



## ORIGINAL ARTICLE

# Subsets of a projective variety $X \subset \mathbb{P}^n$ spanning a given $P \in \mathbb{P}^n$

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**Abstract** Fix an integral variety  $X \subset \mathbb{P}^n$ ,  $P \in \mathbb{P}^n$ , and an integer  $k > 0$ . Let  $\mathcal{S}(X, P, k)$  be the set of all subsets  $S \subset X$  such that  $\sharp(S) = k$ ,  $P \in \langle S \rangle$  and  $P \notin \langle S' \rangle$  for any  $S' \subsetneq S$ . Here we study  $\mathcal{S}(X, P, k)$  (non-emptiness and dimension) in the extremal case  $k = n - \dim(X) + 1$ .

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## Introduction

Let  $X \subseteq \mathbb{P}^n$  be an integral and non-degenerate variety defined over an algebraically closed field  $\mathbb{K}$  such that  $\text{char}(\mathbb{K}) = 0$ . Set  $m := \dim(X)$ . For any  $P \in \mathbb{P}^n$  the  $X$ -rank  $r_X(P)$  of  $P$  is the minimal cardinality of a finite set  $S \subset X$  such that  $P \in \langle S \rangle$ , where  $\langle \rangle$  denotes the linear span. In the applications when  $X$  is a Veronese embedding of  $\mathbb{P}^m$  the  $X$ -rank is also called the “structured rank” or “symmetric tensor rank” (this is related to the virtual array concept considered in sensor array processing [1,6,10]).

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For an arbitrary  $X$  up to now the only general result is due to Landsberg and Teitler, who proved the following result [9, Proposition 4.1].

**Theorem 1** [9]. *Let  $X \subset \mathbb{P}^n$  be an integral and non-degenerate  $m$ -dimensional subvariety. Then  $r_X(P) \leq n - m + 1$  for all  $P \in \mathbb{P}^n$ .*

Theorem 1 is known to be sharp for rational normal curves [9, Theorem 5.1]; [3, §3, and references therein] and for a few scattered examples (some non-linearly normal smooth rational curves with a tangent with very high order of contact, many space curves [11], a degree  $n + 1$  linearly normal curve with an ordinary node or an ordinary cusp). But all these examples, except rational normal curves, have a small set of points with  $X$ -rank  $n - m + 1$ . For any  $X, P$  any finite set  $S \subset X$  computing  $r_X(P)$  is linearly independent, (i.e.  $\dim(\langle S \rangle) = \sharp(S) - 1$ ) and  $P \notin \langle S' \rangle$  for all  $S' \subsetneq S$ . Here we consider the cases of  $S$  as above with  $P \in \langle S \rangle$ , but dropping the assumption “ $\sharp(S) = r_X(P)$ ” and see that we obtain in this way a characterization of certain minimal degree subvarieties. We think that the sets  $\mathcal{S}(X, P, k)$  may be useful also because to prove if  $\mathcal{S}(X, P, k)$  is empty or not we do not need to compute  $r_X(P)$ .

Since  $r_X(P) \leq n - m + 1$  by the quoted theorem of Landsberg and Teitler, there is  $A \subset X$  such that  $\sharp(A) = r_X(P) \leq n - m + 1$ ,  $P \in \langle A \rangle$  and  $P \notin \langle A' \rangle$  for any  $A' \subsetneq A$ . If  $r_X(P) < n - m + 1$ , then adding to  $A$  any  $(n - m + 1 - r_X(P))$  general points we obtain  $B \subset X$  such that  $\sharp(B) = n - m + 1$ ,  $B$  is linearly independent and  $P \in \langle B \rangle$ . But of course, there are smaller subsets of  $B$  spanning  $P$ . It is natural to ask if we may find some  $B$  (obtained in a different way) with this additional property. Our answer is that this is possible for almost all, but not all, pairs  $(X, P)$  (see Theorems 2 and 3). Only part (iii) of Theorem 3 is not a complete and explicit description. For every integer  $k > 0$  let  $\mathcal{S}(X, P, k)$  be the set of all subsets  $S \subset X$  such that  $\sharp(S) = k$ ,  $P \in \langle S \rangle$  and  $P \notin \langle S' \rangle$  for any  $S' \subsetneq S$ . Take any  $S \in \mathcal{S}(X, P, k)$ . The latter condition in the definition of these sets implies  $\dim(\langle S \rangle) = k - 1$ , i.e.  $S$  is linearly independent. Thus  $\mathcal{S}(X, P, k) = \emptyset$  for all  $k \geq n + 2$ . Obviously  $\mathcal{S}(X, P, k) = \emptyset$  if  $k < r_X(P)$ , and  $\mathcal{S}(X, P, r_X(P)) \neq \emptyset$ . Obviously  $\mathcal{S}(X, P, n + 1)$  contains a non-empty open subset of the symmetric product of  $n + 1$  copies of  $X$ . Thus  $\dim(\mathcal{S}(X, P, n + 1)) = (n + 1)m$  and every subset of  $X$  with cardinality  $n + 1$  is a limit of a family of elements of  $\mathcal{S}(X, P, n + 1)$ .

To state our results we need to introduce two definitions and the following notation.

For any subset  $U$  of a projective space  $\mathbb{P}^r$  such that  $b := \dim(\langle U \rangle) \leq r - 1$ , let  $\ell_U : \mathbb{P}^r \setminus \langle U \rangle \rightarrow \mathbb{P}^{r-b-1}$  denote the linear projection from the linear space  $\langle U \rangle$ .

**Definition 1.** Let  $Y \subset \mathbb{P}^r$  be an integral and non-degenerate subvariety. Set  $x := \dim(Y)$ . We say that  $Y$  belongs to  $\mathcal{A}(x, r)$  if it has minimal degree (i.e.  $\deg(Y) = r - x + 1$ ) and  $Y$  belongs to one of the following classes:

- (a)  $Y$  is a (cone over a) rational normal curve and  $r \geq 3x - 1 + \eta$ , where  $\eta = 0$  if  $r - x$  is even and  $\eta = 1$  if  $r - x$  is odd.  
 (b)  $(x, r) = (2, 5)$  and  $Y$  is the Veronese surface.

In case (a) we allow the case  $x = 1$ , i.e.  $\mathcal{A}(1, r)$ ,  $r \geq 2$ , is the set of all rational normal curves of  $\mathbb{P}^r$ . See [7] for the complete classification of all minimal degree subvarieties of  $\mathbb{P}^r$ .

We will prove that  $Y \in \mathcal{A}(x, r)$  if and only if there is no  $S \subset Y$  such  $\sharp(S) = r - x + 2$ ,  $\dim(\langle S \rangle) = r - x$  and every proper subset of  $Y$  is linearly independent (Proposition 4). If we only assume  $\sharp(S) \leq r - x + 2$ , then the rational normal curve of  $\mathbb{P}^r$  is the only example (Corollary 1).

**Theorem 2.** *Let  $X \subset \mathbb{P}^n$  be an integral and non-degenerate  $m$ -dimensional variety. Fix  $P \in \mathbb{P}^n \setminus X$ . Set  $Y := \ell_P(X)$ .*

- (i) *If either  $n = m + 1$  or  $\deg(Y) \geq n - m + 1$ , then  $\mathcal{S}(X, P, n - m + 1)$  contains an  $m(n - m)$ -dimensional family of subsets of  $X$ .*  
 (ii) *Assume  $n \geq m + 2$ . We have  $\mathcal{S}(X, P, n - m + 1) = \emptyset$  if and only if  $Y \in \mathcal{A}(m, n - 1)$ .*  
 (iii) *Let  $\Sigma \subsetneq X$  be any proper closed subset. Fix a general  $S' \subset X$  such that  $\sharp(S') = n - m - 1$ . If either  $n = m + 1$  or  $n \geq m + 2$  and  $\deg(Y) \geq n - m + 1$ , then there is  $S \in \mathcal{S}(X, P, n - m + 1)$  such that  $S \cap \Sigma = \emptyset$  and  $S' \subset S$ .*

We have  $\deg(X) = a \cdot \deg(Y)$ , where  $a := \deg(\ell_P|X)$ . Since  $\deg(X) \geq n - m + 1$ , in case (ii) we have  $a \geq 2$ . For strong restrictions on the set of all  $P \in \mathbb{P}^n \setminus X$  such that  $\ell_P|X$  has degree  $> 1$ , see [4].

**Definition 2.** Let  $X \subset \mathbb{P}^n$  be an integral and non-degenerate  $m$ -dimensional subvariety. Fix  $P \in X$ . We assume that  $X$  is not a cone with vertex containing  $P$  and the following holds. Let  $Y \subset \mathbb{P}^{n-1}$  be the closure of  $\ell_P(X \setminus \{P\})$ . Since  $X$  is not a cone with vertex containing  $P$ , we have  $\dim(Y) = m$ . Let  $a$  be the degree of the morphism  $\ell_P|_{(X \setminus \{P\})} \rightarrow Y$ . We say that  $(X, P) \in \mathcal{B}(m, n)$  if  $a = 1$ ,  $Y$  has degree  $n - m$ ,  $Y \notin \mathcal{A}(m, n - 1)$  if  $m \leq n - 2$  and there is an  $(n - m - 1)$ -linear subspace  $V \subset \mathbb{P}^n$  such that  $P \in V$ ,  $V \cap X$  has positive dimension and the set-theoretic intersection  $V \cap (X \setminus \{P\})_{red}$  spans  $V$ .

**Theorem 3.** *Let  $X \subset \mathbb{P}^n$  be an integral and non-degenerate  $m$ -dimensional variety. Let  $Y \subset \mathbb{P}^{n-1}$  be the closure of  $\ell_P(X \setminus \{P\})$  in  $\mathbb{P}^{n-1}$ . Set  $\psi: \ell_P|_{(X \setminus \{P\})}$ . If  $X$  is not a cone with vertex containing  $P$  let  $a$  be the degree of the morphism  $\psi: X \setminus \{P\} \rightarrow Y$ . Let  $\mathcal{F}$  denotes the set of all lines contained in  $X$  and containing  $P$ .*

- (i) Assume  $n = m + 1$ . We have  $\mathcal{S}(X, P, 2) \neq \emptyset$  if and only if either  $\mathcal{F} \neq \emptyset$  or  $a \geq 2$ .
- (ii) Assume  $n \geq m + 2$  and that  $X$  is not a cone with vertex containing  $P$ . If  $a \geq 2$ , then  $\mathcal{S}(X, P, n - m + 1) = \emptyset$  if and only if  $Y \in \mathcal{A}(m, n - 1)$ . If  $a = 1$  and  $\deg(Y) \geq n - m + 1$ , then  $\mathcal{S}(X, P, n - m + 1) \neq \emptyset$ .
- (iii) Assume  $n \geq m + 2$ , that  $X$  is not a cone with vertex containing  $P$ ,  $a = 1$  and  $\deg(Y) = n - m$ . If  $Y \in \mathcal{A}(m, n - 1)$ , then  $\mathcal{S}(X, P, n - m + 1) = \emptyset$ . If  $\mathcal{S}(X, P, n - m + 1) \neq \emptyset$ , then  $(X, P) \in \mathcal{B}(m, n)$ .
- (iv) Assume  $n \geq m + 2$  and that  $X$  is a cone with vertex containing  $P$ . Then  $\mathcal{S}(X, P, n - m + 1) = \emptyset$  if and only if  $\deg(X) = n - m$  and one of the following two cases occurs:
- (iv1)  $m = 3$  and  $X$  is a cone over a Veronese surface;
- (iv2)  $m \geq 2$ ,  $X$  is a cone over a rational normal curve and  $n \leq 3m - 6 + \eta$ , where  $\eta = 0$  if  $n - m$  is even and  $\eta = 1$  if  $n - m$  is odd.

Take the set-up of parts (ii) and (iii) of Theorem 3, i.e. assume  $m \geq n + 2$ ,  $P \in X$  and  $X$  not a cone with vertex containing  $P$ . Let  $\mu$  be the multiplicity of  $X$  at  $P$ . We have  $\deg(Y) = \mu + a \cdot \deg(Y)$ , where  $\mu$  is the multiplicity of  $\mu$  at  $P$ . Thus if we know  $\mu$  we get a very strong restriction for the possible integers  $a \geq 1$  and  $\deg(Y) \geq n - m$ . If  $Y \in \mathcal{A}(m, n - 1)$ , then  $\deg(Y) = n - m$ . Take the set-up of part (iv) of Theorem 3. The two exceptional cases just mean  $Y \in \mathcal{A}(m - 1, n - 1)$ .

Part (iii) of Theorem 2 is a mildly interesting base-point-free-theorem for the family of sets  $\mathcal{S}(X, P, n - m + 1)$ . The same dimensional count which gives the expected dimension of secant varieties gives the expectation that usually  $\mathcal{S}(X, P, n - m + 1)$  is very large. The surprising fact is that sometimes  $\mathcal{S}(X, P, n - m + 1)$  is empty and that all cases in which  $\mathcal{S}(X, P, n - m + 1) = \emptyset$  may be described in terms of minimal degree subvarieties. Fix  $Q \in \mathbb{P}^n \setminus \{P\}$ . Statements like part (iii) of Theorem 2 should be useful to handle inner projections from  $P \in X$  and the delicate relations between the sets  $\{S \in \mathcal{S}(X, Q, k) : P \in S\}$  and  $\mathcal{S}(Y, \ell_P(Q), k - 1)$ . For the corresponding statement for Theorem 3, see Remark 2.

## The proofs

**Lemma 1.** *Let  $Y \subset \mathbb{P}^r$  be an integral and non-degenerate subvariety. Set  $x := \dim(Y)$  and assume  $\deg(Y) \geq r - x + 2$ . Fix any proper closed subset  $\Delta \subsetneq Y$  and a general  $A \subset Y \setminus \Delta$  such that  $\sharp(A) = r - x + 1$ . Let  $\Gamma$  be the set of all  $B \subset Y$  such that  $\sharp(B) = r - x + 2$ ,  $\dim(\langle B \rangle) = r - x$  and every proper subset of  $B$  is linearly independent. Then  $\Gamma \neq \emptyset$  and there is  $B \in \Gamma$  such that  $A \subset B$  and  $B \cap \Delta = \emptyset$ .*

**Proof.** By Bertini's theorem and the linearly general position lemma [2, p. 109] a general  $(r - x)$ -dimensional linear subspace  $H$  of  $\mathbb{P}^r$  intersects  $Y$  in a reduced set of  $\deg(Y)$  points in linearly general position in  $H$ , i.e. every  $E \subseteq Y \cap H$  spans a linear subspace of dimension  $\min\{r - x, \sharp(E) - 1\}$ . Since  $A$  is chosen general, the same is true for the  $(r - x)$ -dimensional linear space  $\langle A \rangle$ . Since we fix  $A$  after fixing  $\Delta$  and  $\dim(\Delta) \leq x - 1$ , we may assume  $\langle A \rangle \cap \Delta = \emptyset$ . Since  $\deg(Y) \geq r - x + 2$ , we have  $(Y \cap \langle A \rangle) \setminus A \neq \emptyset$ . Fix any  $O \in (Y \cap \langle A \rangle) \setminus A$ . Since  $\{O\} \cup A$  is in linearly general position in  $\langle A \rangle$ , we have  $\{O\} \cup A \in \Gamma$ .  $\square$

**Lemma 2.** *Let  $Y \subset \mathbb{P}^r$ ,  $r \geq 4$ , be a non-degenerate and smooth degree  $r - 1$  surface. If  $r = 5$ , then assume that  $Y$  is not the Veronese surface. Then there is  $B \subset Y$  such that  $\sharp(B) = r$ ,  $\dim(\langle B \rangle) = r - 2$  and  $\dim(\langle B' \rangle) = \sharp(B') - 1$  for every proper subset  $B'$  of  $B$ .*

**Proof.** There is an integer  $e$  such that  $0 \leq e \leq (r - 1)/2$ ,  $e \equiv r - 1 \pmod{2}$  and  $Y$  is isomorphic to the Hirzebruch surface  $F_e$ , i.e. to the rational ruled surface with a section  $h$  of the ruling with self-intersection  $-e$  see [8, V.2.17]. We have  $\text{Pic}(F_e) \cong \mathbb{Z}^{\oplus 2}$  and we may take as a basis of  $\text{Pic}(F_e)$  the section  $h$  and a fiber  $f$  of the corresponding ruling. Thus  $h^2 = -e$ ,  $h \cdot f = 1$  and  $f^2 = 0$ . The embedding  $j: F_e \hookrightarrow \mathbb{P}^r$  with  $Y$  as its image is given by the complete linear system  $|\mathcal{O}_{F_e}(h + ((r + e - 1)/2)f)|$ . Since  $(r + e - 3)/2 \geq e$ , the linear system  $|\mathcal{O}_{F_e}(h + ((r + e - 3)/2)f)|$  is spanned. Thus its general element is a smooth curve and  $j$  maps each smooth element of it into a smooth rational curve  $D \subset Y$  such that  $\dim(\langle D \rangle) = r - 2$  and  $D$  is a rational normal curve in  $\langle D \rangle$  [8, V.2.17]. Take as  $B$  any  $r$  points of  $D$ .  $\square$

**Lemma 3.** *Let  $Y \subset \mathbb{P}^5$  be a Veronese surface. Fix  $S \subset Y$  such that  $\sharp(S) = 5$  and  $\dim(\langle S \rangle) \leq 3$ . Then there exists  $S' \subset S$  such that  $\sharp(S') = 4$  and  $\dim(\langle S' \rangle) = 2$ .*

**Proof.** Let  $j: \mathbb{P}^2 \rightarrow \mathbb{P}^5$  be the Veronese embedding with  $Y = j(\mathbb{P}^2)$ . Take  $A \subset \mathbb{P}^2$  such that  $j(A) = S$ . Thus  $\sharp(A) = 5$ . Since  $\dim(\langle S \rangle) \leq 3$ , we have  $h^1(\mathbb{P}^2, \mathcal{I}_A(2)) > 0$ . There is a line  $L \subset \mathbb{P}^2$  such that  $\sharp(A \cap L) \geq 4$  (e.g. use [3, Lemma 4.6]). Take  $A' \subseteq A \cap L$  such that  $\sharp(A') = 4$  and set  $S' := j(A')$ .  $\square$

**Lemma 4.** *Let  $Y \subset \mathbb{P}^6$ , be a three-dimensional cone over a Veronese surface of  $\mathbb{P}^5$ . Then there is  $S \subset Y$  such that  $\sharp(S) = 5$ ,  $\dim(\langle S \rangle) = 3$  and each proper subset of  $S$  is linearly independent.*

**Proof.** Let  $O$  be the vertex of  $Y$ . Fix a hyperplane  $H \subset \mathbb{P}^6$  such that  $O \notin H$ . Thus  $H \cap Y$  is isomorphic to a Veronese surface. Fix a smooth conic  $D \subset Y \cap H$ . Let  $W$  be the quadric cone of  $\langle \{O\} \cup D \rangle \cong \mathbb{P}^3$  with vertex  $O$  and  $D$  as a basis. Let  $S_1 \subset W \setminus \{O\}$  be a general subset such that  $\sharp(S_1) = 4$ . Since  $S_1$  is general, it spans

$\langle\{O\} \cup D\rangle$  and  $\ell_O(S_1)$  are 4 points of  $S$ . Set  $S := \langle\{O\} \cup S_1\rangle$ . By construction  $S$  is linearly dependent, while  $S_1$  is linearly independent. Since any 3 points of  $D$  are linearly independent and  $O \notin S_1$  we get  $\dim(\langle\{O\} \cup S_2\rangle) = \sharp(S_2)$  for every  $S_2 \subsetneq S_1$ .  $\square$

**Remark 1.** Let  $Y \subset \mathbb{P}^r$  be an integral and non-degenerate subvariety. Set  $k := \dim(Y)$  and assume  $k \geq 2$ . Let  $H \subset \mathbb{P}^r$  be a hyperplane such that  $Y_H := Y \cap H$  is integral (e.g. take as  $H$  a general hyperplane). Fix an integer  $y$  such that  $3 \leq y \leq r + 1$ . Let  $\Gamma(y)$  (resp.  $\Gamma_H(y)$ ) be the set of all  $B \subset Y$  (resp.  $B \subset Y_H$ ) such that  $\sharp(S) = y, \dim(\langle B \rangle) = y - 2$  and every proper subset of  $B$  is linearly independent. Since  $Y_H \subset Y$ , we have  $\Gamma_H(y) \subseteq \Gamma(y)$ .

**Proposition 1.** Fix integers  $r > x \geq 2$ . Let  $Y \subset \mathbb{P}^r$  be an  $x$ -dimensional cone over the rational normal curve of  $\mathbb{P}^{r-x+1}$ . Let  $\Gamma$  be the set of all  $S \subset Y$  such that  $\sharp(S) = r - x + 1, \dim(\langle S \rangle) = r - x - 1$  and  $\dim(\langle S' \rangle) = \sharp(S') - 1$  for all  $S' \subsetneq S$ . Then  $\Gamma \neq \emptyset$  if and only if  $r \leq 3x - 2 + \eta$ , where  $\eta = 0$  if  $r - x$  is even and  $\eta = 1$  if  $r - x$  is odd.

**Proof.** Let  $V$  be the vertex of  $Y$ . We have  $\dim(V) = x - 2 \geq 0$ . Fix an integer  $s$  such that  $0 \leq s \leq \min\{x, r - x + 1\}$ . Set  $\Gamma_s := \{S \in \Gamma : \sharp(S \cap V) = s\}$ . It is sufficient to check for which pairs  $(r, x)$  there is  $s \in \{0, \dots, \min\{x, r - x + 2\}\}$  such that  $\Gamma_s \neq \emptyset$ . If  $r - x + 1 \leq x$  (i.e. if  $r \leq 2x - 1$ ), then  $\Gamma_{r-x+1}$  is defined and non-empty.

Now assume  $r = 2x$ . Fix any  $O \in Y \setminus V$ . Since  $Y$  contains the  $(x - 1)$ -dimensional linear space  $\langle V \cup \{O\} \rangle$ , a general  $S \subset \langle V \cup \{O\} \rangle$  with cardinality  $x + 1$  belongs to  $\Gamma_{r-x}$ . Thus  $\Gamma_{r-x} \neq \emptyset$  if  $r = 2x$ . Hence from now on we always assume  $r \geq 2x + 1$  and  $s \leq r - x + 1$ . Let  $M \subset \mathbb{P}^r$  be a general  $(r - x + 1)$ -dimensional linear subspace. Since  $M$  is general,  $V \cap M = \emptyset$  and  $C := Y \cap M$  is a rational normal curve. See  $\ell_V$  as a linear projection from  $\mathbb{P}^r \setminus V$  onto  $M$ . Thus  $u := \ell_V|_{(Y \setminus V): Y \setminus V \rightarrow C}$  is a submersion with as fibers the  $(x - 1)$ -dimensional affine spaces  $u^{-1}(Q) = \langle\{Q\} \cup V\rangle \setminus V$  for all  $Q \in C$ . Assume the existence of  $S \in \Gamma_s$  and set  $\{Q_1, \dots, Q_h, Q_{h+1}, \dots, Q_{h+k}\} := u(S \setminus S \cap V)$ , with  $h, k$  non-negative integers, the sequence  $\{a_i := \sharp(u^{-1}(Q_i) \cap S)\}_{1 \leq i \leq h+k}$  non-decreasing and  $a_i = 1$  if and only if  $h + 1 \leq i \leq h + k$ . Notice that  $\sum_{i=1}^{h+k} a_i = r - x + 1 - s$ .

Set  $M_i := \langle u^{-1}(Q_i) \cap S \rangle$  and  $D_i := M_i \cap V$ . Since  $r \geq 2x + 1$ , the set  $S$  is not contained in any  $(x - 1)$ -dimensional projective space  $\langle V \cup u^{-1}(Q) \rangle$ ,  $Q \in C$ . Thus each set  $u^{-1}(Q_i) \cap S$  is a proper subset of  $S$ . Thus each set  $u^{-1}(Q_i) \cap S$  is linearly independent. Thus  $M_i$  is an  $(a_i - 1)$ -dimensional linear subspace of  $\langle u^{-1}(Q_i) \rangle$  not contained in the hyperplane  $V$  of  $\langle u^{-1}(Q_i) \rangle$ . Thus  $D_i$  is an  $(a_i - 2)$ -dimensional linear subspace of  $V$  (with  $D_i = \emptyset$  if and only if  $h + 1 \leq i \leq h + k$ ). Set  $D_0 := \langle S \cap V \rangle$ . Since  $s \leq x - 1$ , we have  $\dim(D_0) = s - 1$ , with the convention

$\dim(\emptyset) = -1$ . Since  $\dim(\langle S \rangle) = \sharp(S) - 2$ , the linear subspaces  $D_0, M_1, \dots, M_{h+k}$  fail to be linearly independent just by 1. Since  $\dim(V) = x - 2$ , we have

$$s + a_1 + \dots + a_h \leq x + h - \epsilon \quad (1)$$

where  $\epsilon = 0$  if the linear subspaces  $D_0, \dots, D_h$  are not linearly independent and  $\epsilon = 1$  otherwise.

- (a) Here we assume  $k = 0$ , i.e.  $a_i \geq 2$  for all  $i$ . Thus  $s + a_1 + \dots + a_h = r - x + 1$ . Since  $a_i \geq 2$  for all  $i \in \{1, \dots, h\}$ , the maximal value of the right hand side of (1) with  $\epsilon = 0$  (i.e. the maximal value of  $h$ ) is obtained taking  $s = 0$ ,  $h = x$  and  $a_i = 2$  for all  $i$ . Since  $s + a_1 + \dots + a_h = r - x + 1$ , if  $r - x + 1$  is odd we also need either  $s \geq 1$  or  $a_i \geq 3$  for some  $i$ . Thus no  $S$  with  $k = 0$  exists if either  $r \geq 3x$  and  $r - x + 1$  is even or  $r \geq 3x - 1$  and  $r - x + 1$  is odd. Equivalently, for the existence part with  $k = 0$  it is necessary to assume  $r \leq 3x - 1$ , because if  $r = 3x - 1$ , then  $r - x + 1$  is even.
- (b) Here we assume  $k > 0$ . Hence  $S' := (S \cap V) \cup \bigcup_{i=1}^h (u^{-1}(Q_i) \cap S) \subsetneq S$ . Thus  $\dim(\langle S' \rangle) = \sharp(S') - 1$ . Hence  $\langle S' \rangle$  is the direct sum of the linear subspaces  $D_0$  and  $M_i$ ,  $1 \leq i \leq h$ , while the sum  $D_0 + \dots + D_h$  is a direct sum, i.e. in (1) we take  $\epsilon = 1$ . Since  $C$  is a rational normal curve of  $\mathbb{P}^{r-x+1}$ , any  $r - x + 2$  of its points are linearly independent. Since  $\ell_V(S \setminus S \cap V) = Q_1 + \dots + Q_{h+k}$  and  $s + 2h + k \leq r - x + 1$ , the set  $\ell_V(S \setminus S \cap V) = Q_1 + \dots + Q_{h+k}$  is linearly independent. Hence  $Q_{h+1}, \dots, Q_{h+k}$  give  $k$  independent conditions to the linear system  $|\mathcal{I}_{D_0 \cup D_1 \cup \dots \cup D_h}(1)|$ . Thus  $S$  is linearly independent, contradiction.
- (c) Here we assume  $r \leq 3x - 2 + \eta$ , where  $\eta = 0$  if  $r - x$  is even and  $\eta = 1$  if  $r - x$  is odd. Here we make a construction which proves the “if” part of the lemma.

(c1) Here we also assume  $r - x + 1$  even. Fix a linear subspace  $W \subseteq V$  such that  $\dim(W) = (r - x + 1)/2 - 2$ .  $W$  exists, because  $r \leq 3x - 1$ , i.e.  $(r - x + 1)/2 - 2 \leq x - 2$ . Fix  $(r - x + 1)/2$  general points  $O_1, \dots, O_{(r-x+1)/2} \in W$ . For each  $i \in \{1, \dots, (r - x + 2)/2\}$  take a general line  $D_i \subset Y$  containing  $O_i$ . Take a general  $S_i \subset D_i \setminus \{O_i\}$  such that  $\sharp(S_i) = 2$ . Set  $S := S_1 \cup \dots \cup S_{(r-x+1)/2}$ . Since  $\dim(\langle D_1 \cup \dots \cup D_{(r-x+1)/2} \rangle) = (r - x + 1)/2 - 2 + (r - x + 1)/2 = \sharp(S) - 2$  and each  $S_i$  is general in  $D_i$ , we get  $S \in \Gamma_0$ .

(c2) Now assume  $r - x + 1$  odd. Hence  $r \geq x + 2$ . Fix a linear subspace  $W \subseteq V$  such that  $\dim(W) = (r - x)/2 - 1$ .  $W$  exists, because  $r \leq 3x - 2$ , i.e.  $(r - x)/2 - 1 \leq x - 2$ . Fix  $(r - x)/2 + 1$  general points  $O_0, O_1, \dots, O_{(r-x)/2} \in W$ . For each  $i \in \{1, \dots, (r - x)/2\}$  take a general line  $D_i \subset Y$  containing  $O_i$ . Take a general  $S_i \subset D_i \setminus \{O_i\}$  such that  $\sharp(S_i) = 2$ . Set  $S := \{O_0\} \cup S_1 \cup \dots \cup S_{(r-x)/2}$ . Since  $O_0$  is general in  $W$  and each  $S_i$  is general in  $D_i$ , we get  $S \in \Gamma_1$ . This construction proves the “if” part of the lemma.  $\square$

**Proposition 2.** *Fix integers  $r > x \geq 2$  and  $y \in \{r - x, r - x + 2\}$ . Let  $Y \subset \mathbb{P}^r$  be an  $x$ -dimensional cone over the rational normal curve of  $\mathbb{P}^{r-x+1}$ . Let  $\Gamma(y)$  be the set of all  $S \subset Y$  such that  $\sharp(S) = y, \dim(\langle S \rangle) = y - 2$  and  $\dim(\langle S' \rangle) = \sharp(S') - 1$  for all  $S' \subsetneq S$ . Then  $\Gamma(y) \neq \emptyset$  if and only if  $y \leq 2x - 2 + \eta$ , where  $\eta = 0$  if  $r - x$  is even and  $\eta = 1$  if  $r - x$  is odd.*

**Proof.** We modify the proof of Proposition 1 in the following way. We have  $r - x \equiv y \pmod{2}$ . For the non-existence part we use (1) with  $\epsilon := r - x + 1 - y + \alpha$  and  $\alpha = 0$  if the linear subspaces  $D_0, D_1, \dots, D_h$  are linearly dependent,  $\alpha = 1$  otherwise. For the existence part we take  $s = 0$  if  $r - x$  is even and  $s = 1$  if  $r - x$  is odd. If  $r - x$  is even, then we take  $W \subseteq V$  such that  $\dim(W) = y/2 - 2$ . Hence we need  $y/2 - 2 \leq x - 2$ . We take  $y/2$  general points  $O_i \in W$ ,  $1 \leq i \leq y/2 + 2$ . If  $r - x$  is odd we take  $W \subseteq V$  such that  $\dim(W) = (y + 1)/2 - 2$ . Hence we need  $(y + 1)/2 - 2 \leq x - 2$ . We take  $(y - 1)/2 + 1$  general points  $O_i \in W$ ,  $0 \leq i \leq (y - 1)/2$ . We use step (c) of the proof of Proposition 1 with these new data.  $\square$

**Proposition 3.** *Let  $Y \subset \mathbb{P}^r$  be an integral and non-degenerate subvariety such that  $\deg(Y) = r - x + 1$ , where  $x := \dim(Y)$ . There is no  $S \subset Y$  such that  $\sharp(S) = r - x + 1$ ,  $\dim(\langle S \rangle) = r - x - 1$ , and every proper subset of  $S$  is linearly independent if and only if  $Y$  is in the following list:*

- (i)  $x = r - 1$ , i.e.  $Y$  is a quadric hypersurface;
- (ii)  $x = 1$ , i.e.  $Y$  is a rational normal curve;
- (iii)  $x \geq 2$ ,  $Y$  is a cone over a rational normal curve and  $r \geq 3x - 1 + \eta$ , where  $\eta = 0$  if  $r - x$  is even and  $\eta = 1$  if  $r - x$  is odd.

**Proof.** Any two points of  $\mathbb{P}^r$  are linearly independent. Thus  $S$  does not exist if  $r - x + 1 = 2$ , i.e. if  $Y$  is a quadric hypersurface. If  $Y$  is a rational normal curve, then every subset of it with cardinality  $\leq \deg(Y) + 1$  is linearly independent. Proposition 1 gives that the one listed in (iii) are exactly the cones over a rational normal curve with no  $S$  as in the statement. Hence the “if” part is true.

Now we check the “only if” part. Thus we may assume  $r \geq x + 2$  and that  $Y$  is not a cone over a rational normal curve. First assume  $x = 2$  and  $Y$  smooth. If  $Y$  is a Veronese surface (and hence  $(r, x) = (5, 2)$ ), then it is sufficient to take 4 points in a conic  $C \subset Y$ . Now assume that  $Y$  is a Hirzebruch surface. There is an integer  $e$  such that  $0 \leq e \leq (r - 1)/2$ ,  $e \equiv r - 1 \pmod{2}$  and  $Y$  is isomorphic to the Hirzebruch surface  $F_e$ , i.e. to the rational ruled surface with a section  $h$  of the ruling with self-intersection  $-e$  (see [8, V.2.17]). We have  $\text{Pic}(F_e) \cong \mathbb{Z}^{\oplus 2}$  and we may take as a basis of  $\text{Pic}(F_e)$  the section  $h$  and a fiber  $f$  of the corresponding ruling. Thus  $h^2 = -e, h \cdot f = 1$  and  $f^2 = 0$ . First assume  $r \geq e + 5$ , i.e.  $(r + e - 5)/2 \geq e$ . The embedding  $j: F_e \hookrightarrow \mathbb{P}^r$  with  $Y$  as its image is given by the complete



linear system  $|\mathcal{O}_{F_e}(h + ((r + e - 1)/2)f)|$ . Since  $(r + e - 5)/2 \geq e$ , the linear system  $|\mathcal{O}_{F_e}(h + ((r + e - 5)/2)f)|$  is spanned. Thus its general element is a smooth curve and  $j$  maps each smooth element of it into a smooth rational curve  $D \subset Y$  such that  $\dim(\langle D \rangle) = r - 3$  and  $D$  is a rational normal curve in  $\langle D \rangle$  [8, V.2.17]. Take as  $B$  any  $r - 1$  points of  $D$ . Now assume  $r \leq e + 4$ . Since  $r \geq x + 2 = 4$ ,  $1 \leq e \leq (r - 1)/2$  and  $e \equiv r - 1 \pmod{2}$  we get  $(r, e) \in \{(4, 1), (5, 0), (5, 2)\}$ .

If  $(r, e) = (4, 1)$ , then take as  $S$  any 3 points of a line of the ruling of  $Y$ .

If  $(r, s) = (5, 0)$ , then use that  $j(h)$  is a smooth conic, because  $(h + 2f) \cdot h = 2$ ; take 4 points of  $j(h)$ .

Now assume  $(r, e) = (5, 2)$ ;  $j(h)$  is a line; take any  $F \in |f|$ ;  $j(h \cup F)$  is a reducible conic and we may take as  $S$  the union of two points of  $j(h) \setminus j(h \cap F)$  and two points of  $j(h) \cap j(h \cap F)$ .

Now assume  $x \geq 3$ . Let  $M \subset \mathbb{P}^r$  be a general linear subspace of codimension  $x - 2$ . Since  $Y$  is not a cone over a rational normal curve, the scheme  $Y \cap V$  is a smooth minimal degree surface of  $V$ . Apply what we just proved for the case  $x = 2$  to  $Y \cap V$  and then apply  $(x - 2)$  times Remark 1.  $\square$

**Proposition 4.** *Fix integers  $r > x \geq 2$ . Let  $Y \subset \mathbb{P}^r$  be an integral and non-degenerate  $x$ -dimensional subvariety such that  $\deg(Y) = r - x + 1$ . Let  $\Gamma$  be the set of all  $S \subset Y$  such that  $\sharp(S) = r - x + 2$ ,  $\dim(\langle S \rangle) = r - x$ , and  $\dim(\langle S' \rangle) = \sharp(S') - 1$  for all  $S' \subsetneq S$ . Then  $\Gamma = \emptyset$  if and only if either  $Y$  is a Veronese surface or  $Y$  is a cone over a rational normal curve and  $r \leq 3x - 4 + \eta$ , where  $\eta = 0$  if  $r - x$  is even and  $\eta = 1$  if  $r - x$  is odd.*

**Proof.** If  $Y$  is a cone over a rational normal curve, then we use the case  $y = r - x + 2$  of Proposition 2. If  $Y$  is a Veronese surface, then we use Lemma 3. If  $x \geq 3$  and  $Y$  is a cone over a Veronese surface, then we use Lemma 4. In all other cases a general two-dimensional linear section  $Y_1$  of  $Y$  is a minimal degree smooth Hirzebruch surface. Apply Lemma 2 to  $Y_1$  and then apply  $(x - 2)$  times Remark 1.  $\square$

**Corollary 1.** *Let  $Y \subset \mathbb{P}^r$  be an integral and non-degenerate subvariety. Set  $x := \dim(Y)$ . There is no  $S \subset Y$  such that  $\sharp(S) \leq r - x + 2$  and  $S$  is linearly dependent if and only if  $Y$  is a rational normal curve.*

**Proof.** Assume that there is no  $S \subset Y$  such that  $\sharp(S) \leq r - x + 2$  and linearly dependent. Lemma 1 gives  $\deg(Y) = r - x + 1$ . Since any 3 points on a line are linearly dependent,  $Y$  cannot contain a line. Thus the list of all minimal degree subvarieties [7] gives that either  $Y$  is a rational normal curve or  $(x, r) = (2, 5)$  and  $Y$  is a Veronese surface. Let  $Y$  be a Veronese surface. There is a smooth conic  $C \subset \mathbb{P}^2$ . Any 4 points of  $C$  are linearly dependent. Any  $r + 1$  points of a rational normal curve of  $\mathbb{P}^r$  are linearly independent.  $\square$

**Lemma 5.** *Let  $X \subset \mathbb{P}^n$  be an integral and non-degenerate  $m$ -dimensional variety. Fix  $P \in \mathbb{P}^n \setminus X$ . Let  $V \subset \mathbb{P}^n$  be a general  $(n - m)$ -dimensional linear subspace passing through  $P$ . Then the scheme  $V \cap X$  is a reduced union of  $\deg(X)$  points and  $V \cap X$  spans  $V$ .*

**Proof.** Since  $P \notin V$ , Bertini's theorem gives that  $V \cap X$  is a reduced set of  $\deg(X)$  points. To see the last assertion we use induction on  $m$ . Let  $H \subset \mathbb{P}^n$  be a general hyperplane containing  $P$ . Look at the exact sequence of coherent sheaves on  $\mathbb{P}^n$ :

$$0 \rightarrow \mathcal{I}_X \rightarrow \mathcal{I}_X(1) \rightarrow \mathcal{I}_{X \cap H}(1) \rightarrow 0 \quad (2)$$

Since  $X$  is integral, we have  $h^0(X, \mathcal{O}_X) = 1$ . Thus  $h^1(\mathcal{I}_X) = 0$ . From (2) we get that  $H$  is spanned by the scheme  $X \cap H$ . If  $m = 1$ , then we are done, because in this case  $H = V$ . Now assume  $m \geq 2$  and that the lemma is true for  $(m - 1)$ -dimensional subvarieties of  $\mathbb{P}^{n-1}$ . Bertini's theorem gives that  $X \cap H$  is integral. The inductive assumption gives that  $X \cap V = (X \cap H) \cap V$  spans  $V$ .  $\square$

The proof of Theorem 2 (resp. Theorem 3) is divided into two steps, called (a) and (b) (resp. six steps called (a), (b), (c), (d), (e) and (f)). These steps concern pairs  $(X, P)$  for which the description of the sets  $\mathcal{S}(X, P, n - m + 1)$  is different. When  $\mathcal{S}(X, P, n - m + 1) \neq \emptyset$  the step of the proof corresponding to the pair  $(X, P)$  gives a more detailed description and/or construction of the set  $\mathcal{S}(X, P, n - m + 1)$  than the one claimed in the statement of Theorems 2 and 3.

**Proof of Theorem 2.** If  $m = n - 1$ , then the result is obvious (even part (iiii)), because (in characteristic zero) a general line through any  $P \notin X$  intersects the hypersurface  $X$  in  $\deg(X) \geq 2$  points. Hence we may assume  $n \geq m + 2$ . Since  $P \notin X$ ,  $\phi := \ell_P|_X$  is a morphism. Since  $P \notin X$ , no line through  $P$  is contained in  $X$ . Thus  $\phi$  is a finite morphism. Set  $a := \deg(\phi)$ .

- (a) Here we assume  $\deg(Y) \geq n - m + 1$  and prove parts (i) and (iii) in this case. Let  $W$  be a general linear subspace of  $\mathbb{P}^{n-1}$  with codimension  $m$ . Hence the scheme  $Y \cap W$  is reduced and  $\sharp(Y \cap W) = \deg(Y) \geq n - m + 1$ . In the set-up of (iii) we have  $\ell_P(\Sigma) \cap W = \emptyset$ . Since  $W$  is general and we work in characteristic zero, the set  $Y \cap W$  is in linearly general position in  $W$ , i.e. any  $B \subseteq Y \cap W$  spans a linear subspace of dimension  $\min\{\dim(W), \sharp(B) - 1\}$  [2, p. 109]. Since  $\sharp(Y \cap W) \geq \dim(W) + 2$ , there is  $B \subseteq Y \cap W$  such that  $\sharp(B) = \dim(W) + 2$ . Since  $B$  is in linearly general position in  $W$ , we have  $W = \langle B' \rangle$  for every subset  $B'$  of  $B$  such that  $\sharp(B') = \sharp(B) - 1$ . Let  $V$  be the only codimension  $m$  linear subspace of  $\mathbb{P}^n$  such that  $P \in V$  and  $\ell_P(V \setminus \{P\}) = W$ . Since  $W$  is general,  $V$  may be considered as a general codimension  $m$  linear subspace of  $\mathbb{P}^n$  passing through  $P$ . Since  $P \notin X$ , Lemma 5 gives that  $X \cap V$  is a reduced set of  $\deg(X)$  points and  $\langle V \cap X \rangle = V$ . In the set-up of (iii) we have  $V \cap \Sigma = \emptyset$ . Take  $S \subseteq X \cap V$  such that

$\sharp(S) = n - m + 1, V = \langle S \rangle$  and  $\phi|_S$  is injective. Since  $\langle S \rangle = V$ , we have  $P \in \langle S \rangle$ . Fix any  $S' \subsetneq S$ . Since  $\phi|_{S'}$  is injective and  $\phi(S')$  is linearly independent,  $P \notin \langle S' \rangle$ . Thus  $S \in \mathcal{S}(X, P, n - m + 1)$ .

- (b) Now assume  $\deg(Y) = n - m$ . Since  $\deg(X) \geq n - m + 1$  and  $P \notin X$ , we get  $a \geq 2$ . Assume the existence of  $S \in \mathcal{S}(X, P, n - m + 1)$ . Since  $n \geq m + 2$ , we have  $n - m + 1 \geq 3$ . Thus the definition of  $\mathcal{S}(X, P, n - m + 1)$  shows that  $P$  is not contained in a line spanned by two of the points of  $S$ . Thus  $\sharp(\phi(S)) = n - m + 1$ . Since  $P \in \langle S \rangle$ ,  $\dim(\langle \phi(S) \rangle) = \dim(\langle S \rangle) - 1 = \sharp(\phi(S)) - 2$ . Hence  $\phi(S)$  is not linearly independent. Since  $P \notin \langle S' \rangle$  for any  $S' \subsetneq S$ , each proper subset of  $\ell_P(S)$  is linearly independent. Proposition 4 gives  $Y \notin \mathcal{A}(m, n - 1)$ . Now assume  $Y \notin \mathcal{A}(m, n - 1)$ . Let  $\Sigma_1 \subset Y$  be the union of  $\phi(\Sigma)$  and all points  $Q$  of  $Y$  such that  $\phi^{-1}(Q)_{red}$  is a unique point. Fix a general  $B' \subset Y \setminus \Sigma_1$  such that  $\sharp(B') = n - m$ . Proposition 4 gives the existence of  $B \subset Y$  such that  $B \cap \Sigma_1 = \emptyset, B' \subset B, \sharp(B) = n - m + 1$ ,  $\dim(\langle B \rangle) = n - m + 1$  and every proper subset of  $B$  is linearly independent. Set  $\{O\} := B \setminus B'$ . Take  $A' \subset X$  such that  $\sharp(A') = n - m$  and  $\phi(A') = B'$ . Since  $B'$  is general in  $Y, A'$  may be seen as a general union of  $n - m$  points of  $X$ . Take  $O_1, O_2 \in \phi^{-1}(O)$ . We will check that at least one of the sets  $A' \cup \{O_1\}$  and  $A' \cup \{O_2\}$  belongs to  $\mathcal{S}(X, P, n - m + 1)$ . Since  $B$  is linearly dependent and  $\ell_P(A' \cup \{O_i\}) = B$ , each set  $A' \cup \{O_i, P\}$  is linearly dependent. Since  $A'$  is linearly independent, we get that  $A' \cup \{O_i\} \notin \mathcal{S}(X, P, n - m + 1)$  if and only if  $O_i \in \langle A' \rangle$ . Assume  $O_i \in \langle A' \rangle$  for all  $i \in \{1, 2\}$ . Thus  $\langle \{O_1, O_2\} \rangle \subseteq \langle A' \rangle$ . Since  $\ell_P(O_1) = \ell_P(O_2)$  and  $O_1 \neq O_2$ , we have  $P \in \langle \{O_1, O_2\} \rangle$ . Thus  $\ell_P(A')$  is linearly dependent, contradiction.  $\square$

**Proof of Theorem 3.** Fix a hyperplane  $H \subset \mathbb{P}^n$  such that  $P \notin H$ . We see  $\ell_P$  as a linear projection onto  $H$ . Thus we see  $Y$  as an integral and non-degenerate subvariety of  $H$ . If  $X$  is a cone with vertex containing  $P$ , then  $\dim(Y) = m - 1$ . If  $X$  is not a cone with vertex containing  $P$ , then  $\dim(Y) = m$  and  $\psi$  is generically finite. If  $X$  is not a cone we call  $\mu$  the multiplicity of  $X$  at  $P$ . We have  $a \geq 1, \mu \geq 1$  and  $\deg(X) = \mu + a \cdot \deg(Y)$ . We divide the proof into six steps (a)–(f). In step (a) we prove the case  $n = m + 1$ , while in the other steps we assume  $n \geq m + 2$ . In step (b) we prove that  $\mathcal{S}(X, P, n - m + 1) = \emptyset$  in all cases listed in parts (ii)–(iv) of Theorem 3. In step (f) we handle the case in which  $X$  is a cone with vertex containing  $P$ , while in steps (c)–(e) we assume that  $X$  is not a cone with vertex containing  $P$ ; in step (c) we describe the case  $a \geq 2$ , while in steps (d) and (e) we describe the case  $a = 1$ .

- (a) Here we assume  $n = m + 1$ . Hence we are looking at pairs of distinct points of  $X \setminus \{P\}$  spanning a line containing  $P$ . For any  $D \in \mathcal{F}$  any two points of  $D \setminus \{P\}$  give an element of  $\mathcal{S}(X, P, 2)$ . Call  $\mathcal{S}(X, P, 2)'$  the subset of  $\mathcal{S}(X, P, 2)$  formed by the sets spanning a line not contained in  $X$ . Obviously  $\mathcal{S}(X, P, 2)' = \emptyset$  if  $X$  is a cone with vertex containing  $P$ . Now assume that  $X$  is not a cone with vertex

containing  $P$ . Bezout's theorem gives  $\mathcal{S}(X, P, 2)' = \emptyset$  if  $a = 1$ . If  $a \geq 2$ , then for a general line  $T \subset \mathbb{P}^n$  through  $P$  there is  $S \in \mathcal{S}(X, P, 2)'$  contained in  $T$ . Thus  $\dim(\mathcal{S}(X, 2, P)') \geq n - 1 = m$  and there is  $S \in \mathcal{S}(X, P, 2)'$  such that  $S \cap \Sigma = \emptyset$  and containing a general point of  $X$ .

- (b) From now on we assume  $n \geq m + 2$ . We have  $\dim(Y) = m - 1$  (resp.  $\dim(Y) = m$ ) if  $X$  is (resp. is not) a cone with vertex containing  $P$ . Assume  $\mathcal{S}(X, P, n - m + 1) \neq \emptyset$  and fix  $S \in \mathcal{S}(X, P, n - m + 1)$ . Thus  $S$  is linearly independent,  $P \in \langle S \rangle$  and  $P \notin \langle S' \rangle$  for any  $S' \subsetneq S$ . Taking  $\sharp(S') = 1$  we get  $P \notin S'$ . Since  $n - m + 1 \geq 3$  and  $P \notin \langle S' \rangle$  for all  $S' \subset S$  such that  $\sharp(S') = 2$ , we get that no line spanned by two of the points of  $S$  contains  $P$ . Thus  $\sharp(\ell_P(S)) = \sharp(S) = n - m + 1$ . Since  $S$  is linearly independent and  $P \in \langle S \rangle$ , we get  $\dim(\langle \ell_P(S) \rangle) = n - m - 1$ . Since  $P \notin \langle S' \rangle$  for any  $S' \subsetneq S$ , any proper subset of  $\ell_P(S)$  is linearly independent. Thus  $Y \notin \mathcal{A}(m, n - 1)$  if  $\dim(Y) = m$ , while  $Y$  is not as in (iv1) or (iv2) of the statement of Theorem 3 if  $\dim(Y) = m - 1$  (Proposition 4 with  $x := m - 1$ ). Thus we proved that  $\mathcal{S}(X, P, n - m + 1) = \emptyset$  in all cases claimed in the statement of Theorem 3.
- (c) Here we assume that  $X$  is not a cone with vertex containing  $P$  and  $a \geq 2$ . Our standing assumptions say  $n \geq m + 2$  and  $Y \notin \mathcal{A}(m, n - 1)$ . Let  $\Sigma_2 \subset Y$  be any finite union of proper subvarieties such that  $\sharp(\psi^{-1}(Q)) = a$  for all  $Q \in Y \setminus \Sigma_2$ . Fix a general  $A' \subset X$  such that  $\sharp(A') = n - m$ . Since  $A'$  is general, we have  $P \notin \langle A' \rangle, \psi(A') \subset Y \setminus \Sigma_2, \sharp(\psi(A')) = n - m$  and  $\psi(A')$  is general in  $Y$ . Since  $Y \notin \mathcal{A}(m, n - 1)$ , there is  $B \subset Y$  such that  $\sharp(B) = n - m + 1, B \cap \Sigma_2 = \emptyset, \dim(\langle B \rangle) = n - m - 1$  and every proper subset of  $B$  is linearly independent. Set  $\{O\} := B \setminus \psi(A')$ . Since  $O \notin \Sigma_2$ , we may find  $O_1, O_2 \in X \setminus \{P\}$  such that  $\psi(O_i) = O, i = 1, 2$ , and  $O_1 \neq O_2$ . Step (b) of the proof of Theorem 2 gives that at least one of the sets  $A' \cup \{O_i\}, i = 1, 2$ , belongs to  $\mathcal{S}(X, P, n - m + 1)$ .
- (d) Here we assume that  $X$  is not a cone with vertex containing  $P, a = 1$  and  $\deg(Y) \geq n - m + 1$ . Thus  $\dim(Y) = m$  and  $\deg(X) = \mu + \deg(Y)$ . Let  $\Sigma_1 \subsetneq Y$  be any proper closed subset such that  $\psi|_{(X \setminus (\{P\} \cup \psi^{-1}(\Sigma_1)))}$  is a bijection over  $Y \setminus \Sigma_1$ . Let  $V \subset \mathbb{P}^n$  be a general  $(n - m)$ -dimensional linear subspace containing  $P$ . Since  $V$  is general and  $\dim(\Sigma_1) \leq m - 1, (V \setminus \{P\}) \cap \psi^{-1}(\Sigma_1) = \emptyset$ . Thus the scheme  $V \cap X$  is a disjoint union of a connected degree  $\mu$  scheme  $V_P$  with  $P$  as its support and the union  $E$  of  $\deg(Y)$  points such that  $\psi(E) = Y \cap W$ . Since  $Y \cap W$  is in linearly general position in  $W$  and  $\psi$  is induced by  $\ell_P$ , any  $S' \subset E$  such that  $\sharp(S') \leq n - m$  is linearly independent and  $P \notin \langle S' \rangle$ . Fix one such  $S'$ . Since  $\deg(Y) \geq n - m + 1$ , there is  $Q \in Y \cap W \setminus \psi(S')$ . Take  $O \in V \cap X \setminus \{P\}$  such that  $\psi(O) = Q$ . Since  $\psi(S' \cup \{O\})$  is linearly dependent and  $\ell_P(O) \notin \ell_P(S')$ , we get that either  $P \in \langle S' \cup \{O\} \rangle$  or  $O \in \langle S' \rangle$ . Since any proper subsets of  $\psi(A) \cup \{Q\}$  is linearly independent, in the former case we get  $S' \cup \{O\} \in \mathcal{S}(X, P, n - m + 1)$ . Now assume  $O \in \langle S' \rangle$ . Since  $W$  is general,  $S'$  may be seen as a general subset of  $X$  with cardinality  $n - m$ . Hence  $\langle S' \rangle \cap X = S'$  [5, Proposition 2.6], contradicting the assumption  $O \in \langle S' \rangle$ .

- (e) Assume that  $X$  is not a cone with vertex containing  $P$ ,  $a = 1$ ,  $\deg(Y) = n - m$ . By part (b) we may assume  $Y \notin \mathcal{A}(m, n - 1)$ . Assume  $\mathcal{S}(X, P, n - m + 1) \neq \emptyset$  and fix  $S \in \mathcal{S}(X, P, n - m + 1)$ . If  $\langle S \rangle \cap X$  has a connected component supported by  $Q$ , then this component has degree at least  $\mu$ . Since  $\deg(X) = \mu + n - m$ ,  $\sharp(S) = n - m + 1$  and  $P \notin S$ , Bezout's theorem shows that the scheme  $V \cap \langle S \rangle$  has positive dimension. Hence  $(X, P) \in \mathcal{B}(m, n)$ .
- (f) Here we assume that  $X$  is a cone with vertex containing  $P$ . In this case  $Y = X \cap H$  is a basis of the cone  $X$  and  $\deg(X) = \deg(Y)$ . By part (b) we may assume that  $Y \notin \mathcal{A}(m - 1, n - 1)$ . Since a general fiber of  $\psi$  contains at least two points, the proof of step (c) gives the non-emptiness of  $\mathcal{S}(X, P, n - m + 1)$ .  $\square$

**Remark 2.** Take the set-up of Theorem 3. Fix any proper closed subset  $\Sigma \subsetneq X$  and a general  $A \subset X$  such that  $\sharp(A) = n - m$ . First assume  $n = m + 1$ . In step (a) of the proof of Theorem 3 we proved that if  $a \geq 2$  there is  $S \in \mathcal{S}(X, P, 2)$  containing the point  $A$  and disjoint from  $\Sigma$ . Now assume  $n \geq m + 2$  and  $\deg(X) \geq n - m + 1$ . In steps (c) and (d) of the proof of Theorem 3 we obtained  $\dim(\mathcal{S}(X, P, n - m + 1)) \geq m(n - m)$  and the existence  $S \in \mathcal{S}(X, P, n - m + 1)$  such that  $S \cap \Sigma = \emptyset$  and  $A \subset S$ . The condition  $S \cap \Sigma = \emptyset$  (for arbitrary  $\Sigma$ ) is not satisfied in the case  $n = m + 1$  and  $a = 1$ , unless  $X$  is a cone with vertex containing  $P$ .

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