Introduction

Consider the 'moment map'  $\mu : \Omega \to (\mu_0, \mu_1, \mu_2, ...),$ where  $\mu_k = \frac{i}{2\pi} \iint_{\Omega} \zeta^k \, d\zeta \wedge d\bar{\zeta},$ (1)

and  $\Omega$  is a bounded domain in  $\mathbb{C}$ . If  $\Omega$  is a simply-connected domain, we can uniformize it as the image  $\Omega = \phi(\mathbb{D})$ , where  $\phi$  is a unique function which is holomorphic in the unit disk  $\mathbb{D}$  and normalized by the following conditions:

$$\phi(0) = 0, \qquad \phi'(0) > 0.$$
 (2)

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Then (1) takes the form

$$\mu_k(\phi) = \frac{\mathrm{i}}{2\pi} \iint_{\mathbb{D}} \phi^k(z) |\phi'(z)|^2 \,\mathrm{d}z \wedge \,\mathrm{d}\bar{z}.$$
(3)

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In general, when the function  $\phi$  is not globally univalent in  $\mathbb{D}$  and satisfies (2), we use the previous formula as the definition for the complex (or analytic) moments of  $\phi$  [2]; then  $\Omega$  is regarded as a Riemannian surface over  $\mathbb{C}$ .

This notion appears in several problems of complex analysis and its applications. In particular, the sequence  $(\mu_k)_{k\geq 1}$  constitutes an infinite family of invariants of the Hele–Shaw problem [4] of the cell  $\Omega$  and can be used as a canonic coordinate system in the corresponding Laplacian growth model [3] (see also [6] for the functional analysis interpretation).

In what follows, we consider the special case when the moment map  $\mu$  is restricted to the set  $\mathfrak{S}_n \subset \mathbb{C}[z]$  of polynomials of degree *n* normalized by (2). It is easy to verify that  $\mu_k = 0$  for all  $k \ge n$  (see also (13)), so only the first *n* moments are of interest for  $P \in \mathfrak{S}_n$ . We consider the induced finite dimensional map

$$\mu: P = a_1 z + a_2 z^2 + \dots + a_n z^n \to (\mu_0(P), \dots, \mu_{n-1}(P)).$$
(4)  
Since  $\mu_0(P) > 0$ ,  
 $\mu: \mathfrak{S}_n \to \mathbb{R}^+ \times \mathbb{C}^{n-1}.$ 

 $\mu : \mathbf{U}_n \rightarrow$ 

Notice that

$$\dim_{\mathbb{R}} \mathbb{R}^+ \times \mathbb{C}^{n-1} = \dim_{\mathbb{R}} \mathfrak{S}_n = 2n-1,$$

where  $\mathfrak{S}_n$  is regarded as an open subset of a linear space. In [2] Gustafsson proved that the Fréchet derivative  $d\mu$  is non-singular at any *P* which is a locally univalent polynomial.

On the other hand, notice that the subset  $\mathfrak{S}_n^{\mathbb{R}}$  which consists of the polynomials with real coefficients is an invariant set of  $\mu$  in the sense that all  $\mu_k(P)$  are real (cf. (14)). Hence, in a similar way the moment map induces the map  $\mu_{\mathbb{R}} : \mathfrak{S}_n^{\mathbb{R}} \to \mathbb{R}^n$ .

In [7], Ullemar conjectured the following formula for the Jacobian of  $\mu_{\mathbb{R}}$ :

$$J_{\mathbb{R}}(P) := \frac{\partial(\mu_0, \dots, \mu_{n-1})}{\partial(a_1, \dots, a_n)}(P) = 2^{-\frac{n(n-3)}{2}} a_1^{\frac{n(n-1)}{2}} P'(1) P'(-1) \Delta(P'^*(z)),$$
(5)

where  $\Delta$  stands for the principal Hurwitz determinant [1, §15.715], and  $Q^*$  denotes the mirror conjugate image of polynomial Q, i.e.

$$Q^{*}(z) := z^{m} \bar{Q}(1/z) = \bar{q}_{m} + \bar{q}_{m-1}z + \dots + \bar{q}_{0}z^{m}, \quad m = \deg Q, \tag{6}$$

where  $Q(z) = Q(\overline{z})$  is the conjugate polynomial.

The above expression for the Jacobian was recently proved in [5] as a consequence of the following identity:

$$J_{\mathbb{R}}^{2}(P) = 4(-1)^{n-1} a_{1}^{n(n-1)} \mathscr{R}(P', P'^{*}) \cdot P'(-1)P'(1),$$
(7)

where  $\mathscr{R}(\cdot, \cdot)$  denotes the resultant of the corresponding polynomials.

In this paper we generalize formula (7) for polynomials with arbitrary complex coefficients.

**Theorem 1.** The Jacobian of the moment map is expressed as follows:

$$J_{\mathbb{C}}(P) := \frac{\partial(\mu_{n-1}, \dots, \mu_1, \mu_0, \mu_1, \dots, \mu_{n-1})}{\partial(\bar{a}_n, \dots, \bar{a}_2, a_1, a_2, \dots, a_n)} = 2a_1^{n^2 - n + 1} \mathscr{R}(P', P'^*).$$
(8)

A well known theorem of Sylvester (see Section 2.2) allows us to compute the above resultant as the determinant of a matrix of size 2n - 2, whose entries are 0 or a coefficient of either P' or  $P'^*$ . In particular, the resultant is homogeneous in the coefficients of P' and  $P'^*$  separately, with respective degree n - 1.

On the other hand, geometrically, the hypersurface

 $\{(a, \bar{a}): \mathscr{R}(P', P^{'*}) = 0\},\$ 

i.e. the critical set of the Jacobian, is the projection of the incidence variety

$$\left\{(a,\bar{a},z): \sum_{k=1}^{n} k a_k z^{k-1} = \sum_{k=0}^{n-1} (n-k) \bar{a}_{n-k} z^k\right\},\$$

that is to say, the set of  $(a, \bar{a})$  which appear above for some z.

The following assertion is a direct consequence of the definition (15) of the resultant and formula (6) above, and it characterizes the set of critical points of  $d\mu$ .

**Corollary 2.** The moment map is degenerate at P if and only if the derivative P' has two roots  $\alpha_i$  and  $\alpha_j$  such that  $\alpha_i \bar{\alpha}_j = 1$  (the case i = j is permitted).

Note that for a locally univalent polynomial in the closed unit disk we have  $|\alpha_j| < 1$  for all the roots of its derivative. Hence, we obtain another proof of the above result due to Gustafsson [2].

**Corollary 3.** *The moment map is locally injective on the set of all locally univalent polynomials in the closed unit disk.* 

## 2. Preliminaries

#### 2.1. Complex moments

Using the Stokes formula, we obtain

$$\mu_k = \frac{\mathrm{i}}{2\pi(k+1)} \int_{\partial\Omega} \zeta^{k+1} \,\mathrm{d}\bar{\zeta} = \frac{1}{2\pi\mathrm{i}} \int_{\partial\Omega} \zeta^k \bar{\zeta} \,\mathrm{d}\zeta, \tag{9}$$

which implies

$$\mu_k(\phi) = \frac{i}{2\pi(k+1)} \int_{\mathbb{T}} \phi^{k+1}(z) \bar{\phi}'(\bar{z}) \, \mathrm{d}\bar{z} = \frac{1}{2\pi i} \int_{\mathbb{T}} \phi^k(z) \bar{\phi}(\bar{z}) \phi'(z) \, \mathrm{d}z,$$

where  $\mathbb{T} = \partial \mathbb{D}$  is the unit circle. Hence, using the identity  $\overline{z} = 1/z$  which holds everywhere in  $\mathbb{T}$ , we get

$$\mu_{k}(\phi) = \frac{1}{2\pi i(k+1)} \int_{\mathbb{T}} \phi^{k+1}(z)\bar{\phi}'(1/z)\frac{dz}{z^{2}}$$
$$= \frac{1}{2\pi i} \int_{\mathbb{T}} \phi^{k}(z)\bar{\phi}(1/z)\phi'(z) dz.$$
(10)

Given a function which is analytic in a neighborhood of  $\mathbb{T}$ , let us denote by  $\lambda_s(f)$  the *s*th Laurent coefficient of f, i.e.

$$f(z) = \sum_{s=-\infty}^{\infty} \lambda_s(f) z^s,$$

hence

$$\mu_k(\phi) = \frac{1}{k+1} \lambda_1(\phi^{k+1}(z)\bar{\phi}'(1/z)) = \lambda_{-1}(\phi^k(z)\phi'(z)\bar{\phi}(1/z)).$$
(11)

Now, let *P* be an arbitrary polynomial in  $\mathfrak{S}_n$ . Then  $\bar{P}'(1/z) = P^{'*}(z)z^{1-n}$  and  $\bar{P}(1/z) = P^*(z)z^{-n}$ , which by virtue of (11) yields

$$\mu_k(P) = \frac{1}{k+1} \lambda_n(P^{k+1} P^{'*}) = \lambda_{n-1}(P' P^k P^*).$$
(12)

It follows from the first identity in (12) and P(0) = 0 that

$$\mu_k(P) = 0, \quad k \ge n. \tag{13}$$

On the other hand, the second identity in (12) yields the so-called *Richardson* formula

$$\mu_k(P) = \sum s_1 a_{s_1} \cdots a_{s_{k+1}} \bar{a}_{s_1 + \dots + s_{k+1}},\tag{14}$$

where the sum is taken over all possible sets of indices  $s_1, \ldots, s_k \ge 1$ . It is assumed that  $a_j = 0$  for  $j \ge n + 1$ . These formulae are easy to use for straightforward manipulations with the complex moments and it follows also that  $\mu_k(P)$  is a *polynomial* mapping.

It is convenient to identify  $\mathfrak{S}_n$  with the corresponding coefficient subset in  $\mathbb{R}^+ \times \mathbb{C}^{n-1}$  in a standard way:

$$a \sim P := a_1 z + a_2 z^2 + \dots + a_n z^n.$$

Since,

$$\mu_0(P) = \sum_{s=1}^n s |a_s|^2 > 0, \quad \mu_{n-1}(P) = n a_1^n \bar{a}_n \neq 0,$$

the moment map (4) is well defined as an automorphism of  $\mathfrak{S}_n$  into itself.

## 2.2. Resultants

Here we review some basic facts about the resultant; see [8] for a detailed introduction.

The resultant of two polynomials

$$A(z) = a_m \prod_{j=1}^m (z - \alpha_j), \quad B(z) = b_k \prod_{j=1}^k (z - \beta_j)$$

with respect to z is the polynomial

$$\mathscr{R}(A,B) = a_m^k b_k^m \prod_{i,j=1} (\alpha_i - \beta_j).$$
<sup>(15)</sup>

The resultant vanishes iff A and B have a common root. It can be evaluated as the determinant of the *Sylvester matrix*, which is the following m + k by m + k matrix:

$$\begin{pmatrix} a_0 & a_1 & \dots & a_m & & \\ & a_0 & a_1 & \dots & a_m & & \\ & & \vdots & & \\ & & a_0 & a_1 & \dots & a_m & \\ & & & a_0 & a_1 & \dots & a_m & \\ & & & b_0 & b_1 & \dots & b_k & & \\ & & & & \vdots & & \\ & & & & b_0 & b_1 & \dots & b_k \end{pmatrix}$$

in which the first k rows are the coefficients of A, the next m rows are the coefficients of B, and the elements not shown are all zero. The following are some useful elementary properties we will use below.

$$\mathcal{R}(A, B) = (-1)^{km} \mathcal{R}(B, A),$$
  

$$\mathcal{R}(A_1 A_2, B) = \mathcal{R}(A_1, B) \mathcal{R}(A_2, B),$$
  

$$\mathcal{R}(z^n, A) = A^n(0).$$
(16)

Next, given a polynomial A(z) of degree *n*, we define its mirror conjugate image as

 $A^*(z) := z^n \bar{A}(1/z) = \bar{a}_n + \bar{a}_{n-1}z + \dots + \bar{a}_0 z^n,$ 

where  $\bar{A}(z) = \overline{A(\bar{z})}$  is the conjugate polynomial. We have for their roots:  $\alpha_j^* = (\bar{\alpha_j})^{-1}$  and the corresponding resultant takes the following form:

$$\mathscr{R}(A, A^*) = \det \begin{pmatrix} a_0 & a_1 & \dots & a_n & & \\ & a_0 & a_1 & \dots & a_n & & \\ & & & \vdots & & \\ & & & a_0 & a_1 & \dots & a_n \\ & & & a_n & \bar{a}_{n-1} & \dots & \bar{a}_0 & & \\ & & & & & \vdots & & \\ & & & & & \bar{a}_n & \bar{a}_{n-1} & \dots & \bar{a}_0 \end{pmatrix}.$$
(17)

**Remark 4.** We wish to point out that the latter form,  $\mathscr{R}(A, A^*)$ , is irreducible as a polynomial of  $(a, \bar{a})$  over  $\mathbb{C}$ . The proof is given in [5, Theorem 6].

# 3. Proof of the Theorem

First, we evaluate the partial derivative of the moment map. Namely, we have for all k = 0, ..., n - 1, j = 1, ..., n, except for j = 1, k = 0,

$$\frac{\partial \mu_k(P)}{\partial a_j} = \lambda_{n-j} (P'^* P^k),$$

$$\frac{\partial \mu_k(P)}{\partial \bar{a}_j} = \lambda_{j-1} (P' P^k).$$
(18)

In fact, let *j* be an integer from  $\{2, ..., n\}$ . Then by the first identity in (12) we have for

$$\frac{\partial \mu_k(P)}{\partial a_j} = \frac{1}{k+1} \lambda_n \left( P^{'*} \frac{\partial P^{k+1}}{\partial a_j} \right) = \lambda_n (P^{'*} P^k z^j) = \lambda_{n-j} (P^{'*} P^k).$$

Similarly, using  $P^* = \bar{a}_n + \bar{a}_{n-1}z + \dots + \bar{a}_2z^{n-2} + a_1z^{n-1}$  and the second identity in (12) we obtain

$$\frac{\partial \mu_k(P)}{\partial \bar{a}_j} = \lambda_{n-1} \left( P' P^k \frac{\partial P^*}{\partial \bar{a}_j} \right) = \lambda_{n-1} (P' P^k z^{n-j}) = \lambda_{j-1} (P' P^k).$$

Finally, for j = 1 we have by the first identity in (12)

$$\frac{\partial \mu_k(P)}{\partial a_1} = \frac{1}{k+1} \lambda_n \left( P^{\prime *} \frac{\partial P^{k+1}}{\partial a_1} + P^{k+1} \frac{\partial P^{\prime *}}{\partial a_1} \right)$$
$$= \lambda_{n-k} (P^{\prime *} P^k) + \frac{1}{k+1} \lambda_1 (P^{k+1}).$$

But  $\lambda_1(P^{k+1}) = 0$  for  $k \ge 1$ , hence the desired assertion follows. We will make use of the following notation

$$\nabla f := \left(\frac{\partial f}{\partial a_n}, \frac{\partial f}{\partial a_{n-1}}, \dots, \frac{\partial f}{\partial a_2}, \frac{\partial f}{\partial a_1}, \frac{\partial f}{\partial \bar{a}_2}, \dots, \frac{\partial f}{\partial \bar{a}_{n-1}}, \frac{\partial f}{\partial \bar{a}_n}\right)$$

and by

$$q_{j-1} = ja_j$$

we denote the coefficients of the derivative Q := P'. Then

$$\nabla \mu_0 = (\bar{q}_{n-1}, \dots, \bar{q}_1, 2q_0, q_1, \dots, q_{n-1}), \tag{19}$$

and for all k = 1, ..., n - 1 we have from (18)

$$\nabla \mu_{k} = \left(\lambda_{0}(Q^{*}P^{k}), \dots, \lambda_{n-2}(Q^{*}P^{k}), \lambda_{n-1}(Q^{*}P^{k}), \lambda_{1}(QP^{k}), \dots, \lambda_{n-1}(Q^{*}P^{k})\right).$$
(20)

Let  $\mathbf{Y}_0 = \nabla \mu_0$  and for  $k \ge 1$  write

$$\mathbf{Y}_k := \left(\lambda_0(\mathcal{Q}^* z^k), \dots, \lambda_{n-2}(\mathcal{Q}^* z^k), \lambda_{n-1}(\mathcal{Q}^* z^k), \lambda_1(\mathcal{Q} z^k), \dots, \lambda_{n-1}(\mathcal{Q}^* z^k)\right).$$

As a direct consequence of the above formula we conclude that

 $\mathbf{Y}_k = \mathbf{0}, \quad k \ge n.$ 

Then it follows from (20) and

$$P = z(a_1 + \dots + a_n z^{n-1})$$

that for all  $k \ge 1$ 

$$\nabla \mu_k = a_1^k \mathbf{Y}_k + \sum_{j=k+1}^{n-1} w_{k,j} \mathbf{Y}_j.$$

Thus,

$$\nabla \mu_0 \wedge \nabla \mu_1 \wedge \dots \wedge \nabla \mu_{n-1} = a_1^N \mathbf{Y}_0 \wedge \mathbf{Y}_1 \wedge \dots \wedge \mathbf{Y}_{n-1},$$
(21)

where N = (n - 1)n/2.

On the other hand, for all  $k \ge 1$  we have

$$\mathbf{Y}_k := (0, \dots, 0, \bar{q}_{n-1}, \dots, \bar{q}_k, 0, \dots, 0, q_0, q_1, \dots, q_{k-1}),$$

where the zeroes groups contain k and k - 1 items respectively.

Now we treat the conjugate moments. We have  $\bar{\mu}_k(P) = \mu_k(\overline{P})$ , whence

$$\nabla \bar{\mu}_k = (\nabla \mu_k)^*,$$

where by  $\mathbf{X}^*$  we denote the mirror conjugate image of vector  $\mathbf{X} = (x_1, x_2, \dots, x_{2n-1})$ , i.e.

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 $\mathbf{X}^* = (\bar{x}_{2n-1}, \dots, \bar{x}_2, \bar{x}_1).$ 

Repeating the above argument for the conjugate expressions yields

$$\nabla \bar{\mu}_{n-1} \wedge \nabla \bar{\mu}_{n-2} \wedge \dots \wedge \nabla \bar{\mu}_1 = a_1^N \mathbf{Y}_{n-1}^* \wedge \mathbf{Y}_{n-2}^* \wedge \dots \wedge \mathbf{Y}_1^*,$$
(22)

hence

$$\nabla \bar{\mu}_{n-1} \wedge \dots \wedge \nabla \bar{\mu}_1 \wedge \nabla \mu_0 \wedge \nabla \mu_1 \wedge \dots \wedge \nabla \mu_{n-1}$$
  
=  $a_1^{n^2 - n} \mathbf{Y}_{n-1}^* \wedge \dots \wedge \mathbf{Y}_1^* \wedge \mathbf{Y}_0 \wedge \mathbf{Y}_1 \wedge \dots \wedge \mathbf{Y}_{n-1}.$  (23)

We rewrite the latter identity in terms of determinants which gives the following expression for the Jacobian:

$$J_{\mathbb{C}}(P) = \frac{\widehat{\partial}(\bar{\mu}_{n-1}, \dots, \bar{\mu}_1, \mu_0, \mu_1, \dots, \mu_{n-1})}{\widehat{\partial}(\bar{a}_{n-1}, \dots, \bar{a}_1, a_0, a_1, \dots, a_{n-1})} = a_1^{n^2 - n} \det \mathbf{Y},$$
(24)

where

$$\mathbf{Y} = \begin{pmatrix} q_0 & q_{n-1} & & \\ \bar{q}_1 & q_0 & q_{n-2} & q_{n-1} & & \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \\ \bar{q}_{n-2} & \bar{q}_{n-3} & \dots & \bar{q}_0 & q_1 & q_2 & q_3 & \dots & q_{n-1} \\ \bar{q}_{n-1} & \bar{q}_{n-2} & \dots & \bar{q}_1 & 2q_0 & q_1 & q_2 & \dots & q_{n-2} & q_{n-1} \\ & \bar{q}_{n-1} & \dots & \bar{q}_2 & \bar{q}_1 & q_0 & q_1 & \dots & q_{n-3} & q_{n-2} \\ & & \ddots & \vdots & \vdots & \ddots & \vdots & \vdots \\ & & & \bar{q}_{n-1} & \bar{q}_{n-2} & & & q_0 & q_1 \\ & & & & & & & & & & & \\ \end{pmatrix},$$

and the elements not shown are all zero.

Now, let  $\mathbf{X}_j$  denote the *j*th column in  $\mathbf{Y}$ . We have for j = 1, ..., n - 1

$$\mathbf{X}_{i} = (0, \dots, 0, q_{0}, \bar{q}_{1}, \dots, \bar{q}_{n-1}, 0, \dots, 0)^{\mathrm{T}},$$

with j - 1 first zeroes, and for  $j = n + 1, \dots, 2n - 1$ :

$$\mathbf{X}_{i} = (0, \dots, 0, q_{n-1}, \dots, q_{1}, q_{0}, 0, \dots, 0)^{\mathrm{T}},$$

with j - n first zeroes, and

$$\mathbf{X}_n = (q_{n-1}, \dots, q_1, 2q_0, \bar{q}_1, \dots, \bar{q}_{n-1})^{\mathrm{T}}$$

One can readily verify that

$$\mathbf{X}_{n} - \sum_{j=1}^{n-1} \frac{q_{n-j}}{q_{0}} \mathbf{X}_{j} + \sum_{j=n+1}^{2n-1} \frac{\overline{q}_{j-n}}{q_{0}} \mathbf{X}_{j} = (0, \dots, 0, 2q_{0}, 2\overline{q}_{1}, \dots, 2\overline{q}_{n-1})^{\mathrm{T}},$$

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which yields for the determinant

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$$\det \mathbf{Y} = 2 \det \begin{pmatrix} q_0 \\ \bar{q}_1 & q_0 & q_{n-1} \\ \vdots & \vdots & \ddots & \vdots & \ddots \\ \bar{q}_{n-1} & \bar{q}_{n-2} & \cdots & \bar{q}_1 & q_0 & q_1 & q_2 & \cdots & q_{n-2} & q_{n-1} \\ \bar{q}_{n-1} & \cdots & \bar{q}_2 & \bar{q}_1 & q_0 & q_1 & \cdots & q_{n-3} & q_{n-2} \\ & \ddots & \vdots & \vdots & \ddots & \vdots & \vdots \\ & & \bar{q}_{n-1} & \bar{q}_{n-2} & & q_0 & q_1 \\ & & & \bar{q}_{n-1} & & q_0 \end{pmatrix}.$$
(25)

The latter is the transposed Sylvester matrix of  $Q^*(z)$  and zQ(z), hence by (16)

det 
$$\mathbf{Y} = 2\mathcal{R}(Q^*, zQ) = 2(-1)^{n(n-1)}\mathcal{R}(zQ, Q^*)$$
  
=  $2\mathcal{R}(z, Q^*)\mathcal{R}(Q, Q^*) = 2Q(0)\mathcal{R}(Q, Q^*).$  (26)

Thus, using our notation Q = P' we arrive at

$$J_{\mathbb{C}}(P) = 2a_1^{n^2 - n + 1} \mathscr{R}(P', P'^*),$$

which completes the proof.  $\Box$ 

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